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## Impact of T<sub>h</sub>1 CD4 T<sub>FH</sub> skewing on Antibody Responses to an HIV-1 Vaccine in Rhesus Macaques

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41 **ABSTRACT**

42 Generating durable humoral immunity through vaccination depends upon effective interaction of follicular  
43 helper T cells ( $T_{fh}$ ) with germinal center (GC) B cells.  $T_{h1}$  polarization of  $T_{fh}$  cells is an important process  
44 shaping the success of  $T_{fh}$ -GC B cell interactions by influencing co-stimulatory and cytokine-dependent  $T_{fh}$  help  
45 to B cells. However, the question remains whether adjuvant-dependent modulation of  $T_{fh}$  cells enhances HIV-1  
46 vaccine-induced anti-Envelope (Env) antibody responses. We investigated whether an HIV-1 vaccine platform  
47 designed to increase the number of  $T_{h1}$ -polarized  $T_{fh}$  cells enhances the magnitude and quality of anti-Env  
48 antibodies. Utilizing a novel interferon-induced protein (IP)-10-adjuvanted HIV-1 DNA prime, followed by an  
49 MPLA+QS-21-adjuvanted Env protein boost in macaques ( $D_{IP-10} P_{ALFQ}$ ), we observed higher anti-Env serum  
50 IgG titers with greater cross-clade reactivity, specificity to V1V2, and effector functions when compared to  
51 macaques primed with DNA lacking IP-10 and boosted with MPLA+alum-adjuvanted Env protein ( $DP_{ALFA}$ ). The  
52  $D_{IP-10} P_{ALFQ}$  vaccine regimen elicited higher anti-Env IgG1 and lower IgG4 antibodies in serum, showing for the  
53 first time that adjuvants can dramatically impact the IgG subclass profile in macaques. The  $D_{IP-10} P_{ALFQ}$  regimen  
54 also increased vaginal and rectal IgA antibodies to a greater extent. Within lymph nodes, we observed  
55 augmented GC B cell responses and promotion of  $T_{h1}$  gene expression profiles in GC  $T_{fh}$  cells. The frequency  
56 of GC  $T_{fh}$  cells correlated with both the magnitude and avidity of anti-Env serum IgG. Together, these data  
57 suggest that adjuvant-induced stimulation of  $T_{h1}$ - $T_{fh}$  cells is an effective strategy for enhancing the magnitude  
58 and quality of anti-Env antibody response.

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78 **IMPORTANCE**

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80 The results of the RV144 trial demonstrated that vaccination could prevent HIV transmission in humans and  
81 that longevity of anti-Env antibodies may be key to this protection. Efforts to improve upon the prime-boost  
82 vaccine regimen used in RV144 have indicated that booster immunizations increase serum anti-Env antibody  
83 titers but only transiently. Poor antibody durability hampers efforts to develop an effective HIV-1 vaccine. This  
84 study was designed to identify the specific elements involved in the immunological mechanism necessary to  
85 produce robust HIV-1 specific antibodies in rhesus macaques. By clearly defining immune-mediated pathways  
86 that improve the magnitude and functionality of the anti-HIV-1 antibody response, we will have the foundation  
87 necessary for rational development of an HIV-1 vaccine.

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125 **INTRODUCTION**

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127 CD4 T follicular helper cells ( $T_{fh}$ ) are a specialized subset of CD4 T cells that migrate to germinal centers (GC)  
128 within secondary lymphoid organs and provide growth and differentiation signals to GC B cells within a few  
129 days of immunization(1-3). GCs are populated by antigen-activated, rapidly proliferating B cell clones, which  
130 rely on cytokines and co-stimulatory signals from  $T_{fh}$  cells to undergo immunoglobulin affinity maturation, class-  
131 switch recombination, and differentiation to memory B cells and plasma cells(4-6). The maturation of GC B  
132 cells to plasma cells and the resulting long-lived humoral immunity hinges on effective  $T_{fh}$  help.

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134  $T_{fh}$  cells are heterogeneous and, depending on inflammatory signals during T cell priming, differentiate into  $T_{fh}1$ ,  
135  $T_{fh}2$ ,  $T_{fh}17$ -type  $T_{fh}$  cells(7, 8).  $T_{fh}$  polarization of a  $T_{fh}$  cell influences cytokine profile and co-stimulatory molecule  
136 expression, and several recent studies demonstrate that within a single vaccine modality the relative proportion  
137 of  $T_{fh}1$ , -2, or -17 subsets induced following antigen stimulation can influence the duration and functional  
138 quality of the antibody response(9). In the setting of influenza and HIV-1 vaccination/infection, the frequencies  
139 of vaccine-induced  $T_{fh}1$ -polarized, CXCR3-expressing  $T_{fh}$  cells correlates with improved antibody titers and  
140 enhanced antibody function following immunization(10-12). These data led us to postulate that by stimulating  
141 production of  $T_{fh}1$ - $T_{fh}$  cells via a tailored vaccine platform, humoral immunity against HIV-1 can be optimized in  
142 both duration and quality.

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144 The RV144 trial found that waning serum anti-HIV-1 envelope (Env) IgG titers following vaccination  
145 corresponded to a decrease in vaccine efficacy(13, 14). Therefore, there is a critical need to identify strategies  
146 that will augment vaccine-mediated humoral immunity for a successful HIV-1 vaccine. In RV144, development  
147 of antigen-specific CD4 T cells expressing IL-4 and CD40L, both important in effective  $T_{fh}$  help for B cells(15,  
148 16), positively correlated with anti-HIV-1 Env antibody titers. Furthermore, an increase in production of HIV-  
149 specific CD4 T cells expressing IL-21, a  $T_{fh}$  cytokine that regulates plasma cell differentiation, was also  
150 observed(17-19). These data underscore the importance of CD4  $T_{fh}$  cells in HIV-1 vaccine-induced antibody  
151 response and suggest that identifying and targeting the optimal  $T_{fh}$  subset may be an effective strategy to  
152 improve the magnitude and longevity of anti-HIV-1 Env-specific antibodies.

153 Based on evidence that  $T_{h1}$ -polarized  $T_{fh}$  cells correlate with higher antibody responses, we set out to  
154 investigate empirically whether an HIV-1 vaccine platform designed to increase the number of  $T_{h1}$ -polarized  $T_{fh}$   
155 cells would enhance the functional quality and magnitude of HIV-1 anti-Env antibodies.

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157 Utilizing a novel interferon-induced protein (IP)-10-adjuvanted HIV-1 DNA prime, followed by an MPLA+QS-21-  
158 adjuvanted Env protein boost in macaques ( $D_{IP-10} P_{ALFQ}$ ), we show increased HIV-1 anti-Env specific binding  
159 antibody in serum and mucosal compartments compared to vaccination with DNA lacking IP-10 and an  
160 MPLA+alum-adjuvanted Env protein boost ( $DP_{ALFA}$ ). The  $D_{IP-10} P_{ALFQ}$  vaccine regimen augmented GC B cell  
161 responses and promoted  $T_{h1}$  gene expression profiles in GC  $T_{fh}$  cells. The number of GC  $T_{fh}$  cells positively  
162 correlated with both magnitude and avidity of anti-Env specific antibody responses. We report for the first time  
163 that adjuvants dramatically impact IgG antibody subclass profile in rhesus macaques. We made the striking  
164 observation that while both vaccine regimens induced IgG1 antibodies to gp120, the  $DP_{ALFA}$  regimen generated  
165 much greater IgG4 responses. Together, these data show that by stimulating production of  $T_{h1}$ - $T_{fh}$  cells during  
166 the prime and boost using an adjuvanted vaccine, we can enhance the magnitude and function of the anti-HIV-  
167 1 -Env antibody response.

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191 **RESULTS**

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193 **Vaccination regimen.** Twenty female rhesus macaques were assigned to one of two experimental groups:  
194 For Group 1 (n = 10), the D<sub>IP-10</sub> P<sub>ALFQ</sub> vaccine group, the T<sub>h</sub>1 chemokine, interferon-induced protein (IP)-10, a  
195 ligand for and an inducer of CXCR3, was used as a molecular adjuvant to a DNA vaccine, (D<sub>IP-10</sub>) to prime T<sub>h</sub>1-  
196 type T<sub>h</sub> cells. Group 2 (n=10) animals received the same DNA vaccine without adjuvant (**Figure 1**). The DNA  
197 plasmid expressed SIVmac239 Gag, protease, reverse transcriptase, Tat, Rev, HIV C. 1086 Env, and the D<sub>IP-10</sub>  
198 plasmid additionally expressed rhesus IP-10. The DNA was delivered intradermally (ID) with electroporation  
199 (EP) in both experimental groups.

200

201 Prior to immunizing animals, we evaluated plasmid constructs using 293 T cells. At 48 h following transfection,  
202 cells were harvested and expression of HIV proteins was assessed by flow cytometry using the monoclonal  
203 antibodies PG9, PG16, PGT121 for surface Env; 2F12 for intracellular SIV Gag, and J034D6 for intracellular  
204 IP-10. As illustrated in **Figure 1A** flow plots, both constructs expressed comparable levels of Env and Gag  
205 proteins as determined by staining with PGT121 and 2F12, respectively. Cellular and secreted IP-10 as  
206 determined by intracellular cytokine staining (**Figure 1A**) and ELISA (**Figure 1C**), respectively, was specific to  
207 the DNA IP-10 construct. When expressed as a percent of Gag+ cells, expression of trimeric Env as  
208 determined by binding of the monoclonal broadly neutralizing antibodies PG9, PG16 that bind the V1 V2 loop  
209 and the V3 binding monoclonal PGT 121 showed comparable expression across the two vaccine constructs.

210

211 Following DNA immunization, we used clade C C.ZA 1197MB gp140 protein adjuvanted with Army Liposome  
212 Formulation (ALF) liposomes containing monophosphoryl lipid A (MPLA) and a detoxified saponin derivative,  
213 QS-21 (ALFQ)(20) to boost T<sub>h</sub>1 primed responses (D<sub>IP-10</sub> P<sub>ALFQ</sub>) (**Figure 1D**). Group 2 animals received an  
214 unadjuvanted ID, EP delivered DNA prime and protein adjuvanted with aluminum-adsorbed ALF formulation  
215 (ALFA) (21), wherein the protein was adsorbed to aluminum hydroxide and then added to ALF (D<sub>P</sub>ALFA). Blood  
216 was collected at weeks -8 and 0 of vaccination, and at weeks 1, 2, 4, 8, 18, and 20 following each vaccination,  
217 as indicated. Fine needle aspirates of lymph nodes (LN) or LN biopsies (draining) were collected to examine  
218 GC responses, and rectal and vaginal secretions were sampled to assess mucosal antibodies.

219 To confirm that the D<sub>IP-10</sub> P<sub>ALFQ</sub> vaccine regimen induced relatively higher T<sub>H</sub>1-biased inflammatory responses,  
220 we evaluated induction of CXCR3 ligands in serum using a flow-based Legend plex assay at days 0, 3, and 7  
221 after the 1<sup>st</sup> protein boost. The data showed higher relative induction of IP-10 and the interferon-inducible T cell  
222 alpha chemoattractant (I-TAC) in the ALFQ-adjuvanted animals ( $p < 0.01$ , **Figure 1E**). Monokine induced by  
223 gamma, another CXCR3 ligand, was not induced following the 1<sup>st</sup> protein boost in either vaccine regimen (data  
224 not shown). We also observed significant induction of IL-6 following the ALFQ protein boost. Induction of the  
225 chemokine regulated upon activation, normal T cell expressed, and secreted (RANTES) in both vaccine groups  
226 indicated presence of activated CD4 and CD8 T cells following vaccination. In all, these data showed higher  
227 relative magnitude of T<sub>H</sub>1 chemokines in the D<sub>IP-10</sub> P<sub>ALFQ</sub> vaccine regimen.

228

#### 229 **D<sub>IP-10</sub> Protein<sub>ALFQ</sub> vaccine induces robust and durable anti-Env antibody titers with cross-clade breadth.**

230 To ascertain whether induction of greater magnitude T<sub>H</sub>1 inflammatory responses elicited anti-Env antibody  
231 responses of different magnitudes between the vaccine regimens, we first evaluated responses against C.1086  
232 gp140 Env using a binding antibody multiplex assay (BAMA)(22). We have previously shown that the transient  
233 extrafollicular plasmablast response contributes to peak serum IgG antibody titers following the boost, while  
234 titers at week 8 and beyond are mainly plasma cell derived(12). Therefore, we assessed antibody levels at  
235 weeks 0, 2, and 8 following each of the protein boosts to capture both extrafollicular (week 2) and plasma cell-  
236 derived (week 8 and beyond) titers. The data showed robust induction of anti-C.1086 Env responses following  
237 the 1<sup>st</sup> protein immunization in all 20 animals and potent recall of memory B cells following the 2<sup>nd</sup> protein  
238 immunization as evidenced by a robust boost in antibody responses (**Figure 2A**). Strikingly, Env ALFQ  
239 boosted animals developed significantly higher responses against C.1086 gp140; median AUC values in ALFA  
240 and ALFQ vaccine groups were: wk 0, 7496 and 20301,  $p < 0.01$ ; wk 2, 46481 and 63469,  $p < 0.001$ ; wk 8,  
241 20714 and 36709,  $p < 0.0001$  post 2<sup>nd</sup> protein boost.

242

243 We confirmed these findings by using an independent ELISA assay to explore C.1086 gp140 anti-Env antibody  
244 kinetics after the 2<sup>nd</sup> protein boost (**Figure 2B**). The assay revealed that anti-Env titers exhibited a median 5-



245 fold increase at week 2 post-2<sup>nd</sup> protein immunization relative to week 0 indicating a successful booster  
246 response. In affirmation of the BAMA data, antibody titers were significantly higher in the D<sub>IP-10</sub> P<sub>ALFQ</sub>  
247 group compared to the DP<sub>ALFA</sub> group at all time points post the 2<sup>nd</sup> protein boost.

248  
249 We next assessed the breadth of the serum IgG antibody response and found that AUC values against CH505  
250 subtype C Env were also significantly higher in the D<sub>IP-10</sub> P<sub>ALFQ</sub> group relative to the DP<sub>ALFA</sub> group (p < 0.01,  
251 **Figure 2C**). Similarly, increased responses against the Con S (group M consensus) and Con C proteins at  
252 week 2 following the 2<sup>nd</sup> protein boost in the D<sub>IP-10</sub> P<sub>ALFQ</sub> group were sustained at week 8 demonstrating greater  
253 induction of antibodies with cross-clade breadth using the D<sub>IP-10</sub> P<sub>ALFQ</sub> vaccine regimen (p < 0.05, **Figure 2D,E**).  
254 We also assessed binding to gp120 V1V2 loops from isolate Case A2, scaffolded on murine leukemia virus  
255 (MLV) gp70, at weeks 2 and 8 and found that significantly higher specificity to these important regions was  
256 induced by the D<sub>IP-10</sub> P<sub>ALFQ</sub> vaccine regimen following the second protein boost (p < 0.05, **Figure 2F**).

257  
258 Based on significantly elevated anti-Env antibody responses in the D<sub>IP-10</sub> P<sub>ALFQ</sub> vaccine regimen we sought to  
259 quantify decline in antibody magnitude. To this end, we calculated fold change in titers at week 8 and 18  
260 following 2<sup>nd</sup> protein boost relative to titers at week 8 post 1<sup>st</sup> protein boost. Significantly higher titers at week 8  
261 (mean 1.7-fold in DP<sub>ALFA</sub> versus 4.5-fold in D<sub>IP-10</sub> P<sub>ALFQ</sub> group; p < 0.05) and week 18 (mean 0.3-fold in DP<sub>ALFA</sub>  
262 versus 1.3-fold in D<sub>IP-10</sub> P<sub>ALFQ</sub> group; p < 0.01) post 2<sup>nd</sup> protein boost in D<sub>IP-10</sub> P<sub>ALFQ</sub> vaccinated animals  
263 suggested that the D<sub>IP-10</sub> P<sub>ALFQ</sub> vaccine regimen was effective at enhancing magnitude of anti-HIV-1 Env serum  
264 IgG titers (**Figure 2G**). Together, the data show that the D<sub>IP-10</sub> P<sub>ALFQ</sub> group had higher induction of cross-clade  
265 breadth, elicited stronger binding to a gp70-V1V2 protein, and enhanced antibody responses relative to the  
266 DP<sub>ALFA</sub> group.

267  
268 **D<sub>IP-10</sub> Protein<sub>ALFQ</sub> vaccine elicits high avidity anti-Env antibody with ADCC and ADP activities.** Next, we  
269 quantified avidity of IgG binding antibodies (as disassociation constants, kd) in sera collected at 2 weeks post  
270 final DNA prime and after each of the protein boosts using Surface Plasmon Resonance (SPR) to C.1086  
271 gp140 protein (23). The data showed that gp140-specific antibodies reached higher avidity with each  
272 sequential immunization in both vaccine groups (p < 0.0001, **Figure 3A, B**). Consistent with ELISA results

273 (Figure 2), SPR-based IgG measurements, expressed as relative units, showed significantly higher gp140 IgG  
274 in the D<sub>IP-10</sub> P<sub>ALFQ</sub> vaccine group ( $p < 0.0001$ , Figure 3C). Therefore, we normalized avidity measurements to  
275 gp140 binding measurements and observed increased avidities in the D<sub>IP-10</sub> P<sub>ALFQ</sub> vaccine group relative to the  
276 DP<sub>ALFA</sub> group, which was suggestive of more productive GC reaction in the D<sub>IP-10</sub> P<sub>ALFQ</sub> vaccine group ( $p <$   
277  $0.0001$ , Figure 3C). To confirm that higher avidity antibodies in the D<sub>IP-10</sub> P<sub>ALFQ</sub> vaccine group were sustained,  
278 we determined avidity at 8 weeks following the 2<sup>nd</sup> protein boost using a 2M sodium thiocyanate displacement  
279 ELISA with C.1086C gp140 antigen(12). The data showed sustained induction of higher avidity antibodies in  
280 the D<sub>IP-10</sub> P<sub>ALFQ</sub> group ( $p < 0.05$ , Figure 3D), which was further corroborated with a 0.1 M sodium citrate ELISA  
281 ( $p < 0.01$ , Figure 3E). Notably, higher avidity antibodies against Con C and Con S gp140 proteins were also  
282 induced in the D<sub>IP-10</sub> P<sub>ALFQ</sub> vaccine regimen ( $p < 0.01$ , Figure 3F,G).

283

284 After establishing induction of higher avidity antibodies in the D<sub>IP-10</sub> P<sub>ALFQ</sub> vaccine group, we next evaluated  
285 capacity of immune sera to neutralize HIV-1 using the classic TZM-bl assay(12). We detected robust activity  
286 against MW965.26, a subtype C tier 1A variant (Figure 3H) whereas neutralization of tier 1B and tier 2 isolates  
287 was sporadic (data not shown)(24). The data showed higher induction of tier 1A neutralizing antibodies in the  
288 D<sub>IP-10</sub> P<sub>ALFQ</sub> vaccine group (ID50 range at week 2 post 2<sup>nd</sup> protein boost DP<sub>ALFA</sub>: 37 -1126; D<sub>IP-10</sub> P<sub>ALFQ</sub>: 195-  
289 4977,  $p < 0.01$ ). These titers dropped to an ID50 value of 20 in the DP<sub>ALFA</sub> group but were maintained between  
290 24-1057 in the D<sub>IP-10</sub> P<sub>ALFQ</sub> group ( $p < 0.001$ ). To assess generation of Fc-mediated antibody effector  
291 responses, we measured antibody-dependent cellular cytotoxicity (ADCC) and antibody-dependent  
292 phagocytosis (ADP) triggered by engagement of the Fc receptors on antibody-bound target cells by innate  
293 cells (25) (26, 27). ADCC was assessed by measuring killing of Clade C CH505 SHIV-infected CEM.NKR  
294 target cells by a rhesus CD16+ (Fc $\gamma$ R3) NK cell line in the presence of immune serum. As shown in Figure 3I,  
295 serum from D<sub>IP-10</sub> P<sub>ALFQ</sub> vaccinated animals demonstrated significantly greater ADCC activity at week 2 and  
296 week 8 after the 2<sup>nd</sup> protein boost when compared to DP<sub>ALFA</sub> immunized animals ( $p < 0.01$ ). Serum collected  
297 from D<sub>IP-10</sub> P<sub>ALFQ</sub> vaccinated animals at week 8 post protein boost 2 also mediated significantly greater  
298 phagocytosis of C.1086 gp120-coated beads by the CD32+ (Fc $\gamma$ R2) and CD64+ (Fc $\gamma$ R1) THP-1 monocytic cell  
299 line (Figure 3J and K). To determine if the adjuvants given to animals in the vaccine groups generated  
300 different rhesus IgG subclass antibody repertoires, we quantified C.1086 gp120-specific IgG1, IgG2, IgG3, and

301 IgG4 by ELISA. We found that IgG2 and IgG3 antibodies were extremely low and did not differ between groups  
302 (**Figure 3L**). However, D<sub>IP-10</sub> P<sub>ALFQ</sub> vaccinated animals had higher gp120-specific IgG1 ( $p < 0.0001$ , **Figure 3L**)  
303 while DP<sub>ALFA</sub> vaccinated animals had higher gp120-specific IgG4 resulting in markedly elevated IgG1/IgG4  
304 ratio in the D<sub>IP-10</sub> P<sub>ALFQ</sub> vaccine group ( $p < 0.001$ , **Figure 3M**). The IgG4 detection antibody (clone 78A) showed  
305 minimal cross-reactivity to IgG1 and IgG3 subclass antibodies indicating specificity of the antibody to rhesus  
306 IgG4 (data not shown). These results are consistent with the report that antibodies of the IgG1 subclass are  
307 the most abundant in rhesus macaques (28, 29).

308  
309

#### **DNA<sub>IP-10</sub>Protein<sub>ALFQ</sub> vaccine elicits robust anti-Env antibody in vaginal and rectal mucosal**

310 **compartments.** Having established induction of higher serum IgG antibody titers in D<sub>IP-10</sub> P<sub>ALFQ</sub> vaccinated  
311 animals, we next sought to determine whether mucosal anti-Env antibodies were also correspondingly  
312 increased. To this end, we assayed rectal and vaginal secretions for C.1086 gp140-specific IgG and IgA  
313 antibodies at baseline and longitudinally after each of the protein boosts. We next asked whether either  
314 vaccine regimen induced mucosal antibody responses; we focused on quantifying concentrations following the  
315 1<sup>st</sup> protein boost, a time point when mucosal IgG and IgA concentrations are above baseline (background)  
316 levels in the majority of the animals. The appearance of gp140-specific IgG in secretions closely mimicked the  
317 kinetics of the serum IgG antibody response, with each protein boost increasing levels of Env-specific IgG  
318 antibodies in vaginal and rectal secretions (**Figure 4A and B**). As in serum, the D<sub>IP-10</sub> P<sub>ALFQ</sub> vaccine regimen  
319 generated higher levels of specific IgG in secretions when compared to the DP<sub>ALFA</sub> vaccine. The gp140-specific  
320 IgA in vaginal and rectal secretions was also increased to a greater extent by the D<sub>IP-10</sub> P<sub>ALFQ</sub> vaccine regimen  
321 (**Figure 4C and D**). Notably, at week 16-post 2<sup>nd</sup> protein boost, vaginal IgA antibodies were still above the limit  
322 of detection in most D<sub>IP-10</sub> P<sub>ALFQ</sub> vaccinated animals but in only 2 of 10 DP<sub>ALFA</sub> vaccinated animals. Analysis of  
323 gp140-specific IgA in serum revealed higher induction in the D<sub>IP-10</sub> P<sub>ALFQ</sub> group (**Figure 4E**). However, the  
324 kinetics of the serum IgA response in D<sub>IP-10</sub> P<sub>ALFQ</sub> as well as DP<sub>ALFA</sub> animals differed strikingly from the mucosal  
325 IgA responses, especially in the reproductive tract (**Figure 4C-D**), suggesting a true mucosal (locally-derived)  
326 IgA response was generated in these animals. This was most evident after the 2<sup>nd</sup> protein boost, when vaginal  
327 IgA antibodies to gp140 were found to be dramatically increased but serum IgA antibodies were reduced  
328 (**Figure 4A and C**). Together, these data demonstrate that the D<sub>IP-10</sub> P<sub>ALFQ</sub> vaccine regimen was more effective

329 than the DP<sub>ALFA</sub> regimen for generating higher magnitude Env binding antibodies in serum and secretions, as  
330 well as serum IgG antibodies with greater breadth, avidity, and function.

331

332 Next, we determined whether the relatively higher antibody concentrations of anti-gp140 antibody in mucosal  
333 secretions in the D<sub>IP-10</sub> P<sub>ALFQ</sub> vaccine group might result in delayed acquisition against the Clade C  
334 transmitted/founder virus, SHIV.C.CH505. To this end, we challenged monkeys intra-vaginally with eight  
335 repeat, low-dose inoculations of SHIV.C.CH505 at week 20 post the 2<sup>nd</sup> protein boost. While we observed no  
336 significant differences in delay in acquisition between the vaccine groups, 3 of 10 animals in the D<sub>IP-10</sub> P<sub>ALFQ</sub>  
337 vaccine group were protected relative to 0 of 10 animals in DP<sub>ALFA</sub> regimen (**Figure 4F**). We observed that  
338 mucosal gp140 IgG antibody concentrations at week 16 post 2<sup>nd</sup> protein boost was a correlate of protection,  
339 with higher concentrations correlating with delayed acquisition in infected animals in each of the vaccine  
340 groups ( $r = 0.94$ ,  $p < 0.01$ ; D<sub>IP-10</sub> P<sub>ALFQ</sub> vaccine group,  $n = 7$ ;  $r = 0.78$ ,  $p < 0.01$ ; DP<sub>ALFA</sub> vaccine group, **Figure**  
341 **4G**).

342 **D<sub>IP-10</sub> Protein<sub>ALFQ</sub> vaccine induces Env-specific T<sub>fh</sub> cells and GC T<sub>fh</sub> cells with distinctive T<sub>h</sub>1 signatures.**

343 The D<sub>IP-10</sub> P<sub>ALFQ</sub> vaccine promoted anti-Env antibody magnitude and functionality following the 1<sup>st</sup> protein boost.  
344 Based upon this finding, we wanted to determine whether this vaccine regimen also correspondingly enhanced  
345 T<sub>fh</sub> cells in the periphery and LNs. To this end, we first assessed whether higher frequencies of Env-specific T<sub>fh</sub>  
346 cells were induced in blood 7 days after the 1<sup>st</sup> protein boost, corresponding to the peak of the effector  
347 response. PBMCs were stimulated with overlapping peptide pools representing Con C gp140 together with the  
348 HIV-1 C.1086 Env gp140C protein C. The induction of the activation markers CD25 and OX40 was assessed  
349 by flow cytometry after stimulation (**Figure 5A**, flow plot)(30). The analysis revealed a higher frequency of Env-  
350 specific CD4 T cells in the circulation of D<sub>IP-10</sub> P<sub>ALFQ</sub> animals. When expressed as a percentage of CD95<sup>+</sup> CD4 T  
351 cells, median frequencies of Env specific-CD4 T cells were on average 10-fold higher in the D<sub>IP-10</sub> P<sub>ALFQ</sub> group  
352 indicative of a higher magnitude Env-specific T<sub>fh</sub> response ( $p < 0.001$ , **Figure 5B**). In all, these data showed  
353 robust recall responses following the 1<sup>st</sup> protein boost with higher relative magnitude of Env-specific T<sub>fh</sub> cells in  
354 the D<sub>IP-10</sub> P<sub>ALFQ</sub> vaccine regimen.

355 Next, we assessed LN responses using biopsies collected at day 14 post 1<sup>st</sup> protein boost and identified GC T<sub>fh</sub>  
356 cells as CXCR5<sup>+</sup>, PD-1<sup>+++</sup> cells (red population, **Figure 6A**) and GC B cells as Ki-67<sup>+</sup>, Bcl-6<sup>+</sup> CD20 cells. As  
357 expected, GC T<sub>fh</sub> cells expressed Bcl-6 and ICOS and consistent with the functional ability of T<sub>fh</sub> cells (12), our  
358 *ex vivo* analysis of sorted GC T<sub>fh</sub> cells revealed their capacity to support IgG production by autologous LN B  
359 cells (**Figure 6B**). Evaluation of GC T<sub>FH</sub> frequencies over the course of immunization revealed a significant  
360 induction of GC T<sub>fh</sub> cells 2 weeks after protein boost 1 relative to baseline, and significantly higher frequencies  
361 2 weeks after protein boost 2 relative to week 0 of protein boost 2 (**Figure 6C**).

362 While frequencies of GC T<sub>FH</sub> cells were not significantly different between experimental groups, we found that  
363 GC B cell frequencies were significantly higher in the D<sub>IP-10</sub> P<sub>ALFQ</sub> vaccine regimen (n=10 animals in each group  
364 following the 1<sup>st</sup> protein boost; median DP<sub>ALFA</sub>: 14.2% (of CD20<sup>+</sup> cells) versus D<sub>IP-10</sub> P<sub>ALFQ</sub>: 25%, p < 0.05 and  
365 the frequency of GC T<sub>fh</sub> cells strongly correlated with GC B cell responses (**Figure 6D**). Importantly, Env-  
366 specific T<sub>fh</sub> cell frequencies in the LN directly correlated with GC T<sub>fh</sub> cell frequencies but not memory T<sub>fh</sub> cells  
367 indicating that GC T<sub>fh</sub> cells were enriched for vaccine-induced follicular cells (p<0.0001, **Figure 6D**). Next, we  
368 assessed expression of CXCR3, which is heterogeneously expressed by GC T<sub>fh</sub> cells (**Figure 6E**) and found  
369 higher expression of CXCR3 on GC T<sub>fh</sub> cells in the D<sub>IP-10</sub> P<sub>ALFQ</sub> group. We observed that the frequency of  
370 CXCR3<sup>+</sup> T<sub>fh</sub> cells within the GC was directly associated with gp140 serum antibody titers at week 18 post 2<sup>nd</sup>  
371 protein boost (r =0.44, p < 0.05; **Figure 6E**). Examination of GC B cells showed elevated CXCR3 expression in  
372 GC B cells from the D<sub>IP-10</sub> P<sub>ALFQ</sub> vaccine group (p< 0.05, **Figure 6F**). Notably, T-bet expression on B cells, a  
373 marker of memory B cells (31), corresponded with CXCR3 expression, suggesting a mechanistic basis for  
374 enhanced antibody responses in the D<sub>IP-10</sub> P<sub>ALFQ</sub> vaccine group. Together, these data support the contention  
375 that T<sub>H</sub>1 skewing of CD4 T<sub>fh</sub> cells may support higher anti-Env antibody.

376

377 To gain insights into the molecular mechanisms underlying successful antibody responses we next determined  
378 transcriptional signature in GC T<sub>fh</sub> cells. To achieve this goal, we sorted naive CD4 cells, CD4 T<sub>fh</sub> cells, and  
379 memory CD4 cells from the LNs of 3 D<sub>IP-10</sub> P<sub>ALFQ</sub> group animals with highest gp140 serum IgG at week 8 post  
380 1<sup>st</sup> protein boost. These subsets were identified using the following markers: naive cells (CD4+CD95-), T<sub>fh</sub> cells

381 (CD95+CXCR5+PD-1+/++), memory T<sub>fh</sub> cells (CD95+CXCR5+ PD-1-), and memory non-T<sub>fh</sub> cells (CD95+  
382 CXCR5-PD-1-).

383

384 RNA samples meeting quality control checks were sequenced using 3'-Tag-RNA-Seq library prep protocol at  
385 the UC Davis Genome Center using the Illumina HiSeq 4000 platform. Prior to analysis of sequenced single-  
386 end reads, genes with fewer than 40 counts per million reads were filtered, leaving 7,086 genes. Differential  
387 expression analyses were conducted using the limma-voom Bioconductor pipeline (32) to compare the  
388 transcriptome profiles of antigen-experienced CD4 subsets to naive cells. Principal component analysis of the  
389 500 most variable genes based on coefficient of variation showed that CD4 transcriptomes clustered by  
390 cellular differentiation status, with memory CD4 T cells (both CXCR5+ and CXCR5-) sharing transcriptional  
391 signatures relative to naive and T<sub>fh</sub> subsets (**data not shown**). To extract information on biologically relevant  
392 gene-sets, we performed gene set enrichment analysis with the goal of determining biological pathways that  
393 were enriched in T<sub>fh</sub> cells in the T<sub>h</sub>1 vaccine regimen. Genes regulating interleukin (IL)-12, tumor necrosis  
394 factor (TNFa), interferon gamma (IFNG), and IL-6 production were strongly enriched in T<sub>fh</sub> cells. Consistent  
395 with metabolic activity of effector cells and functional capacity of T<sub>fh</sub> cells, pathways regulating cellular  
396 metabolism, glucose homeostasis, and B cell proliferation were also enriched.

397

398 To determine transcriptional activity of T<sub>fh</sub> cells in the D<sub>IP-10</sub> P<sub>ALFQ</sub> vaccine group, we focused on differentially  
399 induced genes in T<sub>fh</sub> cells relative to naive cells (n=89, adj. p < 0.05, **Figure 6G**), of which induction of key T<sub>fh</sub>  
400 transcripts including CXCR5, ICOS, and Bcl-6 was common to both T<sub>fh</sub> cells and memory T<sub>fh</sub> cells. Heatmap  
401 shows expression of genes differentially expressed in T<sub>fh</sub> cells relative to naive across four sorted CD4  
402 subsets. Consistent with representation of D<sub>IP-10</sub> P<sub>ALFQ</sub> genes in GSEA, T<sub>fh</sub> cells showed higher expression of  
403 TBX21 and IFNG (**Figure 6G,H**). The class IV semaphorin protein (SEMA4A), a co-stimulatory molecule  
404 expressed by D<sub>IP-10</sub> P<sub>ALFQ</sub> cells(33) was significantly induced as was high-mobility group box 1 (HMGB1), an  
405 inflammatory mediator regulating TNF and IL-6 production (34). Induction of IL-18R suggested the capacity of  
406 IL-18 to drive IFNG production within the GC(35). Likewise, we noted higher expression of receptor interacting  
407 serine/threonine kinase 2 (RIPK2) which drives IFNG in T<sub>h</sub>1 cells and contributes to T<sub>h</sub>1 differentiation (36).  
408 The corresponding downregulation of IL-4R in T<sub>fh</sub> cells indicated enrichment of the T<sub>h</sub>1 program within T<sub>fh</sub> cells



409 in D<sub>IP-10</sub> Pro<sub>ALFQ</sub> vaccinated animals. This together with increased protein expression of CXCR3 within the GC  
410 suggested that CD4 T cell help for humoral immunity was driven by T<sub>h1</sub> T<sub>fh</sub> cells in the D<sub>IP-10</sub> P<sub>ALFQ</sub> vaccine  
411 regimen.

412

#### 413 **DNA<sub>IP-10</sub> immunization induces systemic expansion of pro-inflammatory monocytes and enhances GC**

414 **T<sub>fh</sub> responses.** Based on increased frequencies of Env-specific T<sub>fh</sub> cells and evidence for induction of a T<sub>h1</sub>  
415 transcriptome program in D<sub>IP-10</sub> P<sub>ALFQ</sub> vaccinated animals after the 1<sup>st</sup> protein boost, we sought to assess T<sub>fh</sub>  
416 responses during the DNA priming phase. First, we evaluated blood to quantify activated CXCR5<sup>+</sup> CD4 T cells  
417 in both vaccine groups (**Figure 7A**). Based on co-expression of ICOS and PD-1, activation markers induced  
418 upon TCR stimulation, the data showed that DNA immunization significantly increased the relative frequencies  
419 and absolute counts of ICOS<sup>+</sup> PD-1<sup>+</sup> CXCR5<sup>+</sup> CD4 T cells in blood at day 14 (n=20; median frequencies, day  
420 0: 3.38%; day 14: 6.7%, p < 0.0001; n =20; absolute counts, day 0: 3.04; day 14, 8.7 day 14, p < 0.01, **Figure**  
421 **7A**) in both experimental groups indicating that DNA delivery by electroporation was immunogenic.

422

423 Next, we assessed whether DNA immunization elicited humoral responses against SIV Gag and HIV Env  
424 proteins expressed in the plasmid. We found that detectable responses to Gag were observed in 45% of  
425 animals at week 2 of the 1<sup>st</sup> DNA prime, in 65% at week 2 following the 2<sup>nd</sup> DNA prime, and all animals  
426 following the 3<sup>rd</sup> DNA prime (**Figure 7B**, significance symbols compare immunization time points relative to  
427 baseline). Antibody responses to C.1086 Env were low and undetectable until the 2<sup>nd</sup> DNA prime (data not  
428 shown), but were observed in majority of animals following DNA3 (**Figure 7C**, significance symbols compare  
429 immunization time points relative to baseline). Gag and Env antibody titers were not significantly different  
430 between the vaccine regimens during the DNA primes. Based on robust induction of anti-Gag antibody  
431 responses, we determined whether Gag specific CD4 T cells were induced at week 1 following DNA3, when  
432 the CD4 effector response peaked. PBMCs were stimulated with pooled SIVmac 239 Gag peptide pools and  
433 interrogated for expression of activation markers (AIM) and for induction of cytokines (ICS). The AIM assay  
434 captured a higher proportion of Gag-specific CD4 T cells (**Figure 7D**) and together, these data indicated that  
435 the DNA immunization was sufficiently immunogenic to prime T and B cell responses.

436

437 Based on the induction of antibody and T cell responses following DNA3, we next assessed whether a  
438 concomitant acute induction of pro-inflammatory monocytes (innate cells that drive  $T_{fh}$  responses) preceded  
439 the appearance of these cells in blood (37, 38). We quantified frequencies of  $CD14^+ CD16^+ HLA-DR^+$  (lineage-  
440 ) cells in blood (**Figure 7 E,F**) and discovered rapid and robust expansion of pro-inflammatory monocytes in  
441 both vaccine groups with significantly higher induction in the  $D_{IP-10} P_{ALFQ}$  vaccine group (**Figure 7G**). Based on  
442 this, we asked if LN responses differed between vaccine groups. Strikingly, the GC  $T_{fh}$  cell frequencies within  
443 the fine-needle aspirates of the draining LN were higher in the  $D_{IP-10} P_{ALFQ}$  vaccine group following the 3<sup>rd</sup> DNA  
444 immunization (**Figure 7H**). Notably, the greater inflammatory response was associated with increased levels of  
445 serum IgG antibodies, linking the innate immune response to priming of effective  $CD4 T_{fh}$  help (**Figure 7I**).

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465 **DISCUSSION**

466 The present study gives rise to three main conclusions; first, that an HIV-1 vaccine platform designed to  
467 promote T<sub>h</sub>1-polarized T<sub>fh</sub> cells increases the number of circulating Env-specific T<sub>fh</sub> cells, enhances GC  
468 responses, increases anti-Env binding antibody titers in sera, stimulates serum antibody effector functions.  
469 Second, that a T<sub>h</sub>1 vaccine regimen can elicit anti-Env vaginal and rectal IgA responses; and third that  
470 induction of high avidity antibodies, reflective of productive GC responses, are engendered by a T<sub>h</sub>1 vaccine  
471 regimen. Collectively, the data suggest that adjuvant-induced stimulation of T<sub>h</sub>1-T<sub>fh</sub> cell production during the  
472 vaccine prime and boost is an effective strategy to enhance magnitude and functionality of the anti-Env  
473 antibody response.

474 Productive T cell responses critically depend on cytokine signals during priming, and recent studies  
475 demonstrate that monocyte-derived cytokines drive effective CD4 T cell differentiation and T<sub>fh</sub> responses (38-  
476 40). Here, investigation of the kinetics of pro-inflammatory monocytes - cellular innate biomarkers of  
477 adjuvanticity - revealed a transient increase in CD14<sup>+</sup>CD16<sup>+</sup> monocytes in blood with a higher relative increase  
478 in the D<sub>IP-10</sub> P<sub>ALFQ</sub> vaccine group. Strikingly, fine-needle aspirates of the draining LNs showed higher GC  
479 frequencies in the D<sub>IP-10</sub> P<sub>ALFQ</sub> vaccine group, indicating active/productive GC responses. Notably, the improved  
480 inflammatory response was associated with increased antibody magnitude linking the innate immune response  
481 to effective induction of CD4 T<sub>fh</sub> cells. Although titers against Gag and Env were not significantly different  
482 between the vaccine regimens during the prime, it is possible that the higher memory B cells, which we did not  
483 quantify, were induced with the T<sub>h</sub>1 prime. Indeed, several recent studies show that potent priming of the  
484 immune response sets the stage for stronger boosting of cellular and humoral immunity in the setting of DNA  
485 prime, NYVAC boost and Ad5 prime, NYVAC boost vaccine regimens(25, 41). The effectiveness of priming is  
486 not limited to CD4 T cells and B cells; a DNA vaccine targeting conserved elements of SIV Gag robustly primes  
487 cytotoxic T cells which are effectively boosted following a long rest period(42, 43). These data open the  
488 possibility to a critical window of opportunity during the priming phase. This window can be exploited to prime  
489 for long-lasting, durable CD4, CD8 T cell, and antibody responses to HIV-1 vaccination.

490 The HVTN studies 070 and 080 employed the IL-12 DNA adjuvanted plasmid with the subtype B PENNVAX-B  
491 (PV) DNA plasmid and showed 80% response rates after the third DNA vaccination in PV+IL-12 recipients  
492 compared to a 44% response rate with the PV alone vaccine. A subsequent follow up study demonstrated  
493 robust recall of binding anti-Env antibody titers with ADCC activity following an MVA boost in PV+IL-12  
494 recipients (44, 45). Because IL-12 is a classic innate mediator of  $T_{h1}$  responses, the data suggest that an  
495 increase in  $T_{h1}$  GC  $T_{fh}$  cells may underlie the observed effects. Correspondingly, studies in rhesus macaques  
496 with an ALVAC prime, ALVAC + gp120 protein boost using SIV immunogens showed higher SIV Env titers with  
497 MF59 compared to aluminum adjuvanted protein boosts 2 weeks following the final immunization(46). While  $T_{fh}$   
498 responses and memory antibody titers were not examined, a recent study in humans showed enhanced  
499 binding antibody titers 26 weeks after booster immunization with a  $T_{h1}$  GLA-SE-adjuvanted malaria antigen  
500 relative to one formulated in aluminum(47). These studies in conjunction with our report provide support to the  
501 immune potential of  $T_{h1}$ - $T_{fh}$  cells in fostering high magnitude antibody titers. In contrast, a study using a  
502 homologous subtype C protein immunization reported induction of higher anti-Env antibody titers with  
503 aluminum-hydroxide (Ahydrogel) relative to Addavax, an MF59 analog, in rabbits(48).Collectively, these data  
504 indicate the importance of detailed studies to understand the context in which  $T_{h1}$  responses are superior to  
505 mixed  $T_{h1}$ +2 responses and how viral versus DNA vectors and subunit proteins influence this paradigm.

506 Our findings raise the question of the mechanisms underlying the  $D_{IP-10}P_{ALFQ}$  vaccine-mediated enhancement  
507 in  $T_{fh}$  responses. A few possibilities can be explored; IP-10 increases dendritic cell-T cell interactions, which  
508 could have favored  $T_{fh}$  differentiation(49). IP-10 also increases IL-6 production in B cells which is known to  
509 support  $T_{fh}$  differentiation and enhance plasma cell survival (50). This together with the potent immune  
510 stimulatory potential of MPLA+QS-21 boost may have synergized to enhance  $T_{fh}$  responses numerically and  
511 favored  $T_{h1}$  differentiation program within  $T_{fh}$  cells (51). Indeed, GC  $T_{fh}$  cells induced following viral infections,  
512 where  $T_{h1}$  inflammatory responses predominate, express Bcl-6, Tbx21, IFNG, and IL-21 consistent with the  
513 induction of  $T_{h1}$ -type  $T_{fh}$  cells (52). Transcriptomic analysis of  $T_{fh}$  cells following the 1<sup>st</sup> protein boost in the  $D_{IP-}$   
514  $_{10}P_{ALFQ}$  vaccine regimen show coordinate expression of  $T_{h1}$  regulated genes as evidenced by enrichment of  
515 pathways related to IFNG signaling. It should be noted however that transcriptional analysis was only  
516 performed on 3 animals within the  $D_{IP-10}P_{ALFQ}$  group with the highest magnitude antibody responses and

517 therefore may yield false positive targets and furthermore not be representative of the GC T<sub>fh</sub> signature elicited  
518 by the D<sub>IP-10</sub> P<sub>ALFQ</sub> vaccine regimen. Nonetheless, the higher relative expression of the T<sub>h1</sub> chemokine receptor  
519 CXCR3 in GC T<sub>fh</sub> cells and GC B cells, and CXCR3 ligands in sera lend support to the gene expression data.  
520 Together, our transcriptomic and phenotypic data on T<sub>fh</sub> cells indicate a role for adjuvant induced quantitative  
521 (increased T<sub>fh</sub> numbers) and qualitative (increased proportion of T<sub>h1</sub> T<sub>fh</sub> cells) effects on antibody magnitude.  
522 Mechanistic studies are needed to discern the respective contribution of increased T<sub>fh</sub> numbers versus T<sub>h1</sub>  
523 skewing of T<sub>fh</sub> cells on antibody responses as both these characteristics are inextricably linked in the current  
524 study. Additionally, because our vaccine regimen differed by two components; IP-10 in the prime and ALFQ  
525 during the boost further studies are needed to determine the specific role of the IP-10 prime versus ALFQ  
526 boost in driving CD4 T<sub>fh</sub> and antibody responses. This will enable us to address whether the T<sub>h1</sub> boost  
527 synergized with the T<sub>h1</sub> prime to enhance antibody titers and functionality, or if a T<sub>h1</sub> prime/ T<sub>h1</sub> boost alone  
528 would be sufficient to elicit the observed anti-Env antibody profiles.

529

530 While the D<sub>IP-10</sub> P<sub>ALFQ</sub> vaccine regimen increased magnitude of anti-Env IgG titers in the vaginal mucosa, which  
531 correlated with decreased acquisition in each of the vaccine groups, our study was not powered to assess  
532 protection from acquisition across the vaccine regimens. Furthermore, the lack of an unvaccinated control  
533 group precludes determination of vaccine efficacy and is a major caveat to the interpretation of acquisition  
534 outcomes. Therefore, more extensive larger scale studies are needed to assess whether the D<sub>IP-10</sub> P<sub>ALFQ</sub>  
535 vaccine regimen induced protective antibodies with the capacity to mediate effective neutralization or antibody  
536 effector functions at the vaginal mucosa. Notably, in contrast to a previous study showing increased risk of  
537 intra-rectal acquisition with MF59 relative to an alum-adjuvanted protein immunization(46), the D<sub>IP-10</sub> P<sub>ALFQ</sub>  
538 vaccine regimen did not increase the risk of vaginal acquisition in the present study. While these studies differ  
539 in route of mucosal transmission, the difference in outcomes may also be attributed to timing of exposure  
540 following final immunization i.e., 4 weeks in the previous study versus 20 weeks in the current study. It is  
541 possible that the presence of higher frequency of CD4 T cell effectors at the rectal mucosa 4 weeks following  
542 immunization increased acquisition risk, which could have contributed the observed differences in outcomes.  
543 Indeed, higher frequencies of CCR5+ CD4 T cells in rectal mucosa were observed in vaccinated monkeys  
544 experiencing breakthrough infections relative to those remaining uninfected following a low-dose intrarectal

545 challenge (53). Therefore, whether increased immunogenicity detracts from protection is an important safety  
546 consideration in the use of  $T_H1$  adjuvants and other highly immunogenic vaccine platforms(46). This is  
547 particularly important as the HIV co-receptor CCR5 is primarily expressed on  $T_H1$  cells (12). Nevertheless,  
548 because  $T_H1$  cells also produce CCR5 ligands, it is important to determine frequency of  $T_H17$  cells at the  
549 mucosal portals following immunization as  $T_H17$  cells are preferential targets of infection within the vaginal  
550 mucosa(54). Another consideration is that the studies were performed on females and did not encompass the  
551 possible variability in vaccine response between sexes. Therefore, going forward, it is critical to determine and  
552 confirm if a  $T_H1$  vaccine regimen will also enhance antibody responses in males.

553

554 In addition to adjuvant-dependent modulation of  $T_{Hh}$  responses, our IgG subclass results also support the  
555 conclusion that the  $D_{IP-10} P_{ALFQ}$  and  $DP_{ALFA}$  vaccine regimens induced qualitatively different GC responses. This  
556 is also the first study to show that adjuvants can dramatically impact the IgG antibody subclass profile in  
557 rhesus macaques. We made the striking observation that while both vaccine regimens induced IgG1 antibodies  
558 to gp120, the  $DP_{ALFA}$  regimen generated much greater IgG4 responses. The  $T_H2$ -promoting aluminum adjuvant  
559 is most likely responsible for the increased IgG4 in  $DP_{ALFA}$  animals because both vaccine groups received ALF  
560 liposomes. Rhesus IgG4 antibodies can mediate phagocytosis, but overall they appear to have poor effector  
561 functions (55, 56) and the most functional IgG subclass in macaques has been reported to be IgG1 (56).  
562 Humans immunized with an alum-formulated HIV-1 gp120 protein have been found to develop IgG1 and IgG4  
563 but not IgG2 and IgG3 antibodies(57). However, important functional differences in IgG subclass antibodies  
564 and  $Fc\gamma R$  biology between non-human primates and humans (58, 59), and the fact that rhesus IgG subclasses  
565 are numbered by serum abundance not function preclude direct comparisons between species. Another  
566 consideration is that differing antigen affinities between IgG subclasses to HIV-1 gp140 could confound  
567 quantitation raising the possibility that subclass differences may be driven by differential affinities/epitope  
568 specificities rather than differential magnitudes. Therefore, more conclusive studies are needed to evaluate  
569 these possibilities.

570

571 Another notable observation was the induction, in  $D_{IP-10} P_{ALFQ}$  vaccinated animals, of a robust anti-Env vaginal  
572 IgA response with an accompanying decline in serum IgA antibodies after the 2<sup>nd</sup> protein immunization. This

573 incongruity between vaginal and serum IgA responses was also observed in the DP<sub>ALFA</sub> vaccine group,  
574 suggesting that ALF liposomes may have generated IgA plasmablasts that homed to the reproductive tract, or  
575 possibly T<sub>h</sub>17-like T<sub>fh</sub> cells which promote IgA responses in mucosal LNs (60) (61). The T<sub>h</sub>1-biased ALF and  
576 ALFQ adjuvants have been reported to generate T<sub>h</sub>17 responses in mice, with ALFQ being more effective and  
577 additionally generating IgA antibodies(62). Future studies of T<sub>fh</sub> cell subsets and IgA plasma cells in mucosal  
578 LNs will be required to determine if our T<sub>h</sub>1 vaccine regimen may have promoted IgA responses in the female  
579 reproductive tract, and in the rectum to a lesser extent, by generating T<sub>h</sub>17 cells.

580 In summary, our findings demonstrate that T<sub>h</sub>1-DNA prime substantially increases the frequency of Env-  
581 specific T<sub>fh</sub> cells and that T<sub>h</sub>1-Env protein boosting results in greater production of anti-Env IgG1 antibodies  
582 with enhanced magnitude, breadth, avidity, and function. How this regimen can be further optimized to  
583 significantly enhance and induce robust tier 2 neutralizing antibodies is an important question that warrants  
584 further study.

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597 **MATERIALS AND METHODS**

598 **Rhesus Macaques** Twenty adult female colony-bred rhesus macaques (*Macaca mulatta*) were housed at the  
599 California National Primate Research Center and maintained in accordance with American Association for  
600 Accreditation of Laboratory Animal Care guidelines. All studies were approved by the University of California  
601 Davis Institutional Animal Care and Use Committee (IACUC). At study initiation, animals were 3.5 - 4.5 years  
602 of age with a median weight of 5.3 kg, were SIV- STLV- SRV-, had no history of dietary or pharmacological  
603 manipulation, and had intact ovaries.

604

605 **Immunizations and challenge.** DNA immunizations were administered via intradermal injection with  
606 electroporation utilizing the ICHOR TriGrid Array (Ichor Medical Systems) at weeks 0, 8, and 16. For each DNA  
607 immunization, two groups of 10 animals received 4 mg of the pGA2/JS2 plasmid DNA vector(63) encoding  
608 either SHIV C.1086 T/F Env + interferon-induced protein (IP)-10 (Group 1) or SHIV C.1086 T/F Env alone  
609 (Group 2). Details of the SHIV DNA construct have been described(64). At weeks 30 and 44, Group 1 animals  
610 received boosts with 100 µg C.ZA 1197MB gp140 protein (Immune Technology) adjuvanted with 100 µg MPLA  
611 +50 µg QS-21 (ALFQ) and Group 2 animals received 100 µg C.ZA 1197MB gp140 adjuvanted with 100 µg  
612 MPLA + 600 µg Aluminum (ALFA). The protein formulation (100 µg protein in 500µl formulation) was delivered  
613 in a 250 µl volume with 50 µg protein subcutaneously in each thigh during each of the two protein boosts. All  
614 animals were challenged at week 20 following the final protein immunization with 1:4 dilution of SHIV.C.CH505  
615 (stock at 189 ng/ml) obtained from George Shaw and Nancy Miller. The virus was diluted 1:4 in RPMI to obtain  
616 a challenge volume of 1 ml. Animals were positioned in prone position and 1 ml syringe without needle was  
617 used to inoculate virus. Animals were challenged weekly, with 8 repeat doses or until virus was detected in  
618 plasma.

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620 **Adjuvants.** Dimyristoyl phosphatidylcholine (DMPC) and dimyristoyl phosphatidylglycerol (DMPG) saturated  
621 phospholipids, cholesterol (Chol), and synthetic monophosphoryl lipid A (MPLA, 3D-PHAD) (Avanti Polar  
622 Lipids). DMPC and Chol were dissolved in chloroform, and DMPG and MPLA were dissolved in  
623 chloroform:methanol (9:1). Alhydrogel®, aluminum hydroxide (AH) in a gel suspension was purchased from  
624 Brenntag. The QS-21 saponin was purchased from Desert King International and was dissolved in Sorensen  
625 PBS, pH 5.6.

626 Army liposome formulations (ALF) containing DMPC, DMPG, Chol, and MPLA were prepared by the lipid  
627 deposition method. For vaccine preparations adjuvanted with ALFA, dissolved lipids were mixed in a molar  
628 ratio of 9:1:7.5:0.36 (DMPC:DMPG:Chol:MPLA) and dried by rotary evaporation followed by overnight  
629 desiccation. Liposomes were formed by molecular biology grade water (Quality Biological), microfluidized, and  
630 sterile filtered, followed by lyophilization. 100 µg of gp140 protein was adsorbed to 600 µg of Alhydrogel in  
631 PBS, pH 7.4, and incubated on a tilted roller at room temperature (RT) for 1 h prior to adding to lyophilized  
632 ALF. For vaccine preparations adjuvanted with ALFQ (ALF containing QS-21), lipids were mixed in a molar  
633 ratio of 9:1:12.2:0.36 (DMPC:DMPG:Chol:MPLA), dried, rehydrated by adding Sorensen PBS, pH 6.2, followed  
634 by microfluidization and filtration. gp140 was mixed with ALFQ in a 1:1 volume ratio. Each vaccine dose in 500  
635 µl volume contained 100 µg MPLA (and 100 µg protein) and either 600 µg aluminum or 50 µg QS-21.

636

637 **Specimen collection and processing.** Lymph node (LN) biopsies were obtained 2 weeks following each of  
638 the protein boosts and were manually processed by disassociation through 100 µM cell strainers and washing  
639 in complete media, as described previously (12). Two weeks after the 3<sup>rd</sup> DNA immunization, fine needle  
640 aspirates of LN were obtained using a 22 gauge needle, as previously described (65). PBMCs were isolated  
641 from whole blood collected in CPT vacutainer tubes by density gradient centrifugation as previously described  
642 (12). For serum, coagulated blood was centrifuged at 800 g for 10 min to pellet clotted cells, followed by  
643 extraction of fluid and storage at -80°C. Rectal and vaginal secretions were collected using premoistened  
644 Weck-Cel sponges and eluted as described (66).

645

646 **Serum IgG ELISA.** Serum IgG titers against HIV-1 C.1086 Env gp140 and Gag (SIVmac 239) were  
647 determined by ELISA. In brief, 96-well microtiter plates with high binding capacity (Thermo Fisher) were coated



648 overnight at 4°C with 1 µg/mL C.1086 Env gp140C from the NIH AIDS Reagent Program (ARP) or with SIV239  
649 Gag (Immune Tech) diluted in 0.1 M carbonate-bicarbonate buffer, pH 9.2. Plates were washed with PBS  
650 containing 0.1% Tween-20 (PBST) and blocked with 5% w/v nonfat dry milk in PBS for 2 h at RT followed by  
651 four washes with PBST. Standard (PG9 monoclonal antibody from the ARP) and serum samples were run at 3  
652 dilutions/sample (1:50-1:450) in sample dilution buffer and incubated at RT for 2 h on a microplate shaker.  
653 After washing, the plate was incubated for 1 h with 1:10,000 HRP conjugated goat anti-monkey IgG (Nordic  
654 MUBio). The plates were washed and then developed with TMB substrate (Thermo Fisher) and the reaction  
655 was quenched with 2 N H<sub>2</sub>SO<sub>4</sub> (Sigma). Absorbance was recorded at 450 nm with a reference filter at 570 nm  
656 using a Spectramax 5 plate reader (Molecular Devices). Baseline sera from each animal served as negative  
657 control and OD values 2-fold above baseline were considered positive and extrapolated to determine anti-Env  
658 antibody concentrations.

659

660 **Sodium thiocyanate avidity assay.** C.1086 Env gp140C-specific IgG antibody avidity was determined using  
661 a chaotropic displacement ELISA with NaSCN. Serum samples were incubated in duplicate at 6000 pg per well  
662 for 2 h at RT. The plate was washed five times. For the dissociation step, one well of each sample was  
663 manually treated with 100 µL of 2 M NaSCN (Sigma-Aldrich) to dissociate antigen-antibody complexes and a  
664 second well of the same sample was treated with PBS as a control. The plate was incubated for 15 min at RT,  
665 followed by washing three times. The plate was then developed as described above for the C.1086 gp140C  
666 ELISA. For each sample, antibody avidity was reported as an avidity index value (a percentage), which was  
667 calculated as the ratio of absorbance in the well treated with NaSCN to that in the well treated with PBS.

668

669 **Biacore binding and avidity analysis.** Binding and avidity determination were conducted using Surface  
670 Plasmon Resonance (SPR) Biacore 4000 system. The immobilizations were performed in 10 mM HEPES and  
671 150 mM NaCl pH 7.4 using a standard amine coupling kit, as previously described (23, 67). The CM5-S series  
672 chip surface was activated with a 1:1 mixture of 0.4 M 1-ethyl-3-(3-dimethylaminopropyl) carbodimide  
673 hydrochloride (EDC) and 0.1 M N-hydroxysuccinimide (NHS) for 600 s (GE Healthcare). For the cyclic  
674 biotinylated V2 C.1086 peptide, 1 µM Streptavidin (Life Technologies) in 10 mM sodium acetate pH 4.5 (5,800  
675 - 7,400 RU) was coupled for 720 s. The immobilized surface was then deactivated with 1.0 M ethanolamine-



676 HCl pH 8.5 for 600 s. Spot 3 in each flow cell was left unmodified to serve as a reference. Following surface  
677 deactivation, 0.06 - 1.5  $\mu$ M cyclic biotinylated V2 C.1086 peptide was captured, resulting in two range of  
678 densities; high density (1,900 – 2,300 RU) and low/medium density (340 – 580 RU). For C.1086 gp140C, 0.56  
679 – 15  $\mu$ g/mL protein was immobilized directly on the sensor CM5 chip, resulting in four ranges of densities; very  
680 high density (9,800 – 10,100 RU); high density (3,400 – 4,100 RU); medium density (960 – 1,700 RU) and low  
681 density (240 - 670 RU). Following surface preparation, heat inactivated serum samples were diluted 1:50 in the  
682 running buffer (10 mM Hepes, 300 mM NaCl and 0.005% Tween 20, pH 7.4). The diluted samples were  
683 injected onto the V2 peptide or gp140 protein surface for 320 s followed by 1,800 s dissociation period. The  
684 bound surface was then enhanced with a 240 s injection of 30  $\mu$ g/mL secondary antibody goat anti-monkey  
685 IgG. To regenerate the bound surface, 175 mM HCl was injected for 70 s. For each serum sample or controls,  
686 4 - 8 replicates were collected at a rate of 10 Hz, with an analysis temperature of 25°C. All sample injections  
687 were conducted at a flow rate of 10  $\mu$ L/min. Data analysis was performed using Biacore 4000 Evaluation  
688 Software 4.1 with double subtractions for unmodified surface and buffer for blank. The fitting was conducted  
689 using the dissociation mode integrated with the Evaluation software 4.1.

690

691 **Binding Antibody Multiplex Assay (BAMA) and sodium citrate avidity assay.** HIV-specific serum IgG  
692 BAMA was performed as previously described (68) with a panel of Env and V1V2 antigens: C.1086 gp140,  
693 CH505 TF gp140, Con S (group M consensus) gp140, and Con C (clade C consensus) gp140, gp70-V1V2  
694 Clade B/Case A2 scaffolded protein. Samples were titrated in 5-fold serial dilutions starting at 1:80 and binding  
695 magnitude is reported as AUC. Positivity criteria (determined at dilution 1:80) was as follows: (1) MFI >100; (2)  
696 MFI > Ag-specific cutoff (95th percentile of all baseline binding per antigen); (3) MFI 3-fold > than that of the  
697 matched baseline before and after blank/MuLV subtraction. All BAMA and avidity assays were performed in a  
698 blinded fashion using magnetic beads. For avidity assays, samples were tested with and without sodium citrate  
699 (0.1 M, pH 3.0) at 2 dilutions for each antigen based on BAMA titration for maximum coverage of samples in  
700 the linear range of the assay. The dilutions were 1:80 and 1:400 for gp70-V1V2, 1:400 for C.1086 V1V2,  
701 1:2000 for CH505TF gp140, 1:2000 for ConC gp140, and 1:10000 for C.1086 gp140 and ConS gp140.  
702 Antibody avidity is reported as avidity index, which was calculated as 100 x (MFI in the citrate-treated well/MFI  
703 in the untreated well). Avidity index is reported for sample-antigen combinations that were (1) identified as

704 positive responders in the IgG BAMA assay and (2) had an MFI within the linear range for the untreated  
705 sample.

706

707 **Neutralization.** Neutralization assays were performed as previously described(69) using TZM-bl cells. We  
708 measured neutralization activity against the tier 1 clade C pseudovirus MW965.26 using MLV-pseudotyped  
709 virus as an indicator of non-HIV-specific activity in the assay. Neutralization titers were measured at week 2  
710 and week 8 post 2<sup>nd</sup> protein boost and were considered to be positive for neutralizing antibody activity based  
711 on the criterion of signal  $\geq 3x$  detected against the MLV negative control virus. The majority of positive titers  
712 detected were against the tier 1 virus MW965.26 with occasional very weak neutralization titers against the tier  
713 2 C.1086\_B2  
714 and SHIV CH505.375H viruses.

715

716 **Antibody-dependent cellular cytotoxicity.** The rhesus CD16<sup>+</sup> human KHYG-1 NK cell line (effector cells)  
717 and CEM.NKR-CCR5-sLTR-Luc (target cells) were provided by Dr. David Evans (Univ of Wisconsin) and were  
718 maintained in R10 culture medium consisting of RPMI 1640 supplemented with 10% fetal bovine serum, 25  
719 mM HEPES, 2 mM L-glutamine, and 0.1 mg/ml Primocin (70, 71). The R10 for CD16<sup>+</sup> KHYG-1 cells was  
720 additionally supplemented with cyclosporine (CsA) and interleukin-2 (IL-2) at a concentration of 1  $\mu$ g/ml and 5  
721 U/mL, respectively.

722

723 Luciferase-based ADCC assays were carried out as previously described with some modifications (70). Two  
724 million CEM.NKR-CCR5-sLTR-Luc target cells were spinoculated with SHIV.C.CH505.375H.dCT (38 ng p27)  
725 for 2 h at 2,600 rpm at 30°C in the presence of 10 µg/mL polybrene. Subsequently, the target cell/virus mixture  
726 was incubated overnight at 37°C in 5% CO<sub>2</sub>. The next day, virus was removed and cells were incubated for  
727 another 72 h prior to the ADCC assay. For the ADCC assay, serum: effector cells: target cells were plated in a  
728 1:1:1 volumetric ratio. Serum was heat inactivated and diluted (1:50 dilution in R10 containing 10 U IL-2 per  
729 mL, with no CsA), mixed with PBS-washed, infected target cells (1 x 10<sup>4</sup> cells per well), and effector cells (5 x  
730 10<sup>4</sup> cells per well). Serum and cells were incubated overnight at 37°C in 5% CO<sub>2</sub>. Plates were then centrifuged  
731 at 1,800 rpm for 5 min at room temperature and 100 µL of the supernatant was removed. The cell pellets were  
732 resuspended and mixed with 50 µL of the luciferase substrate reagent BriteLite Plus (Perkin Elmer). Relative  
733 light units (RLUs) were recorded in black 96-well plates according to the manufacturer's instructions using a  
734 Synergy 2 microplate luminometer (BioTek). Percent ADCC activity of each tested serum sample (week 2 and  
735 week 8 post 2<sup>nd</sup> protein) was measured as the reduction in RLUs compared to the animal's week 0 pre-immune  
736 serum (100% RLU). All samples were tested in triplicate and experiments were performed twice.

737  
738 **Antibody Dependent Phagocytosis.** Serum antibodies were tested for ability to enhance phagocytosis of  
739 gp120 expressing beads by THP-1 cells using methods similar to those previously described(69). Briefly, 5 µL  
740 of 1 µm avidin-coated Fluorospheres (Invitrogen) were labeled with 2 µg biotinylated anti-His tag antibody  
741 (Pierce), then 3.5 µg His-tagged Clade C gp120 Du151 protein (Immune Technologies) per plate. The gp120  
742 beads and triplicate 5-fold dilutions of heat-inactivated serum in a 50 µL volume were then pre-incubated at  
743 37°C in V-bottom plates. After 1 h, 2 x 10<sup>4</sup> THP-1 cells in 50 µL were added to each well. After 5 h at 37°C in  
744 5% CO<sub>2</sub>, the cells were washed in Ca<sup>+2</sup> and Mg<sup>+2</sup> -free DPBS and resuspended in 180 µL of warm 0.12%  
745 Trypsin/EDTA. After 5 min at 37°C, the trypsin was removed and the cells were resuspended in 1%  
746 paraformaldehyde. Fluorescence was evaluated using a FACS Canto (BD Biosciences) and Flow-jo software.  
747 Phagocytosis was measured by multiplying the percent fluorescent cells by their median fluorescence intensity.  
748 The phagocytic score was then calculated by dividing phagocytosis of test samples by the average  
749 phagocytosis measured with preimmune serum.

750

751 **IgG subclass antibodies.** Ten rows of a 96-well Immulon 4 microtiter plate (VWR) were coated overnight at  
752 4°C with 50 ng per well of C.1086 gp120  $\Delta$ 7 K160N protein (72) in PBS. The remaining 2 rows were coated  
753 with duplicate 2-fold serial dilutions of rhesus IgG1, IgG2, IgG3 or IgG4 (Nonhuman Primate Reagent Program)  
754 starting at 25 ng/mL in PBS to generate a standard curve. Plates were washed with PBS containing 0.05%  
755 Tween 20 and blocked for 30 min at RT with reagent buffer (0.1% bovine serum albumin in wash buffer). Two-  
756 or three-fold dilutions of serum in reagent buffer were then added to the wells coated with gp120. Reagent  
757 buffer was added to wells coated with standard. Following overnight storage at 4°C, the plate was washed and  
758 reacted for 1 h at 37°C with 1  $\mu$ g/mL of the relevant monoclonal antibody from the Nonhuman Primate Reagent  
759 Resource: anti-rhesus IgG1 (mouse IgG2a clone 3C10.3), anti-rhesus IgG2 (mouse IgG1 clone 3C10), anti-  
760 rhesus IgG3 (mouse IgG1 clone 2G11) or anti-rhesus IgG4 (mouse IgG1 clone 7A8). These antibodies were  
761 raised to react specifically with the respective rhesus IgG subclass and show negligible reactivity to other  
762 subclasses and the specificity of 7A8 was further confirmed in our lab. The plate was then consecutively  
763 washed and treated with 100ng/mL of biotinylated goat anti-mouse IgG1 or IgG2a for 1 h at 37°C, neutralite-  
764 avidin peroxidase for 30 min at RT, and TMB (all from SouthernBiotech). Absorbance was recorded at 370 nm.  
765 SoftMax Pro software (Molecular Devices) was used to to construct a standard curve and determine  
766 concentrations of antibody. Preimmune serum samples had < 10ng/mL of antibody in these assays.

767

768 **Mucosal antibodies and serum IgA.** BAMA with C.1086 gp140 K160N-labeled magnetic beads (MagPlex,  
769 BioRad) was used as previously described (72) to measure concentrations of antigen-specific IgG in  
770 secretions and IgA in both secretions and serum depleted of IgG. Briefly, beads reacted with dilutions of  
771 standard (73) and specimens at 1100 rpm and 4°C overnight were washed and developed with biotinylated  
772 anti-monkey IgG or -monkey IgA (Rockland) followed by Phycoerythrin-labeled Neutralite avidin  
773 (SouthernBiotech). Construction of standard curves and interpolation of antibody concentrations was done  
774 using Bioplex Manager software after measurement of fluorescence in a Bioplex 200 (BioRad). Concentrations  
775 of gp120-specific IgG or IgA in secretions were divided by the total IgG or IgA measured in the sample by  
776 ELISA (74) to obtain the specific activity (ng IgG or IgA antibody per  $\mu$ g total IgG or IgA).

777

778 **Activation induced Marker (AIM) assay.** Cells were stimulated with overlapping peptide pools of HIV  
779 consensus C and HIV-1 C.1086 Env gp140C protein; and SIV239 Gag in AIM media as previously described  
780 (30). All antigens were used at a final concentration of 2  $\mu\text{g}/\text{mL}$  in a stimulation cocktail made with using 0.2  $\mu\text{g}$   
781 of CD28 and 0.2  $\mu\text{g}$  CD49d costimulatory antibodies per test. Unstimulated controls were treated with volume-  
782 controlled DMSO (Sigma-Aldrich). Tubes were incubated in 5%  $\text{CO}_2$  at 37°C overnight. Following an 18 h  
783 stimulation, the cells were stained, fixed, and acquired the same day. Phenotype panel on LNs and PBMCs  
784 was performed using standard flow cytometry assays(12).

785  
786 **Serum cytokines.** A Legendplex assay (Biolegend) was performed to evaluate cytokines in rhesus macaque  
787 sera. The assay was performed according to the manufacturer's protocol. Samples were acquired on a BD  
788 LSR Fortessa cell analyzer.

789  
790 **Flow cytometry and cell sorting.** Cell staining and sorting was performed as previously described(12).  
791 Fluorescence was measured using a BD FACSymphony with FACS Diva version 8.0.1 software.  
792 Compensation, gating and analysis were performed using FlowJo (Versions 9 and 10). Cell sorting was  
793 performed using a BD FACSAria III. Reagents used for flow cytometry are listed in Table 1.

794  
795 **RNA Sequencing and Bioinformatics.** RNA was extracted from sorted subsets and DNA-free RNA was  
796 quantified and assessed for quality prior to sequencing. RNA samples with visible peaks, 260/280 ratio  
797 between 1.8 to 2.1, and RNA integrity number of greater than 7 were sequenced using Batch-Tag-Seq Gene  
798 Expression Profiling on the Illumina HiSeq sequencer at the DNA Technologies & Expression Analysis Core  
799 Laboratory at the UC Davis Genome Center. Samples were barcoded and run in a single HiSeq lane. Quality  
800 of data were verified using the Illumina SAV viewer; this included verifying low error rates based on  
801 alignments of the standard Illumina PhiX spike, and removal of PCR duplicates after alignments. Adapter  
802 trimming, QC of sequencing data & demultiplexing was performed by the UC Davis Bioinformatics Core. After  
803 read filtering, reads were mapped to a reference genome using HISAT-aligner. On average 82.17% (~55-61%  
804 uniquely mapped) reads were mapped, and the uniformity of the mapping result for each sample indicated  
805 comparability between samples. Prior to differential gene expression analysis, genes with fewer than 40

806 counts per million reads were filtered, leaving 7,086 genes. Differential expression analyses were conducted  
807 using the limma-voom Bioconductor pipeline (32).

808  
809 **Statistical analysis.** Statistical analysis was performed using GraphPad Prism 7. Results between groups  
810 were compared using the two-tailed nonparametric Mann-Whitney rank sum test. Within group comparisons,  
811 such as antibody levels at different time points, were done using the two-tailed Wilcoxon matched-pairs signed  
812 rank test. For correlation analysis, the two-tailed Spearman rank correlation test was used.

813

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825

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831 Department of Defense.

832

833 RRA and SSI have a patent pending for the DNAIP10 construct.

834

835 **FIGURE LEGENDS**836 **Figure 1. Immunization schedule for subtype C HIV-1 Envelope DNA prime and protein boost vaccine**837 **regimen. (A)** Flow cytometric plots illustrate expression of HIV Env, SIV Gag, and IP-10 by 293T cells838 transfected with DNA and DNA<sub>IP-10</sub> plasmids. Grey overlay shows expression in non-transfected cells. **(B)**839 Surface expression of HIV Env based on detection with a panel of monoclonal antibodies as indicated. **(C)** IP-

840 10 concentrations in supernatants of transfected 293T cells show accumulation of IP-10 following transfection

841 with DNA<sub>IP-10</sub>. **(D)** Immunization schedule. Two groups of 10 rhesus macaques each were immunized three

842 times with DNA followed by two immunizations with protein. DNA was delivered intradermally and three

843 seconds later electrical pulses were delivered around the injection site using the ICHOR TriGrid Array. Group 1

844 animals (n=10) received DNA plasmid expressing IP-10 and an ALFQ-adjuvanted C.ZA gp140 boost (D<sub>IP-</sub>845 <sub>10</sub>Pro<sub>ALFQ</sub>). Group 2 (n=10) animals were immunized with DNA and boosted with ALFA-adjuvanted C.ZA gp140846 protein (DP<sub>ALFA</sub>). **(E)** Induction of IP-10, I-TAC, IL-6, and RANTES in serum after the 1<sup>st</sup> protein immunization

847 in both vaccine regimens. Significance was tested by Mann-Whitney; \* p&lt;0.05, \*\*p ≤ 0.01, \*\*\* p ≤ 0.001.

848

849 **Figure 2. D<sub>IP-10</sub>Protein<sub>ALFQ</sub> vaccine induces robust anti-Env serum IgG antibody titers with cross-clade**850 **breadth. (A)** Kinetics of the C.1086 anti-gp140 IgG response measured by BAMA in serum at weeks 0, 2, and

851 8 following each protein boost. The right panel shows scatter plot values for each animal at weeks 0, 2, and 8

852 post 2<sup>nd</sup> protein boost. **(B)** Kinetics of the C.1086 gp140-specific anti-Env IgG response measured by ELISA853 after the 2<sup>nd</sup> protein boost. The right panel shows titers for each individual animal. BAMA assay was used to854 measure responses against **(C)** CH505 gp140, **(D)** Con C gp140 **(E)** Con S gp140 and **(F)** gp70-V1V2 Case855 A2. **(G)** Fold change in antibody titers at indicated time points after the 2<sup>nd</sup> protein boost relative to the 1<sup>st</sup>.856 Animals receiving the DP<sub>ALFA</sub> vaccine are represented by blue circles and animals receiving the D<sub>IP-10</sub>P<sub>ALFQ</sub>

857 vaccine by red circles. Kinetic data show geometric means. Vertical dotted lines show immunization time

858 points. In dot plots, geometric means are indicated as horizontal lines. Statistical significance across vaccine

859 regimens was tested using the Mann-Whitney U test; \*p ≤ 0.05, \*\*p ≤ 0.01, \*\*\* p ≤ 0.001, \*\*\*\* p ≤ 0.0001.

860



861 **Figure 3. D<sub>IP-10</sub> Protein<sub>ALFQ</sub> vaccine elicits high avidity anti-Env antibody with ADCC and ADP activities.**

862 **(A)** Surface Plasmon Resonance (SPR) was used to determine the avidity index (AI) in serum at 2 weeks after  
863 the final DNA immunization and each protein boost using C.1086 gp140 protein immobilized onto sensor chips.  
864 Violin plots show median (bolded line) and interquartile range (dashed lines) in both vaccine groups with each  
865 sample run in quadruplicate. Lower values indicate higher avidity. **(B)** SPR-based AI values in the two vaccine  
866 regimens over time. **(C)** shows significantly higher IgG values in D<sub>IP-10</sub> P<sub>ALFQ</sub> at 2 weeks post 2<sup>nd</sup> protein boost  
867 (expressed as relative units, as measured by SPR) and higher avidity after normalizing avidity to gp140 IgG  
868 RU. Lower values indicate higher avidity. AI measured against C.1086 gp140 using **(D)** 2M sodium  
869 thiocyanate and **(E)** 0.1M sodium citrate at week 8 after 2<sup>nd</sup> protein boost. AI against **(F)** Con C and **(G)** Con S  
870 gp140 measured using 0.1M sodium citrate at week 8 post 2<sup>nd</sup> protein boost. **(H)** Serum neutralizing antibodies  
871 were assessed against tier 1A (MW965.26) pseudovirus and the 50% infective dose (ID50) was determined. **(I)**  
872 ADCC activity against SHIV CH505 infected target cells; data are represented with week 0 serum ADCC  
873 values normalized at 0% (dashed grey line) **(J)** ADP using Clade C Du151 gp120-coated beads was measured  
874 using sera from week 8 post 2<sup>nd</sup> protein boost at serum dilutions ranging from 1:100 to 1:2500. **(K)** Individual  
875 ADP scores at the 1:500 serum dilution. **(L)** C.1086 gp120-specific IgG subclass analysis was performed by  
876 ELISA using serum collected 8 weeks after the 2<sup>nd</sup> protein boost. **(M)** IgG1/IgG4 ratio across vaccine groups at  
877 week 8 post 2<sup>nd</sup> protein boost. Statistical significance across vaccine regimens was examined using the Mann-  
878 Whitney U test and within group differences over time were tested using Wilcoxon matched-pairs signed rank  
879 test; \*p ≤ 0.05, \*\*p ≤ 0.01, \*\*\* p ≤ 0.001, \*\*\*\* p ≤ 0.0001.

880

881 **Figure 4. DNA<sub>IP-10</sub> Protein<sub>ALFQ</sub> vaccine elicits robust anti-Env antibody in vaginal and rectal mucosal**

882 **secretions.** Concentrations of anti-C.1086 gp140 IgG and IgA in secretions were measured by BAMA and  
883 adjusted in accordance with the total IgG and IgA, respectively, to obtain the specific activity. **(A, B)**  
884 Development of gp140-specific IgG and **(C,D)** IgA in vaginal and rectal secretions. **(E)** Kinetics of the C.1086  
885 gp140-specific IgA response in serum. Horizontal dashed lines represent the cut-off for significance. Kinetic  
886 data show geometric means. Vertical dotted lines show immunization time points. In dot plots, data post 2<sup>nd</sup>  
887 protein are shown and geometric means are indicated as horizontal lines. **(F)** Kaplan-Meier plot showing  
888 acquisition rates following eight repeat intra-vaginal challenges with SHIV.C.CH505. **(G)** vaginal anti-gp140



889 IgG concentrations at week 16 post 2<sup>nd</sup> protein boost correlated with delay in acquisition in infected animals in  
890 both vaccine regimens (D<sub>IP-10</sub> P<sub>ALFQ</sub> vaccine regimen, n = 7; DP<sub>ALFA</sub> vaccine regimen, n = 10). Statistical  
891 significance was tested using unpaired, two-tailed Mann-Whitney U test; \*p ≤ 0.05, \*\*p ≤ 0.01, \*\*\* p ≤ 0.001,  
892 \*\*\*\* p ≤ 0.0001, and correlations with a Spearman rank correlation

893

894 **Figure 5. D<sub>IP-10</sub> Protein<sub>ALFQ</sub> vaccine induces Env-specific T cells and T<sub>fh</sub> cells in blood. (A)** Gating strategy  
895 to identify CXCR5<sup>+</sup> OX40<sup>+</sup> CD25<sup>+</sup> Env-specific T<sub>fh</sub> cells within PBMC after stimulation with both whole C.1086  
896 gp140 protein and pooled overlapping peptides representing Con C gp140. Flow plot illustrating responses  
897 following stimulation with Env or volume-controlled DMSO (NS). **(B)** Frequency of Env-specific CD4 T cells at  
898 week 1 post 1<sup>st</sup> protein boost.

899

900 **Figure 6. D<sub>IP-10</sub> Protein<sub>ALFQ</sub> vaccine induces GC T<sub>fh</sub> cells with distinctive T<sub>h1</sub> signatures. (A)** Gating  
901 strategy to identify GC T<sub>fh</sub> cells and GC B cells in LN at 2 weeks post 1<sup>st</sup> protein boost. Histograms show higher  
902 relative expression of Bcl-6 and ICOS in GC T<sub>fh</sub> cells (red) compared to naive CD4 T cells (grey). Expression in  
903 GC B cells is shown in purple. **(B)** Total IgG was measured in *ex vivo* co-culture experiments with sorted GC  
904 T<sub>fh</sub> cells and autologous LN B cells to demonstrate B helper capacity of the T<sub>fh</sub> cells. **(C)** Frequencies of GC T<sub>fh</sub>  
905 cells in LN at specified time points, symbols indicate significant differences from baseline for protein 1 and day  
906 0 for protein 2. **(D)** Dot plot illustrating higher frequencies of GC B cells in the T<sub>h1</sub> vaccine group, and  
907 correlations between frequencies of GC T<sub>fh</sub> cells and GC B cells or Env-specific T<sub>fh</sub> cells in LN. Frequencies  
908 of Env-specific CD4 T cells in LN (D, figure on right) correlate with GC T<sub>fh</sub> cells. **(E)** Histogram illustrating  
909 relative CXCR3 expression in GC T<sub>fh</sub> cells (red) and GC B cells (purple). The dot plot shows significantly higher  
910 CXCR3 expression on GC T<sub>fh</sub> cells in the T<sub>h1</sub> vaccine group. Serum antibody titers at week 18 after the 2<sup>nd</sup>  
911 protein boost correlate with frequency of GC T<sub>fh</sub> cells and proportion of CXCR3-expressing GC T<sub>fh</sub> cells at 2  
912 weeks after the 1<sup>st</sup> protein boost. **(F)** The dot plot shows significantly higher CXCR3 expression on GC B cells  
913 from animals in the T<sub>h1</sub> vaccine group. Flow plot illustrates higher expression of CXCR3 on T-bet<sup>+</sup> memory B  
914 cells. **(G)** Log fold change values of key T<sub>fh</sub> and T<sub>h1</sub> genes in T<sub>fh</sub> and memory T<sub>fh</sub> cells in lymph node of T<sub>h1</sub>  
915 vaccinated animals (p adj <0.05). **(H)** Heatmap shows expression of genes differentially expressed in T<sub>fh</sub> cells  
916 relative to naive across four sorted CD4 subsets. Blue and red colors represent relative high and low log2

32

917 gene expression values, respectively. For construction of heat maps log 2 gene expression (counts per million  
918 or CPM) for the most differentially expressed genes in T<sub>fh</sub> versus naive comparison, selected by threshold of p-  
919 adjusted value  $\leq 0.05$ . Statistical significance was tested using unpaired, two-tailed Mann-Whitney U test.  
920 Spearman coefficient of correlation values were computed to determine associations; \*  $p < 0.05$ , \*\*\*\*  $p \leq 0.0001$ .

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922 **Figure 7. DNA<sub>IP-10</sub> immunization induces systemic expansion of pro-inflammatory monocytes and**  
923 **enhances GC T<sub>fh</sub> responses. (A)** Gating strategy to identify activated CXCR5<sup>+</sup> CD4 T cells in blood on day 0  
924 and day 14 following the 3<sup>rd</sup> DNA immunization, and transient accumulation of ICOS<sup>+</sup> PD-1<sup>+</sup> CXCR5<sup>+</sup> cells in  
925 blood of all animals (n=20) when expressed as relative frequencies (left) or absolute counts (right). **(B)** Kinetics  
926 of the SIV239 anti-Gag IgG response and **(C)** C.1086 gp140-specific anti-Env IgG response measured by  
927 ELISA after DNA immunization at indicated time points. Significance indicated for all time points relative to  
928 baseline titers. **(D)** shows Gag-specific CD4 T cell responses measured at week 1 post DNA3 using AIM and  
929 ICS (IFNG+TNFA+)-based assays. **(E)** Gating strategy to identify inflammatory CD14<sup>+</sup>CD16<sup>+</sup> monocytes in  
930 blood. **(F)** Appearance of CD14<sup>+</sup>CD16<sup>+</sup> monocytes following the 3<sup>rd</sup> DNA immunization. **(G)** Comparison of pro-  
931 inflammatory monocytes in blood of DNA and DNA-IP-10 primed animals. **(H)** Frequencies of GC T<sub>fh</sub> cells in  
932 fine needle aspirates of draining LNs from DNA and DNA-IP-10 primed animals on day 14 after the 3<sup>rd</sup> DNA  
933 immunization. **(I)** Spearman rank correlation between frequencies of pro-inflammatory monocytes in blood on  
934 day 3 and C.1086C gp140 IgG antibodies in serum on week 8 following the 2<sup>nd</sup> protein boost. Between group  
935 differences were assessed using the Mann-Whitney U test. \*  $p < 0.05$ , \*\*\*,  $p \leq 0.001$ , \*\*\*\*  $p \leq 0.0001$ .

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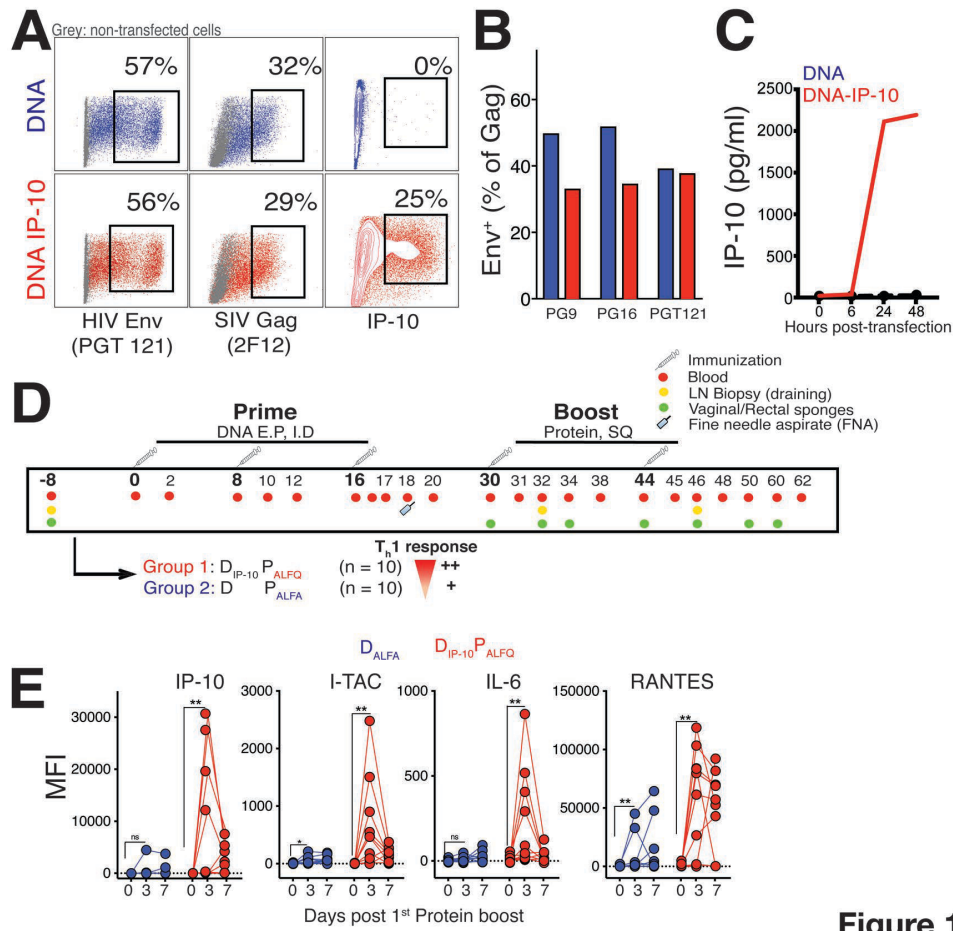


Figure 1



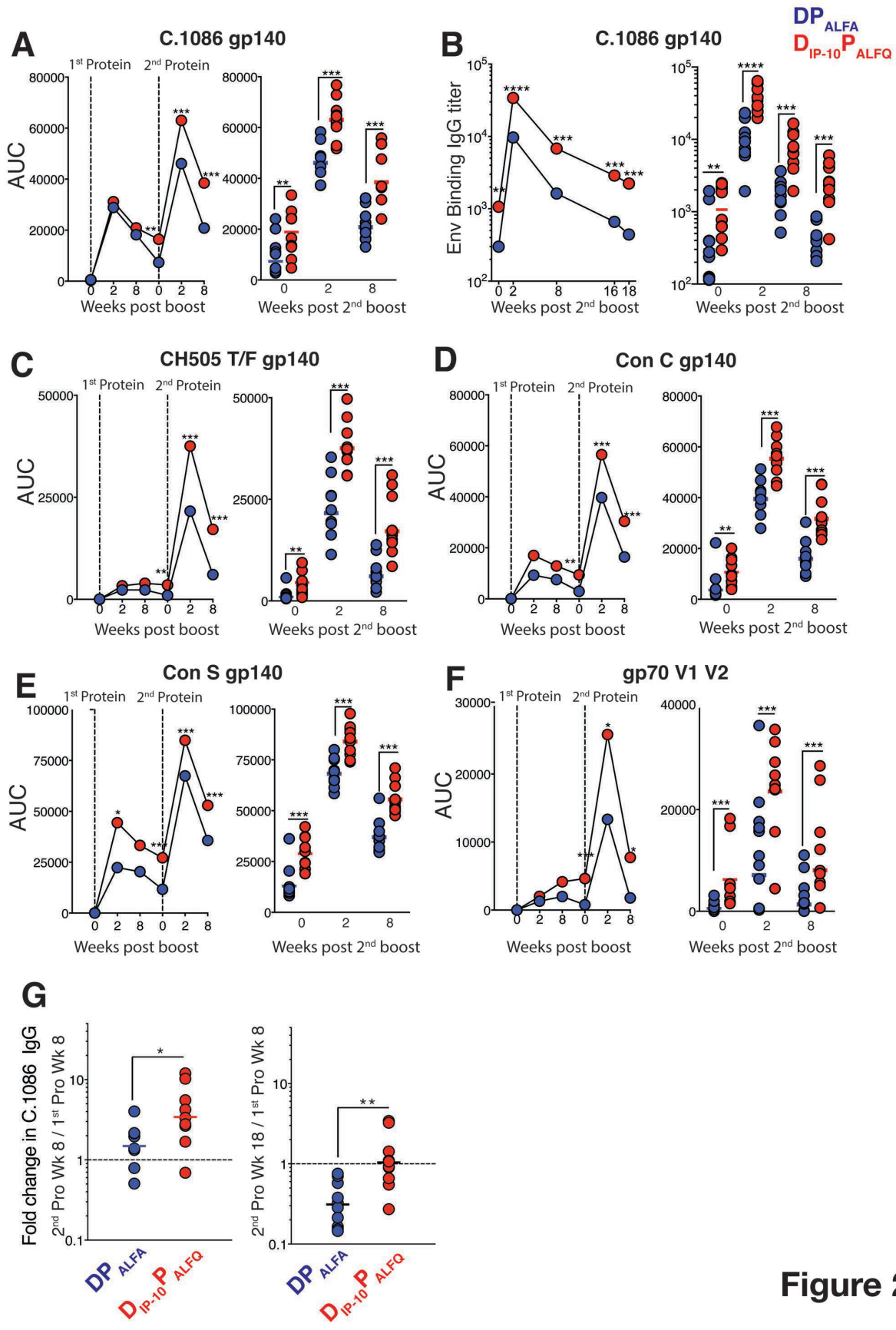


Figure 2

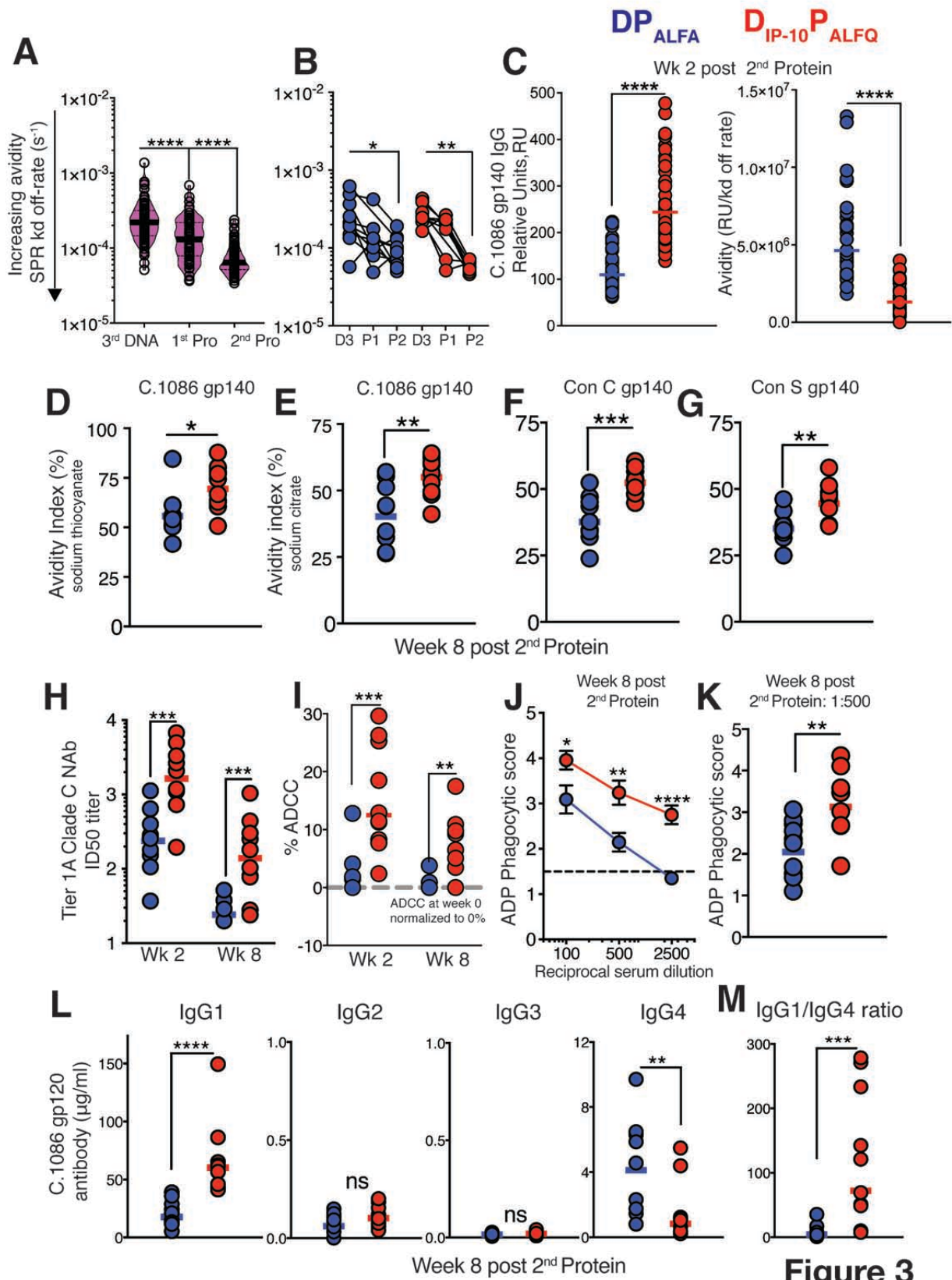


Figure 3

DP<sub>ALFA</sub> D<sub>IP-10</sub>P<sub>ALFQ</sub>

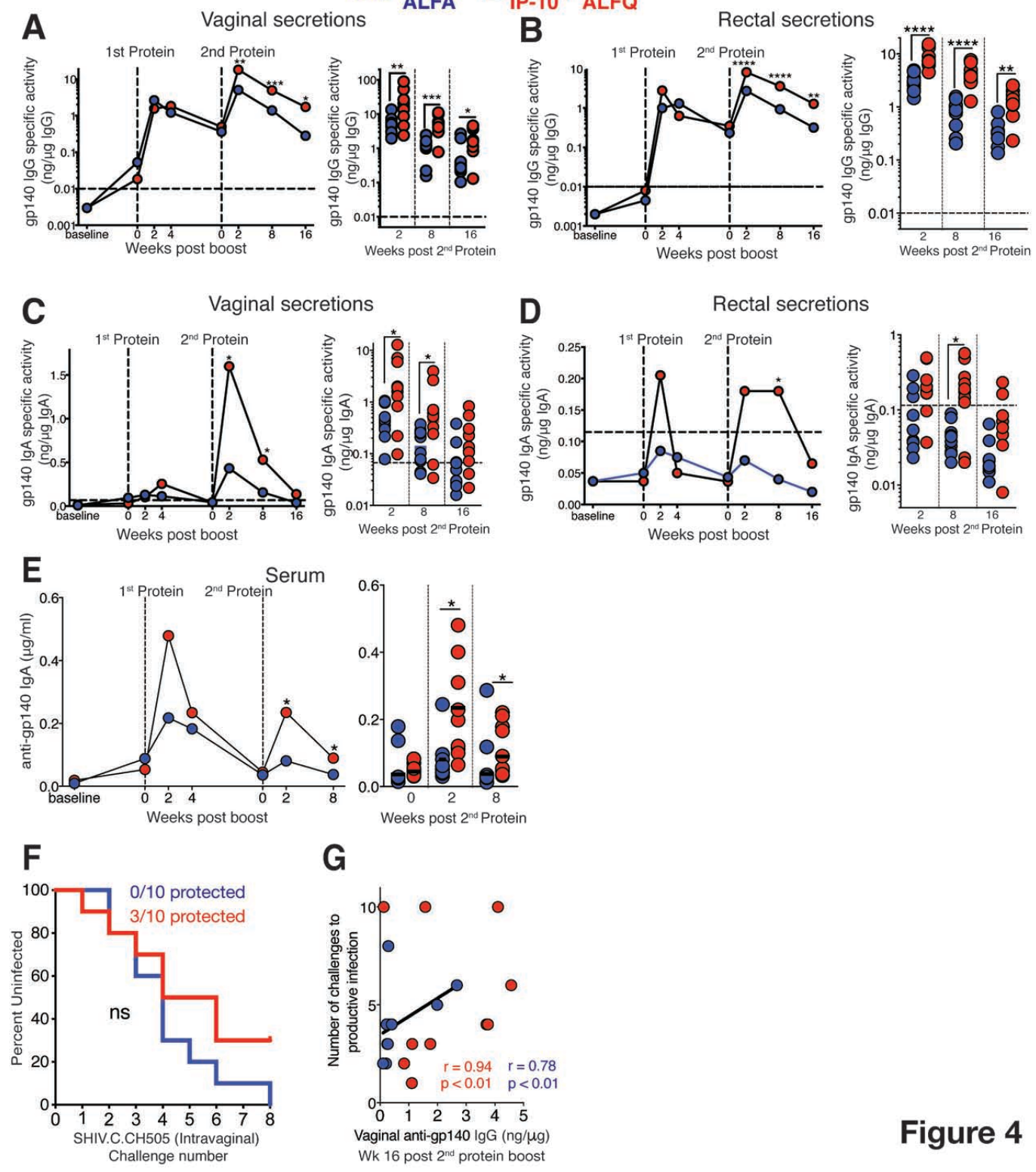


Figure 4



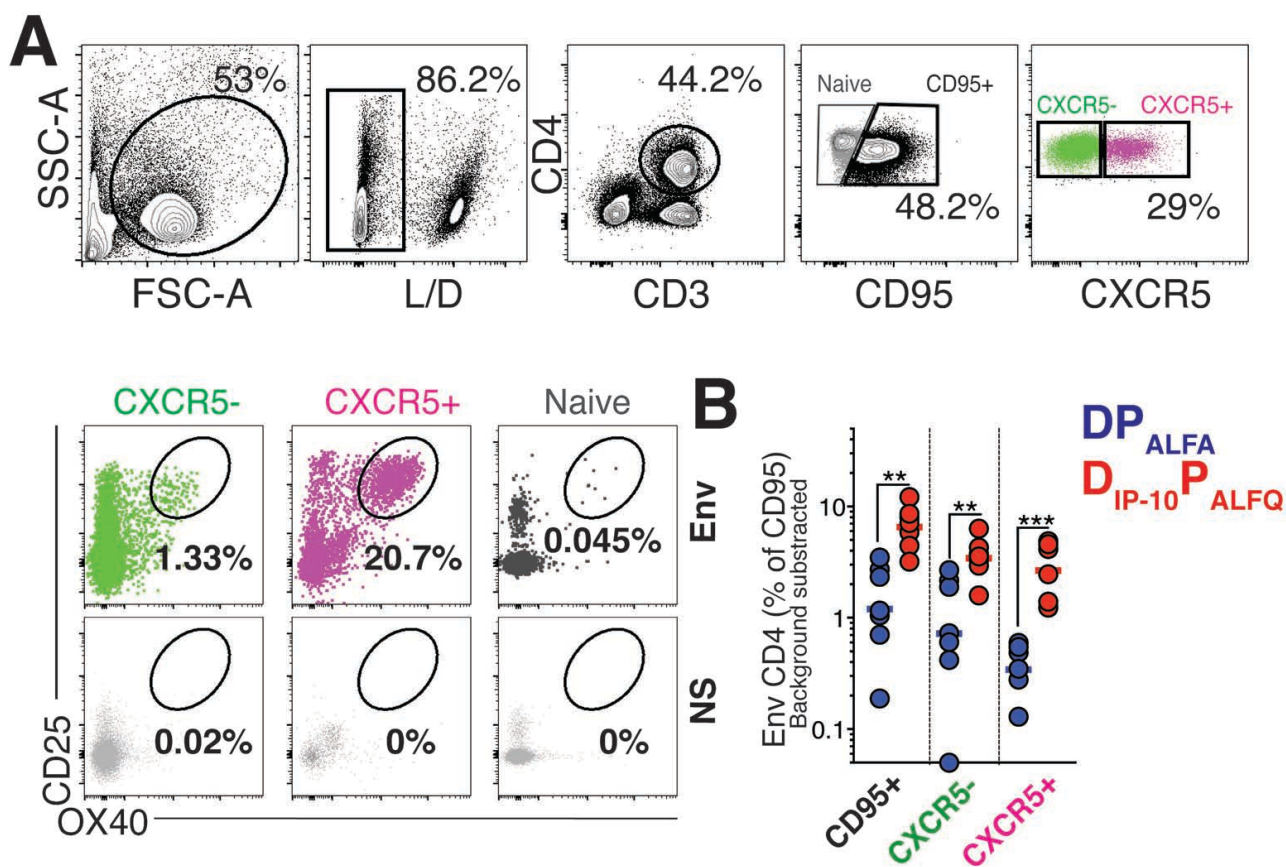
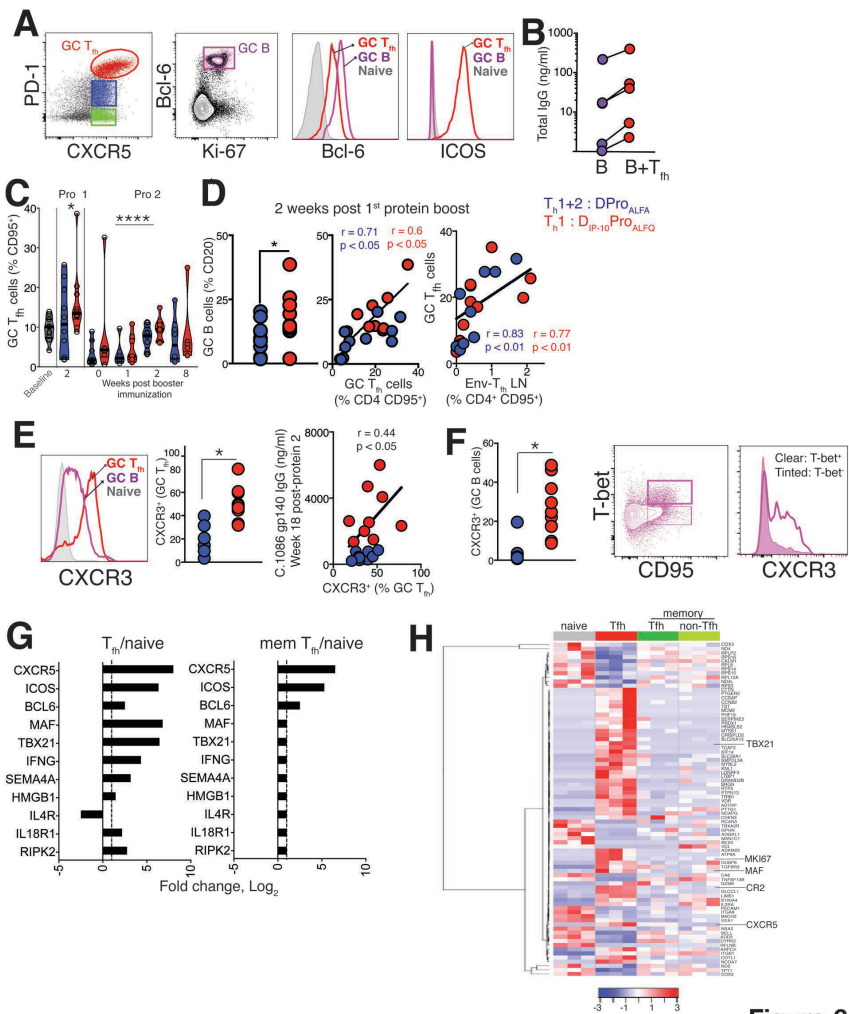


Figure 5



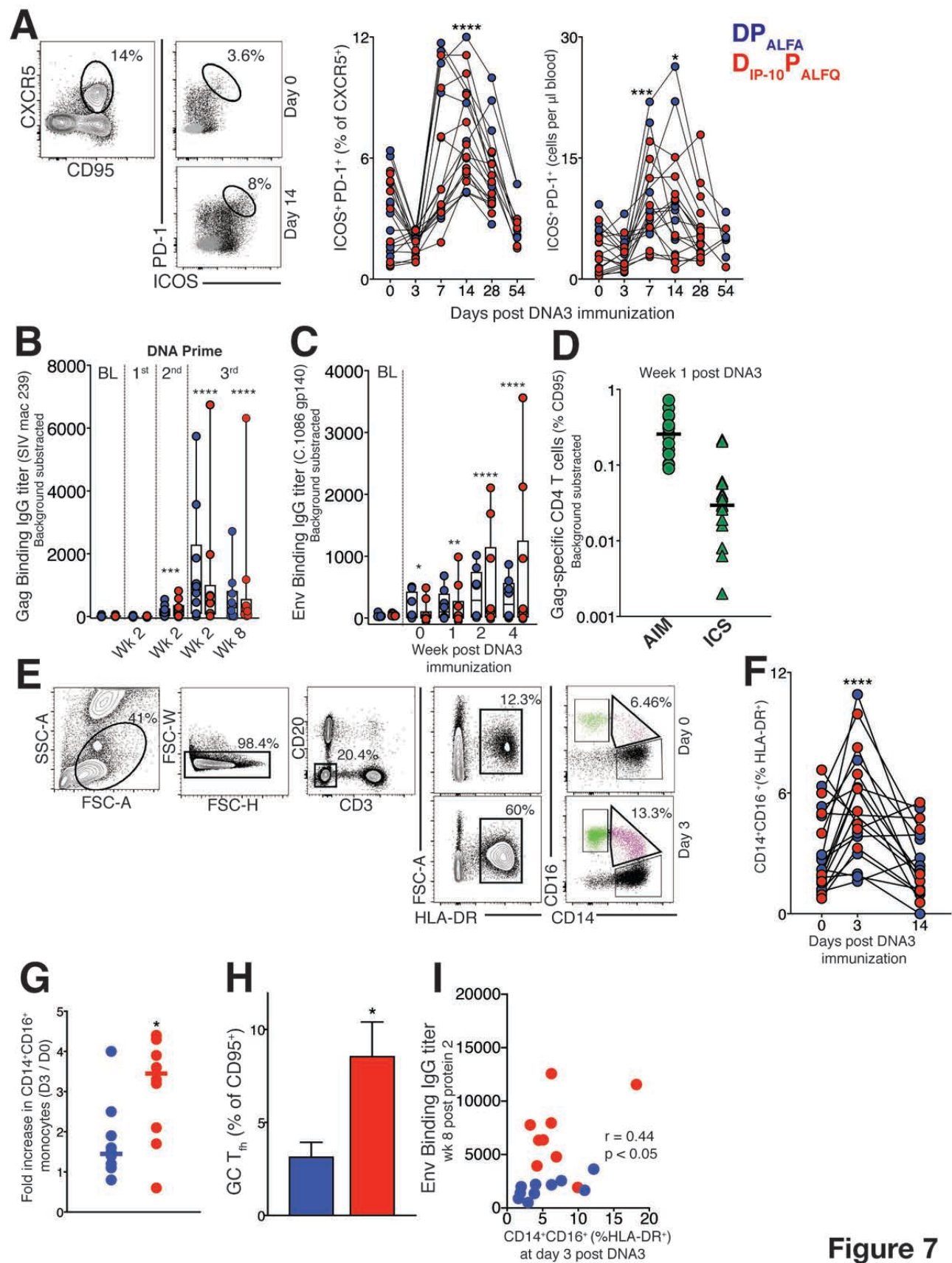


Figure 7