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**In-situ Measurement of 3D Crystal Size Distribution by
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In-situ Measurement of 3D Crystal Size Distribution by Double-View Image Analysis with Case Study on L-glutamic Acid Crystallization

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Abstract: In this paper, an in-situ measurement method is proposed for monitoring three-dimensional (3D) crystal size distribution (CSD) during a crystallization process, based on a binocular micro-vision system. The stereo particle shape is reconstructed from double-view images captured by two microscopic cameras fixed at different angles outside the crystallizer. To overcome the influence from solution turbulence and uneven illumination background involved with in-situ imaging, a microscopic double-view image analysis method is established to identify the key corners of each particle shape in the captured images, including corner detection and corner matching. Two fast algorithms are therefore given for on-line detection of two typical crystal morphologies of prismatic and needle-like shapes, such as α - and β -forms of L-glutamic acid (LGA) crystals, respectively. Based on the identified key corners for different particle shapes, a 3D geometry model is established to approximately reconstruct the 3D shape for each imaged particle, such that 3D sizes of each particle could be quantitatively estimated, along with the particle volume. Experiments on the LGA cooling crystallization are performed to demonstrate the effectiveness of the proposed method.

Keywords: Crystal size distribution, three-dimensional (3D) particle size measurement, binocular micro-vision system, 3D geometry reconstruction, corner detection, L-glutamic acid crystallization.

1. Introduction

The crystallization technology has been widely used for separating different components from solution and purifying particle products in chemical and pharmaceutical industries. For control and optimization of crystallization processes, on-line process analytical technologies (PATs) have been explored for assessing the crystal morphology, growth rate and quality¹⁻⁴. With a rapid development of the photoelectric technology, on-line image based monitoring methods were increasingly studied for measuring the sizes and shape of crystals⁵⁻⁹. Based on image analysis of multi-dimensional crystal sizes and shape feature, a few crystal morphology analysis methods were developed for monitoring the crystal quality during crystallization^{10, 11}. The developed imaging systems for monitoring crystallization processes mainly include two types: invasive and non-invasive. For using an invasive imaging system, e.g., the digital particle vision and measurement (PVM)¹², the imaging probe could be stuck into the crystal slurry to capture the crystal images. A novel invasive imaging probe was recently developed based on the existing image analysis methods to cope with blurry images with noise¹³. In contrast, a non-invasive imaging system is installed outside a crystallizer to image the crystallization process by the observation window^{14, 15}. Compared with an invasive imaging system, a non-invasive imaging system could avoid the contamination of camera lens from the crystal slurry. However, the lighting source of a non-invasive imaging system needs to be carefully installed in an opposite position to the cameras outside the crystallizer, in order to provide sufficient illumination for real-time imaging.

With crystal images captured by an invasive or non-invasive imaging system, Larsen et al.¹⁶ developed an efficient image processing algorithm for analyzing the crystal size distribution (CSD) of high-aspect-ratio crystals. Zhang et al.¹⁷ proposed a few particle shape descriptors based on the principal component analysis (PCA) to classify polymorphic organic crystals during batch crystallization. A synthetic image analysis method¹¹ was recently presented for in-situ crystal size measurement and shape identification. Gao et al.⁸ proposed an in-situ measurement method based on the recently developed deep learning technology to classify α - and β -forms of L-glutamic acid (LGA) crystals and measure the two-dimensional (2D) sizes of length and width, along with an estimation of the surface area. This approach needs a large amount of samples of α - or β -form

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3 crystals for off-line training along with a demanding computation effort. For monitoring the crystal
4 growth quality, it was pointed out that 2D image analysis methods could provide relatively less
5 information than those of 3D imaging methods¹⁸⁻²⁰. Bujak and Bottlinger²¹ adopted three
6 orthogonally installed cameras to measure 3D sizes of particles with irregular shapes, but not for
7 imaging crystals in the slurry. An off-line 3D shape measurement method was developed based on
8 assembling the 2D surface images of a crystal captured by using a regular reflection of light²².
9 Another off-line 3D size measurement method²³ was proposed for cuboid crystals such as β -form
10 LGA, by using a multi-projection imaging system consisting of one camera and two mirrors. This
11 approach was subsequently extended for on-line measurement by imaging a flow through cell using
12 a sampling loop with two external cameras installed orthogonal to each other²⁴, which was mainly
13 devoted to cuboid crystals. Borchert et al.²⁵ developed an alternative image analysis method for
14 reconstructing the 3D crystal shape from the corresponding 2D crystal projections, where the
15 Fourier descriptors were used to detect the crystal shape outline based on a pre-defined database of
16 different crystal shapes. Recently, a new dual-camera measurement device was developed for real-
17 time monitoring of particle shapes rather than 3D size measurement via a circulating pipeline²⁶,
18 based on an image segmentation algorithm for background extraction and a volume intersection
19 method for classification of different 3D particle shapes. Ma et al.²⁷ presented a proof-of-concept
20 of 3D shape reconstruction based on using two no-invasive cameras installed with a pre-specified
21 angle to synchronously capture images, which was further extended in the references^{20, 28} for
22 roughly estimating 3D size growth of crystals rather than quantitative measurement. For using a
23 binocular micro-vision system to capture stereo images for analysis, a few calibration methods for
24 guaranteeing the measurement accuracy were reported in the references^{29, 30}, but these methods
25 could not be used for in-situ installed micro-vision systems subject to uneven illumination
26 background, particle motion, and solution turbulence usually involved with crystallization
27 processes. Although the recent work³¹ developed a microscopic double-view image analysis
28 method for in-situ measurement of 2D particle sizes, it remains open to measure the third
29 dimensional particle size that could be perpendicular to the 2D imaging plane, and therefore, the
30 particle volume could not be estimated therein.
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To tackle the difficulty of measuring 3D sizes and volumes of particles during crystallization, an in-situ image-based measurement method is proposed in this paper with application to the cooling crystallization processes of α - and β -form LGA crystals, based on a non-invasive binocular micro-vision system. Firstly, two fast image analysis algorithms are given for identifying the key corners of two typical crystal morphologies, i.e., prismatic α -form and needle-like β -form of LGA crystals, respectively. Then, a binocular geometric model is constructed for computing the 3D space location of each corner. Based on the computed 3D coordinates of these key corners, a 3D geometry model is established to approximately reconstruct the 3D particle shape, which is therefore used for measuring the 3D sizes of each particle in the in-situ captured images. A measurement test on a micro-scale ruler placed in 3D location is conducted to verify the accuracy of the proposed method for 3D size measurement. In addition, another fast algorithm is given for computing the volumes of particles with image reconstruction, for the convenience of real-time application. Experiments on monitoring the cooling crystallization process of LGA are performed to demonstrate the effectiveness of the proposed method for in-situ measurement of particle sizes.

2. Experimental set-up

2.1 Non-invasive binocular micro-vision system for in-situ measurement

The experimental set-up for using a non-invasive binocular vision system to monitor a cooling crystallization process is shown in Fig.1, where the crystallizer consists of a 4L jacketed glass reactor (ACE-AIO 4000), a 4-paddle agitator (PTFE), a thermostatic circulator (Julabo-CF41), and a temperature probe (Pt100). The non-invasive binocular vision system for in-situ imaging during crystallization was made by Hainan Six Sigma Intelligent Systems Ltd. (product no. Stereo Vision Crystal-G), which consists of two microscopic cameras and two lighting sources commanded by a light controller (Gardasoft RT260-20) for snapshot. Each camera has a CCD sensor with the maximum pixel resolution of 2448×2048 and a micro lens set at a distance of 40mm from the reactor glass wall (the maximum working distance is about 65mm). The maximum frame rate is 6.5 fps for each camera. For in-situ measurement, two microscopic cameras are situated up and down in a line outside the glass vessel so as to alleviate the distortion for capturing images, while

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3 there is an intersection angle of 12.5 degree between the optical axes of two cameras. The lighting
4 sources are installed in line with the camera lens on the other side of the glass vessel, providing the
5 lighting illumination of 350lux. For real-time analysis, a pair of microscopic images is
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7 synchronously shot via two cameras per two seconds during the crystallization process.
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10 11 12 **2.2 Crystallization material of LGA**

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14 The solute material used in this study is LGA ($C_5H_9NO_4$). LGA has two typical polymorphic
15 forms ^{5, 32}, prismatic α -form and needle-like β -form, as shown in Fig.2. Different linear cooling
16 rates ⁵ were studied to procure these two product forms. In this work, the LGA solution was taken
17 as distilled water.
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21 To perform a cooling crystallization experiment of LGA, the solution is initially heated up to
22 70°C and then maintained at the temperature until all the LGA solute is completely dissolved. After
23 that, the solution is cooled down to 20°C by a specified cooling rate and maintained at the
24 temperature until the end of experiment. The agitator is operated at a constant rate of 200 rpm to
25 maintain the uniformity of particle distribution in the suspension during crystallization.
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33 34 **3. Double-view image analysis on the key corners of particle shapes**

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36 Since double-view images in-situ captured by a non-invasive binocular vision system shown
37 in Fig.1 were blurred by solution turbulence and uneven illumination background, it is necessary
38 to identify salient features of particle shapes in these images for analyzing 3D particle morphology
39 and sizes. To exclude the noise affect, the well-known median filter ³³ may be used to recover the
40 denoised grayscale images from the captured images for real-time analysis. Then, a multi-scale
41 segmentation with the Canny operator ³⁴ is preferred to detect the particle shape edge from a
42 denoised image. Note that any unobvious edge points could be removed by using a specified
43 threshold. By filling the gaps between identified edge points with their adjacent edge features, the
44 contour edge of each particle image could be determined in an efficient manner.
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55 For reconstructing 3D particle shapes based on the pre-processed images to measure the
56 particle 3D sizes, it is proposed to detect the key corners of each particle. LGA crystals have two
57 typical polymorphic forms, prismatic α -form and needle-like β -form ^{8, 35}, as shown in Fig.2a. Note
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that these two shapes could be distinguished from in-situ captured images by using the inner distance descriptor introduced in the previous work¹¹. However, their key corners are distinct from each other in the geometric location for shape reconstruction, as shown in Fig.2b. Concerning an α -form crystal, the 3D image contour after edge detection includes external and internal edges, and correspondingly, there are eight key corners to be detected, including four external and internal key corners, respectively. In contrast, a β -form crystal has a needle-like shape where the key corners are located at both ends of the image contour. Two different algorithms are therefore proposed to detect the key corners of α - and β -form crystals, respectively.

For detecting the key corners of an α -form crystal, the coordinates of all the contour points are denoted by (x_n, y_n) , where $n = 1, 2, \dots, N$, and therefore, the centroid coordinate denoted by (x_c, y_c) is defined by

$$\begin{cases} x_c = \frac{1}{N} \sum_{n=0}^{N-1} x_n \\ y_c = \frac{1}{N} \sum_{n=0}^{N-1} y_n \end{cases} \quad (2)$$

Correspondingly, the inner distances from the centroid to the boundary points are defined by

$$d_n = \sqrt{(x_c - x_n)^2 + (y_c - y_n)^2} \quad (3)$$

The inner distances of all the contour points are plotted in Fig.3, where the peak points are defined as the extremum points of the contour. The set of each edge point is composed of the boundary points between every two edge extreme points. The fitting lines $y = a_j x + b_j$, $j = 1, \dots, 4$ along each edge are optimized by a least-squares (LS) algorithm as

$$\begin{cases} a_j = \frac{1}{C^j} \sum_{k=1}^K (x_k^j - \bar{x}^j)(y_k^j - \bar{y}^j) \\ b_j = \bar{y}^j - a_j \bar{x}^j \end{cases} \quad (4)$$

where $C^j = \sum_{k=1}^K (x_k^j - \bar{x}^j)^2$, and K is the point number.

The key corners of either external or internal contour edges are determined by computing the crossover points of the above fitting lines. Note that the external and internal key corners are detected for determining the external and internal contour edges, respectively, as shown in Fig.2b.

For clarity, the proposed corner detection algorithm for prismatic α -form crystals is

summarized below.

Step 1: Find the external or internal contour edges using the Canny edge detector;

Step 2: Define the extremum points of external or internal contour edges in a particle image, by computing the centroid coordinates of the external or internal contour edges via Eq.(2) and the inner distances of all the contour points via Eq.(3), and then choosing the peak points in the plot of the inner distances;

Step 3: Fit the external contour edges in a particle image by optimizing the fitting lines along each edge via Eq.(4);

Step 4: Determine the external and internal key corners by computing the crossover points of the above fitting lines.

For detecting the key corners of a β -form crystal, the candidate corners are selected based on the curvature scale-space method that has good robustness against noise^{36, 37}. For the particle contour described by $\psi(u) = (x(u), y(u))$, where u denotes the length parameter, the corresponding multi-scale curve $\psi(u, \sigma)$ under a scale σ is defined by

$$\psi(u, \sigma) = (X(u, \sigma), Y(u, \sigma)) \quad (5)$$

where

$$\begin{cases} X(u, \sigma) = x(u) * g(u, \sigma) \\ Y(u, \sigma) = y(u) * g(u, \sigma) \end{cases} \quad (6)$$

where $*$ denotes the convolution operator, and $g(u, \sigma)$ denotes a Gaussian function with the standard deviation σ .

The curvature of $\psi(u, \sigma)$ is computed by

$$\psi(u, \sigma) = \frac{X_u(u, \sigma)Y_{uu}(u, \sigma) - X_{uu}(u, \sigma)Y_u(u, \sigma)}{(X(u, \sigma)^2 + Y(u, \sigma)^2)^{1.5}} \quad (7)$$

where $X_u(u, \sigma)$ and $X_{uu}(u, \sigma)$ are the first and second order derivatives of $X(u, \sigma)$ with respect to u . $Y_u(u, \sigma)$ and $Y_{uu}(u, \sigma)$ are the first and second order derivatives of $Y(u, \sigma)$ with respect to u .

According to Eq.(7), the curvature $\psi(u, \sigma_j)$ of an edge point on the scale j can be

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3 computed, and then the curvature product at four different scales is computed as

$$\Gamma(u) = \prod_{j=1}^4 \psi(u, \sigma_j) \quad (8)$$

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8 Subsequently, a local maximum edge point with a curvature product greater than a specified
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10 threshold, e.g., $T = 0.03$ given in the reference³⁷ for corner detection, is taken as a candidate
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12 corner. Considering that corner points should be at both ends of the crystal shape as shown in Fig.2b,
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14 the key corners are determined by specifying a criterion, i.e., the inner distance of a candidate
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16 corner should be no less than one third of the crystal length.

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18 Hence, the proposed corner detection algorithm for need-like β -form crystals is summarized
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20 below.
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23 Step 1: Find the external contour edges using the Canny edge detector;

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25 Step 2: Define the corners of the contour edges in a particle image by the curvature scale-space
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27 approach using Eqs.(4-7);

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29 Step 3: Exclude those corners not complying with the inner distance criterion.
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33 After the key corner detection, matching the key corners between double-view images is
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35 conducted by using the BRIEF descriptor³⁸ owing to its robustness and fast speed for real-time
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37 application. To determine the descriptor, a square region I of size $S \times S$ (i.e., pixel number) is
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39 chosen around such a key corner. Denote by p_i and q_i two different pixel points located in I ,
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41 where i is the pixel index and N is 256. To avoid sensitivity to noise, each region is
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43 preprocessed by the Gaussian smoothing approach³⁸. Then, an N -bit vector denoting the BRIEF
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45 descriptor is defined by

$$b_N(I) = \sum_{1 \leq i \leq N} 2^{i-1} g(I; p_i, q_i) \quad (9)$$

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47 where

$$g(I; p_i, q_i) = \begin{cases} 1 & \text{if } I(p_i) < I(q_i) \\ 0 & \text{otherwise} \end{cases} \quad (10)$$

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51 where $I(p_i)$ and $I(q_i)$ are the intensities of p_i and q_i in the region I . Note that (p_i, q_i)
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53 follow the Gaussian distribution of $(0, 1/25S^2)$.
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The similarity between corner descriptors computed from double-view images is then measured by the Hamming distance ³⁸, which determines the matching pairs of key corners in double-view images in terms of the maximum similarity degree.

It should be noted that the quality of particle morphology reconstruction depends on the identified key corners, which is affected by 3D location of each particle in the captured images.

4. Stereo shape reconstruction and measurement of 3D particle sizes

Based on the identified key corners in double-view images, a 3D geometry model is proposed to approximately reconstruct the stereo shape of each particle appearing in double-view images. Correspondingly, the 3D sizes and volume of each particle are measured based on the established 3D geometry model. An error analysis is given to verify the accuracy of the proposed method, along with an experiment on measuring a micro-scale ruler by using the non-invasive binocular micro-vision system shown in Fig.1.

4.1 3D geometry model

Fig.4a shows a geometry model case of imaging a space point denoted by P with the non-invasive binocular micro-vision system shown in Fig.1, where the left-view and right-view images are captured from the installed upper and lower cameras, respectively. The model origin of the 3D coordinate system is set to the left-view centroid as shown in Fig.4a, denoted by O . For 3D shape reconstruction, the 3D coordinate (X, Y, Z) of a space point P is a function of the 2D coordinates denoted by P_l and P_r in the double-view projections. Denote by $P_l(u_l, v_l)$ and $P_r(u_r, v_r)$ the imaging points from the left-view and right-view, respectively, both of which have the same size of $L \times H$ (length \times height) with P . Denote by γ the pixel equivalent without amplification, by κ the amplification coefficient, by b the baseline length, and by 2θ , ($0 < \theta < 90^\circ$) the stereo angle.

Without loss of generality, the 3D coordinate (X, Y, Z) of P is derived as

$$\begin{cases} X = Z \tan(\theta + p\tau_l) \\ Y = \gamma(v_l - H/2) \\ Z = bf \cos \theta / (2f \sin \theta + a_l + a_r) \end{cases} \quad (11)$$

Correspondingly, the key parameters of a_l and a_r in Eq.(11) that depend on the locations of point projection (i.e. u_l and u_r) in these images are derived as

$$a_l = p \frac{x_l \cos \tau_l}{\cos(\tau_l + p\theta)} \quad (12)$$

$$a_r = q \frac{x_r \cos \tau_r}{\cos(\tau_r + q\theta)} \quad (13)$$

with

$$p = \begin{cases} 1, & u_l \geq L/2 \\ -1, & u_l < L/2 \end{cases} \quad (14)$$

$$q = \begin{cases} -1, & u_r \geq L/2 \\ 1, & u_r < L/2 \end{cases} \quad (15)$$

where $\tan \tau_l = x_l / f$, $x_l = \frac{\gamma}{\kappa} |u_l - L/2|$, $\tan \tau_r = x_r / f$, $x_r = \frac{\gamma}{\kappa} |u_r - L/2|$.

For comprehension, a brief derivation of Eq.(11) for the case of $u_l \geq L/2$ and $u_r \geq L/2$ as shown in Fig.4a, is given in the Appendix. Similarly, the computational formulae of the 3D coordinate (X, Y, Z) of a space point P can be derived for the other three cases, $u_l \geq L/2$ and $u_r < L/2$; $u_l < L/2$ and $u_r \geq L/2$; $u_l < L/2$ and $u_r < L/2$, which are omitted for brevity.

Hence, the 3D coordinates of all the key corners in the image contour of each particle can be computed, and therefore, are used to approximately reconstruct the 3D geometry model of each particle shape, as shown in Fig.2.

4.2 Measurement error analysis

The derivation in the above section indicates that the 3D coordinates of key corners depend on the structural parameters of the non-invasive binocular micro-vision system shown in Fig.1, i.e., the pixel equivalent and the location of the image points. The 3D coordinate of such a space point can be expressed as a vector function,

$$(X, Y, Z) = F(f, b, \theta, \gamma, u_l, u_r) \quad (16)$$

It is therefore seen that the measurement error arises from the structural parameter error $(\Delta f, \Delta b, \Delta \theta)$, the size calibration error $\Delta \gamma$, and the image corner extraction error $(\Delta u_l, \Delta u_r)$. In fact, the structural parameter error could be negligible or reduced to a very small value if the non-invasive binocular micro-vision system is properly installed. Therefore, the size calibration error

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3 and image corner extraction error should be mainly considered to ensure the 3D measurement
4 accuracy. It should be noted that the size calibration error is affected by the imaging object distance.
5 Hence, different pixel equivalent values should be taken into account with respect to different
6 imaging object distances, especially for a large depth-of-field imaging system.
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11 Verification of the measurement error is necessary for practical application. However, few
12 references addressed feasible verification methods for micro-scale particle size measurement. It
13 remains open as yet to verify the accuracy and reliability of measuring 2D or 3D particle sizes by
14 using a micro-vision system. To tackle the difficulty, two critical indices including the space size
15 and dip angle are therefore introduced for assessing accuracy of the reconstructed stereo shape for
16 an imaged particle. Note that the dip angle is a 3D index which is not needed for 2D measurement.
17 In this study, a linear micro-scale ruler is used for experimental verification, in consideration of
18 that different sizes can be directly exemplified in micro-scale. Meanwhile, a geometric holder is
19 used to provide a dip angle of 65° for placing the micro-scale ruler to conduct 3D measurement.
20 Fig.5 shows a schematic diagram of the experimental verification. The measurement results for the
21 line segments from point B to point C (denoted by B-C), from point A to point C (denoted by A-
22 C) and from point A to point D (denoted by A-D) are listed in Table 1, where the relative
23 measurement error is defined by
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$$E = |a - b| / b \times 100\% \quad (17)$$

38 where a is the measured value, and b is the true value.
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42 It is seen that the averaged relative error for measuring these segments is smaller than 5%,
43 while the averaged relative error for measuring the dip angle is only about 5%, well demonstrating
44 good accuracy of the proposed 3D measurement method. Note that if the structural parameters of
45 the imaging system could be measured more precisely, the relative error will be further reduced.
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50 **4.3 Measurement of 3D sizes and particle volume**

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52 The reconstructed 3D geometry model for each particle is used to measure the 3D sizes
53 (namely, length, width and height) and particle volume. In view of that the 3D shapes for α - and β -
54 form particles are obviously different from each other, as shown in Fig.2, the corresponding
55 measurement algorithms are proposed below, respectively.
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For an α -form particle, it is seen from Fig.2b that there are four external and internal key corners, respectively. Denote the external corner points by $\{P_e^n(x_e^n, y_e^n, z_e^n), n=1,2,3,4\}$ and the internal corner points by $\{P_i^n(x_i^n, y_i^n, z_i^n), n=1,2,3,4\}$. To describe the length of the reconstructed 3D geometry model, the length of α -form particle is computed as

$$S_l = \max(d_1^n), \quad n=1,2,3,4 \quad (18)$$

where $d_1^n, n=1,2,3,4$ denote the line segment lengths $D(P_e^1, P_e^2), D(P_e^2, P_e^3), D(P_e^3, P_e^4)$ and $D(P_e^4, P_e^1)$, respectively.

Correspondingly, the width of α -form particle is computed as

$$S_w = \min(d_w^n), \quad n=1,2,3,4 \quad (19)$$

where $d_w^n, n=1,2,3,4$ denotes the distances between P_e^1 and the line segment $P_e^3P_e^4, P_e^2$ and the line segment $P_e^1P_e^4, P_e^3$ and the line segment $P_e^1P_e^2, P_e^4$ and the line segment $P_e^2P_e^3$, respectively.

To compute the height of the reconstructed 3D geometry model, two fitting planes of the external and internal corner points are constructed, respectively. Suppose a fitting plane expressed by $ax+by+cz=d$, where a, b, c are unit normal vectors of the plane, satisfying $a^2+b^2+c^2=1$ and $d \geq 0$. For four space points denoted by $\{P_n(x_n, y_n, z_n), n=1,2,3,4\}$, a recognized optimization program³⁹ for determining the fitting plane parameters (a, b, c, d) can be used,

$$\min_{a,b,c,d} \sum_{n=1}^4 (ax_n + by_n + cz_n - d)^2 \quad (20)$$

To solve the above optimal program, let $s_n = |ax_n + by_n + cz_n - d|$ and a penalty function with the Lagrange multiplier is defined by

$$f = \sum_{n=1}^4 s_n^2 - \lambda(a^2 + b^2 + c^2 - 1) \quad (21)$$

The derivative of Eq.(21) with respect to d is obtained as

$$\frac{\partial f}{\partial d} = -2 \sum_{n=1}^4 (ax_n + by_n + cz_n - d) \quad (22)$$

By letting (22) be zero, it yields

$$d = a \frac{\sum_{n=1}^4 x_n}{4} + b \frac{\sum_{n=1}^4 y_n}{4} + c \frac{\sum_{n=1}^4 z_n}{4} \quad (23)$$

Similarly, by letting the derivative of Eq. (21) with respect to a , b , c be zero, respectively, there follows

$$\begin{cases} \sum_{n=1}^4 (a\Delta x_n + b\Delta x_n + c\Delta z_n)\Delta x_n - \lambda a = 0 \\ \sum_{n=1}^4 (a\Delta x_n + b\Delta x_n + c\Delta z_n)\Delta y_n - \lambda b = 0 \\ \sum_{n=1}^4 (a\Delta x_n + b\Delta x_n + c\Delta z_n)\Delta z_n - \lambda c = 0 \end{cases} \quad (24)$$

where $\Delta x_n = x_n - \bar{x}_n$, $\Delta y_n = y_n - \bar{y}_n$, and $\Delta z_n = z_n - \bar{z}_n$.

The eigenvalue equation of Eq.(24) is defined by

$$\mathbf{Ax} = \lambda \mathbf{x} \quad (25)$$

where

$$\mathbf{x} = (a, b, c)^T \quad (26)$$

$$\mathbf{A} = \begin{bmatrix} \Delta x_n \Delta x_n & \Delta x_n \Delta y_n & \Delta x_n \Delta z_n \\ \Delta x_n \Delta y_n & \Delta y_n \Delta y_n & \Delta y_n \Delta z_n \\ \Delta x_n \Delta z_n & \Delta y_n \Delta z_n & \Delta z_n \Delta z_n \end{bmatrix} \quad (27)$$

The eigenvalue value of Eq.(25) can be solved as

$$\lambda = \frac{(\mathbf{Ax}, \mathbf{x})}{(\mathbf{x}, \mathbf{x})} = \sum_{n=1}^4 (a\Delta x_n + b\Delta x_n + c\Delta z_n)^2 = \sum_{n=1}^4 s_n^2 \quad (28)$$

where (\cdot) denotes the inner product of two vectors.

The minimum of $\sum_{n=1}^4 s_n^2$ corresponds to the smallest eigenvalue of \mathbf{A} , which therefore determines the optimal eigenvector (a, b, c) . Hence, the optimal fitting planes of the external and internal corner points could be determined, respectively.

Considering that the fitting plane of the external corner points may not be in parallel with that of the internal corner points, the height of an α -form particle is computed as

$$S_h = \frac{1}{4} \sum_{n=1}^8 d_h^n \quad (29)$$

where d_h^n , $n=1,2,\dots,8$ denotes the distances between the point P_e^n and the fitting plane of $(P_i^1, P_i^2, P_i^3, P_i^4)$, the point P_i^n and the fitting plane $(P_e^1, P_e^2, P_e^3, P_e^4)$, respectively. Note that owing to the α -form particle is symmetrical with respect to the fitting plane composed of the external corner points, the height is computed as double of the averaged distance between these two fitting planes.

For a β -form particle, the identified key corners are used to reconstruct a 3D geometry model of the cuboid shape. Owing to that the cuboid shape could be efficiently approximated by the minimum-volume bounding box approach^{40, 41}, 3D sizes of a β -form particle can therefore be measured by using this approach for the reconstructed cuboid.

Based on the above measured 3D sizes, the particle volume can be quantitatively computed, such as from a reconstructed cuboid. However, such computation may give rise to undesirable estimation error. To improve the computation accuracy, it is proposed to view the reconstructed particle shape as a convex hull for computation. By using the Delaunay triangulation principle⁴², a convex hull can be subdivided into N_s tetrahedrons. Denote by $\{(x_{t,n}, y_{t,n}, z_{t,n}), t = 1, \dots, 4, n = 1, \dots, N_s\}$ four vertex coordinates of the n -th tetrahedron, the volume of the n -th tetrahedron can be computed as

$$V_n = \frac{1}{6} \times \begin{vmatrix} 1 & 1 & 1 & 1 \\ x_{1,n} & x_{2,n} & x_{3,n} & x_{4,n} \\ y_{1,n} & y_{2,n} & y_{3,n} & y_{4,n} \\ z_{1,n} & z_{2,n} & z_{3,n} & z_{4,n} \end{vmatrix} \quad (30)$$

Accordingly, the particle volume is estimated based on the symmetry as

$$V = 2 \sum_{n=1}^{N_s} V_n \quad (31)$$

5. Experimental results

Two cooling crystallization experiments on α - and β -form LGA were performed, respectively, based on the non-invasive binocular imaging system for 3D morphology measurement, with the same experimental conditions introduced in Section 2, except for the cooling rates of 1°C/min for α -form LGA and 0.2°C/min for β -form LGA. Note that to transform the image pixel into a physical unit for computation, the calibration method¹¹ with circle scale was used to obtain the pixel equivalent before the measurement. For comparison, an off-line electron microscope (Leica DM 2500, LAS_v4.4) was also used for verifying the sizes and volumes of final crystal products.

Before 3D reconstruction of particle shapes, image processing was conducted for in-situ captured double-view images of α -form LGA crystals during the crystallization process, as shown

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3 in Fig.6. For illustration, a pair of the original double-view images including α -form crystals is
4 shown in Fig.6a. Fig.6b shows the preprocessed image pair of the outlined α -form crystal in Fig.6a
5 by the Canny method. Using the proposed corner detection method for α -form crystals, Fig.6c
6 shows the detected results of external and internal contour edges of this α -form crystal. Accordingly,
7 the corner detection results are shown in Fig.6d, well demonstrating that the proposed image
8 analysis method effectively detected the key corners in real time. The detected key corners were
9 then used for 3D reconstruction of this crystal shape.
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18 A reconstructed stereo shape of α -form crystals is illustrated in Fig.7. The 3D coordinates of
19 the eight key corners are computed by the proposed geometry model formulae, as shown in Fig.7a.
20 The correspondingly reconstructed 3D geometry model is shown in Fig.7b. Note that the symmetry
21 of an α -form crystal should be considered in the final geometry reconstruction, which is omitted.
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27 Similarly, a stereo reconstruction of β -form LGA crystals is illustrated in Fig.8, based on the
28 in-situ captured double-view images. Fig.8a shows the in-situ captured images of β -form crystals.
29 After image preprocessing, the segmented double-view images for a sampled β -form crystal are
30 shown in Fig.8b. Then Fig.8c shows the corner detection results for this β -form crystal. Finally, a
31 stereo shape of this β -form crystal is approximately reconstructed based on the corresponding key
32 corners, as shown in Fig.8d.
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39 Note that the total time spent for the proposed method to measure the 3D sizes of an α - form
40 LGA crystal was about 1.52 seconds, and about 1.48 seconds for a β -form LGA crystal, based on
41 a monitoring computer configured with CPU of Intel 3.40 GHZ and RAM of 8.00G. The time was
42 sufficiently small for implementing an on-line control strategy as studied in the recent paper ⁴³,
43 where the sampling time for control implementation was taken as tens of second or even a few
44 minutes for LGA cooling crystallization.
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51 To demonstrate the effectiveness of the proposed method, an off-line measurement of CSD
52 using an electron microscope was also performed on the final crystal products for verification. In
53 view of that an electron microscope could only measure the 2D sizes of each crystal, comparison
54 between the proposed method and an electron microscope was therefore made for measuring the
55 CSDs in length and width for LGA crystal products of α -and β -forms, respectively. Almost 200
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3 particles randomly taken from the LGA crystal products were used for measuring CSD of α - and
4 β -form crystals, respectively. For illustration, the measured CSDs were fitted by the probability
5 density estimation with the normal kernel function ⁴⁴. The measured CSD results are plotted in
6 Fig.9 in comparison with off-line measurement by an electron microscope based on the pre-
7 processed samples of LGA crystal products, well demonstrating the consistency between each other.
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13 To further demonstrate the superiority of the proposed method over the recently developed
14 2D size measurement method ¹¹ based on the image projection of a particle in a 2D fitting plane,
15 Table 2 shows a comparison of relative errors between the proposed method (denoted by 3DM)
16 and the 2D size measurement method (denoted by 2DM) with reference to the off-line measurement
17 by an electron microscope, where the peak size denotes the peak value of CSD. It is seen that
18 evidently improved accuracy on the 2D size measurement is obtained by the proposed method.
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26 In view of that the above LGA crystal products are too tiny in volume to be measured by an
27 electron microscope for off-line verification, the needle-like monosodium glutamate crystals with
28 relatively larger 3D sizes of millimeter-scale were used to verify the proposed volume computation
29 method, owing to that their shapes are similar to β -form LGA crystals and these particles can
30 be manually deployed for in-situ or off-line measurement. The experiment was carried out by fixing
31 three needle-like monosodium glutamate crystals on the inside wall of the glass crystallizer for in-
32 situ measurement by the non-invasive binocular imaging system, as shown in Fig.10(a). The
33 proposed volume computation method is therefore used based on 3D shape reconstruction. For
34 comparison, the off-line measurement was conducted by measuring two side faces (length \times width
35 and length \times height) of each particle with an off-line electron microscope for computing the particle
36 volume, as shown in Fig.10(b). The measurement results are listed in Table 3. It is seen that the in-
37 situ measurement results by the proposed method are in good agreement with the off-line
38 measurement by an electron microscope, with averaged relative errors below 10%. These results
39 well demonstrates that the proposed method can be effectively used for in-situ assessment of
40 particle volumes during the crystallization process, thus facilitating on-line monitoring of crystal
41 growth kinetics and quality.
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6. Conclusions

An in-situ measurement method has been proposed for monitoring 3D CSD during a crystallization process, based on double-view images simultaneously captured by a non-invasive binocular micro-vision system. By detecting the particle edges from the captured double-view images with fast image preprocessing algorithms to overcome the influence from solution turbulence and uneven illumination background involved with in-situ imaging, two fast algorithms for real-time implementation are proposed to locate the key corners in the captured images for two typical crystal morphologies of prismatic and needle-like shapes, such as α - and β -forms of LGA, respectively. Based on the identified key corners, a 3D geometry model is established to approximate the 3D shape of each captured particle. Two fast algorithms are given to compute 3D sizes of α - and β -form LGA crystals from the reconstructed 3D shapes, respectively. In addition, a tetrahedron based fast algorithm is given to quantitatively measure the volume of each imaged particle. Experimental tests on the cooling crystallization processes of α - and β -form LGA crystals have well demonstrated the effectiveness of the proposed method for in-situ monitoring 3D crystal morphologies, with good accuracy on measuring the length and width of crystals in comparison with off-line measurement by an electron microscope or the recent 2D crystal size measurement method given in the previous work ¹¹. Moreover, the in-situ measurement accuracy on particle volume by the proposed method is validated via needle-like monosodium glutamate crystals, in comparison with off-line measurement by an electron microscope. It should be noted that the accuracy of such a 3D geometry model for approximation depends on the identified key corners. If no sufficient key corners could be detected for a particle image, its 3D morphology may not be completely reconstructed, in particular for very small particles that could not be effectively imaged. It therefore deserves a further study on multi-directional imaging with more cameras or a predefined data set to facilitate 3D shape reconstruction in the future work, along with real-time classification methods on different particle shapes.

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Appendix: Derivation of Eq.(11)

From Fig.4a, the following two equations stand according to the common property of two similar triangles,

$$\frac{Z - f_c}{Z} = \frac{b_1 - a_1}{b_1} \quad (\text{A1})$$

$$\frac{Z - f_c}{Z} = \frac{b_2 - a_2}{b_2} \quad (\text{A2})$$

where $f_c = f \cos \theta$ and $b_1 + b_2 = b$.

The above equations can be equivalently transformed into

$$\frac{b_1 - a_1}{Z - f_c} = \frac{b_1}{Z} \quad (\text{A3})$$

$$\frac{b_2 - a_2}{Z - f_c} = \frac{b_2}{Z} \quad (\text{A4})$$

Since $b_1 + b_2 = b$, it can be derived that

$$Z = \frac{bf_c}{a_1 + a_2} \quad (\text{A5})$$

Fig.4b shows the geometric diagram in the left view of camera. According to the sine law, there follows

$$\frac{\sin(90 + \tau_l)}{m_l} = \frac{\sin(90 - \theta - \tau_l)}{x_l} \quad (\text{A6})$$

where $n_l = f \sin \theta$.

It can be derived from (A6) that

$$m_l = \frac{x_l \sin(90 + \tau_l)}{\sin(90 - \theta - \tau_l)} \quad (\text{A7})$$

It can be seen from Fig.4b that

$$a_1 = m_l + n_l \quad (\text{A8})$$

Since Fig.4c shows the geometric diagram in the right view of camera, it follows from the sine law that

$$\frac{\sin(90 - \tau_r)}{m_r} = \frac{\sin(90 - \theta + \tau_r)}{x_r} \quad (\text{A9})$$

where $n_r = f \sin \theta - m_r$.

It can be derived from (A9) that

$$m_r = \frac{x_r \sin(90 - \tau_r)}{\sin(90 - \theta + \tau_r)} \quad (\text{A10})$$

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3 It can be seen from Fig.4c that

$$4 \quad a_2 = n_r \quad (A11)$$

6 Therefore,

$$7 \quad a_1 + a_2 = m_l + n_l + n_r \quad (A12)$$

10 By substituting (A12) into (A5), it yields

$$11 \quad Z = \frac{bf \cos \theta}{12 \quad 2f \sin \theta + \frac{x_l \sin(90 + \tau_l)}{\sin(90 - \theta - \tau_l)} + \frac{x_r \sin(90 - \tau_r)}{\sin(90 - \theta + \tau_r)}} \quad (A13)$$

16 which may be rewritten as

$$17 \quad Z = \frac{bf \cos \theta}{18 \quad 2f \sin \theta + \frac{x_l \cos \tau_l}{\cos(\theta + \tau_l)} - \frac{x_r \cos \tau_r}{\cos(\theta - \tau_r)}} \quad (A14)$$

20 where $\tan \tau_l = x_l / f$, $x_l = \frac{\gamma}{\kappa} |u_l - L/2|$, $\tan \tau_r = x_r / f$, and $x_r = \frac{\gamma}{\kappa} |u_r - L/2|$.

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Table 1 Measurement errors on a micro-scale rule with a dip angle of 65°

True length (μm)	500 (B-C)	1000 (A-C)	1500 (A-D)	Averaged relative error (%)
Measured length (μm)	481.18	976.32	1427.83	3.65
Measured dip angle ($^{\circ}$)	60.35	61.92	63.28	4.85

Table 2 Comparison of relative measurement errors (%) between the proposed method and a 2D measurement method with reference to offline measurement by an electron microscope

Method	Size	α -form		β -form		Averaged relative error (%)
		Length (μm)	Width (μm)	Length (μm)	Width (μm)	
3DM	Mean	3.28	2.87	4.16	3.73	3.58
	Peak	4.39	3.29	3.08	3.84	
2DM	Mean	7.97	7.48	7.92	4.78	7.19
	Peak	8.25	6.71	8.74	5.63	

Table 3 Volume measurement errors on three different monosodium glutamate particles

Item	Particle 1	Particle 2	Particle 3
Off-line verification (mm^3)	0.462	0.371	0.355
The proposed method (mm^3)	0.419	0.338	0.323
Relative error (%)	9.31	8.89	9.01

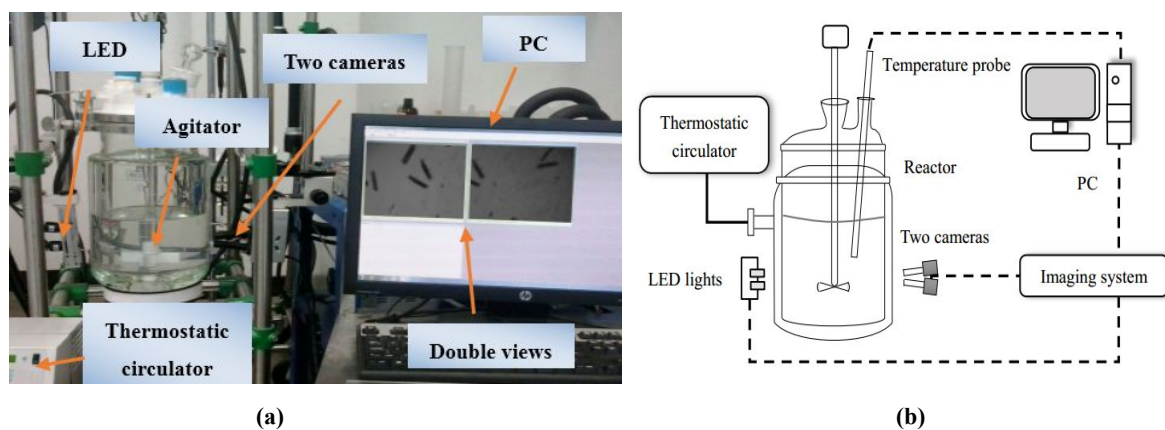


Fig.1 Non-invasive binocular micro-vision system for monitoring a crystallization process: (a) external view; (b) schematic diagram.

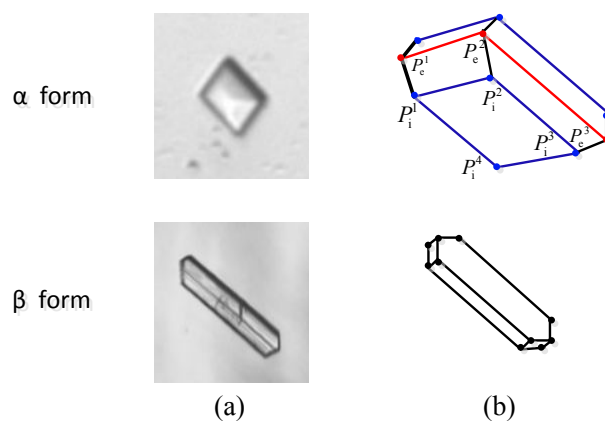


Fig.2 LGA crystal morphologies of α - and β -forms: (a) crystal images; (b) simplified reconstructions (external contour edges are marked in red and internal contour edges are marked in blue for α form).

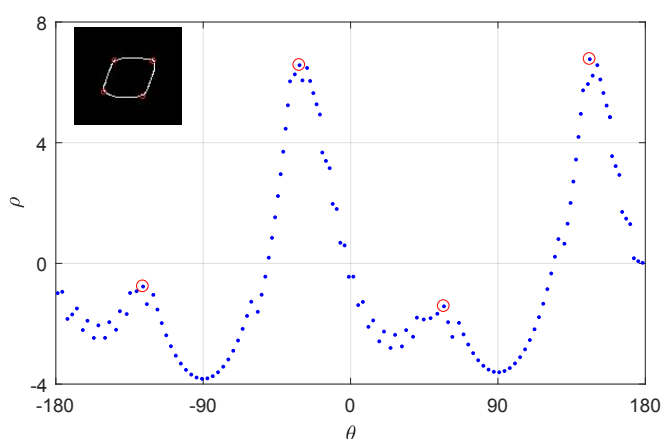


Fig.3 Plot of the inner distances of contour points in an α -form crystal image (The contour image is in the top left corner and the extremum points are marked in red).

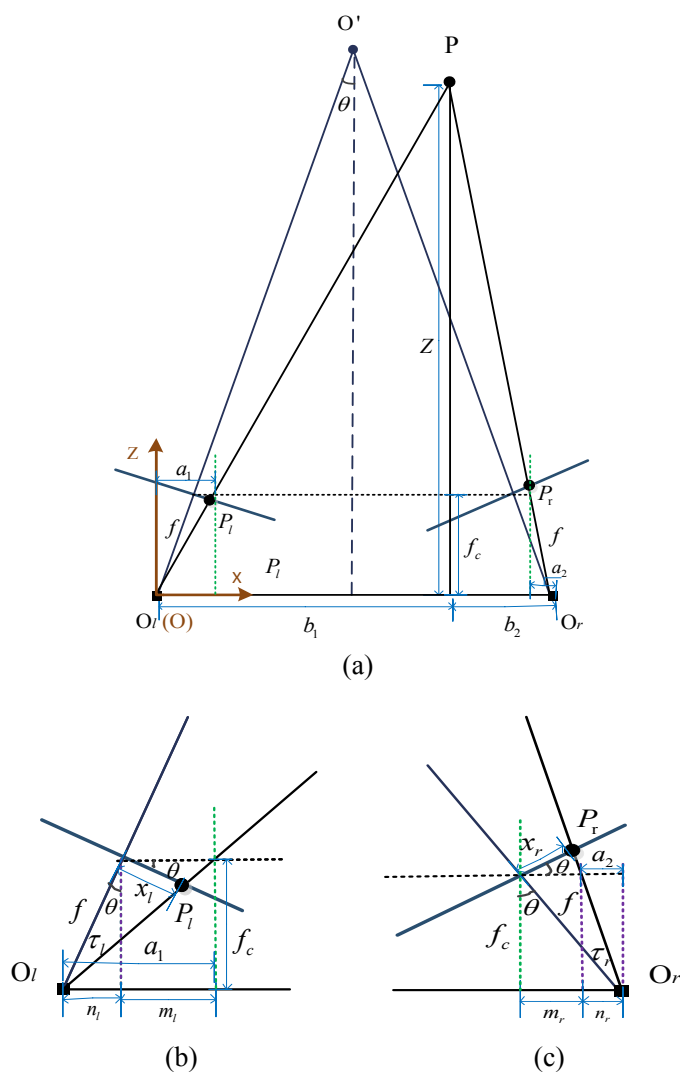


Fig.4 The geometry model of a stereo imaging system: (a) stereo imaging; (b) the left-view model; (c) the right-view model.

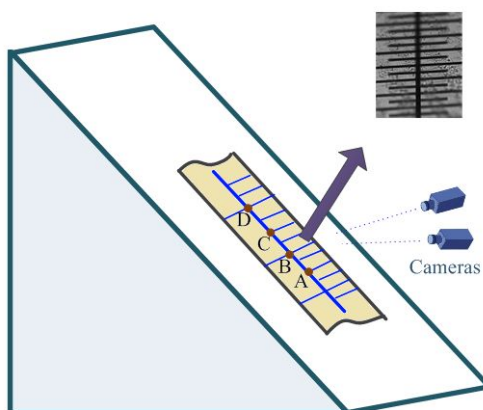
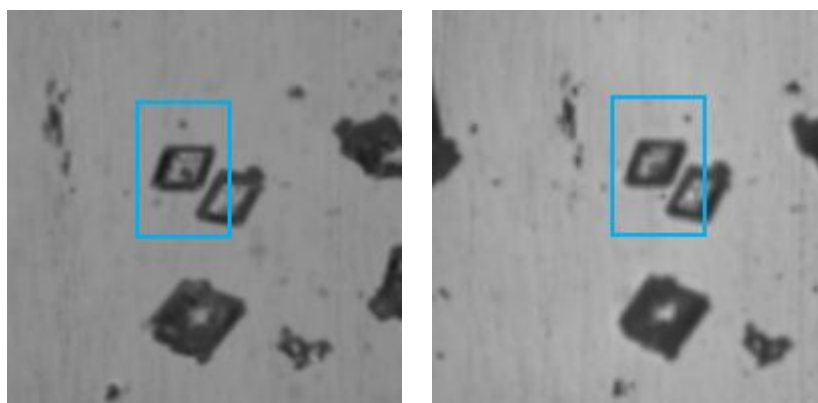
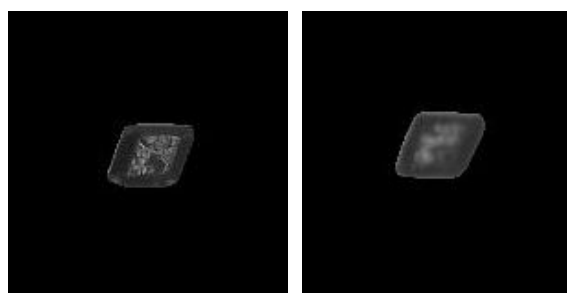


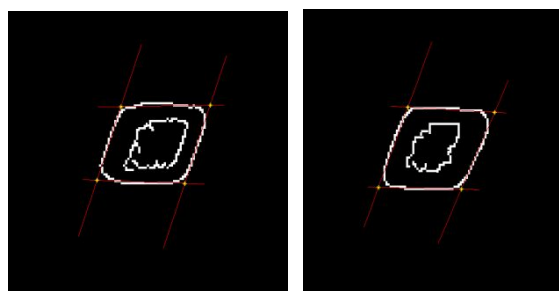
Fig.5 Schematic diagram of the measurement test on a micro-scale ruler.



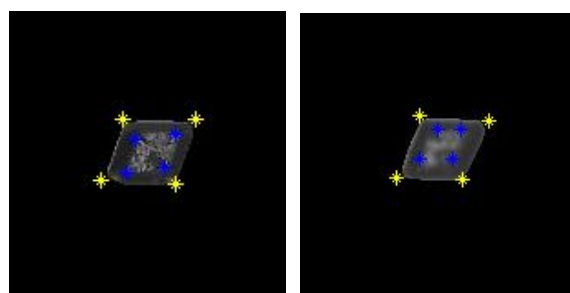
(a)



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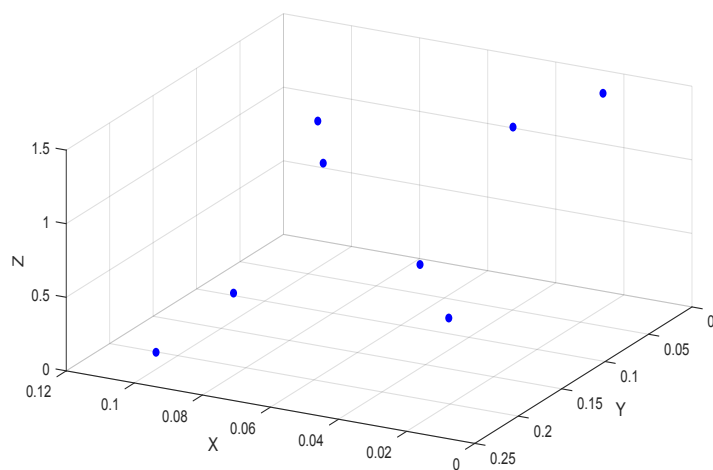
(c)



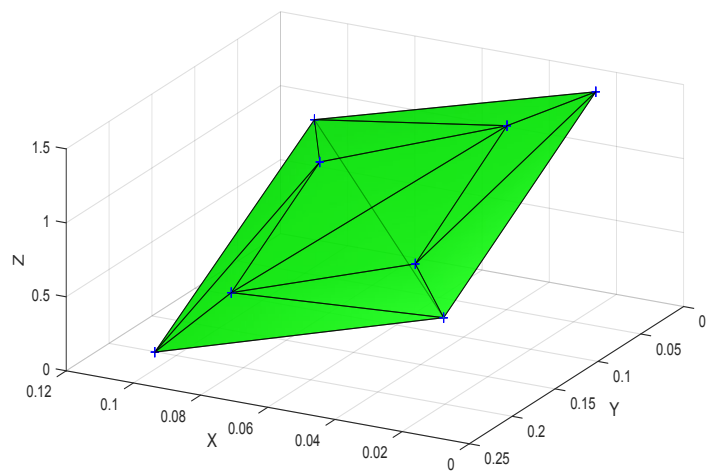
(d)

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Fig.6 Image processing results for α -form LGA crystals: (a) original double-view images; (b) segmented double-view images for a sampled crystal; (c) external and internal contours; (d) the key corners.

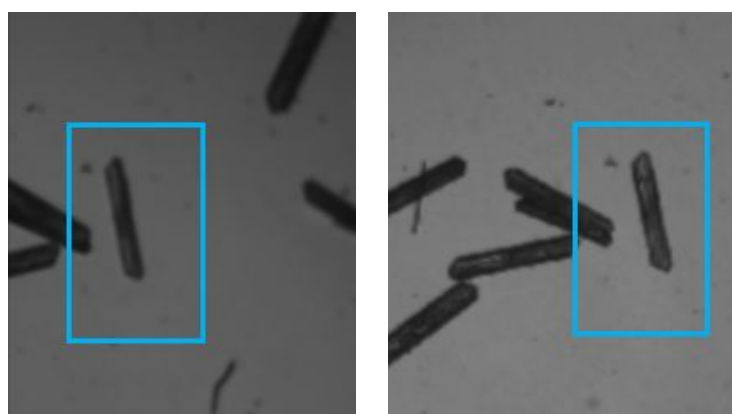


(a)

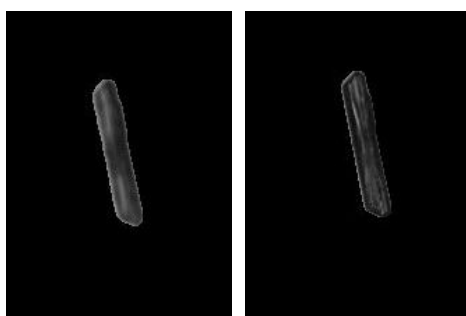


(b)

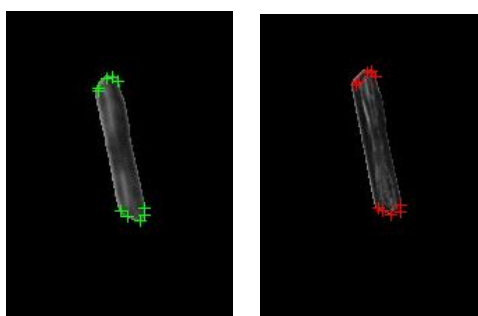
Fig.7 3D shape reconstruction for an α -form LGA crystal: (a) 3D locations of the key corners; (b) 3D shape reconstruction.



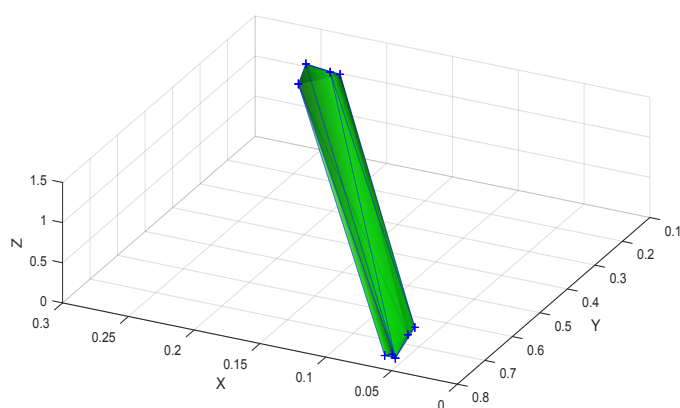
(a)



(b)



(c)



(d)

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Fig.8 Illustration of image processing and 3D reconstruction results for a β -form LGA crystal: (a) original double-view images; (b) segmented double-view images for a sampled crystal; (c) key corner detection; (d) 3D shape reconstruction.

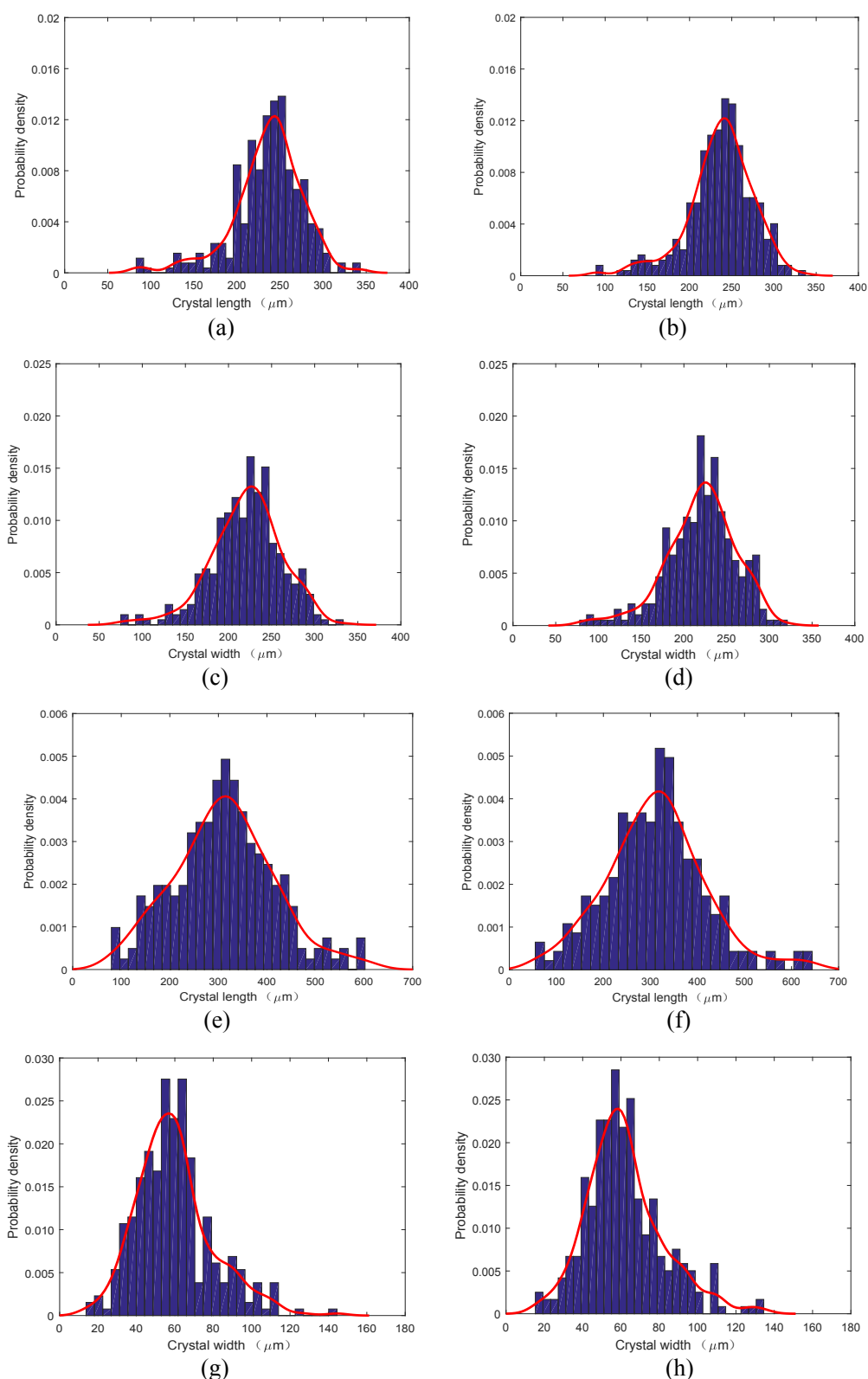


Fig.9 In-situ measured results of LGA CSD compared to off-line measurement by an electron microscope: (a) the length distribution of α -form LGA by the proposed method; (b) the length distribution of α -form LGA by off-line measurement of microscopy; (c) the width distribution of α -form LGA by the proposed method; (d) the width distribution of α -form LGA by off-line measurement of microscopy; (e) the length distribution of β -form LGA by the proposed method; (f) the length distribution of β -form LGA by off-line measurement of microscopy; (g) the width distribution of β -form LGA by the proposed method; (h) the width distribution of β -form LGA by off-line measurement of microscopy.

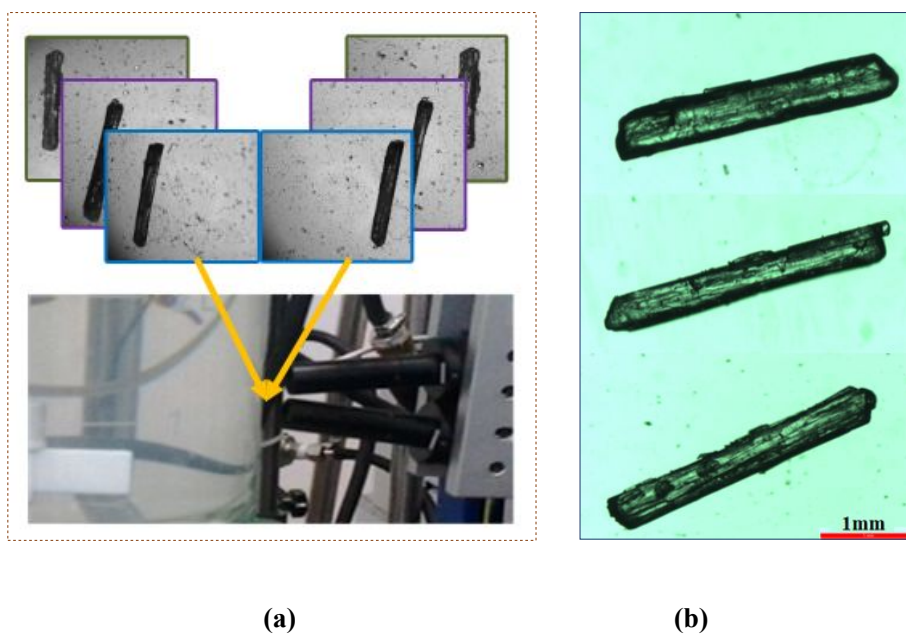


Fig.10 Experimental verification on volume computation via monosodium glutamate particles: (a) the proposed method based on in-situ double-view imaging; (b) off-line measurement on three different monosodium glutamate crystals by an electron microscope.

In-situ Measurement of 3D Crystal Size Distribution by Double-View Image Analysis with Case Study on L-glutamic Acid Crystallization

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Abstract: In this paper, an in-situ measurement method is proposed for monitoring three-dimensional (3D) crystal size distribution (CSD) during a crystallization process, based on a binocular micro-vision system. The stereo particle shape is reconstructed from double-view images captured by two microscopic cameras fixed at different angles outside the crystallizer. To overcome the influence from solution turbulence and uneven illumination background involved with in-situ imaging, a microscopic double-view image analysis method is established to identify the key corners of each particle shape in the captured images, including corner detection and corner matching. Two fast algorithms are therefore given for on-line detection of two typical crystal morphologies of prismatic and needle-like shapes, such as α - and β -forms of L-glutamic acid (LGA) crystals, respectively. Based on the identified key corners for different particle shapes, a 3D geometry model is established to approximately reconstruct the 3D shape for each imaged particle, such that 3D sizes of each particle could be quantitatively estimated, along with the particle volume. Experiments on the LGA cooling crystallization are performed to demonstrate the effectiveness of the proposed method.

Keywords: Crystal size distribution, three-dimensional (3D) particle size measurement, binocular micro-vision system, 3D geometry reconstruction, corner detection, L-glutamic acid crystallization.

1. Introduction

The crystallization technology has been widely used for separating different components from solution and purifying particle products in chemical and pharmaceutical industries. For control and optimization of crystallization processes, on-line process analytical technologies (PATs) have been explored for assessing the crystal morphology, growth rate and quality¹⁻⁴. With a rapid development of the photoelectric technology, on-line image based monitoring methods were increasingly studied for measuring the sizes and shape of crystals⁵⁻⁹. Based on image analysis of multi-dimensional crystal sizes and shape feature, a few crystal morphology analysis methods were developed for monitoring the crystal quality during crystallization^{10, 11}. The developed imaging systems for monitoring crystallization processes mainly include two types: invasive and non-invasive. For using an invasive imaging system, e.g., the digital particle vision and measurement (PVM)¹², the imaging probe could be stuck into the crystal slurry to capture the crystal images. A novel invasive imaging probe was recently developed based on the existing image analysis methods to cope with blurry images with noise¹³. In contrast, a non-invasive imaging system is installed outside a crystallizer to image the crystallization process by the observation window^{14, 15}. Compared with an invasive imaging system, a non-invasive imaging system could avoid the contamination of camera lens from the crystal slurry. However, the lighting source of a non-invasive imaging system needs to be carefully installed in an opposite position to the cameras outside the crystallizer, in order to provide sufficient illumination for real-time imaging.

With crystal images captured by an invasive or non-invasive imaging system, Larsen et al.¹⁶ developed an efficient image processing algorithm for analyzing the crystal size distribution (CSD) of high-aspect-ratio crystals. Zhang et al.¹⁷ proposed a few particle shape descriptors based on the principal component analysis (PCA) to classify polymorphic organic crystals during batch crystallization. A synthetic image analysis method¹¹ was recently presented for in-situ crystal size measurement and shape identification. Gao et al.⁸ proposed an in-situ measurement method based on the recently developed deep learning technology to classify α - and β -forms of L-glutamic acid (LGA) crystals and measure the two-dimensional (2D) sizes of length and width, along with an estimation of the surface area. This approach needs a large amount of samples of α - or β -form

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3 crystals for off-line training along with a demanding computation effort. For monitoring the crystal
4 growth quality, it was pointed out that 2D image analysis methods could provide relatively less
5 information than those of 3D imaging methods¹⁸⁻²⁰. Bujak and Bottlinger²¹ adopted three
6 orthogonally installed cameras to measure 3D sizes of particles with irregular shapes, but not for
7 imaging crystals in the slurry. An off-line 3D shape measurement method was developed based on
8 assembling the 2D surface images of a crystal captured by using a regular reflection of light²².
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10 Another off-line 3D size measurement method²³ was proposed for cuboid crystals such as β -form
11 LGA, by using a multi-projection imaging system consisting of one camera and two mirrors. This
12 approach was subsequently extended for on-line measurement by imaging a flow through cell using
13 a sampling loop with two external cameras installed orthogonal to each other²⁴, which was mainly
14 devoted to cuboid crystals. Borchert et al.²⁵ developed an alternative image analysis method for
15 reconstructing the 3D crystal shape from the corresponding 2D crystal projections, where the
16 Fourier descriptors were used to detect the crystal shape outline based on a pre-defined database of
17 different crystal shapes. Recently, a new dual-camera measurement device was developed for real-
18 time monitoring of particle shapes rather than 3D size measurement via a circulating pipeline²⁶,
19 based on an image segmentation algorithm for background extraction and a volume intersection
20 method for classification of different 3D particle shapes. Ma et al.²⁷ presented a proof-of-concept
21 of 3D shape reconstruction based on using two no-invasive cameras installed with a pre-specified
22 angle to synchronously capture images, which was further extended in the references^{20, 28} for
23 roughly estimating 3D size growth of crystals rather than quantitative measurement. For using a
24 binocular micro-vision system to capture stereo images for analysis, a few calibration methods for
25 guaranteeing the measurement accuracy were reported in the references^{29, 30}, but these methods
26 could not be used for in-situ installed micro-vision systems subject to uneven illumination
27 background, particle motion, and solution turbulence usually involved with crystallization
28 processes. Although the recent work³¹ developed a microscopic double-view image analysis
29 method for in-situ measurement of 2D particle sizes, it remains open to measure the third
30 dimensional particle size that could be perpendicular to the 2D imaging plane, and therefore, the
31 particle volume could not be estimated therein.
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To tackle the difficulty of measuring 3D sizes and volumes of particles during crystallization, an in-situ image-based measurement method is proposed in this paper with application to the cooling crystallization processes of α - and β -form LGA crystals, based on a non-invasive binocular micro-vision system. Firstly, two fast image analysis algorithms are given for identifying the key corners of two typical crystal morphologies, i.e., prismatic α -form and needle-like β -form of LGA crystals, respectively. Then, a binocular geometric model is constructed for computing the 3D space location of each corner. Based on the computed 3D coordinates of these key corners, a 3D geometry model is established to approximately reconstruct the 3D particle shape, which is therefore used for measuring the 3D sizes of each particle in the in-situ captured images. A measurement test on a micro-scale ruler placed in 3D location is conducted to verify the accuracy of the proposed method for 3D size measurement. In addition, another fast algorithm is given for computing the volumes of particles with image reconstruction, for the convenience of real-time application. Experiments on monitoring the cooling crystallization process of LGA are performed to demonstrate the effectiveness of the proposed method for in-situ measurement of particle sizes.

2. Experimental set-up

2.1 Non-invasive binocular micro-vision system for in-situ measurement

The experimental set-up for using a non-invasive binocular vision system to monitor a cooling crystallization process is shown in Fig.1, where the crystallizer consists of a 4L jacketed glass reactor (ACE-AIO 4000), a 4-paddle agitator (PTFE), a thermostatic circulator (Julabo-CF41), and a temperature probe (Pt100). The non-invasive binocular vision system for in-situ imaging during crystallization was made by Hainan Six Sigma Intelligent Systems Ltd. (product no. Stereo Vision Crystal-G), which consists of two microscopic cameras and two lighting sources commanded by a light controller (Gardasoft RT260-20) for snapshot. Each camera has a CCD sensor with the maximum pixel resolution of 2448×2048 and a micro lens set at a distance of 40mm from the reactor glass wall (the maximum working distance is about 65mm). The maximum frame rate is 6.5 fps for each camera. For in-situ measurement, two microscopic cameras are situated up and down in a line outside the glass vessel so as to alleviate the distortion for capturing images, while

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3 there is an intersection angle of 12.5 degree between the optical axes of two cameras. The lighting
4 sources are installed in line with the camera lens on the other side of the glass vessel, providing the
5 lighting illumination of 350lux. For real-time analysis, a pair of microscopic images is
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7 synchronously shot via two cameras per two seconds during the crystallization process.
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11 **2.2 Crystallization material of LGA**

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14 The solute material used in this study is LGA ($C_5H_9NO_4$). LGA has two typical polymorphic
15 forms ^{5, 32}, prismatic α -form and needle-like β -form, as shown in Fig.2. Different linear cooling
16 rates ⁵ were studied to procure these two product forms. In this work, the LGA solution was taken
17 as distilled water.
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23 To perform a cooling crystallization experiment of LGA, the solution is initially heated up to
24 70°C and then maintained at the temperature until all the LGA solute is completely dissolved. After
25 that, the solution is cooled down to 20°C by a specified cooling rate and maintained at the
26 temperature until the end of experiment. The agitator is operated at a constant rate of 200 rpm to
27 maintain the uniformity of particle distribution in the suspension during crystallization.
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33 **3. Double-view image analysis on the key corners of particle shapes**

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35 Since double-view images in-situ captured by a non-invasive binocular vision system shown
36 in Fig.1 were blurred by solution turbulence and uneven illumination background, it is necessary
37 to identify salient features of particle shapes in these images for analyzing 3D particle morphology
38 and sizes. To exclude the noise affect, the well-known median filter ³³ may be used to recover the
39 denoised grayscale images from the captured images for real-time analysis. Then, a multi-scale
40 segmentation with the Canny operator ³⁴ is preferred to detect the particle shape edge from a
41 denoised image. Note that any unobvious edge points could be removed by using a specified
42 threshold. By filling the gaps between identified edge points with their adjacent edge features, the
43 contour edge of each particle image could be determined in an efficient manner.
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55 For reconstructing 3D particle shapes based on the pre-processed images to measure the
56 particle 3D sizes, it is proposed to detect the key corners of each particle. LGA crystals have two
57 typical polymorphic forms, prismatic α -form and needle-like β -form ^{8, 35}, as shown in Fig.2a. Note
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that these two shapes could be distinguished from in-situ captured images by using the inner distance descriptor introduced in the previous work¹¹. However, their key corners are distinct from each other in the geometric location for shape reconstruction, as shown in Fig.2b. Concerning an α -form crystal, the 3D image contour after edge detection includes external and internal edges, and correspondingly, there are eight key corners to be detected, including four external and internal key corners, respectively. In contrast, a β -form crystal has a needle-like shape where the key corners are located at both ends of the image contour. Two different algorithms are therefore proposed to detect the key corners of α - and β -form crystals, respectively.

For detecting the key corners of an α -form crystal, the coordinates of all the contour points are denoted by (x_n, y_n) , where $n = 1, 2, \dots, N$, and therefore, the centroid coordinate denoted by (x_c, y_c) is defined by

$$\begin{cases} x_c = \frac{1}{N} \sum_{n=0}^{N-1} x_n \\ y_c = \frac{1}{N} \sum_{n=0}^{N-1} y_n \end{cases} \quad (1)$$

Correspondingly, the inner distances from the centroid to the boundary points are defined by

$$d_n = \sqrt{(x_c - x_n)^2 + (y_c - y_n)^2} \quad (2)$$

The inner distances of all the contour points are plotted in Fig.3, where the peak points are defined as the extremum points of the contour. The set of each edge point is composed of the boundary points between every two edge extreme points. The fitting lines $y = a_j x + b_j$, $j = 1, \dots, 4$ along each edge are optimized by a least-squares (LS) algorithm as

$$\begin{cases} a_j = \frac{1}{C^j} \sum_{k=1}^K (x_k^j - \bar{x}^j)(y_k^j - \bar{y}^j) \\ b_j = \bar{y}^j - a_j \bar{x}^j \end{cases} \quad (3)$$

where $C^j = \sum_{k=1}^K (x_k^j - \bar{x}^j)^2$, and K is the point number.

The key corners of either external or internal contour edges are determined by computing the crossover points of the above fitting lines. Note that the external and internal key corners are detected for determining the external and internal contour edges, respectively, as shown in Fig.2b.

For clarity, the proposed corner detection algorithm for prismatic α -form crystals is

summarized below.

Step 1: Find the external or internal contour edges using the Canny edge detector;

Step 2: Define the extremum points of external or internal contour edges in a particle image, by computing the centroid coordinates of the external or internal contour edges via Eq.(1) and the inner distances of all the contour points via Eq.(2), and then choosing the peak points in the plot of the inner distances;

Step 3: Fit the external contour edges in a particle image by optimizing the fitting lines along each edge via Eq.(3);

Step 4: Determine the external and internal key corners by computing the crossover points of the above fitting lines.

For detecting the key corners of a β -form crystal, the candidate corners are selected based on the curvature scale-space method that has good robustness against noise^{36, 37}. For the particle contour described by $\psi(u) = (x(u), y(u))$, where u denotes the length parameter, the corresponding multi-scale curve $\psi(u, \sigma)$ under a scale σ is defined by

$$\psi(u, \sigma) = (X(u, \sigma), Y(u, \sigma)) \quad (4)$$

where

$$\begin{cases} X(u, \sigma) = x(u) * g(u, \sigma) \\ Y(u, \sigma) = y(u) * g(u, \sigma) \end{cases} \quad (5)$$

where $*$ denotes the convolution operator, and $g(u, \sigma)$ denotes a Gaussian function with the standard deviation σ .

The curvature of $\psi(u, \sigma)$ is computed by

$$\psi(u, \sigma) = \frac{X_u(u, \sigma)Y_{uu}(u, \sigma) - X_{uu}(u, \sigma)Y_u(u, \sigma)}{(X(u, \sigma)^2 + Y(u, \sigma)^2)^{1.5}} \quad (6)$$

where $X_u(u, \sigma)$ and $X_{uu}(u, \sigma)$ are the first and second order derivatives of $X(u, \sigma)$ with respect to u . $Y_u(u, \sigma)$ and $Y_{uu}(u, \sigma)$ are the first and second order derivatives of $Y(u, \sigma)$ with respect to u .

According to Eq.(6), the curvature $\psi(u, \sigma_j)$ of an edge point on the scale j can be

computed, and then the curvature product at four different scales is computed as

$$\Gamma(u) = \prod_{j=1}^4 \psi(u, \sigma_j) \quad (7)$$

Subsequently, a local maximum edge point with a curvature product greater than a specified threshold, e.g., $T = 0.03$ given in the reference³⁷ for corner detection, is taken as a candidate corner. Considering that corner points should be at both ends of the crystal shape as shown in Fig.2b, the key corners are determined by specifying a criterion, i.e., the inner distance of a candidate corner should be no less than one third of the crystal length.

Hence, the proposed corner detection algorithm for need-like β -form crystals is summarized below.

Step 1: Find the external contour edges using the Canny edge detector;

Step 2: Define the corners of the contour edges in a particle image by the curvature scale-space approach using Eqs.(4-7);

Step 3: Exclude those corners not complying with the inner distance criterion.

After the key corner detection, matching the key corners between double-view images is conducted by using the BRIEF descriptor³⁸ owing to its robustness and fast speed for real-time application. To determine the descriptor, a square region I of size $S \times S$ (i.e., pixel number) is chosen around such a key corner. Denote by p_i and q_i two different pixel points located in I , where i is the pixel index and N is 256. To avoid sensitivity to noise, each region is preprocessed by the Gaussian smoothing approach³⁸. Then, an N -bit vector denoting the BRIEF descriptor is defined by

$$b_N(I) = \sum_{1 \leq i \leq N} 2^{i-1} g(I; p_i, q_i) \quad (8)$$

where

$$g(I; p_i, q_i) = \begin{cases} 1 & \text{if } I(p_i) < I(q_i) \\ 0 & \text{otherwise} \end{cases} \quad (9)$$

where $I(p_i)$ and $I(q_i)$ are the intensities of p_i and q_i in the region I . Note that (p_i, q_i) follow the Gaussian distribution of $(0, 1/25S^2)$.

The similarity between corner descriptors computed from double-view images is then measured by the Hamming distance³⁸, which determines the matching pairs of key corners in double-view images in terms of the maximum similarity degree.

It should be noted that the quality of particle morphology reconstruction depends on the identified key corners, which is affected by 3D location of each particle in the captured images.

4. Stereo shape reconstruction and measurement of 3D particle sizes

Based on the identified key corners in double-view images, a 3D geometry model is proposed to approximately reconstruct the stereo shape of each particle appearing in double-view images. Correspondingly, the 3D sizes and volume of each particle are measured based on the established 3D geometry model. An error analysis is given to verify the accuracy of the proposed method, along with an experiment on measuring a micro-scale ruler by using the non-invasive binocular micro-vision system shown in Fig.1.

4.1 3D geometry model

Fig.4a shows a geometry model case of imaging a space point denoted by P with the non-invasive binocular micro-vision system shown in Fig.1, where the left-view and right-view images are captured from the installed upper and lower cameras, respectively. The model origin of the 3D coordinate system is set to the left-view centroid as shown in Fig.4a, denoted by O . For 3D shape reconstruction, the 3D coordinate (X, Y, Z) of a space point P is a function of the 2D coordinates denoted by P_l and P_r in the double-view projections. Denote by $P_l(u_l, v_l)$ and $P_r(u_r, v_r)$ the imaging points from the left-view and right-view, respectively, both of which have the same size of $L \times H$ (length \times height) with P . Denote by γ the pixel equivalent without amplification, by κ the amplification coefficient, by b the baseline length, and by 2θ , ($0 < \theta < 90^\circ$) the stereo angle.

Without loss of generality, the 3D coordinate (X, Y, Z) of P is derived as

$$\begin{cases} X = Z \tan(\theta + p\tau_l) \\ Y = \gamma(v_l - H/2) \\ Z = bf \cos \theta / (2f \sin \theta + a_l + a_r) \end{cases} \quad (10)$$

Correspondingly, the key parameters of a_l and a_r in Eq.(10) that depend on the locations of point projection (i.e. u_l and u_r) in these images are derived as

$$a_l = p \frac{x_l \cos \tau_l}{\cos(\tau_l + p\theta)} \quad (11)$$

$$a_r = q \frac{x_r \cos \tau_r}{\cos(\tau_r + q\theta)} \quad (12)$$

with

$$p = \begin{cases} 1, & u_l \geq L/2 \\ -1, & u_l < L/2 \end{cases} \quad (13)$$

$$q = \begin{cases} -1, & u_r \geq L/2 \\ 1, & u_r < L/2 \end{cases} \quad (14)$$

where $\tan \tau_l = x_l / f$, $x_l = \frac{\gamma}{\kappa} |u_l - L/2|$, $\tan \tau_r = x_r / f$, $x_r = \frac{\gamma}{\kappa} |u_r - L/2|$.

For comprehension, a brief derivation of Eq.(10) for the case of $u_l \geq L/2$ and $u_r \geq L/2$ as shown in Fig.4a, is given in the Appendix. Similarly, the computational formulae of the 3D coordinate (X, Y, Z) of a space point P can be derived for the other three cases, $u_l \geq L/2$ and $u_r < L/2$; $u_l < L/2$ and $u_r \geq L/2$; $u_l < L/2$ and $u_r < L/2$, which are omitted for brevity.

Hence, the 3D coordinates of all the key corners in the image contour of each particle can be computed, and therefore, are used to approximately reconstruct the 3D geometry model of each particle shape, as shown in Fig.2.

4.2 Measurement error analysis

The derivation in the above section indicates that the 3D coordinates of key corners depend on the structural parameters of the non-invasive binocular micro-vision system shown in Fig.1, i.e., the pixel equivalent and the location of the image points. The 3D coordinate of such a space point can be expressed as a vector function,

$$(X, Y, Z) = F(f, b, \theta, \gamma, u_l, u_r) \quad (15)$$

It is therefore seen that the measurement error arises from the structural parameter error $(\Delta f, \Delta b, \Delta \theta)$, the size calibration error $\Delta \gamma$, and the image corner extraction error $(\Delta u_l, \Delta u_r)$. In fact, the structural parameter error could be negligible or reduced to a very small value if the non-invasive binocular micro-vision system is properly installed. Therefore, the size calibration error

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3 and image corner extraction error should be mainly considered to ensure the 3D measurement
4 accuracy. It should be noted that the size calibration error is affected by the imaging object distance.
5 Hence, different pixel equivalent values should be taken into account with respect to different
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7 imaging object distances, especially for a large depth-of-field imaging system.
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11 Verification of the measurement error is necessary for practical application. However, few
12 references addressed feasible verification methods for micro-scale particle size measurement. It
13 remains open as yet to verify the accuracy and reliability of measuring 2D or 3D particle sizes by
14 using a micro-vision system. To tackle the difficulty, two critical indices including the space size
15 and dip angle are therefore introduced for assessing accuracy of the reconstructed stereo shape for
16 an imaged particle. Note that the dip angle is a 3D index which is not needed for 2D measurement.
17 In this study, a linear micro-scale ruler is used for experimental verification, in consideration of
18 that different sizes can be directly exemplified in micro-scale. Meanwhile, a geometric holder is
19 used to provide a dip angle of 65° for placing the micro-scale ruler to conduct 3D measurement.
20 Fig.5 shows a schematic diagram of the experimental verification. The measurement results for the
21 line segments from point B to point C (denoted by B-C), from point A to point C (denoted by A-C)
22 and from point A to point D (denoted by A-D) are listed in Table 1, where the relative measurement
23 error is defined by
24

$$E = |a - b| / b \times 100\% \quad (16)$$

25 where a is the measured value, and b is the true value.
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28 It is seen that the averaged relative error for measuring these segments is smaller than 5%,
29 while the averaged relative error for measuring the dip angle is only about 5%, well demonstrating
30 good accuracy of the proposed 3D measurement method. Note that if the structural parameters of
31 the imaging system could be measured more precisely, the relative error will be further reduced.
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34 4.3 Measurement of 3D sizes and particle volume

35 The reconstructed 3D geometry model for each particle is used to measure the 3D sizes
36 (namely, length, width and height) and particle volume. In view of that the 3D shapes for α - and β -
37 form particles are obviously different from each other, as shown in Fig.2, the corresponding
38 measurement algorithms are proposed below, respectively.
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For an α -form particle, it is seen from Fig.2b that there are four external and internal key corners, respectively. Denote the external corner points by $\{P_e^n(x_e^n, y_e^n, z_e^n), n=1,2,3,4\}$ and the internal corner points by $\{P_i^n(x_i^n, y_i^n, z_i^n), n=1,2,3,4\}$. To describe the length of the reconstructed 3D geometry model, the length of α -form particle is computed as

$$S_l = \max(d_i^n), \quad n=1,2,3,4 \quad (17)$$

where $d_i^n, n=1,2,3,4$ denote the line segment lengths $D(P_e^1, P_e^2), D(P_e^2, P_e^3), D(P_e^3, P_e^4)$ and $D(P_e^4, P_e^1)$, respectively.

Correspondingly, the width of α -form particle is computed as

$$S_w = \min(d_w^n), \quad n=1,2,3,4 \quad (18)$$

where $d_w^n, n=1,2,3,4$ denotes the distances between P_e^1 and the line segment $P_e^3P_e^4, P_e^2$ and the line segment $P_e^1P_e^4, P_e^3$ and the line segment $P_e^1P_e^2, P_e^4$ and the line segment $P_e^2P_e^3$, respectively.

To compute the height of the reconstructed 3D geometry model, two fitting planes of the external and internal corner points are constructed, respectively. Suppose a fitting plane expressed by $ax+by+cz=d$, where a, b, c are unit normal vectors of the plane, satisfying $a^2+b^2+c^2=1$ and $d \geq 0$. For four space points denoted by $\{P_n(x_n, y_n, z_n), n=1,2,3,4\}$, a recognized optimization program³⁹ for determining the fitting plane parameters (a, b, c, d) can be used,

$$\min_{a,b,c,d} \sum_{n=1}^4 (ax_n + by_n + cz_n - d)^2 \quad (19)$$

To solve the above optimal program, let $s_n = |ax_n + by_n + cz_n - d|$ and a penalty function with the Lagrange multiplier is defined by

$$f = \sum_{n=1}^4 s_n^2 - \lambda(a^2 + b^2 + c^2 - 1) \quad (20)$$

The derivative of Eq.(20) with respect to d is obtained as

$$\frac{\partial f}{\partial d} = -2 \sum_{n=1}^4 (ax_n + by_n + cz_n - d) \quad (21)$$

By letting (21) be zero, it yields

$$d = a \frac{\sum_{n=1}^4 x_n}{4} + b \frac{\sum_{n=1}^4 y_n}{4} + c \frac{\sum_{n=1}^4 z_n}{4} \quad (22)$$

Similarly, by letting the derivative of Eq. (20) with respect to a , b , c be zero, respectively, there follows

$$\begin{cases} \sum_{n=1}^4 (a\Delta x_n + b\Delta x_n + c\Delta z_n)\Delta x_n - \lambda a = 0 \\ \sum_{n=1}^4 (a\Delta x_n + b\Delta x_n + c\Delta z_n)\Delta y_n - \lambda b = 0 \\ \sum_{n=1}^4 (a\Delta x_n + b\Delta x_n + c\Delta z_n)\Delta z_n - \lambda c = 0 \end{cases} \quad (23)$$

where $\Delta x_n = x_n - \bar{x}_n$, $\Delta y_n = y_n - \bar{y}_n$, and $\Delta z_n = z_n - \bar{z}_n$.

The eigenvalue equation of Eq.(23) is defined by

$$\mathbf{Ax} = \lambda \mathbf{x} \quad (24)$$

where

$$\mathbf{x} = (a, b, c)^T \quad (25)$$

$$\mathbf{A} = \begin{bmatrix} \Delta x_n \Delta x_n & \Delta x_n \Delta y_n & \Delta x_n \Delta z_n \\ \Delta x_n \Delta y_n & \Delta y_n \Delta y_n & \Delta y_n \Delta z_n \\ \Delta x_n \Delta z_n & \Delta y_n \Delta z_n & \Delta z_n \Delta z_n \end{bmatrix} \quad (26)$$

The eigenvalue value of Eq.(24) can be solved as

$$\lambda = \frac{(\mathbf{Ax}, \mathbf{x})}{(\mathbf{x}, \mathbf{x})} = \sum_{n=1}^4 (a\Delta x_n + b\Delta x_n + c\Delta z_n)^2 = \sum_{n=1}^4 s_n^2 \quad (27)$$

where (\cdot) denotes the inner product of two vectors.

The minimum of $\sum_{n=1}^4 s_n^2$ corresponds to the smallest eigenvalue of \mathbf{A} , which therefore determines the optimal eigenvector (a, b, c) . Hence, the optimal fitting planes of the external and internal corner points could be determined, respectively.

Considering that the fitting plane of the external corner points may not be in parallel with that of the internal corner points, the height of an α -form particle is computed as

$$S_h = \frac{1}{4} \sum_{n=1}^8 d_h^n \quad (28)$$

where d_h^n , $n = 1, 2, \dots, 8$ denotes the distances between the point P_e^n and the fitting plane of $(P_i^1, P_i^2, P_i^3, P_i^4)$, the point P_i^n and the fitting plane $(P_e^1, P_e^2, P_e^3, P_e^4)$, respectively. Note that owing to the α -form particle is symmetrical with respect to the fitting plane composed of the external corner points, the height is computed as double of the averaged distance between these two fitting planes.

For a β -form particle, the identified key corners are used to reconstruct a 3D geometry model of the cuboid shape. Owing to that the cuboid shape could be efficiently approximated by the minimum-volume bounding box approach^{40, 41}, 3D sizes of a β -form particle can therefore be measured by using this approach for the reconstructed cuboid.

Based on the above measured 3D sizes, the particle volume can be quantitatively computed, such as from a reconstructed cuboid. However, such computation may give rise to undesirable estimation error. To improve the computation accuracy, it is proposed to view the reconstructed particle shape as a convex hull for computation. By using the Delaunay triangulation principle⁴², a convex hull can be subdivided into N_s tetrahedrons. Denote by $\{(x_{t,n}, y_{t,n}, z_{t,n}), t = 1, \dots, 4, n = 1, \dots, N_s\}$ four vertex coordinates of the n -th tetrahedron, the volume of the n -th tetrahedron can be computed as

$$V_n = \frac{1}{6} \times \begin{vmatrix} 1 & 1 & 1 & 1 \\ x_{1,n} & x_{2,n} & x_{3,n} & x_{4,n} \\ y_{1,n} & y_{2,n} & y_{3,n} & y_{4,n} \\ z_{1,n} & z_{2,n} & z_{3,n} & z_{4,n} \end{vmatrix} \quad (29)$$

Accordingly, the particle volume is estimated based on the symmetry as

$$V = 2 \sum_{n=1}^{N_s} V_n \quad (30)$$

5. Experimental results

Two cooling crystallization experiments on α - and β -form LGA were performed, respectively, based on the non-invasive binocular imaging system for 3D morphology measurement, with the same experimental conditions introduced in Section 2, except for the cooling rates of 1°C/min for α -form LGA and 0.2°C/min for β -form LGA. Note that to transform the image pixel into a physical unit for computation, the calibration method¹¹ with circle scale was used to obtain the pixel equivalent before the measurement. For comparison, an off-line electron microscope (Leica DM 2500, LAS_v4.4) was also used for verifying the sizes and volumes of final crystal products.

Before 3D reconstruction of particle shapes, image processing was conducted for in-situ captured double-view images of α -form LGA crystals during the crystallization process, as shown in Fig.6. For illustration, a pair of the original double-view images including α -form crystals is

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3 shown in Fig.6a. Fig.6b shows the preprocessed image pair of the outlined α -form crystal in Fig.6a
4 by the Canny method. Using the proposed corner detection method for α -form crystals, Fig.6c
5 shows the detected results of external and internal contour edges of this α -form crystal. Accordingly,
6 the corner detection results are shown in Fig.6d, well demonstrating that the proposed image
7 analysis method effectively detected the key corners in real time. The detected key corners were
8 then used for 3D reconstruction of this crystal shape.

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11 A reconstructed stereo shape of α -form crystals is illustrated in Fig.7. The 3D coordinates of
12 the eight key corners are computed by the proposed geometry model formulae, as shown in Fig.7a.
13 The correspondingly reconstructed 3D geometry model is shown in Fig.7b. Note that the symmetry
14 of an α -form crystal should be considered in the final geometry reconstruction, which is omitted.

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17 Similarly, a stereo reconstruction of β -form LGA crystals is illustrated in Fig.8, based on the
18 in-situ captured double-view images. Fig.8a shows the in-situ captured images of β -form crystals.
19 After image preprocessing, the segmented double-view images for a sampled β -form crystal are
20 shown in Fig.8b. Then Fig.8c shows the corner detection results for this β -form crystal. Finally, a
21 stereo shape of this β -form crystal is approximately reconstructed based on the corresponding key
22 corners, as shown in Fig.8d.

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25 Note that the total time spent for the proposed method to measure the 3D sizes of an α - form
26 LGA crystal was about 1.52 seconds, and about 1.48 seconds for a β -form LGA crystal, based on
27 a monitoring computer configured with CPU of Intel 3.40 GHZ and RAM of 8.00G. The time was
28 sufficiently small for implementing an on-line control strategy as studied in the recent paper ⁴³,
29 where the sampling time for control implementation was taken as tens of second or even a few
30 minutes for LGA cooling crystallization.

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33 To demonstrate the effectiveness of the proposed method, an off-line measurement of CSD
34 using an electron microscope was also performed on the final crystal products for verification. In
35 view of that an electron microscope could only measure the 2D sizes of each crystal, comparison
36 between the proposed method and an electron microscope was therefore made for measuring the
37 CSDs in length and width for LGA crystal products of α -and β -forms, respectively. Almost 200
38 particles randomly taken from the LGA crystal products were used for measuring CSD of α - and
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3 β -form crystals, respectively. For illustration, the measured CSDs were fitted by the probability
4 density estimation with the normal kernel function ⁴⁴. The measured CSD results are plotted in
5 Fig.9 in comparison with off-line measurement by an electron microscope based on the pre-
6 processed samples of LGA crystal products, well demonstrating the consistency between each other.
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11 To further demonstrate the superiority of the proposed method over the recently developed 2D
12 size measurement method ¹¹ based on the image projection of a particle in a 2D fitting plane, Table
13 2 shows a comparison of relative errors between the proposed method (denoted by 3DM) and the
14 2D size measurement method (denoted by 2DM) with reference to the off-line measurement by an
15 electron microscope, where the peak size denotes the peak value of CSD. It is seen that evidently
16 improved accuracy on the 2D size measurement is obtained by the proposed method.
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24 In view of that the above LGA crystal products are too tiny in volume to be measured by an
25 electron microscope for off-line verification, the needle-like monosodium glutamate crystals with
26 relatively larger 3D sizes of millimeter-scale were used to verify the proposed volume computation
27 method, owing to that their shapes are similar to β -form LGA crystals and these particles can
28 be manually deployed for in-situ or off-line measurement. The experiment was carried out by fixing
29 thee needle-like monosodium glutamate crystals on the inside wall of the glass crystallizer for in-
30 situ measurement by the non-invasive binocular imaging system, as shown in Fig.10(a). The
31 proposed volume computation method is therefore used based on 3D shape reconstruction. For
32 comparison, the off-line measurement was conducted by measuring two side faces (length \times width
33 and length \times height) of each particle with an off-line electron microscope for computing the particle
34 volume, as shown in Fig.10(b). The measurement results are listed in Table 3. It is seen that the in-
35 situ measurement results by the proposed method are in good agreement with the off-line
36 measurement by an electron microscope, with averaged relative errors below 10%. These results
37 well demonstrates that the proposed method can be effectively used for in-situ assessment of
38 particle volumes during the crystallization process, thus facilitating on-line monitoring of crystal
39 growth kinetics and quality.
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6. Conclusions

An in-situ measurement method has been proposed for monitoring 3D CSD during a crystallization process, based on double-view images simultaneously captured by a non-invasive binocular micro-vision system. By detecting the particle edges from the captured double-view images with fast image preprocessing algorithms to overcome the influence from solution turbulence and uneven illumination background involved with in-situ imaging, two fast algorithms for real-time implementation are proposed to locate the key corners in the captured images for two typical crystal morphologies of prismatic and needle-like shapes, such as α - and β -forms of LGA, respectively. Based on the identified key corners, a 3D geometry model is established to approximate the 3D shape of each captured particle. Two fast algorithms are given to compute 3D sizes of α - and β -form LGA crystals from the reconstructed 3D shapes, respectively. In addition, a tetrahedron based fast algorithm is given to quantitatively measure the volume of each imaged particle. Experimental tests on the cooling crystallization processes of α - and β -form LGA crystals have well demonstrated the effectiveness of the proposed method for in-situ monitoring 3D crystal morphologies, with good accuracy on measuring the length and width of crystals in comparison with off-line measurement by an electron microscope or the recent 2D crystal size measurement method given in the previous work ¹¹. Moreover, the in-situ measurement accuracy on particle volume by the proposed method is validated via needle-like monosodium glutamate crystals, in comparison with off-line measurement by an electron microscope. It should be noted that the accuracy of such a 3D geometry model for approximation depends on the identified key corners. If no sufficient key corners could be detected for a particle image, its 3D morphology may not be completely reconstructed, in particular for very small particles that could not be effectively imaged. It therefore deserves a further study on multi-directional imaging with more cameras or a predefined data set to facilitate 3D shape reconstruction in the future work, along with real-time classification methods on different particle shapes.

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Appendix: Derivation of Eq.(10)

From Fig.4a, the following two equations stand according to the common property of two similar triangles,

$$\frac{Z - f_c}{Z} = \frac{b_1 - a_1}{b_1} \quad (\text{A1})$$

$$\frac{Z - f_c}{Z} = \frac{b_2 - a_2}{b_2} \quad (\text{A2})$$

where $f_c = f \cos \theta$ and $b_1 + b_2 = b$.

The above equations can be equivalently transformed into

$$\frac{b_1 - a_1}{Z - f_c} = \frac{b_1}{Z} \quad (\text{A3})$$

$$\frac{b_2 - a_2}{Z - f_c} = \frac{b_2}{Z} \quad (\text{A4})$$

Since $b_1 + b_2 = b$, it can be derived that

$$Z = \frac{bf_c}{a_1 + a_2} \quad (\text{A5})$$

Fig.4b shows the geometric diagram in the left view of camera. According to the sine law, there follows

$$\frac{\sin(90 + \tau_l)}{m_l} = \frac{\sin(90 - \theta - \tau_l)}{x_l} \quad (\text{A6})$$

where $n_l = f \sin \theta$.

It can be derived from (A6) that

$$m_l = \frac{x_l \sin(90 + \tau_l)}{\sin(90 - \theta - \tau_l)} \quad (\text{A7})$$

It can be seen from Fig.4b that

$$a_1 = m_l + n_l \quad (\text{A8})$$

Since Fig.4c shows the geometric diagram in the right view of camera, it follows from the sine law that

$$\frac{\sin(90 - \tau_r)}{m_r} = \frac{\sin(90 - \theta + \tau_r)}{x_r} \quad (\text{A9})$$

where $n_r = f \sin \theta - m_r$.

It can be derived from (A9) that

$$m_r = \frac{x_r \sin(90 - \tau_r)}{\sin(90 - \theta + \tau_r)} \quad (\text{A10})$$

It can be seen from Fig.4c that

$$a_2 = n_r \quad (\text{A11})$$

Therefore,

$$a_1 + a_2 = m_l + n_l + n_r \quad (\text{A12})$$

By substituting (A12) into (A5), it yields

$$Z = \frac{bf \cos \theta}{2f \sin \theta + \frac{x_l \sin(90 + \tau_l)}{\sin(90 - \theta - \tau_l)} + \frac{x_r \sin(90 - \tau_r)}{\sin(90 - \theta + \tau_r)}} \quad (\text{A13})$$

which may be rewritten as

$$Z = \frac{bf \cos \theta}{2f \sin \theta + \frac{x_l \cos \tau_l}{\cos(\theta + \tau_l)} - \frac{x_r \cos \tau_r}{\cos(\theta - \tau_r)}} \quad (\text{A14})$$

where $\tan \tau_l = x_l / f$, $x_l = \frac{\gamma}{\kappa} |u_l - L/2|$, $\tan \tau_r = x_r / f$, and $x_r = \frac{\gamma}{\kappa} |u_r - L/2|$.

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Table 1 Measurement errors on a micro-scale rule with a dip angle of 65°

True length (μm)	500 (B-C)	1000 (A-C)	1500 (A-D)	Averaged relative error (%)
Measured length (μm)	481.18	976.32	1427.83	3.65
Measured dip angle ($^{\circ}$)	60.35	61.92	63.28	4.85

Table 2 Comparison of relative measurement errors (%) between the proposed method and a 2D measurement method with reference to offline measurement by an electron microscope

Method	Size	α -form		β -form		Averaged relative error (%)
		Length (μm)	Width (μm)	Length (μm)	Width (μm)	
3DM	Mean	3.28	2.87	4.16	3.73	3.58
	Peak	4.39	3.29	3.08	3.84	
2DM	Mean	7.97	7.48	7.92	4.78	7.19
	Peak	8.25	6.71	8.74	5.63	

Table 3 Volume measurement errors on three different monosodium glutamate particles

Item	Particle 1	Particle 2	Particle 3
Off-line verification (mm^3)	0.462	0.371	0.355
The proposed method (mm^3)	0.419	0.338	0.323
Relative error (%)	9.31	8.89	9.01

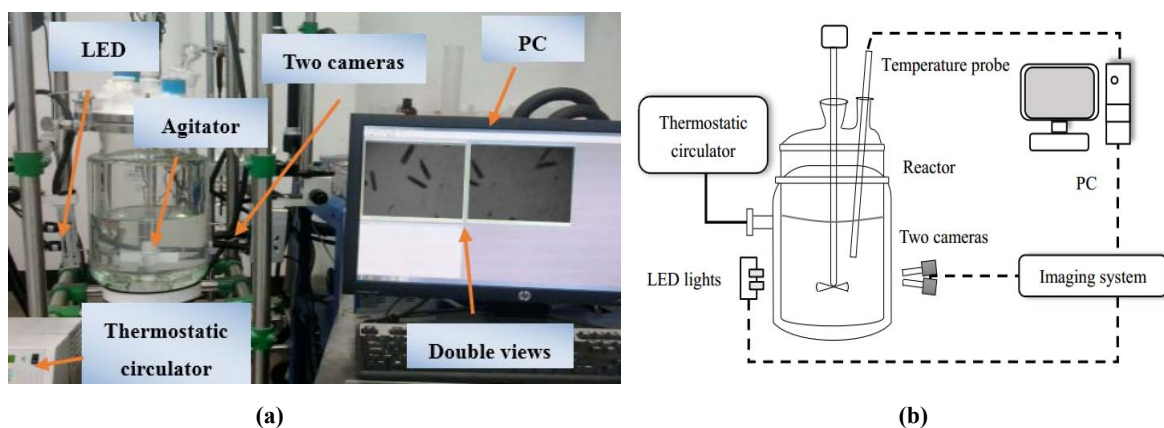


Fig.1 Non-invasive binocular micro-vision system for monitoring a crystallization process:
(a) external view; (b) schematic diagram.

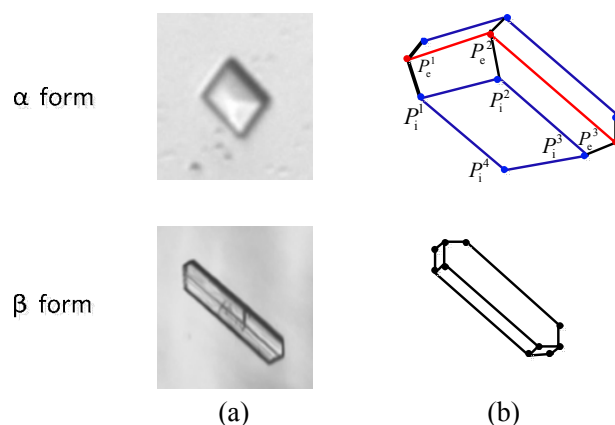


Fig.2 LGA crystal morphologies of α - and β -forms: (a) crystal images; (b) simplified reconstructions
(external contour edges are marked in red and internal contour edges are marked in blue for α form).

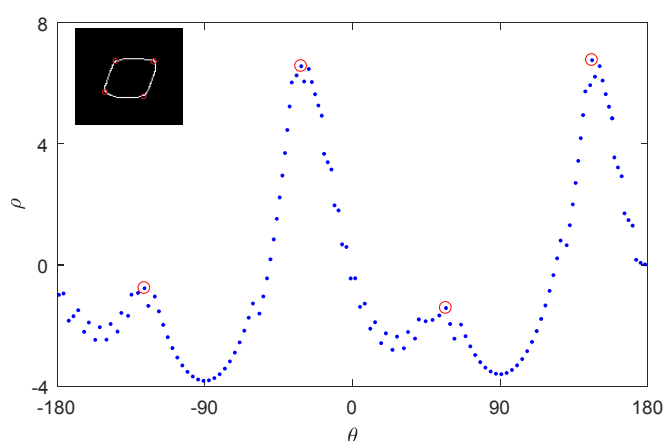


Fig.3 Plot of the inner distances of contour points in an α -form crystal image
(The contour image is in the top left corner and the extremum points are marked in red).

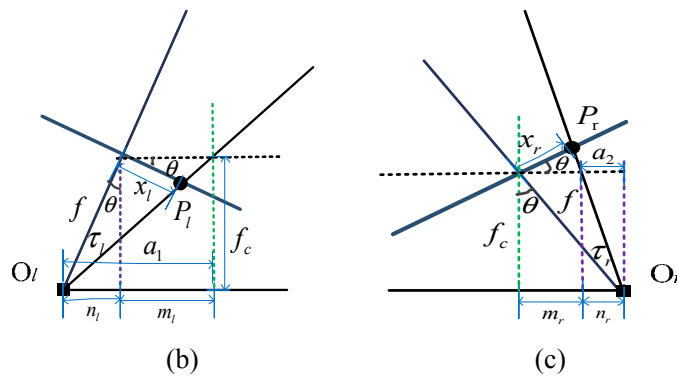
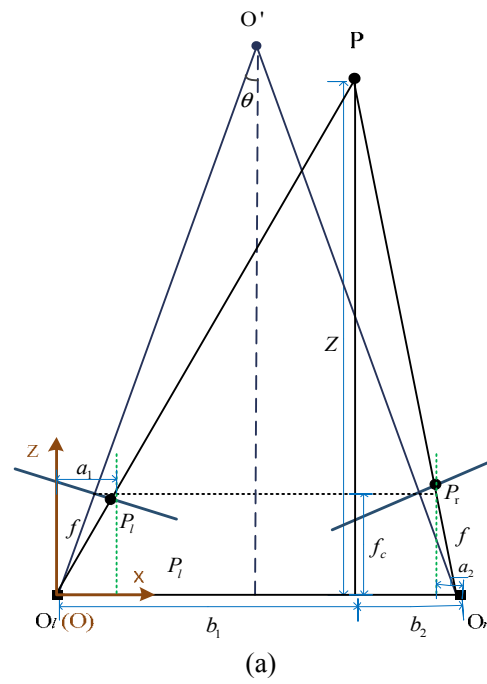


Fig.4 The geometry model of a stereo imaging system: (a) stereo imaging; (b) the left-view model; (c) the right-view model.

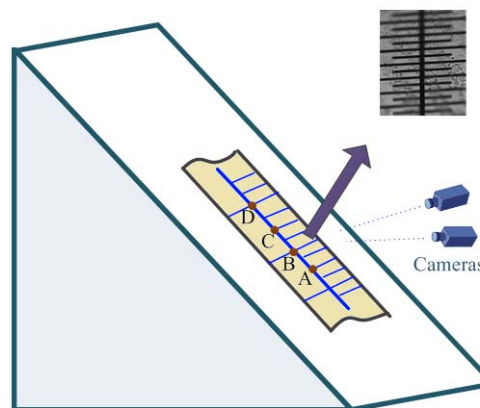
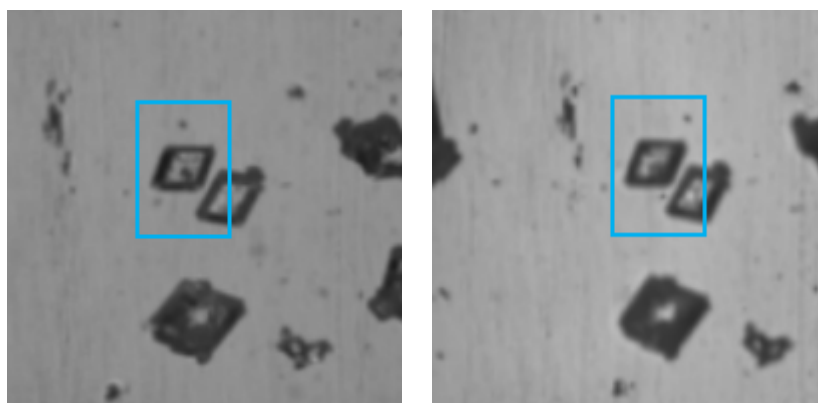
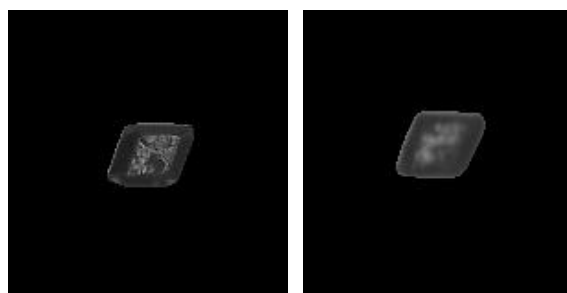


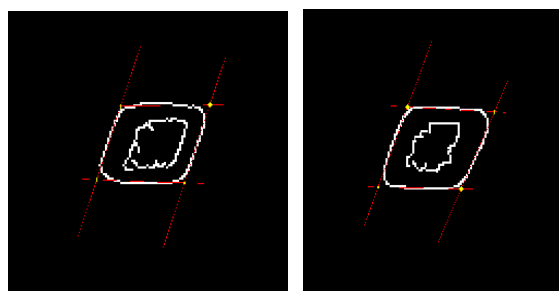
Fig.5 Schematic diagram of the measurement test on a micro-scale ruler.



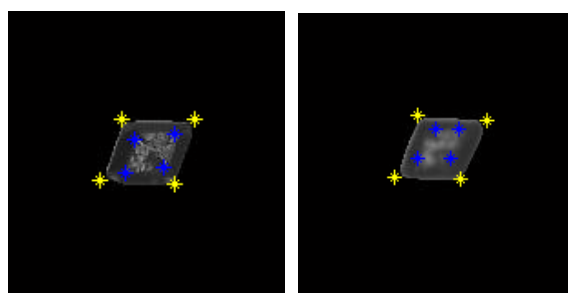
(a)



(b)



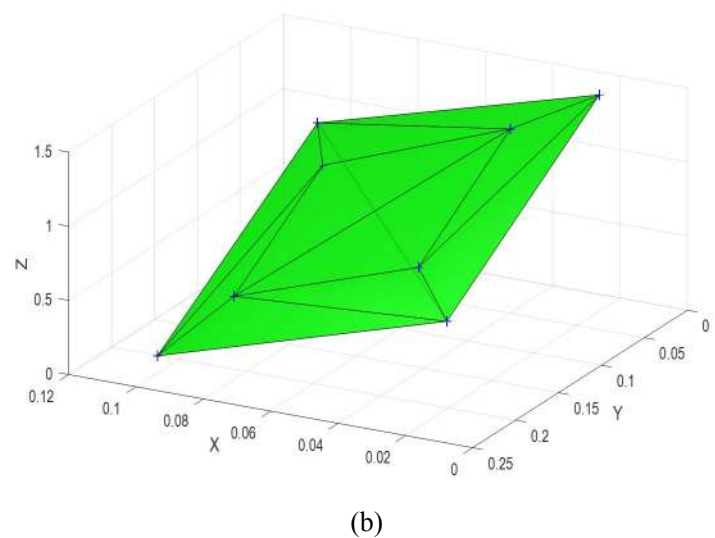
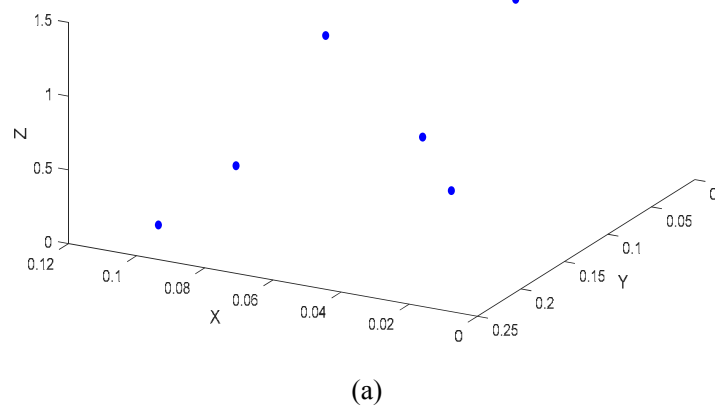
(c)



(d)

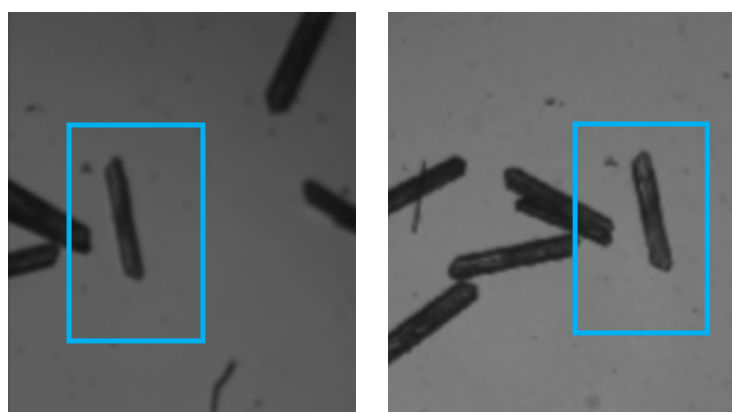
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Fig.6 Image processing results for α -form LGA crystals: (a) original double-view images; (b) segmented double-view images for a sampled crystal; (c) external and internal contours; (d) the key corners.

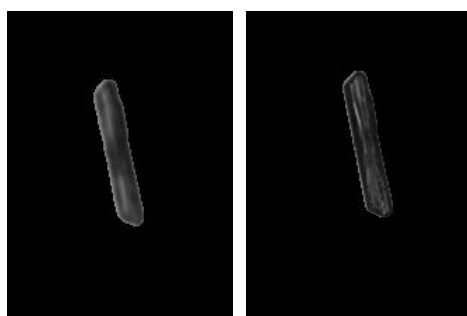


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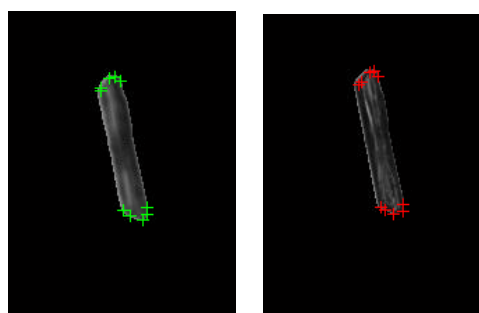
Fig.7 3D shape reconstruction for an α -form LGA crystal: (a) 3D locations of the key corners; (b) 3D shape reconstruction.



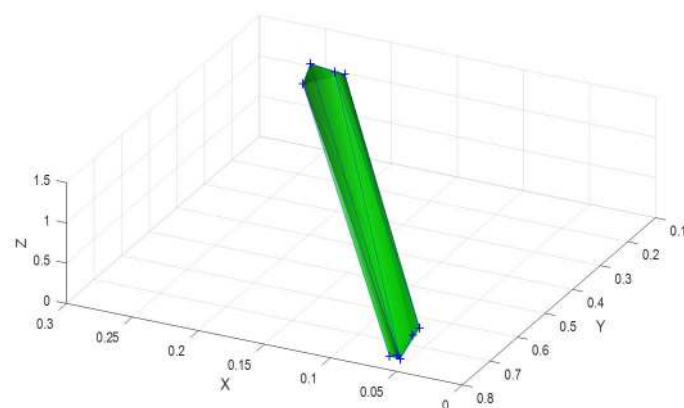
(a)



(b)



(c)



(d)

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Fig.8 Illustration of image processing and 3D reconstruction results for a β -form LGA crystal: (a) original double-view images; (b) segmented double-view images for a sampled crystal; (c) key corner detection; (d) 3D shape reconstruction.

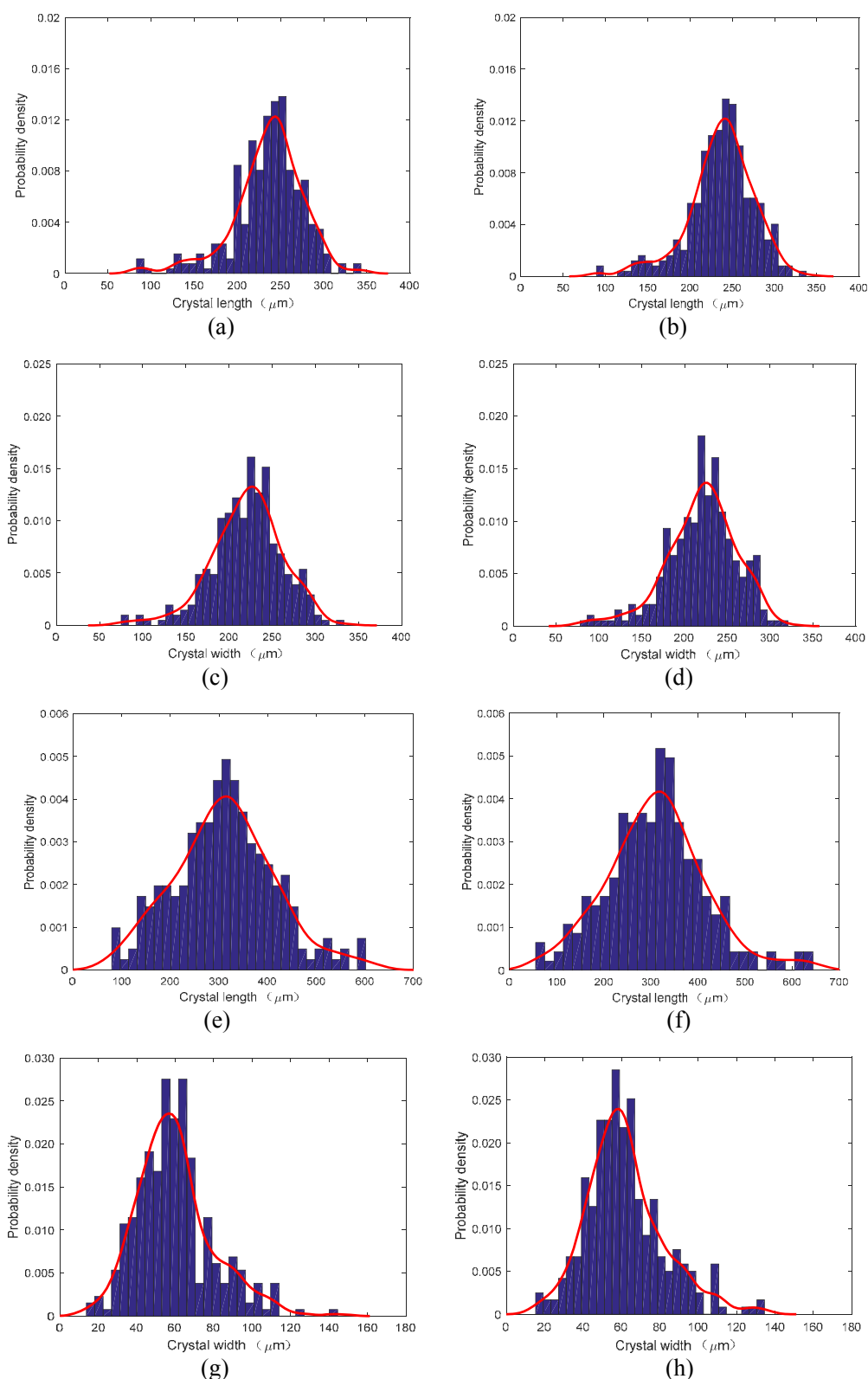


Fig.9 In-situ measured results of LGA CSD compared to off-line measurement by an electron microscope: (a) the length distribution of α -form LGA by the proposed method; (b) the length distribution of α -form LGA by off-line measurement of microscopy; (c) the width distribution of α -form LGA by the proposed method; (d) the width distribution of α -form LGA by off-line measurement of microscopy; (e) the length distribution of β -form LGA by the proposed method; (f) the length distribution of β -form LGA by off-line measurement of microscopy; (g) the width distribution of β -form LGA by the proposed method; (h) the width distribution of β -form LGA by off-line measurement of microscopy.

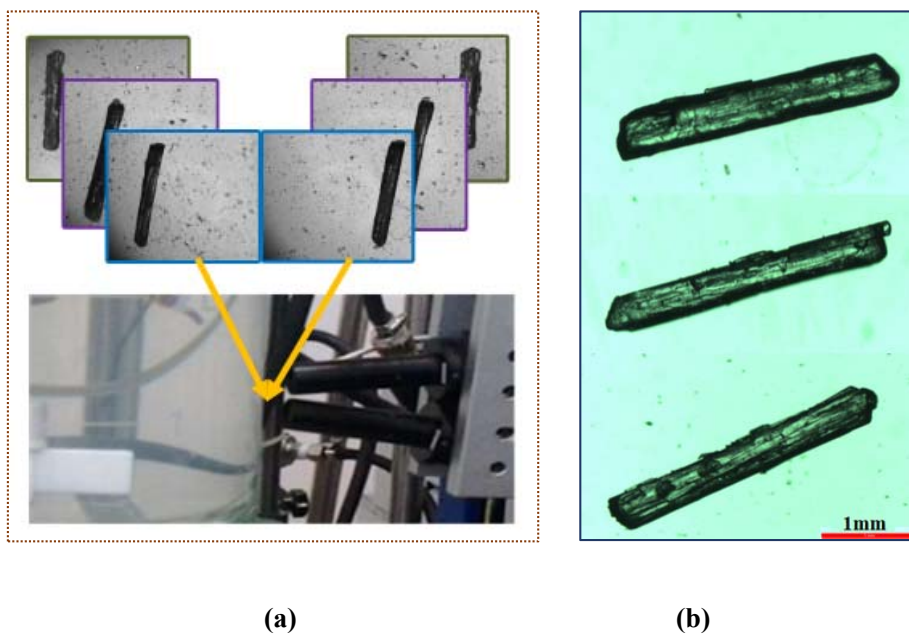


Fig.10 Experimental verification on volume computation via monosodium glutamate particles: (a) the proposed method based on in-situ double-view imaging; (b) off-line measurement on three different monosodium glutamate crystals by an electron microscope.