



Impact of continuous positive airway pressure (CPAP) on quality of life in patients with obstructive sleep apnea (OSA)

Citation

Batool-Anwar, Salma, James L. Goodwin, Clete A. Kushida, James A. Walsh, Richard D. Simon, Deborah A. Nichols, and Stuart F. Quan. 2016. "Impact of Continuous Positive Airway Pressure (CPAP) on Quality of Life in Patients with Obstructive Sleep Apnea (OSA)." *Journal of Sleep Research* 25 (6) (May 30): 731–738. doi:10.1111/jsr.12430.

Published Version

10.1111/jsr.12430

Permanent link

<http://nrs.harvard.edu/urn-3:HUL.InstRepos:33839679>

Terms of Use

This article was downloaded from Harvard University's DASH repository, and is made available under the terms and conditions applicable to Open Access Policy Articles, as set forth at <http://nrs.harvard.edu/urn-3:HUL.InstRepos:dash.current.terms-of-use#OAP>

Share Your Story

The Harvard community has made this article openly available.
Please share how this access benefits you. [Submit a story](#).

[Accessibility](#)



Published in final edited form as:

J Sleep Res. 2016 December ; 25(6): 731–738. doi:10.1111/jsr.12430.

IMPACT OF CONTINUOUS POSITIVE AIRWAY PRESSURE (CPAP) ON QUALITY OF LIFE IN PATIENTS WITH OBSTRUCTIVE SLEEP APNEA (OSA)

Salma Batool-Anwar, M.D., M.P.H.¹, James L. Goodwin, Ph.D.², Clete A. Kushida, MD., Ph.D,³, James A. Walsh, PhD⁴, Richard D. Simon, M.D.⁵, Deborah A. Nichols, M.S.³, and Stuart F. Quan, M.D.^{1,2}

¹Division of Sleep and Circadian Disorders Medicine, Brigham and Women's Hospital and Division of Sleep Medicine, Harvard Medical School, Boston, MA

²Arizona Respiratory Center, University of Arizona College of Medicine, Tucson, AZ

³Stanford University, Stanford CA

⁴St Luke's Hospital, Chesterfield, MO

⁵St. Mary Medical Center, Walla Walla, WA

Summary

Obstructive Sleep Apnea is a chronic illness with increasing prevalence. In addition to associated cardiovascular comorbidities, obstructive sleep apnea syndrome has been linked to poor quality life, occupational accidents, and motor vehicle crashes secondary to excessive daytime sleepiness. Although continuous positive airway pressure is the gold standard for sleep apnea treatment, its effects on quality of life are not well defined. In the current study we investigated the effects of treatment on quality of life using the data from a subset of the Apnea Positive Pressure Long-term Efficacy Study (APPLES), a randomized controlled trial of continuous positive airway pressure (CPAP) vs. sham CPAP. The Calgary Sleep Apnea Quality of Life Index (SAQLI) was used to assess quality of life. We found that long-term improvement in quality of life occurs with the use of CPAP in persons with severe and possibly moderate sleep apnea. However no demonstrable improvement in quality of life was noted among participants with mild obstructive sleep apnea.

Correspondent: Salma Batool-Anwar, M.D., M.P.H., Division of Sleep and Circadian Disorders, Brigham and Women's Hospital, 221 Longwood Ave., Boston, MA 02115, sbatool@bics.bwh.harvard.edu.

Author Contributions

Salma Batool-Anwar, Stuart F. Quan

Drafting/revising the manuscript for content, including medical writing for content

Study concept or design

Analysis or interpretation of data

Clete A. Kushida, James A. Walsh, James L. Goodwin, Richard D. Simon, Deborah A. Nichols

Study concept or design

Drafting/revising the manuscript for content, including medical writing for content

Keywords

Continuous positive airway pressure; sleep apnea quality of life; daytime sleepiness; obstructive sleep apnea

Background

Interest in quality of life (QoL) measures for chronic diseases has increased in recent years. In the United States, the Healthy People 2020 initiative identified QoL improvement across all life stages as a central public health goal (Sondik et al.). Obstructive sleep apnea (OSA) syndrome is one of the chronic illnesses specifically targeted, with an estimated prevalence in the range of 2–5% to 3–7% among women and men respectively (Punjabi, 2008, Punjabi et al., 2009). In addition to its strong association with cardiovascular co-morbidities and an increased risk for motor vehicle crashes (Terán-Santos et al., 1999), several studies have reported an association between OSA and poor QoL (Yang et al., 2000). Moreover, the main reasons patients seek medical attention are daytime sleepiness, fatigue, and social or emotional difficulties, all of which are likely to negatively affect quality of life.

Continuous positive airway pressure (CPAP) is the most efficacious and commonly used treatment for patients with OSA (Task Force of the American Academy of Sleep Medicine, 2009). It has been shown to improve daytime sleepiness, reduce blood pressure, and ameliorate cardiovascular risk (Durán-Cantolla et al., Becker et al., 2003, Kribbs et al., 1993). Some studies have demonstrated a dose response relationship with greater benefit accruing with increased nightly use although the amount of improvement is relatively less for usage exceeding 4 hours (Sawyer et al.). Although improvement in QoL with CPAP therapy has been demonstrated (Engleman et al., 1997), whether this benefit is maintained over an extended period of time remains to be determined. Furthermore, it is unclear if the impact of CPAP on QoL is limited to only those with the more severe manifestations of this condition or if there are benefits to those with mild OSA as well. Results of the few studies that have addressed this issue have been discordant (Engleman et al., 1999, Barnes et al., 2004, Akashiba et al., 2002, Baldwin et al., 2001, Gall et al., 1993).

The Calgary Sleep Apnea Quality of Life Index (SAQLI) was developed as a sleep apnea-specific quality of life instrument responsive to changes in treatment (Ward Flemons and Reimer, 1998). It has been shown to have high internal consistency and reliability, and construct validity as shown by its positive correlation with the more generic Medical Outcomes Survey Short Form-36 (SF-36). SAQLI items are organized into domains assessing daily functioning, social interactions, emotional functioning, symptoms potentially related to OSA, and treatment-related symptoms (Flemons and Reimer, 2002). Research suggests that the SAQLI measures components of health-related QoL important to sleep apnea patients and can be used to measure within patient change following treatment intervention.

The objective of this investigation was to extend the results of previous studies and determine whether the effects of CPAP on QoL are maintained over a prolonged period of time using a sleep apnea specific QoL instrument. An additional goal was to assess whether

there are benefits across the entire spectrum of OSA severity. The analysis was conducted using the data from a subset of the Apnea Positive Pressure Long-term Efficacy Study (APPLES), a randomized controlled trial of CPAP vs. Sham CPAP over 6 months (Kushida et al., 2006). We hypothesized that treatment with CPAP would be associated with improvement in overall QoL as assessed by the SAQLI and that the association would be mediated by baseline OSA severity.

Methods

Study Population and Protocol

APPLES was a 6-month multicenter, randomized, double-blinded, 2-arm, sham-controlled, intention-to-treat study of CPAP efficacy on three domains of neurocognitive function in OSA. A detailed description of the protocol has previously been published (Kushida et al., 2006). Briefly, the participants were either recruited through local advertisement or from those attending sleep clinics for evaluation of possible OSA. The initial clinical evaluation included administering informed consent, screening questionnaires, a history and physical examination, and a medical assessment by a study physician. Participants subsequently returned 2–4 weeks later for a 24-h sleep laboratory visit, during which polysomnography (PSG) was performed to confirm the diagnosis, followed by a day of neurocognitive, mood, sleepiness, and QoL testing.

Inclusion and exclusion criteria have been published previously and included age ≥ 18 years and a clinical diagnosis of OSA, as defined by American Academy of Sleep Medicine (AASM) criteria and an apnea hypopnea index (AHI) ≥ 10 by PSG. Exclusion criteria included: previous treatment for OSA with CPAP or surgery, oxygen saturation on baseline PSG $<75\%$ for $>10\%$ of the recording time, history of motor vehicle accident related to sleepiness within the past 12 months, presence of chronic medical conditions, use of various medications known to affect sleep or neurocognitive function, and various health and social factors that may impact standardized testing procedures (*e.g.*, shift work).

Following the PSG, participants with an AHI ≥ 10 who met other enrollment criteria were randomized to CPAP or sham CPAP for continued participation in APPLES. After randomization, participants returned to the sleep laboratory for a CPAP or sham CPAP titration PSG. Subsequent assessments were made at 2, and 6 months post-randomization at which time a test battery was re-administered including QoL questionnaires.

Assessment of Quality of Life

Calgary Sleep Apnea Quality of Life Index (SAQLI)—The SAQLI was developed as a sleep apnea-specific QoL instrument that assesses components deemed important to patients (Ward Flemons and Reimer, 1998). It was designed as an interview administered by trained staff. The first 35 items capture responses assessing daily functioning, social interactions, and emotional functioning. Next, symptoms are reviewed that may be experienced by patients with sleep apnea, and participants are asked to select up to five symptoms they consider the most important for evaluation. Once treatment has been initiated, five treatment-related symptoms are also selected and evaluated. Items are scored

on a 7-point scale where the wording varies slightly depending on the question; examples of the most extreme responses are “all of the time” and “not at all.” Item and domain scores are averaged, taking into account the impact of treatment-related symptoms, to yield a composite total score between 1 and 7. Higher scores represent better QoL.

Assessments of Mood and Sleepiness

Beck Depression Inventory II (BDI)—The BDI is a validated 21-question multiple choice self-reported inventory, and is one of the most widely used instruments for measuring the severity of depression (Beck and Steer, 1987). Items are scored on a 4-point severity scale, where a higher BDI total score suggests a higher level of depression. A score of 14 or above is consistent with at least mild depression.

The Profile of Mood States (POMS)—The POMS is an established measure of transient mood states with high reliability and validity. The scale consists of 65 self-rated adjectives on a 5-point scale with the instruction to rate each item “at the present time” (McNair et al.). Possible responses are: not at all, a little, moderately, quite a lot, or extremely. Six mood states are measured in the POMS: tension-anxiety, depression-dejection, anger-hostility, vigor-activity, fatigue-inertia, and confusion-bewilderment. These factors can be combined to form the POMS total mood disturbance (TMD) score. Higher scores represent more negative mood states.

Hamilton Rating Scale for Depression (HMD)—The HMD is a validated 21-item clinician-administered assessment of the severity of depression. APPLS used a modified version of this test administered by trained staff. In this psychometrician-administered interview, 17 questions (*e.g.*, depressed mood, suicide, work and anhedonia, retardation, agitation, gastrointestinal or general somatic symptoms, hypochondriasis, loss of insight or weight) were asked to identify and monitor depressive symptomatology. Interviews were scored using either a 3- or 5-point scale based on intensity and frequency, and were summed to provide a single total score (Hamilton, 1960).

Epworth Sleepiness Scale (ESS)—The ESS is a validated 8-item questionnaire designed to measure subjective sleepiness. It was originally designed to distinguish normal patients from those with sleep disorders such as OSA and narcolepsy (Johns, 1991). This self-administered instrument rates how likely a patient is to doze off or fall asleep during certain situations (*i.e.*, no chance of dozing, slight chance of dozing, moderate chance of dozing, high chance of dozing), and averages the responses to derive a total score. Higher scores represent a higher level of subjective sleepiness.

Polysomnography

The PSG montage included monitoring of the electroencephalogram (EEG, C₃-A₂ or C₄-A₁, O₂-A₁ or O₁-A₂), electrooculogram (EOG, ROC-A₁, LOC-A₂), chin and anterior tibialis electromyograms (EMG), heart rate by 2-lead electrocardiogram, snoring intensity (anterior neck microphone), nasal pressure (nasal cannula), nasal/oral thermistor, thoracic and abdominal movement (inductance plethysmography bands), and oxygen saturation (pulse oximetry). All PSG records were electronically transmitted to a centralized data

coordinating and PSG reading center. Sleep and wakefulness were scored using Rechtschaffen and Kales criteria (Rechtschaffen and Kales, 1968). Apneas and hypopneas were scored using American Academy of Sleep Medicine Task Force (1999) diagnostic criteria (Flemons et al., 1999). Briefly, an apnea was defined by a clear decrease (> 90%) from baseline in the amplitude of the nasal pressure or thermistor signal lasting 10 sec. Hypopneas were identified if there was a clear decrease (> 50% but < 90%) from baseline in the amplitude of the nasal pressure or thermistor signal, or if there was a clear amplitude reduction of the nasal pressure signal 10 sec that did not reach the above criterion, but was associated with either an oxygen desaturation > 3% or an arousal. Obstructive events were scored if there was persistence of chest or abdominal respiratory effort. Central events were noted if no displacement occurred on either the chest or abdominal channels. Sleep apnea was classified as mild (AHI 10.0 to 15.0 events per hour), moderate (AHI 15.1 to 30.0 events per hour), and severe (AHI more than 30 events per hour) (Flemons et al., 1999).

Statistical Analysis

Univariate and multivariate logistic regression models were used to estimate the degree to which variables correlated with QoL scores. We examined the association between the SAQLI and the following variables: OSA severity as measured by the AHI, sleepiness as assessed by ESS, age, baseline body mass index (BMI, kg/m²), and mood measured by HMD, POMS, and BDI. PSG sleep efficiency and total sleep time were included in the models if significant correlations were observed on univariate analysis.

A paired sample t-test was conducted to compare effects of treatment (CPAP vs. Sham CPAP) on SAQLI at 2 and 6 months. To assess factors associated with the minimum clinically relevant improvement in SAQLI total score (>1.0) (Flemons and Reimer, 2002), binary logistic regression models were constructed. For these models, dichotomous variables were created for treatment (CPAP vs. SHAM), OSA severity (AHI < 15 vs. ≥ 15), obesity (BMI < 30 kg/m² vs. ≥ 30 kg/m²), depression (BDI < 14 vs. ≥ 14), CPAP compliance (< 4 hours/night vs. ≥ 4 hours/night), and excessive sleepiness (ESS < 10 vs. ≥ 10).

Finally we used unpaired t-tests to assess the effect of gender and OSA severity on change in ESS total score in both the CPAP and Sham CPAP groups. Data for continuous and interval variables were expressed as mean ± SD, and as a percentage for categorical variables. Statistical significance was set at a *P* value < 0.05, two-tailed. Analyses were performed using STATA (Version 11, StataCorp TX USA).

Results

Table 1 includes demographic and baseline outcome measure values for the CPAP and Sham groups (n=443 and 402 respectively) with SAQLI data. The two groups were generally similar in mean age, sex ratio, proportion of white participants and average BMI. Similar proportions of the two groups had severe OSA (57%), with an average AHI of 40/hour. The average CPAP adherence at 2 and 6 months was 3.43 ± 2.8 and 2.92 ± 2.9 hours/night for the Sham group vs. 4.31 ± 2.9 and 3.69 ± 3.1 hours per night in the CPAP group. BDI scores in both groups averaged approximately 6. Interestingly, the participants in the CPAP arm reported higher baseline POMS scores. The participants in both randomized groups had

similar SAQLI scores at baseline. At the 2 and 6 month visits (data not shown) no significant change was noted in total sleep time and sleep efficiency. However, a significant decrease in arousal index was noted at 6 months in the CPAP group (29/hour vs. 16/hour $P<0.001$). There was also a trend for the CPAP group to have a lower POMS score at 6 months, however the results were not statistically significant (12 vs. 6.6, $P=0.07$).

To assess QoL between treatment arms over time, we compared overall SAQLI total score at the three study visits and found no significant differences in means (Table 2a and Table 2b). Analyses were also stratified by OSA severity (mild, moderate, and severe), but no significant differences were detected. Additional focused analyses indicated a significant decline in SAQLI at 2 months among participants with mild OSA (4.6 to 4.2, $p=0.04$). This decline in SAQLI primarily occurred among participants with less than 4-hour use of CPAP. In contrast compared to Sham CPAP, participants in the CPAP arm with severe OSA and CPAP usage >4 hours/night had a small, but significant improvement in their SAQLI scores (4.7 to 5.0, $P<0.05$) at 6 months (Table 2b).

A multivariate logistic regression analysis was performed to identify factors predicting a change in the SAQLI of at least 1 total score (Table 3). Compared to the Sham CPAP arm, the participants in CPAP arm demonstrated increased odds of having a change in SAQLI total score of at least 1 (OR: 1.66, 95% CI: 1.1–2.6, $P<0.03$). Of note, severity of OSA (moderate to severe vs. mild) and CPAP compliance were not predictive of SAQLI change. Similarly, a one unit change in ESS total score also predicted significant change in SAQLI total score of at least 1 (OR: 1.76, 95% CI: 1.1–2.7, $P<0.01$).

Finally, as shown in Table 4, compared to the SHAM group significant improvement in ESS was noted amongst the CPAP group ($P<0.05$) for participants with moderate or severe OSA and the results were more prominent among women. No significant change in ESS was noted in mild OSA group in either treatment arm.

Discussion

Our results demonstrate that CPAP use of 4 hours per night among patients with severe OSA improves QoL as measured by the SAQLI. Clinically important changes in QoL occurred primarily in those who were subjectively sleepy. Our findings emphasize the importance of treatment adherence in predicting QoL improvements in patients with OSA. These results are in accordance with previous studies underscoring the importance of CPAP compliance. Using the functional outcomes of sleep questionnaire (FOSQ) as the QoL measure, Weaver *et al.* demonstrated improvement in FOSQ total score after CPAP use. Almost 67% of participants with CPAP, use of more than 5 hours achieved a score of 17.9 or above. In contrast, only 33% of participants with CPAP use of less than 2 hours had similar improvement in QoL (Weaver *et al.*, 2007). Likewise, a large retrospective study of the French population using the Nottingham Health Profile (NHP) demonstrated that OSA patients with intermediate CPAP compliance (4–7 hours/night) had a better perception of their health than the poorly compliant patients (<4 hours/night) (Meslier *et al.*, 1998). In another small study of 29 patients, 8 weeks of CPAP therapy (6.0 ± 1.6 hours/night) demonstrated significant improvement in vitality, social functioning, and mental health (p

<0.05) compared to baseline values (D'Ambrosio et al., 1999). Similarly a meta-analysis ($n=1256$) found improvement in physical function, energy/vitality, and the physical component summary (PCS) domain of the SF-36 after CPAP use (Jing et al., 2008). However, QoL was not measured among the non-compliant patients in these studies. Thus, there is limited research on the effect of CPAP on QoL in randomized controlled trials, and our study is one such study demonstrating improvement in the SAQLI after CPAP use.

The current study also indicates increased odds of a SAQLI total score change of >1.0 for participants with moderate to severe OSA and those adhering to CPAP (>4 hours/night). It has been suggested that the minimal important difference in SAQLI score is approximately 1, and a score of this magnitude is associated with a clinically meaningful improvement in QoL for patients with sleep apnea (Flemons and Reimer, 2002). Our findings indicate that in addition to those with severe OSA, patients with moderately severe OSA also may experience some improvement in QoL with CPAP.

Impairment in physical health domains of health-related QoL has been reported among patients with OSA (Lacasse et al., 2002). Although CPAP treatment leads to improvement, very few studies have shown correlation between OSA severity and the QoL measures (Avlonitou et al., Engleman et al., 1997, Barnes et al., 2002, Tousignant et al., 1994, Sanner et al., 2000). In a retrospective study of 19 participants, positive correlation was demonstrated between OSA severity and health state (Tousignant et al., 1994). Similarly, in another study examining the long term effects of CPAP, a very weak correlation was observed between mean oxygen saturation at night and QoL as measured by the complaint list (Sanner et al., 2000). In contrast, for our study, severity of OSA played a vital role in predicting change in SAQLI total score for those randomized to the therapeutic CPAP treatment arm. Participants in the CPAP arm with severe OSA demonstrated improvement in SAQLI total score. No significant change in SAQLI was noted for participants with mild OSA.

In contradistinction to our results, others have reported improvement in QoL independent of OSA severity. Avlonitou *et al.* demonstrated significant improvement in SAQLI scores after CPAP treatment in the domains of social interactions and daily and emotional functioning irrespective of OSA severity (Avlonitou et al.). Prior to CPAP treatment, patients in the study by Avlonitou *et al.* ($n=50$) reported a higher ESS total score, and the symptoms that showed the greatest improvement were those associated with daytime sleepiness. Similarly, significant increases in SF-36 scores after CPAP therapy has been reported in patients with mild sleep apnea with severely impaired QoL measures (Engleman et al., 1997, D'Ambrosio et al., 1999). Excessive sleepiness, not the severity of OSA, was thought to be responsible for low QoL scores in these studies. Our finding of an association between a change in SAQLI >1 and sleepiness is consistent with these previous studies and emphasizes the importance of sleepiness in determining QoL in patients with OSA.

Daytime sleepiness is one of the main reasons patient seek medical attention, and commonly, subjective sleepiness is assessed using the ESS. Although subjective sleepiness as measured by the ESS improved in both groups, the change in ESS total score was significantly greater for participants randomized to the CPAP treatment arm and was

predominantly seen among patients with moderate to severe OSA. Thus, our data provide additional evidence that CPAP is an effective treatment for OSA-related sleepiness over the long-term.

Quality of life is becoming an increasingly important construct in evaluating the benefits of treatment for many conditions. With respect to OSA, QoL has been selected as a quality metric to evaluate the standard of care rendered to patients (Aurora et al.). Research suggests that QoL among patients with OSA is not only limited to daytime sleepiness, but rather encompasses a wider perception of performance in domains such as physical function, emotional state, and social interaction. The SAQLI was developed to identify symptoms more relevant to patients, and thus should be an appropriate tool to measure treatment success in those with OSA. Our data demonstrating that the SAQLI is responsive to CPAP intervention supports its use in this context.

While our initial overall comparisons of total SAQLI score by treatment arm across study visits demonstrate a significant decrease in SAQLI scores in the mild OSA group who were treated with Sham CPAP, we found that long-term improvement in QoL occurs with the use of CPAP in persons with OSA who have severe, and possibly moderate OSA, and who are moderately compliant with CPAP. The decline in SAQLI in participants with mild OSA could be explained by the fact that the mild OSA patients with minimal symptoms found Sham CPAP to be uncomfortable as reflected in SAQLI score.

Strengths of this study include a large number of participants across multiple sites, randomized CPAP and Sham CPAP control groups, documentation of CPAP compliance at 6 months, and adjustment for multiple confounders that can affect QoL. Despite its strengths, the current study has some limitations. First, since the study was randomized to groups (CPAP and Sham CPAP) with no control arm, the possibility of placebo effect cannot be excluded even in the Sham CPAP arm. Second, the change in QoL after 6 months of CPAP treatment does not necessarily predict maintenance of this long-term clinical improvement indefinitely. Third, participants with very severe OSA were excluded from this study based on the exclusion criteria related to low oxygen saturation (saturation on baseline PSG <75% for >10% of the recording time). Lastly, our results are not necessarily applicable to other treatment modalities for OSA, such as oral appliances or upper airway surgery.

In conclusion, the findings of this study demonstrate that QoL improves in patients with severe and possibly moderate OSA who are adequately treated with CPAP, and this improvement is maintained over an extended time period. Future studies are needed with longer follow-up on OSA patients, treated with more diverse treatment modalities, with and without subjective sleepiness, to more thoroughly assess long-term effects of OSA treatment on overall QoL.

Acknowledgments

APPLES was funded by contract 5U01-HL-068060 from the National Heart, Lung and Blood Institute. The APPLES pilot studies were supported by grants from the American Academy of Sleep Medicine and the Sleep Medicine Education and Research Foundation to Stanford University and by the National Institute of Neurological Disorders and Stroke (N44-NS-002394) to SAM Technology. In addition, APPLES investigators gratefully

recognize the vital input and support of Dr. Sylvan Green who died before the results of this trial were analyzed, but was instrumental in its design and conduct.

Administrative Core

Clete A. Kushida, MD, PhD; Deborah A. Nichols, MS; Eileen B. Leary, BA, RPSGT; Pamela R. Hyde, MA; Tyson H. Holmes, PhD; Daniel A. Bloch, PhD; William C. Dement, MD, PhD

Data Coordinating Center

Daniel A. Bloch, PhD; Tyson H. Holmes, PhD; Deborah A. Nichols, MS; Rik Jadrnicek, Microflow, Ric Miller, Microflow Usman Aijaz, MS; Aamir Farooq, PhD; Darryl Thomander, PhD; Chia-Yu Cardell, RPSGT; Emily Kees, Michael E. Sorel, MPH; Oscar Carrillo, RPSGT; Tami Crabtree, MS; Booil Jo, PhD; Ray Balise, PhD; Tracy Kuo, PhD

Clinical Coordinating Center

Clete A. Kushida, MD, PhD, William C. Dement, MD, PhD, Pamela R. Hyde, MA, Rhonda M. Wong, BA, Pete Silva, Max Hirshkowitz, PhD, Alan Gevins, DSc, Gary Kay, PhD, Linda K. McEvoy, PhD, Cynthia S. Chan, BS, Sylvan Green, MD

Clinical Centers

Stanford University

Christian Guilleminault, MD; Eileen B. Leary, BA, RPSGT; David Claman, MD; Stephen Brooks, MD; Julianne Blythe, PA-C, RPSGT; Jennifer Blair, BA; Pam Simi, Ronelle Broussard, BA; Emily Greenberg, MPH; Bethany Franklin, MS; Amirah Khouzam, MA; Sanjana Behari Black, BS, RPSGT; Viola Arias, RPSGT; Romelyn Delos Santos, BS; Tara Tanaka, PhD

University of Arizona

Stuart F. Quan, MD; James L. Goodwin, PhD; Wei Shen, MD; Phillip Eichling, MD; Rohit Budhiraja, MD; Charles Wynstra, MBA; Cathy Ward, Colleen Dunn, BS; Terry Smith, BS; Dane Holderman, Michael Robinson, BS; Osmara Molina, BS; Aaron Ostrovsky, Jesus Wences, Sean Priefert, Julia Rogers, BS; Megan Ruitter, BS; Leslie Crosby, BS, RN

St. Mary Medical Center

Richard D. Simon Jr., MD; Kevin Hurlburt, RPSGT; Michael Bernstein, MD; Timothy Davidson, MD; Jeannine Orock-Takele, RPSGT; Shelly Rubin, MA; Phillip Smith, RPSGT; Erica Roth, RPSGT; Julie Flaa, RPSGT; Jennifer Blair, BA; Jennifer Schwartz, BA; Anna Simon, BA; Amber Randall, BA

St. Luke's Hospital

James K. Walsh, PhD, Paula K. Schweitzer, PhD, Anup Katyal, MD, Rhody Eisenstein, MD, Stephen Feren, MD, Nancy Cline, Dena Robertson, RN, Sheri Compton, RN, Susan Greene, Kara Griffin, MS, Janine Hall, PhD

Brigham and Women's Hospital

Daniel J. Gottlieb, MD, MPH, David P. White, MD, Denise Clarke, BSc, RPSGT, Kevin Moore, BA, Grace Brown, BA, Paige Hardy, MS, Kerry Eudy, PhD, Lawrence Epstein, MD, Sanjay Patel, MD

*Sleep HealthCenters for the use of their clinical facilities to conduct this research

Consultant Teams

Methodology Team: Daniel A. Bloch, PhD, Sylvan Green, MD, Tyson H. Holmes, PhD, Maurice M. Ohayon, MD, DSc, David White, MD, Terry Young, PhD

Sleep-Disordered Breathing Protocol Team: Christian Guilleminault, MD, Stuart Quan, MD, David White, MD

EEG/Neurocognitive Function Team: Jed Black, MD, Alan Gevins, DSc, Max Hirshkowitz, PhD, Gary Kay, PhD, Tracy Kuo, PhD

Mood and Sleepiness Assessment Team: Ruth Benca, MD, PhD, William C. Dement, MD, PhD, Karl Dogramji, MD, Tracy Kuo, PhD, James K. Walsh, PhD

Quality of Life Assessment Team: W. Ward Flemons, MD, Robert M. Kaplan, PhD

APPLES Secondary Analysis-Neurocognitive (ASA-NC) Team: Dean Beebe, PhD, Robert Heaton, PhD, Joel Kramer, PsyD, Ronald Lazar, PhD, David Loewenstein, PhD, Frederick Schmitt, PhD

National Heart, Lung, and Blood Institute (NHLBI)

Michael J. Twery, PhD, Gail G. Weinmann, MD, Colin O. Wu, PhD

Data and Safety Monitoring Board (DSMB)

Seven year term: Richard J. Martin, MD (Chair), David F. Dinges, PhD, Charles F. Emery, PhD, Susan M. Harding MD, John M. Lachin, ScD, Phyllis C. Zee, MD, PhD

Other term: Xihong Lin, PhD (2 yrs), Thomas H. Murray, PhD (1 yr)

References

- Akashiba T, Kawahara S, Akahoshi T, et al. Relationship between quality of life and mood or depression in patients with severe obstructive sleep apnea syndrome*. *Chest*. 2002; 122:861. [PubMed: 12226024]
- Aurora RN, Collop NA, Jacobowitz O, Thomas SM, Quan SF, Aronsky AJ. Quality measures for the care of adult patients with obstructive sleep apnea. *J Clin Sleep Med*. 11:357.
- Avlonitou E, Kapsimalis F, Varouchakis G, Vardavas C, Behrakis P. Adherence to CPAP therapy improves quality of life and reduces symptoms among obstructive sleep apnea syndrome patients. *Sleep and Breathing*. 16:563.
- Baldwin CM, Griffith KA, Nieto FJ, O'connor GT, Walsleben JA, Redline S. The association of sleep-disordered breathing and sleep symptoms with quality of life in the Sleep Heart Health Study. *Sleep*. 2001; 24:96. [PubMed: 11204058]
- Barnes M, Houston D, Worsnop CJ, et al. A Randomized Controlled Trial of Continuous Positive Airway Pressure in Mild Obstructive Sleep Apnea. *American Journal of Respiratory and Critical Care Medicine*. 2002; 165:773. [PubMed: 11897643]
- Barnes M, Mcevoy RD, Banks S, et al. Efficacy of positive airway pressure and oral appliance in mild to moderate obstructive sleep apnea. *American Journal of Respiratory and Critical Care Medicine*. 2004; 170:656. [PubMed: 15201136]
- Beck, AT., Steer, RA. Manual for the revised Beck depression inventory. San Antonio, TX: Psychological Corporation; 1987.
- Becker HF, Jerrentrup A, Ploch T, et al. Effect of Nasal Continuous Positive Airway Pressure Treatment on Blood Pressure in Patients With Obstructive Sleep Apnea. *Circulation*. 2003; 107:68. [PubMed: 12515745]
- D'ambrosio C, Bowman T, Mohsenin V. Quality of life in patients with obstructive sleep apnea*: Effect of nasal continuous positive airway pressure—a prospective study. *Chest*. 1999; 115:123. [PubMed: 9925072]
- Durán-Cantolla J, Aizpuru F, Montserrat JM, et al. Continuous positive airway pressure as treatment for systemic hypertension in people with obstructive sleep apnoea: randomised controlled trial. *Bmj*. :341.
- Engleman HM, Kingshott RN, Wraith PK, Mackay TW, Deary IJ, Douglas NJ. Randomized placebo-controlled crossover trial of continuous positive airway pressure for mild sleep apnea/hypopnea syndrome. *American Journal of Respiratory and Critical Care Medicine*. 1999; 159:461. [PubMed: 9927358]
- Engleman HM, Martin SE, Deary IJ, Douglas NJ. Effect of CPAP therapy on daytime function in patients with mild sleep apnoea/hypopnoea syndrome. *Thorax*. 1997; 52:114. [PubMed: 9059469]

- Flemons WW, Buysse D, Redline S, Pack A. The report of american academy of sleep medicine task force. Sleep related breathing disorders in adults: recommendations for syndrome definition and measurement techniques in clinical research. *Sleep*. 1999; 22:667. [PubMed: 10450601]
- Flemons WW, Reimer MA. Measurement Properties of the Calgary Sleep Apnea Quality of Life Index. *American Journal of Respiratory and Critical Care Medicine*. 2002; 165:159. [PubMed: 11790647]
- Force, A. O. S. A. T. and American Academy of Sleep, M. Clinical guideline for the evaluation, management and long-term care of obstructive sleep apnea in adults. *Journal of clinical sleep medicine: JCSM: official publication of the American Academy of Sleep Medicine*. 2009; 5:263. [PubMed: 19960649]
- Gall R, Isaac L, Kryger M. Quality of life in mild obstructive sleep apnea. *Sleep: Journal of Sleep Research & Sleep Medicine*. 1993
- Hamilton M. A rating scale for depression. *Journal of neurology, neurosurgery, and psychiatry*. 1960; 23:56.
- Jing J, Huang T, Cui W, Shen H. Effect on quality of life of continuous positive airway pressure in patients with obstructive sleep apnea syndrome: a meta-analysis. *Lung*. 2008; 186:131. [PubMed: 18340485]
- Johns MW. A new method for measuring daytime sleepiness: the Epworth sleepiness scale. *Sleep*. 1991; 14:540. [PubMed: 1798888]
- Kales A, Caldwell AB, Cadieux RJ, Vela-Bueno A, Ruch LG, Mayes SD. Severe obstructive sleep apnea—II: Associated psychopathology and psychosocial consequences. *Journal of Chronic Diseases*. 1985; 38:427. [PubMed: 3998057]
- Kribbs NB, Pack AI, Kline LR, et al. Effects of one night without nasal CPAP treatment on sleep and sleepiness in patients with obstructive sleep apnea. *Am Rev Respir Dis*. 1993; 147:1162. [PubMed: 8484626]
- Kushida CA, Nichols DA, Quan SF, et al. The apnea positive pressure long-term efficacy study (APPLES): rationale, design, methods, and procedures. *J Clin Sleep Med*. 2006; 2:288. [PubMed: 17561541]
- Lacasse Y, Godbout C, Sériès F. Health-related quality of life in obstructive sleep apnoea. *European Respiratory Journal*. 2002; 19:499. [PubMed: 11936529]
- McNair, DM., Lorr, M., Droppelman, LF. Manual for the profile of mood states. San Diego, CA: Educational and Industrial Testing Service; 1971.
- Meslier N, Lebrun T, Grillier-Lanoir V, et al. A French survey of 3,225 patients treated with CPAP for obstructive sleep apnoea: benefits, tolerance, compliance and quality of life. *European Respiratory Journal*. 1998; 12:185. [PubMed: 9701435]
- Punjabi NM. The epidemiology of adult obstructive sleep apnea. *Proceedings of the American Thoracic Society*. 2008; 5:136. [PubMed: 18250205]
- Punjabi NM, Caffo BS, Goodwin JL, et al. Sleep-disordered breathing and mortality: a prospective cohort study. *PLoS medicine*. 2009; 6:e1000132. [PubMed: 19688045]
- Quan SF, Gillin JC, Littner MR, Shepard JW. Sleep-related breathing disorders in adults: Recommendations for syndrome definition and measurement techniques in clinical research. editorials. *Sleep*. 1999; 22:662. [PubMed: 10577171]
- Rechtschaffen A, Kales A. A manual of standardized terminology, techniques and scoring system for sleep stages of human subjects. 1968
- Sanner BM, Klewer J, Trumm A, Randerath W, Kreuzer I, Zidek W. Long-term treatment with continuous positive airway pressure improves quality of life in obstructive sleep apnoea syndrome. *European Respiratory Journal*. 2000; 16:118. [PubMed: 10933096]
- Sawyer AM, Gooneratne NS, Marcus CL, Ofer D, Richards KC, Weaver TE. A systematic review of CPAP adherence across age groups: clinical and empiric insights for developing CPAP adherence interventions. *Sleep medicine reviews*. 15:343.
- Sondik EJ, Huang DT, Klein RJ, Satcher D. Progress toward the healthy people 2010 goals and objectives. *Annual review of public health*. 31:271.

- Terán-Santos J, Jimenez-Gomez A, Cordero-Guevara J. The Association between Sleep Apnea and the Risk of Traffic Accidents. *New England Journal of Medicine*. 1999; 340:847. [PubMed: 10080847]
- Tousignant P, Cosio MG, Levy RD, Groome PA. Quality adjusted life years added by treatment of obstructive sleep apnea. *Sleep: Journal of Sleep Research & Sleep Medicine*. 1994
- Ward Flemons W, Reimer MA. Development of a Disease-specific Health-related Quality of Life Questionnaire for Sleep Apnea. *American Journal of Respiratory and Critical Care Medicine*. 1998; 158:494. [PubMed: 9700127]
- Weaver TE, Maislin G, Dinges DF, et al. Relationship between hours of CPAP use and achieving normal levels of sleepiness and daily functioning. *Sleep*. 2007; 30:711. [PubMed: 17580592]
- Yang EH, Hla KM, Mchorney CA, Havighurst T, Badr MS, Weber S. Sleep apnea and quality of life. *Sleep*. 2000; 23:535. [PubMed: 10875560]

Table 1

Baseline characteristics of APPLES participants with SAQLI data

	All Subjects	After Randomization	
	With SAQLI data	SHAM	CPAP
N	845	402	443
Age, <i>mean year</i> (SD)	52 ± 12	51 ± 12	52 ± 12
Gender, <i>n</i> (% men)	549 (65)	259 (64)	290 (65)
Ethnicity, <i>n</i> , (% White)	644 (76)	304 (76)	340 (77)
BMI (<i>Kg/m2</i> , SD)	32.2 ± 7.1	32 ± 6.7	32.5 ± 7.5
OSA Severity, <i>n</i> (%)			
Mild	113 (14)	50 (12)	63 (14)
Moderate	249 (29)	121 (30)	128 (29)
Severe	483 (57)	231 (57)	252 (57)
AHI at baseline <i>mean</i> (SD)	40 ± 25	41 ± 25	40 ± 24
ESS <i>mean</i> (SD)	10.4 ± 4.5	10.4 ± 4.4	10.3 ± 4.5
SAQLI at baseline	4.7 ± 0.8	4.7 ± 0.8	4.7 ± 0.8
BDI Score	6.1 ± 4.9	6.3 ± 5.0	5.9 ± 4.9
HMD Score	4.3 ± 4.1	4.1 ± 3.8	4.5 ± 4.3
POMS	8.6 ± 96	5.1 ± 113	11.8 ± 79
Total Sleep Time (min)	376 ± 65	377 ± 63	376 ± 66
Sleep Efficiency (%)	78 ± 13	78.3 ± 12	78 ± 13
Arousal Index	29 ± 20	30 ± 22	29 ± 19
Compliance at 2 Months	3.89 ± 2.9	3.43 ± 2.8	4.31 ± 2.9
Compliance at 6 Months	3.33 ± 3.1	2.92 ± 2.92	3.69 ± 3.1

SD: Standard Deviation, BMI: Body Mass Index, OSA: Obstructive Sleep Apnea, AHI: Apnea Hypopnea Index, ESS: Epworth Sleepiness Scale, BDI: Beck Depression Inventory, HMD: Hamilton Rating Scale for Depression, POMS: Profile of Mood States, SAQLI: Sleep Apnea Quality of Life Index.

Table 2a

Change in SAQLI at 2 Months based on treatment groups and OSA severity

	Compliance < 4 hours						Compliance > 4 hours					
	SHAM			CPAP			SHAM			CPAP		
	Baseline	2 M		Baseline	2M		Baseline	2 M		Baseline	2M	
N	193	193		157	157		199	199		274	274	
OSA	4.6 (0.8)	4.4 (1.3)*		4.6 (0.8)	4.5 (0.8)		4.7 (0.8)	4.7 (1.2)		4.8 (0.8)	4.8 (0.8)	
N	25	25		23	23		21	21		38	38	
Mild	4.6 (0.6)	4.2 (1.1)*		4.4 (0.8)	4.6 (0.7)		4.9 (0.7)	4.9 (0.7)		4.7 (0.6)	4.6 (0.8)	
N	64	64		52	52		55	55		75	75	
Moderate	4.7 (0.9)	4.5 (0.9)		4.6 (0.9)	4.3 (0.8)		4.8 (0.7)	4.8 (0.7)		4.8 (0.8)	4.6 (0.8)	
N	104	104		82	82		123	123		161	161	
Severe	4.6 (0.7)	4.4 (1.6)		4.7 (0.7)	4.6 (0.8)		4.7 (0.8)	4.5 (1.4)		4.9 (0.8)	4.9 (0.7)	

Table 2b

Change in SAQLI at 6 Months based on treatment groups and OSA severity

	Compliance < 4 hours				Compliance > 4 hours			
	SHAM		CPAP		SHAM		CPAP	
	Baseline	6 M	Baseline	6M	Baseline	6 M	Baseline	6M
N	242	242	193	193	160	160	249	249
OSA	4.6 (0.8)	4.6 (1.0)	4.7 (0.8)	4.7 (0.8)	4.8 (0.8)	4.9 (0.7)	4.7 (0.8)	5.0 (0.7)*
N	34	34	29	29	16	16	34	34
Mild	4.6 (0.7)	4.6 (1.0)	4.5 (0.7)	4.8 (0.8)	4.7 (0.7)	4.9 (0.7)	4.6 (0.6)	4.8 (0.8)
N	75	75	59	59	46	46	69	69
Moderate	4.6 (0.9)	4.7 (1.0)	4.6 (0.8)	4.6 (0.9)	4.9 (0.7)	4.9 (0.6)	4.8 (0.8)	4.9 (0.7)
N	133	133	105	105	98	98	146	146
Severe	4.6 (0.8)	4.6 (0.9)	4.8 (0.8)	4.8 (0.8)	4.7 (0.8)	4.8 (0.8)	4.7 (0.8)	5.0 (0.7)*

Paired ttest for saqli at baseline and at 2 and 6 months stratified by severity and treatment groups

* P < 0.05

Table 3

Odds ratios for change in SAQLI >1

	Odds Ratio	95% CI	P value
OSA	0.84	0.4–1.6	0.59
CPAP	1.66	1.1–2.6	0.03*
Compliance	0.86	0.54–1.4	0.53
Obesity	0.79	0.49–1.2	0.23
Depression	0.64	0.33–1.3	0.19
ESS	1.76	1.1–2.7	0.01*
Gender	1.2	0.76–1.9	0.44

Multiple Logistic Regression Model: SAQLI: Sleep Apnea Quality of Life Index, OSA: Obstructive Sleep Apnea, CPAP: Continuous Positive Airway pressure, ESS: Epworth Sleepiness Scale

* P< 0.05

Table 4

Change in ESS according to treatment group and stratified by gender

ESS	SHAM			CPAP		
	Mild	Mod	Severe	Mild	Mod	Severe
Males	2.4±3.6	1.7 ±3.3	2.1 ± 3.4	2.9±4.8	1.9 ±4.1	3.1 ±4.5 *
Females	2.3 ± 3.4	1.5 ±3.7	2.1 ± 4.1	3.2 ±4.0	3.1 ± 4.3 *	3.5 ± 3.9 *

ESS: Epworth Sleepiness Scale,

* $p < 0.05$