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1 **Immediate early gene activation throughout the brain is associated with**
2 **dynamic changes in social context**

3

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20 **ABSTRACT**

21 Social competence is dependent on successful processing of social context information. The
22 social opportunity paradigm is a methodology in which dynamic shifts in social context are
23 induced through removal of the alpha male in a dominance hierarchy, leading to rapid ascent in
24 the hierarchy of the beta male and of other subordinate males in the social group. In the current
25 study, we use the social opportunity paradigm to determine what brain regions respond to this
26 dynamic change in social context, allowing an individual to recognize the absence of the alpha
27 male and subsequently perform status-appropriate social behaviors. Replicating our previous
28 work, we show that following removal of the alpha male, beta males rapidly ascend the social
29 hierarchy and attain dominant status by increasing aggression towards more subordinate
30 individuals. Analysis of patterns of Fos immunoreactivity throughout the brain indicates that in
31 individuals undergoing social ascent, there is increased activity in regions of the social behavior
32 network, as well as the infralimbic and prelimbic regions of the prefrontal cortex and areas of the
33 hippocampus. Our findings demonstrate that male mice are able to respond to changes in social
34 context and provide insight into the how the brain processes these complex behavioral changes.

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39 **KEYWORDS:** social context, social hierarchy, prefrontal cortex, social behavior network

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42 INTRODUCTION

43 Organization into dominance hierarchies is a fundamental feature of group social behavior across
44 species, including non-human primates (Muller & Wrangham, 2004; Sapolsky, 1993), cichlid
45 fish (Grosenick, Clement, & Fernald, 2007; Huffman, Hinz, Wojcik, Aubin-Horth, & Hofmann,
46 2015; Oliveira & Almada, 1996), naked mole rats (Holmes, Goldman, & Forger, 2008), honey
47 bees (Kucharski, Maleszka, Foret, & Maleszka, 2008), mice (Wang et al., 2011; Williamson,
48 Lee, & Curley, 2016a), and humans (Zink et al., 2008). Individuals form these dominance
49 structures through a complicated appraisal of their social context in order to ascertain their
50 position relative to that of the other individuals within their social network (Curley, 2016b;
51 Fernald, 2014; Grosenick et al., 2007; Oliveira, 2009). There has been characterization of the
52 complex behavioral features of the formation and maintenance of dominance hierarchies (Chase
53 & Seitz, 2011; Chase, Tovey, Spangler-Martin, & Manfredonia, 2002; Curley, 2016b;
54 Williamson, Lee, et al., 2016a; Williamson, Lee, Romeo, & Curley, 2017), as well as
55 identification of the neural correlates associated with social status in stable social hierarchies (So,
56 Franks, Lim, & Curley, 2015; Wang et al., 2011; Williamson, Franks, & Curley, 2016; Zerubavel,
57 Bearman, Weber, & Ochsner, 2015; Zink et al., 2008).

58

59 Although hierarchies are commonly stable, there often occurs times when individuals change in
60 social rank. One particularly salient example of this is when a power vacuum emerges at the top
61 of a hierarchy following the removal or deposition of the alpha individual. When such social
62 opportunities occur, subdominant animals typically rapidly ascend to the alpha position. Such
63 behavior has been observed experimentally in hierarchies of both cichlid fish (Maruska &
64 Fernald, 2010) and CD1 outbred mice (Williamson, Romeo, & Curley, 2017) associated with

65 changes along the hypothalamic-pituitary-gonadal (HPG) axis. Ascent to dominant status in
66 cichlid fish is also associated with increased immediate early gene expression in several regions
67 specific to fish social behavior (Burmeister, Jarvis, & Fernald, 2005; Maruska, Zhang, Neboori,
68 & Fernald, 2013). However, there has been no comprehensive, whole brain analysis of the neural
69 response to changes in social context in mammals. This ability to process this dynamic social
70 context information and behave in a socially competent manner when the structure of a social
71 hierarchy shifts is critical for successful social living.

72

73 The “Social Behavior Network” (SBN) is a bidirectional circuit of brain regions associated with
74 multiple forms of social behavior (i.e. aggression, sexual behavior, communication, social
75 recognition, affiliation and bonding, parental behavior, and social stress responses) across
76 species (Goodson, 2005; Newman, 1999). This network was first described to include the medial
77 amygdala (meA), the bed nucleus of the stria terminalis (BNST), the lateral septum (LS), the
78 medial preoptic area (mPOA), the anterior hypothalamus (AH), the ventromedial hypothalamus
79 (VMH), and the periaqueductal grey (PAG) (Newman, 1999). These brain regions are thought to
80 be the core of the social brain, with much supporting evidence for their role in regulating
81 relatively simple social behavior (see Goodson, 2005 for a comprehensive review). However, for
82 complex social behaviors, such as the formation, maintenance, and dynamic adjustment of social
83 hierarchies, which are reliant on an individual’s ability to perceive changes in their social
84 environment, it is important to understand how activity within the SBN is modulated and
85 complemented by brain regions associated with executive functioning (i.e. prefrontal cortex
86 (Wang et al., 2011; Zink et al., 2008)) and memory (i.e. hippocampus (Noonan et al., 2014;
87 Williamson, Franks, et al., 2016)).

88 In previous studies, we have demonstrated differential gene expression throughout the brains of
89 outbred CD1 mice of different social rank living in linear hierarchies, specifically in the medial
90 amygdala, central amygdala, medial preoptic area (So et al., 2015) and in the whole
91 hippocampus (Williamson, Franks, et al., 2016). We have shown that within minutes of the
92 removal of the dominant male from a social group, the subdominant male exhibits increased
93 aggression as well as rapid changes in GnRH gene expression in the medial preoptic region of
94 the hypothalamus (Williamson, Romeo, et al., 2017). In the current study, we aimed to generate
95 a map of immediate early gene activity throughout the SBN and areas related to the monitoring
96 of social context and social memory to assess how the brain of subdominant animals responds to
97 a changing social context when a social opportunity to ascertain alpha status arises. Specifically,
98 we assessed the pattern of Fos immunoreactivity in subdominant mice in response to the removal
99 of the alpha male (a dynamic social change) and compared this neural response to that of
100 subdominant mice living in a stable social system.

101

102 **METHODS**

103 *Subjects and Housing*

104 Throughout the study, mice were housed in the animal facility in the Department of Psychology at
105 Columbia University, with constant temperature (21–24°C) and humidity (30-50%) and a 12/12
106 light/dark cycle with white light (light cycle) on at 2400 hours and red light (dark cycle) on at 1200
107 hours. All mice were individually and uniquely marked by dyeing their fur with a blue, nontoxic,
108 non-hazardous animal marker (Stoelting Co.). These marks remain for up to 12 weeks and only
109 require one application, enabling each animal to be visually identified throughout the study. All

110 procedures were conducted with approval from the Columbia University Institutional Animal Care
111 and Use Committee (IACUC – Protocol No: AC-AAAP5405).

112

113 *Behavioral Manipulation*

114 To determine Fos activation associated with social ascent, we performed a social opportunity
115 manipulation comparing subdominant individuals in socially stable groups to those ascending in a
116 hierarchy from subdominant to dominant status. This procedure is similar to that previously
117 described (Williamson, Romeo, & Curley, 2017). A total of 48 male outbred CD1 mice aged 7
118 weeks were obtained from Charles River Laboratories and housed in groups of 3 for 2 weeks in
119 standard sized cages. At 9 weeks of age, groups of 12 mice were placed into custom built vivaria
120 (length 150cm, height 80cm, width 80cm; Mid-Atlantic; **Supplemental Figure 1**). The vivarium
121 was constructed as previously described (Williamson, Lee, & Curley, 2016). Each vivarium
122 consists of an upper level consisting of multiple shelves covered in pine bedding and a lower level
123 consisting of a series of nest-boxes filled with pine bedding connected by tubes. Mice can access
124 all levels of the vivarium via a system of ramps and tunnels. Standard chow and water were
125 provided ad libitum at the top of the vivarium, encouraging movement and exploration of all the
126 shelves. Social groups (N = 12 per group) were paired such that each group was introduced into
127 the vivarium directly before onset of the dark cycle on the same day as one other group, creating
128 2 sets of paired cohorts (4 cohorts total). Live behavioral observations were conducted each day
129 during the dark cycle. These observations consisted of trained observers recording all instances of
130 fighting, chasing, mounting, subordinate posture, and induced-flee behaviors. The identity of the
131 dominant and subordinate individuals in each interaction were recorded using all occurrence
132 sampling. Data was collected directly into electronic tablets and uploaded live to a google

133 spreadsheet. **Supplemental Table 1** contains an ethogram of the behaviors recorded. Observations
134 were conducted for 2 hours each day on Days 1-4 of group housing during the dark (red) light
135 cycle. At the end of Day 4, it was verified that a dominance hierarchy had emerged in each group,
136 and the identity of the alpha and beta male in each group was determined. On Day 5, at the onset
137 of the dark cycle, the alpha male from one of the paired cohorts was removed from the vivarium
138 and placed in a standard cage with food and water. In the other paired cohort, the alpha male was
139 sham-removed, which entailed an experimenter opening the Perspex windows to the vivarium,
140 placing their hand in the vivarium, and reaching towards the alpha mouse but not removing it from
141 the vivarium. This condition, which does not involve removing any mouse from the social group,
142 controls for behavioral changes that may be occurring in response to a non-social disturbance to
143 the environment. Live behavioral observations occurred for the period directly following the
144 removal or sham-removal. Ascending males were confirmed as the individual who won most
145 aggressive contests post-alpha removal without consistently losing to other individuals. Ninety
146 minutes after this ascending individual had won three fights, the ascending male was removed
147 from the alpha removal group and the non-ascending subdominant male was removed from the
148 sham-removal group.

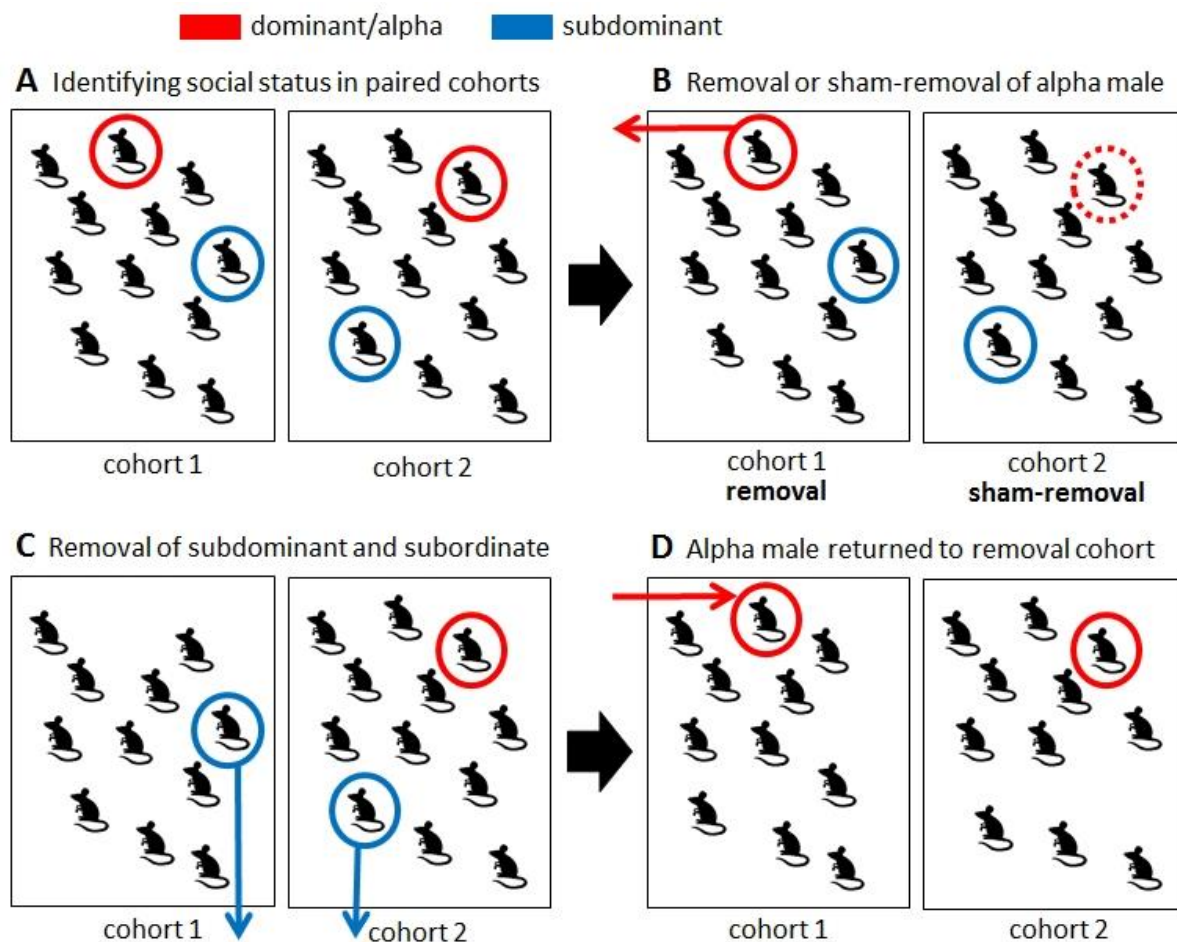
149 Following removal from the social group, mice were anesthetized with ketamine/xylazine and
150 perfused intracardially with phosphate-buffered saline (PBS) followed by 4% paraformaldehyde.
151 Brains were stored at 4°C in 4% paraformaldehyde for the first six hours following perfusion and
152 then switched to a 30% sucrose solution. Following the perfusions, the alpha male who had been
153 removed in the social ascent condition was returned to his social group. Alpha males always
154 retained their alpha status on return to the social group.

155 This procedure was repeated at four day intervals for a total of six “removals”. Manipulations were
156 counter-balanced between paired cohorts (i.e. one vivarium had alpha removal for removals 1, 3,
157 and 5, and sham removals for removals 2, 4, and 6, and the opposite was true for the paired
158 vivarium). Each removal/sham-removal decreased the size of the social group by 1, resulting in N
159 = 12 (removal one), N = 11 (removal two), N = 10 (removal three), N = 9 (removal 4), N = 8
160 (removal 5), N = 7 (removal 6). This design yielded 12 mice per group from two groups: beta
161 male/alpha removed (ascending males) and beta male/alpha remained (sham-removal
162 subdominants). See **Figure 1** for a schematic of the behavioral manipulation.

163

164

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166

167 **Figure 1. Schematic of the social opportunity experimental design.** (A) Two cohorts of twelve
168 mice are put into separate vivaria and a stable social hierarchy emerges, with clearly defined
169 dominant, and subdominant individuals. (B) The alpha male is removed from one stable hierarchy
170 and sham-removed from the paired hierarchy. (C) Following removal/sham-removal, behavioral
171 observations are conducted on both cohorts until ninety minutes after a subdominant rises in the
172 alpha-removed group. At this ninety-minute time point, the sub-dominant (rising to alpha) animal
173 in each hierarchy is removed and brain extracted for analyses. (D) One-hour following this
174 removal of the subdominant, the alpha male is returned to its social group. This procedure is
175 repeated five more times four days apart for each pair of cohorts.

176

177 *Immunohistochemistry*

178 Brains were stored in 30% sucrose in 0.1M PB at 4°C until slicing. Perfused whole brains were
179 sliced coronally into 40 µm sections and stored in 0.1 M PB azide until processing according to

180 the avidin– biotin procedure, using the Vectastain ABC Elite peroxidase rabbit IgG kit (Vector
181 Laboratories, Burlingame, CA). Free-floating sections were transferred into wells and washed
182 three times in 0.1 M PB for five minutes each rinse. The sections were then washed once in
183 hydrogen peroxide for five minutes and then washed three times in PBT for five minutes each
184 rinse. The sections were then placed in a solution of 2% Normal Goat Serum (NGS, Vector
185 Laboratories) in 0.1% Triton-X in 0.1M PB (PBT) for an hour, and then incubated in primary Fos
186 rabbit polyclonal IgG (Santa Cruz, USA, SC-52) at a concentration of 1:5000 overnight at 4°C
187 with 2% NGS block. The next day, the sections were washed 3 times in PBT for 5 minutes each
188 rinse and then incubated in biotinylated anti-rabbit IgG (Vecstain ABC Kit, Vector
189 Laboratories) at a concentration of 1:200 in PBS for 1 hour. Once the hour was complete, sections
190 were once again washed 3 times in PBT for 5 minutes each rinse. Sections were then incubated for
191 1 hour in an avidin– biotin–peroxidase complex in 0.1 M PBT (A and B solutions of the Vectastain
192 ABC Kit, Vector Laboratories) at a concentration of 40ul A: 40ul B: 10ml PBT and then washed
193 3 times in 0.1M PBS for 5 minutes each rinse. Fos immunoreactivity was visualized by incubating
194 the sections in 0.02% 3,3'-diaminobenzidine (DAB) solution for 2-4 minutes. Fos
195 immunoreactivity was visualized through incubation in a DAB stain for 2-4 minutes. Sections were
196 then washed once for 1 minute in 0.1M PBS and then washed 3 times in 0.1M PBS for 5 minutes
197 each rinse. All sections were then stored in 0.1M PB at 4°C for up to 24 hours until mounting.
198 Sections were mounted onto FisherBrand Plus slides and then coverslipped with DePeX mounting
199 medium (Sigma-Aldrich, St. Louis, MO).

200

201 *Photos and Image Analysis*

202 Images were taken of brain sections under a 10x objective microscope at a magnification x100 and
203 a digital camera. Localization of specific brain regions was determined using the Allen Mouse
204 Brain Atlas (Lein et al., 2007). Images were then cropped to include only the exact portion of each
205 brain region by overlaying images from *The Mouse Brain in Stereotaxic Coordinates* (Paxinos &
206 Franklin, 2004) over the photos in an image editing program. Particles were then analyzed with
207 the batch function using a macro in ImageJ (Schneider, Rasband, & Eliceiri, 2012). Twenty-five
208 separate brain regions were processed: bed nucleus of the stria terminalis (BNST), lateral septum
209 (LS), anterior hypothalamus (AH), medial preoptic area (mPOA), ventromedial hypothalamus
210 (VMH), medial amygdala (meA), dorsolateral periaqueductal grey (dIPAG), ventrolateral
211 periaqueductal grey (vlPAG), dorsal and ventral premammillary nuclei (PMd and PMv), cingulate
212 cortex, infralimbic and prelimbic regions of the prefrontal cortex (IL, PrL), piriform cortex,
213 retrosplenial cortex (RC), area CA1 of the hippocampus (CA1), area CA3 of the hippocampus
214 (CA3), the dentate gyrus (DG), anterior cortical amygdala (ACA), central amygdala (CeA),
215 basolateral amygdala (BLA), arcuate nucleus (Arc), lateral hypothalamus (LH), primary auditory
216 cortex, primary visual cortex. All subjects for each brain region were analyzed concurrently, with
217 each brain region being analyzed separately.

218

219 ***Statistical Analysis***

220 All statistical analyses were undertaken in R version 3.4.0 (R Core Team, 2016) in RStudio version
221 1.0.143 (RStudio Team, 2015).

222 *Behavioral analysis:* For each cohort, the linearity of the social hierarchy was calculated using
223 Landau's Modified h'. Briefly, the total number of wins by each individual against all other

224 individuals are entered into a sociomatrix. Landau's method then assesses the degree to which
225 each individual consistently dominates others in contests and whether individuals can be linearly
226 ordered based upon their wins and losses. The h' value ranges from 0 (no linearity) to 1
227 (completely linear). The significance of h' is determined by performing 10,000 two-step Monte
228 Carlo randomizations of the sociomatrix and comparing the observed h' against a simulated
229 distribution of h' (De Vries, 1995; Williamson, Lee, & Curley, 2016b). Temporal changes in
230 individual dominance ratings were calculated using Glicko Ratings (Glickman, 1999; So et al.,
231 2015). Glicko ratings are a pairwise-contest model ratings system where ratings points are
232 recalculated following each successive win or loss. All individuals start with a rating of 2200.
233 Ratings are gained after wins and lost after losses with the magnitude of points gained or lost
234 dependent upon the difference in ratings scores between the two individuals in each contest
235 (Glickman, 1999; Williamson, Lee, et al., 2016b). Landau's modified h' was calculated using the
236 R package `compete` v0.1 (Curley, 2016a). Glicko ratings were calculated using the `PlayerRatings`
237 package v1.0 in R (Stephenson & Sonas, 2012).

238

239 *Social ascent analysis:* To compare wins and losses between betas in the alpha removed group to
240 those in the alpha remained group, we used Wilcoxon rank sum tests. To compare wins and losses
241 between betas in the alpha removed and sham-removed group on the day of removal or sham-
242 removal to their behavior the day before, we used Wilcoxon signed rank tests.

243

244 *Fos Analysis:* To determine the effect of alpha removal on the number of immunoreactive cells in
245 each brain region, we used the R package `lme4` (Bates et al., 2015) to run negative binomial mixed

246 models, with social status (alpha removed or alpha remained) as a fixed effect and cohort, removal
247 number, side of the brain, number of wins, and number of losses as random effects.

248

249 *Hierarchical clustering analysis:* To determine brain region activation patterns in both the alpha
250 removed and alpha remained groups, we created correlation matrices for each group and visualized
251 them using the R package lattice (Sarkar, D, 2017). We then used the package pvclust (Suzuki &
252 Simodaira, 2015) to determine hierarchical clusters and generate p-values for each cluster using
253 multiscale bootstrap resampling.

254

255 **RESULTS**

256 *All cohorts form significantly linear hierarchies*

257 All social groups formed significantly linear dominance hierarchies with a clear alpha and beta
258 male after the first four days of group housing prior to the first alpha or sham-removal (all h' values
259 > 0.45 , mean $h' = 0.59$, all $p < 0.05$, mean $p = 0.016$). All alpha males maintained their alpha
260 status for the duration of their presence in the established social hierarchy.

261

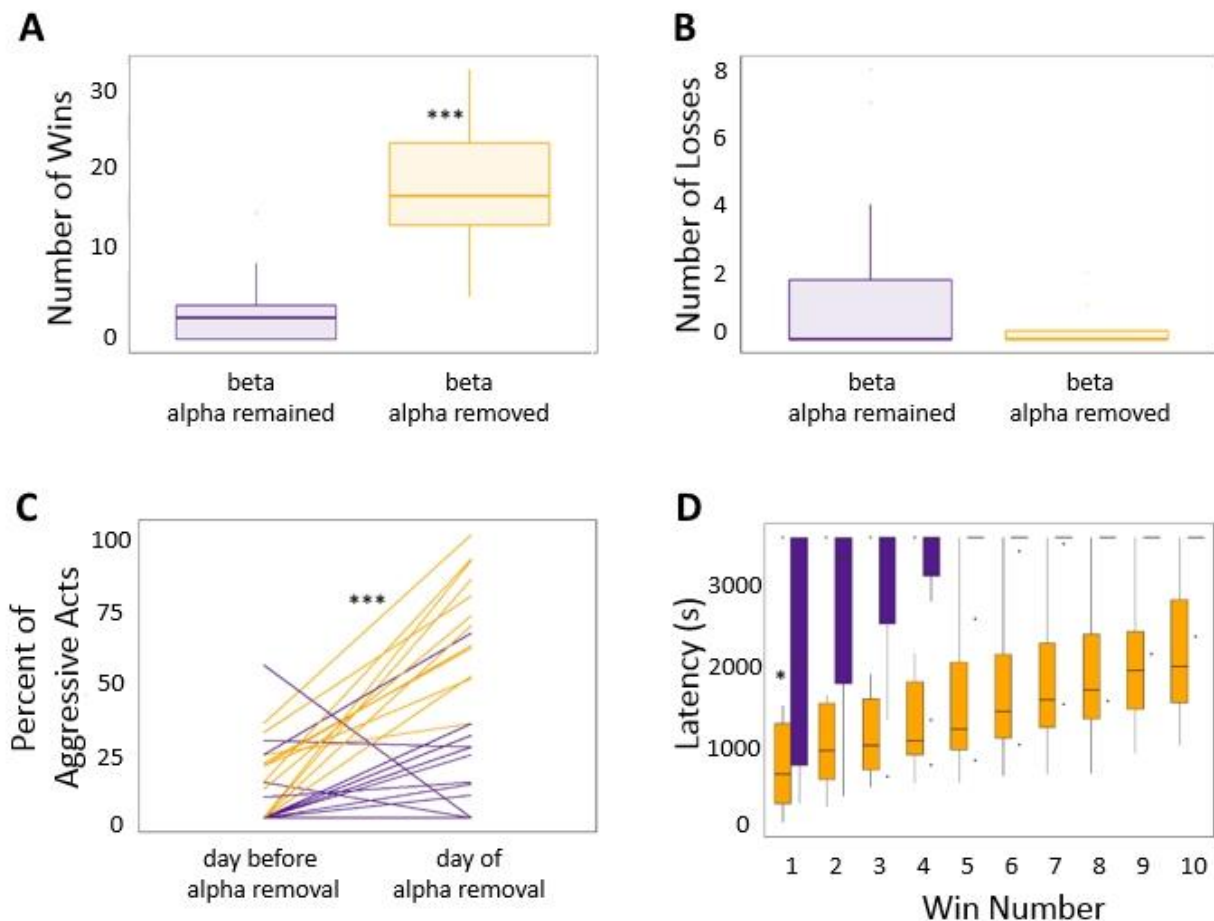
262 *Subdominant males socially ascend following removal of the dominant male*

263 After each of the 12 alpha male removals, a subdominant male ascended within 1 hour. Rising
264 subdominants had significantly more wins than the subdominant males in the sham-removal group
265 (Wilcoxon rank sum test $W = 138$, $p = 0.00018$ – **Figure 2A**). Further, the majority (9/12) of rising
266 subdominant individuals never lost a fight during this period, however there was no significant

267 difference in number of losses between rising subdominants in the alpha removed group and those
268 in the sham removal group (Wilcoxon rank sum test $W = 56.5$, $p = 0.3006$ – **Figure 2B**). When
269 compared to their behavior the day before alpha removal, rising subdominants had significantly
270 more wins (Wilcox signed rank test $V = 1$, $p = 0.003$) and significantly fewer losses (Wilcox signed
271 rank test $V = 48$, $p = 0.037$). There was no significant difference in wins (Wilcox signed rank test
272 $V = 21$, $p = 0.54$) or losses (Wilcoxon signed rank test $V = 16$, $p = 0.832$) in non-rising
273 subdominants on the day of sham removal when compared to the day before alpha removal (**Figure**
274 **2C**). In the alpha removed group, latency to first win occurred on average at 14.9 minutes, with
275 some individuals winning their first fight within 15 seconds. This was significantly different from
276 in the sham removal group, where latency to first win occurred on average at 34.9 minutes
277 (Wilcoxon rank sum test: $W = 36.5$, $p = 0.042$; **Figure 2D**).

278 In 11/12 of the removals, the rising subdominant was predicted based on data from the previous
279 three days prior to the alpha removal. In these 11 cases, the rising subdominant was the male with
280 the second highest Glicko ranking (i.e. the beta male) prior to removal. In the one instance where
281 this was not the case, the individual that ascended had a slightly lower Glicko ranking than the
282 previous beta male. However, it is worth noting that this was in the sixth removal after many
283 manipulations of the social group, and the alpha male in this group was extremely despotic
284 performing over 80% of all aggressive acts within the group. Consequently, fewer social contests
285 occurred between lower-ranked individuals making it difficult to unequivocally identify the ranks
286 of all other lower-ranked males at this time-point.

287



288

289 **Figure 2. Behavioral changes in subdominant male following removal or non-removal of the**
290 **alpha male.** (A) Beta males in groups where the alpha male was removed win significantly more
291 fights than beta males in groups where the alpha male remained in the group. (B) Beta males in
292 groups where the alpha male was removed do not lose significantly fewer fights than beta males
293 in groups where the alpha male was removed from the group. (C) The percentage of all contests
294 won by the beta male on the day before removal or sham removal compared to the day after
295 removal or sham removal. Lines represent different individuals. (D) Latency of subdominant males
296 to win successive contests within one hour after the alpha male was removed (yellow) or sham-
297 removed (purple)

298

299 *Social ascent is associated with differential Fos immunoreactivity throughout the brain*

300 **Table 1** describes Fos immunoreactivity pattern for beta males in the alpha removed and alpha
301 remained conditions for each brain region studied. For 15/25 brain regions, there was a significant

302 difference in Fos immunoreactivity, with individuals from the alpha removed group displaying
303 significantly higher numbers of immunoreactive cells (**Table 1**). Consistent with our predictions,
304 6 of these regions (BNST, LS, AH, mPOA, dlPAG, vlPAG) are areas within the Social Behavior
305 Network. The remaining 9 regions included prefrontal cortex (cingulate, infralimbic, prelimbic)
306 as well as the retrosplenial cortex, hippocampal regions (CA1 and dentate gyrus), and a
307 hypothalamic region (arcuate nucleus). Both the auditory cortex and visual cortex displayed
308 increased immunoreactivity in the alpha removed condition. See **Figure 3** for sample images of
309 Fos staining.

310

Table 1. Table of Fos cell counts and results of mixed models for all brain regions examined

Region	Network	Bregma (mm)	Alpha Removed Mean \pm SEM	Alpha Remained Mean \pm SEM	$\beta \pm$ SEM	p-value
BNST	<i>SBN</i>	<i>0.38 to 0.14</i>	<i>44.6 \pm 11.3</i>	<i>21.8 \pm 4.9</i>	<i>1.1 \pm 0.4</i>	<i>0.008</i>
LS	<i>SBN</i>	<i>0.38 to 0.14</i>	<i>96.0 \pm 27.8</i>	<i>19.7 \pm 4.2</i>	<i>1.9 \pm 0.7</i>	<i>0.004</i>
AH	<i>SBN/social def.</i>	<i>-0.46 to -0.58</i>	<i>69.0 \pm 12.2</i>	<i>39.3 \pm 7.4</i>	<i>0.5 \pm 0.2</i>	<i>0.004</i>
mPOA	<i>SBN/social def.</i>	<i>0.14 to -0.10</i>	<i>91.5 \pm 12.3</i>	<i>66.5 \pm 14.4</i>	<i>1.1 \pm 0.1</i>	<i>2.00E-16</i>
VMH	<i>SBN/social def.</i>	<i>-1.46 to -1.70</i>	<i>19.5 \pm 4.8</i>	<i>8.2 \pm 2.5</i>	<i>0.3 \pm 0.4</i>	<i>0.492</i>
meA	<i>SBN/social def.</i>	<i>-1.06 to -1.22</i>	<i>67.9 \pm 16.9</i>	<i>27.5 \pm 5.3</i>	<i>0.2 \pm 0.4</i>	<i>0.654</i>
dIPAG	<i>SBN/social def.</i>	<i>-2.92 to -3.16</i>	<i>20.6 \pm 3.5</i>	<i>12.5 \pm 2.2</i>	<i>1.6 \pm 0.3</i>	<i>6.23E-06</i>
vIPAG	<i>SBN/social def.</i>	<i>-2.92 to -3.16</i>	<i>12.7 \pm 3.2</i>	<i>6.3 \pm 1.4</i>	<i>1.0 \pm 0.4</i>	<i>0.023</i>
PMd	<i>social def.</i>	<i>-2.70 to -2.92</i>	<i>24.2 \pm 3.6</i>	<i>19.2 \pm 3.1</i>	<i>0.3 \pm 0.2</i>	<i>0.1</i>
PMv	<i>social def.</i>	<i>-2.70 to -2.92</i>	<i>37.5 \pm 5.0</i>	<i>35.9 \pm 5.9</i>	<i>0.3 \pm 0.2</i>	<i>0.159</i>
Cingulate	<i>Cortical</i>	<i>2.34 to 2.10</i>	<i>48.8 \pm 14.8</i>	<i>10.2 \pm 1.8</i>	<i>1.6 \pm 0.3</i>	<i>2.67E-06</i>
IL	<i>Cortical</i>	<i>1.94 to 1.54</i>	<i>90.4 \pm 14.0</i>	<i>12.9 \pm 2.3</i>	<i>1.5 \pm 0.3</i>	<i>5.49E-07</i>
PrL	<i>Cortical</i>	<i>1.94 to 1.54</i>	<i>142.2 \pm 24.9</i>	<i>17.9 \pm 3.4</i>	<i>2.0 \pm 0.3</i>	<i>1.33E-11</i>
Piriform	<i>Cortical</i>	<i>1.94 to 0.02</i>	<i>198.5 \pm 30.8</i>	<i>81.3 \pm 18.1</i>	<i>0.6 \pm 0.4</i>	<i>0.111</i>
RC	<i>Cortical</i>	<i>-0.94 to -1.94</i>	<i>34.7 \pm 11.7</i>	<i>5.2 \pm 1.6</i>	<i>1.4 \pm 0.5</i>	<i>0.004</i>
CA1	<i>limbic</i>	<i>-1.94 to -2.18</i>	<i>98.0 \pm 22.1</i>	<i>17.6 \pm 5.1</i>	<i>1.9 \pm 0.4</i>	<i>3.32E-06</i>
CA3	<i>Limbic</i>	<i>-1.06 to 1.22</i>	<i>125.9 \pm 28.3</i>	<i>61.6 \pm 14.7</i>	<i>0.6 \pm 0.3</i>	<i>0.085</i>
DG	<i>Limbic</i>	<i>-1.94 to -2.18</i>	<i>76.3 \pm 12.6</i>	<i>25.1 \pm 4.2</i>	<i>1.0 \pm 0.3</i>	<i>0.003</i>
ACA	<i>Limbic</i>	<i>-0.46 to -0.82</i>	<i>44.5 \pm 11.8</i>	<i>24.9 \pm 7.4</i>	<i>0.6 \pm 0.4</i>	<i>0.169</i>
ceA	<i>Limbic</i>	<i>-1.06 to -1.34</i>	<i>16.5 \pm 4.5</i>	<i>14.5 \pm 3.4</i>	<i>0.1 \pm 0.7</i>	<i>0.730</i>
BLA	<i>Limbic</i>	<i>-1.06 to -1.34</i>	<i>91.3 \pm 29.7</i>	<i>63.4 \pm 17.8</i>	<i>1.1 \pm 0.6</i>	<i>0.068</i>
Arc	<i>Limbic</i>	<i>-1.46 to -1.70</i>	<i>37.1 \pm 10.8</i>	<i>10.8 \pm 2.1</i>	<i>2.0 \pm 0.3</i>	<i>3.78E-09</i>
LH	<i>Limbic</i>	<i>-0.46 to -0.58</i>	<i>31.6 \pm 6.2</i>	<i>19.5 \pm 2.4</i>	<i>0.5 \pm 0.3</i>	<i>0.062</i>
Auditory	<i>Sensory</i>	<i>-2.18 to -2.80</i>	<i>120.2 \pm 44.3</i>	<i>18.7 \pm 4.1</i>	<i>2.1 \pm 0.6</i>	<i>4.60E-04</i>
Visual	<i>Sensory</i>	<i>-2.54 to -2.92</i>	<i>143.4 \pm 34.6</i>	<i>17.4 \pm 4.0</i>	<i>1.7 \pm 0.4</i>	<i>3.05E-05</i>

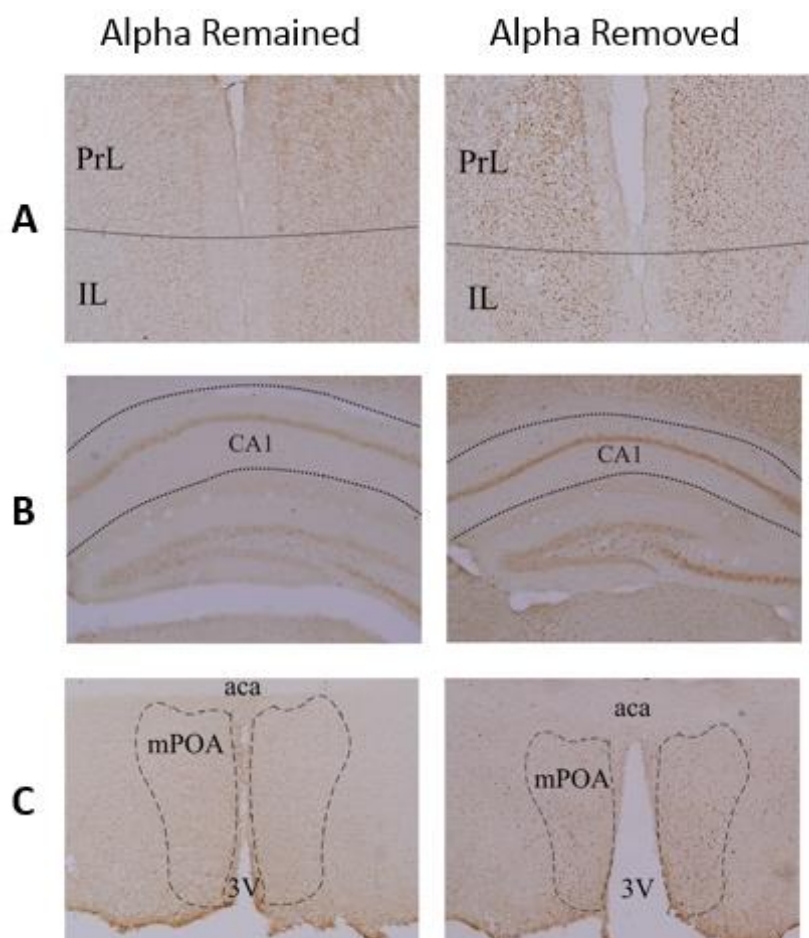


Figure 3. Sample images of Fos staining in individuals from the alpha remained (left) and alpha removed (right) groups. (A) Prelimbic and infralimbic regions of the medial prefrontal cortex (B) CA1 region of the hippocampus (C) Medial preoptic area of the hypothalamus

Hierarchical clustering analysis suggests differential patterns of activation in individuals undergoing social ascent

To examine whether social ascent lead to differential co-activation patterns throughout the brain, we performed a hierarchical clustering analysis. Significantly different clusters between individuals undergoing social ascent and those in stable social groups were identified. In the alpha

removed group, two distinct clusters formed, one including IL, PrL, DG, LS, CA3, vIPAG, LH, meA, Cing, RC, BLA, BNST, and CA1 and one including Aud, AH, PMv, CeA, Vis, CortA, dlPAG, mPOA, Pir, PMd, ARC, and VMH (**Figure 4A**). In the alpha remained group one cluster contained all regions but the BLA, IL, and PrL, with the IL and PrL splitting off into their own cluster (**Figure 4B**). Notably, in the alpha removed group we saw greater positive correlation between brain regions (**Figure 4C**) and in the alpha remained group, we saw greater negative correlation between brain regions (**Figure 4D**), suggesting that there was generally more coordinated activation of pathways in the individuals undergoing social ascent.

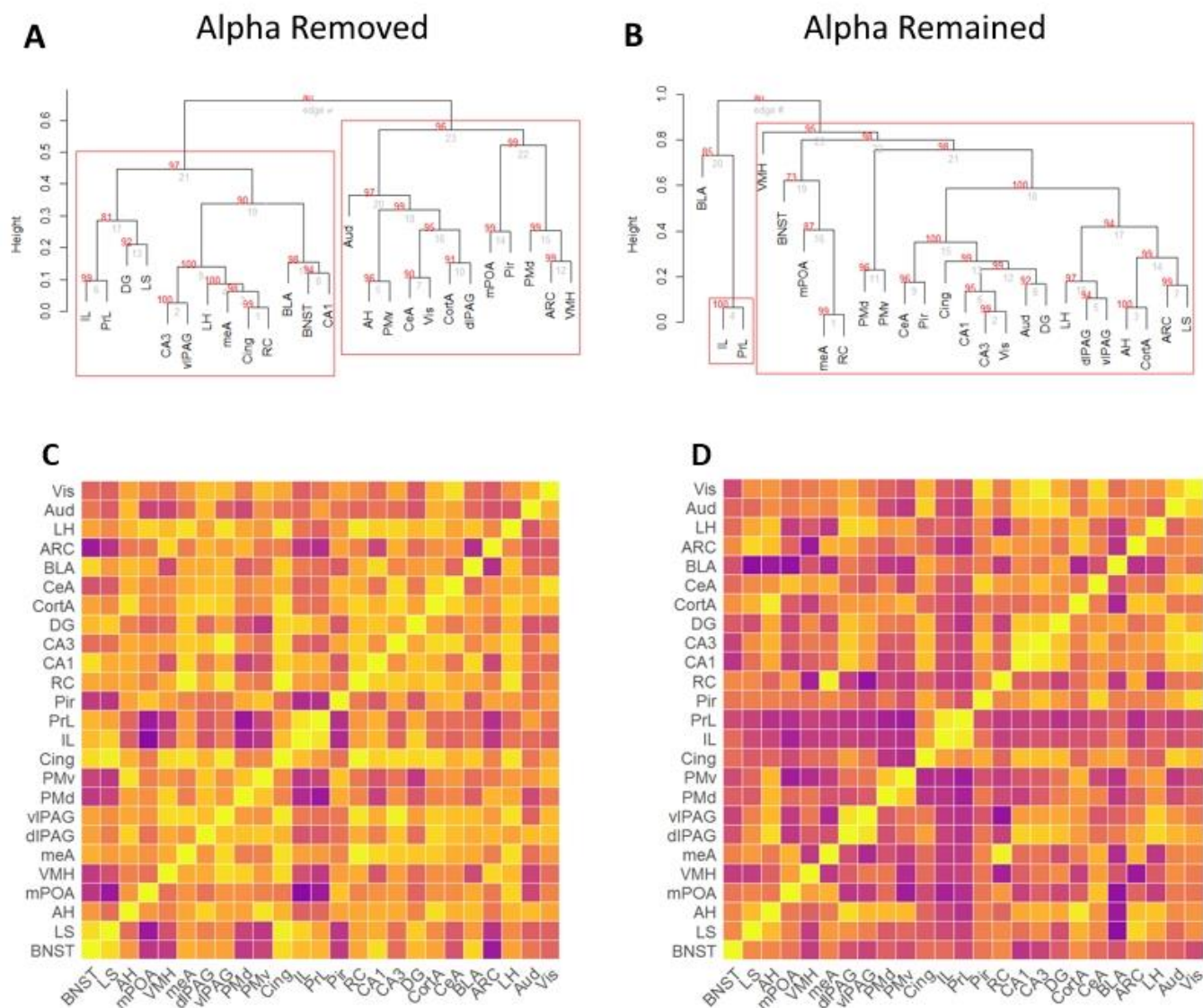


Figure 4. Beta individuals undergoing social ascent have distinct neural activation patterns as compared to beta individuals from stable groups. (A and B) Cluster dendrogram displaying results of hierarchical clustering analysis for beta males where the alpha was removed (A) and for beta males where the alpha remained (B). Red numbers indicate the approximately unbiased p-value generated through multiscale bootstrap resampling. Values higher than 95 indicate statistical significance. Red boxes denote significant clusters that are strongly supported by data. (C and D) Pearson correlation coefficients were used to create a heatmap of neural co-activation across examined brain regions. (C) Heatmap for beta males where the alpha was removed (D) Heatmap for beta males where the alpha remained

DISCUSSION

In the present study, we successfully replicated the behavioral findings from our previous work (Williamson, Romeo, et al., 2017), illustrating that following removal of the alpha male, beta males recognized the emergence of a power vacuum and use this opportunity to ascend to alpha status. Ascending males won significantly more and lost significantly less in comparison to their own behavior the day before, as well as compared to non-ascending beta males in hierarchies whose alpha had not been removed. Moreover, this change occurs rapidly with beta males beginning their ascent on average within 15 minutes. These findings provide further evidence that individuals in social groups recognize and behaviorally respond to dynamic changes in social context. This ability appears to be a fundamental feature of living within a social group, and has been seen to occur in a similarly controlled manner in African cichlid fish (Maruska, Zhang, et. al., 2013; Maruska & Fernald, 2010) where beta males begin to change color and increase aggression in response to alpha removal within minutes, and in primates, where beta males quickly and forcefully ascended to alpha status following alpha males receiving amygdaloid lesions (Rosvold, Mirsky, & Pribram, 1954). This ability for individuals to recognize and rapidly respond to changes in social status is an essential feature of social competence and is associated with greater social, reproductive, and health outcomes (Hofmann et al., 2014; Taborsky & Oliveira, 2012).

In the current study, we also demonstrate that response to a change in social context is associated increases in neural activity. Notable increases in immediate early immunoreactivity were observed throughout the SBN as well as in the prefrontal cortex and hippocampus. It appears that coordinated activation of these regions is required to facilitate the assessment of a change in the

social context combined with the increase in aggressive behavior to facilitate social ascent. In the SBN, we saw significant differences in cell counts between ascending beta males and stable beta males in the BNST, lateral septum, mPOA, anterior hypothalamus, and both the dIPAG and vIPAG. Each of these regions have well-established roles in the modulation of social behavior (Goodson, 2005). Significantly, we did not see any difference in neural activity in the VMH or medial amygdala. The VMH has been demonstrated to be of particular interest in female social behavior (Goodson, 2005), for example when females are assessing the social dominance of potential mates (Desjardins, Klausner, & Fernald, 2010) or in female aggression (Hashikawa et al., 2017). Further, the VMH has been shown to be involved in response to territorial challenge and stress (Goodson & Evans, 2004) but does not appear to be involved in the processing of social context information. The lack of difference in the medial amygdala is more remarkable, as it has been heavily implicated in social dominance (Bolhuis, Fitzgerald, et. al., 1984; Rosvold, Enger et al., 1954; So et al., 2015; Timmer, Cordero, et. al., 2011). However, these previous findings appear to be specific to stable social groups and to understanding the physiology of individuals of dominant vs. subordinate status and cannot be assumed to extend to individuals responding to a changing social context and subsequently undergoing a change in social status.

The largest brain differences observed between ascending beta males and non-ascending beta males were in the prelimbic and infralimbic regions of the prefrontal cortex. In non-ascending beta males we find very little activation in these regions, whereas in ascending males we find very large levels of activation. These regions of the medial prefrontal cortex have been established as essential to the processing of rodent social behavior, including aggressive behavior, affiliative behaviors, and dominance behavior (Ko, 2017; Wang, Kessels, & Hu, 2014). In mice, prelimbic neurons have also been implicated in processing social preference as well as

social-spatial information (Murugan et al., 2017). Further, the mPFC has been implicated in humans in the processing of social status information (Silk et al., 2017; Wang et al., 2014; Zerubavel et al., 2015) and the social network position of others (Parkinson, Kleinbaum, & Wheatley, 2017) as well as processing information in relation to self – i.e. how one fits into the broader social context (Pfeifer et al., 2009). Other studies have shown activation of the mPFC when processing unstable social hierarchies (Zink et al., 2008). In pairs of rhesus monkeys, the dominant individual's PFC becomes locked in an “up-state” while the subordinate individual's becomes locked in a “down-state”. This state rapidly switches when relative hierarchical status is switched (Fujii, Hihara, Nagasaka, & Iriki, 2009). Our findings provide further evidence for the crucial role of the medial prefrontal cortex in processing information about social context. Moreover, we purposefully chose to examine brains 90 minutes after each male had behaviorally demonstrated that they had begun to socially ascend. The aim of this methodological approach was to ensure we could identify regions involved in processing the change in social context and that might promote further downstream brain activation. Taking into account the previous literature and our current findings, we suggest that the IL and PL are key regions in the tracking of changes in the social environment and in facilitating further social-decision making, i.e. social ascent.

Our analyses also identified neural activity within the hippocampus, specifically the CA1 and dentate gyrus, as being associated with dynamic change in social status. Neurons in the ventral hippocampus are necessary for social memory storage and are specifically activated in response to familiar mice (Okuyama, Kitamura, Roy, Itohara, & Tonegawa, 2016). Before a beta individual begins his ascent, we observe patrolling and olfactory exploration of the vivarium by

these males. During this exploration, the beta male is coming into contact with the urine of the familiar alpha male, a highly salient signal of social status (Lee, Khan, & Curley, 2017). This exploration could potentially lead to activation of the CA1 in response to social memory of interaction with the alpha. This social information could be integrated with the corresponding excitation in the prefrontal cortices. Notably, there is a clear, excitatory pathway from CA1 to the prelimbic region of the prefrontal cortex (Thierry, Gioanni, Dégénétais, & Glowinski, 2000), though further studies are required to elucidate the exact activation patterns connecting these brain regions. Further, both the dentate gyrus and the retrosplenial cortex have been implicated in regulating spatial memory (Ibi et al., 2008; Jessberger et al., 2009; Nilsson, Perfilieva, Johansson, Orwar, & S. Eriksson, 1999; Ophir, Wolff, & Phelps, 2008; Czajkowski et al., 2014) . In a changing complex social environment, determining the physical presence or absence of more dominant individuals is critical and the observed activation of these brain regions in ascending males may be related to utilization of spatial memory. Moreover, oxytocin receptor signaling in the dentate gyrus has also been shown to be necessary for discrimination of social stimuli (Raam, McAvoy, Besnard, Veenema, & Sahay, 2017), providing further evidence for the importance of this brain region in processing social contextual cues.

We observed significantly elevated activation in the primary visual cortex and primary auditory cortex of ascending males. While studies of mouse social behavior often do not focus on the primary visual cortex, it is essential to social processing in humans – social visual signals provide information about emotional expression, direction of gaze, body posture, and movement, all important social cues (Adolphs, 2003). Studies in non-human primates have demonstrated that neuronal responses in the visual cortex appear to encode highly specific social stimuli such

as those described above (i.e. faces, gaze, etc.) (Perrett, Rolls, & Caan, 1982). While there is limited work to suggest that processing of visual stimuli is essential to mouse social behavior, studies of mouse models of autism suggest that excitatory/inhibitory balance and plasticity in the visual cortex during critical periods in development is important for the development of social behavior (Gogolla et al., 2009). Further, lack of proper gamma oscillations generated in the primary visual cortex are similarly implicated in autism, and have been shown to be important for information processing and learning, suggesting that these oscillations are important for appropriate social behavior (Gogolla et al., 2009; Singer, 1993). It is likely that the activation of the visual cortex during social opportunity is related to visual monitoring of the social environment. The impact of changing social context on the primary auditory cortex is consistent with the established role of auditory cues in communication in mice. Ultrasonic vocalizations (USVs) have been demonstrated to facilitate social interactions in mice (Liu, Miller, Merzenich, & Schreiner, 2003). Dominant males have been shown to elicit significantly more of these vocalizations in mating situations (Lumley, Sipos, Charles, Charles, & Meyerhoff, 1999; Nyby, Dizinno, & Whitney, 1976), though not necessarily during aggressive encounters (Nyby & Whitney, 1978; Portfors, 2007). These USVs may function as territorial signals between males mice (Gourbal, Barthelemy, Petit, & Gabrion, 2004; Hammerschmidt, Radyushkin, Ehrenreich, & Fischer, 2012). These findings lead us to hypothesize that alpha males most likely emit USVs on a regular basis in the vivarium and that subdominants process the auditory inputs from the environment to determine if the alpha male is present or absent.

Our analyses of immediate early gene activation indicated different co-activation patterns in the beta males undergoing social ascent from those in a stable social group. Most notably, those in the

alpha removed group had overall increased, positively correlated activation throughout the regions studied, where those in the alpha remained group showed a more negative correlation throughout these regions. This is consistent with the idea that individuals whose social context is rapidly changing are responding with a cascade of neural activation to coordinate complex social behavior. Further, we show through a hierarchical clustering analysis that individuals in the alpha removed group have more specific clusters of activation, with prefrontal cortical (IL, PL, cingulate and retrosplenial cortices), hippocampal (CA1, CA3 and dentate gyrus), and some SBN regions clustering separately from sensory regions, premammillary nuclei, periaqueductal grey, and other hypothalamic regions including the mPOA. In non-ascending males, the IL and PL cortices are in a separate cluster altogether from the SBN. Guided by these data, we propose that when a social opportunity arises, the IL and PL cortices are activated and evaluate changes in the social context. This information is integrated along with other information related to social and spatial memory processed by the hippocampus and retrosplenial cortex in a coordinated fashion. Information from sensory regions is synchronously activated and integrated with this social information before activating nodes of the SBN to facilitate the appropriate behavioral response.

CONCLUSION

In the present study, we established that following removal of the alpha male from a stable dominance hierarchy, the beta male recognizes the absence of the alpha and responds by rapidly increasing aggressive behaviors. We have demonstrated that this salient social stimulus of removing the alpha male and subsequent change in behavior by the beta male leads to increased Fos immunoreactivity throughout the brain, specifically in regions of the SBN, as well as the medial prefrontal cortex, retrosplenial cortex and area CA1 of the hippocampus. These findings

suggest that the complex social competence required to assess one's social context and respond appropriately is modulated by a synchronous and integrated increase in activity throughout the brain.

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DISCLOSURE OF INTEREST

The authors report no conflicts of interest.

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