Impact of collateral circulation status on favorable outcomes in thrombolysis treatment: A systematic review and meta-analysis

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Abstract. Collateral circulation affects the prognosis of patients with acute ischemic stroke (AIS) treated by thrombolysis. The present study performed a systematic assessment of the impact of the collateral circulation status on the outcomes of patients receiving thrombolysis treatment. Relevant full-text articles from the Cochrane Library, Ovid, Medline, Embase and PubMed databases published from January 1, 2000 to November 1, 2016 were retrieved. The quality of the studies was assessed and data were extracted by 2 independent investigators. The random-effects model was used to estimate the impact of good vs. poor collateral circulation, as well as baseline characteristics, on the outcome within the series presented as risk ratios. Subgroup analyses explored the potential factors that may interfere with the effects of the collateral circulation status on the outcome. A total of 29 studies comprising 4,053 patients were included in the present meta-analysis. A good collateral circulation status was revealed to have a beneficial effect on favorable functional outcome (modified Rankin scale, 0-3 at 3-6 months; P<0.001) and a higher rate of recanalization (P<0.001) compared with poor collateral circulation. Good collateral circulation was also associated with a lower rate of symptomatic intracranial hemorrhage (P<0.01), a lower rate of mortality (P<0.01) and a smaller infarct size (P<0.01). In conclusion, good collateral circulation was demonstrated to have a favorable prognostic value regarding the outcome for patients with AIS receiving thrombolysis treatment. Assessment of collateral circulation and penumbra area during pre-treatment imaging within an

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appropriate time-window prior to thrombolytic therapy will therefore improve the identification of AIS patients who may benefit from thrombolysis treatment.

Introduction

Stroke is a severe health issue worldwide. Available treatments are limited and it is currently the second leading cause of death (1). Prompt thrombolytic therapy is critical for improving the clinical prognosis of patients with acute ischemic stroke (AIS). The collateral circulation is a major factor affecting the effect of thrombolytic therapy and good collateral circulation significantly influences the prognosis of patients, thereby preventing and delaying permanent neurological damage (2). The collateral circulation maintains the blood supply to the infarction area prior to recanalization after AIS (3). It also prevents the expansion of the infarct size, provides a better clinical prognosis, increases the rate of recanalization and potentially prolongs the time window prior to the requirement of endovascular treatment (ET) (4-7). In addition, good collateral circulation reduces the risk of hemorrhagic transformation (HT) and mortality after thrombolysis therapy (8).

A previous systematic review by Leng *et al* (9) analyzed the available evidence on the correlations between collateral circulation and the outcomes in patients with AIS following intravenous thrombolysis therapy (IVT). However, it did not include studies in which endovascular treatment was used. In the present study, the relevant literature published until November 2016 was systematically reviewed and a meta-analysis was performed to evaluate the association between collateral circulation determined prior to thrombolytic treatment and outcomes.

Materials and methods

Reporting and definitions. The relevant studies were reported according to the Preferred Reporting Items for Systematic Reviews and Meta-Analyses and the Meta-Analysis of Observational Studies in Epidemiology (10,11). The definition of good or poor collateral circulation status prior to treatment was in accordance with that in the primary studies; for studies

classifying the collateral status in >2 categories, it was categorized as good and poor in the present analysis by adopting the dichotomization methods from other primary studies using the same imaging modality to gauge the collateral status. The outcomes, including good recanalization/reperfusion, HT, final infarct size, mortality and the favorable functional outcome at 3-6 months, were defined in the studies.

Information sources. Potentially eligible studies as full-text articles, published from January 2000 to November 2016, were identified through a search of the Cochrane Library, Ovid, Embase, Medline and PubMed databases. The search was restricted to articles published in English language. In brief, the search terms included 'stroke', 'collateral', 'thrombolysis', 'thromboly' and 'endovascular'. A manual search was also performed by checking the references of pertinent review articles and relevant original research articles.

Study selection and eligibility criteria. The criteria for the included studies were as follows: i) Case-control, cohort or randomized controlled trial (RCT) studies on patients (>18 years of age) with acute ischemic stroke; ii) collateral circulation status was evaluated prior to the initiation of thrombolysis treatment; iii) The correlation between pre-treatment collateral circulation status and outcome in patients with AIS was described. Animal studies, non-RCTs and duplicate reports were excluded.

Data collection and parameters. All titles and abstracts were initially screened by one investigator to identify potentially relevant studies for inclusion. Relevant studies were retrieved in full text and reassessed by two researchers to determine the eligibility for inclusion with regard to publication characteristics, study populations, patient demographics, onset-to-treatment time, mode of thrombolysis therapy, methods to assess the collateral circulation status, methods to define successful reperfusion and/or recanalization and definition of HT.

Statistical analysis. Cochrane RevMan (version 5.3; The Cochrane Institute, London, UK) was used for analyzing the data. The impact of good vs. poor pre-treatment collateral circulation on the outcomes was evaluated by a fixed-effects model if the heterogeneity was low or the random-effects model with the results expressed as the risk ratio (RR) and 95% confidence interval (CI). For the clinical or imaging outcomes, subgroup analyses were performed with stratification by different sample sizes, prescribed durations of thrombolysis treatment, median baseline National Institutes of Health Stroke Scale (NIHSS), treatment type and mean (or median) age. To assess the publication bias, a visual inspection of the funnel plot was applied in the analysis of any assessed variable.

Inter-study and -subgroup heterogeneities were evaluated by χ^2 and I² statistics (P<0.10 and I² >50% was considered to indicate significant heterogeneity). A sensitivity analysis was also performed by removing individual trials from the meta-analysis.

Results

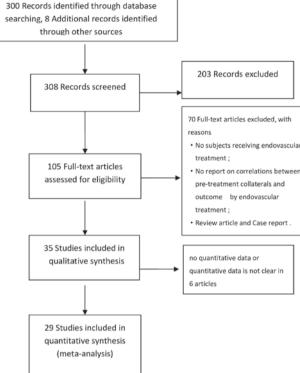
Study selection and characteristics. The selection of the studies identified through the literature search was

assessed for eligibility pre-treatment collaterals and outcome by endovascular treatment ; Review article and Case report 35 Studies included in no quantitative data or qualitative synthesis quantitative data is not clear in 6 articles 29 Studies included in quantitative synthesis (meta-analysis) Figure 1. Schematic representation of the screening and selection of studies for inclusion in the present meta-analysis. schematically represented in a flowchart illustrated in

Fig. 1. Of the 308 records retrieved from several databases and manual searches, 29 primary studies comprising 4,053 subjects were included in the systematic review, of which 12 were retrospective studies, 17 were prospective studies, 7 were multicenter studies and 22 were single-center studies. A total of 9 studies described the treatment with IVT alone, and in the other 20 studies, patients received IVT with subsequent ET or ET only (Table I) (7,8,12-38). All of the studies used tissue plasminogen activator for the thrombolysis treatment, while that by Christoforidis et al (7) used tissue plasminogen activator, urokinase and pro-urokinase. The duration of thrombolysis treatment was 3-6 h in 12 studies and >6 h in 5 studies, extending up to 12 h. However, the time window was not prescribed in 6 of the studies. The assessment of the pre-treatment collateral circulation status was performed using different imaging methods, which were computed tomography (CT) angiography in 22 studies (7,8,12,14,15,17,18,22-35,37), while others included digital subtraction angiography (13,36), fluid-attenuated inversion recovery imaging (19,21), and CT or magnetic resonance perfusion imaging (16,20,38) (Table I).

Favorable functional outcome. The present review included 22 studies (comprising 2,608 subjects) that reported on functional outcome. The rate of favorable functional outcome [modified Rankin scale (mRS) 0-3 at 3-6 months] was doubled in the GC statue group as compared with that in the PC group (RR=2.33; 95% CI, 1.95-2.78; P<0.001; Fig. 2). This effect of good collateral circulation did not differ significantly between the studies (Cochran's Q=36.47; P=0.02; $I^2=42\%$). In the subgroup analyses presented in





| | | | | | | | | | | Collateral circulation grading | guipe. | | | |
|----------------------|-------------|------|-------------|----------------------|----------------|----------------------|------------------------|--------------------------------|---------------------|--|----------------------|-----------------------------------|-------------------------------|-----------|
| ī | | | Sample | | | Median | | Mean interval from onset to | · . | | Dichote collatera | Dichotomized collateral status | • | |
| First author | Country | Year | size (n) | Thrombolysis type | age (years) | NIHSS at baseline | Duration of IVT (h) | treatment (min) | Imaging modality | Grading method | Good | Poor 6 | Study quality ^a | (Refs.) |
| García-Tornel Canada | Canada | 2016 | 108 | IVT, ET | 70 | 17 | 8 | 215 | CTA | University of | 4-5 | 0-1 | Α | (12) |
| | | | | | | | | | | Calgary collateral circulation scale (0-5) | | | | |
| Angermaier | Germany | 2011 | 25 | ET | 67 | 14 | 9 | 244 | CTA | LCC graded | 2-3 | 0-1 | Α | (13) |
| | | | | | | | | | | on a 4-point scale (0-3) | | | | |
| Bang | USA/Korea | 2011 | 222 | ET | 65 | 16 | UNK | UNK | DSA | ASITN/SIR | 2-4; | 0-1 | А | (8) |
| | | | | | | | | | | collateral circulation | | | | |
| | | | | | | | | | | graded on a 5-point | | | | |
| | | | | | | | | | | scale (0-4) | | | | |
| Berkhemer | Netherlands | 2016 | 231 | ET | UNK | UNK | 9 | UNK | CTA | LCC graded on a | 2-3 | 0-1 | A | (14) |
| | | | | | | | | | | 4-point scale (0-3) | | | | |
| Brunner | Germany | 2014 | 246 | IVT | 74 | 14 | 3 or 4.5 | 160 | CTA | LCC graded on a | 2-3 | 0-1 | A | (15) |
| | | | | | | | | | | 4-point scale (0-3) | | | | |
| Calleja | Spain | 2013 | 54 | IVT | 73 | 10 | 4.5 or >4.5 | 237 | CTP | LCC graded on a | 2-3 | 0-1 | A | (16) |
| | | | | | | | based on | | | 4-point scale (0-3) | | | | |
| | | | | ļ | | | Imaging | | i I | | | 1 | | į |
| Christoforidis | NSA | 2002 | 104 | ΕI | 98 | 10 | 12 | C87 | DSA | 5-point scale (1-5) | 7-1 | <u>с-</u> х | Α | (\cdot) |
| Fanou | Canada | 2015 | 395 | IVT, ET | 72 | 14 | 4.5 | 147 | CTA | LCC graded on a | 2-3 | 0-1 | A | (17) |
| | | | | | | | | | | 4-point scale (0-3) | | | | |
| Gerber | Germany | 2016 | 93 | IVT, ET | 69 | 17 | UNK | 252 | CTA | LCC graded on a | 2-3 | 0-1 | A | (18) |
| | | | | | | | | | | 4-point scale (0-3) | | | | |
| Kufner | Germany | 2015 | 62 | IVT | 71 | 11 | 4.5 | UNK | FLAIR MRI | Number of sections of FLAIR with | 44 | ¥ | A | (19) |
| | | | | | | | | | | hyperintense vessels | | | | |
| Lee | Korea | 2000 | 17 | IVT | 63 | 15 | 3 or 7 | UNK | CTP | Percentage of severe Vdeficit in | ≤erc | 33-50% | A | (20) |
| | | | | | | | | | | MICA LETTILOTY | | | | |

Table I. Summary of the characteristics of the primary studies.

| | | (Refs.) | (21) | | (22) | (23) | | (24) | | (25) | | | (26) | | (27) | | (28) | | (67) | (30) | | (31) | |
|--------------------------------|-----------------------------------|-------------------------------|------------------------|-------------------------------------|-------------------------------------|----------------|----------------------------------|-----------------|--|-----------------|---------------------|-----|------------------|------------------|-----------------|---------------------|-----------------|---------------------|--|--------------------|-----------------------------|--|---|
| | , | Study quality ^a | A | | Α | A | | A | | A | | | Α | | A | | A | | A | A | | V | |
| | mized status | Poor | None ^b | | UNK | 0-5 | | 0-2 | | Moderate, | poor | (| rLMC | score (0-10) | 0-2 | | 0-1 | Ċ | 0-1 | 0-1 | | <50% | |
| on grading | Dichotomized collateral status | Good | Prominent ^b | | UNK | 6-10 | | 3-4 | | Good | | | rLMC | score (11-20) | 3 | | 2-4 | 0 | S- 2 | 2-5 | | ≥ran | |
| Collateral circulation grading | ; | Grading method | Distal hyperintense | vessels (none/ subtle/prominent) | LCC graded on a 5-point scale (1–5) | LCC in ACA-MCA | and PCA-MCA regions (0-10) | Collateral Flow | Grading System with a 4-point scale (0-4) | LCC graded into | 3 categories (good, | | rLMC score based | on ASPECTS | LCC graded on a | 4-point scale (0-3) | LCC graded on a | 5-point scale (0-4) | LUU graded on a 4-point scale (0-3) | Careggi collateral | score 6 categories (0-5) | Collateral filling of Vterritory of the | affected MCA or MCA branch territory |
| | - | Imaging modality | FLAIR | MRI | CTA | CTA | | MRI | | CTA | | | CTA | | CTA | | CTA | E | CIA | CTA | | CTA | |
| | Mean interval from onset to | treatment (min) | UNK | | UNK | UNK | | 360 | | UNK | | | UNK | | 329 | | 132 | | UNK | 270 | | 361 | |
| | | Duration of IVT (h) | ю | | UNK | 3 | | 12 | | 9 | | | 3 for | IVT | UNK | | 3 | | UNK | 3-6 | | 6 | |
| | Median | NIHSS at baseline | ∞ | | 13 | UNK | | 19 | | 17 | | | 14 | | 18 | | 13 | | UNK | 20 | | 13 | |
| | Mean | age (years) | 69 | | 69 | UNK | | 64 | | 74 | | i i | 65 | | 99 | | 69 | ç | 60 | 61 | | 66 | |
| | | Thrombolysis type | IVT | | IVT, ET | IVT, ET | | IVT, ET | | IVT, ET | | | IVT, ET | | IVT, ET | | IVT | | 1V1, E1 | ET | | IVT, ET | |
| | Sample | size (n) | 52 | | 196 | 185 | | 09 | | 92 | | | 84 | | 87 | | 105 | | C61 | 103 | | 484 | |
| | | Year | 2009 | | 2010 | 2015 | | 2014 | | 2009 | | | 2013 | | 2013 | | 2014 | | 9107 | 2014 | | s 2016 | |
| | | Country | USA | | USA | North | America, Australia, Eurona | USA | | Australia | | | Canada | | Australia | | Finland | - | Italy | o Italy | | van Seeters Netherlands 2016 | |
| | i | First author | Lee | | Lima | Menon | | Marks | | Miteff | | | Nambiar | | Ramaiah | | Saarinen | : | Sallustio | Mangiafico Italy | | van Seete | |

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| First author Sample size size base Mean base Mean base< | Sample (1) Sample (1) Sample (1) Mean interval (1) Mean intervali | | | | | | | | | | | Collateral circulation grading | on grading | | | |
|--|---|--------|-----------|------|--------|------------------|----------------|----------|------------|--------------------------------|-----------|--|-------------|---------------------|----------------------|------|
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| USA2016117IVT, ETUNKUNKUNKDSAASTINSIR collateral3.40.2AKorea201443ETUNKUNKCTAa 5-point scale (0.4)2.30-1AKorea2014197UNKUNKCTAdelayed contrast CECT2.30-1AUSA2012197IVT, ET67159UNKCTAdelayed contrast CECTKorea201430IVT, ET67159UNKCTAdelayed contrast CECTKorea201430IVT, ET61168324DSALCC graded on a1.30AKorea201430IVT, ET61168324DSALCC graded on aUNKUNKAKorea201430IVT, ET61168324DSALCC graded on aUNKIAKorea201430IVT, ET61168324DSALCC graded on aUNKIAKorea2015200IVT6319UNKISCCGSpinit scale (0.4)IAKorea2015201VIT6319UNKIAAKorea2015200IVT6319VITAAKorea2015201VIT6319CTASpinit scale (0.4)IAKorea | USA2016117IVT, ETUNKUNKKorea201443ETUNKUNKUSA2012197IVT, ET6715USA2012197IVT, ET6116Korea201430IVT, ET6319Korea2015200IVT6319Korea201680IVT6813China201680IVT6813China201666IVT0KUKUNK201666IVT0K0Kdy quality was graded as A or B, with a Newcastle-Ottawa Scale of ≥ 6 or ottee scret were based on the sterior projection. ASITN/SIR, the American Scorety of Intervention and on puted tomography argiography cTP, computed tomography previoun and on puted tomography angiography previoun and on puted tomography puted tow puted tomography puted tom puted tow put | author | Country | Year | (II) | thromonysis type | age (years) | baseline | of IVT (h) | (min) | modality | method | Good | Poor | quality ^a | |
| Korea201443ETUNKUNK6UNKCTAGraded on CTA and delayed contrast CECT2-30-1AUSA2012197IVT,ET67159UNKCTAGraded on CTA and delayed contrast CECT2-30-1AUSA2012197IVT,ET67159UNKCTAGraded on CTA and delayed contrast CECT2-30-1AKorea201430IVT,ET61168324DSALCC graded on a 4-point scale1-30ASingapore2015200IVT6319UNK155CTALCC by four different scores (i.a., the Miteff2-31AChina201680IVT68195CTALCC by four different system. scores (1-3)2-31AChina201666IVT0K0K0K0K0K0K0KChina201666IVTUNK0K0K0K0K0K0K0KChina201666IVT0K0K0K0K0K0K0K0K0KChina201666IVT0K0K0K0K0K0K0K0K0KChina201666IVT0K0K0K0K0K0K0K0K0K0KChina201666IVT0K0K <td>Korea201443ETUNKUNKUSA2012197IVT, ET6715USA2012197IVT, ET6116Korea201430IVT, ET6116Singapore2015200IVT6319China201680IVT6813China201666IVT0813China201666IVT01K00Kdy quality was graded as A or B, with a Newcastle-Ottawa Scale of ≥6 or other projection. ASITIN/SIR, the American Scorety of Intervention and onputed tomography angiography; CTP, computed tomography perfusion of the store were based on the sterior projection. ASITIN/SIR, the American Scorety of Intervention and onputed tomography angiography; CTP, computed tomography perfusion of the sterior projection. ASITIN/SIR, the American Scorety of Intervention and sterior projection. ASITIN/SIR, the American Scorety of Intervention and sterior projection. ASITIN/SIR, the American Scorety of Intervention and sterior projection. ASITIN/SIR, the American Scorety of Intervention and sterior projection. ASITIN/SIR, the American Scorety of Intervention and sterior and sterior projection. ASITIN/SIR, the American Scorety of Intervention and sterior and sterior projection. ASITIN/SIR, the American Scorety of Intervention and sterior and sterior projection. ASITIN/SIR, the American Scorety of Intervention and sterior and sterior</td> <td>Sheth</td> <td>USA</td> <td>2016</td> <td>117</td> <td>IVT, ET</td> <td>UNK</td> <td>UNK</td> <td>UNK</td> <td>UNK</td> <td>DSA</td> <td>ASITN/SIR collateral</td> <td>3-4</td> <td>0-2</td> <td>А</td> <td>(32)</td> | Korea201443ETUNKUNKUSA2012197IVT, ET6715USA2012197IVT, ET6116Korea201430IVT, ET6116Singapore2015200IVT6319China201680IVT6813China201666IVT0813China201666IVT01K00Kdy quality was graded as A or B, with a Newcastle-Ottawa Scale of ≥6 or other projection. ASITIN/SIR, the American Scorety of Intervention and onputed tomography angiography; CTP, computed tomography perfusion of the store were based on the sterior projection. ASITIN/SIR, the American Scorety of Intervention and onputed tomography angiography; CTP, computed tomography perfusion of the sterior projection. ASITIN/SIR, the American Scorety of Intervention and sterior projection. ASITIN/SIR, the American Scorety of Intervention and sterior projection. ASITIN/SIR, the American Scorety of Intervention and sterior projection. ASITIN/SIR, the American Scorety of Intervention and sterior projection. ASITIN/SIR, the American Scorety of Intervention and sterior and sterior projection. ASITIN/SIR, the American Scorety of Intervention and sterior and sterior projection. ASITIN/SIR, the American Scorety of Intervention and sterior and sterior projection. ASITIN/SIR, the American Scorety of Intervention and sterior | Sheth | USA | 2016 | 117 | IVT, ET | UNK | UNK | UNK | UNK | DSA | ASITN/SIR collateral | 3-4 | 0-2 | А | (32) |
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| China 2016 80 IVT 68 13 6 195 CTA rLMC-P rLMC-P rLMC-P A China 2016 60 IVT 68 195 CTA rLMC-M rLMC-P rLMC-P A China 2016 66 IVT UNK 6 UNK PWI ATD was defined as ATD | China 2016 80 IVT 68 13 China 2016 66 IVT UNK UNK UNK China 2016 66 IVT UNK UNK WK dy quality was graded as A or B, with a Newcastle-Ottawa Scale of ≥6 or the present study for analysis. Careggi collateral score were based on the osterior projection. ASITN/SIR, the American Society of Intervention and mputed tomography angiography; CTP, computed tomography perfusior | Yeo | Singapore | | | IVT | 63 | 19 | UNK | 155 | CTA | 5-point scale (0-4) LCC by four different | 2-3 | - | A | (36) |
| China 2016 80 IVT 68 13 6 195 CTA rLMC score (rLMC-P rLMC-P rLMC-P A A and rLMC-M) $\beta = 11 rLMC$. A $A = 10 rLMC-M$ $\beta = 11 rLMC$ $\beta = 11 rLMC$. A solution 2016 66 IVT UNK 0NK 6 UNK PWI ATD was defined as A TD A | China 2016 80 IVT 68 13 China 2016 66 IVT UNK UNK UNK UNK UNK UNK ONK dy quality was graded as A or B, with a Newcastle-Ottawa Scale of ≥6 or- the present study for analysis. Careggi collateral score were based on the osterior projection. ASITN/SIR, the American Society of Intervention and migned tomography angiography; CTP, computed tomography perfusior | | | | | | | | | | | scores (i.e., the Miteff | | | | |
| China 2016 66 IVT UNK UNK 6 UNK PWI ATD was defined as ATD ATD ATD ATD ATD ATD CHILARCE ATD | China 2016 66 IVT UNK UNK UNK dy quality was graded as A or B, with a Newcastle-Ottawa Scale of ≥ 6 or the present study for analysis. Careggi collateral score were based on the osterior projection. ASITN/SIR, the American Society of Intervention and minuted tomography angiography; CTP, computed tomography perfusior | Zhang | | 2016 | 80 | IVT | 68 | 13 | 9 | 195 | CTA | system, scores 1-3) rLMC score (rLMC-P | rLMC-P | rLMC-P | A | (37) |
| China 2016 66 IVT UNK UNK 6 UNK PWI ATD was defined as ATD ATD A the velocity of <2.3 sec \geq TD sec collateral flow | China 2016 66 IVT UNK UNK UNK dy quality was graded as A or B, with a Newcastle-Ottawa Scale of ≥6 or- i the present study for analysis. Careggi collateral score were based on the osterior projection. ASITN/SIR, the American Society of Intervention and mputed tomography angiography; CTP, computed tomography perfusior | | | | | | | | | | | and rLMC-M) | >11 rLMC- | ≤11 rLMC- | | |
| the velocity of <2.3 sec ≥TD sec collateral flow | dy quality was graded as A or B, with a Newcastle-Ottawa Scale of ≥ 6 or the present study for analysis. Careggi collateral score were based on the ssterior projection. ASITN/SIR, the American Society of Intervention and onputed tomography angiography; CTP, computed tomography perfusion | Zhano | | 2016 | 99 | IVT | IINK | IINK | ý | IINK | IWI | ATD was defined as | M>16 ATD | M ≤MM ATD | A | (38) |
| | ^a The study quality was graded as A or B, with a Newcastle-Ortawa Scale of ≥6 or <6, respectively. ^b The collateral status was classified into more than two categories in the primary study but was dichotomized in the present study for analysis. Careggi collateral score were based on the extension of anterograde filling of the anterior cerebral artery and retrograde filling of middle cerebral artery territory in anteroposterior projection. ASITN/SIR, the American Society of Intervention and Therapeutic Neuroradiology/Society of Interventional Radiology; ASPECTS, Alberta Stroke Program Early CT Score; CTA, computed tomography and investor recovery; ICC, intraclass correlation; IVT, and the tomography and on an anteropretion and the anteritor anterposter to the anteritor and the anteritor antice and the anteritor and the anterit | ۵ I | | | | 4 | | |) | | 4 | the velocity of collateral flow | <2.3 sec | ≥TD sec | 4 | |

Table I. Continued.

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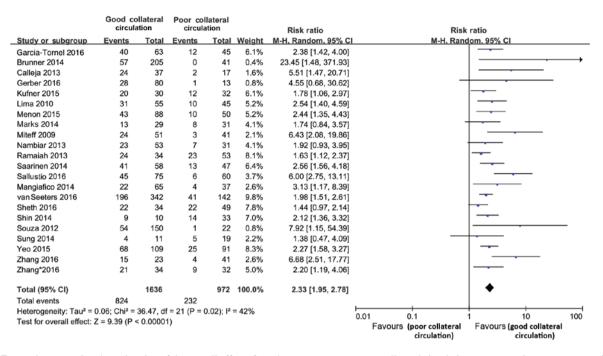


Figure 2. Forest plot presenting the estimation of the overall effect of good vs. poor pre-treatment collateral circulation status on primary outcome, a favorable functional outcome at 3 or 6 months, in patients with AIS receiving thrombolysis therapy in 23 studies. The studies are aligned by the effect size. A favorable functional outcome was defined as an mRS of 0-2 at 3 months in 19 studies, mRS of 0-1 at 3 or 6 months in the study by Yeo *et al* (36), mRS of 0-3 at 3 months in the study by Sheth *et al* (32) and mRS of 0-2 at 6 months in the study by Lima *et al* (22). CI, confidence interval; M-H, Mantel-Haenszel; mRS, modified Rankin Scale; df, degrees of freedom.

Table II, the beneficial effect of good collateral circulation did not differ significantly between the subgroups of studies stratified by a median NIHSS at baseline of \leq or >13 (Cochran's Q=0.48; P=0.49; I²=0%); a sample size of < or \geq 100 subjects (Cochran's Q=1.56; P=0.21; I²=35.8%); a mean (or median) age of < or \geq 70 years (Cochran's Q=1.94; P=0.16; I²=48.6%); treatment type (IVT alone, IVT+ET or ET alone; Cochran's Q=0.75; P=0.69; I²=0%); or duration of IVT treatment (4.5, 4.5-6 h or >6 h; Cochran's Q=2.46; P=0.29; I²=18.6%).

HT. In 8 of the studies (12,13,15,29,30,33,36,37), HT was defined as symptomatic intracranial hemorrhage in cases with a 4-fold increase in the NIHSS score (ECASS II study) (39), while it was defined differently in 3 studies (7,16,24); however, the definition was unclear in the 4 remaining studies (8,20,27,32). In a total of 1,436 subjects, HT was evaluated from any follow-up CT or magnetic resonance imaging performed within 24 h after treatment until the time-point of discharge. The risk of HT was decreased in the pretreatment good collateral circulation group (RR=0.57; 95% CI, 0.48-0.68; P<0.001; Fig. 3), and no significant heterogeneity was observed between studies in the analysis of HT (Cochran's Q=17.21, P=0.25; I²=19%) (Fig. 3). Subgroup analyses were performed to assess the outcomes of the different treatment types in patients with good and poor collateral circulation (Table III). The most significant effect was observed in patients administered IVT treatment alone (Cochran's Q=6.63; P=0.04; I2=69.8%). The RRs of good vs. poor collateral status for HT were 0.43, 0.47 and 0.73 for studies with treatment types IVT alone, IVT and ET and ET alone, respectively.

Mortality. The present review included 9 studies (1,108 subjects) that assed mortality. Compared with the PC group, a

good collateral circulation status significantly lowered the risk of mortality by thrombolysis treatment (RR=0.29, 95% CI, 0.22-0.37; P<0.01). This effect differed significantly between the studies (Cochran's Q=16.41; P=0.04; I²=51%). According to the subgroup analyses, such effects were more significant in studies with a sample size of <100 subjects (Cochran's Q=6.07; P=0.01; I²=83.5%), as the RRs of good vs. poor collateral circulation status for mortality were 0.17 and 0.37, respectively (Fig. 4).

Recanalization or reperfusion. The present review included 13 studies (comprising 1,265 subjects) that reported on recanalization or reperfusion. In comparison with the poor collateral circulation status, good collateral circulation was significantly associated with elevated the rates of good recanalization/reperfusion (RR=1.42; 95% CI, 1.20-1.68; P<0.001; Fig. 5), and this effect was significantly different between the studies (Cochran's Q=20.33; P=0.06; I²=41%). According to the subgroup analyses, such effects were more significant in studies with successful recanalization (thrombolysis in cerebral infarction score of 2b/3 or other definitions; Cochran's Q=6.97; P=0.008; I²=85.7%; Fig. 5).

Final infarct volume. The final infarct volume was determined by diffusion-weighted imaging in the studies by Sheth *et al* (32) and Lee *et al* (21) and by CT in the studies by García-Tornel *et al* (12) and Angermaier *et al* (13). The good collateral circulation group displayed a significantly lower final infarct volume than the poor collateral circulation group [mean difference=-100.11; 95% CI, -(118.97-81.25); P<0.01]; however, no significant heterogeneity was identified between the studies (Cochran's Q=2.57; P=0.046; I²=0%) or between the subgroups (Cochran's Q=0.87; P=0.35; I²=0%) (Fig. 6).

| Number of Number of studiesSubgroupMean (or median) age (years)5 | Number of | | | | | | | |
|--|---------------------|--------------------------------------|---------------------------|---------|----------------------------------|---------------------------|---------|----------------------|
| 1 | subjects | RR (95% CI) | Cochran's Q statistics | P-value | I ² statistics (%) | Cochran's Q statistics | P-value | I^2 statistics (%) |
| | 2,413 567 | 2.68 (2.33,3.09) 3 38 (7 35 4 87) | 37.58 | 0.004 | 52 | 1.94 | 0.16 | 48.6 |
| <70 14 | 202 1,851 | 2.55 (2.19,2.97) | | | | | | |
| Median (or mean) baseline NIHSS 17 | 2,143 | 2.45 (2.12,2.84) | 23.93 | 0.09 | 33 | 0.48 | 0.49 | 0 |
| ≥13 11 | 1,274 | 2.59 (2.09,3.20) | | | | | | |
| <13 6 | 869 | 2.33 (1.91,2.84) | | | | | | |
| Sample size 22 | 2,608 | 2.46 (2.16,2.79) | 36.47 | 0.02 | 42 | 1.56 | 0.21 | 35.8 |
| ≥100% 10 | 1,790 | 2.61 (2.20,3.08) | | | | | | |
| <100 12 | 818 | 2.22 (1.83,2.68) | | | | | | |
| Prescribed duration of treatment (h) 15 | 1,856 | 2.32 (1.92,2.80) | 18.03 | 0.21 | 22 | 2.46 | 0.29 | 18.6 |
| ≤4.5 5 | 635 | 2.24 (1.61,3.12) | | | | | | |
| 4.5-6 5 | 367 | 3.18 (1.90,5.35) | | | | | | |
| >6 5 | 854 | 2.03 (1.63,2.54) | | | | | | |
| Treatment type 22 | 2,608 | 2.33 (1.95,2.78) | 36.47 | 0.02 | 42 | 0.75 | 0.69 | 0 |
| IVT alone 7 | <i>L</i> 6 <i>L</i> | 2.67 (1.87,3.80) | | | | | | |
| IVT + ET 13 | 1,666 | 2.22 (1.75,2.81) | | | | | | |
| ET alone 2 | 145 | 2.27 (1.51,3.41) | | | | | | |

Table II. Subgroup analyses for favorable functional outcome at 3-6 months.

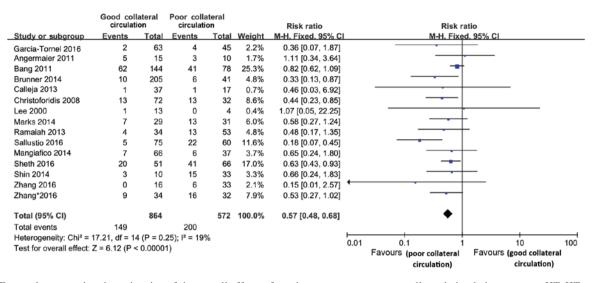


Figure 3. Forest plot presenting the estimation of the overall effects of good vs. poor pre-treatment collateral circulation status on HT. HT was defined according to the SITS-MOST definition by Calleja *et al* (16). HT was defined as a new hyperattenuated region identified on any follow-up CT scan before patient discharge in the study Christoforidis *et al* (7). HT for parenchymal hematoma formation (PH1 and PH2) was evaluated from any follow-up CT or magnetic resonance image undertaken within 7 days of stroke onset by Marks *et al* (24). HT, hemorrhagic transformation; CT, computed tomography; CI, confidence interval; M-H, Mantel-Haenszel; df, degrees of freedom.

Discussion

The collateral circulation connects the cerebral arteries to maintain penumbra perfusion and provide alternative routes for blood flow prior to achievement recanalization/reperfusion in patients with AIS. The predictive value of the collateral circulation status regarding the outcome in such patients has been demonstrated in various studies (6,7,35,40-42); however, these studies display certain differences, including imaging evaluation methods and types of thrombolysis therapy. The literature, including baseline features of collateral status and effects of determining outcomes, was systematically reviewed in the present study. The beneficial effects of good collateral circulation regarding the favorable functional outcome at 3-6 months have been demonstrated (12,16,26,32,43-45), without any significant difference among various durations of thrombolysis (4.5 h, 4.5-6 h or >6 h) and among different treatment types (ET alone, ET+IVT or IVT alone). These phenomena may be explained by the following two points: First, good collateral circulation may have improved the delivery of thrombolysis to both sides of the thrombus, while limiting any extension of the occlusion (25), facilitated adequate preservation of the penumbra until effective reperfusion was reached and increased the efficacy of aggressive ET applied to patients beyond 6 h as per the clinical risk of futile recanalization (46). Second, patients with poor collateral circulation status had a relatively low rate of favorable functional outcomes due to the low amount of salvageable tissue to be reperfused beyond 6 h.

To the best of our knowledge, the present study was the first to systematically review and meta-analyze the correlation between collateral circulation status and final infarct volume. The results indicated that the collateral circulation score predicted the final infarct volume, which was negatively associated with an increased collateral blood supply that improved oligemic peri-infarct tissue perfusion to reduce infarct core expansion and maintain the viable tissue eligible for recovery of function for a prolonged duration, as also outlined in a previous study (47). According to the results of the present study, good collateral circulation also lowered the risk of HT and mortality and enhanced the rate of recanalization/reperfusion. Such effects were independent of variability in estimation methods of collateral circulation, type of thrombolysis therapy and treatment duration, which was in disagreement with the results provided by McVerry *et al* (48), who indicated that inconsistencies in evaluating the collateral blood flow may lead to an underestimation of the effect of the collateral circulation on the outcome of patients with AIS.

According to recent RCT studies, ET has achieved a higher efficiency as compared with IVT (49-53). The putative factors for this change are the use of stent-retriever device technology, a reduction in time delay between admission and groin puncture and the use of neuroimaging modalities for documenting vessel occlusion and patient selection (54); however, the impact of collateral status on the outcome in different treatment types had remained to be subjected to a comparative analysis. The present study revealed that good collateral circulation may have beneficial effects in both treatment types; although ET may be more effective and reliable owing to technological developments, while IVT alone also achieved favorable functional outcomes for patients with a good collateral circulation status.

Evaluation of the collateral circulation status and penumbra area prior to treatment are critical for identifying patients with AIS are likely to benefit from thrombolysis treatment. In addition, collateral circulation scores and the penumbra area should be evaluated alongside the application of the imaging methods for improved accuracy and a timely decision regarding the application of IVT. In addition, certain assessment manuals, including the Houston Intraarterial Therapy (54) and the Totaled Health Risks in Vascular Events score (55), should be jointly considered for improving the selection of patients for whom thrombolysis treatment is likely to be beneficial rather than harmful.

| | | | | Inter-s | Inter-study heterogeneity | eity | Inter-su | Inter-subgroup heterogeneity | eneity |
|----------------|-------------------|-----------------------|----------------------|---------------------------|---------------------------|----------------------------------|---------------------------|------------------------------|----------------------------------|
| Outcome | Number of studies | Number of subjects | RR (95% CI) | Cochran's Q statistics | P-value | I ² statistics (%) | Cochran's Q statistics | P-value | I ² statistics (%) |
| HT | 15 | 1,436 | $0.57\ (0.48, 0.68)$ | 17.21 | 0.25 | 19 | 6.63 | 0.04 | 69.8 |
| IVT alone | 5 | 432 | 0.43 (0.25, 0.72) | | | | | | |
| IVT + ET | 5 | 507 | 0.47 (0.34, 0.63) | | | | | | |
| ET alone | 5 | 497 | $0.73\ (0.57, 0.93)$ | | | | | | |
| Mortality | 6 | 1,108 | $0.29\ (0.22, 0.37)$ | 16.41 | 0.04 | 51 | 3.66 | 0.16 | 45.4 |
| IVT alone | 2 | 310 | $0.24\ (0.14, 0.42)$ | | | | | | |
| IVT + ET | 9 | 696 | $0.27\ (0.20, 0.36)$ | | | | | | |
| ET alone | 1 | 103 | $0.53\ (0.27, 1.03)$ | | | | | | |
| Recanalization | 13 | 1,265 | 1.48(1.31, 1.68) | 20.33 | 0.06 | 41 | 0.63 | 0.73 | 0 |
| IVT alone | 1 | 54 | 2.02 (0.92, 4.42) | | | | | | |
| IVT + ET | 6 | 1,041 | 1.47 (1.29, 1.67) | | | | | | |
| ET alone | 3 | 170 | 1.46(0.94, 2.28) | | | | | | |

Table III. Subgroup analyses for the outcomes of different treatment types in patients with good vs. poor collateral circulation.

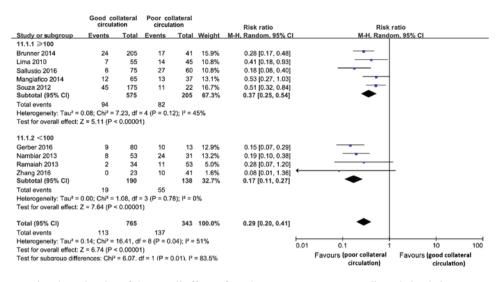


Figure 4. Forest plot presenting the estimation of the overall effects of good vs. poor pre-treatment collateral circulation status on mortality. Mortality was determined at 3 months in 6 studies, at 1 month in 1 study and at the end of the hospitalization period in 2 studies. CI, confidence interval; M-H, Mantel-Haenszel; df, degrees of freedom.

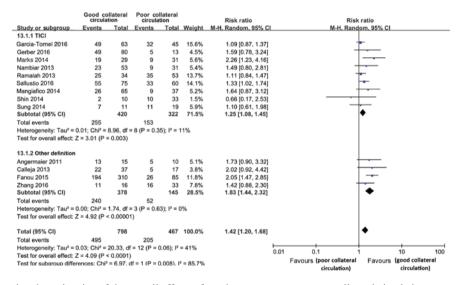


Figure 5. Forest plot presenting the estimation of the overall effects of good vs. poor pre-treatment collateral circulation status on good recanalization or reperfusion. Thrombolysis in myocardial infarction scores were 2-3 in the studies by Angermaier *et al* and Fanou *et al* (13,17), thrombolysis in brain ischemia scores were 4-5 in the study by Calleja *et al* (16) and arterial occlusive lesion scores were 2-3 in the study by Zhang *et al* (37). CI, confidence interval; M-H, Mantel-Haenszel; df, degrees of freedom; TICI, thrombolysis in cerebral infarction.

| | | collat | | | collate | ral | Mea | an difference | | Mean diff | erence | |
|-------------------------------------|------------|----------|---------|----------------------|----------|-------------|--------|---------------------------|---------------|------------|------------------|--------|
| Study or Subgroup | Mean | SD | Total | Mean | SD | Total | Weight | IV. Fixed, 95% C | | IV, Fixed | 95% CI | |
| 12.1.1 DWI | | | | | | | - | | | | | |
| Lee 2009 | 33 | 40.5 | 23 | 144.8 | 78.2 | 6 | 8.5% | -111.80 [-176.52, -47.08] | | - | | |
| Sheth 2016 | 22 | 23 | 51 | 128 | 101 | 66 | | -106.00 [-131.17, -80.83] | | I | | |
| Subtotal (95% CI) | | | 74 | | | 72 | | -106.76 [-130.22, -83.30] | | I | | |
| Heterogeneity: Chi ² = 0 | 0.03. df = | 1 (P = | 0.87): | $l^2 = 0\%$ | | | | | | I | | |
| Test for overall effect: | | | | | | | | | | I | | |
| | | (| , | | | | | | | I | | |
| 12.1.2 CT | | | | | | | | | | | | |
| Garcia-Tornel 2016 | 17 | 21 | 63 | 98 | 113 | 45 | 31.8% | -81.00 [-114.42, -47.58] | | - | | |
| Angermaier 2011 | 13.1 | 8.4 | 4 | 164 | 114.4 | 5 | 3.5% | -150.90 [-251.51, -50.29] | · · · · | - | | |
| Subtotal (95% CI) | | | 67 | | | 50 | 35.4% | -87.95 [-119.66, -56.23] | - | - | | |
| Heterogeneity: Chi ² = 1 | 1.67. df = | = 1 (P = | 0.20); | l ² = 40% | 6 | | | | | I | | |
| Test for overall effect: 2 | | | ,, | | | | | | | I | | |
| | | | , | | | | | | | I | | |
| Total (95% CI) | | | 141 | | | 122 | 100.0% | -100.11 [-118.97, -81.25] | + | | | |
| Heterogeneity: Chi ² = 2 | 2.57, df = | 3 (P = | 0.46); | l² = 0% | | | | | | <u> </u> | | |
| Test for overall effect: | Z = 10.4 | 0 (P < 0 | 0.00001 |) | | | | | -200 -100 | 0 | 100 | 200 |
| Test for subaroup diffe | | · · · | | · | = 0.35). | $I^2 = 0\%$ | | | Favours (poor | collateral | Favours (good co | |
| | | | | | | | | | circu | lation) | circula | ation) |

Figure 6. Forest plot presenting the estimation of the overall effects of good vs. poor pre-treatment collateral circulation status on the final infarct size. SD, standard deviation; IV, inverse variance; CI, confidence interval.

However, the present meta-analysis had several limitations: First, the patients included in this systematic review did not only have anterior circulation ischemic stroke but also with posterior circulation ischemic stroke, which may have resulted in prognosis evaluation bias; second, the present study had other heterogeneities, including differences in ethnicity and treatment compliance after recanalization, and the effects of good collateral circulation are probably restricted to certain subgroups of patients, which requires further exploration. Third, a sampling bias may have been present, as an English language restriction was imposed on the literature search due to which the studies published in other languages were neither identified nor included, thereby reducing the broadness of the analysis. Also, in the present study the effect was more significant in the subgroup of other definitions (TIMI, TIBI or AOL scores) than in the TICI subgroup. The number of studies included in the two groups was different, therefore resulting in a bias between the two groups. Unifying the evaluation and reporting standards for revascularization would benefit horizontal and longitudinal comparisons among IVT or ET studies in the future.

In conclusion, a good collateral circulation status may lead to a favorable 3-6-month functional outcome, a better recanalization or reperfusion rate, a smaller infarct core, a lower HT rate and a lower risk of mortality after thrombolysis treatment for various durations in patients with AIS. In clinical practice, it may be worth considering the collateral circulation status, penumbra assessment and onset-to-treatment time for optimally identifying suitable patients who are likely to benefit from thrombolysis treatment.

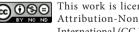
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