# IN SEARCH FOR A PERFECT SHAPE OF POLYHEDRA: BUFFON TRANSFORMATION

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ABSTRACT. For an arbitrary polygon consider a new one by joining the centres of consecutive edges. Iteration of this procedure leads to a shape which is affine equivalent to a regular polygon. This regularisation effect is usually ascribed to Count Buffon (1707-1788). We discuss a natural analogue of this procedure for 3-dimensional polyhedra, which leads to a new notion of affine $B$-regular polyhedra. The main result is the proof of existence of star-shaped affine $B$-regular polyhedra with prescribed combinatorial structure, under partial symmetry and simpliciality assumptions. The proof is based on deep results from spectral graph theory due to Colin de Verdière and Lovász.

## 1. INTRODUCTION

According to David Wells [28] the following puzzle first appeared in Edward Riddle's edition (1840) of the *Recreations in Mathematics and Natural Philosophy* of Jacques Ozanam, where it was attributed to Count Buffon (1707–1788), a French naturalist and the translator of Newton's Principia.

Consider an arbitrary polygon. Generate a second polygon by joining the centres of consecutive edges. Repeat this construction (see Fig. 1).

It is easy to see that the process converges to a point - the centroid of the original vertices (and therefore the centroid of the vertices of any polygon in the sequence). Buffon observed a remarkable *regularization effect* of this procedure: the limiting shape of the polygon is *affine regular.* Here a polygon is called affine regular if it is affine equivalent to a regular polygon.

In fact a similar phenomenon was already observed since Roman times. When creating mosaics Roman craftsmen achieved more regular pieces by breaking the corners, so effectively using the same procedure [21]. The explanation of Buffon puzzle is based on simple arguments from linear algebra, see e.g. [1, 30] and next section.
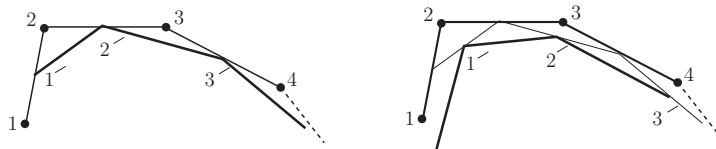


FIGURE 1. Iterations of Buffon transformations.

The situation here is different from the theory of the pentagram map, initiated by R. Schwartz in 1990s and extensively studied in recent years, where the dynamics is nonlinear, quasi-periodic and integrable in Arnold-Liouville sense (see [13, 23] and references therein).

In this paper we will study the following natural 3-dimensional version of the Buffon procedure [27]. Let $P$ be a simplicial polyhedron in $\mathbb{R}^3$, which is a polyhedron having all faces triangular. Define its *Buffon transformation* $B(P)$ as the simplicial polyhedron with vertices $B(v)$, where for each vertex $v$ of $P$ the new vertex $B(v)$ is defined as the centroid of the centroids of all edges meeting at $v$. The question is what is the limiting shape of $B^n(P)$ as $n$ goes to infinity.

Unfortunately, the answer in general is disappointing: the limiting shape will be one-dimensional. Indeed the same arguments from linear algebra show that this shape is determined by the subdominant eigenspace of the corresponding operator on the graph $\Gamma(P)$, which is the 1-skeleton of $P$ (see the details below), and this eigenspace generically has dimension 1. This means that in order to have a sensible limiting shape we need to add some assumptions on the initial polyhedron $P$.

Let $G \subset O(3)$ be one of the symmetry groups $G = T, O, I$ of the Platonic solids: tetrahedron, octahedron/cube, icosahedron/dodecahedron respectively. Assume that the combinatorial structure of the initial polyhedron $P$ is $G$-invariant, which means that $G$ faithfully acts on the graph $\Gamma(P)$.

Our main result is the following theorem.

**Theorem 1.** *Let $P$ be a simplicial polyhedron in $\mathbb{R}^3$ with $G$-invariant combinatorial structure. Then for a generic $P$ the limiting shape obtained by repeatedly applying Buffon procedure to $P$ is a star-shaped polyhedron $P_B$. The vertices of $P_B$ are explicitly determined by the subdominant eigenspace of the Buffon operator, which in this case has dimension 3.*

The proof is based on the deep results from the spectral theory on graphs due to Colin de Verdière [2] and in particular due to Lovász et al [10, 15, 16], who studied the eigenspace realisations of polyhedral graphs. Both assumptions of the theorem, namely simpliciality and platonic symmetry, are essential.

Recall that the polyhedron $P$ is called *star-shaped* (not to be mixed with star polyhedra like Kepler-Poinsot) if there is a point inside it from which one can see the whole boundary of $P$, or equivalently, the central projection gives a homeomorphism of the boundary of $P$ onto a sphere. The precise meaning of the term "generic" will be clear from the next section.

Let us call polyhedron $P$ *affine $B$-regular* if $B(P)$ is affine equivalent to $P$. In dimension 2 this is equivalent to affine regularity (see next section). Thus the Buffon procedure produces affine $B$-regular version $P_B$ from a generic polyhedron $P$ with the above properties. As far as we know the notion of the affine regularity for polyhedra with non-regular combinatorial structures was not discussed in the literature before.

For a generic polyhedron $P$ with combinatorial structure of a Platonic solid the corresponding polyhedron $P_B$ is affine regular, which means that it is affine equivalent to the corresponding Platonic solid. For the Archimedean and Catalan solids however, this is no longer true, see the example of pentakis dodecahedron (dodecahedron with pyramids build on its faces) on Fig.2 and in the Appendix.

Note that there are plenty of polyhedra $P$ with $G$-invariant combinatorial structures, which can be constructed from the Platonic solids using Conway operations
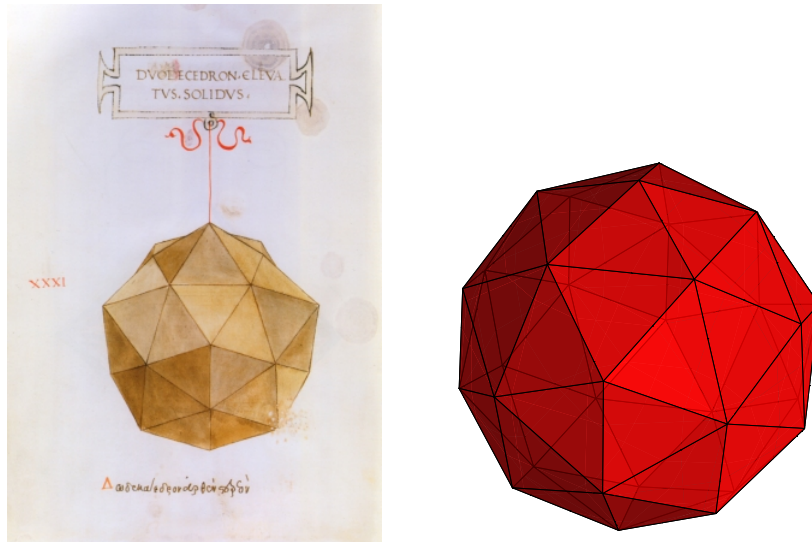
FIGURE 2. Leonardo da Vinci's drawing of pentakis dodecahedron from Luca Pacioli's book "De divina proportione" and Mathematica image of its Buffon realisation. Leonardo's version is different both from Catalan and Buffon realisations and probably corresponds to the so-called cumulated dodecahedron having all the edges of equal length.

[3]. In particular, one will have a simplicial polyhedron by applying to any such $P$ the operation, which Conway called *kis* and denoted by $k$, consisting of building the pyramids on all the faces. Many examples of the corresponding combinatorial types can be found in chemistry and physics literature in relation with the famous Thomson problem, see e.g. [4].

For non-simplicial polyhedra the Buffon transformation usually breaks the faces, which in general are not recovering even in the limit (see Fig.8 in Appendix B).

The platonic symmetry keeps the limiting shape 3-dimensional, preventing collapse to lower dimension. The dihedral symmetry is not enough: one can check that a polyhedron with prismatic combinatorial structure will collapse to the corresponding affine regular polygon.

The star-shape property of the limiting shape is probably the strongest we can claim since the convexity may not hold as the example of the triakis tetrahedron shows (see Fig.7 in the Appendix).

The structure of the paper is as follows. In Section 2 we start with the (well-known) solution of the Buffon puzzle for polygons to explain the main ideas and relation to linear algebra. Then, in Section 3, we define the Buffon transformation for polyhedra and review the classical Steinitz theorem which gives graph-theoretical characterisation of 1-skeletons of convex polyhedra. In section 4 we introduce the main tools from spectral graph theory: the Colin de Verdière invariant and null space realisation for polyhedral graphs studied by Lovász et al. In section 5 we use them and representation theory of finite groups to prove our main result. In Appendix A we present the character tables for the polyhedral groups

and the corresponding decomposition of the space of functions on the vertices of Platonic solids into irreducible components. In Appendix B we give the spectra of the Buffon operators for some combinatorial types and the corresponding shapes of affine $B$-regular polyhedra. Appendix B takes almost a half of the paper, but we thought that it would be instructive to show all the aspects of the Buffon approach in various specific examples.

## 2. Buffon transformation for polygons.

Consider an arbitrary $n$-gon $P$ with vertices described by the column vector

$$r = [r_1, r_2, \ldots, r_n], \quad r_i \in \mathbb{R}^2$$

(and an integer $n \geq 3$). Generate a second polygon $P'$ by joining the centres of the consecutive edges of $P$. The corresponding transformation acts on the vertices of $P$ as follows:

$$r_i' = \frac{1}{2}(r_i + r_{i+1}).$$

In matrix form this can be described as

$$r' = Br$$

where

$$B = \begin{bmatrix} \frac{1}{2} & \frac{1}{2} & 0 & \cdots & 0 \\ 0 & \frac{1}{2} & \frac{1}{2} & \cdots & 0 \\ \vdots & \vdots & \vdots & \vdots & \vdots \\ \frac{1}{2} & 0 & 0 & \cdots & \frac{1}{2} \end{bmatrix}$$

After $k$ transformations we obtain a polygon with the vertices

$$r^k = B^k r.$$

Following Buffon we claim that for generic initial polygons $P$ the limiting shape of the polygons $P^k$ as $k$ increases becomes affine regular. Recall that a polygon is *affine regular* if it is affine equivalent to a regular polygon.

To prove this we use the following result from Linear Algebra (see e.g. Theorems 5.1.1, 5.1.2 in [29]).

**Theorem 2. (Subspace Iteration Theorem)** *Let $A$ be a real matrix and let $Spec(A) = \{\lambda_1, \lambda_2, \ldots, \lambda_n\}$ be the set of its eigenvalues (in general, complex and with multiplicities) ordered in such a way that*

$$|\lambda_1| = |\lambda_2| = \ldots = |\lambda_k| > |\lambda_{k+1}| \geq \ldots \geq |\lambda_n|.$$

*Let $W$ and $W'$ be the dominant and complementary invariant subspaces associated with $\lambda_1, \ldots, \lambda_k$ and $\lambda_{k+1}, \ldots, \lambda_n$ respectively and $m = \dim W$. Then for any $m$-dimensional subspace $U \subset \mathbb{R}^n$ such that $U \cap W' = \{0\}$ the image of $U$ under the iterations of $A$*

$$A^n(U) \underset{n \to \infty}{\to} W$$

*tends to the dominant subspace in the Grassmannian $G_m(\mathbb{R}^n)$.*

To apply this to our case first note that

$$B = \frac{1}{2}(I + T),$$

where the $n \times n$ matrix

$$T = \begin{bmatrix} 0 & 1 & 0 & \ldots & 0 \\ 0 & 0 & 1 & \ldots & 0 \\ \vdots & \vdots & \vdots & \vdots & \vdots \\ 1 & 0 & 0 & \ldots & 0 \end{bmatrix}$$

has the property $T^n = I$ and the eigenvalues being $n$-th roots of unity. The spectrum of $B$ is therefore

$$Spec(B) = \{\frac{1}{2} + \frac{1}{2}\varepsilon_j, \ \varepsilon_j = e^{\frac{2\pi i}{n}j}, j = 0, 1, \ldots n - 1\}.$$

The eigenvalues of maximum modulus, other than $\lambda_0 = 1$, are $\lambda_1 = \frac{1}{2} + \frac{1}{2}e^{\frac{2\pi i}{n}}$ and its complex conjugate $\lambda_2 = \frac{1}{2} + \frac{1}{2}e^{-\frac{2\pi i}{n}} = \overline{\lambda_1}$.

The dominant subspace $W$ in this case corresponds to $\lambda_0 = 1$ and is generated by the corresponding eigenvector $v_0 = (1, 1, \ldots, 1)$:

$$W = \{(r, r, \ldots, r)\}.$$

The previous result can be interpreted that as $n$ increases $B^n(P)$ converges to a point. To see the limiting shape we should look at the *subdominant invariant* subspace corresponding to $\lambda_1$ and $\lambda_2$.

Geometrically one can do this by assuming that the centroid of the vertices is at the origin (*centre of mass condition*). This means that we restrict the action of $B$ on the invariant subspace

$$V_C = \{(r_1, \ldots, r_n) : r_1 + \cdots + r_n = 0.\}$$

This eliminates the eigenvalue $\lambda_0 = 1$ and the new dominant subspace $W$ corresponding to $\lambda_1 = \frac{1}{2} + \frac{1}{2}\varepsilon$, $\lambda_2 = \overline{\lambda_1}$ is precisely the one describing the limiting shape. One can easily check that

$$W = < \begin{pmatrix} 1 \\ \varepsilon \\ \varepsilon^2 \\ . \\ . \\ \varepsilon^{n-1} \end{pmatrix}, \begin{pmatrix} 1 \\ \overline{\varepsilon} \\ \overline{\varepsilon}^2 \\ . \\ . \\ \overline{\varepsilon}^{n-1} \end{pmatrix} >= \{a \begin{pmatrix} 1 \\ cos\frac{2\pi}{n} \\ cos\frac{4\pi}{n} \\ . \\ . \\ . \end{pmatrix} + b \begin{pmatrix} 0 \\ sin\frac{2\pi}{n} \\ sin\frac{4\pi}{n} \\ . \\ . \\ . \end{pmatrix} \}$$

Choosing $a$ and $b$ to be orthogonal unit vectors we see that the corresponding vertices form a regular polygon. In general, the dominant subspace $W$ describes all affine regular polygons. The other eigenspaces correspond to the affine regular "polygrams".

For example, when $n = 5$ we have the eigenvalues

$$\lambda_1 = \frac{1}{2} + \frac{1}{2}e^{\frac{2\pi i}{n}}, \ \lambda_2 = \overline{\lambda_1}, \ \lambda_3 = \frac{1}{2} + \frac{1}{2}e^{\frac{4\pi i}{5}}, \ \lambda_4 = \overline{\lambda_3}$$

and the corresponding eigenspaces

$$W = \{a \begin{pmatrix} 1 \\ cos\frac{2\pi}{5} \\ cos\frac{4\pi}{5} \\ cos\frac{6\pi}{5} \\ cos\frac{8\pi}{5} \end{pmatrix} + b \begin{pmatrix} 0 \\ sin\frac{2\pi}{5} \\ sin\frac{4\pi}{5} \\ sin\frac{6\pi}{5} \\ sin\frac{8\pi}{5} \end{pmatrix} \}, \qquad W' = \{a \begin{pmatrix} 1 \\ cos\frac{4\pi}{5} \\ cos\frac{8\pi}{5} \\ cos\frac{2\pi}{5} \\ cos\frac{6\pi}{5} \end{pmatrix} + b \begin{pmatrix} 0 \\ sin\frac{4\pi}{5} \\ sin\frac{8\pi}{5} \\ sin\frac{2\pi}{5} \\ sin\frac{6\pi}{5} \end{pmatrix} \}$$

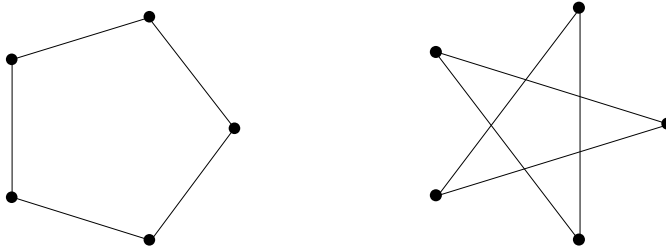describing the affine regular pentagons and pentagrams respectively:



FIGURE 3. Regular pentagon and pentagram.

## 3. Buffon transformation for polyhedra

Recall first some basic notions of graph theory and the relation with polyhedra.

A *graph* $\Gamma = (\mathcal{V}, \mathcal{E})$ consists of a finite set $\mathcal{V}$ (vertices), together with a subset $\mathcal{E} \subseteq \mathcal{V} \times \mathcal{V}$ (edges). We will assume that the graph has no loops $[i, i]$, $i \in \mathcal{V}$ and is *undirected* which means that for each edge $[i, j] \in \mathcal{E}$ we also have $[j, i] \in \mathcal{E}$.

We say that the vertices $i$ and $j$ are *adjacent* and write $i \sim j$ if there is an edge $[i, j] \in \mathcal{E}$ connecting them. The *degree* $d_i$ of a vertex $i$ is the number of the adjacent vertices.

A graph is *connected* when there is a path between any two vertices. A graph is called *3-connected* if for every pair of vertices $i$ and $j$ there are at least three paths from $i$ to $j$, whose only vertices (or edges) in common are $i$ and $j$. Equivalently a graph is 3-connected if it remains connected after removal of less than 3 vertices.

A graph is called *planar* if an isomorphic copy of the graph can be drawn in a plane, so that the edges which join the vertices only meet (intersect) at vertices.

For every polyhedron $P$ one can consider the *1-skeleton* $\Gamma(P)$, which is the graph formed by the vertices and edges of $P$.

One of the oldest results in polytope theory is a remarkable theorem by Ernst Steinitz. It is often referred to as the Steinitz' *fundamental theorem of convex polyhedra* and gives a completely combinatorial characterization of the graphs $\Gamma$, which can be realised as 1-skeletons of 3-dimensional polytopes (see [7, 32]).

**Theorem 3. (Steinitz, 1922)** *A graph $\Gamma$ is isomorphic to the 1-skeleton of a 3-dimensional convex polyhedron $P$ if and only if $\Gamma$ is planar and 3-connected.*

The proof given by Steinitz uses a combinatorial reduction technique. A sequence of transformations of $\Gamma$ into simpler graphs lead to the tetrahedral graph $K_4$. Reversing the order of these operations one obtains a polyhedral realization of the original graph $\Gamma$.

A graph is called *regular* when every graph vertex has the same degree.

Let $P$ be a simplicial polyhedron in $\mathbb{R}^3$ with vertices $r_1, \dots, r_n$. Define the *Buffon transformation* $B(P)$ as a new polyhedron with the vertices being the centroids of all edges, which meet at a vertex [27, 31]:

$$(1) \qquad B(r_i) = \sum_{j \sim i} \frac{1}{2d_i}(r_i + r_j),$$

where $d_i$ is the degree of the vertex $r_i$.

Consider also the linear *Buffon operator* $B : \mathcal{F}(\mathcal{V}) \to \mathcal{F}(\mathcal{V})$, where $\mathcal{F}(\mathcal{V})$ is the vector space of functions on the vertices of the graph $\Gamma = \Gamma(P)$, defined by the same formula:

$$(2) \qquad B(f)(i) = \sum_{j \sim i} \frac{1}{2d_i}(f(i) + f(j)), \ f \in \mathcal{F}(\mathcal{V}).$$

*Remark.* One can define the Buffon transformation $B_F$ by taking the centroids of the centroids of all the faces meeting at a vertex [27, 31], but for simplicial polyhedra $P$ we have a simple relation for the corresponding operators

$$B_F = \frac{4}{3}B - \frac{1}{3}I,$$

which means that the result of the Buffon procedure on faces will be the same as the one on edges.

The matrix of the Buffon transformation in a natural basis in $\mathcal{F}(\mathcal{V})$ has the form

$$(3) \qquad B = \frac{1}{2}(I + D^{-1}A) = \frac{1}{2}(I + P),$$

where $A$ is the *adjacency matrix*: $A_{ij} = 1$ if $i$ is adjacent to $j$ and 0 otherwise, $D$ the diagonal matrix with the degrees of vertices $d_i$ on the diagonal, and $P$ is the matrix of *transition probabilities* of the Markov chain describing the random walk on graph $\Gamma$ : $P_{ij} = 1/d_j$ when $j$ is adjacent to $i$ and 0 otherwise (see [17]).

Note that unless $\Gamma$ is a regular graph, matrix $B$ is not symmetric. In order to bring it to a symmetric form we introduce the *normalized adjacency matrix*

$$(4) \qquad N = D^{-\frac{1}{2}}AD^{-\frac{1}{2}}$$

with matrix elements $N_{ij} = 1/\sqrt{d_id_j}$ if $i$ is adjacent to $j$ and 0 otherwise. It is easy to see that

$$B = \frac{1}{2}(I + D^{-\frac{1}{2}}ND^{\frac{1}{2}}) = \frac{1}{2}D^{-\frac{1}{2}}(I + N)D^{\frac{1}{2}},$$

so $B$ is conjugated to the symmetric matrix $\tilde{B} = 1/2(I + N)$.

In particular, this means that all the eigenvalues of $B$ are real. The maximal eigenvalue is $\lambda_0 = 1$ and the corresponding eigenvector is $(1, \dots, 1)^T$.

Now we ask the same question: what is the limiting shape of $B^n(P)$ when $n$ goes to infinity ?

By the same arguments using the Subspace Iteration Theorem the answer is given by the subdominant eigenspace of the corresponding Buffon operator $B$. In general it is one-dimensional, which means that the limiting shape is one-dimensional. However, if we assume additional symmetry we have a three-dimensional limiting shape. To see this we need some results from spectral graph theory, which we present in the next section.

## 4. Colin de Verdière invariant and null space representation

In 1990 Yves Colin de Verdière [2] introduced a new spectral graph invariant $\mu(\Gamma)$. Roughly speaking, $\mu(\Gamma)$ is the maximal multiplicity of the second largest eigenvalue of the matrices $C$ with the property $C_{ij} = C_{ji} > 0$ for adjacent $i$ and $j$, $C_{ij} = 0$ for non-adjacent $i$ and $j$ and arbitrary diagonal elements $C_{ii}$. The precise definition is as follows.

Let $\Gamma$ be a connected undirected graph with the vertex set $\{1, \ldots, n\}$. Let $\mathcal{M}_\Gamma$ denote the set of symmetric matrices $M = (M_{ij}) \in \mathbb{R}^{V \times V}$ associated with $\Gamma$ satisfying

(1) $M_{ij} \begin{cases} < 0, & ij \in E \\ = 0, & ij \notin E \end{cases}$;

(2) $M$ has exactly one (simple) negative eigenvalue.

$M$ is said to satisfy the *Strong Arnold Property* if the relation $MX = 0$ with a symmetric $n \times n$ matrix $X$ such that $X_{ij} = 0$ for any adjacent $i$ and $j$ and for $i = j$ implies that $X = 0$. This property is a restriction, which excludes some degenerate choices of the edge weights and the diagonal entries.

The *Colin de Verdière invariant* $\mu(\Gamma)$ is the largest corank of matrices from the set $\mathcal{M}_\Gamma$ satisfying the Strong Arnold Property. A matrix $M \in \mathcal{M}_\Gamma$ with corank $\mu(\Gamma)$ is called a *Colin de Verdière matrix* of $\Gamma$.

After the change of sign and shift by a scalar matrix $C = cI - M$ the corank, which is the dimension of the null space of $M$ becomes the multiplicity of the second largest eigenvalue of $C$.

Colin de Verdière characterised all the graphs with parameter $\mu(\Gamma) \leq 3$.

A graph is called *outerplanar* if it can be drawn in the plane without crossings in such a way that all of the vertices belong to the unbounded face of the drawing.

### Theorem 4. (Colin de Verdière, 1990)

- $\mu(\Gamma) \leq 1$ *if and only if* $\Gamma$ *is a path;*
- $\mu(\Gamma) \leq 2$ *if and only if* $\Gamma$ *is outerplanar;*
- $\mu(\Gamma) \leq 3$ *if and only if* $\Gamma$ *is planar.*

The planarity characterization is a remarkable result, which will be important for us. The "only if" part is relatively simple and follows from Kuratowski's characterisation of the planar graphs [8]. The original proof of the "if" part was quite involved. Van der Holst [9] substantially simplified it and showed that for 3-connected planar graphs the Strong Arnold property does not play any role.

### Corollary 1. (Van der Holst, 1995) *For any matrix $M$ from $\mathcal{M}_\Gamma$ the corank of $M$ can not be larger than 3.*

In [15] Lovász found an explicit way of constructing the Colin de Verdière matrix for any 3-connected planar graph $\Gamma$ using the Steinitz' realisation of $\Gamma$ as a 1-skeleton of a convex polyhedron $P$. This result will be crucial for us, so we will sketch here the main steps of his construction following [15].

Recall first the notion of polarity for polyhedra in $\mathbb{R}^3$, see e.g. [32]. Let $P$ be any convex polytope in $\mathbb{R}^3$, containing the origin in its interior. The *polar polyhedron* $P^*$ is defined as

$$P^* = \{y \in \mathbb{R}^3 : (y, x) \leq 1 \text{ for all } x \in P\},$$

where $(,)$ denote the scalar product in $\mathbb{R}^3$. It is known that $P^*$ is also a convex polyhedron and the 1-skeleton of $P^*$ is the planar dual graph $\Gamma^* = (\mathcal{V}^*, \mathcal{E}^*)$ with vertices corresponding to the faces of $P$ and edges corresponding to edges of $P$ [32].

Now let $P \subset \mathbb{R}^3$ be Steinitz' realisation of graph $\Gamma$, so that $\Gamma$ is isomorphic to 1-skeleton $\Gamma(P)$. We can always assume that $P$ contains the origin inside it. Consider its polar polyhedron $P^*$.

Let $u_i$ and $u_j$ be two adjacent vertices of $P$, and $w_f$ and $w_g$ be the endpoints of the corresponding edge of $P^*$. Then by the definition of polarity we have

$$(w_f, u_i) = (w_g, u_i) = 1.$$

This implies that $w_f - w_g$ is perpendicular to $u_i$, and similarly to $u_j$. Hence the vectors $w_f - w_g$ and the cross-product $u_i \times u_j$ are parallel and we can find the coefficients $M_{ij}$ such that

$$w_f - w_g = M_{ij}(u_i \times u_j).$$

We can always choose the labelling of $w_f$ and $w_g$ in such a way that $M_{ij} < 0$.

This defines $M_{ij}$ for adjacent $i \neq j$. For non-adjacent $i$ and $j$ we define $M_{ij}$ to be zero. To define $M_{ii}$ consider the vector

$$u_i' = \sum_{j \sim i} M_{ij} u_j.$$

Then

$$u_i \times u_i' = \sum_{j \sim i} M_{ij} u_i \times u_j = \sum (w_f - w_g),$$

where the last sum is taken over all edges $fg$ of the face of $P^*$ corresponding to $i$, oriented counterclockwise. Since this sum is zero we have

$$u_i \times u_i' = 0,$$

which means that $u_i$ and $u_i'$ are parallel. Therefore we can define $M_{ii}$ by the relation

$$u_i' = -M_{ii} u_i.$$

**Theorem 5. (Lovász, 2000)** *The matrix $M$ described above is a Colin de Verdière matrix for the graph $\Gamma$.*

Indeed by construction $M$ has the right pattern of zeros and negative elements. The condition $u_i' = -M_{ii} u_i$ can be written in the following form

$$\sum_j M_{ij} u_j = 0.$$

This means that each coordinate of the $u_i$ defines a vector in the kernel of $M$ and hence $M$ has corank at least 3. But by Corollary 1 it can not be larger than 3, so the corank is 3 and thus maximal.

To prove that $M$ has exactly one negative eigenvalue one can use the classical Perron-Frobenius theorem, see e.g. [6].

**Theorem 6. (Perron-Frobenius, 1912)** *If a real matrix has non-negative entries then it has a nonnegative real eigenvalue $\lambda$ which has maximum absolute value among all eigenvalues. This eigenvalue $\lambda$ has a real eigenvector with non-negative coordinates. If the matrix is irreducible, then $\lambda$ has multiplicity 1 and the corresponding eigenvector can be chosen to be positive.*

Choosing sufficiently large $c > 0$ we have the matrix $cI - M$, which has non-negative entries and irreducible, so we can apply the Perron-Frobenius Theorem to conclude that the smallest eigenvalue of $M$ has multiplicity 1. It must be negative since we know that the eigenvalue 0 has multiplicity at least 3. The fact that there are no more negative multiplicities requires a considerable work using the connectivity of the space of Steinitz' realisations, see [15].

Conversely, having a Colin de Verdière matrix $M \in \mathcal{M}_\Gamma$ one can consider the following *null space representation* $\nu : \mathcal{V} = \{1, 2, \ldots, n\} \to \mathbb{R}^3$ (see [16]).

Choose a basis $a_1, a_2, a_3$ in the kernel of $M$ and consider a $3 \times n$ matrix $X$ with rows being the coordinates of $a_1, a_2, a_3$. Then the columns $u_i$, $i = 1, \ldots, n$ of this matrix give the set of 3-vectors, defining the map $\nu$. The problem is that in general they will not be vertices of a convex polyhedron, but Lovasz [15] showed that after some scaling $u_i \to \mu_i u_i$ this is the case (such a scaling he called *proper*). At the level of the Colin de Verdière matrices this corresponds to the change $M \to DMD$, where $D = diag\,(\mu_1, \ldots, \mu_n)$ is a non-degenerate diagonal matrix, which obviously preserves the properties of $\mathcal{M}_\Gamma$.

**Theorem 7. (Lovász, 2000)** *For a 3-connected planar graph $\Gamma$ any Colin de Verdière matrix $M \in \mathcal{M}_\Gamma$ can be properly scaled, so that null space representation gives a convex polyhedron with 1-skeleton isomorphic to $\Gamma$.*

Note that the change of basis in the kernel of $M$ corresponds to a linear transformation of $\mathbb{R}^3$, so the corresponding polyhedron is defined only modulo affine transformation.

Now we are ready to prove our main result.

## 5. PROOF OF THE MAIN THEOREM

Let $G$ be a Platonic group and $\Gamma$ a $G$-invariant planar 3-connected graph.

We know after Steinitz that $\Gamma$ can be realized by a 3-dimensional convex polyhedron $P$, but in the presence of symmetry Mani [18] showed that there is a symmetric realisation $P_G \subset \mathbb{R}^3$.

**Theorem 8. (Mani, 1971)** *There exists a convex polyhedron $P_G \subset \mathbb{R}^3$ with the group of isometries isomorphic to $G$ and with 1-skeleton isomorphic to $\Gamma$.*

Since $\Gamma$ is planar and 3-connected, its Colin de Verdière invariant $\mu(\Gamma)$ must be 3. Let $M$ be the Colin de Verdière matrix given by Lovász construction applied to Mani's version of Steinitz realisation $P_G$.

Let $N$ be the normalised adjacency matrix (4). We know that the matrix of the Buffon transformation $B$ is related to $N$ by

$$B = \frac{1}{2}D^{-\frac{1}{2}}(I + N)D^{\frac{1}{2}}$$

and that its largest eigenvalue is $\lambda_0 = 1$. Let $\lambda_1$ be the second largest eigenvalue of $B$. We would like to show that it has multiplicity 3.

To do this consider the symmetric matrix

$$\widehat{B} = -\frac{1}{2}N + (\lambda_1 - \frac{1}{2})I.$$

It is easy to see that $\widehat{B} \in \mathcal{M}_\Gamma$ and that the corank of $\widehat{B}$ is precisely the multiplicity of $\lambda_1$.

Define a parameter family of matrices

(5)                 $$M_t = (1 - t)M - t\widehat{B}, \quad t \in [0, 1]$$

where $M$ is the Colin de Verdière matrix defined above.

Since $M_t$ is $G$-invariant, the group $G$ acts on the kernel of $M_t$. When $t = 0$ we know that the kernel of $M(0) = M$ has dimension 3 and by Lovász result [15] the corresponding representation of $G$ is standard geometric by the isometries of $P_G$.

Since this representation is irreducible and the set of 3-dimensional representations of $G$ is discrete, by continuity arguments the kernel will remain 3-dimensional geometric representation for all $t \in [0, 1]$, in particular for $t = 1$.

These arguments will not work only if 0 collides with another eigenvalue. But this could not happen with the negative eigenvalue because of the Perron-Frobenius theorem. In particular, all matrices $M_t$ belong to $\mathcal{M}_\Gamma$. If this happens with a positive eigenvalue we will have the corank of the corresponding $M_t$ to be at least 4, which contradicts to the Colin de Verdière result.

Thus we have proved that the kernel of $M_1 = -\widehat{B}$ is 3-dimensional, and hence the same is true for the subdominant eigenspace of the Buffon operator $B$. The limiting shape is given essentially by the null space representation construction, but the proper scaling may not hold. However, the very existence of a proper scaling [15, 16] and the assumption of simplicity imply that the corresponding vectors $u_i$ are the vertices of a certain star-shaped polyhedron with 1-skeleton isomorphic to $\Gamma$. The triakis tetrahedron example below shows that the proper scaling is indeed not automatic, so the convexity property does not necessary hold.

This completes the proof of Theorem 1.


## 6. Concluding remarks.

The Buffon regularisation procedure can be interpreted as search of an ideal shape of a given polyhedron and in that sense can be considered as one of the earliest examples of the trend, popular in modern differential geometry.

For manifolds this usually leads to the solutions of certain nonlinear PDEs like the mean curvature flow in the theory of minimal surfaces [11] or the celebrated Ricci flow in Thurston's geometrization programme [20]. Our case is conceptually closer to the description of the minimal submanifolds in the unit sphere using the eigenfunctions of the Laplace-Beltrami operator, see [14, 26].

The main difference with the differential case is that the generic graphs are much less regular objects than manifolds, even under our assumption of Platonic symmetry. The crucial thing here is a large multiplicity of the second eigenvalue of the Buffon operator. How to guarantee this is a good question.

The symmetry assumption seems to be natural. In this relation we would like to mention an interesting result of Mowshowitz [22], who showed that if all eigenvalues of the adjacency matrix $A$ of a graph are different, then every automorphism of $A$ has order 1 or 2. Some interesting related results for the graphs with vertex transitive group action can be found in [12]. Note that in our case the group action is far from being vertex transitive.

An interesting question concerns the decomposition of $\mathcal{F}(\mathcal{V})$ into the irreducible $G$-modules with respect to the Buffon spectrum. We saw that the geometric representation always appears at the subdominant level, but we do not know much about higher levels. For the regular polyhedra the answer is given in Appendix A.

It would be interesting to understand what our geometric analysis means for related random walk on the corresponding graphs.

Finally, a natural question is what happens in higher dimension. We believe that for the simplicial polyhedra we should expect similar result if we assume the symmetry under an irreducible Coxeter group. Note that in dimension 4 we have 6 regular polyhedra with the symmetry groups $A_4 = S_5$, $B_4$, $F_4$ and $H_4$, while in dimension more than 4 we have only analogues of tetrahedron, cube and octahedron.

## 7. Acknowledgements.

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## Appendix A. The symmetry groups of Platonic solids and their characters

The symmetry group of a regular tetrahedron is $S_4$ and is isomorphic to the permutation group of the vertices.

The full symmetry group of the octahedron is the same as for the cube: $G = S_4 \times \mathbb{Z}_2$. $S_4$ is the rotation subgroup, which is isomorphic to the permutation group of the 4 long diagonals, and $\mathbb{Z}_2$ corresponds to the central symmetry of cube.

For the icosahedron and dodecahedron the full symmetry group is known to be $A_5 \times \mathbb{Z}_2$, where $A_5 \subset S_5$ is the alternating subgroup of $S_5$ describing the rotational symmetry and $\mathbb{Z}_2$ is again the central symmetry of the solids.

The irreducible representations of the group $G = H \times \mathbb{Z}_2$ have the the form $V_1 \otimes V_2$, where $V_1$ and $V_2$ are irreducible representations of $H$ and $\mathbb{Z}_2$ respectively. Note that $V_2$ is either trivial or sign representation of $\mathbb{Z}_2$, which we will denote respectively by 1 and $\varepsilon$. Thus we need only the character tables of the groups $S_4$ and $A_5$, which in the notations of Fulton and Harris [5] are given below in Tables 1 and 2.

| 24 | 1 | 6 | 8 | 6 | 3 |
|----|---|-----|-------|--------|---------|
| $S_4$ | 1 | (12) | (123) | (1234) | (12)(34) |
| $U$ | 1 | 1 | 1 | 1 | 1 |
| $U'$ | 1 | -1 | 1 | -1 | 1 |
| $V$ | 3 | 1 | 0 | -1 | -1 |
| $V'$ | 3 | -1 | 0 | 1 | -1 |
| $W$ | 2 | 0 | -1 | 0 | 2 |

TABLE 1. The character table of $S_4$.

| 60 | 1 | 20 | 15 | 12 | 12 |
|----|---|------|--------|--------|--------|
| $A_5$ | 1 | (123) | (12)(34) | (12345) | (21345) |
| $U$ | 1 | 1 | 1 | 1 | 1 |
| $V$ | 4 | 1 | 0 | -1 | -1 |
| $W$ | 5 | -1 | 1 | 0 | 0 |
| $Y$ | 3 | 0 | -1 | $\frac{1+\sqrt{5}}{2}$ | $\frac{1-\sqrt{5}}{2}$ |
| $Z$ | 3 | 0 | -1 | $\frac{1-\sqrt{5}}{2}$ | $\frac{1+\sqrt{5}}{2}$ |

TABLE 2. The character table of $A_5$.

With these notations the geometric representations are: $V$ for tetrahedral group $G = S_4$, $\varepsilon V' = V' \otimes \varepsilon$ for cube/octahedral group $G = S_4 \times \mathbb{Z}_2$ and $\varepsilon Y = Y \otimes \varepsilon$ for icosahedral/dodecahedral group $G = A_5 \times \mathbb{Z}_2$.

The corresponding decompositions of the space of functions on the vertices into irreducible $G$-modules are

$$\mathcal{F}(T) = U \oplus V \tag{6}$$

for tetrahedron,

$$\mathcal{F}(O) = U \oplus \varepsilon V' \oplus W \tag{7}$$

for octahedron,

$$\mathcal{F}(C) = U \oplus \varepsilon V' \oplus V \oplus \varepsilon U' \tag{8}$$

for cube,

$$\mathcal{F}(I) = U \oplus \varepsilon Y \oplus W \oplus \varepsilon Z \tag{9}$$

for icosahedron,

$$\mathcal{F}(D) = U \oplus \varepsilon Y \oplus W \oplus \varepsilon V \oplus V \oplus \varepsilon Z \tag{10}$$

for dodecahedron.

We have ordered them according to the appearance in the spectrum of the Buffon operator. It turns out that in all these cases the spectral decomposition coincides with $G$-decomposition (see the examples below). Note that the first two are always trivial and geometric representations in agreement with our result.

## Appendix B. Examples of Buffon realizations of polyhedra

For the polyhedra $P$ with combinatorial structure of Platonic solids the Buffon procedure leads to the polyhedron $P_B$, which is affine equivalent to the regular realisation of $P$.

Since in the regular case the Buffon matrix $B$ can be replaced by the adjacency matrix $A$ the calculations are essentially the same as in [19], where one can find a lot more experimental data. The calculation of spectra of regular polytopes can be found in [24].

We present here the most instructive examples of Buffon realisations of regular, Archimedean and Catalan solids. All the calculations and pictures were made using Mathematica. More details with explicit Buffon realisations can be found in the Arxiv version of this paper [25].

Recall that the Archimedean solids (also referred to as the semi-regular polyhedra) are the convex polyhedra with faces being regular polygons of two or more different types arranged in the same way about each vertex. Solids with a dihedral group of symmetries (e.g., regular prisms and antiprisms) are not considered to be Archimedean solids. With this restriction there are 13 Archimedean solids. For Archimedean solids the affine $B$-regular version is in general is not affine equivalent to the standard one (see below the example of truncated cube).

The Catalan solids are duals of the Archimedean solids. The Catalan solids are convex polyhedra with regular vertex figures (of different types) and with equal dihedral angles. For Catalan solids the affine $B$-regular versions may not be convex or, in non-simplicial case, may even not exist (see the examples below).

We start with the regular cases of icosahedron and dodecahedron to show the relation with $G$-decomposition and to look at the embeddings related to other eigenvalues.
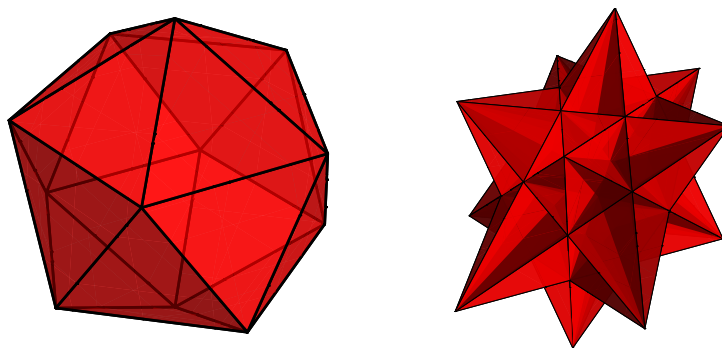
**The Icosahedron**



FIGURE 4. The icosahedron and affine great icosahedron.

The corresponding Buffon spectrum is:

$$\left\{ 1^{(1)}, \frac{1}{10}\left(5+\sqrt{5}\right)^{(3)}, \frac{2}{5}^{(5)}, \frac{1}{10}\left(5-\sqrt{5}\right)^{(3)} \right\}$$

in agreement with (9).

The eigenspaces corresponding to the eigenvalues $\frac{1}{10}\left(5\pm\sqrt{5}\right)^{(3)}$ describe respectively an affine regular icosahedron and affine great icosahedron, which is one of four Kepler-Poinsot regular star polyhedra (see Fig. 4).

The eigenspace corresponding to the eigenvalue $\frac{2}{5}$ describes the 5-dimensional realisation of an icosahedron as a 5-simplex: 6 pairs of opposite vertices identified with 6 vertices of the simplex.
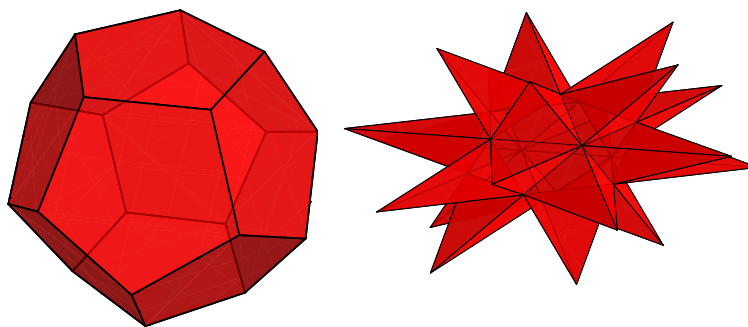
**The Dodecahedron**



FIGURE 5. The dodecahedron and affine great stellated dodecahedron.

The corresponding Buffon spectrum is

$$\left\{ 1^{(1)}, \frac{1}{6}\left(3 + \sqrt{5}\right)^{(3)}, \frac{2}{3}^{(5)}, \frac{1}{2}^{(4)}, \frac{1}{6}^{(4)}, \frac{1}{6}\left(3 - \sqrt{5}\right)^{(3)} \right\}$$

in agreement with (10).

The eigenspaces corresponding to the second highest eigenvalue $\lambda_2 = \frac{1}{6}\left(3 + \sqrt{5}\right)$ and to its conjugate $\lambda_6 = \frac{1}{6}\left(3 - \sqrt{5}\right)$ describe respectively affine versions of the dodecahedron and the great stellated dodecahedron, which is another Kepler-Poinsot polyhedron (see Fig. 5).

It is a bit puzzling that the remaining two Kepler-Poinsot polyhedra (small stellated dodecahedron and great dodecahedron) seem to not appear in Buffon approach.

The eigenvalue $\frac{2}{3}$ leads to the 5-dimensional embedding of dodecahedron with "broken faces". It would be interesting to understand the geometry of the 4-dimensional embeddings corresponding to $\frac{1}{2}$ and $\frac{1}{6}$. Since in the second case the opposite vertices identified it corresponds to the representation $V$ in agreement with (10).

### The Truncated Cube

is one of the Archimedean solids, for which Buffon realisation is not affine equivalent to the standard one.
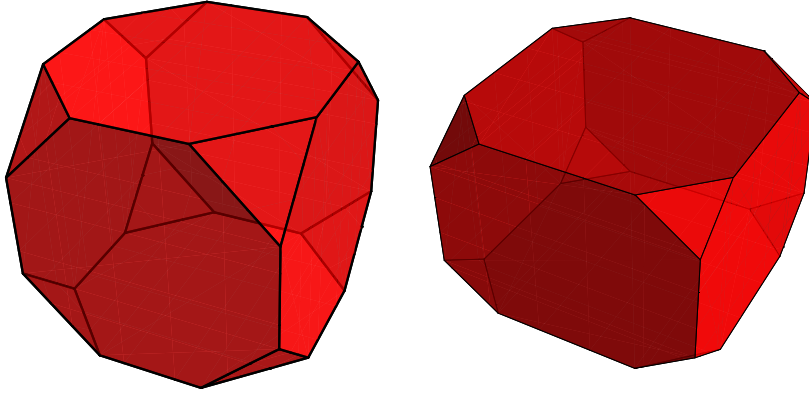


FIGURE 6. The truncated cube and affine $B$-regular truncated cube.

The corresponding Buffon spectrum is:

$$\left\{ 1^{(1)}, \frac{1}{12}\left(7 + \sqrt{17}\right)^{(3)}, \frac{5}{6}^{(3)}, \frac{2}{3}^{(1)}, \frac{1}{2}^{(5)}, \frac{1}{3}^{(3)}, \frac{1}{12}\left(7 - \sqrt{17}\right)^{(3)}, \frac{1}{6}^{(5)} \right\}$$

The facing octagons of the Buffon realisation are not affine regular: one can check that $(x_{22} - x_{14}) = \frac{3+\sqrt{17}}{4}(x_1 - x_5)$ while for the regular octagon $(x_{22} - x_{14}) = (1 + \sqrt{2})(x_1 - x_5)$. Thus the affine $B$-regular truncated cube obtained by the Buffon procedure is not an affine version of the regular truncated cube (see Fig. 6).

### Triakis Tetrahedron

is the Catalan solids dual to the truncated tetrahedron. This is the simplest case when convexity does not hold for Buffon realisation (see Fig. 7).
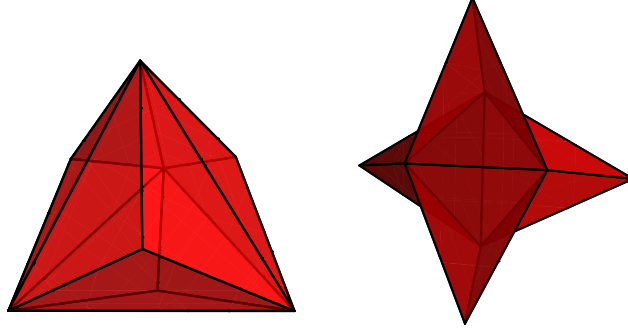


FIGURE 7. Triakis tetrahedron and its affine $B$-regular version, which is star-shaped but not convex.

The corresponding Buffon eigenvalues are:

$$\left\{ 1^{(1)}, \frac{7}{12}^{(3)}, \frac{1}{3}^{(3)}, \frac{1}{4}^{(1)} \right\}$$

In the case of the eigenvalue $\frac{1}{3}$ the corresponding vertices coalesce together pairwise and form a general tetrahedron.

### The Rhombic Dodecahedron

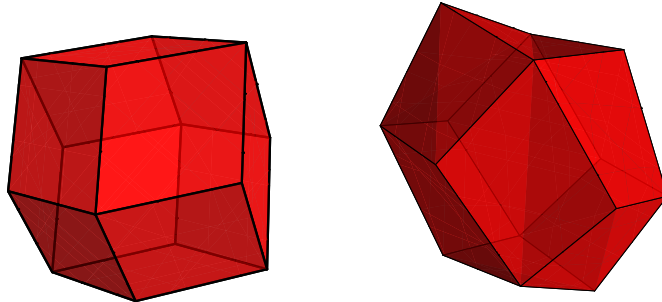is the Catalan solid dual to cuboctahedron. We will see that it does not admit Buffon realisation.



FIGURE 8. The rhombic dodecahedron and the corresponding subdominant eigenspace realisation: all the faces are broken (nonplanar).

The corresponding eigenvalues are:

$$\left\{ 1^{(1)}, \frac{1}{6} \left( 3 + \sqrt{3} \right)^{(3)}, \frac{1}{2}^{(6)}, \frac{1}{6} \left( 3 - \sqrt{3} \right)^{(3)}, 0^{(1)} \right\}$$

The eigenspaces corresponding to $\frac{1}{6}\left(3 \pm \sqrt{3}\right)$ fail to give polyhedra with combinatorial structure of the 1-skeleton of the rhombic dodecahedron because of the "broken faces". A particular graph realisation obtained from the subdominant eigenspace is shown in Fig. 8.

### Pentakis Dodecahedron

is the Catalan solid dual to the truncated icosahedron, which we mentioned in the Introduction. A version with all edges of equal length featured on Leonardo's drawing (see Fig.2) is called cumulated dodecahedron.
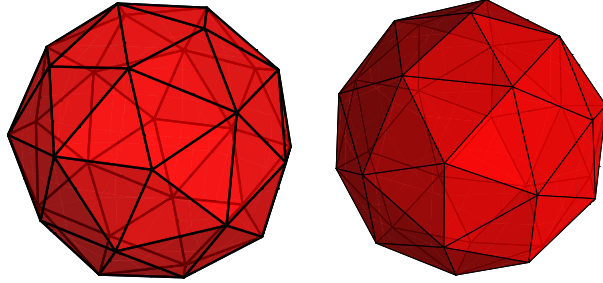


FIGURE 9. The pentakis dodecahedron and its affine $B$-regular version.

The corresponding Buffon eigenvalues are:

$$1^{(1)}, \frac{1}{120}\left(60 + 5\sqrt{5} + \sqrt{725 + 240\sqrt{5}}\right)^{(3)}, \frac{1}{120}\left(65 + \sqrt{385}\right)^{(5)}, \frac{1}{24}\left(12 - \sqrt{5} + \sqrt{29 - \frac{48}{\sqrt{5}}}\right)^{(3)}, \frac{1}{2}^{(4)},$$

$$\frac{1}{120}\left(65 - \sqrt{385}\right)^{(5)}, \frac{1}{3}^{(4)}, \frac{1}{120}\left(60 + 5\sqrt{5} - \sqrt{725 + 240\sqrt{5}}\right)^{(3)}, \frac{1}{24}\left(12 - \sqrt{5} - \sqrt{29 - \frac{48}{\sqrt{5}}}\right)^{(3)}, \frac{1}{4}^{(1)}.$$

The Buffon version is convex and looks pretty similar to the usual one, but the pyramids are slightly higher (see Fig. 9). The ratios of the height of a pyramid to the distance of its top vertex from the centre in the Catalan and Buffon cases are $1/3(1 - 1/\sqrt{5}) \approx 0.184$ and $1 - 1/12(\sqrt{5} + \sqrt{29 + 48/\sqrt{5}}) \approx 0.222$ respectively.

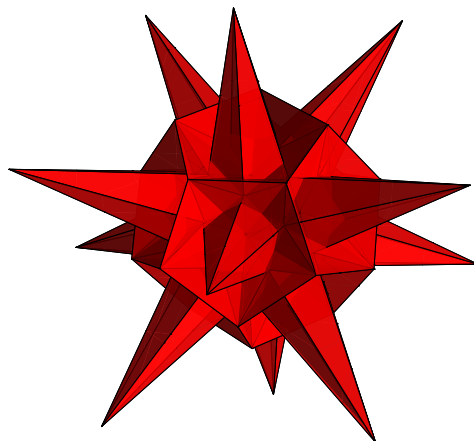The self-intersecting realisations corresponding to other multiplicity 3 eigenvalues are shown at Fig.10.

FIGURE 10. Eigenspace realisation corresponding to $\lambda_8 = (60 + 5\sqrt{5} - \sqrt{725 + 240\sqrt{5}})/120$ conjugated to $\lambda_2$. The pyramids are built inside and go through the dodecahedron.
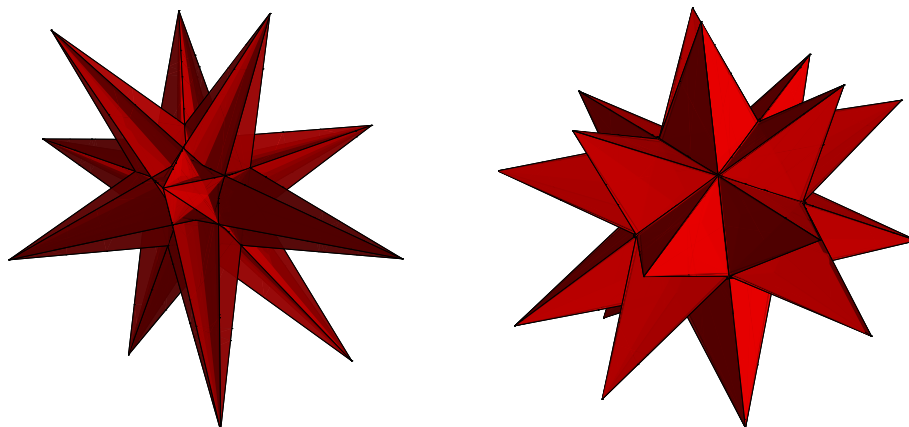


FIGURE 11. Eigenspace realisations corresponding to two remaining multiplicity 3 eigenvalues $\lambda_4$ and $\lambda_9$: great icosahedron and great stellated dodecahedron with extra vertices.

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