

Impaired physiological responses to chronic hypoxia in mice partially deficient for hypoxia-inducible factor 1 α

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Chronic hypoxia induces polycythemia, pulmonary hypertension, right ventricular hypertrophy, and weight loss. Hypoxia-inducible factor 1 (HIF-1) activates transcription of genes encoding proteins that mediate adaptive responses to hypoxia, including erythropoietin, vascular endothelial growth factor, and glycolytic enzymes. Expression of the HIF-1 α subunit increases exponentially as O₂ concentration is decreased. *Hif1a*^{-/-} mouse embryos with complete deficiency of HIF-1 α due to homozygosity for a null allele at the *Hif1a* locus die at midgestation, with multiple cardiovascular malformations and mesenchymal cell death. *Hif1a*^{+/-} heterozygotes develop normally and are indistinguishable from *Hif1a*^{+/+} wild-type littermates when maintained under normoxic conditions. In this study, the physiological responses of *Hif1a*^{+/-} and *Hif1a*^{+/+} mice exposed to 10% O₂ for one to six weeks were analyzed. *Hif1a*^{+/-} mice demonstrated significantly delayed development of polycythemia, right ventricular hypertrophy, pulmonary hypertension, and pulmonary vascular remodeling and significantly greater weight loss compared with wild-type littermates. These results indicate that partial HIF-1 α deficiency has significant effects on multiple systemic responses to chronic hypoxia.

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Introduction

Many cardiopulmonary disorders, including chronic obstructive lung disease and Eisenmenger's syndrome, are associated with chronic hypoxia. The principal medical consequences of chronic hypoxia include polycythemia, pulmonary hypertension, and weight loss, all of which are associated with greatly increased mortality (1–3). Laboratory animals subjected to decreased ambient O₂ concentrations manifest similar physiological responses (4–9). The use of gene-targeting techniques has provided a potential means to determine the contribution of specific genes to these responses (10, 11). Polycythemia is attributable to increased plasma levels of erythropoietin, which stimulates the survival and proliferation of erythroid progenitor cells (reviewed in ref. 12). The pathophysiology of hypoxic pulmonary hypertension is more complex and involves vasoconstriction as well as neomuscularization and thickening of the media and adventitia of pulmonary arterioles (8, 13–15). Weight loss under conditions of chronic hypoxia may reflect multiple changes in cardiovascular function, hormone production, energy metabolism, and other aspects of cellular and systemic physiology.

Physiological responses to chronic hypoxia result from altered patterns of gene expression. An essential mediator of transcriptional responses to decreased O₂

availability is hypoxia-inducible factor 1 (HIF-1) (16, 17). Among the hypoxia-inducible genes that contain functionally important HIF-1 binding sites are those encoding erythropoietin (16), transferrin (18), vascular endothelial growth factor (VEGF; refs. 19, 20), VEGF receptor 1 (21), inducible nitric oxide synthase (22, 23), heme oxygenase 1 (24), and endothelin 1 (ET-1; ref. 25). The protein products of many of these genes have been implicated in the development of polycythemia or pulmonary hypertension in response to chronic hypoxia (4, 6, 26–29).

HIF-1 is a heterodimer consisting of HIF-1 α and HIF-1 β subunits (17, 30, 31). Whereas HIF-1 β , which is also known as the aryl hydrocarbon receptor nuclear translocator (32), can dimerize with several different basic-helix-loop-helix-PAS transcription factors, HIF-1 α is unique to HIF-1: its expression is tightly regulated by the cellular O₂ concentration and determines the levels of HIF-1 activity (17, 33, 34). Several recent studies have demonstrated physiological regulation of HIF-1 expression and its consequences *in vivo*. In fetal sheep subjected to chronic anemia, cardiac hypertrophy was associated with increased myocardial vascularization and concomitantly increased myocardial expression of VEGF and HIF-1 α protein (35). Expression of HIF-1 α protein was also induced in isolated ferret lung prepa-

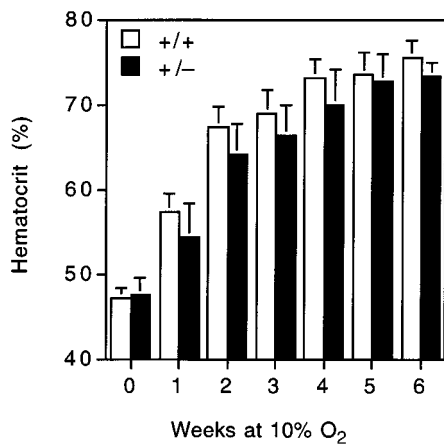


Figure 1
Development of polycythemia in mice subjected to chronic hypoxia. Hematocrits of *Hif1a*^{+/+} (open bars) and *Hif1a*^{+/-} (closed bars) mice exposed to room air or 10% O₂ for 1–6 weeks were determined. Results are expressed as mean ± SE (*n* = 8–10 mice for 0–5 weeks; *n* = 5–7 mice for 6 weeks). ANOVA with a *post hoc* Dunnett’s test revealed a significant difference between genotypes (*P* = 0.025).

rations in a time-dependent and O₂ concentration-dependent manner (36). Immunohistochemical analyses of hypoxic lungs demonstrated markedly increased HIF-1 α protein levels in the bronchial and alveolar epithelium and in blood vessel walls (36).

To provide definitive evidence for the role of HIF-1 in development and physiology, null alleles at the *Hif1a* locus encoding HIF-1 α were generated by homologous recombination in mouse embryonic stem (ES) cells (37, 38). *Hif1a*^{+/-} and *Hif1a*^{-/-} ES cells, which were heterozygous and homozygous for the null allele, demonstrated partial and complete loss of HIF-1 α expression and HIF-1 DNA-binding activity, respectively. The expression of 13 different genes encoding glucose transporters and glycolytic enzymes decreased in parallel, thus representing one of the most striking examples of coordinate genetic control of a metabolic pathway in mammalian cells (37). HIF-1 α -deficient ES cells also manifested markedly decreased *Vegf* expression. *Hif1a*^{-/-} mouse embryos that were homozygous for the mutant allele died at midgestation, with major defects in cardiovascular development and massive cell death within mesenchymal cell populations (37, 38). These results demonstrated that HIF-1 α was essential for normal embryonic development, but the involvement of HIF-1 α in postnatal physiology could not be determined. In contrast to *Hif1a*^{-/-} embryos, *Hif1a*^{+/-} mice developed normally and were indistinguishable from their wild-type *Hif1a*^{+/+} littermates under normoxic conditions. We therefore investigated whether partial deficiency of HIF-1 α in adult *Hif1a*^{+/-} mice would affect physiological responses to chronic hypoxia.

Methods

Animal care and use. All procedures were approved by the Animal Care and Use Committee of The Johns Hopkins University School of Medicine. The generation of *Hif1a*^{+/-} mice on a C57B6/129 genetic background was described previously (37).

Offspring of *Hif1a*^{+/+} x *Hif1a*^{+/-} matings were genotyped by PCR (37). All experiments involved male *Hif1a*^{+/+} and *Hif1a*^{+/-} littermates that were 8 weeks old at the start of the study. Animals that were not subjected to hypoxia were studied at the same age as the hypoxic mice at the end of the study (*i.e.*, 11 or 14 weeks old) depending on whether the study was 3 or 6 weeks in duration. To subject mice to chronic hypoxia, mice were placed in a plexiglass chamber after measurement of weight and hematocrit. Blood samples were obtained by retro-orbital sinus puncture. The chamber was maintained at 21% or 10% O₂ by controlling the inflow rates of air and nitrogen. The O₂ concentration was monitored continuously (OM-11 analyzer; Sormedics, Anaheim, California, USA). CO₂ levels were monitored (LB-2 gas analyzer; Sormedics) and maintained at <0.3%. At the end of the study period, mice were anesthetized with sodium pentobarbital (60 mg/kg). Body weights and hematocrits were measured. For measurement of right ventricular pressure, mice were lightly anesthetized with sodium pentobarbital, the trachea was cannulated, and the lungs were ventilated with 10% O₂ or room air at a tidal volume of 0.2 ml and a rate of 90 breaths per minute. An incision was made in the abdomen, and the diaphragm was visualized. A 23-gauge needle connected to a pressure transducer was inserted through the diaphragm into the right ventricle (RV), and pressures were recorded on a polygraph (Model 7; Grass Instruments, Quincy, Massachusetts, USA). Right ventricular puncture was verified by postmortem examination. For histological studies, the trachea was cannulated and the lungs were fixed by tracheal instillation of 10% buffered formalin while maintaining a constant tracheal pressure of 20 cm H₂O. The thorax was opened, and the heart and lungs were removed *en bloc*. The lungs were immersed and stored in 10% buffered formalin for sectioning. The RV was dissected from the left ventricle (LV) and septum after removal of the atria. The ventricles were blotted dry and weighed.

Pulmonary vascular morphometry. Formalin-fixed lungs were transferred to 70% ethanol, embedded in paraffin, sectioned into 5- μ m slices parallel to the hilum, and stained with hematoxylin and eosin. For each lung section, vessels with an external diameter \leq 100 μ m were classified as nonmuscularized, partially muscularized, or completely muscularized. Approximately 500 vessels from the lungs of at least three

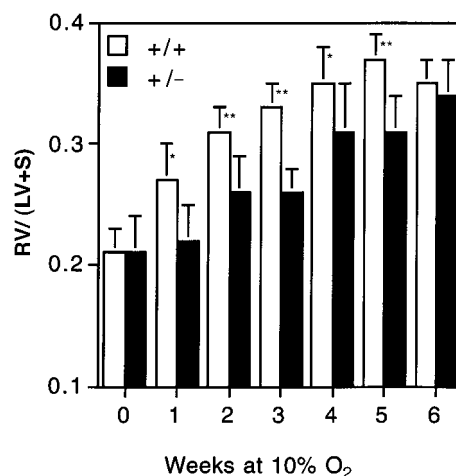


Figure 2
Development of right ventricular hypertrophy in response to chronic hypoxia. The mass ratio of the right ventricle (RV) to left ventricle and septum (LV+S) was determined for the same *Hif1a*^{+/+} (open bars) and *Hif1a*^{+/-} (closed bars) mice analyzed in Fig. 1. Results are expressed as mean ± SE. ANOVA with a *post hoc* Dunnett’s test revealed a significant difference between genotypes (*P* = 0.0001). **P* < 0.01; ***P* < 0.001 (Student’s *t* test).

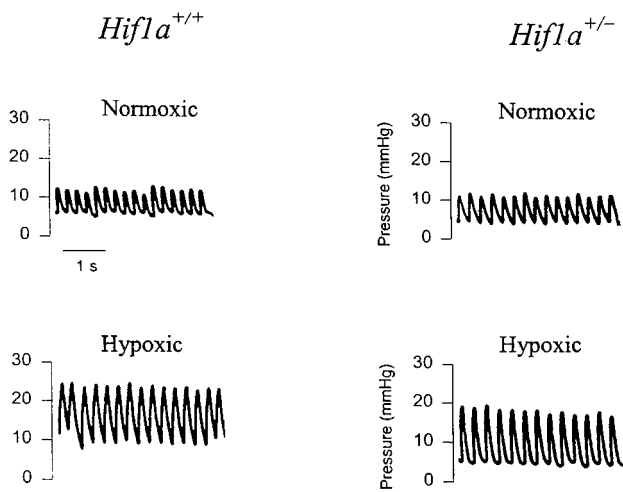


Figure 3 Measurement of right ventricular pressures. Shown are representative polygraph tracings obtained from *Hif1a*^{+/+} and *Hif1a*^{+/-} mice exposed to room air (Normoxic) or 10% O₂ (Hypoxic) for 3 weeks.

different *Hif1a*^{+/-} and *Hif1a*^{+/+} mice were scored. For all muscular vessels, video images were captured, and measurements of arterial diameter and area were obtained using a computerized image analysis program (Image 1.55; National Institutes of Health, Bethesda, Maryland, USA). The area bounded by the internal elastic lamina was subtracted from the area bounded by the external elastic lamina to obtain the area of the medial layer, which was then expressed as a percentage of the total vessel area. Percent wall thickness was also calculated as the diameter of the external elastic lamina minus the diameter of the internal elastic lamina divided by the diameter of the external elastic lamina (5).

Statistical analyses. Differences in the development of polycythemia and RV hypertrophy in *Hif1a*^{+/-} and *Hif1a*^{+/+} mice exposed to 10% O₂ for 1–6 weeks were analyzed using ANOVA with a *post hoc* Dunnett's test. Differences in RV pressure, weight loss, and pulmonary artery wall thickness were analyzed using Student's *t* test. Differences in the muscularization of pulmonary arterioles were analyzed using the χ^2 test. $P \leq 0.05$ was considered significant.

Results

To determine the effect of partial HIF-1 α deficiency on physiological responses to chronic hypoxia, 8-week-old male *Hif1a*^{+/-} and *Hif1a*^{+/+} mice were exposed to 10% O₂ for 0, 1, 2, 3, 4, 5, or 6 weeks. The mice were weighed, and blood was obtained for hematocrit before and after the hypoxic exposure. The mice were sacrificed, the hearts were excised, and the masses of the RV and LV plus interventricular septum (LV+S) were determined. There was no difference in the hematocrits of *Hif1a*^{+/-} ($47.5 \pm 2.0\%$; mean \pm SE) and *Hif1a*^{+/+} ($47.1 \pm 1.3\%$) mice maintained under normoxic conditions (Fig. 1). In contrast, there was a significant difference with respect to the development of polycythemia in *Hif1a*^{+/-} and *Hif1a*^{+/+} mice ($P = 0.025$ by ANOVA). Compared with their wild-type littermates, *Hif1a*^{+/-} mice showed a significantly delayed erythropoietic response. The differences between genotypes were most significant at 1 and 2 weeks. Thereafter, the differences gradually decreased, such that at 5 and 6 weeks, there was no difference between the two groups.

As shown in Figure 2, there was no difference between *Hif1a*^{+/-} and *Hif1a*^{+/+} mice, maintained under normoxic conditions, with respect to the mass ratio of the RV to LV and septum [RV/(LV+S) ratio] (Fig. 2). However, compared with the effect of genotype on hematocrit, the *Hif1a*^{+/-} mice showed an even more dramatic impairment in the development of hypoxia-induced RV hypertrophy ($P = 0.0001$ by ANOVA). Despite the small numbers in each group ($n \leq 10$), the differences between genotypes at 1–5 weeks were highly significant ($P < 0.001$ at 2, 3, and 5 weeks; and $P \leq 0.01$ at 1 and 4 weeks, by Student's *t* test). However, as in the case of the erythropoietic response, there was no significant difference between genotypes at 6 weeks. Thus, the development of both polycythemia and RV hypertrophy, two well-documented responses to chronic hypoxia, were significantly delayed, but not eliminated, in *Hif1a*^{+/-} mice.

To determine whether the RV hypertrophy observed in hypoxic mice was associated with pulmonary hypertension, RV pressures were measured directly (Fig. 3). There was no significant difference in the mean RV pressures of *Hif1a*^{+/-} (6.83 ± 0.48 mmHg) and *Hif1a*^{+/+} (7.33 ± 0.49 mmHg) mice under normoxic conditions (Fig. 4a). *Hif1a*^{+/-} and *Hif1a*^{+/+} mice were exposed to 10% O₂ for 3 weeks, and RV pressures were measured during ventilation with 10% O₂. Mean RV pressure was increased in hypoxic *Hif1a*^{+/-} and *Hif1a*^{+/+} mice, but the degree of pulmonary hypertension was significantly greater in *Hif1a*^{+/-} mice (18.36 ± 1.88 vs. 11.87 ± 0.95 mmHg; $P = 0.003$).

The difference in mean RV pressure between *Hif1a*^{+/-} and *Hif1a*^{+/+} mice could reflect altered vasomotor and/or vasoproliferative responses to chronic hypoxia. Because these represent dynamic and fixed changes, respectively, we sought to distinguish between them by exposing mice to 10% O₂ for 3 weeks and then returning the mice to room air for 3 hours before measuring RV pressures while the animals were ventilated with room air. The mean RV pressures of the reoxygenated mice were not significantly different from those of the chronically hypoxic mice (Fig. 4). These data suggested that partial deficiency of HIF-1 α resulted in impaired hypoxia-induced vascular remodeling in *Hif1a*^{+/-} mice.

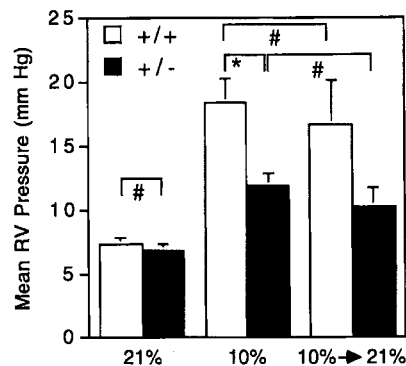


Figure 4

Right ventricular pressures of normoxic, hypoxic, and reoxygenated mice. Mean right ventricular (RV) pressure (\pm SE) was determined for *Hif1a*^{+/+} (open bars) and *Hif1a*^{+/-} (closed bars) mice exposed to 21% ($n = 6$) or 10% ($n = 11-15$) O₂ for 3 weeks, or exposed to 10% O₂ for 3 weeks followed by 21% O₂ for 3 h ($n = 5$). * $P = 0.003$; # $P = NS$ (Student's *t* test). NS, not significant.

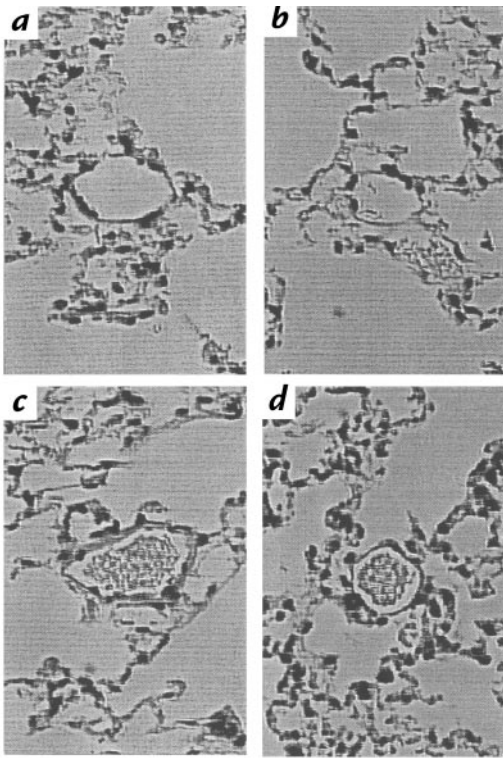


Figure 5
Pulmonary histology. Lungs from *Hif1a*^{+/+} (a and c) and *Hif1a*^{+/-} (b and d) mice exposed to 21% (a and b) or 10% (c and d) O₂ for 3 weeks were formalin-fixed, paraffin-embedded, sectioned, and stained with hematoxylin and eosin for videomicroscopy. Each field shows a representative pulmonary arteriole. ×400.

To investigate the effects of partial HIF-1 α deficiency on remodeling of pulmonary arterioles directly, histological sections of lungs from *Hif1a*^{+/-} and *Hif1a*^{+/+} mice exposed to 10% O₂ for 3 weeks were prepared for morphometric analysis (Fig. 5). The proportion of nonmuscularized, partially muscularized, and completely muscularized pulmonary arterioles with an external diameter of ≤ 100 μ m in *Hif1a*^{+/-} and *Hif1a*^{+/+} mice (Fig. 6a) was significantly different by χ^2 analysis ($P = 0.00001$). The decreased proportion of completely muscularized and increased proportion of nonmuscularized pulmonary arterioles in hypoxic *Hif1a*^{+/-} mice was restricted to vessels with a diameter of ≤ 50 μ m (data not shown).

The wall thickness of completely muscularized pulmonary arterioles with a diameter of ≤ 100 μ m was also determined, using two different methods. In both cases, *Hif1a*^{+/-} mice demonstrated a significant ($P < 0.001$) reduction in wall thickness when compared with *Hif1a*^{+/+} mice (Fig. 6b). These results indicate that not only did chronically hypoxic *Hif1a*^{+/-} mice have fewer completely muscularized pulmonary arterioles, but the degree of muscularization in such vessels was reduced.

The effect of O₂ concentration and *Hif1a* genotype on body weight was also analyzed. There was no significant difference in weight gain by *Hif1a*^{+/-} and *Hif1a*^{+/+} mice maintained under normoxic conditions for 6 weeks ($5.25 \pm 1.74\%$ vs. $6.73 \pm 2.16\%$; $P = 0.60$), and there was no significant difference between normoxic *Hif1a*^{+/-} and

Hif1a^{+/+} mice with respect to mean body weight at the beginning or end of the study period (Fig. 7, and data not shown). Both *Hif1a*^{+/-} and *Hif1a*^{+/+} mice lost weight when maintained at 10% O₂ and, because weight loss was maximal after 1 week, data from the groups of mice subjected to hypoxia for 1–6 weeks were pooled to increase statistical power (Fig. 7). *Hif1a*^{+/-} mice lost a significantly greater percentage of their body weight than *Hif1a*^{+/+} mice in response to chronic hypoxia ($5.79 \pm 0.83\%$ vs. $2.99 \pm 0.88\%$; $P = 0.02$).

Discussion

The results of this study demonstrate that partial HIF-1 α deficiency has a significant effect on multiple physiological responses to chronic hypoxia. Despite the presence of one normally functioning allele, *Hif1a*^{+/-} mice were impaired in the development of polycythemia, RV hypertrophy, pulmonary hypertension, and pulmonary vascular remodeling. *Hif1a*^{+/-} mice also lost more weight than *Hif1a*^{+/+} mice. HIF-1 α expression increases exponentially as O₂ concentration is decreased, both in cultured cells (33) and *in vivo* (36), and levels of HIF-1 α correlate with the expression of downstream target genes, both in cultured cells (19, 37) and *in vivo* (35). These results suggest that the more severe the hypoxic stimulus, the greater the magnitude of HIF-1 α expression, HIF-1 DNA binding, transcription of downstream genes, and ultimate physiological responses. The data presented in this article provide a definitive connection between HIF-1 α expression and physiological responses to hypoxia in adult animals. The role of HIF-1 α in each of these physiological responses is considered below.

Polycythemia. HIF-1 was initially identified as a nuclear factor that bound to the hypoxia response element of the human erythropoietin (*EPO*) gene and was shown to be an essential mediator of its function (16). The effect of HIF-1 α deficiency on hypoxia-induced erythropoiesis may therefore be due to decreased *EPO* gene transcription in response to hypoxia. However, there is a wide normal range of plasma *EPO* levels, and small chronic changes in *EPO* concentration are sufficient to have major effects on hematocrit (39). Thus, demonstration of a significant difference in plasma *EPO* levels in chronically hypoxic *Hif1a*^{+/-} and *Hif1a*^{+/+} mice may require very large sample sizes.

Pulmonary hypertension. Analysis of *Hif1a*^{+/-} and *Hif1a*^{+/+} mice suggested that the impaired development of pulmonary hypertension associated with partial HIF-1 α deficiency was due to decreased muscularization of pulmonary arterioles. The significant difference in RV pressures was related to both a decrease in the number of fully muscularized resistance vessels and a decrease in the extent of medial thickening in those vessels that were fully muscularized. The observed morphometric differences may be sufficient to account for the differences in RV pressure and hypertrophy, although the possibility that long-acting vasoconstrictors such as ET-1 also contribute to the difference in pulmonary hypertension cannot be ruled out. Partial HIF-1 α deficiency had a greater effect on pulmonary vascular remodeling than on erythropoiesis, suggesting that the latter effect was not sufficient to explain the former. Indeed, augmentation of

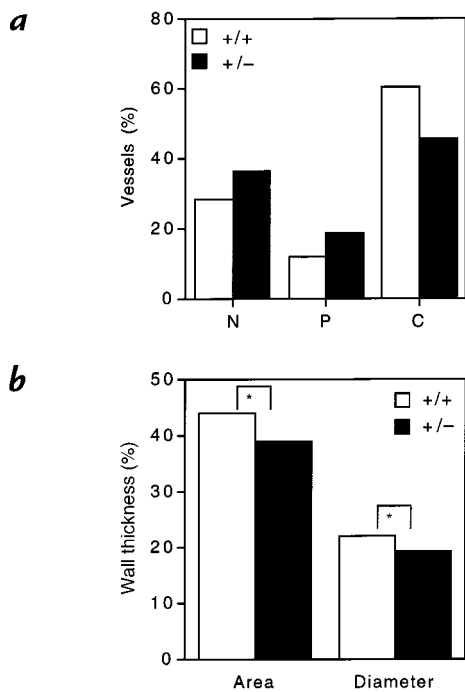


Figure 6 Morphometric analysis of pulmonary vasculature in chronically hypoxic mice. (a) Neomuscularization of pulmonary arterioles. Lung sections from *Hif1a*^{+/+} (open bars) and *Hif1a*^{+/-} (closed bars) mice exposed to 10% O₂ for 3 weeks were scored for nonmuscularized (N), partially muscularized (P), or completely muscularized (C) arterioles with an external diameter of ≤100 μm. For each genotype, >400 vessels were analyzed in lung sections from three to four mice to generate the mean data shown. χ^2 analysis revealed a significant difference between genotypes ($P = 0.00001$). (b) Quantitative analysis of medial thickening. Percent wall thickness (% WT) was calculated for completely muscularized arterioles, based on analysis of area or diameter, according to the following formulae: % WT = $([\text{area}_{\text{ext}} - \text{area}_{\text{int}}] / \text{area}_{\text{ext}}) \times 100$; and % WT = $([\text{diameter}_{\text{ext}} - \text{diameter}_{\text{int}}] / \text{diameter}_{\text{ext}}) \times 100$. Dimensions were demarcated by the external (ext) and internal (int) elastic laminae. For each genotype, >100 vessels were analyzed in multiple lung sections from three to four mice. Mean values are shown (SD ≤ 0.6% for each). * $P < 0.001$ (Student's *t* test).

polycythemia in hypoxic rats by EPO administration did not worsen pulmonary hypertension but instead was associated with decreased vascular remodeling (40). The pathophysiology of hypoxic pulmonary hypertension is exceedingly complex and incompletely understood. As described in the Introduction, several genes whose protein products have been implicated in this process are induced by hypoxia and contain HIF-1 binding sites. The observed physiological effects of partial HIF-1 α deficiency may therefore represent the integrated effect of reduced expression of multiple genes, as has been demonstrated previously in ES cells (37, 38). Using an isolated perfused/ventilated ferret lung preparation, HIF-1 α protein expression was analyzed by immunoblot assay as a function of inspired O₂ concentration (36). This analysis revealed large amounts of HIF-1 α protein in lungs ventilated with 0% O₂, modest amounts at 4%, and no detectable HIF-1 α protein at 7% or 10% O₂. We have also been unable to detect HIF-1 α expression in the lungs of wild-type mice exposed to 10% O₂ (data not

shown). Characterization of ES cells and mouse embryos demonstrated partial and complete loss of HIF-1 α protein expression in *Hif1a*^{+/-} and *Hif1a*^{-/-} cells, respectively (37, 38). We therefore conclude that physiologically relevant levels of HIF-1 α expression in the lung are below the sensitivity of our immunoblot assay and that partial HIF-1 α deficiency is associated with decreased pulmonary vascular remodeling.

Weight loss. Factors contributing to hypoxia-induced weight loss are also complex and incompletely defined. In addition to effects on energy metabolism via regulation of genes encoding glucose transporters and glycolytic enzymes (34, 37, 38), HIF-1 α expression has recently been shown to be modulated by the insulin and insulin-like growth factor (IGF) pathway (41, 42), which in turn is regulated by hypoxia (43–45). Hypoxia has been shown to induce expression of the IGF-binding protein 1 (*IGF-BP1*) gene, which contains a hypoxia response element with an essential HIF-1 binding site, and levels of IGF-BP1 correlate with chronic intrauterine hypoxia and growth retardation (46). Hypoxia-induced intrauterine growth retardation was prevented in rats by administration of an ET_A receptor antagonist (47), implicating ET-1 in the pathophysiology of growth retardation.

Conclusions. Analysis of *Hif1a*^{-/-} mouse embryos demonstrated the essential role of HIF-1 α in prenatal development (37, 38). In this study, analysis of adult *Hif1a*^{+/-} mice has revealed the importance of HIF-1 α for postnatal physiological responses to hypoxia. Taken together, these results indicate that HIF-1 α regulates O₂ homeostasis by controlling both the establishment of key physiological systems during embryogenesis and their subsequent utilization throughout life. In addition to pulmonary hypertension, hypoxia also plays an important role in the pathophysiology of cancer, myocardial infarction, and stroke, the major causes of mortality in the United States. The role of HIF-1 α and its potential as a therapeutic target in these clinical conditions are presently under investigation.

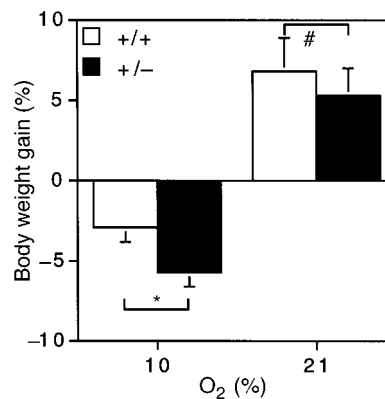


Figure 7 Analysis of weight gain under normoxic and hypoxic conditions. Percent body weight gain (% BW gain) was determined for *Hif1a*^{+/+} (open bars) and *Hif1a*^{+/-} (closed bars) mice exposed to 21% O₂ for 6 weeks ($n = 10$) or 10% O₂ for 1–6 weeks ($n = 54$ –57), using the following formula: % BW gain = $([\text{BW}_{\text{final}} - \text{BW}_{\text{initial}}] / \text{BW}_{\text{initial}}) \times 100$. * $P = 0.02$; # $P = \text{NS}$ (Student's *t* test).

Acknowledgments

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