

Identifying recurrent mutations in cancer reveals widespread lineage diversity and mutational specificity

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Mutational hotspots indicate selective pressure across a population of tumor samples, but their prevalence within and across cancer types is incompletely characterized. An approach to detect significantly mutated residues, rather than methods that identify recurrently mutated genes, may uncover new biologically and therapeutically relevant driver mutations. Here, we developed a statistical algorithm to identify recurrently mutated residues in tumor samples. We applied the algorithm to 11,119 human tumors, spanning 41 cancer types, and identified 470 somatic substitution hotspots in 275 genes. We find that half of all human tumors possess one or more mutational hotspots with widespread lineage-, position- and mutant allele-specific differences, many of which are likely functional. In total, 243 hotspots were novel and appeared to affect a broad spectrum of molecular function, including hotspots at paralogous residues of Ras-related small GTPases *RAC1* and *RRAS2*. Redefining hotspots at mutant amino acid resolution will help elucidate the allele-specific differences in their function and could have important therapeutic implications.

Among the best-studied therapeutic targets in human cancers are proteins encoded by genes with tumor-specific mutational hotspots, such as *KRAS*, *NRAS*, *BRAF*, *KIT* and *EGFR*. The acquisition of somatic mutations is one of the major mechanisms responsible for the dysregulation of proliferation, invasion and apoptosis, which is required for oncogenesis. Comprehensive genomic characterization

of tumors has produced valuable insights into the somatic aberrations that define individual cancer types^{1,2}, broadening our understanding of the dysfunctional molecular pathways that govern tumor initiation, progression and maintenance. These data have spurred the development of computational algorithms to identify cancer driver genes, defined as those in which molecular abnormalities lead to a fitness advantage for the affected cancer cells.

These computational approaches develop either gene-level statistical models that exploit different mutational patterns³⁻⁶ to identify significantly mutated genes or use weight-of-evidence-based methods^{1,7} that are heuristic and ratiometric in approach. Together, these methods focus on identifying cancer genes from a multitude of diverse molecular abnormalities affecting the gene. However, not all genomic alterations in cancer genes are driver alterations. Furthermore, not all driver alterations in a cancer gene have the same functional impact, and are therefore likely to have varying clinical meaning. The potentially diverse functional effects of different lesions in the same gene are not captured and reported by gene-level models, but are rather assumed to be equivalent. However, emerging data indicate that different hotspot mutations in the same cancer gene can be functionally distinct *in vitro* and *in vivo* and display different clinical phenotypes and drug sensitivity⁸⁻¹¹. Moreover, it is unknown how widespread such hotspot-specific functional differences may be.

To date, studies of hotspot mutations in cancer have been limited to within individual tumor types¹²⁻¹⁴ or have focused on individual cancer genes across tumor types¹⁵. A systematic population-scale, cross-cancer, genome-wide analysis of mutational hotspots has not been performed and the extent to which mutant allele- and lineage-specific effects exist remains unknown. As broad-based clinical sequencing has begun to inform the care of individual cancer patients, this would begin to address one of the greatest challenges in the practice of genomically driven cancer medicine: interpreting the biological and clinical importance of mutations in even presumed actionable cancer genes as they arise in oncology clinics.

To address this challenge, we develop a computational algorithm to identify driver mutations, rather than driver genes. We assembled and rigorously curated a large repository of cancer genome data consisting of the sequenced tumor exomes and whole genomes of 11,119 human tumors representing 41 tumor types. We developed a biologically aware, statistically principled computational model by combining observed biological phenomena such as nucleotide mutability and varying gene-specific mutation rates into coefficients

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canonical oncogenes (**Supplementary Fig. 4**), indicating that levels of expression are often not correlated with the biological importance of known activating mutations.

Table 1 Select new hotspots in cancer genes

Pathway/symbol	Codon	q-value	No. of affected tumors	No. of tumor types
Signaling effectors				
<i>KRAS</i>	GQ60	2.28×10^{-6}	11	7
<i>PIK3CA</i>	Y1021	3.18×10^{-6}	9	6
	C378	0.0018	6	5
	N1044	0.0008	6	3
<i>PIK3CB</i>	D1067	0.0068	5	5
<i>PIK3R1</i>	K567	0.0002	5	4
<i>PTEN</i> ^a	C136	2.27×10^{-5}	9	5
<i>RAC1</i>	A159	2.27×10^{-6}	10	5
<i>RRAS2</i>	Q72	8.00×10^{-15}	9	6
<i>GNAQ</i>	T96	7.04×10^{-8}	7	5
<i>ERBB3</i>	M60	0.0083	4	4
<i>MAPK7</i>	A501	9.50×10^{-6}	6	4
<i>PTPN11</i> ^a	Q510	1.84×10^{-6}	7	4
<i>PTN</i>	K44	1.46×10^{-5}	7	4
<i>ARHGAP28</i>	L259	0.0061	5	3
Cell cycle				
<i>CDK4</i> ^a	K22	0.0008	4	2
<i>CCND1</i>	Y44	3.48×10^{-7}	7	2
<i>CDKN2A</i>	E88	4.24×10^{-5}	15	5
	L130	0.007	6	3
Transcription factors				
<i>NFE2L2</i>	E82	1.60×10^{-13}	11	7
	T80	1.96×10^{-10}	9	7
	Q26	9.26×10^{-8}	7	5
	G81	1.34×10^{-9}	10	7
	L30	4.52×10^{-6}	8	5
	G31	0.0001	8	5
	R34	0.0001	13	6
<i>MEF2A</i>	P99	2.91×10^{-5}	7	6
	Y105	0.0061	4	4
<i>MYC</i>	S146	0.0046	6	4
<i>MAX</i>	R60	0.0006	9	6
	H28	0.004	4	1
<i>FOXA1</i>	I176	0.0001	7	2
Epigenetic modifiers				
<i>ARID1A</i>	R1989	2.45×10^{-8}	17	5
	R1335	0.0062	9	6
<i>ING1</i>	R196	1.06×10^{-6}	11	5
<i>EP300</i>	H1451	0.008	4	4
<i>HIST1H3C</i>	K37	0.0008	5	2
<i>SMARCA4</i>	G1232	0.0006	9	6
DNA damage				
<i>ATM</i>	N2875	4.66×10^{-5}	6	4
RNA splicing				
<i>SF3B1</i>	N626	2.06×10^{-5}	6	4
	D894	0.009	5	4
<i>U2AF1</i>	I24	0.0002	4	4
Wnt pathway				
<i>CTNNB1</i>	H36	0.0001	6	2
Nuclear transport				
<i>NUP93</i>	E14	1.59×10^{-10}	11	6
	Q15	0.0082	4	2
TGF beta signaling				
<i>SMAD2</i>	S464	1.19×10^{-7}	11	5
<i>SMAD4</i>	D351	0.0003	8	6
<i>SMAD4</i>	D537	0.0033	9	3
<i>TGFBR2</i>	R528	0.0013	10	5

A subset of newly identified hotspots are shown, a complete listing is available in **Supplementary Table 2**.

^aAlthough previously identified in the germline of patients with associated syndromes and familial cancers, this is the first documentation of somatic mutations.

The patterns by which some hotspots emerge support new clinical paradigms for testing targeted agents. Some hotspots that dominate the mutational landscape in one or a few cancer types also arise as uncommon subsets of many others. For instance, *IDH1* R132 is most common in low-grade gliomas, glioblastomas, acute myeloid leukemias (AMLs) and cutaneous melanomas; but it is also present in 1 to 6 tumors in each of 11 additional cancer types. *AKT1* E17K arises in greatest numbers in breast cancer, but also in 1 to 3 tumors of 10 additional cancer types. The distribution of *CREBBP* R1446 mutations is qualitatively different. They were originally identified in relapsed acute lymphoblastic leukemias¹⁷, but in this cohort of mostly primary disease, we find that they arise in only a small minority (1–3; 0.17–1.7%) of many (11) cancer types. Such patterns reaffirm the value of basket study designs that test mutation-specific inhibitors in early-phase clinical trials, where enrollment is based on specific mutations in patients instead of tissue of origin.

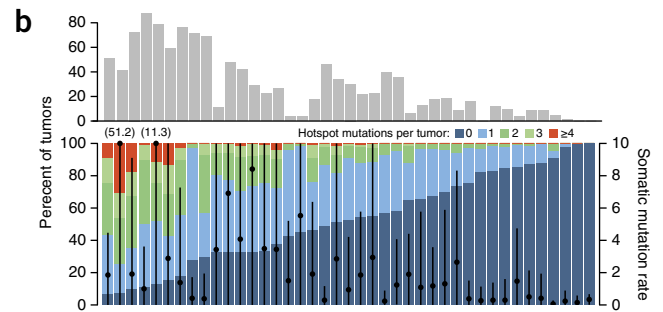
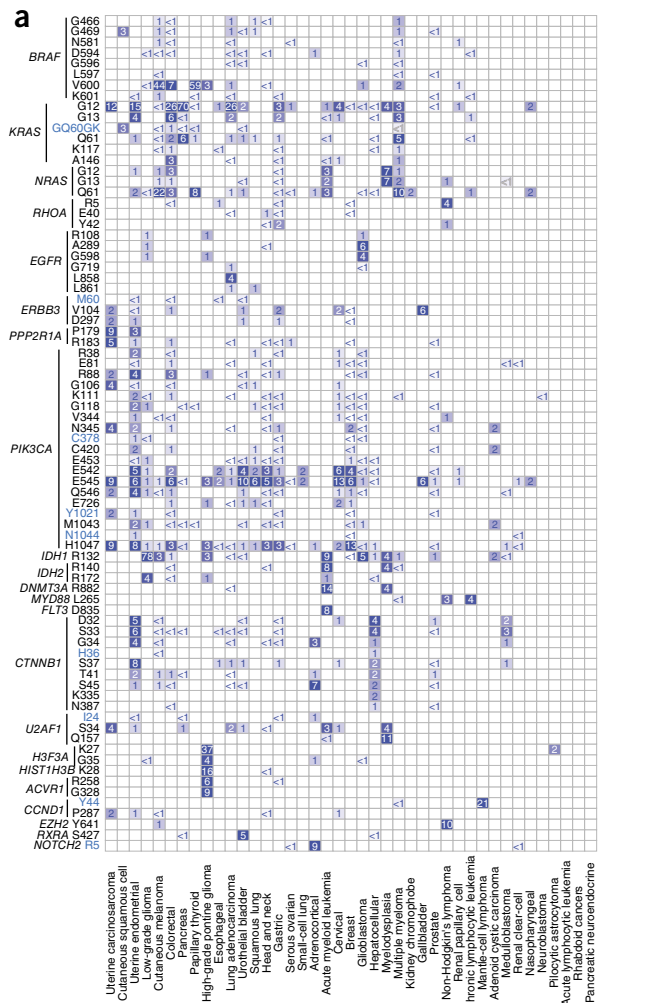
A lineage map of all hotspots in genes with at least one common hotspot (**Fig. 2a** and **Supplementary Fig. 5**) indicates most hotspots are defined more by the tissue types rather than the organ systems in which they arise. Of all hotspots, 81% arise in two or more tumor types, suggesting that many hotspot mutations may confer a growth advantage across diverse lineages. Indeed, of hotspots present in multiple tumor types, only 7.6% ($n = 36$) are confined to a single organ system (**Table 2**). Thus, hotspot mutations that arise in a single tumor type may reflect organ-specific growth advantages, but they represent only a small minority of all hotspot mutations in cancer. Likewise, a subset of hotspots arises in a cell type-specific manner. Twenty-seven hotspots (5.7%) were more frequently mutated in tumors of a squamous cell lineage (**Supplementary Fig. 6**), the most significant of which were *MAPK1* E322 and *EP300* D1399 ($q = 6 \times 10^{-13}$ and 1×10^{-11} , respectively, χ^2) and may potentially confer a squamous cell type-specific growth advantage.

Overall, the presence, type and frequency of hotspots by tumor type vary widely (**Fig. 2b**). In some tumor types, a large proportion of tumors possess one or more hotspot mutations including a substantial fraction of tumors with a hotspot in a candidate oncogene (**Fig. 2b**, top). Conversely, other tumor types never or rarely possess a tumor defined by a hotspot identified here. Some of these differences are certainly attributable to the fact that hotspots are only one of many possible driver genomic aberrations, including specific gene fusions or focal amplifications and deletions. These other aberrations may define tumors of a given type, but they are not mutually exclusive with hotspots in many cancers. Other differences could not, alone, be explained by the overall mutational burden in these tumor types. For instance, uterine carcinosarcomas and prostate cancers have a similar mutation rate whereas there is a threefold greater frequency of hotspot-bearing tumors among the former. Likewise, whereas papillary thyroid and high-grade pontine gliomas have mutation rates similar to nasopharyngeal tumors and neuroblastomas, the former far more commonly bear hotspot mutations (**Fig. 2b**).

Unconventional hotspots

In addition to missense mutations, we identified a variety of unconventional hotspot mutations with varied impact. Among these were 13 splice-site hotspots. For each of these hotspots, an associated transcript abnormality was identified from RNA sequencing of affected tumors (exon skipping, intron retention, in-frame deletions; **Supplementary Fig. 7a**), including two previously characterized in-frame activating mutations (*MET* D1010_splice and *PIK3R1* M582_splice, both exon 14 skipping events). We also identified 70 hotspots in 34 genes for which a nonsense mutation was among a

Figure 2 Lineage landscape of hotspot mutations. **(a)** Both common and rare hotspots are largely disseminated across a broad range of malignancies. All hotspots detected in genes with at least one hotspot affecting >5% of tumors of one or more tumor types are shown. Novel hotspots are in blue boldface. Genes are grouped broadly by functional similarity, hotspots are ordered by amino acid position, and tumor types (columns, labeled at bottom) are sorted according to the fraction of tumors affected by one or more hotspots overall **(b)**. The percent of samples altered is represented by colored squares and indicated text. Hotspots in tumor suppressors *TP53*, *PTEN*, *APC* and *FBXW7* were excluded here (**Supplementary Fig. 5**). **(b)** The fraction of tumors of a given type (as indicated) affected by one or more hotspots. Black circles represent the median mutation rate (right axis) in the indicated tumor type (bar is the median absolute deviation). Shown at top is the number of tumors of each type with a hotspot mutation affecting a known or candidate oncogene¹.



diversity of changes at the affected residue, including 28 hotspots in which only a nonsense mutation was present (**Supplementary Fig. 7b**). Whereas nonsense mutations scattered throughout a gene may reflect a pattern of loss-of-function consistent with tumor-suppressor activity, a nonsense hotspot would appear to indicate the selection for the selective truncation of specific functional domains. Such events are consistent with the loss of some functions and the retention of others, as has been observed previously in genes such as *PIK3R1*, *NOTCH1* and *MET*^{18,19}. These hotspots aside, there was a depletion of nonsense mutations in hotspots in constitutively essential genes ($P < 10^{-16}$, those genes predicted or experimentally verified to be essential across all cell and tissue types and developmental states²⁰). Otherwise, the specific impact of nonsense hotspots is generally unknown and belies the disseminated pattern of truncating mutations in likely or proven tumor suppressors (**Supplementary Fig. 7c**).

Lineage diversity and mutant allele-specificity

The majority of hotspot mutations arose in diverse tumor types and organ systems, yet widespread differences exist among individual residues and mutant amino acids in hotspots, genes and tumor types (**Fig. 3a**). Examining the spectrum of *KRAS* mutations, which includes the most frequently mutated hotspot overall in our study (*KRAS* G12; $n = 736$ mutant tumors; **Figs. 1d** and **2a**), clarified patterns only incidentally observed in the past. We found that gastric cancers were more similar to multiple myeloma in the preponderance of non-G12 mutations compared to endometrial, lung, colorectal and pancreatic tumors ($P = 5.3 \times 10^{-18}$, **Supplementary Table 4**). Only colorectal tumors had *KRAS* A146 mutations, whereas pancreatic tumors lacked G13 mutations ($P_s = 4 \times 10^{-7}$ and 2.8×10^{-15} , respectively). Many of these lineage-specific patterns were present at finer resolution as well. Among *KRAS* G12 mutations, the abundance of G12C mutations are highest in lung adenocarcinomas ($P = 4 \times 10^{-42}$), an event that may be associated with prognostic differences compared with non-G12C *KRAS* mutations^{21–23}. Such mutant amino acid specificity was also apparent in pancreatic tumors, where *KRAS* G12R was more common than in any other tumor type (21% versus between 0 and 2.6%; $\chi^2 P = 4.8 \times 10^{-19}$). Gastric cancers, on the other hand, had the fewest G12V mutations among all *KRAS* G12-mutant tumor types, but the highest proportion of G12S ($P = 0.007$, **Fig. 3b**). There is a different balance among hotspots in the other Ras genes. Whereas papillary thyroid cancers nearly exclusively possessed codon Q61 mutations in *HRAS* and *NRAS* ($P = 4 \times 10^{-7}$), there was a higher prevalence of G12 and G13 codon mutations in these genes in AMLs, colorectal, bladder, and head and neck cancers, which together share few mutational processes in common ($P = 4 \times 10^{-10}$, **Fig. 3a**).

Similar differences emerged in other driver cancer genes with multiple hotspots. V600E mutations describe nearly all *BRAF* hotspot

mutations in melanoma, papillary thyroid and colorectal carcinomas, whereas multiple myelomas are similar to lung adenocarcinoma in which non-V600E hotspots predominate ($P = 1.9 \times 10^{-32}$). The balance between extracellular and kinase domain mutations in *EGFR* between brain tumors and lung adenocarcinoma ($P = 3.3 \times 10^{-12}$), respectively, have been documented previously and affect their biological impact and the efficacy of genotype-directed therapy¹⁰. *ERBB2* followed a similar pattern, where extracellular domain mutations typified by S310F are far more common than are kinase domain mutations in bladder cancers compared to breast cancers ($P = 0.006$, **Fig. 3a**). Another notable gene was *PIK3CA*. Whereas bladder and cervical cancers are similar in their distribution of *PIK3CA* hotspot mutations, they vary significantly from breast cancers in the overall balance of helical to kinase domain mutations, possessing far fewer

Table 2 Organ system-specific hotspots

Symbol	Position	Tumor types ^a	Organ system	No. affected tumors	q-value ^b
<i>H3F3A</i>	K27M	High-grade pontine glioma (26) Pilocytic astrocytoma (1)	Brain	27	2.2×10^{-50}
<i>MYD88</i>	L265P	Chronic lymphocytic leukemia (12) Non-Hodgkin's lymphoma (2) Multiple myeloma (1)	Hematologic	15	1.9×10^{-26}
<i>STK19</i>	D89N	Cutaneous melanoma (13) Squamous cell carcinoma (5)	Skin	18	3.5×10^{-21}
<i>EGFR</i>	G598V/A	Glioblastoma (15) Low-grade glioma (3) High-grade pontine glioma (1)	Brain	19	5×10^{-16}
<i>PPP2R1A</i>	P179R/L	Endometrial (8) Uterine carcinosarcoma (5)	Gynecologic	13	1.6×10^{-12}
<i>FGFR3</i>	Y373C	Urothelial bladder (7) Renal papillary cell carcinoma (1)	Genitourinary	8	2.8×10^{-10}
<i>KNSTRN</i>	S24F	Cutaneous melanoma (11) Squamous cell carcinoma (2)	Skin	13	7.7×10^{-10}
<i>CCND1</i>	Y44D/S/H/F/C/*	Mantel cell lymphoma (6) Multiple myeloma (1)	Hematologic	7	3.5×10^{-7}
<i>CRNKL1</i>	S128F	Cutaneous melanoma (8) Squamous cell carcinoma (2)	Skin	10	4×10^{-7}
<i>EGFR</i>	L861Q	Lung adenocarcinoma (5) Lung squamous cell carcinoma (2)	Thoracic	7	5.4×10^{-7}

Shown are the ten most significant hotspots that arise in multiple tumor types of a single organ system.

^aNumber in parentheses is the count of affected tumors of the indicated cancer type. ^bq-value is estimated from *P*-values produced by the binomial model described in Online Methods.

H1047R mutations among *PIK3CA*-mutated cases ($P = 4.8 \times 10^{-19}$). Endometrial and colorectal cancers also have a similar pattern of *PIK3CA* hotspots, but both have a higher prevalence of R88Q mutations than any other tumor type ($P = 1.3 \times 10^{-11}$; **Fig. 3a**). Such patterns extend beyond essential MAPK or PI3K signaling components, such as with *SF3B1* K700 mutations that predominate in breast cancers and chronic lymphocytic leukemias whereas melanomas more frequently possess *SF3B1* R625 mutations ($P = 0.0001$). Finally, mutant amino acid specificity was not limited to hotspots in Ras genes. The *IDH1* R132H hotspot mutation predominated in multiple brain tumor types, but cysteine was the most common *IDH1* R132 mutant amino acid in melanoma, which is unlikely to be exclusively related to UV light exposure, as this is also true in AMLs that lack a UV-driven etiology ($P = 3.9 \times 10^{-21}$). Together, these results indicate that substantial mutant amino acid specificity exists among hotspot mutations across highly diverse tumor lineages. Two related conclusions may be drawn from these data. First, different hotspots in the same gene may possess in many cases different functions, much of which may be lineage-dependent, while not excluding the possibility that some may still arise as a function of differing underlying mutational mechanisms. Second, that perhaps different mutant amino acids within the same hotspot can be functionally different, support for which idea is growing^{8,11}.

Timing of individual hotspots

We next sought to determine if hotspot mutations, many of which are likely driver mutations and in some cases may serve as the initiating lesion, typically arise earlier than do nonrecurrent mutations in the same genes and are therefore more often clonal. Overall, mutations at hotspot residues more often resided in a greater fraction of tumor cells and therefore arose earlier (presumptive clonal), than non-hotspot mutations in the same genes (**Fig. 3c**). So, whereas prior work has shown that driver genes in lung adenocarcinomas were enriched for clonal mutations²⁴, we found that this was true of hotspot mutations across a broad class of cancer genes and tumor types. However, there was considerable variability among hotspots. Whereas colorectal and endometrial cancers have a similar pattern of *PIK3CA* hotspot mutations (**Fig. 3a**) and

share hypermutated subtypes of tumors driven by MSI and *POLE* exonuclease domain mutations^{25,26}, colorectal tumors were unique in the clonality of the E545 and H1047 mutations. The majority of *PIK3CA* E545 helical domain mutations in colorectal cancers were subclonal, whereas H1047 kinase domain mutations were clonal, a difference that was not apparent in endometrial tumors, in which both are early clonal mutations (**Fig. 3d**). This may be a function of the pattern of oncogenic co-mutation in these tumors as *PIK3CA* E545, but not H1047, mutations were significantly associated with *KRAS* mutations in these colorectal cancers ($\chi^2 P = 0.0004$) and in previous cohorts²⁷. Overall, these differences in the molecular timing of specific hotspots augurs potentially important differences in their function in tumor initiation versus progression that requires further study.

Population-level hotspots in the long tail

Consistent with the so-called long tail of the frequency distribution of somatically mutated genes across cancer², we found that

85% of all hotspots identified here were mutated in less than 5% of tumors of all cancer types in which they were found (**Fig. 4a**). Such findings have led to calls for sequencing up to many thousands of additional specimens from every tumor type²⁸. However, many hotspots present at low frequency across cancers are not mutated commonly or significantly in even a single cancer type. Indeed, 23% of all hotspots identified here were present in only one or two samples in the tumor types in which they were observed. This included 19 hotspots arising in only one sample of each affected cancer type such as *U2AF1* I24, *MYC* T58, the hyperactivating *MTOR* I2500 (ref. 29), *PIK3CB* D1067, *EP300* H1451 and *ERBB3* M60. Conversely, population-level analysis, rather than by individual cancer type or organ system, allows identification of hotspots that arise as even private mutations in rare malignancies, for which additional broad-scale sequencing is most challenging. Although rare, such recurrent alleles are evidence of selection and may be associated with specific phenotypes, such as exceptional responses^{30,31} or *de novo* resistance to cancer therapy, or may reveal specific facets of pathway biology. Consequently, we found that notable long-tail hotspots affect a broad spectrum of abnormal molecular function including macromolecular transport and transcriptional regulation (**Table 1, Supplementary Note and Supplementary Fig. 8**), as well as essential components of key signaling pathways.

Long-tail hotspots in Ras superfamily members

Mutations in the Ras family of small GTPases occur widely in human cancers. As expected, these were among the most significant hotspots detected here (**Supplementary Table 2**), affecting 1,335 tumors (12% of all cases). Whereas G12, G13 and Q61 codon hotspots predominate in *KRAS*, *NRAS* and *HRAS*, albeit at varying frequencies in different tumor types (**Figs. 2a and 3a**), we also identified GQ60GK, K117 and A146 hotspots in *KRAS*. Both K117 and A146 are known activating hotspots in the long tail, but we also identified a previously occult GQ60GK dinucleotide substitution ($q = 2.3 \times 10^{-6}$) in 11 tumors. This dinucleotide substitution results in a Q61K mutation accompanied by a G60 synonymous mutation that are present in *cis*

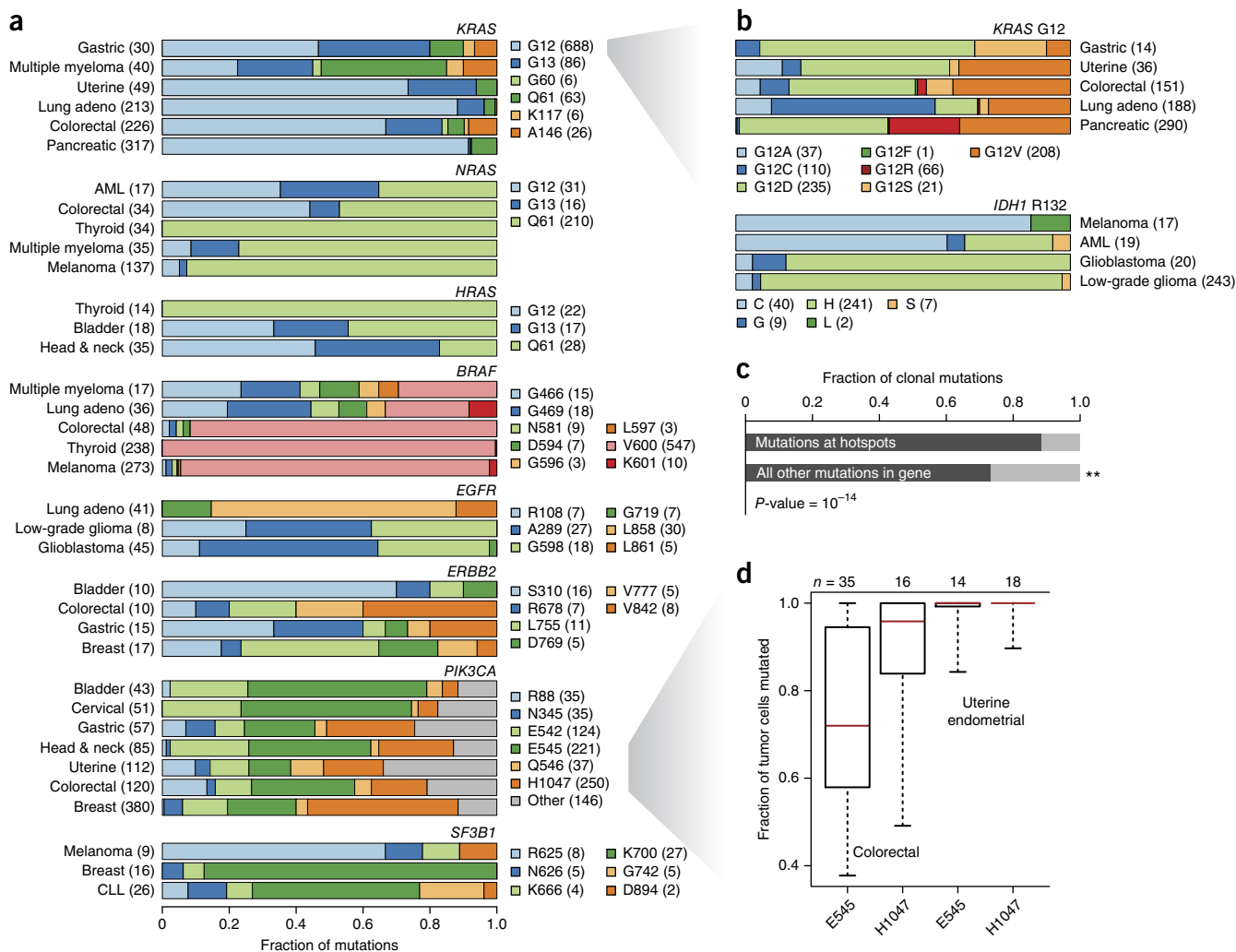


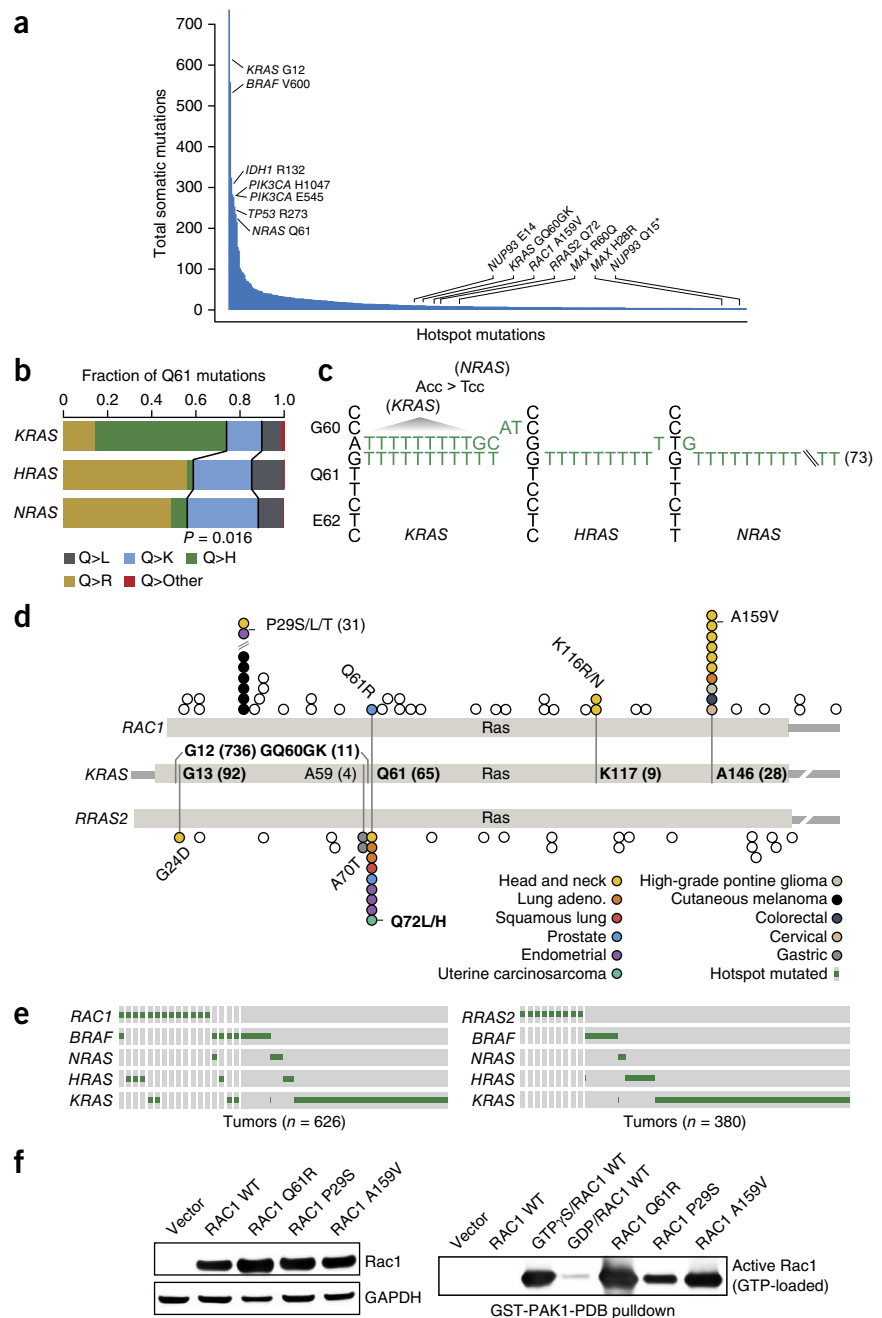
Figure 3 Lineage diversity and mutant allele specificity. **(a)** The fraction of cases mutated for each of the most common hotspots in eight frequently mutated genes in the most commonly mutated lineages indicate substantial lineage diversity and hotspot specificity. **(b)** Same as in **a**, but for *KRAS* G12 and *IDH1* R132 mutations, showing that mutant amino acid specificity exists within individual hotspots across affected tumor types. **(c)** The fraction of clonal mutations, those present in 80% or more of the tumor cells of affected samples, was higher among mutations in hotspots versus all other nonrecurrent mutations in the same genes ($\chi^2 P = 1 \times 10^{-14}$). **(d)** The fraction of tumor cells mutated for *PIK3CA* E545 and *PIK3CA* H1047 hotspots in affected colorectal and uterine endometrial cancers indicates a pattern of allele-specific subclonality for E545 mutations in colorectal cancer.

(in concomitant RNA sequencing; **Supplementary Fig. 9**). Although Q > K mutations at codon 61 can result from 3' G > T single-nucleotide mutations in *KRAS*, 100% of these tumors harbored the dinucleotide substitution, a rare spontaneous event in human genomes. Overall, the distribution of codon 61 mutations in *KRAS*, *NRAS* and *HRAS* are very different, with Q > K mutations occurring significantly less frequently in *KRAS* ($P = 0.016$; **Fig. 4b**). GA > TT mutations were the most common dinucleotide substitution producing GQ60GK (**Fig. 4c**) and converts the ACC codon at *KRAS* G60 to TCC, which is the sequence of the G60 codon in *NRAS*, in which Q61K mutations are far more common and arise nearly exclusively from single-nucleotide mutations. It remains to be determined whether *KRAS* GQ60GK is therefore driven by a pattern of codon usage at the -1 position. Notably, only one tumor had evidence of a non-*KRAS* GQ60GK mutation, an *NRAS*-mutant cutaneous melanoma (**Fig. 4c** and **Supplementary Table 5**).

We next explored whether *KRAS* GQ60GK may serve as a driver of Ras pathway activity as do conventional *KRAS* hotspots. GQ60GK is

indeed present in diverse tumor types that all have well-established Ras-driven subsets (**Supplementary Table 5**). Reasoning that if GQ60GK were a passenger mutation in Ras-driven tumors, alternative MAPK-activating mutations may be present in these tumors. Instead, we found that in every GQ60GK-mutant sample where another putative driver of MAPK signaling was present, that lesion was either (i) subclonal, defining a different clone than did GQ60GK; (ii) low activity; or (iii) a passenger mutation (**Supplementary Table 5**). Also, despite the frequency of GA > TT, there was no evidence that a common underlying mutational process or exogenous mutagen was the source of GQ60GK. There was no evidence of UV light exposure in the clinical histories or nucleotide contexts of most affected cases, only one of which was a cutaneous melanoma. Moreover, GQ60GK arose in both hypermutated (MSI-H colon lacking *BRAF* V600E) and nonhypermutated tumors. Finally, rare G60 missense mutations were evident in *KRAS* and *HRAS* in this data set and in the literature (**Supplementary Table 5**) (ref. 32). So, although we cannot exclude the possibility that the GQ60GK dinucleotide substitution is

Figure 4 Candidate Ras-related small GTPase driver mutations in the long tail. **(a)** The frequency distribution of hotspot mutations in cancer has a long right tail of mutated residues that, although recurrent, are not common in any cancer type. **(b)** There is a statistically significant difference in the pattern of Q61 codon mutations in *KRAS*, *HRAS* and *NRAS* (χ^2 *P*-value = 0.016). **(c)** The sequence of Gly60-Glu62 of *KRAS*, *HRAS* and *NRAS* are shown along with mutant alleles from affected cases indicating the GQ60GK dinucleotide mutation was the only source of *KRAS* Q61K mutation, whereas the far more common *HRAS* and *NRAS* Q61K mutations arose almost exclusively from single nucleotide events. **(d)** *RAC1*, *RRAS2* and *KRAS* are shown in schematic form indicating the position of novel hotspots *RAC1* A159V and *RRAS2* Q72L/H at paralogous residues in the Ras domain to known activating mutations in *KRAS* (A146 and Q61, respectively). **(e)** The pattern of *RAC1* (left) and *RRAS2* (right) mutations along with those in *BRAF* and Ras genes in affected tumor types. **(f)** Western blot analysis of RAC1 activation (GTP-bound RAC1) by PAK1 pull down (right). *RAC1* A159V was associated with significant RAC1 activation at levels equal to or exceeding the positive control GTP γ S and greater than those of the known oncogenic *RAC1* P29S.



simply an alternative mechanism to achieve Q61K, the accompanying *KRAS*-specific G60 synonymous mutation may potentiate a different class of Q61-mutant tumors or cause signaling differences among Q61K-mutant tumors between *KRAS*, *NRAS* or *HRAS*. Although further studies will need to explore the molecular properties of *KRAS* GQ60GK, this allele represents the most common dinucleotide substitution spanning two codons in human cancer and a mutation more common than other known hotspots in *KRAS*.

Novel long-tail hotspots were also identified in two other genes that encode members of the Ras superfamily of small GTPases. *RAC1*, in which we identified two hotspots, is a Rho subfamily member that plays a vital role in various cellular functions. *RAC1* P29S is an oncogenic hotspot in melanomas^{12,33}, that we also identified in head and neck, and endometrial cancers (Fig. 4d). This mutation can confer resistance to RAF inhibitor treatment *in vitro*³⁴, and may underlie early resistance in patients³⁵. We also identified a novel *RAC1* A159V hotspot present in 10 tumors ($q = 2.27 \times 10^{-6}$; Fig. 4d). Notably, *RAC1* A159V is paralogous to *KRAS* A146, a known activating mutation³⁶. Whereas activating *KRAS* A146T mutations arise predominantly in colorectal carcinomas (Supplementary Table 2), *RAC1* A159V mutations are most common in head and neck cancers and were not present in any melanomas, despite the frequency of *RAC1* P29S in this cancer type. Moreover, similar to P29S mutations, we observed *RAC1* A159V mutations in tumors that are both Ras/Raf wild-type and mutant (Fig. 4e). To determine whether *RAC1* A159V is an activating mutation,

we assessed its effect *in vitro*. Active RAC1 is GTP-bound, interacting with PAK1 to activate downstream effectors. Therefore, to quantify RAC1 activation *in vitro*, we used a PAK1 pull-down assay. In HEK293T cells expressing *RAC1* A159V, there was substantial RAC1 activation to levels equal to or exceeding positive-control *RAC1* GTP γ S cells and greater than even those levels induced by the known *RAC1* P29S oncogenic mutation (Fig. 4f). Moreover, cells expressing *RAC1* Q61R, a mutation we identified in a primary prostate cancer that is paralogous to *KRAS* Q61, also potentially induced RAC1 activation (Fig. 4d,f).

RRAS2 is a Ras-related small GTPase³⁷. *RRAS2* is overexpressed or mutated in a small number of cancer cell lines of various origins^{38–40}, and is oncogenic *in vitro* with transforming ability similar to that of established Ras oncoproteins⁴¹. However, it has not been documented as somatically mutated in human tumor specimens. Here, we identified a *RRAS2* Q72 hotspot present in nine tumors ($q = 8 \times 10^{-15}$).

Similar to *RAC1* A159V, the *RRAS2* Q72 hotspot is paralogous to *KRAS* Q61 (Fig. 4d). However, unlike *RAC1*, *RRAS2* Q72 does not predominate in any individual tumor type. Also unlike *RAC1*, the *RRAS2* Q72 mutation was present in Ras/Raf wild-type tumors among the affected types (Fig. 4e). This result suggests that *RRAS2* activation may be an alternative avenue for tumors to acquire Ras-like activation as previous studies have shown that *RRAS2* shares many Ras downstream signaling elements including phosphatidylinositol-3 kinase (PI3K)^{42,43}, the Ral GDP dissociation pathway⁴², and Raf kinases⁴⁴. Beyond these hotspots, several less common *RAC1* and *RRAS2* mutations affect paralogous residues of highly recurrent alleles in *KRAS* (Fig. 4d); some which we validated were also activating *in vitro* (Fig. 4f), indicating that the landscape of potentially functional mutations in these genes extends beyond even these less common long-tail hotspots to private mutations as well.

DISCUSSION

Although we focused only on recurrent substitutions, we did find that whereas a subset of hotspots were prevalent in individual cancer types, most hotspots were present infrequently across many cancer types. This indicates that studies of any individual cancer type may have limited power to identify novel alleles. We have also begun to detail best practices for the use of diverse public cancer sequencing data in the translational setting. Our approach for hotspot detection incorporates features such as the variable background mutational burden of individual codons and genes, thereby avoiding passenger mutations whose recurrence is due only to their presence in highly mutable amino acids. Although the identification of private driver mutations remains challenging, our approach did uncover low-incidence hotspots in highly mutated genes. Though less common, these hotspots are under selection and may confer important clinical phenotypes in cancer patients, such as exceptional responses to cancer therapy^{30,31}.

New mutant alleles in established genes are likely to emerge faster than new cancer genes are identified, extending the long tail of the frequency distribution of somatic mutations. This is especially true as clinical sequencing focuses on profiling advanced and metastatic disease for clinical trial enrollment. Such pretreated, late-stage cases have been historically under-represented among such population-scale resources, including the one studied here. Moreover, at present there are fewer actionable mutations in cancer than there are cancer genes. Yet the near-term clinical utility of expanding the former is far greater than adding to the latter. Our results suggest this will require an understanding of the function of different hotspot mutants in the same gene by lineage, as their function and response to therapy may be specific to the mutant amino acid. Although positive selective pressure may produce the same hotspot mutation, or different variant amino acid changes within the same hotspot residue, it does not imply that they will confer similar selective advantages across lineages. Underlying functional distinctions may explain the differences observed here in the emergence and frequencies of hotspots across lineages. Although this remains speculative or unknown for most hotspots, early evidence suggests that this will be true for even some of the most important alleles in human cancer^{8,9,11}. Understanding this landscape of distinct molecular function is the necessary translational prerequisite for effective clinical implementation. This focus on mutations rather than genes will spur studies of the biochemical, biological, signaling impact and drug sensitivity of candidate individual alleles. Collectively, the complementary study of both significantly mutated individual alleles as well as genes will prove indispensable in enabling precision oncology through clinical decision support for patients sequenced at the point of care.

METHODS

Methods and any associated references are available in the [online version of the paper](#).

Note: Any Supplementary Information and Source Data files are available in the online version of the paper.

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AUTHOR CONTRIBUTIONS

M.T.C., S.A. and B.S.T. conceived the study. N.S. and B.S.T. supervised analyses. M.T.C., S.A., N.D.S., A.B.O. and B.S.T. developed methods and algorithms. M.T.C., J.S.C., J.G., C.K. and N.S. acquired data. M.T.C., S.A., J.S.C., S.P.G., B.H.L., J.G. and D.B.S. performed experiments and analyses. M.T.C., N.S. and B.S.T. wrote the manuscript with input from all authors.

COMPETING FINANCIAL INTERESTS

The authors declare no competing financial interests.

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ONLINE METHODS

Mutational data set and pre-processing. Mutational data were obtained from three publically available sources: (i) The Cancer Genome Atlas (TCGA); (ii) the data portal of the International Cancer Genome Consortium (ICGC); (iii) various published studies in peer-reviewed journals in which mutational data were made available^{45,46}. Mutation-calling algorithms and mutation reporting practices varied from study to study in these curated data, so mutation data review and correction were undertaken where possible. Genomic coordinates of variants from alignments to human reference assembly NCBI36 (hg18) were converted to GRCh37 using LiftOver⁴⁷ with an Ensembl chain file (see URLs). After standardization to GRCh37, the mutation calls were annotated to gene transcripts in Ensembl release 75 (Gencode release 19), and a single canonical effect per mutation was reported using Variant Effect Predictor (VEP) version 77 (ref. 48) and vcf2maf version 1.5. All possible pairs of any two samples with at least ten somatic mutations were interrogated for sample duplication. For any pair of tumors that shared greater than 80% mutational identity and identical or near-identical clinical-pathological characteristics (upon review of data from the source site/publication), a single tumor in the pair was chosen at random and removed from further analysis as a presumptive duplicate specimen. Furthermore, we excluded small insertions and deletions (indels), despite their presence as true oncogenic hotspots in some genes, because of their greater variability in call quality across data sets. In total, the final data set included mutations of the following types: 1,348,424 missense; 524,827 synonymous; 100,866 nonsense; 30,346 splice-site; and 3,231 affecting translational start or stop codons. There are also 21,130 oligonucleotide variants the majority of which are dinucleotide mutations along with 71 trinucleotide mutations and 13 substitutions of 4 bp or longer. Individual mutations and hotspots of interest (detected as described below) were inspected in individual BAM files from tumor and matched normal specimens of DNA and available RNA sequencing data downloaded from CGHub. When available, expression analyses were based on level-3 RNASeqV2 RSEM normalized gene expression counts from RNA sequencing available via the TCGA Data Coordinating Center. These values were log-transformed and scaled across all samples within each cancer type to facilitate comparisons between cancer types.

Definition of a mutational hotspot. For the purposes of this analysis, we first define a driver cancer gene as one in which a molecular abnormality leads to a fitness advantage for the affected cancer cell. This is the broadest definition that encompasses both initiating lesions on which tumor growth depends as well as lesions arising later in tumor progression that perhaps confer a more modest fitness advantage. We then define a hotspot as an amino acid position in a protein-coding gene mutated more frequently than would be expected in the absence of selection. Therefore, all of the following mutation types result in the same hotspot: (i) mutations in different nucleotide positions in the same codon of a gene, (ii) different nucleotide substitutions at the same site in the same codon that result in different amino acid changes, and (iii) mutations where the amino acid substitution is identical but the nucleotide change are different. At present, this analysis is limited to recurrent somatic substitutions, but can be expanded to other classes of somatic alterations such as small insertions and deletions, DNA copy number alterations and structural rearrangements.

Determining significant mutational hotspots. To determine the statistical significance of individual mutational hotspots, we developed a truncated binomial probability model not only by incorporating underlying features of mutation rates in cancer but also by anticipating the gene-specific pattern with which hotspots may arise in different classes of possible cancer genes. In its most general form, if X represents the count of mutations in n samples, the probability of observing k mutations is:

$$\Pr(X = k) = \binom{n}{k} p^k (1-p)^{n-k} \quad (1)$$

where p is the probability of a mutation in any sample. However, differences exist in the mutability of specific nucleotide contexts in cancer genomes. These vary as a function of the underlying mutational process, potential molecular abnormality in normal DNA maintenance pathways and possible exposure to exogenous mutagens¹⁶. Moreover, individual genes have highly variably

nucleotide composition and background mutation rates. To address these fundamental characteristics, we integrated a coefficient into a position-specific probability that incorporates both the mutability of the trinucleotide context in which the mutation arose and the trinucleotide composition of the affected gene. For each of the 32 possible trinucleotides, we estimate the mutability of a given trinucleotide t as:

$$m_t = \frac{C_t}{F_t} \quad (2)$$

where C_t is the number of mutations affecting the central position of trinucleotide t across all samples and F_t is the number of occurrences of the trinucleotide t in the coding genome. Too little data existed to compute tumor type-specific or underlying mutational process-specific mutability. Because a mutated codon in a given gene is comprised of mutations in any one of three trinucleotides that encode that codon, we estimate the mutability of a codon c in gene g as:

$$m_{c,g} = \frac{\sum_{t \in c} m_t n_{t,c}}{n_c} \quad (3)$$

where $n_{t,c}$ is the number of mutations in the central position of trinucleotide t in codon c and n_c is the number of mutations in codon c overall. We estimate the mutability of gene g as $\mu_g = C_g / (nL_g)$, where C_g is the number of mutations affecting the gene across the n samples and L_g is the length of the gene in amino acids. We then estimated the expected mutability of a given gene g as:

$$m_g = \sum_t \frac{N_{t,g} m_t}{L_g} \quad (4)$$

where $N_{t,g}$ is the number of occurrences of trinucleotide t in gene g . The relative mutability of a codon within a gene is then $r_{c,g} = m_{c,g} / m_g$. This leads to a binomial parameter for hotspot detection of:

$$p_{c,g} = r_{c,g} \mu_g \quad (5)$$

We sought to avoid overestimating the background mutation rate for a gene with several hotspots. This would limit the detection of lower frequency hotspots (warmspots) due to the rate of recurrence of one or a few dominant hotspots in the same gene. We therefore developed a truncated form by removing positions in gene g bearing greater than or equal to the 99th percentile of all mutations in the gene. The new background rate is therefore μ'_g , calculated as before where the prime signifies the mutation counts and lengths modified using the above threshold. Then $p'_{c,g} = r_{c,g} \mu'_g$. Finally, in rarely mutated genes where the probability p is exceedingly small (relative to the size of the cohort N and the length of the protein L), we limited the number of false-positive hotspots by allowing $p'_{c,g}$ to get no smaller than the 20th percentile of all p' data set-wide. Therefore, the final binomial probability is:

$$p''_{c,g} = \max \left\{ \begin{array}{l} p'_{c,g} \\ 20\% \text{ile of all } p' \end{array} \right. \quad (6)$$

Accordingly, we calculate one-sided P -values for all unique amino acids in every annotated gene per the binomial form given in equation (1) with probability from equation (6) and test whether more mutations are observed than would be expected by chance given the pattern of all mutations in the gene; its composition and length; the pattern of its mutability; and the number and type of samples assessed.

Multiple hypothesis correction. To correct for multiple hypotheses, we employed a method for false-discovery rate correction that assumes dependence among tests. This correction was performed on the gene level in the following manner. P -values were aggregated per gene on the basis of their codon position. For codons that were not mutated in a given gene and therefore not formally assessed, we padded this with a vector of P -values equal to 1 such that the final set of P -values equaled the amino acid length of the given gene. For all resulting P -values in each gene, they were corrected with the Benjamini and Yekutieli⁴⁹ method (implemented in `p.adjust` in the `stats` package in R) and significant hotspots were those sites with $q < 0.01$.

Mutation call quality filtering. Considerable variability exists in the processing and generation of mutational data in individual cohorts by originating centers. To address this variability, we developed several criteria based on weight of evidence for eliminating presumptive false positives and sequencing artifacts from individual mutation calls as well as from hotspots across the data set (**Supplementary Fig. 1b**). Initially, to exclude likely germline variants misattributed as somatic mutations we exclude any mutation identified by both 1000 Genomes and the National Heart, Lung, and Blood Institute or those identified only by 1000 Genomes in two or more samples. We then reasoned that hotspots arising in genes not expressed in a given tumor type are less likely to exert biological impact. We therefore removed from consideration hotspot mutations in genes whose expression was <0.1 transcripts per million (TPM) in 90% or more of the tumors of that type, or for tumors that lacked RNA sequencing data, if more than 95% of all tumors independent of organ of origin had expression of TPM < 0.1. After determining statistically significant hotspots (described above), hotspots were removed from consideration based on a decision tree model as follows. First, a presumptive true-positive (pTPs) list of hotspots was predetermined as coding positions harboring substitutions in five or more tumor samples (from the August 2013 release of the cBioPortal^{45,46}) in one of 341 key cancer-associated genes sequenced as part of routine CLIA-certified sequencing of matched tumor and normal specimens at Memorial Sloan Kettering Cancer Center⁵⁰. Initially, for all samples in which a hotspot was observed and for which the fraction of tumor cells mutated could be calculated from corresponding variant allele frequency and DNA copy number data, we calculated the fraction of tumors in which that site was mutated subclonally (in fewer than 90% of tumor cells). If the fraction of samples in which the hotspot arose subclonally exceeded the maximum such value among pTPs, it was excluded. For remaining sites, we excluded potential hotspots that arose from mutation calling bias from a single source center. We identified cohorts in which subsets of samples were called by different centers and excluded hotspots in which greater than 85% of contributing mutation calls originated from a single mutation-calling center. Next, as local sequence complexity can affect alignment accuracy in various ways based on the read lengths and chemistry of source studies in our data set, we sought to exclude hotspots on the basis of sequence context. We excluded hotspots where the minimum of Shannon entropy calculated from both 12 bp or 24 bp of flanking sequence on either the 5' or 3' side of the mutated site was less than the minimum such value among pTPs. We then excluded hotspots that were positioned at either the 5' or 3' end of mono-, di- or trinucleotide homopolymer runs of 10 bp or longer. Remaining hotspots were then excluded if either the sum of their ranked weighted 100-bp and 24-bp alignability (determined by CRG Alignability; UCSC Genome Browser) was less than the minimum value of pTPs or their weighted 24-bp alignability was lower than the 12.5 percentile of all sites. We also excluded any hotspot that although passing these criteria affected a gene that was (i) already rich in presumptive false positives by these criteria (the number of retained hotspots was less than two times the count of hotspots in the gene excluded by one or more of these criteria) or (ii) one of 20 well-characterized presumptive "red-herring" cancer genes due to high mutation rates that co-vary with underlying features independent of selection⁴. Finally, we manually inspected the sequencing data contributing to the mutation call for select hotspots in a sampling of affected tumor and matched normal samples. The significant hotspots ($q < 0.01$) that were excluded from consideration on the basis of this model are available in **Supplementary Table 3**.

Hotspot mutation data and literature review. In addition to the mutation call filtering described above, we independently validated the accuracy of a subset of mutation calls contributing to the novel hotspots discussed in the text. We downloaded BAM files of the aligned and unaligned sequencing reads corresponding to the tumor and matched normal exomes from each patient harboring one of the novel hotspots we discuss in detail in the manuscript (*RAC1* A159; *RRAS2* Q72; *NUP93* E14 and Q15; *MAX* R60; and *MAX* H28) and reprocessed these from raw FASTQ to mutation calls with an independent sequence analysis pipeline^{30,31}. We re-identified the hotspot mutation of interest in 34 of 35 affected specimens. Only a single sample failed to reproduce the published mutation (*NUP93* E14 in a hepatocellular carcinoma), but *NUP93* E14K remains statistically significant even after excluding the tumor in which the mutation was not called by the independent pipeline. To determine novel hotspots among the 470 identified here, we classified each mutation into one of three levels of

evidence from an extensive literature review (**Supplementary Table 2**). Level-1 mutations are those not previously identified in human tumors or those that have been identified in an individual sample, but never described as a hotspot of recurrent mutation. This also includes mutations previously documented in the germline of patients, but never identified previously as recurrently somatically mutated. Level-2 hotspots are those mutations that have been reported previously in one tumor type that we also identified in the same, but also found mutated in one or more additional tumor types not previously described. Level-3 hotspots are those mutations that have been previously identified in one or more tumor types and have been assessed functionally *in vitro* or *in vivo*. Using this categorization, we identified 249, 41 and 180 levels 1, 2 and 3 mutations from the 470 hotspots described here. These were classified as being present or not in established candidate cancer genes ($n = 880$, **Fig. 1b**) compiled from the Sanger Cancer Gene Census and four additional published sources^{1,4,5,50}.

Cell type specificity analysis. We determined the enrichment of individual hotspots in different cell types (squamous versus nonsquamous). For this analysis, squamous cell cancers included head and neck squamous cell carcinoma, lung squamous cell carcinoma, cervical squamous cell carcinoma and esophageal squamous cell carcinoma. Hotspots mutated in at least one squamous tumor were examined and their statistical significance was assessed with Fisher's exact test assuming the null hypothesis that squamous and nonsquamous tumor samples are equally likely to possess a given hotspot. Resulting *P*-values were corrected for multiple hypothesis testing with the Benjamini and Yekutieli⁴⁹ method and squamous cell-type specific hotspots were those with $q < 0.01$.

Analysis of the fraction of cancer cells mutated. Level-3 segmentation of DNA copy number data was acquired when available without restriction for 15 cohorts (primarily TCGA). Using these data, we estimated tumor purity using absCN-seq⁵¹, due to the ease of its automation. We calculated the fraction of tumor cells bearing each mutation (tumor/cancer cell fraction) in each tumor based on this purity estimate, local copy number, and mutant and reference allele sequencing coverage, all as previously described⁵².

Functional validation. DNA coding sequences for wild-type *RAC1* as well as *RAC1*^{P29S}, *RAC1*^{Q61R} and *RAC1*^{A159V} were generated via site-directed mutagenesis (Genewiz, NJ) to include an N-terminal 3xFLAG epitope tag and were subcloned into a pcDNA3 mammalian expression vector (Life Technologies, NY). Early-passage HEK293T cells were acquired from ATCC and authenticated as mycoplasma free. The expression constructs were transfected into these cells using Lipofectamine 2000 (Life Technologies), and cells were harvested after 72 h. GTP-bound Rac1 (active Rac1) was isolated via immunoprecipitation using recombinant p21-binding domain (PBD) of PAK1 (PAK1-PBD; Active Rac1 Detection Kit, Cat#8815, Cell Signaling, MA), according to the manufacturer's instructions. The Rac1 was detected using kit-provided Rac1 primary antibody.

Data availability. Publicly available sequencing data, CGHub, <https://cghub.ucsc.edu>; Broad Institute Genome Data Analysis Center, <http://gdac.broadinstitute.org/>; mutation curation and visualization, cBioPortal for Cancer Genomics, <http://cbioportal.org/>; reference genome remapping, Ensembl chain file, http://ftp.ensembl.org/pub/assembly_mapping/homo_sapiens/NCBI36_to_GRCh37.chain.gz; mutational data analyzed in this study and source code, <https://github.com/taylor-lab/hotspots>.

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