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# IDENTIFYING EFFECTIVE CLASSROOM PRACTICES USING STUDENT ACHIEVEMENT DATA 

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#### Abstract

Recent research has confirmed both the importance of teachers in producing student achievement growth and in the variability across teachers in the ability to do that. Such findings raise the stakes on our ability to identify effective teachers and teaching practices. This paper combines information from classroom-based observations and measures of teachers' ability to improve student achievement as a step toward addressing these challenges. We find that classroom based measures of teaching effectiveness are related in substantial ways to student achievement growth. Our results point to the promise of teacher evaluation systems that would use information from both classroom observations and student test scores to identify effective teachers. Our results also offer information on the types of practices that are most effective at raising achievement.


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## 1. Introduction

More than three decades ago, researchers began reporting large differences in student achievement gains in different teachers’ classrooms (Hanushek (1971), Murnane and Phillips (1981)). That literature (much of it done by economists) has undergone a resurgence in recent years as school districts and state governments have begun to track achievement gains of similar students assigned to different teachers (Aaronson, Borrow and Sander (2003), Gordon, Kane and Staiger (2006), Kane, Rockoff and Staiger (2006), Rivkin, Hanushek and Kain (2005), Rockoff (2004)). The magnitude of the variation in teacher effects is quite large, with most estimates of the standard deviation ranging between .10 and .25 student-level standard deviations in math (with somewhat smaller differences reported for English language arts).

The size and consistency of these findings-especially when combined with rising anxiety about the lagging performance of U.S. students in international comparisons-has produced a flurry of policy proposals to promote "teacher quality" or "teacher effectiveness". Despite the outpouring of interest, little has changed in the way that teachers are evaluated and compensated, in the content of pre-service training, or in the type of professional development offered.

The primary stumbling block has been a lack of consensus on valid measures for recognizing and rewarding effective teaching. On one hand, a handful of districts have begun using student achievement gains (adjusted for prior achievement and other student characteristics) as a direct measure of teacher effectiveness (e.g. Hillsborough County Florida, Dallas and Houston in Texas, Denver Colorado, New York City). However, even supporters of such policies recognize their limitations. First, the estimates are currently feasible only in a handful of grades and subjects, where there is mandated annual testing. In fact, less than a quarter of K-12 teachers are likely to be in grades and subjects where such measures are possible. Second, in the absence of evidence of effective teaching practices, such measures offer little guidance on the nature of teacher training. Test-based measures allow one to identify effective teachers on the job, but not to replicate them. Third, especially if teachers are not provided with clear signals about legitimate ways in which to improve their practice, there is the danger that teachers will focus instead on teaching test-taking skills at the cost of teaching other, more difficult to measure (but valuable) skills. Aside from the above, some have questioned whether the variation that has been labeled "teacher effects" reflects something different, such as
unmeasured differences in baseline characteristics between different classrooms (Rothstein (2009)).

On the other hand, there are, as yet, few alternatives to the test-based measures providing reliable valid approaches to scoring a teachers’ classroom practice. Despite decades of evidence that teachers differ in their impacts on youth, the process of teacher evaluation remains a perfunctory exercise. In a recent analysis of the teacher evaluation systems in 14 school districts, Weisberg, Sexton, Mulhern, and Keeling (2009) report that most districts have only a binary rating system, with more than 98 percent of teachers in the highest category (usually labeled "satisfactory"). In Chicago, they found that less than one-half of one-percent of teachers were rated "unsatisfactory". The rest were not only satisfactory (6\%), but excellent (25\%) and superior (69\%). Based on such findings, many have questioned whether classroom observations are a hopelessly flawed approach to assessing teacher-effectiveness.

In this paper, we test whether classroom observations-when done by trained professionals, external to the school, using an elaborated set of standards-can identify teaching practices most likely to raise achievement. Using data from the Cincinnati Public School (CPS) system between 2001 and 2009, we find that they do. Such findings provide support for the idea that "teacher effectiveness" need not be measured based on student achievement gains alone, but that it should be possible to build a system which incorporates measures of practice as well.

## 2. Measuring Teacher Effectiveness

### 2.1 Measuring Teachers’ Classroom Practices

Teacher evaluation has traditionally been done by district and school administrators. Historically the criteria were varied and largely subjective, training was poor, and the research basis was under-developed (Stronge and Tucker (2003), Medley, Coker and Soar (1984)). In the early 1980s several districts, including Toledo, Ohio and Rochester, New York launched "peer review" systems (Kahlenberg (2007)). The introduction of peer review systems-in which teachers are evaluated by other teachers from the same school or other schools-was accompanied by an effort to be more consistent and clear about scoring rubrics, training scorers, and record-keeping. Existing literature suggests that quality observation systems should be based on clear, objective standards of practice; be conducted by multiple, trained evaluators; and
consider multiple observations and sources of data collected over time (Donaldson (2009), Goe and Croft (2009), Toch and Rothman (2008), Danielson and McGreal (2000)).The accumulation of detailed measurement of the classroom practices provides an opportunity for validation studies, such as this one.

### 2.2. Cincinnati's Teacher Evaluation System

Cincinnati's Teacher Evaluation System (TES) program grew out of a 1997 collective bargaining agreement between the Cincinnati Federation of Teachers and the Cincinnati Public Schools. During the 1999-2000 school year Cincinnati Public Schools field tested the TES system that utilizes trained evaluators, a specified and research-based evaluation rubric, and includes multiple classroom observations of teachers during a year.

During the TES process, teachers generally receive four evaluations throughout the school year by trained peer evaluators. Local school administrators are also trained on the same rubric used by the external evaluators, and conduct one additional observation. In order to serve as a peer evaluator, a qualified "lead teacher" must complete extensive training that includes guidance and practice on how to collect and record evidence, and they must accurately score a videotaped teaching exercise prior to beginning their term as a peer evaluator. All new teachers are required to participate in TES during their first year in the district, and must do so again to achieve career status (in common parlance, "tenure protection"). Career status teachers are required to participate in TES every fifth year.

The TES rating system is based on Charlotte Danielson’s Enhancing Professional Practice: A Framework for Teaching. The rubric associated with the "Danielson framework" includes four domains, fifteen standards and 32 elements that describe the practices, skills, and characteristics that effective teachers should possess and employ. The domains cover four practice areas including preparation, classroom management, pedagogical and content knowledge and application, and collegial responsibilities and engagement. The four domains in which a teacher is evaluated are: (Domain 1) Planning and Preparing for Student Learning, (Domain 2) Creating an Environment for Student Learning, (Domain 3) Teaching for Student Learning, and (Domain 4) Professionalism.

Within each domain, teachers are evaluated against a set of standards, which themselves are subdivided into elements. Each element has language that describes performance at each
level of the rubric: Distinguished, Proficient, Basic, and Unsatisfactory, with evaluators assigning respective scores of $4,3,2$, and 1 to these rubric levels. ${ }^{1}$ As an example, Figure 1 reproduces the standard and element language provided for Standard 3.2 which resides in Domain 3, "Teaching for Student Learning."
<Figure 1 about here>
Standard 3.2 has only one element "Instructional Strategies \& Content Knowledge," which, in turn, has two components (the bullet-level items). A teacher will be evaluated on both components within the element and the result will be a standard-level score for that observation. For example, if an evaluator records that a teacher provides accurate information to students in a way that supports learning then that teacher would receive a score of 3 from the evaluator for that observation. Data from classroom observations are used in evaluating a teacher on domains 2 and 3 , while evidence for domains 1 and 4 comes from the collection of documents such as lesson plans and goes into a portfolio that is reviewed by the evaluators. Only the first observation in an evaluation cycle is announced, the remaining observations may be unannounced, and evaluators are required to submit the evaluation report to the teacher being evaluated within ten working days of the observation.

At the end of the year evaluators consider evidence from all observations and submitted evidence for a given teacher in arriving at a final formal standard score for each of the fifteen standards within domains 1-4. These end-of-year scores are based on a "preponderance of the evidence" and can take into account improvement in observed practice over the year and thus are not necessarily simple averages of the scores that a teacher received across all observations for the year. Once final standard scores are determined, evaluators use those scores to determine final Domain level scores, which are very close to the simple average of the standard scores within each domain. ${ }^{2}$ In their final end-of-year report teachers are provided with the final domain-level scores.

### 2.3 Measuring a Teacher’s Effect on Student Achievement Gains

[^0]Education researchers have long been interested in measuring a teacher's contribution to student achievement (for example Armour (1976), Hanushek (1971), Murnane and Phillips (1981), Sanders and Rivers (1996), Rockoff (2004), Rivkin, Hanushek and Kain (2005), Gordon, Kane and Staiger (2006)). While empirical strategies differ somewhat, the common objective is to isolate an estimate of a teacher's contribution to student achievement separate from the student, class, school, and other contributors.

Researchers have made considerable progress in the empirical methods of estimating a teacher's contribution to student achievement. Several strategies are now widely practiced; for example, modeling growth in achievement as opposed to achievement levels, and taking into account the hierarchical structure of school systems (McCaffrey, Lockwood, Koretz and Hamilton (2003)). This progress owes much to the proliferation of student achievement data (particularly due to No Child Left Behind requirements), and advances in the software used to estimate models (e.g., hierarchical and Bayesian approaches). Nevertheless, a number of important statistical and interpretive questions remain (Todd and Wolpin (2003), McCaffrey, Lockwood, Koretz, Louis and Hamilton (2004), Raudenbush (2004)).

Researchers recognize the possibility that non-random assignment of students to teachers could distort measures of teacher effectiveness. Some teachers, the ubiquitous example states, are assigned better students who would have achieved highly in many different classrooms. Some researchers have questioned whether a teacher's specific contribution can be accurately estimated given the possibility that students are assigned to teachers based on unmeasured characteristics not captured by test scores and demographics (Rothstein (2009)). Other researchers, recognizing the potential for bias, are more optimistic (Koedel and Betts (2009)). One recent study compared experimental (i.e., classes randomly assigned to teachers) and nonexperimental estimates of teachers' effects on student achievement growth for a small sample of teachers in Los Angeles. In that sample the non-experimental or observational measures predicted the experimental measures with little bias—as long as the observational models controlled for each student’s prior achievement (Kane and Staiger (2008)).

In a number of studies the effect of teachers in one grade fade out as students progress through subsequent grades (McCaffrey, Lockwood, Koretz, Louis and Hamilton (2004), Kane and Staiger (2008), Jacob, Lefgren and Sims (2008), Rothstein (2009)). Hypotheses for fade out range from artifacts of empirical strategy to the heterogeneity of teacher quality within schools to
the relevance of skills gained this year for skills tested next year (Kane and Staiger (2008)). Understanding the causes and structure of fade out is an emerging area of inquiry.

A few recent studies have found a relationship between a teacher's measured effect on student achievement and overall subjective administrator ratings ((Jacob and Lefgren (2008), Rockoff and Speroni (2009), Rockoff, Staiger, Kane and Taylor (2009)). However, those studies do not identify the criteria or behaviors principals used to make their judgments. Using data from the early years of Cincinnati’s evaluation program, Holtzapple (2003) and Milanowski (2004a and 2004b) demonstrated a positive relationship between teachers' final overall scores and student achievement. Our primary contribution to the literature is to link student achievement gains to specific teaching practices and behaviors-as opposed to general judgments by principals.

## 3. Data

### 3.1 Data from the TES System

Cincinnati Public Schools maintains detailed records for each TES evaluation, including scores from each classroom observation and each portfolio review that contribute to the final score. Our data contain records on 2,071 teacher TES evaluations covering 2000-01 through 2008-09 with a high of 292 in 2006-07 and a low of 112 in 2000-01. Each teacher was observed in the classroom between one and eight times; 97 percent were observed between two and six times.

While the only TES "scores" in the CPS personnel files are the end-of-year standard and domain scores, all of the score sheets for each observation of a teacher going back to 2000-01 are on file, and each score sheet contains the rubric language the evaluator used to score each element for a given observation of a given teacher. Because the rubric language maps, with very few exceptions, 1-to-1 onto numeric scores, we have been able to use the district's files to create an electronic file of CPS teachers’ TES scores at the element level for all teacher observations from 2000-01 through 2007-08. Teachers in the data will have scores in domains 2 and 3 that respond to each time they were observed in an evaluation year.

We focus on two constructions of TES scores. The first is simply the final standard and domain level scores recorded in Cincinnati's records. These are the formal scores reported to the
teacher and used, where applicable, for consequential decisions. As described earlier, the standard scores represent an evaluator's subjective assessment of all of their observations (and are not an identifiable function, such as the arithmetic mean). As a result, our second construction, and the primary focus of our analysis, uses the average of the individual classroom observation scores. This second construction will differ from the first to the extent that the evaluators apply (implicitly or explicitly) differential weights to some behaviors or observations when selecting a final standard score. In this second construction, we first calculated standard level scores for each observation by averaging all the individual behavior and practice scores within an element and then averaging the elements of each standard. Mathematically,

$$
\text { (3.1) } y_{s o}=\frac{1}{m} \sum_{e=1}^{m}\left(\frac{1}{n} \sum_{b=1}^{n} x_{\text {beso }}\right)_{\text {eso }}
$$

where $y_{\text {so }}$ is the score (1-4) for standard, $s$, as measured during observation, $o$. Each $x$ represents an individual score (1-4) selected by the evaluator as a result of observation, $o$, for behavior, $b$, which is a component of element, $e$, and standard, $s$. We then averaged these observationspecific standard scores, $y_{s o}$, across all observations to obtain a single score for each standard summarizing the entire TES evaluation for a given teacher. Again mathematically,

Figures 2 a and 2 b display the distribution of each of the eight standard scores in domains 2 and 3 resulting from our averaging.
<Figures 2a and 2 b about here>
The grand average scores, $\bar{y}_{s}$, from Equation 3.2 are the focus of our analysis. We do, however, explore how our main results differ when only selected observations are included, i.e., the average of just the lead teacher's observations, the administrator's observation ${ }^{3}$, the lead teacher's first observation, and the lead teacher's final observation. Since the classroom

[^1]observation component of TES is only relevant in domains 2 and 3, our analysis will focus primarily on these domains. ${ }^{4}$

We estimate that differences in evaluator (i.e., lead teachers and administrators) account for about one-quarter (23\%) of the variation in TES scores from individual observations (i.e., the $y_{\text {so }}$ scores), and that the teachers being evaluated account for just under half (45\%) of the variation. Residual variation from observation to observation for the same teacher account for the just under one-third (32\%). ${ }^{5}$

One additional characteristic of the TES data is important to note. Cincinnati updated the TES rubric twice during the period under study. TES evaluators used the original version from 2000-01 through 2002-03, a second version for 2003-04 and 2004-05, and the current version beginning in 2005-06. All three versions measured the same constructs using essentially the same language to describe behaviors and practices. ${ }^{6}$ Nevertheless, we use TES year fixed effects in our regression specifications to help control for any residual differences attributable to the different TES rubrics.

### 3.2 Student and Class Data in Cincinnati

Paralleling the TES program years, we have panel data on Cincinnati students for the 2000-01 through 2008-09 school years. When our data begin in 2000-01 Cincinnati enrolled approximately 21,000 students in grades 3-8, but enrollment had fallen over 30 percent to approximately 14,500 by 2008-09 (Ohio Department of Education, 2009). The student-by-year observations include information on the student's gender, race or ethnicity, English proficiency status, participation in special education or gifted and talented programs, class and teacher assignments by subject, and, when applicable, standardized test scores.

[^2]Between 2000-01 and 2008-09 Cincinnati students, in general, took end of year exams in reading and math in third through eighth grades. However, in earlier years the testing program did not cover all grades, and over the course of 2003-04 to 2005-06 the state switched tests from the State Proficiency Test (SPT) and its companion the Off Grade Proficiency Test (OGPT) to the Ohio Achievement Test (OAT). In all cases we standardize (mean zero, standard deviation one) test scores by grade and year.

Table 1 details the specific grades and years when reading and math tests were administered. Across all tested grades and years we have math test scores for 93 percent of students (ranging from 83 percent to 97 percent in any particular grade and year) and reading scores for 94 percent of students (ranging from 83 percent to 98 percent in any particular grade and year).
<Table 1 about here>
Our empirical strategy requires both an outcome test (e.g., end of year test in year $t$ ) and a baseline test (e.g., end of year test in year $\mathrm{t}-1$ ). Thus, our analysis sample will exclude some entire grade-by-year cohorts who were not tested in year t or $\mathrm{t}-1$. For example, the largest gap is in fifth-grade math where students were not tested in the years 2001-02 through 2004-05. This gap also excludes sixth-grade students in 2002-03 through 2005-06. We are able to close some third-grade gaps using $2^{\text {nd }}$ grade math and reading tests administered in 2000-01 through 200203 , and a reading test administered to $3^{\text {rd }}$ graders in the fall beginning in 2003-04. The bolded cells in Table 1 indicate outcome tests that can be paired with a baseline test.

Cincinnati Public Schools also maintains records of individual students’ class schedules that include the teacher, course, and section. ${ }^{7}$ Using these data we identified a math (and separately a reading) class and teacher for each student each school year. For the 2003-04 school year and subsequent years we identified a math teacher and class for 97 percent of tested students in grade $3-8$, and a reading teacher and class for 96 percent of the same population. ${ }^{8}$ For the 2000-01 through 2002-03 school years the available class schedule data are more limited. In

[^3]these earlier years teacher and section information is mostly absent; indeed it would be entirely absent but for the efforts of prior researchers studying the TES program (Holtzapple (2003)). To facilitate that prior analysis, a previous research team identified student rosters for a number of teachers evaluated by TES. Thus we can identify a math and reading teacher for selected students in 2000-01 through 2002-03. This partial data is, however, useful for our empirical approach (more in the following section) and so we include the earlier years.

## 4. Empirical Strategy

### 4.1 A Model Relating Student Achievement Growth and TES Scores

Over the course of a career, each teacher develops a set of classroom management and instructional skills. In any particular school year, an individual teacher's collection of skills is a function of several factors including her pre- and in-service training, performance evaluations, peers and administrators, and the quantity and characteristics of classes and students taught to date. In our notation teacher $k$ 's present skills employed, but unmeasured, in school year $t$ are represented by the vector $\Lambda_{k t}$. We are interested in estimating the relationships, $\omega$, formalized in Equation 4.1, between the elements of $\Lambda_{k t}$ and $A_{i j k t}$, the achievement of student $i$ in class $j$ taught by teacher $k$ in school year $t$, net of student i's prior achievement, $A_{i, t-1}$, and observable characteristics, $X$, of student $i$ that might affect achievement,
(4.1) $\quad A_{i j k t}=\alpha+\Lambda_{k t} \omega+A_{i, t-1} \beta+X_{i t} \delta+v_{i j k t}$

While a teacher's true $\Lambda_{k t}$ is unobserved, one could sample a teacher's practices by visiting his classroom. Records of such observations, including the extensive TES data, are potentially useful, even if error prone, measures of $\Lambda_{k t}$. In Equation 4.2 we formalize this relationship using the vector $T E S_{J k, t+n}$ to represent a teacher $k$ 's TES scores observed in classroom $J$ during school year $t+n$.

$$
\begin{equation*}
T E S_{J k, t+n}=\Lambda_{k t} \delta+\sum_{m} \phi^{m}\left(E x p_{k, t+n}^{m} *-n\right)+w_{J k, t+n}+u_{k, t+n}, \text { where } n \leq 0 \leq n \tag{4.2}
\end{equation*}
$$

Beyond a direct relationship to a teacher's true practices, $\Lambda_{k t}$, a teacher's measured practices, $T E S_{j k, t+n}$, are determined by three additional factors. The first and second are sources of error: $w_{j k, t+n}$ representing error related to the class of students, $J$, in which the teacher is observed, and $u_{k, t+n}$ representing residual idiosyncratic error.

The third arises because we may not have-or may choose not to use-TES observation scores from the school year under study; that is the $t$ in Equation 4.1 may not equal $t+n$ (i.e., $n \neq 0$ ). To the extent an additional year of experience improves a teacher's classroom skills, past (or future) classroom observation scores will diverge from the true practices and skills a teacher presently employs. The series of terms $\left(\operatorname{Exp}_{k, t+n}^{m} *-n\right)$, indexed by $m$, are intended to capture the difference in a teacher's classroom experience between the year she is observed for TES, year $t+n$, and the year in which we are interested in knowing $\Lambda_{k t}$, year $t$. We might have simply included the number of years since (or before) the TES observation, $n$; extant evidence suggests, however, that the returns to experience for teachers are non-linear (see Kane, Rockoff and Staiger (2006) for a review). Thus we allow the effect of $n$ to vary depending on the quantity of experience teacher $k$ had at the time of the TES observation, the $m$ indicator variables $\operatorname{Exp}_{k, t+n}^{m}$.

Rearranging terms in Equation 4.2 and substituting into 4.1 we get Equation 4.3.

$$
\begin{equation*}
A_{i j k t}=\alpha+T E S_{J k, t+n} \gamma+\sum_{m} \rho^{m}\left(\operatorname{Exp}_{k, t+n}^{m} *-n\right)+A_{i, t-1} \beta+X_{i t} \delta+\eta\left(w_{J k, t+n}+u_{k, t+n}\right)+v_{i j k t} \tag{4.3}
\end{equation*}
$$

where $j=J$ if and only if $n=0$.

Stating Equation 4.3 allows us to evaluate options for the data we will use to estimate $\gamma$ and other parameters. It also makes explicit the possibility that achievement, $A_{i j k t}$, and classroom practices, $T E S_{J k, t+n}$, may be measured in different years $(n \neq 0)$. If that is the case then the class in which student $i$ 's achievement, $A_{i j k t}$, is measured is different from the class in which teacher $k$ 's classroom practices, $T E S_{j k, t+n}$, are observed $(j \neq J)$. For discussion we define three options for when we might measure $T E S_{J k, t+n}$ relative to $A_{i j k t}$, though they are not necessarily mutually exclusive. Specifically, we can predict student achievement, $A_{i j k t}$, as a function of the teacher's

TES scores measured in: (i) the contemporaneous school year ${ }^{9}, n=0$, (ii) some previous school year, $n<0$, or (iii) some future school year; that is, $n>0$. Each of these three options requires different assumptions about the error terms, and thus brings different potential biases in estimating $\gamma$. We summarize these assumptions in Table 2.

Table 2. Assumptions Regarding Error Correlation

|  | Option 1: $n=0$ | Option 2: $n<0$ | Option 3: $n>0$ |
| :---: | :---: | :---: | :---: |
| $A_{i j k t} \perp u_{k, t+n}$ | Yes | Yes | Yes |
| $A_{i j k t} \perp w_{J k, t+n}$ | $?$ | $?$ | Yes |
| $T E S_{J k, t+n} \perp v_{i j k t}$ | Yes | Yes | $?$ |
| $T E S_{J k, t+n} \perp w_{J k, t+n}$ | $?$ | $?$ | $?$ |

Option one ( $n=0$, and $j=J$ ) may, a priori, be the most intuitive option. However, given the contemporaneous measurement of $T E S_{J k, t+n}$ and $A_{i j k t}$ in this option, unobserved class characteristics, for example the level of social cohesion among the students, may independently affect both a TES observer's measurement and student achievement. ${ }^{10}$ To the extent this is the case, our estimates of $\gamma$ will be biased. Our concerns regarding options one and two are structurally similar, but the mechanisms are different. Even though option two uses two separate classes of students $(j \neq J)$, a teacher's particular past classes may affect his current students' achievement through him in ways independent of the average gains from experience. Under option three, we are no longer concerned with potential correlation between $A_{i j k t}$ and $w_{j k, t+n}$

[^4]because class $J$ occurs in the future relative to class $j$. We are, however, concerned with the effect of a teacher's past classes on her future TES scores, again in ways not captured by the average gains from experience.

Recognizing that we lack measures of the potential bias that would indicate a strong preference for one of these options, we proceed as follows. First, we report our main estimates of $\gamma$ separately under each option. It turns out that the point estimates are very similar. Second, we focus the bulk of our discussion on results from the third option; specifically $n=1$, student achievement as a function of a teacher's TES scores measured the following school year. Notice that if we choose $n=1$ then $A_{i j k t} \perp u_{k, t+1}$ and $A_{i j k t} \perp w_{j k, t+1}$ based on the assumptions in Table 2 so that equation 4.3 can be rewritten as

$$
\begin{equation*}
A_{i j k t}=\alpha+T E S_{j k, t+1} \gamma+\sum_{m} \rho^{m}\left(\operatorname{Exp}_{k, t+1}^{m} *-1\right)+A_{i, t-1} \beta+X_{i t} \delta+v_{i j k t} \tag{4.4}
\end{equation*}
$$

We chose the third option in part given the greater potential for the generalizability of our results. One way to think of the first and second options is that they study classes where the teacher has participated in the TES process-a process that may uniquely change a teacher's classroom management and instructional practices. The change may be additive, or detrimental, or may simply make teachers more homogeneous in terms of their practice. By contrast, teachers who will participate in TES in the future, as in option three, may still be a selected sample, but their pre-TES-participation practices are likely closer to the average teacher than teachers who have already been through TES. To estimate the relationship between a teacher's observed classroom practices and that teacher's ability to promote student achievement growth we fit equation 4.4 where $i$ indexes students, $j$ and $J$ index classes $(j \neq J), k$ indexes teachers, and $t$ indexes year, and $v$ is an error term that may be correlated with TES as per the discussion above. $A_{i j k t}$ is the end of year math (reading) test score for student $i$ taught by teacher $k$ in class $j$ during school year $t$. The vector $A_{i k, t-1}$ captures the student's prior achievement including the main effect of the prior year math (reading) test score, the score interacted with each grade-level, and fixed effects for each test (i.e., grade-by-year fixed effects). When the baseline score was missing for a student, we imputed $A_{i k, t-1}$ with the grade-by-year mean, and included an indicator for missing baseline score. A vector of student-level controls, $X_{i t}$, includes separate indicators for
student (i) gender, (ii) race or ethnicity, and whether, in our observed data, the student was ever (iii) retained in grade or participating in (iv) special education, (v) gifted, or (vi) limited English proficient programs. TES ${ }_{J k, t+1}$ is a vector of TES measures of the observed classroom practices of teacher $k$ in class $J$ in year $t+1$.

The samples in our reported estimates sometimes differ intentionally, as when we compare the results for grades $3-5$ to grades $6-8$, or the results for reading to math. But the samples also sometimes differ due to the structure of the TES program and student testing regime. As described earlier, not all teachers are evaluated in all years, and not all students are tested in all years of our data. As a result of this uneven data, we have far more observations, for example, when using any subsequent TES score, $T E S_{J k, t+n}, \mathrm{n}>0$, than when using just TES scores from just the immediately subsequent year, $T E S_{J k, t+1}$. We have chosen to report results for the maximum possible samples even though the teachers included necessarily vary. This limits somewhat the comparability of our estimates across different constructions of TES scores. Though not reported in this paper, we do find generally similar (if less precisely estimated) results when restricting the samples across different constructions of TES scores to identical teachers; when the results differ under such restricted samples we have noted the differences below.

To this point we have not discussed in detail the composition of the $T E S_{J k, t+1}$ vector. One intuitive approach would be to simply include the eight TES standards scores from domains 2 and 3. In practice, however, the scores across these eight standards are highly correlated so that estimates of the effects of individual standards (the $\gamma \mathrm{s}$ ) tend to be unstable and hard to interpret. ${ }^{11}$ Table 3 illustrates this problem presenting estimates of Equation 4.4 that use the eight standards as the $T E S_{j k, t+n}$ vector. Very few of the coefficient estimates in Table 3 are statistically significant and given that all of the classroom practices in the TES rubric are theoretically supposed to positively impact student achievement many are wrong signed.
<Table 3 about here>
To address this situation we use the first three principal components from a principal components analysis of the eight standards in domains 2 and 3 . These three components explain 87 percent of the variance of the eight standard scores, and a scree plot of the eigenvalues of the

[^5]standard scores correlation matrix suggests retaining at most three components. In this analysis all eight of the standards load about equally on the first principal component. The second principal component is a contrast between the scores in domains 2 and the scores in domain 3. The third principal component is a contrast between the score on standard 3.4 and a combination of the scores in standards 2.2, 3.1 and 3.2.

Our interpretation of these principal components is that the first principal component captures the general importance of all eight behaviors and practices measured in domains 2 and 3. A contrast between the scores in domains 2 and 3-the second principal component-is a contrast between the type of classroom environment a teacher has created as recorded by the TES evaluator (domain 2) and the extent to which an evaluator observes a teacher engaging in teaching practices that are believed to be related to student learning (domain 3). Conceptually, the third principal component is a contrast between two types of teaching. The first type of teaching can be described as a pedagogical style that is focused on engaging students in discourse and exploring and extending the students’ content knowledge through thoughtprovoking questioning. One might call this teaching through questioning and discussion. This is contrasted in the third component with teaching that focuses on classroom management routines, on conveying standards-based instructional objectives to the students, and on teaching in which the teacher demonstrates content-specific pedagogical knowledge in teaching these objectives. One might call this routinized standards and content focused teaching.

Instead of using the component loadings that result from the principal components analysis to form linear component scores, we have elected to use their counterparts constructed from simple functions of the TES standard score variables. To capture the essence of the first principal component we use a teacher's average score across all eight standards. To capture the second we subtract the average of a teacher's domain 3 standard scores from the average of her domain 2 standard scores. For the third we subtract the average of standards 2.2, 3.1, and 3.2 from a teacher’s score on standard 3.4. Figures 3a, 3b, and 3c display the distribution, mean, and standard deviation for each of the three principal-component-based measures.
<Figures 3a, 3b, and3c about here>
The correlation between the each of the three principle components and the constructed counterparts we use are $0.999,0.981$, and 0.947 respectively. At the same time, the correlations among the three constructed component variables are, as expected, relatively low ( $\rho_{1,2}=0.110$,
$\left.\rho_{1,3}=0.049, \rho_{2,3}=-0.107\right)$. All of the analyses that follow use these constructed component variables as the elements of $T E S_{j k, t+n}$. Additionally, we always include a fixed effect for the year in which the TES evaluation was conducted.

### 4.2 Predicting Future Student Achievement Growth Effects

To the extent that a teacher's practices, as measured by TES evaluations, are associated with student achievement, TES scores could on their own provide information valuable for predicting a teacher's future effect on student achievement growth. Some of our estimates can be interpreted from this perspective. In addition, we investigate the predictive value of TES scores further by asking the following question: If a teacher's past effect on student achievement growth is known, do TES scores provide additional value for predicting a teacher's future effect on student achievement growth?

To simulate the hypothetical situation implicit in this question requires a strategy somewhat distinct from the rest of our analyses. In this strand we proceed in two steps. First, we estimate each teacher's "past" effect on student achievement growth using students taught in years before his TES observations. To do so we again estimate a particular instance of Equation 4.3. In this instance, described in Equation 4.5, we pool all student achievement, $A_{i j k t}$, observed in any year before the teacher, $k$, participated in TES (i.e., all $n>0$ ). (By contrast, in the instance of Equation 4.3 described by Equation 4.4 we restricted the sample such that $n=1$.) In Equation 4.5 we also note a further structure of the error term $v_{i j k t}$.

$$
\begin{gather*}
A_{i j k t}=\alpha+T E S_{J k, t+n} \gamma+\sum_{m} \rho^{m}\left(\operatorname{Exp}_{k, t+n}^{m} *-n\right)+A_{i, t-1} \beta+X_{i t} \delta+v_{i j k t}, \quad \text { where }  \tag{4.5}\\
v_{i j k t}=\mu_{k}+\theta_{j k}+\varepsilon_{i j k t}
\end{gather*}
$$

For this strand of analysis we estimate Equation 4.5 using Hierarchal Linear Modeling (HLM) with nested random effects, $\mu_{k}$ and $\theta_{j k}$, for each teacher, $k$, and class, $j$. HLM provides empirical Bayes estimates of the teacher random effects, $\hat{\mu}_{k}$, which account for differences in
the reliability of the estimates from teacher to teacher by shrinking less reliable estimates toward the mean (Raudenbush and Bryk (2002)). ${ }^{12}$

Second, we use these newly estimated teacher effects, $\hat{\mu}_{k}$, and TES scores to predict a teacher's "future" effect on student achievement growth using students she taught in years after TES participation. In this step, we compare the predictive value of TES scores alone, prior achievement effects alone, and both measures together. To do so we estimate Equation 4.6 which again builds on Equation 4.3.

$$
\begin{equation*}
A_{i j k t}=\alpha+\rho \hat{\mu}_{k}+T E S_{J k, t-1} \gamma+\sum_{m} \rho^{m}\left(\operatorname{Exp}_{k, t-1}^{m} * 1\right)+A_{i, t-1} \beta+X_{i t} \delta+\eta\left(w_{J k, t-1}+u_{k, t-1}\right)+v_{i j k t} \tag{4.6}
\end{equation*}
$$

The notation in Equation 4.6 is as before, but two things are worth pointing out. First, we estimate Equation 4.6 using student achievement, $A_{i j k t}$, observed in the year immediately following a teachers TES observations (i.e., $n=-1$ ). Second, we include the teacher's estimated past effect on student achievement growth, $\hat{\mu}_{k}$, as a predictor of interest. We also estimate variations on Equation 4.6 which drop the past effect, $\hat{\mu}_{k}$, TES scores, or both to examine the marginal predictive validity of each.

We expect $\hat{\mu}_{k}$ to be a robust unbiased predictor of future student achievement growth given the method used to estimate $\hat{\mu}_{k}$, and thus we are interested in the relative comparisons. We note that future research would benefit from some alternative "future" outcome to predict; that is, a dependent variable in Equation 4.6 different from standardized test scores. Nevertheless, we believe our strategy and results provide information useful for practitioners and policy makers thinking about teacher evaluation.

## 5. Results and Discussion

[^6]
### 5.1 Relationship Between TES and Student Achievement Growth

As a first step in exploring the relationship between student achievement and measured classroom practices we asked a simple question: do TES evaluators record differences in the classroom practices of teachers who tend to promote high versus low student achievement? To answer this question we first used all of the available data to construct "value added" estimates for teachers, using a model similar to Equation 4.4, without the TES vector and TES-related variables, to obtain the estimates. ${ }^{13}$ We then divided teachers into quartiles of their value added scores. Table 4 displays for each TES standard in domains 2 and 3 the results of $t$-tests of the difference in mean TES standard scores between (1) teachers in the upper quartile of value added versus those in the lowest value added quartile and (2) upper quartile teachers versus teachers in the second quartile of value added. Instances of statistically different mean TES scores for higher value added teachers versus lower value added teachers are marked with an " $x$ " (when statistically different at the 0.05 level) or a " $\sim$ " (when statistically different at the 0.10 level). Based on the results in Table 4 TES evaluators consistently give higher TES ratings to teachers in the upper quartile of value added scores than they do to teachers in the first or second quartiles of value added. ${ }^{14}$
<Table 4 about here>
Table 4 tells a simple but important story: according to TES evaluators, teachers who tend to promote higher student achievement growth are teaching differently than teachers associated with lower student achievement growth. We note that this finding is similar to that reported by Boyd et al. (2009) in a pilot experiment they conducted in the New York City school district where trained evaluators were randomly assigned a high value added teacher and a second quartile value added teacher. The evaluators did not know the quartile of value added of the teachers they observed and were basing their evaluations on a different set of evaluation

[^7]rubrics than that used by the TES system in Cincinnati. In this experimental setting Boyd et al. report results that are very similar to those we report in Table 4. ${ }^{15}$

The analysis that follows expands on the information in Table 4 as we ask: (1) to what extent do TES scores predict student achievement growth, and (2) which classroom practices measured by the TES process are the most effective at promoting student achievement? Table 5 has the first answers to these questions and reports the relationship between TES scores and student achievement growth as specified in Equation 4.4. In Table 5 a one point increase in average TES score is associated with a student achievement gain of about one-sixth of a standard deviation in math and one-fifth in reading. A one point increase in the average scores across the eight standards represents an increase of about two standard deviations (see Figure 3a). Meanwhile, a teacher who scores higher on "classroom environment" (Domain 2) relative to "classroom practices" (Domain 3) is predicted to produce additional student gains; with coefficients of 0.25 standard deviations in math and 0.15 in reading. Last, a teacher who scores higher on teaching through questioning and discussion (Standard 3.4) relative to routinized standards and content focused teaching (Standards 2.2, 3.1 and 3.2) is predicted to produce student gains in reading but not in math. ${ }^{16}$
<Table 5 about here>
To place these results in the context of the TES system, the estimates on the first principal component suggest that a student assigned a teacher whose average scores placed her in the "Distinguished" category would, by the end of the school year, score more than one-fifth of a standard deviation higher in reading than her peer in a class taught by a "Proficient" teacher.

Since the TES system's "Distinguished" and "Proficient" labels are somewhat arbitrary (and empirically indicate a very large difference), consider one student assigned a top-quartile teacher on our overall TES measure and a second student assigned a bottom-quartile teacher. The estimates in Table 5 suggest that at the end of the school year the first student would score 0.10 standard deviations higher than the second student in math and 0.125 standard deviations higher in reading. If both students had begun the year at the $50^{\text {th }}$ percentile, at the end of the school year

[^8]the first student would be four percentile points ahead in math, and five percentile points ahead in reading.

The estimates on the second and third principal components in Table 5 require some interpretation. The literal interpretation on the second component is that controlling for the average TES score, a teacher whose domain 2 average is one point higher than her domain 3 average would generate student achievement gains in math that are 0.25 of a standard deviation higher than a teacher whose average scores in these two domains are the same. The similar estimate for reading achievement is 0.15 of a standard deviation. That is, the correct interpretation of the estimated coefficients on the second principal component is that it is the contrast between the domain 2 and domain 3 averages that matters (that is, among teachers with similar mean scores). Likewise, when it comes to the third principal component it is a contrast in teaching styles and emphasis that matters, at least when it comes to reading achievement gains.

One interpretation of the estimated effects of the second and third principal components on student achievement gains is as follows. ${ }^{17}$ The contrasts in these principal components can be thought of as measures of the relative emphases teachers place on the different things they do in class while they are being observed by TES evaluators. Thus, the second component can be viewed as the relative importance a teacher places on the climate of the classroom versus an emphasis on the exact instructional practices in which she is engaged on the day she is being observed. Taken literally, the estimates on the second component suggest that given two classrooms whose teachers have the same overall average scores on domains 2 (classroom environment) and 3 (instructional practices), the students in the classroom where the TES evaluator rates the classroom environment to be better than the instructional practices of the teacher are expected to learn more than the students in a classroom where the classroom environment and instructional practices of the teacher are rated about equally by the TES evaluator. For example, it might be that the students in the first class were observed to be better behaved, more respectful to each other and the teacher, and spending more time on task than the students in the second class, but the quality of the pedagogy was judged to be lower in the first class than the second. The estimates in Table 5 suggest the students of the first teacher will learn more than the students of the second teacher. One possible explanation for this result is that

[^9]Cincinnati might be operating in the range of the education production function where increases in classroom environment inputs such as keeping kids on task have bigger payoffs to student achievement than increases to inputs associated with instructional practice such as the extent to which teachers "communicate standards-based instructional objectives" to students.

Unfortunately, we have no data that would allow exploration of this possibility.
As stated earlier, the third principal component is a contrast between what we call teaching through questioning and discussion and routinized content and standards-based instruction. This contrast suggests that at least when being observed teachers may be making a tradeoff between placing an emphasis on engaging students in discussion and taking the class time necessary to do that, and placing an emphasis on "managing transitions to maximize instructional time," "communicating standards-based instructional objectives," and demonstrating their own content knowledge "by using content specific instructional strategies." That is, it may not be possible to do everything during the class period in which a teacher is being observed. In particular, if it takes time to engage students via questioning and discussion, and the give and take of discussion, there may be fewer opportunities for a teacher to demonstrate other instructional practices that are in the TES rubrics. The estimates in Table 5 suggest that to the extent that this is the case, then teachers observed making a tradeoff in favor of instruction that uses questioning and discussion tend to produce higher student achievement in reading but not in math.

The discussion over the exact meaning of the estimates on the second and third components in Table 5 should not obscure the overarching message of the table: namely, that TES scores are an important predictor of student achievement growth. In particular, while some of the classroom practices measured by the TES process appear to be more important than others, a teacher's TES average across domains 2 and 3 is an important predictor of how well that teacher's students will perform. To provide a sense of how important, if fadeout is minimal, a core of "Distinguished" teachers might well close the black-white achievement gap—often estimated at one standard deviation-in five to six years relative to the same students being taught by a core of "Proficient" teachers.

We next turn to the sensitivity of our estimates to our choice of using TES scores from year $t+1$. Table 6 shows that our point estimates change somewhat when using TES in years other than $t+1$. Most notably, the relationship between the domains 2 and 3 contrast and
achievement does not appear in other years (except for the "any following year" reading estimate). By contrast, the coefficients for the overall TES score remain fairly consistent. Table 7 reports the results of formal comparisons of the coefficients in Table 6. A teacher's overall TES score is most strongly associated with achievement gains for the students he taught during the year of the TES evaluation (i.e., 0.27 in math, 0.26 in reading). This stronger association need not be unexpected because of the reasons discussed earlier having to do with the correlation between contemporaneous measures of TES and student achievement growth particular to a classroom environment. In the remainder of this paper we generally focus, for reasons discussed earlier, on models that use TES scores measured in the $t+1$ year.
<Table 6 about here>
<Table 7 about here>
Our estimates of the coefficient on a teacher's overall TES average (the first principal component) are similar to estimates found by Jacob and Lefgren (2008) and others (for example Rockoff and Speroni (2009)). In a specification similar to our own, Jacob and Lefgren report that students assigned to teachers one standard deviation above the school mean of principal subjective ratings score 0.058 and 0.137 standard deviations higher in reading and math respectively. Our comparable estimate is the coefficient on the first principal component using student achievement in year $t$ (the middle column of Table 6). Normalizing those two coefficients (the standard deviation is 0.444 ) we find a teacher one standard deviation above the district mean is associated with 0.116 and 0.121 standard deviations of student growth in reading and math respectively. The similarity, especially in math, may lead some to question the need for the more detailed TES process-Jacob and Lefgren's principals provided subjective ratings in a survey. However, the TES program provides detail on the particular classroom practices that predict achievement. In other words, with TES data we know why one teacher scored higher than did another, and areas where a lower scoring teacher can begin thinking about making improvements to practice.

The TES measures used in Tables 5 and 6 are based on an average of all observations made by all evaluators during the year-long TES process. Table 8 explores how the results from Table 5 differ when we use TES measures based on selected observations and evaluators. With a few exceptions, the results are very similar under alternative combinations of the TES observation scores. The TES scores based on an average of all observations, however, show
some of the strongest TES-achievement growth relationships. ${ }^{18}$ For reading achievement using the formal TES scores assigned at the conclusion of the year produces results similar to the simple average of all observations, but with smaller point estimates. However in math the point estimates are much smaller and not statistically significant.
<Table 8 about here>
Cincinnati (and other districts) invest in a series of observations by peer evaluators and administrators. The results in Table 8 also provide information for thinking about the marginal benefit of those investments. First, different observations by the same lead teacher do provide slightly different information about a teacher, especially in math. In both math and reading, a teacher's overall TES score based on just the first observation results in a stronger relationship between the first TES principal component (the average TES score across the eight standards) and achievement growth than this relationship based on just the last observation. The coefficient in math falls from 0.16 to 0.09 but relatively less in reading from 0.20 to 0.15 . Meanwhile, the last lead teacher observation shows a stronger relationship between the "class environment"/"class practices" contrast and student achievement than does the first lead teacher evaluation. Second, the lead teacher's observations taken together (i.e., the specification labeled "Lead Teacher Average") appear to provide marginally more information on net for predicting student achievement than any single observation. This average captures information in the two TES measures which contrast practices (the second and third TES principal components) that was not predictive using only the first observation.

Third, in many evaluations systems there is skepticism about what administrator observations add to the evaluation process. By our estimates the TES scores based just on the administrator's observation(s) compare favorably in predicting student achievement. While this is true generally, the best comparison is probably the administrator's (only) observation compared to the lead teacher's own first observation. In that pair-wise comparison results are similar. ${ }^{19}$ Administrators, to whom Cincinnati provides substantial TES training, may come to conclusions not unlike the lead teachers given additional observations. Unfortunately the TES system does not provide an opportunity to test this hypothesis.

[^10]Our discussion to this point has pooled teachers at all our observed grade levels. Optimal teaching practices may not, however, be equivalent as students mature and curriculum progresses. In Table 9 we estimate our main results separately for elementary and middle grades (i.e., 3-5 and 6-8 in our sample). In math our overall TES measure predicts student achievement growth much more strongly in elementary grades ( 0.51 standard deviations) than in middle grades ( 0.08 standard deviations and not significant). In reading the coefficient on our overall TES measure is somewhat larger for middle grades ( 0.29 versus 0.19 ). The TES measure contrasting teaching through questioning and discussion and routinized standards and content focused teaching (the $3^{\text {rd }}$ principal component) is also even more predictive in middle grades when it comes to reading achievement. But the contrast of "class environment" and "class practices" (2 ${ }^{\text {nd }}$ principal component) is much more strongly associated with student achievement in elementary grades. It is unclear what drives these grade-level differences. The teaching practices valuable in elementary grades may not, as some would argue, be equally valuable in middle grades and visa versa. It is possible, however, that elementary and middle teachers in our sample differ on other unmeasured characteristics (e.g., experience if the district's hiring needs varied between grade levels over the study period).
<Table 9 about here>
Table 9 also reports our main estimates separately for teachers with zero to four years of experience, and five or more years of experience. Our estimates do suggest there may be differences related to teacher experience, though there are far fewer young teachers making the estimates much less precise.

We find these results encouraging first steps for the identification of classroom practices associated with increased growth in student achievement. However, the heterogeneity across subjects and grade levels, combined with the small samples that result when we stratify our data by grade level, suggest caution in extending these relationships to other subjects and to high school settings.

### 5.2 TES Growth

While a teacher's TES scores from a single year contain information valuable for predicting student achievement growth, we now turn to the question of changes over time in a
teacher's TES scores. A first order question is whether the TES scores of the same teacher do change over time, and the answer is that they do. In our data, which spans 2000-01 to 2008-09, 430 teachers participated in TES twice. On average teachers’ overall TES scores do increased by 0.30 points (s.d. $=0.45$ ) which is about two-thirds of a standard deviation. ${ }^{20}$

One potential mechanism for TES growth is that teachers become more skilled with experience on the job and TES is able to measure this growth. A growing literature suggests that a teacher's effectiveness-as measured by growth in student achievement-improves during the first few years in the classroom, but levels off after that (see Kane, Rockoff and Staiger (2006) for a review). We find somewhat of an association between TES and experience. Table 10 reports the mean and standard deviation of our overall TES measure by years of experience. In this pooled cross-sectional sample, the average TES score increases more from zero to three years of experience than after the third year. The difference between the mean rating at year three (3.21) is roughly three-quarters of a standard deviation higher than it is in year one (2.86) or, alternatively stated, one-third of the distance between "Proficient" and "Distinguished." The correlation between years of experience and TES score is 0.34 in years zero to three and 0.12 in years four plus. Table 10 also reports the average increase in a teacher's TES score from the first to the last observation within the school year. Again, within teachers and years growth is larger in the first three years of teaching than in the years that follow.
<Table 10 about here>
In addition to returns to experience, there is a second plausible explanation for temporal growth in TES scores. Over time teachers, individually and generally, have presumably become more familiar with the TES rubric and the behaviors and practices it advocates. Increased awareness of those behaviors could lead to growth in TES scores, either because the teachers invest in learning and adopting TES behaviors permanently or because teachers strategically demonstrate TES-like behaviors when under observation. ${ }^{21}$ The TES data alone cannot differentiate between these possibilities.

[^11]Cincinnati's data provide a limited opportunity to estimate the relationship between changes in a teacher's effect on student achievement over time and changes in the same teacher's TES scores over time. Empirically, if teachers do improve their practice, as measured by TES, we would expect a significant within teacher relationship between TES measures and student achievement. Put differently, for a teacher observed in two different school years, we would expect her class’ average achievement growth to be greater in the year her TES scores are higher (and visa versa). To estimate this within teacher relationship, we focus on teachers for whom we observe student achievement growth twice and TES scores twice. Unfortunately this sample is limited to just 29 reading teachers. ${ }^{22}$

Table 11 column B reports the within teacher relationship between our three TES measures and student achievement growth. The specifications for columns A and B follow Equation 4.4 but use student observations from the year of the teacher's TES evaluation; in the notation described in section 4, the vector of TES scores is $T E S_{j k t}$. Column A, which does not include a teacher fixed effect, is thus analogous to the column labeled "Same Year ( t )" in Table 5. We find, as reported in column A, somewhat different point estimates for this special sample. When we add a teacher fixed effect, column B, the coefficients loose statistical significance.

## <Table 11 about here>

Taken together these two results-(i) the relationship between TES scores and experience and (ii) the relationship between TES growth and teacher effects growth—suggest that moving a teacher one entire rubric level (e.g., from "Proficient" to "Distinguished") may be more difficult than simply reading the rubric's evaluative language would suggest. Our sample is, however, extremely limited. As the sample of teachers in Cincinnati's data and the data of other district's builds, we will be better equipped to address the question of growth over time.

### 5.3 Predicting Future Impact on Student Achievement

One motivation for a hybrid approach to teacher evaluation is that combining information from student achievement growth measures and classroom observation measures may provide better predictions of future teacher effectiveness than either would singly. Cincinnati's combined TES and student achievement data allow us an opportunity to test this hypothesis.

[^12]Table 12 reports the results of estimating Equation 4.6 (column B) and its alternatives (columns A \& C) using OLS. For both reading and math, the estimates in column A suggest a teacher's effectiveness in raising student achievement in the past alone is an unbiased predictor of that teacher's effectiveness in raising student achievement in the future. Since the estimates from pre-TES years have been "shrunk" to account for random sources of measurement error, we would expect a coefficient of one if there were no bias using those estimates as predictors. (For more on this test, see Kane and Staiger (2008)) In reading, the coefficient is essentially one.
<Table 12 about here>
Overall, our ability to predict future student achievement gains improves (as measured by comparing the adjusted R-squared in columns A and B). ${ }^{23}$ However, in math we now reject that prior achievement growth effects have a coefficient of one. The predicted contribution of TES to achievement growth itself, shown in column $D$ by including the TES measures as regressors alone, is a relatively weaker predictor of future teacher effectiveness in raising student achievement.

## 6. Conclusion

Our results provide some of the strongest evidence to date that classroom observations can capture elements of teaching that are related to student achievement. Our estimates show a positive and non-trivial relationship between TES scores and student achievement growth. Our main results from Table 5 indicate that moving from, say, an overall TES rating of "Basic" to "Proficient" or from "Proficient" to "Distinguished" is associated with student achievement gains of about one-sixth to one-fifth of a standard deviation. Though moving from "Proficient" to "Distinguished" on the TES scale may be more difficult than a casual reading of the rubric's evaluative language would suggest. Put another way, if one student started the year at the $50^{\text {th }}$ percentile in math and reading and had a teacher in the lowest quartile of the overall TES rating while a similar student had a teacher in the upper quartile of that rating, we would expect the

[^13]second student to be four percentile points ahead in math and five percentile points ahead in reading by the end of the year.

Relating observed classroom practices to achievement growth offers some insight regarding what types of classroom practices may be important in increasing student achievement. First, we show that a teacher's overall score is important. Our results predict that policies and programs that help a teacher get better on all eight "teaching practice" and "classroom environment" skills measured by TES will lead to student achievement gains. Second, even among those with the same average rating across all domains, helping teachers improve their "classroom environment" management (measured in TES domain 2) will likely also generate higher student achievement. Third, given two teachers who are equally adept at "routinized content and standards focused teaching," the teacher who adds pedagogy that utilizes "questioning and discussion" practices will generate higher reading achievement, but not higher math achievement. Teachers working to improve their practice should consider their current performance in these areas.

Yet while our results demonstrate relationships between practices measured in TES and student achievement growth, we cannot exclude relationships with practices not measured by TES nor do we intend to suggest that other TES measures should necessarily be discarded. First, it is unclear whether the relationships we observed would hold if the TES rubric elements, those in domains 1 and 4, were no longer measured or discussed. Second, a district may value outcomes for its teachers and students beyond growth in standardized test scores. This latter decision deserves serious discussion, but is beyond the scope of our analysis. Also, we urge caution in extrapolating these results beyond Cincinnati where the TES system has been developed and honed over a ten year period. Our estimated relationships between classroom practices and student achievement growth might not hold in districts that have less rigorous and less fully developed teacher evaluation systems.

Last, these results provide initial support for the notion that multiple alternative measures of teacher effectiveness may be more predictive of future student achievement effects than any single measure. This is true when classroom observation scores are brought into a model that previously only had student achievement measures. It is also true, perhaps more so, when student achievement measures are added to a model that only had classroom observation data. A teacher's past student achievement gains are a good predictor of future achievement gains, but
measuring classroom practice likely improves the prediction. Teachers or administrators considering their future prospects for success should be open to including both forms of measuring past effectiveness.

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## Appendix

TES Computation Tables for Assigning End of Year Domain Scores

| Domains 1 \& 2 |  | Domain 3 |  | Domain 4 |  |
| :---: | :---: | :---: | :---: | :---: | :---: |
| Total Standard Points | Rubric Score for the Domain | Total <br> Standard <br> Points | Rubric Score for the Domain | Total Standard Points | Rubric Score for the Domain |
| 3 | 1 | 5 | 1 | 4 | 1 |
| 4 | 1 | 6 | 1 | 5 | 1 |
| 5 | 2 | 7 | 1 | 6 | 1 |
| 6 | 2 | 8 | 2 | 7 | 2 |
| 7 | 2 | 9 | 2 | 8 | 2 |
| 8 | 3 | 10 | 2 | 9 | 2 |
| 9 | 3 | 11 | 2 | 10 | 2 |
| 10 | 3 | 12 | 2 | 11 | 3 |
| 11 | 4 | 13 | 2 | 12 | 3 |
| 12 | 4 | 14 | 3 | 13 | 3 |
|  |  | 15 | 3 | 14 | 3 |
|  |  | 16 | 3 | 15 | 4 |
|  |  | 17 | 3 | 16 | 4 |
|  |  | 18 | 4 |  |  |
|  |  | 19 | 4 |  |  |
|  |  | 20 | 4 |  |  |

Figure 1: TES Observer Rubric for Standard 3.2

|  |  | Distinguished <br> (4) | Proficient <br> (3) | Basic <br> (2) | Unsatisfactory <br> (1) |
| :---: | :---: | :---: | :---: | :---: | :---: |
| 3.2 <br> The teacher demonstrates content knowledge by using content specific Instructional strategies. | A. Instructional Strategies \& Content Knowledge | - Teacher routinely uses a broad range of multiple instructional strategies that are effective and appropriate to the content. - Teacher conveys accurate content knowledge, including standards-based content knowledge. | - Teacherusesinstructionalstrategies that <br> are effective and <br> appropriate to <br> the content. <br> - Teacher <br> conveys accurate <br> content <br> knowledge, <br> including <br> standards-based <br> content <br> knowledge. | - Teacher uses a limited range of instructional strategies that are effective and appropriate to the content. <br> - Teacher conveys some minor content inaccuracies that do not contribute to making the content incomprehensibl e to the students. | - Teacher uses instructional strategies that are ineffective and/or inappropriate to the content. <br> - Teacher conveys content inaccuracies that contributes to making the content incomprehensibl e to the students. |

Figure 2a：Distribution of TES Scores＊ Domain 2 Standards

＊Scores calculated as described in Section 3．1．Scores are not the formal TES program scores．

Figure 2b：Distribution of TES Scores＊ Domain 2 Standards


|  | Standard 3．1：mean 3．08，sd（0．47） |
| :---: | :---: |
|  | Standard 3．2：mean 3．19，sd（0．47） |
| ーーーー－ | Standard 3．3：mean 3．21，sd（0．47） |
|  | Standard 3．4：mean 3．04，sd（0．50） |
|  | Standard 3．5：mean 3．04，sd（0．51） |

＊Scores calculated as described in Section 3．1．Scores are not the formal TES program scores．

Figure 3a: Distribution of TES Principal Components Average All 8 Standards


Figure 3b: Distribution of TES Principal Components Average Domain 2 - Average Domain 3


Figure 3c: Distribution of TES Principal Components
Standard 3.4 - Average 2.2, 3.1 \& 3.2


Table 1: Testing Program 1999-00 through 2008-09

| (a) Reading |  |  |  |  |  |  |  |  |
| :--- | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | Grade Level |  |  |  |  |  |  |  |
|  | 2nd | 3rd | 4th | 5th | 6th | 7th | 8th |  |
| $1999-00$ | OGPT | OGPT | SPT | OGPT | SPT | OGPT | OGPT |  |
| $2000-01$ | OGPT | OGPT | SPT | OGPT | SPT | OGPT | OGPT |  |
| $2001-02$ | OGPT | OGPT | SPT |  | SPT | OGPT |  |  |
| $2002-03$ | OGPT | OGPT | SPT |  | SPT | OGPT |  |  |
| $2003-04$ |  | OAT | SPT |  | SPT |  |  |  |
| $2004-05$ |  | OAT | OAT | OAT | SPT |  | OAT |  |
| $2005-06$ |  | OAT | OAT | OAT | OAT | OAT | OAT |  |
| $2006-07$ |  | OAT | OAT | OAT | OAT | OAT | OAT |  |
| $2007-08$ |  | OAT | OAT | OAT | OAT | OAT | OAT |  |
| $2008-09$ |  | OAT | OAT | OAT | OAT | OAT | OAT |  |

(b) Math

Grade Level
1999-00
2000-01
2001-02
2002-03
2003-04
2004-05
2005-06
2006-07
2007-08
2008-09

Note: Tests listed are the Ohio State Proficiency Test (SPT) its companion Off Grade Proficiency Test (OGPT) and the replacement Ohio Achievement Test (OAT). Bolded cells indicate end of year outcome test score that can be matched with a baseline test score from the prior school year (or prior fall in the case of 3rd grade reading since 2004-05).

Table 3: Estimates of the Relationship Between Student Test Scores \& TES Standard Scores

|  | Math | Reading |
| :---: | :---: | :---: |
| Standard 2.1 | -0.142 | 0.003 |
|  | (0.153) | (0.098) |
| Standard 2.2 | 0.316*** | -0.040 |
|  | (0.096) | (0.075) |
| Standard 2.3 | 0.170* | 0.186* |
|  | (0.085) | (0.075) |
| Standard 3.1 | -0.080 | 0.041 |
|  | (0.088) | (0.087) |
| Standard 3.2 | 0.016 | -0.057 |
|  | (0.106) | (0.090) |
| Standard 3.3 | 0.002 | -0.123 |
|  | (0.143) | (0.112) |
| Standard 3.4 | -0.051 | 0.180* |
|  | (0.117) | (0.083) |
| Standard 3.5 | -0.059 | 0.029 |
|  | (0.136) | (0.105) |
| TES Year Fixed Effects | Y | Y |
| Teacher Experience Terms | Y | Y |
| Student-level Covariates | Y | Y |
| R-squared | 0.538 | 0.512 |
| Student Sample | 3,611 | 5,513 |
| Teacher Sample | 94 | 198 |

Note: Each column represents a separate student-level specification. Student achievement measured in the year just prior to the TES evaluation was completed. School years 2000-01 through 2008-09. Clustered (teacher) standard errors in parentheses. ${ }^{* * *} \mathrm{p}<0.001,{ }^{* *} \mathrm{p}<0.01,{ }^{*} \mathrm{p}<0.05,+\mathrm{p}<0.1$.

Table 4: Results of Difference in Means t-tests on TES Scores for High and Low Value Added Teachers by TES Standard

|  | TES Standards |  |  |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | 2.1 | 2.2 | 2.3 | 3.1 | 3.2 | 3.3 | 3.4 | 3.5 |
| Math VA |  |  |  |  |  |  |  |  |
| Lowest quartile VA vs Upper quartile | X | X | X | X | x | X | x | X |
| 2nd quartile VA vs Upper quartile | X | x | x | x | x | x | $\sim$ | x |
| Reading VA |  |  |  |  |  |  |  |  |
| Lowest quartile VA vs Upper quartile | X | X | x | X | X | X | X | x |
| 2nd quartile VA vs Upper quartile | X | X | X | X | X | X | X | x |
| $x=$ significant at 0.05 level |  |  |  |  |  |  |  |  |
| $\sim$ = significant at 0.10 level |  |  |  |  |  |  |  |  |

## Table 5: Estimates of the Relationship Between Student Test Scores \& TES Score Principal Components

|  | Math | Reading |
| :---: | :---: | :---: |
| Average All 8 Standards | 0.171* | 0.212*** |
|  | (0.071) | (0.052) |
| Average Domain 2 - Average Domain 3 | 0.249** | 0.147* |
|  | (0.086) | (0.066) |
| Standard 3.4-(Average 2.2, 3.1 \& 3.2) | -0.050 | 0.150* |
|  | (0.102) | (0.068) |
| TES Year Fixed Effects | Y | Y |
| Teacher Experience Terms | Y | Y |
| Student-level Covariates | Y | Y |
| R-squared | 0.530 | 0.506 |
| Student Sample | 3,791 | 5,739 |
| Teacher Sample | 100 | 206 |

Note: Each column represents a separate student-level specification. Student achievement measured in the year just prior to the TES evaluation was completed. School years 2000-01 through 2008-09. Clustered (teacher) standard errors in parentheses. ***p<0.001, **p<0.01, *p<0.05, +p<0.1.

Table 6: Estimates of the Relationship Between Student Test Scores in Varying Years \& TES Scores

|  | Matheacher's TES Score Observed in: |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: |
|  | Any Previous Year (t-n) | Previous <br> Year (t-1) | $\begin{gathered} \text { Same Year } \\ (\mathrm{t}) \end{gathered}$ | Following <br> Year (t+1) | Any Following Year ( $\mathrm{t}+\mathrm{n}$ ) |
| Average All 8 Standards | $0.207^{* * *}$ | 0.246*** | $0.272^{* * *}$ | 0.171* | 0.192*** |
|  | (0.059) | (0.061) | (0.062) | (0.071) | (0.043) |
| Average Domain 2 - Average Domain 3 | 0.067 | 0.126 | 0.047 | 0.249** | -0.016 |
|  | (0.067) | (0.083) | (0.100) | (0.086) | (0.061) |
| Standard 3.4-(Average 2.2, 3.1 \& 3.2) | -0.061 | -0.124 | 0.001 | -0.050 | -0.043 |
|  | (0.068) | (0.087) | (0.085) | (0.102) | (0.064) |
| TES Year Fixed Effects | Y | Y | Y | Y | Y |
| Teacher Experience Terms | Y | Y | Y | Y | Y |
| Student-level Covariates | Y | Y | Y | Y | Y |
| R -squared | 0.530 | 0.543 | 0.570 | 0.530 | 0.494 |
| Student Sample | 15,676 | 5,836 | 6,086 | 3,791 | 15,251 |
| Teacher Sample | 168 | 122 | 156 | 100 | 306 |
|  |  | ReadingTeacher's TES Score Observed in: |  |  |  |
|  | Any Previous Year (t-n) | Previous <br> Year (t-1) | $\begin{gathered} \text { Same Year } \\ (\mathrm{t}) \end{gathered}$ | Following <br> Year (t+1) | Any Following Year ( $\mathrm{t}+\mathrm{n}$ ) |
| Average All 8 Standards | 0.180*** | 0.204** | 0.261*** | $0.212^{* * *}$ | 0.200*** |
|  | (0.046) | (0.066) | (0.047) | (0.052) | (0.032) |
| Average Domain 2 - Average Domain 3 | 0.032 | 0.002 | 0.063 | 0.147* | 0.080+ |
|  | (0.059) | (0.067) | (0.061) | (0.066) | (0.046) |
| Standard 3.4 - (Average 2.2, 3.1 \& 3.2) | 0.099* | 0.001 | 0.063 | 0.150* | 0.110* |
|  | (0.048) | (0.065) | (0.058) | (0.068) | (0.043) |
| TES Year Fixed Effects | Y | Y | Y | Y | Y |
| Teacher Experience Terms | Y | Y | Y | Y | Y |
| Student-level Covariates | Y | Y | Y | Y | Y |
| R -squared | 0.545 | 0.558 | 0.551 | 0.506 | 0.490 |
| Student Sample | 17,375 | 6,136 | 7,522 | 5,739 | 19,393 |
| Teacher Sample | 278 | 191 | 257 | 206 | 395 |

Note: Each column represents a separate student-level specification. School years 2000-01 through 2008-09. Clustered (teacher) standard errors in parentheses. ${ }^{* * * p<0.001, ~}{ }^{* *} \mathrm{p}<0.01,{ }^{*} \mathrm{p}<0.05,+\mathrm{p}<0.1$.

Table 7: Difference in Coefficients Under Alternative Specifications of When TES Scores Were Observed (Test of Equality p-value in Parentheses)

|  | Math |  |  | Coefficient Compared to "Following Year (t+1)" |
| :---: | :---: | :---: | :---: | :---: |
|  | Any <br> Previous <br> Year (t-n) | Previous <br> Year (t-1) | Same Year <br> (t) | Any Following Year (t+n) |
| Average All 8 Standards | $\begin{gathered} -0.036 \\ (0.687) \end{gathered}$ | $\begin{gathered} -0.075 \\ (0.398) \end{gathered}$ | $\begin{gathered} -0.101 \\ (0.189) \end{gathered}$ | $\begin{gathered} -0.021 \\ (0.734) \end{gathered}$ |
| Average Domain 2 - Average Domain 3 | $\begin{aligned} & \text { 0.181+ } \\ & (0.071) \end{aligned}$ | $\begin{gathered} 0.123 \\ (0.252) \end{gathered}$ | $\begin{gathered} 0.202+ \\ (0.090) \end{gathered}$ | $\begin{aligned} & 0.264^{* *} \\ & (0.002) \end{aligned}$ |
| Standard 3.4-(Average 2.2, 3.1 \& 3.2) | $\begin{gathered} 0.011 \\ (0.917) \end{gathered}$ | $\begin{gathered} 0.074 \\ (0.481) \end{gathered}$ | $\begin{aligned} & -0.051 \\ & (0.648) \end{aligned}$ | $\begin{gathered} -0.007 \\ (0.934) \end{gathered}$ |
|  | Reading <br> Coefficient Compared to "Following Year (t+1)" |  |  |  |
|  | Any <br> Previous <br> Year (t-n) | Previous <br> Year (t-1) | Same Year (t) | Any Following Year (t+n) |
| Average All 8 Standards | 0.032 | 0.008 | -0.049 | 0.012 |
|  | (0.619) | (0.912) | (0.439) | (0.772) |
| Average Domain 2 - Average Domain 3 | 0.115 | 0.145+ | 0.084 | 0.068 |
|  | (0.156) | (0.098) | (0.304) | (0.221) |
| Standard 3.4-(Average 2.2, 3.1 \& 3.2) | 0.051 | 0.149* | 0.087 | 0.040 |
|  | (0.464) | (0.045) | (0.212) | (0.442) |

Note: Each cell reports the difference between coefficients from two specifications: (i) using TES scores from the "Following Year ( $\mathrm{t}+1$ )" minus (ii) using TES scores from the year(s) noted in the column heading. The $p$-value from a test of equality of coefficients is reported in parentheses. ${ }^{* * *} p<0.001, * * p<0.01$, * $\mathrm{p}<0.05,+\mathrm{p}<0.1$.

Table 8: Student Test Scores \& Different TES Observation Combinations

|  | Math |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | All Observations | Formal Scores | Lead Teacher Average | First Lead Teacher | Last Lead Teacher | Administrator Observation |
| Average All 8 Standards | $\begin{aligned} & 0.171^{*} \\ & (0.071) \end{aligned}$ | $\begin{gathered} 0.082 \\ (0.056) \end{gathered}$ | $\begin{gathered} 0.143^{*} \\ (0.062) \end{gathered}$ | $\begin{aligned} & 0.155^{* *} \\ & (0.060) \end{aligned}$ | $\begin{gathered} 0.088 \\ (0.059) \end{gathered}$ | $\begin{aligned} & 0.248^{* * *} \\ & (0.063) \end{aligned}$ |
| Average Domain 2 - Average Domain 3 | $\begin{gathered} 0.249 * * \\ (0.086) \end{gathered}$ | $\begin{gathered} 0.070 \\ (0.044) \end{gathered}$ | $\begin{aligned} & 0.254^{* * *} \\ & (0.072) \end{aligned}$ | $\begin{gathered} 0.051 \\ (0.062) \end{gathered}$ | $\begin{aligned} & 0.231 * * \\ & (0.074) \end{aligned}$ | $\begin{gathered} 0.143^{*} \\ (0.063) \end{gathered}$ |
| Standard 3.4 - (Average 2.2, 3.1 \& 3.2) | $\begin{gathered} -0.050 \\ (0.102) \end{gathered}$ | $\begin{aligned} & -0.026 \\ & (0.050) \end{aligned}$ | $\begin{gathered} -0.038 \\ (0.077) \end{gathered}$ | $\begin{gathered} -0.071 \\ (0.051) \end{gathered}$ | $\begin{gathered} -0.012 \\ (0.068) \end{gathered}$ | $\begin{aligned} & -0.052 \\ & (0.052) \end{aligned}$ |
| TES Year Fixed Effects Teacher Experience Terms Student-level Covariates | $\begin{aligned} & Y \\ & Y \\ & Y \end{aligned}$ | Y | $\begin{aligned} & Y \\ & Y \\ & Y \end{aligned}$ | $\begin{aligned} & Y \\ & Y \\ & Y \end{aligned}$ | $\begin{aligned} & \mathrm{N} \\ & \mathrm{Y} \\ & \mathrm{~N} \end{aligned}$ | $\begin{aligned} & Y \\ & Y \\ & Y \end{aligned}$ |
| R-squared Student Sample Teacher Sample | $\begin{gathered} 0.530 \\ 3,791 \\ 100 \end{gathered}$ | $\begin{gathered} 0.526 \\ 3,791 \\ 100 \end{gathered}$ | $\begin{gathered} 0.530 \\ 3,791 \\ 100 \end{gathered}$ | $\begin{gathered} 0.528 \\ 3,791 \\ 100 \end{gathered}$ | $\begin{gathered} 0.528 \\ 3,791 \\ 100 \end{gathered}$ | $\begin{gathered} 0.559 \\ 2,920 \\ 76 \end{gathered}$ |
|  | All Observations | Formal Scores | Lead Teacher Average | ng <br> First Lead Teacher | Last Lead Teacher | Administrator Observation |
| Average All 8 Standards | $\begin{aligned} & 0.212^{\star * *} \\ & (0.052) \end{aligned}$ | $\begin{aligned} & 0.177^{* * *} \\ & (0.042) \end{aligned}$ | $\begin{aligned} & 0.184^{* * *} \\ & (0.050) \end{aligned}$ | $\begin{aligned} & 0.200^{* * *} \\ & (0.049) \end{aligned}$ | $\begin{aligned} & 0.152^{\star * *} \\ & (0.046) \end{aligned}$ | $\begin{aligned} & 0.222^{\star * *} \\ & (0.056) \end{aligned}$ |
| Average Domain 2 - Average Domain 3 | $\begin{gathered} 0.147^{*} \\ (0.066) \end{gathered}$ | $\begin{aligned} & 0.107 * * \\ & (0.038) \end{aligned}$ | $\begin{gathered} 0.133^{*} \\ (0.057) \end{gathered}$ | $\begin{gathered} 0.058 \\ (0.042) \end{gathered}$ | $\begin{gathered} \text { 0.098+ } \\ (0.054) \end{gathered}$ | $\begin{gathered} 0.077 \\ (0.058) \end{gathered}$ |
| Standard 3.4 - (Average 2.2, 3.1 \& 3.2) | $\begin{gathered} 0.150^{*} \\ (0.068) \end{gathered}$ | $\begin{aligned} & 0.125^{* *} \\ & (0.043) \end{aligned}$ | $\begin{aligned} & 0.164^{\star *} \\ & (0.060) \end{aligned}$ | $\begin{gathered} 0.010 \\ (0.040) \end{gathered}$ | $\begin{gathered} 0.096^{*} \\ (0.047) \end{gathered}$ | $\begin{gathered} -0.041 \\ (0.052) \end{gathered}$ |


| TES Year Fixed Effects | Y | Y | Y | Y | Y |  |
| :--- | :---: | :---: | :---: | :---: | :---: | :---: |
| Teacher Experience Terms | Y | Y | Y | Y | Y |  |
| Student-level Covariates | Y | Y | Y | Y | Y |  |
|  |  |  |  |  | Y |  |
| R-squared | 0.506 | 0.506 | 0.506 | 0.504 | 0.504 | 0.512 |
| Student Sample | 5,739 | 5,739 | 5,739 | 5,739 | 5,739 | 4,147 |
| Teacher Sample | 206 | 206 | 206 | 206 | 206 | 153 |

Note: Each column represents a separate student-level specification. Student achievement measured in the year just prior to the TES evaluation was completed. School years 2000-01 through 2008-09. Clustered (teacher) standard errors in parentheses. ***p<0.001, **p<0.01, *p<0.05, $+\mathrm{p}<0.1$.

Table 9: Estimates of the Relationship Between Student Test Scores \& TES Scores by Grade Level, Teacher Experience, and Score

|  |  |  |  |  |  |  |
| :--- | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  |  |  | Math |  | Overall |
| TES |  |  |  |  |  |  |


| Average Domain 2 - Average |  |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| Domain 3 | $\begin{aligned} & 0.147 * \\ & (0.066) \end{aligned}$ | $\begin{gathered} 0.208^{* * *} \\ (0.059) \end{gathered}$ | $\begin{aligned} & -0.073 \\ & (0.170) \end{aligned}$ | $\begin{gathered} 0.305+ \\ (0.169) \end{gathered}$ | $\begin{gathered} 0.077 \\ (0.068) \end{gathered}$ | $\begin{gathered} 0.131+ \\ (0.068) \end{gathered}$ |
| Standard 3.4-(Average 2.2, 3.1 \& |  |  |  |  |  |  |
| 3.2) | 0.150* | 0.107 | 0.214+ | 0.075 | 0.179* | 0.152* |
|  | (0.068) | (0.086) | (0.120) | (0.227) | (0.073) | (0.067) |
| TES Year Fixed Effects | Y | Y | Y | Y | Y | Y |
| Teacher Experience Terms | Y | Y | Y | Y | Y | Y |
| Student-level Covariates | Y | Y | Y | Y | Y | Y |
| R-squared | 0.506 | 0.508 | 0.510 | 0.517 | 0.516 | 0.505 |
| Student Sample | 5,739 | 3,832 | 1,882 | 968 | 4,771 | 5,572 |
| Teacher Sample | 206 | 165 | 49 | 50 | 156 | 200 |

Note: Each column represents a separate student-level specification. Student achievement measured in the year just prior to the TES evaluation was completed. School years 2000-01 through 2008-09. Clustered (teacher) standard errors in parentheses.
*** $p<0.001$, ** $p<0.01, * p<0.05,+p<0.1$.

## Table 10: TES Scores \& Teaching Experience at the Time of TES Participation

|  | All Teachers |  |  |
| :--- | :---: | :---: | :---: |
|  |  |  |  |
|  | Change from <br> Average of All <br> Observations | First to Last <br> Observation | $\mathrm{N}=$ |
| 0 |  |  |  |
| 0 years experience (first year) | 2.86 | 0.29 | 187 |
|  | $(0.387)$ | $(0.482)$ |  |
| 1 year experience | 2.99 | 0.30 | 73 |
|  | $(0.479)$ | $(0.379)$ |  |
| 2 years experience | 3.07 | 0.23 | 87 |
| 3 years experience | $(0.423)$ | $(0.415)$ |  |
|  | 3.21 | 0.17 | 259 |
| 4 years experience | $(0.397)$ | $(0.395)$ |  |
| 5 to 9 years experience | 3.19 | 0.17 | 117 |
|  | $(0.423)$ | $(0.440)$ |  |
| 10 or more years experience | 3.24 | 0.20 | 367 |
|  | $(0.404)$ | $(0.424)$ |  |
|  | 3.31 | 0.19 | 834 |
|  | $(0.446)$ | $(0.394)$ |  |
|  |  |  |  |

Note: Pooled cross-sections of teachers evaluated by TES from 2000-01 through 2008-09.

Table 11: Within Teacher Differences in TES Scores \& Student Achievement Overtime

|  | Reading |  |
| :--- | :---: | :---: |
|  | $(\mathrm{A})$ | $(\mathrm{B})$ |
|  |  |  |
| Average All 8 Standards | $0.147^{*}$ | 0.122 |
|  | $(0.059)$ | $(0.075)$ |
| Average Domain 2 - Average Domain 3 | $0.207^{* *}$ | 0.027 |
|  | $(0.072)$ | $(0.159)$ |
| Standard 3.4 - (Average 2.2, 3.1 \& 3.2) | 0.116 | 0.225 |
|  | $(0.078)$ | $(0.151)$ |
|  |  |  |
| Teacher Fixed Effects | N | Y |
| TES Year Fixed Effects | Y | Y |
| Student-level Covariates | Y | Y |
|  |  |  |
| Adj R-squared | 0.559 | 0.568 |
| Student Sample | 1,764 | 1,764 |
| Teacher Sample | 29 | 29 |
| Note: Each column represents a separate student-level specification. |  |  |
| Student achievement measured in the same year the TES evaluation was |  |  |
| completed. Clustered (teacher) standard errors in parentheses. ***p<0.001, |  |  |
| **p<0.01, *p<0.05, +p<0.1. |  |  |

Table 12: Predicting Future Teacher Effects Using Prior Estimates of Teacher Effects and TES Scores, Grades 3-5

|  | Math |  |  | Reading |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | (A) | (B) | (C) | (A) | (B) | (C) |
| Teacher Effect Estimate in Pre-TES Years | $\begin{aligned} & 1.097+ \\ & (0.605) \end{aligned}$ | $\begin{gathered} 1.962^{* * *} \\ (0.424) \end{gathered}$ |  | $\begin{gathered} 1.073^{\star * *} \\ (0.198) \end{gathered}$ | $\begin{gathered} 1.126^{* * *} \\ (0.168) \end{gathered}$ |  |
| Average All 8 Standards |  | $\begin{gathered} 0.643^{* * *} \\ (0.132) \end{gathered}$ | $\begin{aligned} & 0.456^{*} \\ & (0.192) \end{aligned}$ |  | $\begin{gathered} 0.269 * * * \\ (0.076) \end{gathered}$ | $\begin{aligned} & 0.229 * * \\ & (0.088) \end{aligned}$ |
| Average Domain 2 - Average Domain 3 |  | $\begin{gathered} -0.185 \\ (0.115) \end{gathered}$ | $\begin{gathered} -0.070 \\ (0.135) \end{gathered}$ |  | $\begin{gathered} -0.078 \\ (0.061) \end{gathered}$ | $\begin{aligned} & -0.062 \\ & (0.073) \end{aligned}$ |
| Standard 3.4-(Average 2.2, 3.1 \& 3.2) |  | $\begin{gathered} -0.144 \\ (0.180) \end{gathered}$ | $\begin{aligned} & -0.283 \\ & (0.306) \end{aligned}$ |  | $\begin{gathered} -0.066 \\ (0.093) \end{gathered}$ | $\begin{aligned} & -0.030 \\ & (0.114) \end{aligned}$ |
| TES Year Fixed Effects | N | Y | Y | N | Y | Y |
| Teacher Experience Terms | N | Y | Y | N | Y | Y |
| Student-level Covariates | Y | Y | Y | Y | Y | Y |
| Adj R-squared | 0.593 | 0.615 | 0.594 | 0.530 | 0.536 | 0.522 |
| Student Sample | 1,327 | 1,327 | 1,327 | 2,368 | 2,368 | 2,368 |
| Teacher Sample | 33 | 33 | 33 | 93 | 93 | 93 |

Note: Each column represents a separate student-level specification. Clustered (teacher) standard errors in parentheses.
*** $p<0.001, * * p<0.01,{ }^{*} p<0.05,+p<0.1$.


[^0]:    ${ }^{1}$ The complete TES rubric is available on the Cincinnati Public Schools website: http://www.cpsk12.org/employment/tchreval/stndsrubrics.pdf.
    ${ }^{2}$ The final domain scores are computed using the computational table found in the Appendix.

[^1]:    ${ }^{3}$ In a few cases teachers were observed more than once by their administrator. In these cases we used the average of the administrator observations.

[^2]:    ${ }^{4}$ We focus on these domains in part because it is in these domains where actual classroom observations of teaching take place, and also because we have the most complete data in these domains. In analysis not presented here we show that the scores in domains 2 and 3 are highly correlated with the scores in domains 1 and 4 .
    ${ }^{5}$ These estimates are based on the simple average the $y_{\text {so }}$ scores in domains 2 and 3 -the focus of our analysis in this paper-but the estimates very similar using the average across all domains, and for subsamples of teachers and evaluators.
    ${ }^{6}$ The main difference between versions was the way in which the behaviors and practices were grouped into elements, standards, and domains. We restructured data from versions one and two to match the grouping structure of the current version .For example, standard 3.1 in the current rubric is a combination of standards 3.1and 1.2 in the previous version.

[^3]:    ${ }^{7}$ Cincinnati's historical class schedule data retain each student's last class assignment for each course each year. This structure does not allow us to identify students who had more than one teacher or class during the year (or semester). Thus, for example, if a student originally enrolled in Mr. Smith’s Pre-algebra class, but later transferred to Ms. Jones Pre-algebra class the available data record Ms. Jones and the appropriate section number.
    ${ }^{8}$ Infrequently a student's record indicates one teacher and class for reading, and a different teacher or class for other English language arts subjects (e.g., spelling, writing). In such cases we use the reading teacher given the test content. Students for whom we could not identify a class were almost always missing from the class schedule data entirely, or, much less frequently, did not have a class listed in the specific subject.

[^4]:    ${ }^{9}$ In theory option (ii) and (iii) could be done with two different classes taught in the same school year, but the TES data do not allow us to pursue this approach.
    ${ }^{10}$ To see why consider an example of two classes, class A and class B, in which an evaluator is measuring TES standard 3.4: "The teacher engages students in discourse and uses thought-provoking questions aligned with the lesson objectives to explore and extend content knowledge." Assume for this example that the teachers in those two classes have identical $\Lambda \mathrm{s}$. Class A is a representative sample of the school's students, but class B is composed of students who are unusually socially cohesive. Even in this case where the teachers in both classes have identical underlying teaching skills, class B may be more likely to exhibit to an observer the ideal described in standard 3.4. Thus the characteristics of class B introduce error in our attempt to measure a teacher's true ability to use questions and foster conversation across all classes he taught that school year. Additionally, the same unusual social cohesion in class B's may also result in positive peer effects that raise achievement independently of the teacher's contribution.

[^5]:    ${ }^{11}$ The correlations between the eight standards range between 0.619 and 0.813 .

[^6]:    ${ }^{12}$ The sample of teachers available for this strand of analysis is more limited. First, we include only teachers for whom we observe classes (with test scores) in years both before and after the year the teacher participated in TES. This restriction ensures we have a simulated "past" and "future." Second, we focus on teachers in elementary grades (i.e., $3^{\text {rd }}$ through $5^{\text {th }}$ ) and the 2003-04 through 2008-09 school years. This second restriction is necessary for reliable identification of classes of students which is important to our strategy for estimating a teacher's past effect.

[^7]:    ${ }^{13}$ In this construction a teacher's value added score was estimated using student achievement in the years prior to the TES evaluation year.
    ${ }^{14}$ We also note that in all instances except for one the difference in mean scores between the top and bottom quartiles is larger than the difference between the top and second quartiles, as would be expected if there were real teaching differences along the distribution of value added and if the TES evaluators were observing and scoring these differences.

[^8]:    ${ }^{15}$ Table 4 is not based on a random assignment design and this raises the specter of evaluators assigning TES scores based on reputation rather than observed performance. While certain CPS teachers may have reputations of being "good" or "struggling" teachers and CPS evaluators may be aware of these reputations, it is highly unlikely that evaluators know where in the "value-added" distribution are the teachers they happen to be observing.
    ${ }^{16}$ When we restrict the sample to teachers for whom we observe classes in all time periods, the results are similar but most similar for the first overall TES measure.

[^9]:    ${ }^{17}$ We thank Ron Ferguson for his very helpful insights on these interpretations and this section is largely the product of discussions and correspondence with him on this topic.

[^10]:    ${ }^{18}$ Lead teachers provide all but one of the observations, thus, not surprisingly, and average of lead teacher observations produces results very similar to the average of all observations.
    ${ }^{19}$ Not all teachers were observed and scored by an administrator. When we restrict the sample to just teachers with an administrator's observation the results are similar to those reported.

[^11]:    ${ }^{20}$ While the amount of time between TES evaluations varied from one to seven years (with an overwhelming mode of three years) the average change was fairly constant no matter the intervening time period.
    ${ }^{21}$ Average TES scores may also increase over time if teachers who perform poorly in TES systematically leave the district. This is a distinct possibility. Our data do not provide reliable information when a teacher left the district so we cannot test this hypothesis directly. In a separate qualitative research project our colleagues report that between 2002-03 and 2007-08 Cincinnati did not renew contracts for six novice teachers following their TES evaluations. Over the same period 18 veteran teachers were dismissed (Johnson, Fiarman, Munger, Papay and Qazilbash (2009)).

[^12]:    ${ }^{22}$ We also have nine math teachers who fit these criteria, but concluded the sample was to limited for even preliminary analysis.

[^13]:    ${ }^{23}$ The change is small in percentage terms in large part because our specification includes controls for prior student achievement. Those predictors explain substantial variation in student achievement. When we estimate Equation 4.6 without any TES predictors or prior teacher effects predictors the Adjusted R-Squared for math is 0.585 and for reading is 0.517 .

