

# Incidence of cerebral microbleeds in preclinical Alzheimer disease

Paul A. Yates, MBBS  
Patricia M. Desmond,  
MD  
Prमित M. Phal, MBBS  
Christopher Steward,  
PhD  
Cassandra Szoek, PhD  
Olivier Salvado, PhD  
Kathryn A. Ellis, PhD  
Ralph N. Martins, PhD  
Colin L. Masters, MD  
David Ames, MD  
Victor L. Villemagne,  
MD  
Christopher C. Rowe,  
MD  
For the AIBL Research  
Group

Correspondence to  
Dr. Yates:  
paul.yates@austin.org.au

## ABSTRACT

**Objective:** We sought to determine the incidence and associations of lobar microbleeds (LMBs) in a longitudinal cohort with  $^{11}\text{C}$ -Pittsburgh compound B (PiB) PET imaging.

**Methods:** One hundred seventy-four participants from the observational Australian Imaging, Biomarkers and Lifestyle Study of Ageing (97 with normal cognition [NC], 37 with mild cognitive impairment [MCI], and 40 with Alzheimer disease [AD] dementia) were assessed at 3 time points over 3 years with 3-tesla susceptibility-weighted MRI and  $^{11}\text{C}$ -PiB PET. MRIs were inspected for microbleeds, siderosis, infarction, and white matter hyperintensity severity, blind to clinical and PiB findings. Neocortical PiB standardized uptake value ratio, normalized to cerebellar cortex, was dichotomized as positive or negative (PiB+/-, standardized uptake value ratio >1.5). Annualized LMB incidence was calculated, and logistic regression was used to determine the association of incident LMBs with PiB, *APOE*  $\epsilon 4+$  status, and cerebrovascular disease.

**Results:** LMBs were present in 18.6% of NC, 24.3% of MCI, and 40% of AD participants ( $p < 0.05$  vs NC). LMB incidence was  $0.2 \pm 0.6$  per year in NC participants,  $0.2 \pm 0.5$  in MCI, and  $0.7 \pm 1.4$  in AD ( $p < 0.03$  vs NC) and was 6-fold higher in PiB+ than PiB-NC. Incident LMBs were associated with age, *APOE*  $\epsilon 4+$ , PiB+, and baseline LMBs. Incidence of multiple LMBs was also associated with lacunar infarction and white matter hyperintensity severity.

**Conclusions:** Older age, baseline LMBs, higher  $\beta$ -amyloid burden, and concomitant cerebrovascular disease may all confer higher risk of incident LMBs. This should be considered when designing protocols for amyloid-modifying clinical trials. *Neurology*® 2014;82:1266-1273

## GLOSSARY

**A $\beta$**  =  $\beta$ -amyloid; **AD** = Alzheimer disease; **AIBL** = Australian Imaging, Biomarkers and Lifestyle Study of Ageing; **CAA** = cerebral amyloid angiopathy; **CI** = confidence interval; **FLAIR** = fluid-attenuated inversion recovery; **GRE** = gradient-recall echo; **LMB** = lobar microbleed; **MCI** = mild cognitive impairment; **MP-RAGE** = magnetization-prepared rapid-acquisition gradient echo; **NC** = normal cognition; **OR** = odds ratio; **PiB** = Pittsburgh compound B; **SS** = superficial siderosis; **SUVR** = standardized uptake value ratio; **SWI** = susceptibility-weighted imaging; **TE** = echo time; **TR** = repetition time; **VRF** = vascular risk factor; **WMH** = white matter hyperintensity.

Cerebral microbleeds<sup>1</sup> and superficial siderosis (SS)<sup>2</sup> are frequently identified using T2\* gradient-recall echo (GRE) or susceptibility-weighted MRI sequences in the setting of microvascular disease due to either hypertensive arteriosclerosis or cerebral amyloid angiopathy (CAA).<sup>3</sup> Microbleeds in a lobar, cortico-subcortical distribution and SS<sup>4</sup> are frequently due to CAA<sup>1</sup> and are associated with *APOE*  $\epsilon 4+$  status, severe white matter hyperintensity (WMH),<sup>5</sup> and in vivo evidence of  $\beta$ -amyloid (A $\beta$ ) with PET.<sup>6-8</sup>

Microbleeds have also been noted in some treatment trials for Alzheimer disease (AD), hypothesized to be from altered vascular permeability from mobilization of parenchymal or vascular A $\beta$ .<sup>9,10</sup> Because of safety concerns, it is recommended that individuals with multiple

Supplemental data  
at [Neurology.org](http://Neurology.org)

From the Department of Nuclear Medicine and Centre for PET (P.A.Y., V.L.V., C.C.R.), Austin Health, Heidelberg; The University of Melbourne (P.A.Y., P.M.D., C.S., C.C.R.), Parkville; Department of Radiology (P.M.D., P.M.P., C.S.), Royal Melbourne Hospital, Parkville; National Ageing Research Institute (D.A.), Parkville; CSIRO Preventative Health Flagship (O.S.), Parkville; Florey Institute of Neuroscience and Mental Health (K.A.E., C.L.M., V.L.V.), The University of Melbourne, Parkville; Academic Unit for Psychiatry of Old Age (K.A.E., D.A.), Department of Psychiatry, The University of Melbourne, Kew, Victoria; Centre of Excellence for Alzheimer's Disease Research and Care (R.N.M.), School of Exercise, Biomedical and Health Sciences, Edith Cowan University, Australia.

AIBL Research Group coinvestigators are listed on the *Neurology*® Web site at [Neurology.org](http://Neurology.org).

Go to [Neurology.org](http://Neurology.org) for full disclosures. Funding information and disclosures deemed relevant by the authors, if any, are provided at the end of the article.

microbleeds be excluded from immunotherapy studies<sup>9</sup>; however, there is limited information regarding the natural history of these lesions to guide clinical trial design.

Carbon 11 Pittsburgh compound B (<sup>11</sup>C-PiB) PET imaging has demonstrated co-occurrence of A $\beta$  with microbleeds (particularly lobar microbleeds [LMBs]) in asymptomatic older controls<sup>7</sup> and intracranial hemorrhage,<sup>6</sup> and increased PiB retention at sites of subsequent incident microbleeds,<sup>11</sup> implicating the presence of A $\beta$  with risk of future microbleeds. Although there are several prospective studies of microbleeds,<sup>11–15</sup> the incidence of microbleeds in preclinical AD (i.e., normal cognition [NC] with presence of an A $\beta$  biomarker<sup>16</sup>) is unknown.

We therefore investigated the incidence of LMBs and risk factors for incident LMBs over 3 years in a cohort with <sup>11</sup>C-PiB PET imaging.

**METHODS Setting and participants.** Participants studied were from the Melbourne Neuroimaging Cohort of the Australian Imaging, Biomarkers and Lifestyle Study of Ageing (AIBL), comprising 174 individuals who underwent susceptibility-weighted MRI, PiB PET, and clinical assessment, and had blood drawn for biomarkers and *APOE* genotype sequencing.<sup>17</sup>

At baseline there were 97 control participants with NC, 37 participants with mild cognitive impairment (MCI), and 40 participants with dementia due to AD. Of these, 123 had at least 2 susceptibility-weighted imaging (SWI) scans over 36 months of follow-up (123 had 2 and 87 had 3 scans).

Inclusion criteria and methodology for this cohort has been previously described. Of note, individuals with a history of large stroke or alcohol abuse were excluded.<sup>18</sup>

**Standard protocol approvals, registrations, and patient consents.** Approval for the study was obtained from the Austin Health Human Research Ethics Committee. Written informed consent was obtained from all participants.

**Clinical assessment.** Each individual underwent a neuropsychological test battery (including Folstein Mini-Mental State Examination<sup>19</sup> and Clinical Dementia Rating<sup>20</sup>) and panel discussion, blinded to neuroimaging findings. Individuals met National Institute of Neurological and Communicative Disorders and Stroke/Alzheimer's Disease and Related Disorders Association<sup>21</sup> and Petersen<sup>22</sup> criteria for AD and MCI, respectively, and controls performed within age-matched norms.<sup>18</sup>

As previously described,<sup>7</sup> the following vascular risk factors (VRFs) were identified from self-report, physical examination, and laboratory findings: hypertension, diabetes mellitus, hypercholesterolemia, current smoker, cardiovascular disease, and atrial fibrillation.

**MRI acquisition and interpretation.** All MRIs were performed on the same 3-tesla Siemens TRIO MRI system (Siemens AG, Erlangen, Germany). High-resolution T1-weighted magnetization-prepared rapid-acquisition gradient echo (MP-RAGE), fluid-attenuated inversion recovery (FLAIR), and SWI were performed as follows: T1 MP-RAGE: matrix 160 × 240 × 256, voxel size 1.2 × 1 mm (sagittal × coronal), slice thickness 1 mm, repetition

time (TR)/echo time (TE) 2,300/2.98 milliseconds, flip angle 9°; FLAIR: matrix 176 × 240 × 256, voxel size 0.90 × 0.97 mm, slice thickness 0.97 mm, TR/TE 6,000/421 milliseconds, flip angle 120°, TI 2,100 milliseconds; and SWI: matrix 176 × 256 × 80, voxel size 0.94 × 0.94 mm, slice thickness 1.75 mm, no gap, TR/TE 27/20 milliseconds, flip angle 15°.

MRIs were inspected for microbleeds, siderosis, infarction, and WMH severity, blinded to clinical and PiB findings. Microbleeds were defined as round or ovoid (nonlinear) hypointense lesions  $\leq 10$  mm<sup>3</sup> (figure 1). Lesions were tracked through multiple slices to exclude pial blood vessels. First, 2 neuroradiologists independently read all SWIs separately (in random order). A consensus was reached for presence and number of lesions on each scan. The agreement between readers 1 and 2 was 83% ( $\kappa = 0.65$ ); reader 1 × consensus 91% ( $\kappa = 0.8$ ); and reader 2 × consensus 91% ( $\kappa = 0.83$ ). An additional blinded assessment was performed to confirm these ratings, with agreement of 82% with reader 1 ( $\kappa = 0.56$ ), 78% with reader 2 ( $\kappa = 0.52$ ), and 83% with consensus ( $\kappa = 0.61$ ).

Second, images were read sequentially (i.e., baseline, 18 months, 36 months) to document the appearance or disappearance of lesions over the 3 time points, with T1 and T2 FLAIR images available for comparison. Lesions were categorized by region into lobar or nonlobar (deep or infratentorial). Lesions in the cerebellum were counted as “lobar” because this is an area in which CAA can occur.<sup>23</sup> Presence of SS (figure 2), curvilinear hypointensity in the subarachnoid space, was counted in analyses as equivalent to an LMB (herein “LMB” includes SS unless specified otherwise).<sup>4</sup> According to established rating scales,<sup>24,25</sup> lesions were stratified into “possible” and “definite.” Only definite microbleeds were included in analyses. For incidence analyses, incident LMBs included both newly identified LMBs (including SS) and those that were reclassified to definite from possible on a prior scan. To confirm the validity of this method, we recalculated the incidence rates excluding incident LMBs that were identified as “possible” at baseline with no significant change to the results.

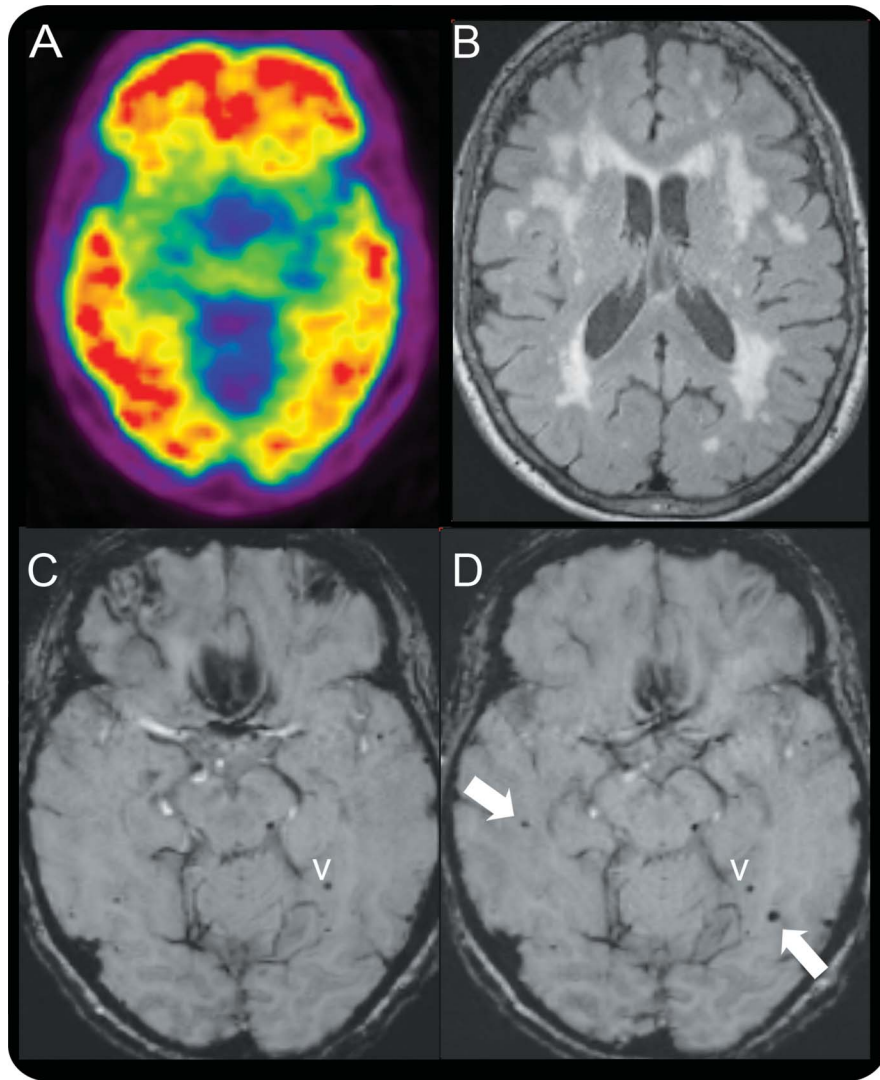
Lacunar infarcts were defined as foci 3 to 20 mm in size, hypointense on T1, and hypointense with perifocal high signal on T2 FLAIR.<sup>26,27</sup> Cortical infarction was defined as cortical lesions with increased FLAIR, isointense or hypointense T1 signal.<sup>28</sup> Hemorrhage was defined as SWI hypointensity  $>10$  mm in diameter.<sup>29</sup> Deep and periventricular WMH severity was rated visually using a validated rating scale.<sup>30</sup>

**PET acquisition and interpretation.** Each participant received approximately 370 MBq of <sup>11</sup>C-PiB IV over 1 minute. A 30-minute acquisition, 40 minutes post-injection, was performed using a Philips Allegro PET camera (Philips Healthcare, Andover, MA). A transmission scan was performed for attenuation correction. Cortical-to-cerebellar gray matter ratios (standardized uptake value ratio [SUVR]) were generated for regions of interest. Neocortical A $\beta$  burden was expressed as the average SUVR of frontal, superior parietal, lateral temporal, lateral occipital, and anterior and posterior cingulate regions. A threshold neocortical SUVR of 1.5 was used to indicate significant PiB retention (PiB+/-).<sup>17</sup>

**Statistical methods.** Groups were compared using  $\chi^2$ , analysis of variance, or Kruskal-Wallis tests, with Bonferroni correction for multiple comparisons.

To compare incidence between participants with different follow-up periods, the annual incidence for LMBs (including SS) was calculated using the total number of incident lesions and maximum time interval between baseline and final scans. Incidence rates were not calculated for non-LMBs because of the small number of these lesions. Spearman correlations were performed among LMB incidence, PiB SUVR, and number of

**Figure 1** Multiple incident microbleeds in a PiB+ cognitively normal control



Images from an 86-year-old participant with a baseline Mini-Mental State Examination score of 30/30 and Clinical Dementia Rating of 0. (A)  $^{11}\text{C}$ -PiB PET: extensive cortical PiB retention (standardized uptake value ratio = 2.15). (B) Fluid-attenuated inversion recovery MRI: severe periventricular and deep white matter hyperintensities. (C, D) Baseline and 18-month susceptibility-weighted MRI demonstrating a vessel (v) and multiple incident microbleeds (arrows). PiB = Pittsburgh compound B.

baseline LMBs. Logistic regression was used to determine association of clinical and imaging variables with incident LMBs (including SS). To account for potential bias, all regression analyses were adjusted for age, sex, and scan interval.

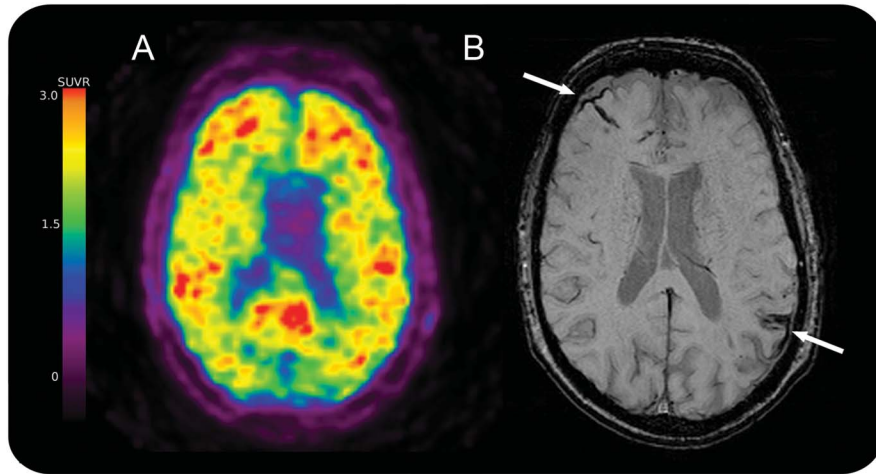
To determine whether the association between A $\beta$  burden and incident LMBs was influenced by *APOE*  $\epsilon 4$  status, logistic regression was performed including PiB status, *APOE*  $\epsilon 4$ , and an interaction term, *APOE*  $\epsilon 4 \times$  PiB.

**RESULTS** The median duration between baseline PiB and MRI was 0 days (interquartile range 20.3). There were no significant differences in age, sex, number of VRFs, or antiplatelet medications among NC, MCI, and AD groups (table 1). In addition, there was no significant difference in prevalence of VRFs among NC, MCI, and AD groups or when stratified by PiB+/- (data not shown).

Five individuals reported a history of stroke or TIA (1 stroke, 4 TIA), of whom 3 had radiologic evidence of infarction, and clinically silent infarction was identified in 14 individuals. Nine participants had lacunar infarction, 8 had cortical infarction, and 3 had hemorrhage. Two participants had both lacunar infarction and hemorrhage and one had both lacunar and cortical infarction. There was no difference in prevalence of infarction among NC, MCI, and AD groups. Periventricular WMH severity and prevalence of SS were both greater in AD than in NC participants ( $p < 0.05$ , table 1).

**Baseline analysis: Microbleed prevalence.** The prevalence of microbleeds (in any location) was 21.6% in NC, 24.3% in MCI, and 42.5% in AD participants

**Figure 2** Superficial siderosis in a PiB+ participant with Alzheimer disease



Images from an 83-year-old participant with Alzheimer dementia, baseline MMSE score = 17/30, CDR = 1, and CDR-SOB = 5. At 3 years: MMSE = 10/30, CDR = 2, and CDR-SOB = 12. (A)  $^{11}\text{C}$ -PiB PET: extensive cortical PiB retention (SUVR = 2.96). (B) Susceptibility-weighted MRI: superficial siderosis in right frontal and left parietotemporal regions (arrows). CDR-SOB = Clinical Dementia Rating-Sum of Boxes; MMSE = Mini-Mental State Examination; PiB = Pittsburgh compound B; SUVR = standardized uptake value ratio.

( $p < 0.05$  AD vs NC,  $\chi^2 = 4.3$ ). The range of microbleeds per participant was 0–19 in NC, 0–4 in MCI, and 0–16 in AD. LMBs (including SS) were present in 18.6% of NC, 24.3% of MCI, and 40% of AD participants ( $p < 0.05$  vs NC,  $\chi^2 = 7.0$ ). Deep or infratentorial microbleeds were infrequent (NC 10.3%, MCI 5.4%, AD 2.5%,  $p > 0.05$ ,  $\chi^2 = 2.8$ ).

LMB prevalence in PiB+ NC participants was 41.4% (12/29) compared with 8.8% (6/68) in PiB-NC participants ( $p < 0.001$ ,  $\chi^2 = 14.3$ ). LMB prevalence was higher in individuals with lacunar infarction than in those without (55.6%, 5/9 vs 23.0%, 38/165,  $p = 0.04$ ,  $\chi^2 = 4.9$ ). No difference was seen with or without cortical infarcts or hemorrhage.

**Longitudinal analysis.** One hundred twenty-three participants (70.7%) had sequential imaging. Participants who withdrew before follow-up were significantly older, had poorer cognition, more severe WMH, and higher prevalence of PiB+ and microbleeds (table e-1 on the *Neurology*<sup>®</sup> Web site at Neurology.org). Discontinuation from imaging was attributable to the following factors: participant declining participation (10.9%), progressive cognitive impairment (9.2%), new MRI contraindication (8.0%), other illness (6.9%), scan pending or postponed (4.6%), clinical trial (4.0%), deceased (4.0%), lost contact (1.7%), and missing MRI sequence (0.6%).

**Microbleed incidence.** Thirty participants had incident LMBs (range 0–16 per participant) and 6 had incident non-LMBs. Two cases of incident SS occurred (both AD participants), and all (3/3) participants with baseline SS with longitudinal imaging demonstrated incident LMBs. The incidence of

LMBs was higher in participants with AD than in those with NC or MCI (table 2).

LMB incidence was higher in PiB+ than PiB- and in those with multiple baseline LMBs, and was higher in *APOE*  $\epsilon 4+$  than  $\epsilon 4-$  status ( $0.5 \pm 1.0$  vs  $0.1 \pm 0.3$  per year,  $p < 0.01$ ).

**Participants with NC.** In participants with NC, there was a correlation between LMB incidence (LMBs/year) and both PiB SUVR ( $\rho = 0.27$ ,  $p = 0.01$ ) and baseline LMBs ( $\rho = 0.35$ ,  $p < 0.001$ ). Incident LMBs were associated with age, *APOE*  $\epsilon 4$  carrier status, PiB+ status, and presence of baseline LMBs, but not sex, VRFs, antiplatelet use, WMH ratings, lacunar infarction, or cortical infarction. The odds ratio (OR) for incident LMBs was higher with multiple baseline LMBs compared with one or more. An association with presence of lacunar infarction was of trend-level significance (table e-2). In participants with NC, the incidence of multiple LMBs was associated with age, *APOE*  $\epsilon 4$  status, PiB+ status, and presence of LMBs and lacunar infarction at baseline (table e-2).

**All participants.** When all participants were included in the model (NC, MCI, and AD), incident LMBs were associated with age (OR 1.0, 95% confidence interval [CI] 1.0–1.1), *APOE*  $\epsilon 4$  (OR 3.8, 95% CI 1.6–9.1), PiB+ (OR 2.5, 95% CI 1.1–5.9), and baseline LMBs (OR 5.6, 95% CI 2.0–15.3), as well as periventricular (OR 1.5, 95% CI 1.0–2.3) but not deep WMH (OR 1.1, 95% CI 0.6–2.0). Incidence of multiple LMBs was also associated with age, *APOE*  $\epsilon 4$  status, PiB+ status, baseline LMBs, lacunar infarction, and periventricular (OR 1.9, 95% CI 1.1–3.5) and deep WMH (OR 3.4, 95% CI 1.4–8.1).



**Table 1** Clinical and neuroimaging characteristics of participants

	NC (n = 97)	MCI (n = 37)	AD (n = 40)
<b>Clinical characteristics</b>			
Age, y	74.2 ± 7.3	75.8 ± 6.9	74.6 ± 8.4
Males	46 (47.4)	21 (56.8)	16 (40.0)
APOE ε <sub>4</sub> +	33 (34.0)	16 (44.4)	28 (70.0) <sup>a</sup>
Vascular risk factors	1.3 ± 1.2	1.5 ± 1.1	1.3 ± 1.1
Antiplatelet medication	36 (37.1)	13 (35.1)	10 (25.0)
MMSE score	28.9 ± 1.3	27.4 ± 1.9 <sup>a</sup>	21.4 ± 5.2 <sup>a</sup>
CDR-SOB	0.1 ± 0.2	1.1 ± 0.8 <sup>a</sup>	5.0 ± 2.8 <sup>a</sup>
<b>Neuroimaging characteristics</b>			
PiB+	29 (29.9)	21 (56.8) <sup>a</sup>	40 (100) <sup>a,b</sup>
PiB SUVR	1.4 ± 0.4	1.8 ± 0.7 <sup>a</sup>	2.4 ± 0.4 <sup>a,b</sup>
Any stroke	11 (11.3)	1 (6.3)	6 (15.0)
Lacunar infarction	5 (5.2)	1 (2.7)	3 (7.5)
Cortical infarction	6 (6.2)	0 (0.0)	2 (5.0)
Hemorrhage	1 (1.0)	0 (0.0)	2 (5.0)
Periventricular WMH severity	1.1 ± 1.0	1.2 ± 1.1	1.5 ± 1.0 <sup>a</sup>
Deep WMH severity	1.0 ± 0.7	1.1 ± 0.8	1.2 ± 0.8
Microbleed prevalence	21 (21.6)	9 (24.3)	17 (42.5) <sup>a</sup>
Lobar <sup>c</sup>	18 (18.6)	9 (24.3)	16 (40.0) <sup>a</sup>
Nonlobar	10 (10.3)	2 (5.4)	1 (2.5)
Superficial siderosis	1 (1.0)	0 (0.0)	7 (17.5) <sup>a,b</sup>

Abbreviations: AD = Alzheimer disease; CDR-SOB = Clinical Dementia Rating-Sum of Boxes; MCI = mild cognitive impairment; MMSE = Mini-Mental State Examination; NC = normal cognition; PiB = Pittsburgh compound B; SUVR = standardized uptake value ratio; WMH = white matter hyperintensity.

Data are presented as mean ± SD or prevalence (%).

<sup>a</sup>Significantly different from NC,  $p < 0.05$ .

<sup>b</sup>Significantly different from MCI,  $p < 0.05$ .

<sup>c</sup>Lobar microbleed prevalence does not include superficial siderosis.

**Table 2** LMB incidence overall and stratified by PiB status and baseline LMBs

	LMB incidence (lesions/y)			
	NC (n = 83)	MCI (n = 23)	AD (n = 17)	All participants (n = 123)
<b>Overall</b>	0.2 ± 0.6	0.2 ± 0.5	0.7 ± 1.4 <sup>a</sup>	0.3 ± 0.8
<b>PiB status</b>				
PiB–	0.1 ± 0.2	0.1 ± 0.2	—	0.1 ± 0.2
PiB+	0.6 ± 1.1 <sup>b</sup>	0.3 ± 0.6	0.7 ± 1.4	0.5 ± 1.1 <sup>b</sup>
<b>Baseline LMBs</b>				
0	0.1 ± 0.2 <sup>c</sup>	0.1 ± 0.3	0.03 ± 0.1 <sup>c</sup>	0.1 ± 0.2 <sup>c</sup>
1	0.2 ± 0.3 <sup>c</sup>	0.5 ± 0.8	1.0 ± 1.7	0.4 ± 0.8 <sup>c</sup>
≥2	2.4 ± 1.3	0.0 ± 0.0	2.7 ± 2.0	2.5 ± 1.5

Abbreviations: AD = Alzheimer disease; LMB = lobar microbleed; MCI = mild cognitive impairment; NC = normal cognition; PiB = Pittsburgh compound B.

Data are mean ± SD. LMBs include superficial siderosis. Analysis of variance was used for statistical analyses.

<sup>a</sup>Significantly different from NC,  $p < 0.05$ .

<sup>b</sup>Significantly different from PiB–,  $p < 0.01$ .

<sup>c</sup>Significantly different from ≥2 LMBs,  $p < 0.001$ .

**APOE status, global A $\beta$  burden, and LMBs.** Sixty-three percent of individuals with (any) incident LMB and 85.7% of individuals with multiple incident LMBs were *APOE*  $\epsilon$ 4+. Incident LMBs were associated with *APOE*  $\epsilon$ 4+ in univariate analysis; however, with *APOE*  $\epsilon$ 4+ and PiB+ in a regression model together, PiB+ remained significant (OR 5.6, 95% CI 1.1–31.5) whereas *APOE*  $\epsilon$ 4+ did not (OR 3.2, 95% CI 0.7–15.9). The interaction term *APOE*  $\epsilon$ 4  $\times$  PiB was not associated with incident LMBs. *APOE*  $\epsilon$ 2 was not associated with baseline or incident LMBs.

**DISCUSSION** This study assessed the annual incidence of LMBs in a cohort of older adults with concurrent PiB imaging over a 3-year follow-up period. We found that individuals with high A $\beta$  burden or prior microbleeds were more likely to develop new lesions over time and that the incidence was related to the number of LMBs at baseline, A $\beta$  burden, age, and other markers of cerebral small-vessel disease. When analyzed together, high global A $\beta$  burden was independently associated with incident LMBs, whereas *APOE*  $\epsilon$ 4 carriage was not.

Our cohort included individuals (PiB+ with NC) meeting criteria for preclinical AD, a category for which several amyloid-modifying trials are proposed.<sup>16</sup> However, because conventional amyloid PET imaging cannot distinguish between parenchymal and vascular A $\beta$ , it is theoretically possible that a proportion of this group may have predominantly vascular pathology (i.e., “preclinical CAA”). Longitudinal follow-up of clinical outcomes will be enlightening in this cohort.

To date, there are limited but growing prospective data on the natural history of microbleeds to guide clinicians and safety monitoring in clinical trials in AD and CAA. Separating definite from possible microbleeds<sup>24,25</sup> and reading images sequentially<sup>31</sup> have been shown to improve rater confidence and inter-rater agreement. Current guidelines for assessment of microbleeds in AD clinical trials focus predominantly on T2\* GRE rather than SWI, because this sequence has traditionally been more widely available.<sup>9</sup> SWI permits greater contrast by image postprocessing, allowing greater definition of vascular structures and higher sensitivity for detection of microbleeds.<sup>32</sup>

Using SWI, participants with 2 or more baseline LMBs (i.e., satisfying the Boston criteria for probable CAA<sup>33</sup>) had a significantly higher rate of incident lesions than those with one, and the LMB incidence rate correlated with global A $\beta$  burden.

Individuals with higher A $\beta$  burden, particularly those with probable CAA, may be at greater risk of amyloid-related imaging abnormalities in the setting of immunotherapy. In designing clinical trials in preclinical AD, a more stringent exclusion threshold

of  $\geq 2$  lesions may be reasonable if SWI, rather than T2\* GRE, is used for participant screening. This should be tested by designing future randomized controlled trials with safety endpoints aimed to prospectively assess incident amyloid-related imaging abnormality events.

The mean incidence of LMBs was also higher in AD participants (0.7/y) than in NC (0.2/y) or MCI participants (0.2/y). Incidence rates in AD have not been reported to date; however, the incidence of microbleeds (any site) in acute stroke has been reported to be 0.8/y, increasing to 5.4/y in those with  $\geq 5$  microbleeds at baseline.<sup>13</sup>

In cross-sectional studies, LMBs have been associated with increasing age,<sup>7,34</sup> presence of *APOE*  $\epsilon$ 2<sup>35</sup> and *APOE*  $\epsilon$ 4,<sup>5,34,36</sup> and high PiB retention on PET imaging.<sup>7</sup> Over longitudinal follow-up, incident LMBs have also been associated with the presence of baseline microbleeds and severity of white matter disease.<sup>15,37</sup> We saw a significant association between incident LMBs and baseline A $\beta$  burden, corroborating our previous cross-sectional findings.<sup>7</sup> Age and *APOE*  $\epsilon$ 4 carrier status were also associated with incident LMBs, and WMH and lacunar infarction were associated with development of multiple LMBs.

The findings are consistent with preclinical studies demonstrating that both age-related vascular changes and *APOE*  $\epsilon$ 4 carriage may increase the risk of CAA through disruption of perivascular drainage of soluble A $\beta$  from the brain interstitium.<sup>38,39</sup> Presence of severe WMH may reflect impaired perivascular drainage in the setting of severe CAA, with stasis of interstitial fluid, and chronic white matter edema.<sup>40,e1</sup> In addition, severe subcortical small-vessel disease (encompassing lacunar infarction and WMH) may act in concert with CAA to increase risk of LMBs.<sup>e2</sup> However, without histopathologic correlation, it is not clear whether our findings are attributable to concomitant arteriosclerotic disease, more advanced CAA, or both.

In this study, incident LMBs were more strongly associated with global brain A $\beta$  burden than *APOE*  $\epsilon$ 4+ status, and there was no significant interaction between them on incidence of new lesions. Consistent with our baseline results and the findings from the larger Alzheimer’s Disease Neuroimaging Initiative, this suggests that the influence of *APOE*  $\epsilon$ 4 on LMBs may be effected through its influence on total brain A $\beta$ . *APOE*  $\epsilon$ 2 carriage has also been associated with intracerebral hemorrhage in the setting of CAA, and this may be through mechanisms independent of A $\beta$ .<sup>8</sup> However, because our sample contained few *APOE*  $\epsilon$ 2 carriers, no conclusion could be drawn about this.

The baseline prevalence of LMBs among participants with NC in our study (21.6%) is similar to that

reported by the Rotterdam Study<sup>15</sup> but higher than several earlier reports using T2\* GRE.<sup>e3–e5</sup> Along with differences in MRI parameters (e.g., field strength, coil technology, higher-resolution SWI), our sample demographics may also be implicated. The NC group in this study had a higher prevalence of *APOE*  $\epsilon 4$  carriage (34%) compared with the general population (because of intentional selection criteria applied for the AIBL). In addition, they were predominantly of Caucasian extraction, were relatively well-educated and affluent, and were screened to exclude macrovascular stroke. These differences may explain the relative paucity of deep or infratentorial microbleeds compared with community, stroke, or vascular dementia groups<sup>5,13,e6</sup> and may limit generalizability of the findings.

Survivor bias may also be present. As in the Rotterdam Study, participants who withdrew from imaging were older and had greater prevalence of LMBs than those continuing.<sup>15</sup> This may have led to an underestimation of the true incidence of LMBs in the AD and MCI groups where most of the withdrawals occurred.

Incidence of LMBs (including SS) in cognitively normal individuals is associated with the presence of A $\beta$  on PiB-PET and presence of baseline LMBs. Evidence of severe microvascular disease (WMH and lacunar infarcts) may also confer additional risk of incident LMBs. Future work with larger cohorts is necessary to corroborate these findings. Appreciation of the natural history of microbleeds and siderosis is fundamental to future trials of AD and CAA therapies that target A $\beta$ , and these findings should be considered in the design of study protocols.

#### AUTHOR CONTRIBUTIONS

Dr. Yates analyzed data, conducted statistical analysis, drafted the manuscript, and was responsible for the final version. Prof. Desmond and Dr. Phal contributed to analysis or interpretation of data, writing of the manuscript. Dr. Steward contributed to acquisition of data, analysis or interpretation of data. Associate Prof. Szoeké contributed to the study supervision, writing of the manuscript, and obtained funding. Dr. Salvado contributed to study concept/design, analysis or interpretation of data, study supervision, writing of the manuscript, and obtained funding. Dr. Ellis contributed to study concept/design, acquisition of data, study supervision, writing of the manuscript, and obtained funding. Prof. Martins contributed to recruitment of patients, study supervision, and obtained funding. Prof. Masters contributed to study concept/design, study supervision, writing of the manuscript, and obtained funding. Prof. Ames contributed to study concept/design, recruitment of patients, writing of the manuscript, and obtained funding. Associate Prof. Villemagne contributed to study concept/design, study supervision, acquisition of data, analysis or interpretation of data, and writing of the manuscript. Prof. Rowe contributed to study concept/design, recruitment of patients, study supervision, analysis or interpretation of data, writing of the manuscript, and obtained funding.

#### ACKNOWLEDGMENT

The AIBL investigators thank Alzheimer's Australia (Victoria and Western Australia) who assisted with promotion of the study and the screening of telephone calls from volunteers. The AIBL team thanks the clinicians who referred patients with AD to the study: Associate Professor Brian

Chambers, Professor Edmond Chiu, Dr. Roger Clarnette, Dr. Mary Davison, Dr. John Drago, Dr. Peter Drysdale, Dr. Jacqueline Gilbert, Dr. Kwang Lim, Prof. Nicola Lautenschlager, Dr. Dina LoGiudice, Dr. Peter McCardle, Dr. Steve McFarlane, Dr. Alastair Mander, Dr. John Merory, Prof. Daniel O'Connor, Dr. Ron Scholes, Dr. Mathew Samuel, Dr. Darshan Trivedi, and Associate Prof. Michael Woodward. The authors gratefully acknowledge the assistance and support of Dr. Parnesh Raniga, Mr. Simon Salinas, Ms. Svetlana Pejaska, Ms. Robyn Veljanovski, Mrs. Narelle Langdon, Dr. Fiona Lamb, Ms. Denise El-Sheikh, and the entire AIBL research team. Most importantly, the authors thank all those who participated in the study for their commitment and dedication to helping advance research in the early detection and causation of AD.

#### STUDY FUNDING

Funding for the study was provided in part by the study partners (Australian Commonwealth Scientific Industrial and Research Organization, Edith Cowan University, Mental Health Research Institute, Alzheimer's Australia, National Ageing Research Institute, Austin Health, CogState Ltd., Hollywood Private Hospital, and Sir Charles Gardner Hospital). The study also received support from the National Health and Medical Research Council and the Dementia Collaborative Research Centres program, as well as ongoing funding from the Science and Industry Endowment Fund.

#### DISCLOSURE

P. Yates is supported by a PhD scholarship from the Dementia Collaborative Research Centres—Early Diagnosis and Prevention, and has received a travel fellowship from the Alzheimer's Association and Austin Life Sciences. P. Desmond, P. Phal, and C. Steward report no disclosures relevant to the manuscript. C. Szoeké has previously been a clinical consultant and received speaker honoraria from Pfizer, Sanofi-Aventis, Mayne Pharma, and Lundbeck. O. Salvado and K. Ellis report no disclosures relevant to the manuscript. R. Martins serves as consultant to and holds stock in Alzhyne and receives research support from CSIRO. C. Masters reports no disclosures relevant to the manuscript. D. Ames has served on scientific advisory boards for Novartis, Eli Lilly, Janssen, and Pfizer Inc.; has received funding for travel from Janssen and Pfizer Inc.; has received speaker honoraria from Pfizer Inc. and Lundbeck Inc.; and has received research support from Eli Lilly and Company, GlaxoSmithKline, Forest Laboratories Inc., Novartis, and CSIRO. V. Villemagne serves as a consultant for Bayer Schering Pharma and receives research support from CSIRO, NHMRC, and NEDO, Japan. C. Rowe has served on scientific advisory boards for Bayer Schering Pharma, GE Healthcare, Elan Corporation, and AstraZeneca; has received speaker honoraria from Bayer Schering Pharma; and receives research support from Bayer Schering Pharma, GE Healthcare, Avid Radiopharmaceuticals, Inc., Piramal Health Sciences, Navidea Biopharmaceuticals, CSIRO, the Alzheimer's Drug Discovery Foundation, and the Alzheimer's Association. Go to [Neurology.org](http://Neurology.org) for full disclosures.

Received August 6, 2013. Accepted in final form December 29, 2013.

#### REFERENCES

1. Greenberg SM, Vernooij MW, Cordonnier C, et al. Cerebral microbleeds: a guide to detection and interpretation. *Lancet Neurol* 2009;8:165–174.
2. Kumar N, Cohen-Gadol AA, Wright RA, Miller GM, Piegras DG, Ahlskog JE. Superficial siderosis. *Neurology* 2006;66:1144–1152.
3. Shoamanesh A, Kwok CS, Benavente O. Cerebral microbleeds: histopathological correlation of neuroimaging. *Cerebrovasc Dis* 2011;32:528–534.
4. Linn J, Halpin A, Demaerel P, et al. Prevalence of superficial siderosis in patients with cerebral amyloid angiopathy. *Neurology* 2010;74:1346–1350.
5. Vernooij MW, van der Lugt A, Ikram MA, et al. Prevalence and risk factors of cerebral microbleeds: the Rotterdam Scan Study. *Neurology* 2008;70:1208–1214.

6. Johnson KA, Gregas M, Becker JA, et al. Imaging of amyloid burden and distribution in cerebral amyloid angiopathy. *Ann Neurol* 2007;62:229–234.
7. Yates PA, Sirisriro R, Villemagne VL, Farquharson S, Masters CL, Rowe CC. Cerebral microhemorrhage and brain  $\beta$ -amyloid in aging and Alzheimer disease. *Neurology* 2011;77:48–54.
8. Kantarci K, Gunter JL, Tosakulwong N, et al. Focal hemosiderin deposits and  $\beta$ -amyloid load in the ADNI cohort. *Alzheimers Dement* 2013;9:S116–S123.
9. Sperling RA, Jack CR Jr, Black SE, et al. Amyloid-related imaging abnormalities in amyloid-modifying therapeutic trials: recommendations from the Alzheimer's Association Research Roundtable Workgroup. *Alzheimers Dement* 2011;7:367–385.
10. Sperling RA, Salloway S, Brooks DJ, et al. Amyloid-related imaging abnormalities in patients with Alzheimer's disease treated with bapineuzumab: a retrospective analysis. *Lancet Neurol* 2012;11:241–249.
11. Gurol ME, Dierksen G, Betensky R, et al. Predicting sites of new hemorrhage with amyloid imaging in cerebral amyloid angiopathy. *Neurology* 2012;79:320–326.
12. Greenberg SM, Eng JA, Ning M, Smith EE, Rosand J. Hemorrhage burden predicts recurrent intracerebral hemorrhage after lobar hemorrhage. *Stroke* 2004;35:1415–1420.
13. Lee SH, Lee ST, Kim BJ, et al. Dynamic temporal change of cerebral microbleeds: long-term follow-up MRI study. *PLoS One* 2011;6:e25930.
14. Goos JDC, Henneman WJP, Sluimer JD, et al. Incidence of cerebral microbleeds. *Neurology* 2010;74:1954–1960.
15. Poels MMF, Ikram MA, van der Lugt A, et al. Incidence of cerebral microbleeds in the general population: the Rotterdam Scan Study. *Stroke* 2011;42:656–661.
16. Sperling RA, Aisen PS, Beckett LA, et al. Toward defining the preclinical stages of Alzheimer's disease: recommendations from the National Institute on Aging–Alzheimer's Association workgroups on diagnostic guidelines for Alzheimer's disease. *Alzheimers Dement* 2011;7:280–292.
17. Rowe CC, Ellis KA, Rimajova M, et al. Amyloid imaging results from the Australian Imaging, Biomarkers and Lifestyle (AIBL) study of aging. *Neurobiol Aging* 2010;31:1275–1283.
18. Ellis KA, Bush AI, Darby D, et al. The Australian Imaging, Biomarkers and Lifestyle (AIBL) study of aging: methodology and baseline characteristics of 1112 individuals recruited for a longitudinal study of Alzheimer's disease. *Int Psychogeriatr* 2009;21:672–687.
19. Folstein MF, Folstein SE, McHugh PR. "Mini-Mental State": a practical method for grading the cognitive state of patients for the clinician. *J Psychiatr Res* 1975;12:189–198.
20. Morris JC. The Clinical Dementia Rating (CDR): current version and scoring rules. *Neurology* 1993;43:2412–2414.
21. McKhann G, Drachman D, Folstein M, Katzman R, Price D, Stadlan EM. Clinical diagnosis of Alzheimer's disease: report of the NINCDS-ADRDA Work Group under the auspices of Department of Health and Human Services Task Force on Alzheimer's Disease. *Neurology* 1984;34:939–944.
22. Petersen RC, Smith GE, Waring SC, Ivnik RJ, Tangalos EG, Kokmen E. Mild cognitive impairment: clinical characterization and outcome. *Arch Neurol* 1999;56:303–308.
23. Itoh Y, Yamada M, Hayakawa M, Otomo E, Miyatake T. Cerebral amyloid angiopathy: a significant cause of cerebellar as well as lobar cerebral hemorrhage in the elderly. *J Neurol Sci* 1993;116:135–141.
24. Cordonnier C, Potter GM, Jackson CA, et al. Improving interrater agreement about brain microbleeds. *Stroke* 2009;40:94–99.
25. Gregoire SM, Chaudhary UJ, Brown MM, et al. The Microbleed Anatomical Rating Scale (MARS): reliability of a tool to map brain microbleeds. *Neurology* 2009;73:1759–1766.
26. Longstreth WT, Bernick C, Manolio TA, Bryan N, Jungreis CA, Price TR. Lacunar infarcts defined by magnetic resonance imaging of 3660 elderly people: the Cardiovascular Health Study. *Arch Neurol* 1998;55:1217–1225.
27. Bokura H, Kobayashi S, Yamaguchi S. Distinguishing silent lacunar infarction from enlarged Virchow-Robin spaces: a magnetic resonance imaging and pathological study. *J Neurol* 1998;245:116–122.
28. Price TR, Manolio TA, Kronmal RA, et al. Silent brain infarction on magnetic resonance imaging and neurological abnormalities in community-dwelling older adults: the Cardiovascular Health Study. CHS Collaborative Research Group. *Stroke* 1997;28:1158–1164.
29. Cordonnier C, Leys D, Dumont F, et al. What are the causes of pre-existing dementia in patients with intracerebral haemorrhages? *Brain* 2010;133:3281–3289.
30. Fazekas F, Chawluk J, Alavi A, Hurtig H, Zimmerman R. MR signal abnormalities at 1.5 T in Alzheimer's dementia and normal aging. *AJR Am J Roentgenol* 1987;149:351–356.
31. Ayaz M, Boikov AS, Haacke EM, Kido DK, Kirsch WM. Imaging cerebral microbleeds using susceptibility weighted imaging: one step toward detecting vascular dementia. *J Magn Reson Imaging* 2010;31:142–148.
32. Haacke EM, DelProposto ZS, Chaturvedi S, et al. Imaging cerebral amyloid angiopathy with susceptibility-weighted imaging. *AJNR Am J Neuroradiol* 2007;28:316–317.
33. Knudsen KA, Rosand J, Karluk D, Greenberg SM. Clinical diagnosis of cerebral amyloid angiopathy: validation of the Boston criteria. *Neurology* 2001;56:537–539.
34. Poels MMF, Vernooij MW, Ikram MA, et al. Prevalence and risk factors of cerebral microbleeds: an update of the Rotterdam Scan Study. *Stroke* 2010;41(suppl 10):S103–S106.
35. Kim M, Bae HJ, Lee J, et al. APOE epsilon2/epsilon4 polymorphism and cerebral microbleeds on gradient-echo MRI. *Neurology* 2005;65:1474–1475.
36. Maxwell SS, Jackson CA, Paternoster L, et al. Genetic associations with brain microbleeds: systematic review and meta-analyses. *Neurology* 2011;77:158–167.
37. Chen YW, Gurol ME, Rosand J, et al. Progression of white matter lesions and hemorrhages in cerebral amyloid angiopathy. *Neurology* 2006;67:83–87.
38. Hawkes CA, Härtig W, Kacza J, et al. Perivascular drainage of solutes is impaired in the ageing mouse brain and in the presence of cerebral amyloid angiopathy. *Acta Neuropathol* 2011;121:431–443.
39. Hawkes CA, Gatherer M, Sharp MM, et al. Regional differences in the morphological and functional effects of aging on cerebral basement membranes and perivascular drainage of amyloid- $\beta$  from the mouse brain. *Aging Cell* 2013;12:224–236.
40. Roher AE, Kuo YM, Esh C, et al. Cortical and leptomeningeal cerebrovascular amyloid and white matter pathology in Alzheimer's disease. *Mol Med* 2003;9:112–122.