

# Improving Effectiveness of Automated Software Testing in the Absence of Specifications

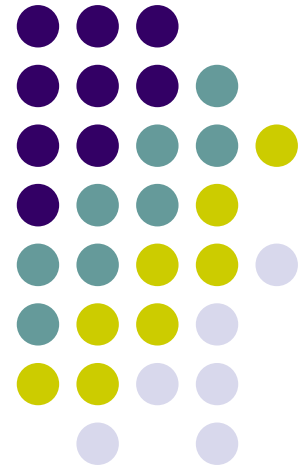
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Tao Xie

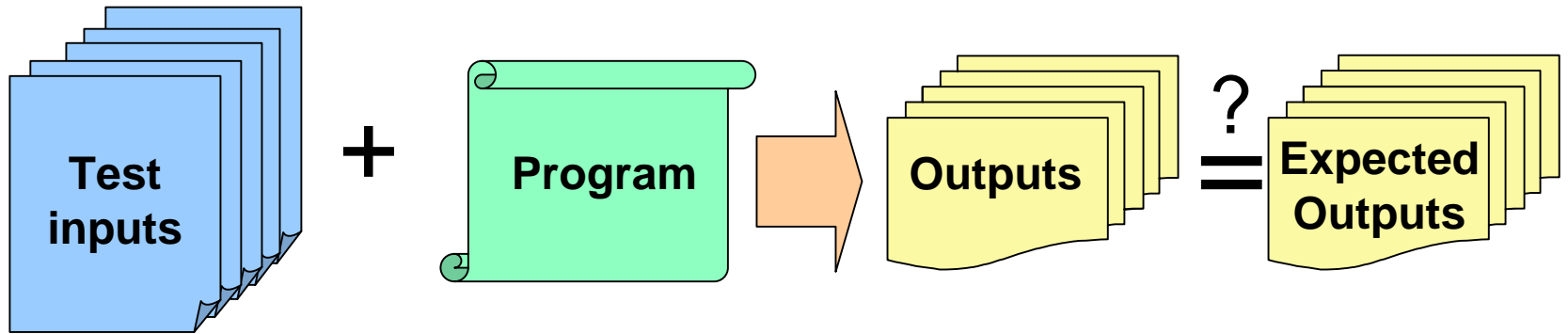
Department of Computer Science  
North Carolina State University, Raleigh

<http://www.csc.ncsu.edu/faculty/xie/>

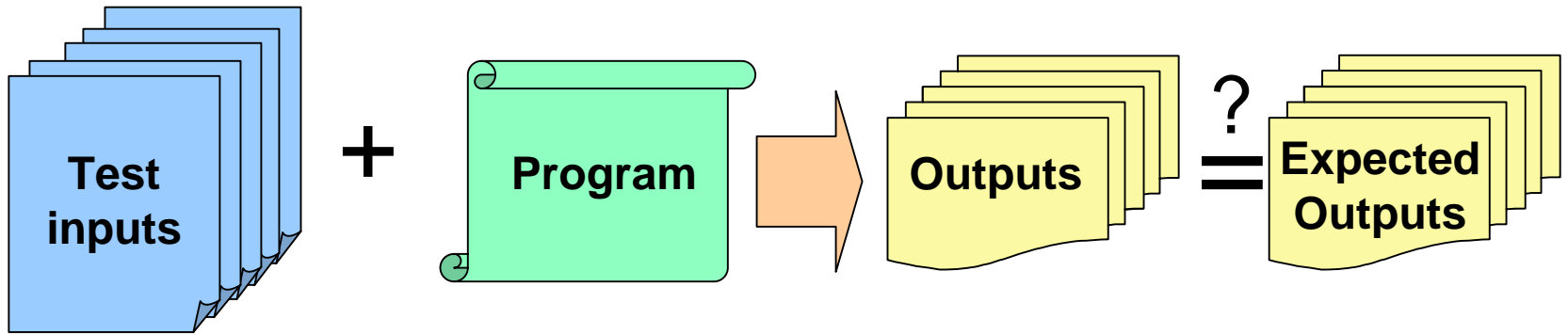
2005 July – Defended Ph.D. Dissertation  
advised by David Notkin  
at Dept. of Computer Science and Engineering  
University of Washington, Seattle



# Testing Setup

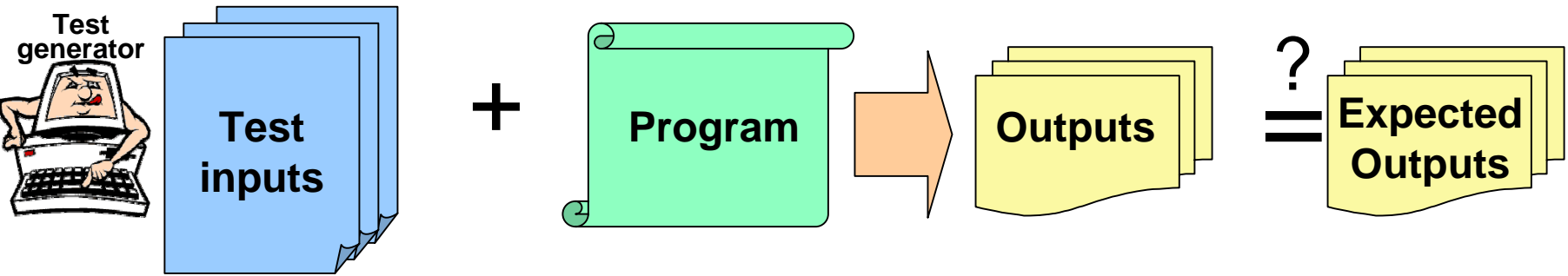


# Problems



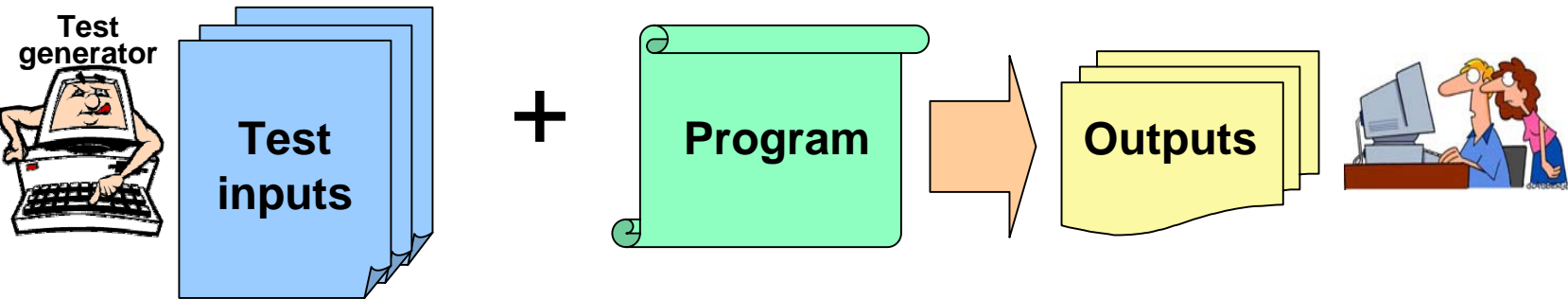
- Are some of those generated tests redundant? [Xie et al. ASE'04]

# Problems



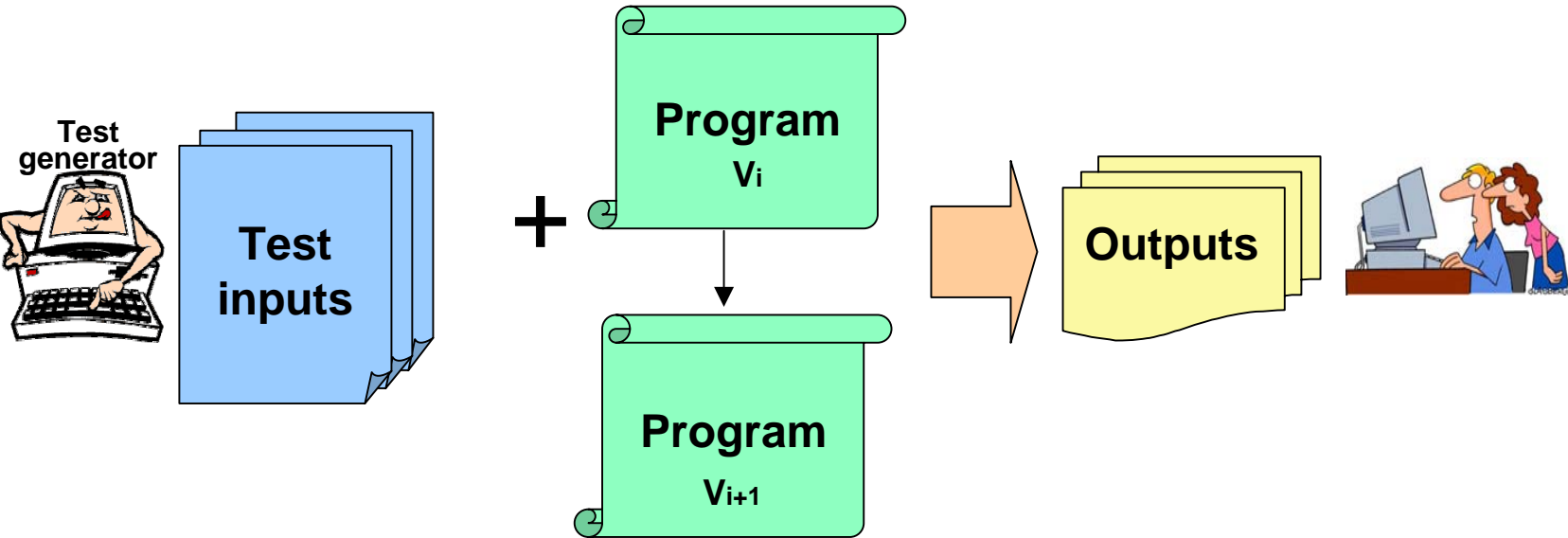
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# Problems



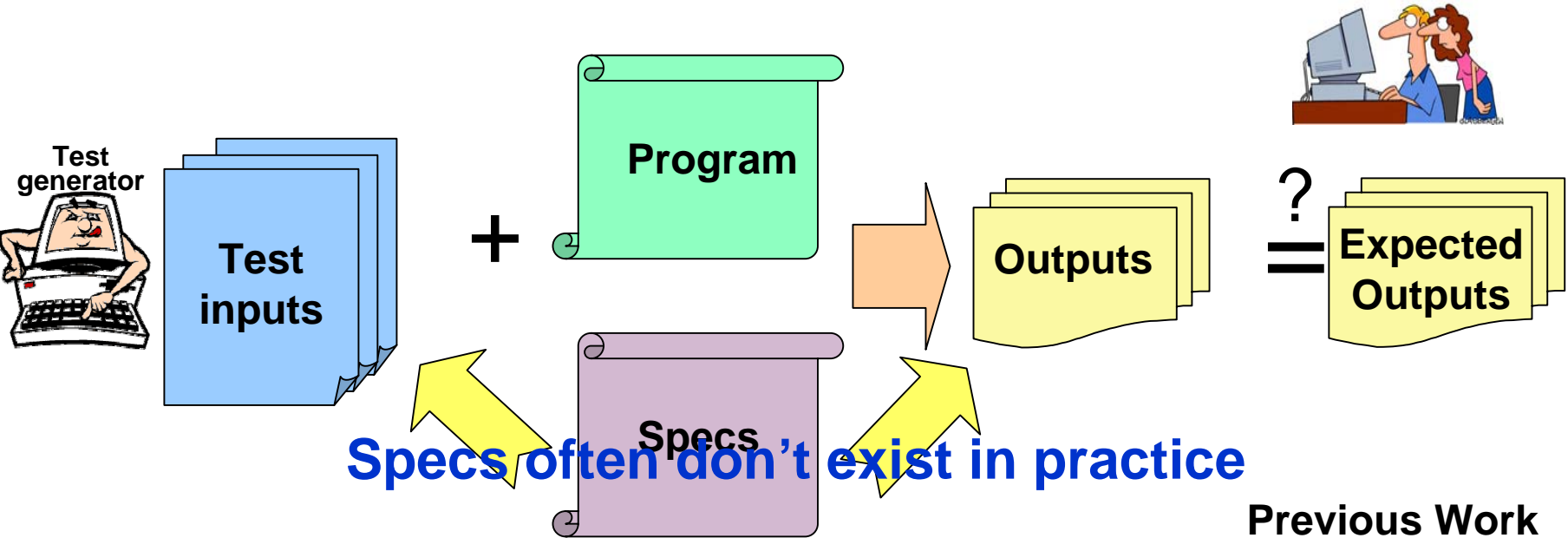
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# Problems



- Are some of those generated tests redundant? [Xie et al. ASE'04]
- How can we generate non-redundant tests? [Xie et al. TACAS'05]
- What if we don't know expected outputs? [Xie&Notkin ASE'03, Xie&Notkin ICFEM'04]
- How can we know changes don't introduce error? [Xie&Notkin ICSM'04]

# Specification-Based Testing

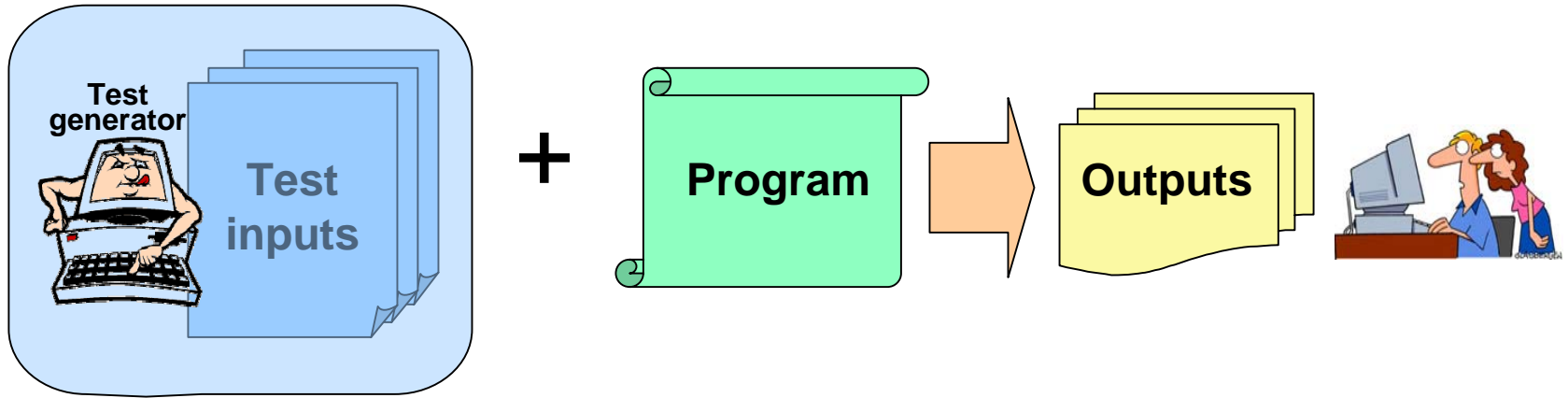


## Previous Work

- Are some of those generated tests redundant?
- How can we generate non-redundant tests?
- What if we don't know expected outputs?
- How can we know changes don't introduce error?

TestEra [Marinov et al. 01],  
Korat [Boyapati et al. 02],  
AsmIT [Grieskamp et al. 02],  
JPF [Visser et al. 04],  
ASTOOT [Doong et al. 94],  
JML [Cheon et al. 02],  
etc.

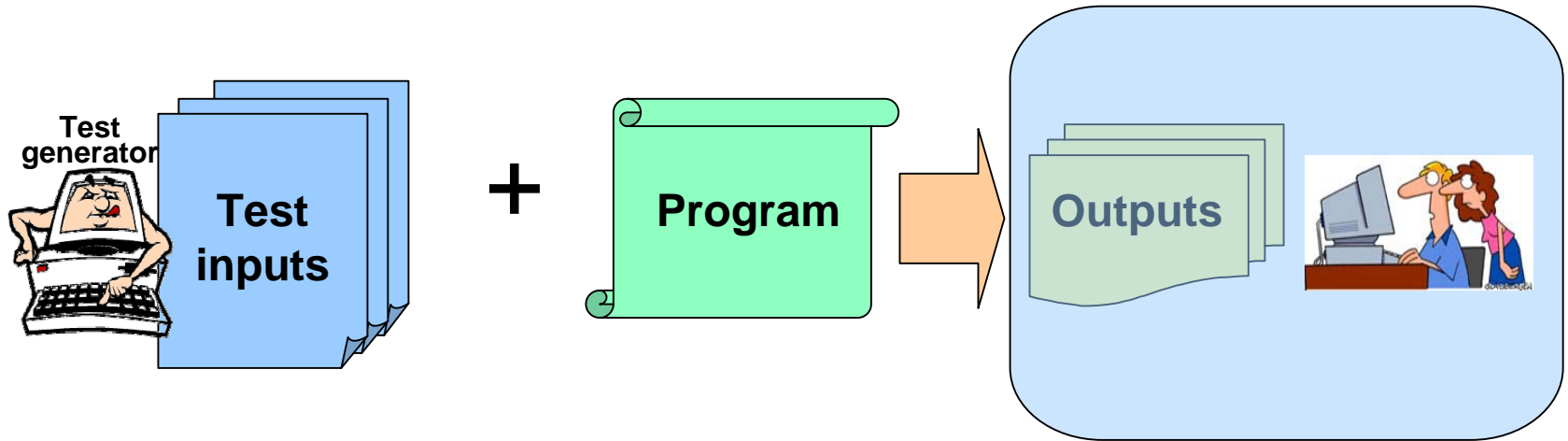
# Contributions



- Effectively invest machine resources
  - detect redundant tests [Xie et al. ASE'04]
  - generate non-redundant tests [Xie et al. TACAS'05]



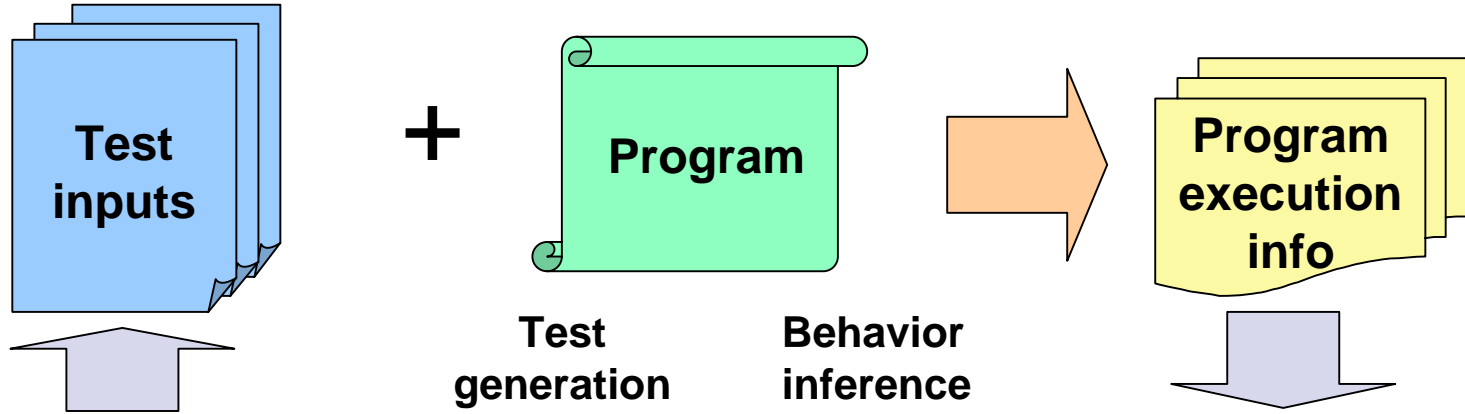
# Contributions



- Effectively invest machine resources
  - detect redundant tests [Xie et al. ASE'04]
  - generate non-redundant tests [Xie et al. TACAS'05]
- Effectively invest human resources
  - select tests for inspection [Xie&Notkin ASE'03/ASEJ'06]
  - summarize tests for inspection [Xie&Notkin ICFEM'04]
  - expose regression faults [Xie&Notkin ICSM'04/TSE'05]

# Contributions

## Framework for Improving Effectiveness of Automated Testing in the Absence of Specifications



**Test generation**

**Behavior inference**

Redundant-test detector

Non-redundant-test generator

Test selector

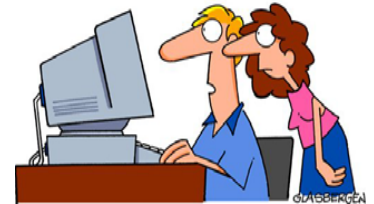
Test abstractor

Program spectra comparator

Test inputs

Existing test generators

Feedback



# Relationships to Industry

- **ASE 03** paper on Jov: test generation/selection based on operational violations (integration of Daikon and Jtest)
  - 12/06/03: Highlighted in testdriver.com newsletter #1
  - 07/23/04: Visited Agitar upon their invitation
  - 07/17/06: Compared in an ISSTA 06 paper by Agitar people
- **ASE 04** paper on Rostra (redundant-test detection): Parasoft Jtest 4.5 generates 90% redundant tests
  - 09/16/04: Received an email from Parasoft VP
  - 11/05/04: Visited Parasoft upon their invitation
- **TACAS 05** paper on test generation using symbolic execution
  - Techniques reimplemented upon JPF by NASA Research people
  - Techniques reimplemented for C# by Microsoft Research people

# Story Behind – Struggling (2000-2002)

09/2000



Reading, Thinking, Proposing, Exploring, ...

Dynamic call graph extractors, code  
Instrumentation, etc.

2001  
SE Research  
Links

Summer 2001  
intern



Avaya Labs Research supervised by Dave  
Weiss on SPL, processes, workflows

Regression test prioritization w/ Daikon invs  
Fault localization with value spectra

2001 Nov  
**SE Genealogy**

Regression testing with spectra comparison

later developed as  
**[ICSM 04/TSE 05]**

Summer 2002  
intern

IBM Research supervised by Gary Sevitsky on  
SoftViz, perf analysis, dyn analysis

Knew about Parasoft Jtest  
Attended a talk by Susan Horwitz



Why not put Jtest and Daikon together?



# Story Behind – Expanding (2003)

2002 Sept.

Why not put Jtest and Daikon together?

Jov: test generation and selection guided by Daikon invariants [ASE 03/ASEJ 06]

2003-2004  
**SE Conferences**  
Testing Researchers  
SE Awards  
Advice  
...

Early 2003

Why not infer algebraic specs (with Jtest generated tests) like Daikon?

While playing around Jtest-generated tests, found state redundancy (initially w/ Daikon frontend)

After prototyping the idea, found out later Henkel&Diwan published an ECOOP 03 paper



Near end 2003

Developed my own infrastructure to identify redundant tests and generate non-redundant tests

Why not generate statistical rather than universal properties?

Rostra: redundant test detection and non-redundant test generation [ASE 04]

Sabacu: statistical algebraic spec inference in special/common test identification

[3<sup>rd</sup> Place ACM SRC Grand Finals, ISSRE 05]

# Story Behind—Expanding More (2004)

Near end 2003

Rostra: redundant test detection  
and non-redundant test generation [\[ASE 04\]](#)

Why not construct/visualize  
state transition diagrams after  
state exploration?  
Too complex!!

Mid 2004

Why not abstract them?

Abstract with observers [\[ICFEM 04\]](#)  
Abstract with fields [\[SAVCBS 04\]](#)

Near end 2004

Why not try it for AOP?

Raspect: AspectJ-redundant-test  
detection, later [\[ISSRE 06\]](#)

Realized Rostra provided a  
foundation to explain JPF-like  
test gen based on state  
exploration

Realized JPF people used sym  
exec in their TACAS 03 paper

Near end 2004

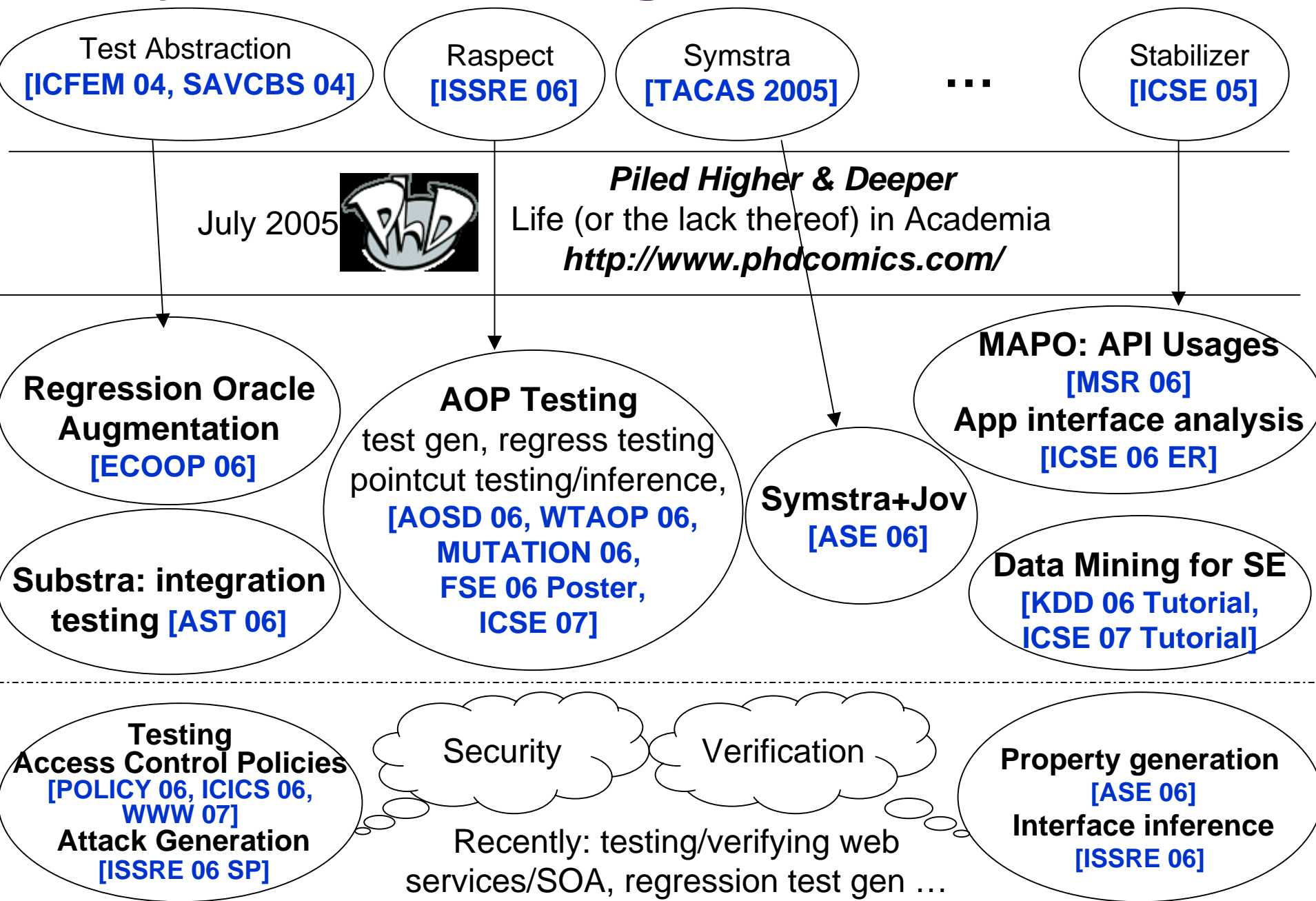
Why not combine Rostra and sym exec?

Symstra: sym-exec-based test generation  
[\[TACAS 2005\]](#)

Near end 2004

Stabilizer: helping users avoid bugs  
in GUI apps [\[ICSE 05\]](#)

# Story Behind being Dr. XIE (Aug 2005- )



# Conclusion

- Framework for improving testing effectiveness
  - effectively invest machine resources
    - redundant-test detection [ASE 04]
    - non-redundant test generation [TACAS 05]
  - effectively invest human resources
    - test selection [ASE 03/ASEJ 06]
    - test abstraction [ICFEM 04]
    - program-spectra comparison [ICSM 04/TSE 05]
- Lessons learned
  - Working around industrial/existing tools helps
  - Talking to researchers helps (collaboration also helps)
  - One research idea leads to another (from hands-on experience)
  - A mixture of holding hammers + nails
  - Big picture (don't get lost when too excited about low-level solution details)



**Questions?**

# Lessons Learned

- Working around industrial tools helps
- Dynamic analysis tools can be integrated too
- Both measuring and managing redundancy are important
- Breaking into pieces helps
- Grouping pieces helps
- Looking inside helps
- Exploit the most out of artifacts that already exist
- unavoidable for tools to ask help from developers (wisely)
- Automatically generating complex arguments is more difficult than expected
- Practical lightweight specifications may help
- Model-based testing may be a good way to go when doing integration or system testing