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1 Impact of a ketogenic diet intervention during
2 radiotherapy on body composition: III. An interim
3 analysis of the KETOCOMP study

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16 Abstract

17 **Background:** Ketogenic therapy (KT) in the form of ketogenic diets (KDs) and/or supplements that
18 induce nutritional ketosis have gained interest as a complementary treatment for cancer patients.
19 Besides putative anti-tumor effects, preclinical and preliminary clinical data indicate that KT could
20 induce favorable changes in body composition of the tumor bearing host. Here we present first
21 results of our ongoing KETOCOMP study (NCT02516501) study concerning body composition changes
22 among rectal, breast and head & neck cancer (HNC) patients who underwent concurrent KT during
23 standard-of-care radiotherapy (RT).

24 **Methods:** Eligible patients were assigned to one of three groups: (i) a standard diet group; (ii) a
25 ketogenic breakfast group taking 50-250 ml of a medium-chain triglyceride (MCT) drink plus 10 g
26 essential amino acids in the morning of RT days; (iii) a complete KD group supplemented with 10 g
27 essential amino acids on RT days. Body composition was to be measured prior to and weekly during
28 RT using 8-electrode bioimpedance analysis. Longitudinal data were analyzed using mixed effects
29 linear regression.

30 **Results:** A total of 17 patients underwent KT during RT thus far (rectal cancer: n=6; HNC: n=6; breast
31 cancer: n=5). All patients consuming a KD (n=14) reached nutritional ketosis and finished the study
32 protocol with only minor problems reported. Compared to control subjects, the ketogenic
33 intervention in rectal and breast cancer patients was significantly associated with a decline in fat
34 mass over time (-0.3 and -0.5 kg/week, respectively), with no significant changes in skeletal muscle
35 mass. In HNC patients, concurrent chemotherapy was the strongest predictor of body weight, fat free
36 and skeletal muscle mass decline during radiotherapy, while KT showed significant opposite
37 associations. Rectal cancer patients who underwent KT during neoadjuvant RT had significantly
38 better tumor response at the time of surgery as assessed by the Dworak regression grade (median 3
39 versus 2, p=0.04483).

40 **Conclusions:** While sample sizes are still small our results already indicate some significant favorable
41 effects of KT on body composition. These as well as a putative radiosensitizing effect on rectal tumor
42 cells need to be confirmed once the final analysis of our study becomes possible.

43

44 **Key words:**

45 bioimpedance analysis; breast cancer; head and neck cancer; ketogenic diet; amino acids; rectal
46 cancer

47 **Introduction**

48 Cancer patients frequently seek additional possibilities to support their standard therapies, improve
49 their quality of life and positively influence their outcomes. One such supportive treatment approach
50 is ketogenic therapy (KT) which comprises dietary interventions leading to nutritional ketosis such as
51 ketogenic diets (KDs), short-term fasting and ketone body supplementation [1–3]. Nutritional ketosis
52 is a physiological state, usually defined as β -hydroxybutyrate (BHB) concentrations exceeding 0.5
53 mmol/l [4]. Ketogenic therapy for cancer patients is an emerging research topic, paralleled by an
54 increasing interest on behalf of patients. For example, a survey among high grade glioma patients
55 revealed that almost three quarters (73%) of patients would be willing to try a KD for three months
56 and 66% would participate in a clinical trial investigating the effectiveness of the KD [5].

57 In a variety of preclinical tumor models, ketogenic therapy has shown beneficial effects, including
58 efficacy against tumor growth and a positive impact on body composition, although some counter-
59 examples showing no or even tumor-promoting effects of KDs or ketone bodies exist [6–9]. These
60 contrasting findings concerning the efficacy of ketogenic therapy against tumor growth are most
61 likely explained by the metabolic phenotype of the particular tumor treated [10–12]. However, a
62 growing number of studies reveal synergistic effects of ketogenic therapy with other therapies
63 inducing oxidative stress in tumor cells such as radiotherapy (RT), chemotherapy or hyperbaric
64 oxygen [1,3,13–15]. In addition, mechanistic studies provide evidence for muscle-sparing effects of
65 ketone bodies, especially under conditions of insulin resistance often encountered in cancer patients.
66 This makes sense from an evolutionary perspective, given that ketosis during starvation periods
67 could have helped to maintain muscle mass which is indispensable for hunting and gathering foods.
68 Despite the growing number of preclinical in vitro and in vivo studies, research on the effects of
69 ketogenic therapy in humans is still limited to small pilot studies and case reports [8]. In an initial

70 case series of patients undertaking a KD during RT in our clinic we found some evidence that the diet
71 could induce beneficial effects on body composition and quality of life [16]. This led to the initiation
72 of a clinical phase I study with the main aim to investigate the impact of a KD intervention on body
73 composition in cancer patients undergoing RT (the KETOCOMP study, ClinicalTrials.gov Identifier:
74 NCT02516501) [17]. Here we report an interim analysis of in total 63 patients who were either
75 enrolled in the KETOCOMP study or followed a very similar protocol prior to study initiation. While
76 the study is ongoing, these results are useful for providing first insights into the feasibility and effects
77 of ketogenic therapy during RT treatment of ambulatory patients.

78 **Materials and Methods**

79 **Study protocol**

80 The KETOCOMP study has been approved by the ethics committee of the Bavarian Medical
81 Association (Landesärztekammer Bayern) and registered under ClinicalTrials.gov Identifier no.
82 NCT00123456. The detailed study protocol has been published previously [17]. Briefly, patients
83 between 18 and 75 years with rectal, breast or head and neck cancer (HNC) referred to our clinic for
84 curative RT were principally eligible for participating. Exclusion criteria were body mass index <18 or
85 >35 kg/m², Karnofsky index <70, pregnancy, metallic body parts that interfere with bioimpedance
86 analysis (BIA), type I diabetes, known enzyme defects that contradict a KD and renal insufficiency.
87 Patients were assigned to one of three groups: (i) a standard diet group; (ii) a ketogenic breakfast
88 group taking 50-250 ml of a medium-chain triglyceride (MCT) drink (betaquick®, vitaflo, Bad
89 Homburg, Germany) plus 10 g essential amino acids (MyAmino®, dr. reinwald healthcare gmbh & co
90 kg, Altdorf, Germany) in the morning of RT days; (iii) a complete ketogenic diet group supplemented
91 with 10 g essential amino acids on RT days. The composition of the MCT drink and amino acid
92 supplement is shown in Supplementary Table 1.

93 Body composition was supposed to be measured prior to and weekly during RT using the seca mBCA
94 scale (seca Deutschland, Hamburg, Germany). Based on body weight (BW), height, age, gender and 5
5

95 kHz und 50 kHz resistance and reactance values, the scale estimates fat free mass (FFM),
96 extracellular water and total body water and – using 50 kHz values only – skeletal muscle mass
97 (SMM) [18,19]. Fat mass (FM) was calculated as $FM=BW-FFM$. In order to standardize each
98 measurement, patients were advised to fast overnight, not to drink in the morning and to void their
99 bladder; their RT appointments were accordingly scheduled in the morning so that they could receive
100 radiotherapy after BIA and weighing. On three occasions, blood samples were supposed to be
101 collected with the patient still in fasting state immediately following BIA: once prior to, once in the
102 middle of and once in the last week of RT.

103 As a proxy for measuring possible synergistic effects between ketogenic therapy and RT, we used the
104 Dworak regression grade [20] at the time of surgery in rectal cancer patients treated with
105 neoadjuvant chemo-RT. The Dworak grade ranges from 0 to 4, with 0 indicating no response and 4
106 complete remission of the tumor.

107 **Ketogenic interventions**

108 In most cases, ketogenic interventions were started following baseline measurements prior to the
109 first RT fraction and lasted until the final week of RT. Patients in the KETOCOMP study received a
110 popular book on the KD for cancer patients [21], handouts with brief descriptions which foods to
111 consume and which to avoid, urinary ketone strips for self-assessment of ketosis, and they had the
112 opportunity to speak to our dietician. The consumption of a whole food KD was promoted, with
113 emphasis on high-quality protein (meat, eggs and fish), micronutrient-dense foods (vegetables to
114 every meal, organ meats and bone broth), and avoidance of industrial and processed foods (with the
115 exception of MCT oil) as well as vegetable oils (except virgin coconut and olive oil) and foods rich in
116 anti-nutrients (grains and legumes). Dairy products were suggested in moderation and preferably in
117 the form of butter, cheese and fermented products. Due to the theoretically high micronutrient
118 density and the brief duration of the KD, no additional supplements were advised. Patients in the
119 ketogenic breakfast group were informed about the nature of a ketogenic diet and advised to avoid
120 sugar and processed carbohydrates. They were instructed to receive each RT fasted and then

121 consume the breakfast which they received by the technical staff. For the rest of the day, they could
122 eat and drink ad libitum. Patients in the control group received no dietary advice, but were also free
123 to receive dietary counseling, in which case they obtained the official recommendations of the
124 German Society for Nutrition (DGE). Besides diet, all patients were advised to maintain their habitual
125 lifestyle habits during the duration of RT.

126 **Study cohort**

127 A list of patients included in this analysis is given in Supplementary Table 2, and from now on
128 individual patients will be referred to by their number given in that table. In order to increase patient
129 number and therefore modeling accuracy, a total of five patients undertaking a KD during RT prior to
130 the KETOCOMP study initiation were included in this analysis since they received the same weekly
131 BIA measurements as the KETOCOMP participants; four of these patients are described in more
132 detail in a previous publication [16]. We also included one HNC patient who wished to participate in
133 the KD group of the study despite having a metallic knee implant and included her data in the
134 analysis of body weight changes. Due to the small number of patients recruited for the ketogenic
135 breakfast group to date, patients receiving a ketogenic breakfast and those on a complete ketogenic
136 diet were grouped together into a single “Ketogenic therapy” (KT) group.

137 **Statistical analysis**

138 Longitudinal body composition data were analyzed using linear mixed effects models with the
139 intercept and slope for time since start of RT as random effects depending on the individual patient.
140 Time, intervention group (0=control/1=ketogenic), their interaction and the corresponding baseline
141 body composition measure were included into each model. In addition, the following covariates were
142 included based on their possible influence on body composition: Age, gender, baseline BMI,
143 irradiated volume (planning target volume), and, for HNC patients, chemotherapy (0=no/1=yes) and
144 PEG use in the timeframe prior to a particular measurement. For HNC patients, a time ×
145 chemotherapy and time × PEG use interaction were included if Akaike’s information criterion

146 indicated an improvement in model fit. To ease interpretability of the regression coefficients, prior to
147 model fitting, the covariates age and BMI were scaled to have mean zero and standard deviation 10
148 years or 10 kg/m², respectively.

149 Differences between continuous and categorical variables were assessed using the Wilcoxon rank
150 sum and Fisher's exact test, respectively. All analysis was carried out in R, version 3.4.1 with the
151 software package lme4 for linear mixed effects modeling.

152

153 Results

154 Patient characteristics at baseline are given in Table 1. The KT and control groups were comparable
155 with respect to most variables except for significantly lower BMI in the rectal and HNC intervention
156 groups and higher fasting blood glucose levels in the rectal cancer control group. Minor deviations
157 from the study protocol were the inclusion of a 76 year old rectal cancer patient and three breast
158 cancer patients having BMI > 35 kg/m² (maximum 36.57 kg/m²). Also, some patients received
159 baseline measurements after RT had already started, but all within the first week of RT. The median
160 study duration was 35 (KT) versus 37 (control) days (p=0.118) in breast cancer patients, 41 versus 43
161 days (p=0.9669) in HNC patients and 39 versus 34 days in rectal cancer patients (p=0.0277).

162 Ketogenic intervention

163 Ketogenic diets

164 A total of 14 patients had undertaken a KD during RT: five rectal cancer, four HNC and five breast
165 cancer patients (Supplementary Table 1). All of them exhibited nutritional ketosis with at least one
166 finger prick or laboratory BHB concentration measurement reading >0.5 mmol/l. Median BHB
167 concentrations during the KD were 0.6 (range 0.05-4.15) mmol/l, significantly higher than baseline
168 values (p=0.0001857) and values measured in the controls (p=1.355×10⁻¹⁵). All patients on a KD
169 maintained compliance until the final measurement which marked the end of the study, except for

170 one patient (#4 in Supplementary Table 2) who interrupted the diet for a few days due to aversion
171 against fatty foods (especially the taste of coconut oil). Most patients also received 10 g of the
172 essential amino acid supplement on radiation days which was tolerated by all but one who ingested
173 the amino acids dissolved in water via a PEG tube (patient #29).

174 **Ketogenic breakfast**

175 So far, two patients maintained the maximum MCT dose during RT: Patient #7 revealed BHB
176 concentrations of 1.1 mmol/l one hour after ingesting 50 g MCT fat, and patient #35 had 0.7 mmol/l
177 ketosis 45 min after ingesting 45 g MCT fat. The lower maximum dose in the latter patient was due to
178 a new packaging of the betaquick® drink which reduced the volume of one container from 250 ml to
179 225 ml. One head and neck cancer patient (#33) had to reduce the MCT dose after reaching the
180 maximum dose due to gastrointestinal problems and continued with about 20 g MCT fat per day
181 which he took at home since he preferred a calm environment. He continued the study protocol with
182 the amino acid supplement, added a ketogenic formula drink (KetoDrink, Tavarlin, Darmstadt,
183 Germany) and additionally fasted a minimum of 16 h prior to each chemotherapy cycle. His median
184 fasting BHB concentration during RT was 0.6 (0.45-0.82) mmol/l, and he accordingly was classified
185 into the KT group. Two patients (#6 and #34) showed poor compliance to the ketogenic breakfast
186 protocol in general and specifically complained about the taste of the amino acid supplement and
187 gastrointestinal problems after consuming the MCT drink; they dropped out of the study early and
188 were excluded from further analysis.

189 **Body composition changes**

190 On average, 7 BIA measurements were performed per patient (range 2-9). Figure 1 shows linear
191 regression lines for each patient stratified according to intervention group and tumor entity. Visually
192 it appears that linear regression against time gives an adequate fit to the data; indeed, including a
193 quadratic time component into the body composition models did not yield better fits as judged by
194 the AIC. In fitting all the data for each tumor entity together, we found mixed effects models with

195 varying slope and intercept superior to varying intercept only or fixed effects models as judged by
196 both the AIC and maximum likelihood ratio test (results not shown). The results are given in Tables 2-
197 4 for rectal, HNC and breast cancer patients, respectively.

198 **Rectal cancer**

199 In rectal cancer patients, those in the KT group lost significantly more BW between the first and the
200 final measurement than those in the control group ($\Delta BW=2.05$ kg versus 0.4 kg, $p=0.04144$). There
201 was also a trend for a greater reduction in FM in the KT group ($\Delta FM= 1.7$ kg versus 0.6 kg,
202 $p=0.09697$). Changes in FFM, SSM and phase angle between first and final measurement were not
203 significantly different between groups.

204 In linear regression analysis, KT was associated with a gradual loss of 0.2 kg BW and 0.3 kg FM per
205 week, the latter association being significant ($p=0.02135$). No further significant associations with
206 body composition were obtained (Table 2).

207 **Head and neck cancer**

208 Most HNC patients tended to lose some BW over the course of RT. Average weight loss was 5.0 ± 4.3
209 kg in all patients and significantly greater in patients having received concurrent chemotherapy
210 (7.0 ± 2.9 kg versus 0.2 ± 2.8 kg, $p=0.0002064$). Among patients having received chemotherapy, those
211 in the KT group experienced significantly less weight loss than those in the control group (4.7 ± 1.7 kg
212 versus 8.3 ± 2.7 kg, $p=0.01199$). In linear regression modeling, the strongest predictor of weight loss
213 was chemotherapy (-1.2 kg/week), while KT was significantly associated with a weight gain of 0.8
214 kg/per week (Table 3). Chemotherapy was further strongly associated with gradual decreases in FFM,
215 FM, SMM and phase angle, while KT had the opposite effect. In particular, KT significantly predicted
216 for retention of FFM and SMM. Incorporation of a time \times PEG use interaction did not improve the
217 model fits except for phase angle where it was, however, not a significant predictor.

218 **Breast cancer**

219 All breast cancer patients in the KT group lost adipose mass between the first and the final
220 measurement (median Δ FM=2.0 kg, range 1.3-4.2 kg) while there was basically no change in the
221 control group control group (median Δ FM=0.07 kg, range -1.4-1.4 kg, $p=0.003108$ compared to the
222 KT group). There was also a trend for greater BW loss in the KT group (Δ BW=3.1 kg versus 0.3 kg,
223 $p=0.06527$). Changes in FFM, SMM or phase angle between the first and final measurement were not
224 significantly different between groups.

225 In linear regression analysis, KT was associated with significantly greater gradual BW (-0.3 kg/week,
226 $p=0.03348$) and FM (-0.5 kg/week, $p=5.6023 \times 10^{-6}$) reductions (Table 4). While the model indicated a
227 significant gradual decline in phase angle of 0.05° /week in the KT group, KT itself was associated with
228 a 0.16° higher phase angle compared to the control group. The strongest predictor of phase angle
229 was baseline BMI which indicated a 0.3° higher phase angle for every 10 kg/m^2 increase in BMI.

230 **Tumor regression**

231 Among the rectal cancer patients receiving neoadjuvant radio-chemotherapy (n=5 in the KT group,
232 19 in the control group), the median Dworak regression grade at the time of surgery was 3 (2-3) in
233 the KT group compared to 2 (1-4) in the control group ($p=0.04483$), possibly indicating a better tumor
234 response to RT. Patient #2 who was on a KD during RT refused surgery so that no Dworak grading
235 was performed; he continued with the KD after RT and was progression-free at the last follow-up at
236 31 months after RT.

237 **Discussion**

238 In this interim analysis of the ongoing KETOCOMP study, we investigated the effect of a ketogenic
239 intervention – either a KD or a ketogenic breakfast containing highly bioavailable amino acids and
240 ketogenic MCT fat – on body composition changes during RT. The majority of patients consumed a
241 whole KD during the course of RT with no dropout. This is in stark contrast to some previous studies,

242 especially the KETOLUNG and KETOPAN studies in which $\geq 50\%$ of patients did not tolerate a highly
243 artificial KD containing only 8% energy from protein during RT [22]. We think the fact that our
244 patients had early tumor stages, were intrinsically highly motivated and advised to eat a diet based
245 on natural foods could have contributed to the good compliance. Our preliminary results indicate
246 beneficial effects in terms of FFM and SMM retention in HNC patients and FM reduction in breast
247 and rectal cancer patients. For rectal cancer, there was an indication of better tumor response to RT
248 under the ketogenic intervention as assessed by the Dworak regression grade at surgery, although
249 this result should be seen as preliminary due to the small number of patients.

250 It is increasingly recognized that BW per se is a poor indicator of nutritional status and health. BIA
251 allows for an inexpensive, non-invasive tracking of body composition which has much more
252 prognostic value since it is able to predict FFM, SMM and hydration status. By directly measuring the
253 electrical properties of body tissues, BIA also provides additional clues about the nutritional status on
254 the cellular level. For example, De Luis et al. showed that HNC patients were characterized by lower
255 reactance and phase angle than healthy control subjects despite normal weight and BMI and even
256 without prior weight loss [23]. On the metabolic side, these signs of cellular malnutrition manifest
257 themselves as insulin resistance with increased lipid oxidation and impaired glucose tolerance [24–
258 26]. Hence, it has been argued that high fat diets with an appropriate supply of amino acids provide
259 the best metabolic support for the cancer patient while minimizing tumor growth promoting stimuli
260 [27–29].

261 BIA is further useful to detect sarcopenia and sarcopenic obesity which are not straightforward to
262 detect with standard anthropometric assessments, yet can have significant adverse consequences in
263 terms of treatment tolerability and overall survival [30]. HNC patients represent a particularly frail
264 population in this respect as they frequently develop sarcopenia during treatment which has been
265 associated with poor quality of life and low physical performance status [31] and occurs even under
266 recommended energy and protein intake [32]. FFM loss can account for 60-70% of total weight loss
267 in these patients and has been correlated to increased inflammatory cytokine and C-reactive protein

268 levels [31,32]. It is therefore encouraging that our KT regime was associated with a significant
269 retention of body weight, FFM and SMM during RT, directly opposing the effect of concurrent
270 chemotherapy. Among weight losing HNC patients in our cohort (irrespective of concurrent
271 chemotherapy) FFM accounted for 32.2% (29.4-54.1%) of body weight loss in the KT group and 48.7
272 (20.4-94.5%) in the control group ($p=0.2121$). If this gets confirmed with a larger number of patients
273 it would support the hypothesis that ketosis with adequate high quality protein intake would protect
274 against SMM loss in HNC patients [33].

275 In rats, ketosis has been shown to inhibit oxidation of the branched amino acids [34] and decrease
276 the release of the gluconeogenic amino acid alanine [35]. Consistently, Sherwin et al. measured
277 decreased nitrogen excretion and hypoalaninemia in fasting men upon BHB infusion while most
278 other amino acid concentrations remained stable [36]. However, Phinney et al. detected significantly
279 elevated branched chain amino acid levels in trained cyclists during a 4-week KD [37]. Thus, in theory,
280 nutritional ketosis could attenuate muscle protein catabolism while maintaining the availability of all
281 amino acid precursors for muscle protein synthesis, leading to a net gain or at least maintenance of
282 SMM despite lower insulin levels. Since it is availability of all essential amino acids that primarily
283 drives muscle protein synthesis [38], the additional consumption of 10 g essential amino acids on
284 radiation days could theoretically have further contributed to the attenuation of SMM loss in the
285 HNC patients.

286 In rectal and breast cancer patients, FFM and SMM appeared to be maintained irrespective of the
287 treatment group, although there was a significant association of KT with a gradual increase of FFM in
288 breast cancer patients (Table 4). However, there was a significant correlation of KT with a gradual
289 decline of FM in both patient populations and pronounced in breast cancer patients. This implies that
290 KT would have increased the FFM-to-FM ratio in our patients, similar to our previous findings [16].
291 These findings are consistent with studies conducted in exercising individuals where short-term KDs
292 resulted in FM loss while maintaining lean mass and performance [39–43]. Since most of our subjects
293 did not exercise, a contribution of exercise-stimulated muscle protein synthesis can be ruled out as

294 an explanation for the observed maintenance of SMM, and again the anti-catabolic effects of ketosis
295 and/or anabolic effects of the supplemented essential amino acids may have contributed to the
296 maintenance of SMM despite lower insulin levels and weight loss.

297 The reduction of FM can be rated as beneficial since adipose tissue has a putative role in promoting
298 growth and survival of colorectal and breast cancer cells [44,45] and, accordingly, obesity has been
299 found to be correlated with worse clinical outcomes in these patients [46–48]. Unfortunately, most
300 breast cancer patients experience weight gain during therapy [49,50], and low carbohydrate diets
301 have been proposed as an optimal countermeasure since they reduce insulin and blood glucose
302 spikes, decrease adipose tissue, increase HDL cholesterol and decrease triglycerides and
303 inflammation [51].

304 Further benefits of ketogenic diets have been found in preclinical studies in terms of direct anti-
305 tumor effects that selectively sensitize tumor cells to radio- and chemotherapy [3,13–15]. While our
306 data do not allow us to assess the putative anti-tumor effects of KT in terms of progression-free and
307 overall survival, it is interesting that the rectal cancer patients undergoing KT had a significantly
308 higher grade of tumor regression at the time of surgery than the control patients. However, because
309 only five patients in the KT group received surgery, we interpret this result with caution until more
310 data become available as the study proceeds. Nevertheless, our data would be consistent with anti-
311 tumor effects of MCT-rich KDs in colon cancer animal models [52–54] while providing evidence
312 against the hypothesis that ketone bodies could “fuel” rectal tumor growth as appears to be the case
313 in a limited number of other animal studies [10,11]. Quite generally, the majority of animal studies
314 thus far supports the hypothesis of anti-tumor effects of a KD [8]. Data supporting an anti-tumor
315 action of KDs in patients with cancer of the colon or rectum are also emerging, including a case
316 report of a rectal cancer patient on a paleolithic KD with 24 months follow-up [55] and a controlled
317 clinical trial from Japan involving ten stage IV colon cancer patients treated with concurrent
318 chemotherapy and a MCT-based KD for one year [56]. It is also interesting that Kato et al. [57]

319 associated a KD-like eating pattern (defined as >40% energy from fat and <100 g/day glycemic load)
320 with a reduced cancer-specific death risk of rectal cancer patients treated with RT.

321 The small number of patients under a ketogenic regime for each tumor entity poses one of the
322 largest limitations of our results presented herein. However, with a median of 7 BIA measurements
323 per patient we have collected enough data points for building mixed effects linear regression models
324 with incorporation of several covariates with a putative influence on body composition. In the final
325 analysis it is planned to separately evaluate patients in the ketogenic breakfast group and those
326 eating a full KD.

327 Combining fully KD patients with those eating just a ketogenic breakfast into a single group poses
328 another limitation of this analysis. Besides increasing the sample size, we justify this approach based
329 on important similarities among both intervention groups including (i) the fact that at least a few
330 hours after RT, both patient groups would have been in nutritional ketosis, (ii) that most patients
331 from both groups took the same amount of the amino acid supplement, and (iii) that some of the
332 HNC patients receiving the ketogenic breakfast also received additional ketogenic drinks for
333 consumption at home. Unfortunately, it is not possible to separate the contributions of the amino
334 acid supplement and ketosis to the observed beneficial effects on body composition. Nevertheless,
335 we conceive the addition of crystalline essential amino acids to a KD regime as a good strategy to
336 increase muscle protein synthesis without the need to increase the amount of food proteins which
337 could interfere with ketosis.

338 Finally, the validity of BIA for estimating body composition is limited by assumptions relating to body
339 shape. Comparing the estimates of our BIA device to those derived from Dual-energy X-ray
340 Absorptiometry and MRI, Bony-Westphal et al. calculated the coefficients of determination (R^2) for
341 the FFM and SMM prediction equations as 0.98 and 0.97, respectively, and the root mean square
342 errors as only 1.9 kg and 1.2 kg, respectively [18,19]. Since we were mainly interested in changes of

343 body composition and not their exact absolute values, our conclusions are robust against any
344 systematic deviations from the true body composition values within individual patients.

345 Conclusion

346 In this preliminary analysis we observed beneficial effects of a ketogenic intervention during RT on
347 body composition: Rectal and especially breast cancer patients lost adipose tissue while preserving
348 lean body mass and HNC patients lost significantly less BW, FFM and SMM compared to the control
349 group. In addition, in five rectal cancer patients who combined KT and RT tumors had regressed more
350 at the time of surgery than in control patients, a finding that reached statistical significance despite
351 the small number of patients in the KT group. If the final data from the ongoing KETOCOMP study
352 confirm these early results, it would provide a justification for using KT alongside RT for patients who
353 are interested in taking self-responsibility to support their therapy.

354 Figure legends

355 Figure 1: Changes in body weight and fat free mass during radiotherapy, stratified according to tumor
356 site and intervention group.

357 Acknowledgments

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359 Authorship statement

360 RJK and RAS designed the study and collected the data. RJK analyzed the data and wrote the initial
361 manuscript draft. GS helped with conducting the dietary intervention. All authors read, edited and
362 approved the final manuscript.

363 **Conflict of interest statement and funding sources**

364 RJK has received an honorarium from the company vitaflo for giving a talk about the objectives and
365 preliminary results of the KETOCOMP study. The other authors declare that there are no potential
366 conflicts of interest relating to this analysis. The products used in this study were kindly provided by
367 the manufacturing companies; however, these companies had no influence on the design, data
368 collection and analysis of this study. The study was funded solely by our clinic.

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Table 1: Baseline characteristics of all patients

	Rectal cancer			Head & neck cancer			Breast cancer		
	Ketogenic therapy (n=6)	Control (n=20)	p-value	Ketogenic therapy (n=6)	Control (n=14)	p-value	Ketogenic therapy	Control	p-value
Age [years]	59 (38-74)	65 (43-76)	0.3141	63 (58-68)	64 (55-75)	0.8037	50 (42-72)	58 (41-68)	0.826
Gender	Male: 4 Female: 2	Male: 12 Female: 8	1	Male: 5 Female: 1	Male: 10 Female: 4	1	Female: 5	Female: 8	1
BMI [kg/m ²]	24.4 (20.7-30.2)	27.5 (19.5-32.8)	0.03125	20.7 (19.3-26.2)	24.4 (18.0-35.6)	0.04076	28.7 (21.4-36.0)	26.8 (18.8-36.6)	0.8329
Fasting glucose [mg/dl]	92 (84-99)	100 (66-265)	0.01363	107 (98-154)	107 (83-188)	0.9671	91 (82-114)	94 (81-113)	0.7336
Fasting BHB [mmol/l]	0.15 (0.03-0.81)	0.12 (0.05-0.6)	1	0.23 (0.07-0.9)	0.11 (0.03-0.42)	0.1604	0.12 (0.03-0.27)	0.11 (0.03-0.29)	0.670
50 kHz phase angle [°]	4.94 (4.74-6.59)	4.69 (3.31-5.57)	0.1103	4.59 (4.22-4.96)	4.53 (3.96-5.70)	0.9262	4.42 (4.22-5.39)	4.47 (3.83-5.27)	0.5237
PTV [ccm]	1402 (1081-1845)	1473 (1098-2078)	0.5727	805 (265-1278)	816 (132-1147)	0.7791	1116(560-1296)	1102 (638-1658)	0.7242
Chemotherapy	Yes: 6	No: 1 Yes:19	1	No: 1 Yes: 5	No: 5 Yes: 9	0.6126	No: 5	No: 8	1

Continuous and categorical variables were compared using the Wilcoxon rank sum and Fisher's exact test, respectively.

Table 2: Regression coefficients for body composition changes in rectal cancer patients

Covariate	Body weight		Fat free mass		Fat mass		Skeletal muscle mass		50 kHz phase angle	
	Coefficient	p-value	Coefficient	p-value	Coefficient	p-value	Coefficient	p-value	Coefficient	p-value
Time	-0.05 kg/week	0.4841	0.05 kg/week	0.4644	-0.1 kg/week	0.1027	-0.03 kg/week	0.3312	-0.01°/week	0.1939
KT: yes	-0.9 kg	0.08779	-0.7 kg	0.5365	-0.06 kg	0.9517	-0.4 kg	0.5271	0.08°	0.5190
Age	-0.2 kg/10 years	0.3119	-1.0 kg/10 years	0.01405	0.4 kg/10 years	0.2054	-0.7 kg/10 years	0.00936	-0.04°/10 years	0.4870
Gender: female	-0.1 kg	0.9182	-2.7 kg	0.1233	0.5 kg	0.6709	-1.6 kg	0.1093	-0.06°	0.5794
Baseline BMI	0.5 kg/10 kg m ⁻²	0.7319	3.5 kg/10 kg m ⁻²	0.0183	2.1 kg/10 kg m ⁻²	0.4332	2.0 kg/10 kg m ⁻²	0.0276	0.10°/10 kg m ⁻²	0.5252
Time × KT	-0.2 kg/week	0.1049	0.0	0.9946	-0.3 kg/week	0.02135	0.01 kg/week	0.8602	-0.01	0.6004

KT: Ketogenic therapy; PTV: Planning target volume

Table 3: Regression coefficients for body composition changes in head and neck cancer patients

Covariate	Body weight		Fat free mass		Fat mass		Skeletal muscle mass		50 kHz phase angle	
	Coefficient	p-value	Coefficient	p-value	Coefficient	p-value	Coefficient	p-value	Coefficient	p-value
Time	-0.3 kg/week	0.09782	-0.1 kg/week	0.2714	-0.2 kg/week	0.2026	-0.1 kg/week	0.2073	0.01°/week	0.6324
KT: yes	-0.3 kg	0.6338	0.5 kg	0.3553	-0.8 kg	0.01466	-0.04 kg	0.8884	-0.29°	0.1431
Age	0.5 kg/10 years	0.3423	0.5 kg/10 years	0.2844	-0.6 kg/10 years	0.08465	0.2 kg/10 years	0.3596	-0.21°/10 years	0.2154
Gender: female	-1.0 kg	0.1603	0.1 kg	0.8950	-0.02 kg	0.9695	0.6 kg	0.2369	-0.03°	0.8999
Baseline BMI	4.6 kg/10 kg m ⁻²	0.01475	1.1 kg/9.5 kg m ⁻²	0.06917	1.2 kg/10 kg m ⁻²	0.2340	0.2 kg/10 kg m ⁻²	0.4794	-0.28°/10 kg m ⁻²	0.0900
PEG use: yes	-0.1 kg	0.6849	-0.4 kg	0.4279	-0.1 kg	0.6849	0.1 kg	0.5675	0.22°	0.07496
Chemotherapy: yes	-0.7 kg	0.2594	0.0 kg	0.9950	0.01 kg	0.9810	0.3 kg	0.2210	0.26°	0.2026
Time × KT	0.8 kg/week	0.000148	0.6 kg/week	0.0004163	0.3	0.1058	0.3 kg/week	7.873×10 ⁻⁵	0.005°/week	0.8574
Time × Chemotherapy	-1.2 kg/week	1.655×10 ⁻⁸	-0.6 kg/week	3.316×10 ⁻⁵	-0.5	0.0004188	-0.5 kg/week	2.361×10 ⁻⁹	-0.08°/week	0.001794
Time × PEG use	-	-	-	-	-	-	-	-	-0.02°/week	0.5081

KT: Ketogenic therapy; PTV: Planning target volume

Table 4: Regression coefficients for body composition changes in breast cancer patients

Covariate	Body weight		Fat free mass		Fat mass		Skeletal muscle mass		50 kHz phase angle	
	Coefficient	p-value	Coefficient	p-value	Coefficient	p-value	Coefficient	p-value	Coefficient	p-value
Time	-0.04 kg/week	0.6623	-0.07 kg/week	0.1391	0.03 kg/week	0.6815	0.01 kg/week	0.9100	0.02°/week	0.05791
KT: yes	-1.2 kg	0.002837	-1.0 kg	0.0297	-0.2 kg	0.4827	-0.4 kg	0.2707	0.16°	0.00538
Age	0.06 kg/10 years	0.7649	0.1 kg/10 years	0.5597	0.07 kg/10 years	0.7417	0.2 kg/10 years	0.3657	-0.05°/10 years	1.8145
Baseline BMI	-1.2 kg/10 kg m ⁻²	0.0979	-1.0 kg/10 kg m ⁻²	0.0642	-0.8 kg/10 kg m ⁻²	0.4552	0.2 kg/10 kg m ⁻²	0.5976	0.32°/10 kg m ⁻²	2.647×10 ⁻⁷
Time × KT	-0.3 kg/week	0.03348	0.2 kg/week	0.04166	-0.5 kg/week	5.6023×10 ⁻⁶	-0.1 kg/week	0.1436	-0.05°/week	0.01052

KT: Ketogenic therapy; PTV: Planning target volume

