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Improved pentamethine cyanine nanosensors for optoacoustic imaging of pancreatic cancer

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Optoacoustic imaging is a new biomedical imaging technology with clear benefits over traditional optical imaging and ultrasound. While the imaging technology has improved since its initial development, the creation of dedicated contrast agents for optoacoustic imaging has been stagnant. Current exploration of contrast agents has been limited to standard commercial dyes that have already been established in optical imaging, they are far from optimal and there is a need for contrast agents with tailored optoacoustic properties. The synthesis, encapsulation within tumor targeting silica nanoparticles and applications in in vivo tumor imaging of optoacoustic contrast agents are reported.

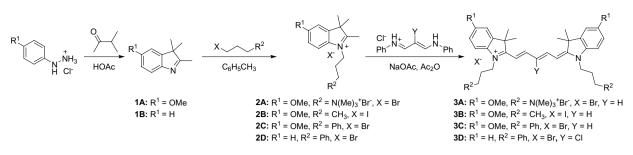
Diagnosis and treatment of pancreatic cancer remains daunting. Pancreatic tumors generally respond poorly to chemotherapy, and resection is the only truly curative option^{1–7}. However, because pancreatic malignancies typically occur without symptoms, diagnosis is not usually made until the cancer has spread to other organs, at which point complete surgical resection is impossible^{3,8,9}. Due to the combined difficulties of diagnosis and treatment, the prognosis for pancreatic cancer remains grim; 80–85% of patients will be untreatable at the time of diagnosis, and the overall 5-year survival rate is $4\%^{10}$.

Numerous approaches to improve the detection of pancreatic lesions have been attempted, but little success has been achieved. Screening patients for a family history of pancreatic cancer may improve early detection, but clinical trials have not been performed to determine if this would result in any measurable improvement in patient outcomes^{11–15}. Nevertheless, computed tomography (CT), magnetic resonance imaging (MRI) and endoscopic ultrasound (EUS) of patients with family histories of pancreatic cancers has shown promise in the detection of precancerous lesions¹³. EUS has shown the most accuracy in detecting small pancreatic neoplasia^{12,13}. Unfortunately, due to poor resolution or sensitivity, microscopic lesions are still undetectable by current clinical imaging techniques^{16,17}. One of the emerging technologies for medical imaging, optical imaging techniques, specifically fluorescence imaging, offer improved image resolution; however, the limited imaging depth reduces the clinical utility of fluorescence imaging for deep tissue imaging¹⁸.

Multispectral optoacoustic tomography (MSOT) combines the high sensitivity and resolution of optical imaging with the deeper imaging capabilities of ultrasound allowing for highly accurate imaging of microscopic anatomical features through several centimeters of tissue^{19,20}. MSOT has shown promise in preclinical imaging tests for imaging tumors^{21–25}, organ function^{26,27}, and specific ions^{28,29}. While MSOT can be used with endogenous chromophores (hemoglobin, and oxyhemoglobin), the highest imaging specificity can be achieved using exogenous contrast^{30,31}. Particularly, contrast agents that absorb in the near infrared (NIR) window (650–900 nm) can be used to achieve exceptional signal-to-noise ratio (SNR) for in vivo imaging.

NIR chromophores for fluorescence imaging have been investigated, and dyes targeting specific tissues, targeting ligand-assisted dyes, and activatable fluorophores have been developed to image healthy and diseased

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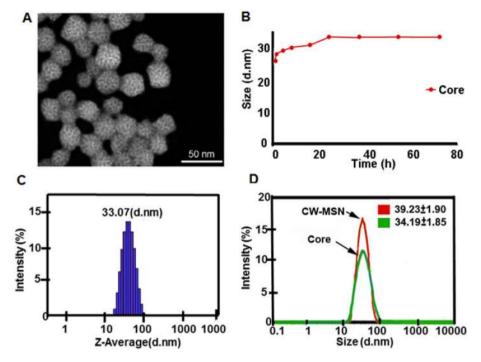
tissues^{32–37}. MSOT, on the other hand, is lacking in optimized dyes that can serve as contrast agents. The majority of the available literature utilizes commercially available NIR dyes, which have sub-optimal physicochemical properties and optoacoustic signal for in vivo use^{38–41}. Multiple families of NIR dyes exist, and fine tuning of the physicochemical and biological properties can be achieved through structural manipulation of the dye scaffolds. Cyanine dyes are a versatile class of chromophore. The cyanine scaffold is comprised of two nitrogen containing heterocycles connected by a conjugated polymethine linker. This scaffold is amenable to modification; the heterocycles, the polyene linker, and the substituents can be manipulated to give rise to appealing optical properties and biological interactions. By manipulating discrete molecular characteristics and investigating the optoacoustic properties of the resulting dyes, effective contrast agents for optoacoustic imaging can be developed.

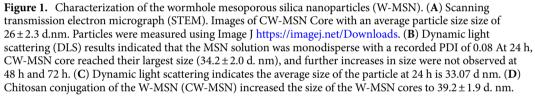
Nanoparticle delivery of contrast agents and therapeutics has gained increased appeal in recent years as novel nanostructures, are developed that display promising properties for clinical use. Mesoporous silica nanoparticles (MSN) have seen use in preclinical imaging studies due to their favorable biocompatibility and modifiable surface topology, which enables them to deliver molecules to tissues of interest⁴². Sequestration of drugs within the pores of silica nanoparticles has been utilized to achieve specific drug delivery of chemotherapeutics, which helps to avoid many deleterious off-target effects associated with those drugs⁴³. In a recently published work, $CaCO_3$ -lentinan and silica nanoparticles were developed with a wormhole pore architecture^{44,45}. It was found that the asymmetric nature of the pores gave rise to improved drug release kinetics in vivo. Specifically, the larger surface area allows for increased adsorption of cargo when compared to hexagonally ordered pores⁴⁶, leading to highly controllable cargo retention and release compared to other nanoparticles. Furthermore, the use of silica nanoparticles allows for additional surface functionalization to improve tumor targeting beyond the basic enhanced permeability and retention (EPR) reported for nanoparticles, which only accounts for about 5% of the injected dose reaching the target and about 20-30% improved uptake versus normal organs⁴⁷⁻⁵⁰. With aforementioned benefits including biocompatibility, size, tunability, and high drug loading capacity, MSNs are quickly emerging as clinically viable nanomedicine for delivery of various agents. With that, the work described herein aims to develop an understanding of dye structure in optoacoustic imaging.

From our small library, a lead compound with strong optoacoustic signal has been identified and derivatized in order to produce more effective optoacoustic contrast agents. Throughout the process of preparing derivatives, the structural changes did not destroy the dyes' optoacoustic performances. These dyes were encapsulated within tumor-targeted nanoparticles as potential contrast agents to detect pancreatic tumors in vivo using optoacoustic imaging in mice in real time and in 3D. In this study, we investigate the structural modifications of the dye to promote optimal encapsulation within the nanoparticle and to obtain detectable optoacoustic signal both in vitro and in vivo. Furthermore, our results support the definition of a chemical structure preferred for both in vitro and in vivo optoacoustic imaging studies in a pursuit to translate diagnostic agents to the clinic and address the need for early diagnosis and efficacious treatment of pancreatic cancers.

Results and discussion

As molecular characteristics that give rise to improved optoacoustic signal are poorly defined for small molecule dyes, the development of improved optoacoustic contrast agents was initiated first by screening a large library of pentametine cyanine dyes previously developed in our lab for fluorescence imaging applications (not all compounds shown). This library was prepared starting from commercially available phenylhydrazine derivatives, which undergo Fisher indole synthesis to create substituted indolenine derivatives 1 as outlined in Scheme 1. Alkylation of the indolenine heterocycles 1 with different alkyl halides such as propyl quaternary ammonium, butyl and phenyl propyl halides in toluene under reflux conditions gave the respective the heterocyclic salts 2A-2D. Upon cooling, the reaction mixture to room temperature and subsequent purification via crystallization and co-precipitation with methanol and diethyl ether afforded the heterocyles 2 in good yields. The hygroscopic nature of heterocycles bearing quaternary ammonium moieties allowed us to perform alkylation of compound 2A under nitrogen atmosphere. In addition, precipitation of 2A and 2B, were a tedious, however, careful selection of solvents permitted a successful co-precipitation of these two compounds using methanol and acetone. As shown in Scheme 1, the final dyes 3A-3D are pentamethine dyes and this require the use of the required pentamethine linker to efficiently synthesize them. Though the procedure to the synthesis of these scaffolds seems ubiquitous, development of the require conditions for their synthesis seems challenging, especially small molecules with net positive charges. To develop a facile and efficient approach in the synthesis of the final dyes, compound 3A was obtained via condensation of the respective salt 2A with malonaldehyde bisphenylamine salts in acetic anhydride under nitrogen condition to generate the final dye 3A. UV-vis-NIR spectroscopy and TLC monitored the reaction progress. Upon consumption of the starting materials, the reaction mixture was allowed





to cool to room temperature and diethyl ether was added to induce precipitation. The precipitate was collected by vacuum filtration. The crude solid was purified by recrystallization from methanol/acetone to yield the final dye **3A**. From that initial library, compound **3A** (Scheme 1), which contains the quaternary ammonium moieties of the nitrogen atom of the heterocyclic ring and methoxy groups at the 5 position of the benzene ring of the heterocyclic was identified as a promising lead due to its appealing optoacoustic properties.

The dyes were loaded into mesoporous silica nanoparticles (MSN). The asymetric wormhole mesoporous silica particles (W-MSN) were synthesized by a Sol–gel method and triethanolamine (TEA) was added to the mixture to result in asymetric wormhole pores^{51–53}. The wormhole pores can encapsulate more cargo and release cargo at a slower rate than related hexagonal structures synthesized by a similar process⁴². Although a detailed mechanism of the growth formation of wormhole pores of W-MSN is unknown, it is hypothesized that complexing tetramethyl orthosilicate (TMOS) with TEA forms silatrane complexes under anhydrous conditions at elevated temperatures and limits the growth and aggregation of particles^{52,54}. In contrast to many wormhole pore-based MSN particles, the present W-MSN have a smaller diameter (~ 33 nm) than the traditional > 50 nm nanoparticle; this should facilitate increased tumor uptake due to the smaller size. In order to achieve a smaller spherical mesoporous silica particle with wormhole pores, the procedure has key features including the use of TMOS instead tetraethyl orthosilicate (TEOS) and tetrapropyl orthosilicate (TPOS) as well as use of the polyalcohol base TEA as a substitute for NaOH or NH₄OH (Fig. 1). This method creates a monodisperse (PDI < 1) population of MSNs. Following a series of acid and water dialysis, zeta potential of the solution is neutralized and particles are stable at room temperature for several months.

Initial experiments to encapsulate dye **3A** in silica nanoparticles revealed a weakness in the compound; while it gave a good optoacoustic signal, the high water solubility of **3A** led to leaching from the nanoparticles into the supernatant solution. Despite the appealing properties of the free dye, nanoparticles prepared from the lead compound lacked signal due to the poor retention of the dye. To overcome this issue, another derivatives **3B-3D** (Scheme 1) were prepared, which were increasingly hydrophobic. From the initial lead compound, quaternary ammonium groups were replaced with butyl groups to give dye **3B**. Similar replacement with a propyl phenyl groups gave compound **3C**. Lastly, removal of the heterocyclic methoxy groups of **3C** and the addition of a lipophilic *meso* chlorine atoms produced a new pentamethine cyanine compound **3D**.

Compound **3D** showed an improved optoacoustic property for the in vivo imaging due its hydrophobicity as well as the addition of chlorine atom to establish the well-established concept of heavy atom effect. As shown in Fig. 2, the prepared compounds share similar optoacoustic spectral responses to initial lead **3A**. The MSOT spectra of **3A-D** fall between those of the two FDA approved NIR dyes, methylene blue (MB) and indocyanine green (ICG). Unlike MB, which sees a dramatic drop in signal after 700 nm, the signal from our new dyes extends

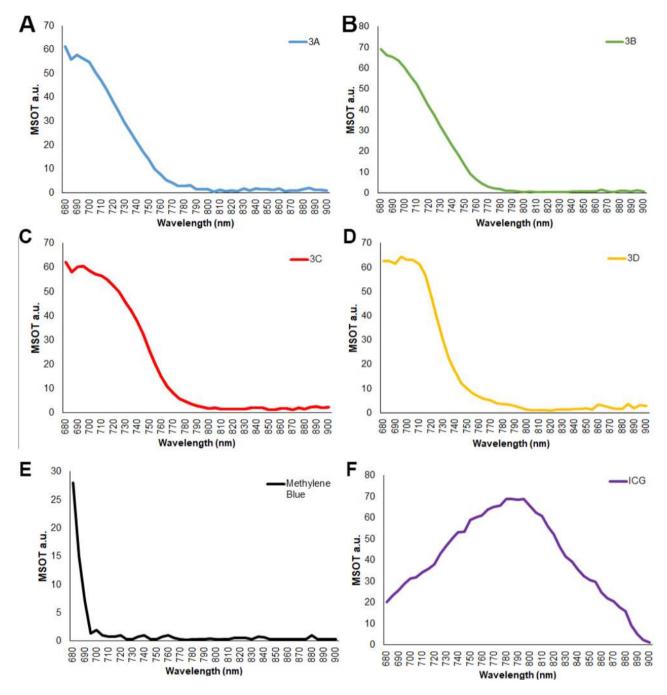


Figure 2. MSOT signal strength/dye concentration for the contrast agents **3A**-D and commercial dyes. At 1 mg/mL, each dye was evaluated in the tissue phantom using the MSOT system. The phantoms were scanned at 680 nm through 900 nm at 5 nm wavelength intervals. The graphs shown are raw MSOT data.

much farther into the NIR region. Standard curves of **3A** and **3D** with concentration corresponding to loaded dye is found in Fig. 1S.

Retention of the dyes as measured by the change in absorbance of the supernatant after encapsulation improved as hydrophobicity increased (Table 1). Specifically, following dye encapsulation, the absorbance of the supernatant was measured. Higher signal in the supernatant was indicative of dye in solution and thus, less dye retention. With each modification, the retention of the dye in the nanoparticle improved, with only 9% of compound **3D** leaching out of the nanoparticles. Therefore, Compound **3D** was selected as our new lead compound, and compound **3A** used as the negative control in our study.

Chitosan capping of the nanoparticles is designed to release the nanoparticle cargo in the mildly acidic extracellular matrix of the tumor. To explore the kinetics of pH dependent dye release, the nanoparticles loaded with dye **3A** or **3D** were washed with water until no absorption corresponding to the dye on UV–vis-NIR was detectable. The absorbance of the supernatant was monitored over time at pH values of 7.4 and 6.6 to mimic

Compound	Retention (%)
3A	25
3B	58
3C	65
3D	91

Table 1. Dye retention in silica nanoparticle vehicles.

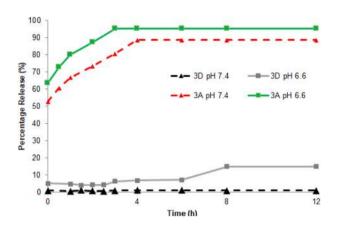


Figure 3. Compounds **3A** and **3D** release from nanoparticles at different pH values. Release is reported as a percentage (%) release compared to total fluorescence at the time of sample preparation prior to t=0 h. Compound **3A** loaded nanoparticles show high initial dye release of 53% and 63% for pH 7.4 and 6.6, respectively, at t=0 h. Release of compound **3A** increased (t=0 h to t=4 h) to and plateaued (t=4 h to t=12 h) at 89% and 95% for pH 7.4 and 6.6, respectively. Compound **3D** loaded nanoparticles released far less dye overall from t=0 h to t=12 h. Compound **3D** showed no release at pH 7.4, 0.9% at t=0 h to 1.0% at t=12 h, and a modest release of 5.2% to 6.9% at pH 6.6 t=0 h to t=4 h increasing to only 15% by t=12 h.

normal physiological pH and tumor pH respectively. In the release study shown in Fig. 3, compound **3A**, with permanently charged trimethylammonium groups on the pendant alkyl chains, showed excessive leaching of the dye from the nanoparticles at pH 6.6. Even at a normal physiological pH of 7.4, compound **3A** shows rapid release from the nanoparticles. Dye **3D**, the most hydrophobic of the compounds, showed limited release from the nanoparticles, even at a low pH.

To enable efficient trafficking of the nanoparticles to tumors, beyond the limited accumulation achieved by the EPR effect, the dye-loaded CW-MSN were surface functionalized with the V7 pH-low insertion peptide. In normal physiological conditions, the peptide does not interact with cells; however, tumor acidosis leads to protonation and folding of the peptide, forming a transmembrane alpha helix, which anchors it to the tumor cell membrane. Characterization of in vivo compound 3A V7-CW-MSN and compound 3D V7-CW-MSN, tumor accumulation was performed using MSOT imaging on 10 athymic mice bearing orthotopic pancreatic tumors of the cell line S2VP10. Note that S2VP10 cells contain a firefly luciferase and are not red shifted. Red-shifted S2VP10s would have an intrinsic fluorescent signal around 615 nm, adequate for photoacoustic microscopy but not for MSOT imaging^{55,56}. Figure 5, presents a frontal view of transverse serial slices of the whole mouse body in 0.5 mm incremented step for mice injected with either compound 3A V7-CW-MSN or compound 3D V7-CW-MSN (juxtaposed). The cross-sectional view optoacoustic imaging of tissue mimicking intra-lipid-agar phantoms containing dye 3A, and 3A encapsulated in CW-MSN (3A CW-MSN), dye 3D, and 3D encapsulated in CW-MSN (3D CW-MSN) is presented in Fig. 4. A more complete comparison of 3A and 3D optoacoustic signal corresponding to OD is shown in Fig. 2S. The two free dye 3A and 3D controls show similar signal. The signal of **3A** CW-MSN is very weak compared to **3A** only. The dye leaching of **3A** observed during preparation of particles explains the absence of optoacoustic signal in 3A CW-MSN in tissue phantoms. The optoacoustic signal of **3D** CW-MSN, however, was higher than its dye only analogue and considerably higher than that of **3A** CW-MSN. This result substantiates the proposed improved hydrophobicity for nanoparticle loading of **3D** over 3A. The improved signal from 3D CW-MSN over the free dye 3D may originate from dye aggregation inside the nanoparticles. While aggregation-based quenching is undesirable for fluorescence applications, it has been shown to improve the optoacoustic performance of similar dye scaffolds⁵⁷.

As shown in Fig. 5, higher mean signal per cross section was used as an indicator of tumor binding. Compound **3A** V7-CW-MSN showed low pancreatic tumor accumulation with slightly higher uptake in the liver and spleen. Concentration of optoacoustic signal was observed for **3A** V7-CW-MSN in the major blood vessels. Due to this low concentration, deoxy-hemoglobin was used for tumor segregation in compound **3A** V7-CW-MSN treated animals. Deoxy-hemoglobin MSOT images are shown in Fig. 3S. Given the early time point of

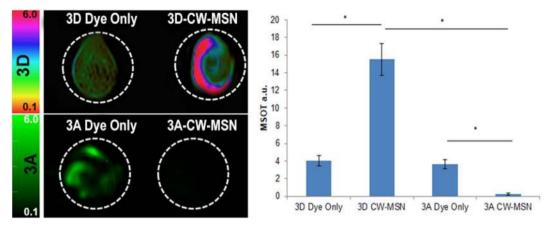


Figure 4. Optoacoustic imaging of tissue mimicking phantoms containing compounds **3D** (top) and **3A** (bottom) both as free dye (1 mM) (left) and as dye encapsulated in CW-MSN (0.1 OD) (right). Different colorbar scales are used to denote different dyes (HSB_HSL for compound **3D** and green for compound **3A**). Dyes **3A** and **3D** only controls showed similar MSOT signal strengths of 3.6 a.u. and 4.0 a.u., respectively. The optoacoustic signal presented by **3A** CW-MSN was significantly lower (0.23 a.u.) than that of **3A** dye only. An opposite trend was observed for comparison the optoacoustic signal of **3D** dye (4.0 a.u. signal) and **3A** CW-MSN (0.23 X signal) p < 0.05. The retention of **3D** within the CW-MSN is likely due to the hydrophobic nature of the dye in comparison to the more hydrophilic **3A** dye.

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imaging (4 h), this low concentration suggests that, in accordance with earlier results for both dye loading and tissue-mimicking phantoms, dye leaching of **3A** out of V7-CW-MSN occurred during sample preparation for mouse injection. **3D** dye again presents an oppositely situated case; MSOT imaging (4 h post-injection) of mice injected with **3D** V7-CW-MSN showed much higher major blood vessel optoacoustic signal than **3A** V7-CW-MSN as well as higher signal accumulation in the pancreatic tumor without the need for deoxy-hemoglobin segregation. Whereas off-target accumulation appeared higher, compared to pancreatic tumor signal accumulation for **3A** V7-CW-MSN showed higher signal accumulation in the pancreatic tumor accumulation regardless of dye used, as shown in Fig. 4S.

Conclusion

As optoacoustic imaging moves into clinical practice, there will be a need for contrast agents with strong signal and good biocompatibility. Organic dyes have revolutionized the potential of fluorescence imaging and are now poised to do the same for optoacoustic imaging; however, the underlying correlations between molecular structure and optoacoustic signal must be clearly defined. The series of pentamethine cyanine dyes discussed herein were prepared with the two-fold goal of having strong optoacoustic signal and sufficient retention in tumor-targeting nanoparticles for in vivo pancreatic tumor imaging. While all four compounds showed strong optoacoustic signal, the first of them, **3A**, proved too soluble in aqueous media and leached from the nanoparticles during preparation. With each successive modification to increase hydrophobicity, the retention improved. Hydrophobic dye with chlorine atom at the meso carbon **3D** showed the greatest retention in nano-vehicles for imaging and was retained even in acidic media. In both phantom and animal imaging studies, **3D** loaded nanoparticles gave superior signal, and, in in vivo imaging of orthotopic pancreatic tumors, clear foci were visible in the animals' abdomens.

From this study, we do acknowledge the limitations of our tested probes **3A-3D** concerning their short wavelengths. For our future work, we will use our knowledge we have gained from developing the pentamethine library dyes (Cy5) and focus our efforts on designing the ideal heptamethine dyes (Cy7) for optoacoustic imaging.

Experimental

Chemicals and methods. All chemical reagents and solvents were purchased from Sigma Aldrich (Saint Louis, MO) and were used without further purification. Reaction progress was monitored on silica gel 60 F_{254} thin layer chromatography plates (Merck EMD Millipore, Darmstadt, Germany) NMR spectra were recorded on a Bruker Avance 400 MHz spectrophotometer in deuterated solvents purchased from Cambridge Isotope Labs (Andover, MA). All chemical shifts were recorded in parts per million (ppm) relative to the residual solvent. Signals are labelled as follows: s (singlet), d (doublet), t (triplet) m (multiplet) brs (broad signal) and coupling constants (*J*) are measured in Hertz (Hz). Mass spectra were performed at the Georgia State University Mass Spectrometry Facility using a Waters Q-TOF micro (ESI-Q-TOF) mass spectrometer ora Waters Micromass LCT TOF ES + Premier mass spectrometer. Melting points were recorded on a Mel-Temp apparatus and are not corrected. V7 pHLIP was synthesized by and purchased from CS Bio Company (Menlo Park, CA, USA).

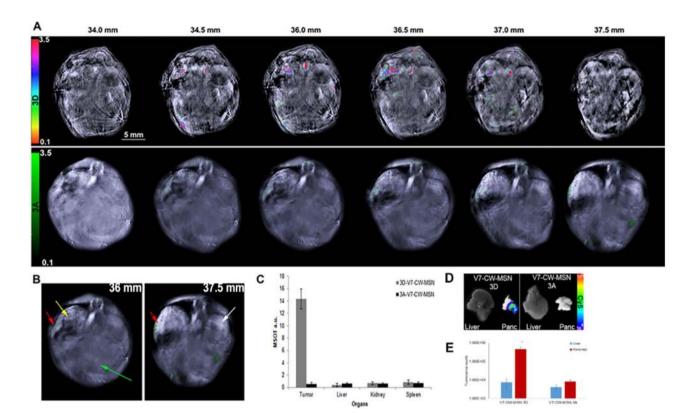


Figure 5. Serial slices (transverse) showing nanoparticle accumulation in orthotopic pancreatic tumor bearing athymic mice. Mice were IV tail-vein injected with 100 μ L of V7-CW-MSN at a concentration of 5 μ g/mL loaded with either 3A or 3D. The concentration of dye was balanced via an OD of 0.1 dye encapsulated within the V7-CW-MSN. 0.1 OD corresponds to a dye 3A concentration of 150 µg/mL (132 million 3A molecules per particle) and a 3D concentration of 17.5 µg/mL (18 million 3D molecules per particle). Injected mice were imaged at an early time point of 4 h post-injection. Serial cross section of mice injected with 3A V7-CW-MSN (bottom) or 3D V7-CW-MSN (top) are presented together for comparison. Mean tumor size was 3 mm. (A) This image shows serial slices of mice injected with 3A V7-CW-MSN (bottom) or 3D V7-CW-MSN (top) as observed using MSOT. Differences in the background images can be attributed to mouse variation, where adjustments in the background image intensity need to be made in order to better visualize the blood vessels. In this case, the mouse imaged with 3A is much girthier than the mouse imaged with 3D. (B) Organs are identified by arrows: pancreas tumor (yellow), liver (green), spleen (red), kidney (white). (C) 3A V7-CW-MSN optoacoustic signal was low overall and predominantly accumulated in the spleen (0.72 a.u.) with lower signal in the liver (0.61 a.u.), tumor (0.52 a.u.), and kidney (0.62 a.u.). 3D V7-CW-MSN optoacoustic signal preferentially accumulated in the pancreatic tumor (14.3 a.u.), with lower signal corresponding to off-target accumulation for liver (0.42 a.u.), kidney (0.65 a.u.), and spleen (0.81 a.u.). Significant disparity in optoacoustic signal in the major blood vessels for 3A V7-CW-MSN (0.98 a.u.) and 3D V7-CW-MSN (12.1 a.u.) also appeared. (D) Ex vivo images of the liver and pancreas tumor confirmed signals using NIR fluorescence imaging. (E) Quantification of fluorescence signals from liver and pancreas. V7 CW-MSN-3D had significantly higher signal within the pancreas tumor (4.57E+05 counts) compared to V7 CW-MSN-3A (8.36E+03 counts) p > 0.0001.

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Dulbecco's Modified Eagle's Medium (DMEM), L-glutamine and Roswell Park Memorial Institute (RPMI 1640) medium were purchased from Life Technologies (New York, NY, USA) and dissolved in autoclaved PBS.

All methods were carried out in accordance with relevant guidelines and regulations of the *Scientific Report Journal*. The new compounds were synthesized and purified as outlined below. The purity of all synthesized compounds **3A-D** was confirmed with ¹HNMR, ¹³CNMR and ESI-HRMS. These analytical methods used to determine the purity of compounds to be \geq 95%.

Synthesis of indolenine heterocycle. *5-methoxy-2,3,3-trimethyl indolenine*—**1a** was prepared as previously described and used without further purification⁵⁸.

Synthesis of heterocyclic salts. 5-methoxy-2,3,3-trimethyl-1-(3-(trimethylammonio)propyl)-3H-indolium bromide—**2a** was prepared as previously described⁵⁹.

1-butyl-5-methoxy-2,3,3-trimethyl-3H-indol-1-ium iodide—2b was prepared as previously described⁶⁰.

5-methoxy-2,3,3-trimethyl-1-(3-phenylpropyl)-3H-indol-1-ium bromide—2c was prepared using the same procedure used to prepare 2d.

2,3,3-trimethyl-1-(3-phenylpropyl)-3H-indol-1-ium bromide—2d was prepared as previously described⁶¹.

Synthesis of polymethine linker. *N*-((*1E,2Z*)-2-*chloro-3-(phenylamino)allylidene) benzenaminium chloride*—4 was prepared as previously described⁶².

Synthesis of dyes 3A through 3D. The final dyes were prepared as previously described⁵⁹. Briefly, indolium salts **2** (2 mol eq), sodium acetate (2 mol eq) and polymethine linker (malonaldehyde bisphenylamine hydrochloride its chloro derivative) (1 mol eq) were added to a dry, nitrogen flushed round bottom flask equipped with a stir bar. Acetic anhydride (5 ml) was added and the mixture was heated to 60 °C and stirred vigorously. Reaction progress was monitored by UV–vis spectroscopy and TLC. Upon consumption of the starting materials, the reaction flask was allowed to cool to room temperature and diethyl ether was added to induce precipitation. The precipitate was collected by vacuum filtration. The crude solid was purified by recrystallization from methanol/acetone or methanol/ether to give the pure final dyes. Following dye synthesis, 1 mM of each 3A-3D was added into a 1.3%w/w of agar and 6% v/v intralipid tissue-mimicking phantom to acquire spectral signatures with MSOT.

5-methoxy-2-((1E,3E)-5-((E)-5-methoxy-3,3-dimethyl-1-(3-(trimethylammonio)propyl)indolin-2-ylidene)penta-1,3-dien-1-yl)-3,3-dimethyl-1-(3-(trimethylammonio)propyl)-3H-indol-1-ium bromide (**3A**)—Blue solid; Yield 62%; m.p. 235–237 °C; ¹H NMR (400 MHz, MeOD) δ (ppm): 8.20 (t, *J* = 13.2 Hz, 2H), 7.42 (d, *J* = 8.8 Hz, 2 H), 7.12 (d, *J* = 2.4 Hz, 2H), 6.98 (m, 2H), 6.50 (d, *J* = 13.6 Hz, 2H), 4.25 (t, *J* = 7.6 Hz, 4H), 3.79 (m, 4H), 3.26 (s, 18H), 2.32 (br s, 4H), 1.74 (s, 12H); ¹³C NMR (100 MHz, MeOD) δ (ppm): 173.7, 159.9, 154.6, 144.3, 136.7, 127.5, 114.7, 112.7, 110.2, 104.6, 64.5, 56.5, 53.9, 28.1, 22.4; High-resolution ESI accurate mass spectra calculated m/3z for [C₃₉H₅₉N₄O₃]³⁺ 205.1541 found 205.1466. Also the m/z signal at 556.2771 is due to the loss of four -CH₃ groups.

1-butyl-2-((1E,3E)-5((E)-1-butyl-5-methoxy-3,3-dimethylindolin-2-ylidene)penta-1,3-dien-1-yl)-5-methoxy-3,3-dimethyl-3H-indol-1-ium iodide (**3B**)—Blue solid; Yield 58%; m.p. 234 °C; ¹H NMR (400 MHz, CDCl₃) **δ** (ppm): 8.04 (t, *J* = 13.1 Hz, 2H), 7.00 (m, 4H), 6.89–6.87 (m, 2H), 6.70 (t, *J* = 12.5 Hz, 1H), 6.18 (d, *J* = 13.64 Hz, 2H), 4.02 (t, *J* = 7.4 Hz, 4H), 3.86 (s, 6H), 1.77 (brs, 16H), 1.48 (q, *J* = 7.6 Hz, 4H), 1.00 (t, *J* = 7.3 Hz, 6H); ¹³C NMR (100 MHz, MeOD) **δ** (ppm): 171.6, 157.5, 152.6, 142.9, 135.6, 128.6, 113.6, 111.7, 109.1, 102.6, 55.9, 49.1, 43.3, 29.2, 27.2, 19.5, 13.8; High-resolution ESI accurate mass spectra calculated m/z for $[C_{45}H_{51}N_2O_2]^+$ 527.3632 found 528.9456.

5-methoxy-2-((1E,3E)-5-((E)-5-methoxy-3,3-dimethyl-1-(3-phenylpropyl)indolin-2-ylidene)penta-1,3-dien-1yl)-3,3-dimethyl-1-(3-phenylpropyl)-3H-indol-1-ium bromide (**3C**)—Blue solid; Yield 18%; m.p. 232 °C; ¹H NMR (400 MHz, CDCl₃) δ (ppm): 8.20 (t, *J* = 12.8 Hz, 2H), 7.35–7.31 (m, 4H), 7.26 (brs [overlaps with solvent] 5H), 6.91 (s, 2H), 6.81–6.71 (m, 4H), 6.61 (t, *J* = 12.2 Hz, 1H), 6.18 (d, *J* = 13.5 Hz, 2H), 4.04 (brs, 4H), 3.83 (s, 6H), 2.83 (t, *J* = 7.2 Hz, 4H), 2.13 (brs, 4H), 1.76 (s, 12H); ¹³C NMR (100 MHz, MeOD) δ (ppm): 171.4, 157.4, 152.5, 142.7, 140.9, 135.4, 128.3, 128.1, 126.0, 124.5, 114.4, 113.4, 111.5, 109.0 102.4, 55.7, 55.4, 4.0, 42.9, 31.9, 28.6, 27.0; High-resolution ESI accurate mass spectra calculated m/z for [C₄₅H₅₁N₂O₂]⁺ 651.3945 found 651.1632.

2-((IE,3Z)-3-chloro-5-((E)-3,3-dimethyl-1-(3-phenylpropyl)indolin-2-ylidene)penta-1,3-dien-1-yl)-3,3-dimethyl-1-(3-phenylpropyl)-3H-indol-1-ium bromide (**3D**)—Blue solid; Yield 53%; m.p.125–127 °C; ¹H NMR (400 MHz, DMSO- d_6) **\delta** (ppm): 8.41 (d, J = 13.6 Hz, 2H), 7.66 (d, J = 7.6 Hz, 2H), 7.45–7.41 (m, 4H), 7.34–7.22 (m, 12H), 6.20 (d, J = 13.2, 2H), 4.18 (t, J = 7.2 Hz, 4H), 2.79 (t, J = 7.2 Hz, 4H), 2.10–2.07 (m, 4H), 1.71 (s, 12H); ¹³C NMR (100 MHz, DMSO- d_6) **\delta** (ppm): 174.6, 147.9, 142.2, 141.9, 141.1, 128.9, 128.9, 128.6, 126.5, 126.0, 123.0, 122.8, 112.1, 100.2, 49.9, 44.0, 32.6, 28.6, 27.2; High-resolution ESI accurate mass spectra calculated m/z for [C₄₃H₄₆ClN₂]⁺ 625.3344 found 625.3369.

Synthesis of mesoporous silica nanoparticles. The mesoporous silica core of the nanoparticle began by mixing methanol (88 mmol/L, 100%), triethanolamine (TEA, 1.75 g/L, \geq 99%), and Milli-Q-water (18.2 M Ω -cm at 25 °C). Cetrimonium bromide (CTAB) (8 g/L) at 80 °C (30 min) with constant stirring. The alkoxide precursor tetramethyl orthosilicate (TMOS 11 mmol/L, \geq 99.0%) was added dropwise to the solution followed by stirring vigorously for 24 h at 80 °C. The excess of the CTAB was removed from the mesoporous silica core using dialysis with a 1:1 ratio of methanol and Milli-Q- water. This resulted in the wormhole pored mesoporous silica (W-MSN) core component of the nanoparticle.

Chitosan capping of the W-MSN Core to result in CW-MSN. A gatekeeping component is required for mesoporous particles to facilitate encapsulation and release of the dye within the mesoporous silica particle. Chitosan (1%) was dissolved in 5% acetic acid (200 mL) using constant stirring for 24 h at 25 °C. The chitosan gatekeeping component was added to the surface of the W-MSN core particles by initially dispersing the W-MSN in ethanol and separating them using ultrasonication (3 min). Next, the solution pH was decreased from pH 3.8 to 3.5 with acetic acid and the 0.1 g of 3-glycidoxypropylmethyldie-thoxysilane (GPTMS) was added to the solution, which was stirred for 3 h to result in a reactive surface of the W-MSN core. The chitosan solution (1%) was added to the reactive W-MSN core at 25 °C with constant stirring for 24 h resulting in CW-MSN. The CW-MSN particles were washed with ethanol (70%) and stored at 4 °C.

CW-MSN conjugation with the V7 peptide (V7-CW-MSN). The CW-MSNs were functionalized by adding 400 μ M SMCC (succinimidyl 4-(N-maleimidomethyl) cyclohexane-1-carboxylate) (Sigma-Aldrich, St. Louis, MO, USA) to 10 mg/2 mL and stirred for 10 min. Following functionalization, V7 pHLIP peptide (456 μ M) was added to the functionalized CW-MSN with constant stirring for 16 h. The V7 pHLIP was synthesized by CS Bio with a sequence of ACEEQNPWARY LEWLFPTETLLLEL (Menlo Park, CA, USA). Following V7 conjugation, the solution is used immediately as stability complications are likely outside 24–48 h.

Characterization of the CW-MSN Core. The CW-MSN Core was characterized using Dynamic Light Scattering and transmission electron microscopy. The Dynamic Light Scattering (DLS) was conducted using a Zetasizer Nano ZS (Malvern Instruments, UK) with a 5 mW HeNe laser at 633 nm wavelength. The synthesized TMOS-based particles were suspended in Milli-Q water (1.5 mL/L of synthesis). The ultrapure water preserved at room temperature was used in the data analysis with a refractive index of 1.33 and viscosity 0.89 in automatic mode using the 6.0 Zetasizer Nano software. The morphological characterization of the W-MSN was performed with transmission electron microscopy (TEM). Morphological characteristics such as average size and shape were qualitatively analyzed with a Zeiss Supra 35VP electron microscope (Zeiss, Pleasanton, CA, USA). W-MSN were analyzed by placing 10 μ L of the sample on a SEM studs and, before viewing, wick dried using filter paper. The average pore size of the W-MSN were calculated based on analysis of 26 CW-MSN Core particles using the Image J software (https://imagej.net/Downloads).

Media preparation for the in vitro nanoparticle assays. To evaluate the pH specificity of the nanoparticles in vitro, a phosphate buffered, pH specific media was created that was independent of bicarbonate buffering. A 25 mM phosphate buffer was prepared at pHs 7.4 or 6.6 by dissolving sodium phosphate monobasic and dibasic solution dissolved in distilled water (Sigma-Aldrich, St. Louis, MO, USA). The phosphate buffer was sterilized using an autoclave. This phosphate buffer was the base of both the pH specific media and the washing solution. The pH specific media contained the 25 mM phosphate buffer, 13.6 g of DMEM powder, 10% fetal bovine serum, and 1% L-glutamine and filtered through grade-1 Whatman qualitative filter paper (Sigma-Aldrich, St. Louis, MO, USA). The solution pH was confirmed using a pH meter (Denver Instrument Ultrabasic, Bohemia, NY, USA). The washing solution also contained the same 25 mM pH specific phosphate buffer and included 1% fetal bovine serum. The pH of the solutions was adjusted with sterilized sodium hydroxide (1 M) or hydrochloric acid (1 M) as needed.

In vitro assay to determine the ability of CW-MSN for entrapping either 3D or 3A as cargo for optoacoustic detection. Either 0.1 mM of dye or 0.1 OD of dyes (150 µg/mL 3D or 17.5 µg/mL 3A) within the CW-MSN nanoparticles were added to the agar gel-based tissue mimicking phantoms as in previous studies^{25,60}. Tissue mimicking phantoms were prepared from 1.3%w/w of agar and 6% v/v intralipid added to DI water which results in creating a gel with a reduced light scattering coefficient of $\mu = 10 \text{ cm}^{-1}$. 3D dye only, 3D CW-MSN, 3A dye only, and 3A CW-MSN were added to the 3 mm diameter cylindrical opening in the tissue phantoms. All samples were evaluated at multiple wavelengths (680, 710, 730, 740, 760, 760, 770, 780, 800, 850 and 900 nm). At least 20 average readings were taken during the analysis at each wavelength. Quantitative measurements were conducted using the Region of Interest method using ViewMSOT software version 3.8 **p < 0.01.

Orthotopic implantation of Pancreatic Cancer Cells within athymic mice. Four-week old female athymic mice 4 weeks of age were purchased from Envigo (Envigo Laboratories, Indianapolis, IN, USA) and study approved by Wake Forest University Institutional Animal Care and Use Committee (IACUC). To reduce potential imaging background, mice were fed 2920X alfalfa free-rodent diet (Envigo Laboratories, Indianapolis, IN, USA). Mice were orthotopically implanted with S2VP10L pancreatic cancer cells as previously described⁶³. S2VP10L cells contain traditional firefly luciferase, and are not red shifted. For the surgical procedure, mice were anesthetized with isoflurane at 1.5% in 1 L O₂ and betadine was used to disinfect the skin over the abdomen. An incision into the left upper quadrant allowed for identification of the spleen and pancreas. A solution of 5×10^5 S2VP10L cells/30 µL of serum-free DMEM media was injected into the pancreas using a 28G syringe. To prevent leakage of the tumor cells, a sterile cotton tipped applicator was held over the injection site for 30 s. The pancreas and spleen were returned to the abdomen and the wound was closed in a single layer using 5–0 nylon sutures. Mice recovered underneath a warming blanket and were returned to their cages.

Tumor monitoring with bioluminescence imaging. To evaluate potential leakage of cells from orthotopic implantation, bioluminescence imaging was performed immediately following surgery with the advanced molecular imager instrument (AMI) (Spectral Imaging Instruments, Tucson, AZ, USA). Mice were imaged 10 min after IP injection of 2.5 mg luciferin. Any showing signs of peritoneal leakage were excluded from further study. After 5 days, the sutures were removed to prevent artefacts in future imaging studies. Tumor size was followed daily with bioluminescence imaging. At day 7 post-implant, mice bearing S2VP10L were sorted based upon tumor signal so that each group had equal group mean signal based upon bioluminescence signal to evaluate **3D** V7-CW-MSN and **3A** V7-CW-MSN for in vivo imaging using MSOT.

Evaluation of 3D and 3AV7-CW-MSN accumulation in vivo assessed using multispectral optoacoustic tomography. Detection of **3D** V7-CW-MSN and **3A** V7-CW-MSN biodistribution within orthotopic pancreatic xenografts was performed using the inVision-512 echo multispectral optoacoustic tomography system containing ViewMSOT version 3.8 software (iThera Medical, Munich, Germany)⁴⁵. Anesthesia for the mice consisted of 1.5% isoflurane inhalant delivered in 0.8 L of medical air and 0.1 L of O₂. Anesthetic depth was maintained throughout the image acquisitions. Mice were imaged with the ventral side up in the MSOT animal holder. All mice were imaged using MSOT prior to IV injection of **3D** V7-CW-MSN and **3A** V7-CW-MSN to determine baseline signal. MSOT imaging shows optimal detection at earlier time points^{25,25,50}. MSOT imaging was performed on mice 3 h post IV injection of **3D** V7-CW-MSN and **3A** V7-CW-MSN, using transverse slices with a 0.2 mm step from the liver to the kidney (32–47 mm), at wavelengths of 680, 710, 730, 740, 760, 770, 780, 800, 850, 900 nm. Twenty-five averages per wavelength were utilized to minimize the influence of breathing and animal movement. The acquisition time was 10 μ s per frame. Pancreatic tumors were identified based upon the deoxy-hemoglobin signal in the live-screen multispectral preview in ViewMSOT 3.8⁴⁵.

MSOT image reconstruction and analysis. Raw data obtained with MSOT were reconstructed with multispectral analysis performed as previously described^{20,63}. Spectral analysis was performed at wavelengths corresponding to **3A** or **3D** dye within the nanoparticles and oxy- and deoxy-hemoglobin. Spectra utilized for spectral unmixing of the nanoparticle are located within Fig. 2 with the spectral shape of 3A in blue and 3D in yellow. Both oxy- and deoxy-hemoglobin spectra were utilized as identified in previous publications^{64,65}. Version 3.8 of ViewMSOT software (iThera Medical, Munich, Germany) was used to reconstruct MSOT images from the raw data using a backprojection algorithm at a resolution of 75 µm. Backprojection reconstruction is a very fast, semi-quantitative approach to display the anatomical features of the image, an in-depth description of the algorithm can be found here⁶⁶. The multispectral processing was conducted using linear regression with ViewMSOT 3.8, where known molar absorptivity spectra (e.g., for 3D dye, 3A dye, oxy-, and deoxy-hemoglobin) are used to model the relationship between chromophore concentration and MSOT signal over a range of wavelengths. The approach assumes knowledge about all absorbers present in the imaged tissue in order to correctly attribute contributions from the different wavelengths to the unmixed component images. In order to ensure comparability among data sets, the reconstruction parameters (field of view, speed of sound, pixel size, and the high/ low pass filters) and spectral unmixing parameters were consistently applied to all data. Spectral unmixing was performed in the absence of correction for fluence heterogeneities and attenuation as a function of tissue depth including spectral coloring.

The orthotopic tumors had a similar location and distance from the skin surface from animal to animal, thus, fluence issues would equally affect all animals. The location of orthotopic tumors was identified based upon the presence of deoxy-hemoglobin, upon deoxy-hemoglobin spectra, and upon relative location of the spleen and kidney. In addition, a region of interest (ROI) method was applied to determine signal strength in the tumors, liver, and kidney of both 3D V7-CW-MSN and 3A V7-CW-MSN treated mice using ViewMSOT software and reported as MSOT a.u. The ROI was manually created with an ellipse drawing tool with location of tumor based upon deoxy-hemoglobin component. The deoxy-hemoglobin component was used to provide a basis for tumor segmentation in animals receiving 3A V7-CW-MSN as very little 3A signal was detected (Fig. 5). The ROI area was kept constant at 3.5 mm² for all image slices, thus creating a nonuniform elliptical prism volume of interest (VOI). The mean pixel intensity per cross-section in the VOI for the spectrally unmixed injected agent (compounds 3D and 3A control) was plotted as MSOT signal vs position to assess the signal strength in the tumor. This analysis produced a consistent parabolic shape of signal over distance in the tumor. The maximal "mean signal per cross-section" in the volume was used as a quantitative indicator of probe binding in the tumor. Because optoacoustic signals using the detection geometry of this system are subject to out-of-plane contributions, this method was used to find the center of signal intensity and minimize variability from out-of-plane artefacts. The capacity of this optoacoustic system to deliver semiquantitative data reflective of relative probe accumulation in vivo in murine models using the aforementioned reconstruction and multispectral unmixing methods was previously established^{20,25,49}. The MSOT a.u. values for **3D** V7-CW-MSN and **3A** V7-CW-MSN control were compared using SAS 9.3 (SAS, Cary, NC, USA).

Statistics. In vitro, comparison of signal intensity of **3D** dye and **3A** dye alone and within the nanoparticle was performed with T-test using SAS 9.3 (SAS, Cary, NC, USA). In vivo, the MSOT a.u. values for **3D** V7-CW-MSN and **3A** V7-CW-MSN control were compared using Wilcoxon sum-rank test and ANOVA followed by Tukey post hoc test using SAS 9.3 (SAS, Cary, NC, USA). Significance was observed where p < 0.05.

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Author contributions

M.H. and L.M.: methods development, M.H., L.M., M.L., B.F., W.M., E.B., M.J.: data acquisition, M.H., L.M., M.L., B.F.: writing—original draft preparation, M.H., L.M., M.L., B.F., W.M., E.B., M.J., P.M. and B.B.: writing—review and editing, M.H., L.M., M.L., B.F., W.M., E.B., M.J., P.M. and B.B.: supervision, M.H., and L.M.: funding acquisition, L.M.

Competing interests

The authors declare no competing interests.

Additional information

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