1   **Improving predictions of climate change–land use change interactions**

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18 **Abstract**

19 Climate change and land use change often interact, altering biodiversity in unexpected

20 ways. Research into climate change–land use change interactions has so far focused on

21 quantifying biodiversity outcomes, rather than identifying the underlying ecological

22 mechanisms, making it difficult to predict interactions and design appropriate conservation

23 responses. We propose a risk-based framework to further our understanding of climate

24 change–land use change interactions. By identifying the factors driving the exposure and

25 vulnerability of biodiversity to land use change, and then examining how these factors are

26 altered by climate change (or vice versa), this framework will allow the effects of different

27 interaction mechanisms to be compared across geographic and ecological contexts,

28 supporting efforts to reduce biodiversity loss from interacting stressors.

29

30 **Predicting biodiversity change when stressors interact**

31 Climate change and land use change are two major drivers of biodiversity change [1, 2, 3].

32 Predicting the effects of climate change and land use change on biodiversity is necessary to

33 inform effective conservation strategies and ultimately safeguard biodiversity and the

34 benefits that humans derive from it [4]. The impacts of both drivers on species and

35 ecosystems have been extensively studied in the past, mostly separately from each other

36 [5], and are relatively well understood. However, there is a rapidly growing body of evidence

37 showing that climate change and land use change do not always affect biodiversity

38 independently from each other, meaning that climate change alters the impact of land use

39 change on biodiversity, and vice versa [6]. It is these combined effects, or so-called climate

40 change–land use change (CC–LUC) interactions, that are comparatively less well understood.

41 Most research into CC–LUC interactions has focused on identifying situations in which the

42 combined impact of climate change and land use change could have dramatic negative

43 effects on species or ecosystems [7]. For instance, land use change often reduces habitat

44 availability and landscape connectivity, thereby reducing carrying capacity and dispersal

45 between neighbouring populations, and increasing their sensitivity to extreme events.

46 Specifically, populations fragmented or isolated by land use change are at a higher risk of

47 decline and extinction as extreme climatic events become more frequent due to climate

48   change (Figure 1, [8]). However, since climate change does not always exacerbate the

49   effects of land use change on biodiversity and vice versa [9, 10], it is equally important to

50   predict neutral or positive, as well as negative, outcomes to help improve targeting of

51   management and policy interventions.

52   Climate change and land use change, and their interactions, operate at different scales,

53   posing challenges to effective conservation planning, resourcing, and management. At the

54   regional to global level, accounting for CC–LUC interactions could change conservation

55   prioritisation hierarchies of ecosystems and species (e.g., [11]), highlighting the need to

56   identify species and ecosystems at the highest risk of adverse outcomes from CC–LUC

57   interactions. At the site level, CC–LUC interactions could affect which biodiversity

58   management options have the greatest effectiveness [12]. Understanding the potential

59   impacts of CC-LUC interactions on biodiversity will therefore provide critical information to

60   guide effective conservation interventions and to mitigate against the impacts of

61   anthropogenic global change at local and regional scales [13].

62   Despite substantial progress in our understanding of interactions between climate change

63   and global change stressors [14], including decades of research into CC–LUC interactions,

64   we currently have little ability to predict when and where these interactions are going to

65   happen, and how they are likely to affect biodiversity [7]. Predicting CC–LUC interactions is

66   challenging because climate change, land use change and biodiversity are all

67   multidimensional concepts [15, 16, 17], resulting in a high number of possible interactions.

68   For instance, climate change can entail changes in average temperature, shifts in season, or

69   a change in the frequency of extreme events, which may interact with a multitude of land

70   use change effects, ranging in intensity from land conversion such as deforestation to more

71   subtle changes in land management (e.g. altering fertiliser regimes). As a result, predicting

72   the presence, type and magnitude of CC–LUC interactions by looking at each potential driver

73   combination in turn is unlikely to provide comprehensive insights into the effects of multiple

74   drivers and their interactions. Additionally, CC–LUC interactions are likely to be shaped by

75   interspecific interactions and trophic cascades [18, 19]. This is further complicated by the

76   fact that biodiversity responses at different organisational scales (e.g. individual behaviour,

77   population size, species composition) can play out over different timescales, and that CC–
78   LUC interactions can change over time [20].

79   To address the challenges in predicting and managing CC–LUC interactions, we 1)
80   summarise recent research into CC–LUC interactions, 2) demonstrate the need to expand
81   this research, which is currently focused on quantifying biodiversity outcomes, by focusing
82   on the mechanisms underpinning these interactions, and finally 3) propose a risk-based
83   framework as a way to efficiently identify key mechanisms governing the outcome of CC–
84   LUC interactions in different ecological contexts.

85

86   **Climate change–land use change interactions: current state of play**

87   *What we know so far*

88   To identify the main gaps in our understanding of CC–LUC interactions, we collated a
89   representative sample of peer-reviewed studies (including empirical studies, meta-analyses
90   and reviews) that explicitly discuss or quantify an interaction between climate change and
91   land use change in the context of their effects on terrestrial and freshwater biodiversity (see
92   Annex 1 in the Supplementary Material for methodology). We excluded the marine realm
93   since land use change does not directly affect large parts of the oceans. We did not consider
94   studies which only show that climate change alters the rate of land use change (or vice
95   versa; [6]). Although such studies identify situations in which biodiversity is affected by
96   combined climate and land use change (and that there is thus a chance for CC–LUC
97   interactions to occur), they do not directly consider how the impacts of climate change on
98   biodiversity are altered by land use change (and vice versa).

99   We considered 69 studies focusing on the combined effects of climate change and land use
100   change on biodiversity (see Annex 1 in the Supplementary Material for a complete list).
101   These studies addressed numerous features of biodiversity, including the distribution of
102   individual species (e.g., [21, 22], species abundance (e.g., [23]), response to disturbance
103   dynamics (e.g., [24]), species diversity (e.g., [25, 26]), or ecosystem composition and
104   processes (e.g., [27, 28]). Across these studies, we found two predominant empirical

105 approaches to investigating CC–LUC interactions. First, some analyses compared biodiversity

106 outcomes between scenarios of no climate and land use change, either climate or land use

107 change, and combined climate and land use change (e.g., [29, 30, 31]). Second, other

108 analyses tested a dose-response relationship between climate, land use, an interaction

109 term, and biodiversity variables using a statistical model (e.g. [32, 33, 34]). Only 8 of the

110 empirical studies directly investigated interaction mechanisms (Table 1, [35, 36, 9, 37, 38,

111 39, 40, 41]). Interestingly, however, every review retrieved by our literature search (n = 11)

112 explicitly discussed mechanisms through which climate change could alter the impact of

113 land use change on biodiversity (and vice versa, Table 1).

114 Studies of CC–LUC interactions are drawn from different research fields with an emphasis on

115 either climate, land use, or biodiversity science, and thus would benefit from a shared,

116 unifying framework to interpret and extract general patterns from the results. Previous

117 attempts to provide such a framework – based on studies of interactions between different

118 stressors (including, but not limited to, climate change and land use change) – have focused

119 on classifying interactions based on how *realised outcomes* differ from *expected outcomes*,

120 i.e. those occurring in the absence of an interaction [42, 43, 44]. These classifications tend to

121 distinguish between (a) independent effects (cases where climate change does not change

122 the effect of land use change on biodiversity, or vice versa), (b) antagonistic effects (cases

123 where climate change reduces the strength of the effect that land use change has on

124 biodiversity, or vice versa), and (c) synergistic effects (cases in which climate change

125 increases the strength of the effect of land use change on biodiversity). Sometimes, a so-

126 called dominance effect is included whereby climate change reduces the effect of land use

127 change to zero, or vice versa (e.g. [45, 46]), although dominance effects are more commonly

128 framed as an alternative null model describing an independent effect [47, 48].

129 *Issues with the current approach*

130 The current approach to researching CC–LUC interactions makes it difficult to synthesise

131 insights from empirical studies that can predict the prevalence and effect of CC–LUC

132 interactions. One reason for this is that there is no standard approach to formally define

133 interaction types: what may be termed e.g. "synergy" by one study may not be considered

134 an interaction at all, or an antagonistic interaction, by another [7]. To overcome this

5

135   challenge, however, it is not enough to develop a consensus on how interactions are

136   classified based on the difference between expected and observed outcomes. What

137   outcomes are "expected" always depends on the chosen null model, i.e. the expected

138   biodiversity outcome if no CC–LUC interaction occurs. This means that the choice of null

139   model affects whether an interaction is classified as independent, antagonistic or

140   synergistic. Often, however, null models are not explicitly chosen but imposed by the choice

141   of statistical methods. As a result, there are now efforts to standardise null model choice in

142   stressor interaction research to account for known differences in the mechanisms driving

143   the effects of single stressors on biodiversity [48] and thus to enable direct comparison of

144   results and the synthesis of insights across studies.

145   However, standardising the way we measure and classify outcomes of CC–LUC interactions

146   is by itself insufficient for the development of predictive power. For this, we need an

147   improvement in our understanding of the mechanisms underlying CC–LUC interactions.

148   Since climate change, land use change and biodiversity each have multiple dimensions,

149   interactions that are classified as synergistic (or antagonistic, or independent, respectively)

150   are likely to include cases from many different geographic and ecological contexts, which

151   may not be directly comparable. For instance, change in species richness, abundance or

152   interactions due to habitat loss may depend on climate change, but *how* it depends on

153   climate change varies between biomes and taxonomic groups [49]. The type, strength and

154   direction of CC–LUC interactions is therefore shaped by a range of different biological or

155   ecological processes (Figure 1, Table 1) – put differently, the "surprising" outcomes that

156   characterise CC–LUC interactions likely result from different mechanisms, depending on

157   geographic and ecological context.

158

159   Using risk-based frameworks to predict interactions

160   The mechanistic pathways by which climate change and land use change interact are best

161   identified using a framework based on risk, as this can improve our ability to predict the

162   outcomes of CC–LUC interactions on biodiversity. Risk is the likelihood of an adverse

163   outcome resulting from an external hazard, and can be conceptualised as a function of the

164 exposure to this hazard, as well as the intrinsic vulnerability of any particular entity to it [50,

165 51], where vulnerability is determined by sensitivity and adaptive capacity [52]. In a

166 biodiversity context, species, communities or ecosystems with high exposure and high

167 vulnerability are at a higher risk of an adverse outcome than other species, communities or

168 ecosystems (e.g. [53], Figure 2). Overall risk can be estimated by (i) identifying indicators for

169 each risk component (exposure, sensitivity, adaptive capacity [54]), so that each indicator

170 represents a process that affects the risk of an adverse outcome, then (ii) deriving an overall

171 risk estimate, typically by combining scores from different risk components either

172 qualitatively [55] or quantitatively [56].

173 Risk-based frameworks have previously been used to identify the risk of single stressors

174 such as climate change on species [57, 53], and have been adapted to include observed

175 outcomes of interactions between two stressors (e.g. [58]). Building on this work, we

176 propose a novel application of risk frameworks that identifies the *mechanisms* driving such

177 interactions, and incorporates them into the assessment. Specifically, candidate interaction

178 mechanisms are systematically identified (and then tested) by asking how climate change

179 could alter the exposure and vulnerability of a species, community or ecosystem to land use

180 change, i.e. how climate change can affect the components that determine risk of an

181 adverse outcome in response to land use change (and vice versa, Figure 2).

182 To illustrate this, consider risks from CC-LUC interactions to populations of a large predator,

183 such as African wild dogs (*Lycaon pictus*). This species declines in anthropogenically

184 modified landscapes due to reduced prey populations. Climate change (specifically

185 increased temperatures) is predicted to increase sensitivity of wild dogs to land use

186 intensification by restricting the number of hours they can hunt [59]. Such time restrictions

187 around hunting compound the risk from reduced prey availability, and thus increase the

188 overall risk posed by land use change to this population. CC–LUC interactions could also

189 affect adaptive capacity. For instance, a species' ability to adapt to climate change by

190 shifting its range can be impeded by habitat fragmentation , increasing the overall risk

191 posed by climate change (Figure 2). These mechanisms, which relate to changes in intrinsic

192 vulnerability (sensitivity and adaptive capacity), correspond to "modification effects" [6], i.e.

193 true CC–LUC interactions (Table 1).

194   The risk framework approach we propose can also explicitly account for the direct effects of

195   climate change and land use change on each other via effects on exposure, which need to

196   be considered to estimate the overall impact on biodiversity. For instance, if the exposure of

197   an ecosystem to climate change is determined by the magnitude of rainfall change, then

198   land use change in the form of large-scale deforestation, which affects regional rainfall

199   patterns, could increase the exposure of this particular ecosystem to climate change. Such

200   interaction mechanisms correspond to Didham et al.'s [6] "chain effects".

201   To account for CC–LUC interaction mechanisms within this framework, it is necessary to

202   identify risk components (exposure, sensitivity and adaptive capacity) with regard to both

203   climate change and land use change, as well as suitable risk indicators to estimate each

204   component. Potential indicators may be drawn from existing frameworks and databases

205   that identify and quantify threats to biodiversity, such as the IUCN Red List of Species or

206   Ecosystems [60] and existing climate change or land use change risk assessments (e.g. [61,

207   57, 62]). Once risk components are known, candidate interaction mechanisms can be

208   identified based on known sets of possible interaction mechanisms (Table 1) as well as local

209   and expert knowledge. Which of these interaction mechanisms affect biodiversity in a given

210   context can then be tested empirically. Interaction mechanisms that are shown to have

211   important effects on overall risk levels to biodiversity can subsequently be integrated into

212   risk assessments, either by modifying risk scores, or by including interaction mechanisms in

213   quantitative risk models.

214   An important aspect of our risk framework is that it can be applied to any dimension of

215   biodiversity, such as genetic diversity or community composition. Indeed, the process

216   explicitly considers all ways by which climate change may impact biodiversity's response to

217   land use change, as well as the ways by which land use change may impact biodiversity's

218   response to climate change, to ensure that the largest range of potential CC–LUC interaction

219   mechanisms are identified (see Table 1). The scope and flexibility of our framework can thus

220   be harnessed to provide conservation decision makers with context-specific information

221   about all interaction mechanisms posing risks to all aspects of biodiversity at any given scale

222   or context.

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Concluding Remarks
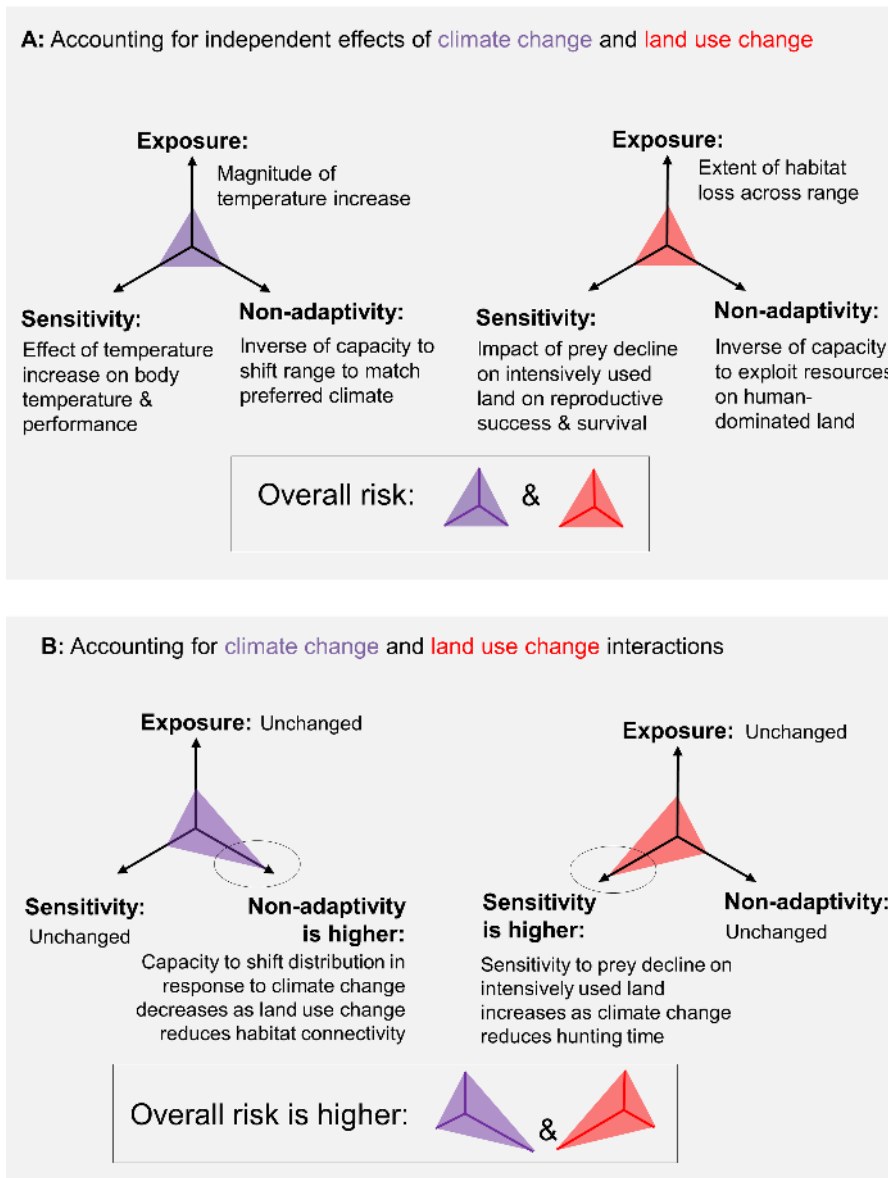
Interactions between climate change and land use change can significantly shape biodiversity. So far, predicting their occurrence and impact has been hampered by a focus on classifying the outcomes of interactions, rather than understanding the mechanisms by which they operate. To advance our understanding of CC–LUC interactions, and to improve our ability to mitigate their potentially negative impacts on biodiversity across different geographic and taxonomic contexts, we recommend that future research focuses on investigating how the exposure and sensitivity of biodiversity to land use change, as well as its capacity to adapt to such change, is altered by climate change, and vice versa (see Outstanding Questions). A key step towards this goal will involve interdisciplinary cooperation – e.g. among ecologists, physiologists, agronomists, and climate scientists – as insights from a range of fields are required to advance our understanding of how CC–LUC interactions affect biodiversity, and to develop more effective risk assessment procedures to support environmental management worldwide.

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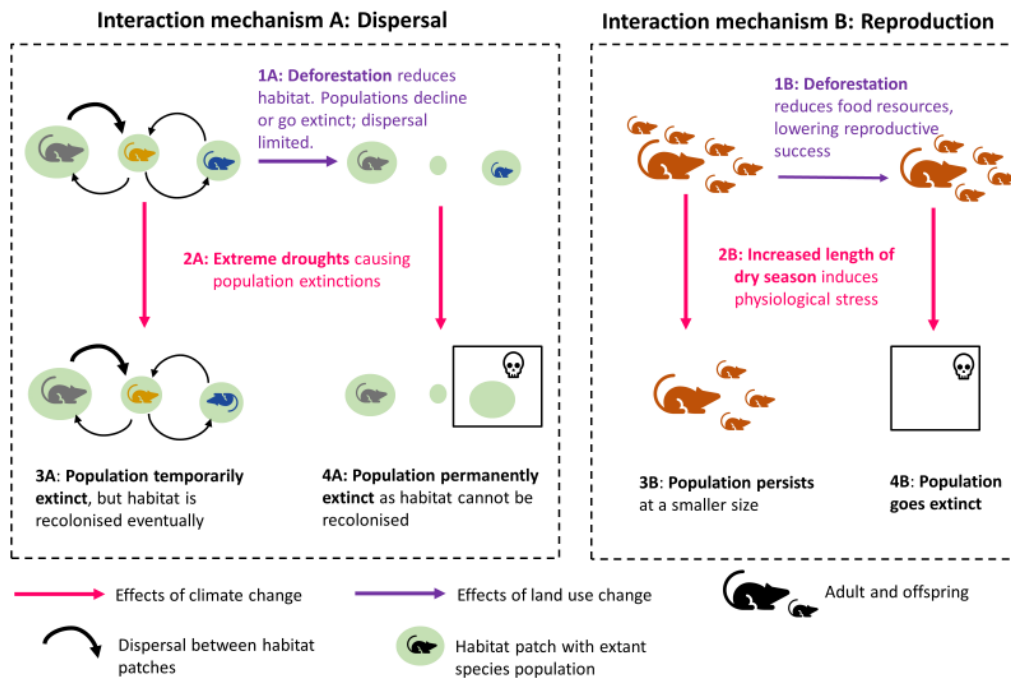
Figure 1: Multiple mechanisms drive climate change–land use change interactions. In this example, a combination of climate change and land use change drives population extinction in both scenarios, but interaction mechanisms differ. In scenario A (left panel), deforestation reduces habitat availability (green patches), reducing the size of three hypothetical populations and, in some cases, leading to their extinction. Dispersal between these populations is also reduced (1A). Climate change may also drive population declines, for example by increasing the frequency of extreme droughts (2A). In absence of deforestation, these declines may be reversed by dispersal and recolonisation (inverted rodent icon, 3A). However, in conjunction with deforestation, recolonisation of habitat patches is impossible, leading to local extinction of some populations (skull icon; 4A). In scenario B (right panel), habitat clearance reduces availability of food resources, leading to lower reproductive success (fewer offspring), and therefore population decline, in a hypothetical population (1B). Climate change may also reduce reproductive rates in this population, for instance by increasing aridity, inducing physiological stress (2B). Habitat clearance again mediates the effect of climate change on the population: in its absence the population declines in size, but persists (3B), whereas climate change in conjunction with habitat clearance leads to population collapse and local extinction (4B).

Risk to a hypothetical population of African wild dogs (Lycaon pictus)

A: Accounting for independent effects of climate change and land use change

Exposure:
Magnitude of temperature increase

Sensitivity:
Effect of temperature increase on body temperature & performance

Non-adaptivity:
Inverse of capacity to shift range to match preferred climate

Exposure:
Extent of habitat loss across range

Sensitivity:
Impact of prey decline on intensively used land on reproductive success & survival

Non-adaptivity:
Inverse of capacity to exploit resources on human-dominated land

Overall risk: &

B: Accounting for climate change and land use change interactions

Exposure: Unchanged

Sensitivity:
Unchanged

Non-adaptivity is higher:
Capacity to shift distribution in response to climate change decreases as land use change reduces habitat connectivity

Exposure: Unchanged

Sensitivity is higher:
Sensitivity to prey decline on intensively used land increases as climate change reduces hunting time

Non-adaptivity:
Unchanged

Overall risk is higher: &

256

Figure 2: Using the concept of risk to conceptualise interactions between biodiversity stressors. These diagrams illustrate the approach as applied to a hypothetical African wild dog (*Lycaon pictus*) population. The risk of biodiversity change in response to a single stressor is determined by different risk components; the overall risk increases as each component increases. These components are *exposure* to a hazard (the rate or magnitude of the stressor that biodiversity experiences), and vulnerability of biodiversity to this hazard, which is determined by *sensitivity* (the magnitude of the biodiversity response to a unit of a given stressor), and *adaptive capacity* (the capacity of biodiversity to undergo changes in

265   response to a hazard that allow it to persist). Following [63], we use non-adaptability (NA),

266   i.e. the inverse of adaptive capacity, to visualise this relationship, so that increases along this

267   axis represent increases in overall risk. Each risk component represents an environmental,

268   biological or ecological process that shapes biodiversity. If different stressors do not

269   interact, the risk from a given stressor is independent from the presence of another (A).

270   Stressor interactions can be conceptualised as mechanisms by which a second stressor

271   alters processes that affect each risk component (B). In this example, land use change

272   decreases the African wild dogs' ability to adapt to climate change by limiting range shifts,

273   and climate change increases their sensitivity to land use change by limiting the time

274   available to hunt prey, which are already depleted owing to land use change. The

275   interaction of these effects increases overall risk from global change.

276 **Table 1: Overview of known or hypothesised climate change–land use change interaction mechanisms.** Examples are given of mechanisms

277 by which climate change can alter the sensitivity of biodiversity to land use change, or its capacity to adapt to land use change (and vice versa).

278 Asterisk (*) indicates references not captured by the systematic literature search.

Interaction mechanism	Description	References
Microclimate refugia	Land use change alters the structure of the vegetation canopy and the litter layer, as well as drainage patterns, and thus can create microclimates that either accentuate or reduce sensitivity to climate change.	[8] [33] [36] [37] [64]
Disturbance responses	Climate change reduces the resistance and/or resilience of ecosystems to disturbance caused by land use change (e.g. by delaying recovery from habitat disturbance), and vice versa, thereby increasing risk.	[8] [65] [66]*
Range shifts	Land use change can hinder adaptive range shifts, including access to climate refugia, reducing the habitat available to a species affected by climate change. Conversely, climate change can prevent the expansion of species into habitat that land use change has made suitable (e.g. due to forest clearance or abandonment of cultivation).	[8] [9] [41] [67] [68] [69] [70] [71]
Natural selection	Land use change can reduce local effective population size or gene flow, potentially reducing or counteracting selection for genotypes that increase fitness under climate change, and thus reducing adaptive capacity. Conversely, climate change	[72]* [73]*

	can lead to genetic homogenisation of populations, potentially reducing their capacity to adapt to new ecological conditions caused by land use change.	
Genetic constraints	Co-adaptation to climate change and land use change could be difficult because of antagonistic pleiotropy (i.e. the same genes confer high fitness under climate change but low fitness under land use change, or vice versa), or epistasis (i.e. genetic interdependence) of traits conferring high fitness in the presence of one driver but low fitness in the presence of another. This mechanism reduces the capacity of a population to adapt to either stressor in the presence of the other.	[8] [74]*
Metapopulation dynamics	Land use change can lower the size of habitat patches and increase the effective distance between them. Thus, species populations may decline or disappear within patches, and incur reduced connectivity or genetic transfer between patches (e.g. by constraints on dispersal of individuals or propagules), increasing the sensitivity of metapopulations to climate change.	[4] [8] [67] [68] [69] [75] [76] [77] [78]
Community filtering	Species can be co-tolerant or co-sensitive to climate change and land use change, suggesting that the sensitivity of communities to subsequent climate change depends on whether they have already been "filtered" by land use change, and vice versa.	[38] [39] [79] [80]
Portfolio effect	Land use change can increase sensitivity of species communities to climate change by decreasing species richness and functional diversity. This is because such	[40]

	declines decrease the so-called portfolio effect whereby apparent high redundancy provides greater insurance or resilience in the face of climate change.	
Antagonistic interaction	Antagonistic species (e.g. predator, pathogen, dominant competitor) can benefit from changes to habitat associated with land use change, increasing sensitivity to climate change for associated species (e.g. prey, host, subordinate competitor). Similarly, the risk of disease can be elevated by climate change (especially warming temperatures), reducing the resilience of populations to land use change.	[32, 35, 81] [82]*
Mutualistic interaction	Climate change can disrupt mutualistic interactions by driving asymmetric range shifts or asynchronous phenology, for example between plants and their pollinators, thereby reducing population size and theoretically increasing sensitivity to land use change. Similarly, land use change can theoretically fragment populations of co-dependent mutualists and increase their sensitivity to phenological mismatches or other effects of climate change.	[83]* [84]*
Community re-arrangement	A species community can adapt to climate change by shifting community trait distributions to match the new climatic conditions. Land use change could decrease the capacity of communities to adapt by limiting the arrival of new species whose traits match the new climatic conditions.	[85]*

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