1	Inconsistency in historical simulations and future projections of
2	temperature and rainfall: a comparison of CMIP5 and CMIP6 models
3	over Southeast Asia
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Inconsistency in historical simulations and future projections of temperature and rainfall: a comparison of CMIP5 and CMIP6 models over Southeast Asia

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32 Abstract

33 The objective of this research was to assess the difference in historical simulations and future projections of rainfall and temperature of CMIP5 (RCP4.5 and 8.5) and CMIP6 (SSP2-4.5 34 35 and 5-8.5) models over Southeast Asia (SEA). Monthly historical rainfall and temperature estimations of 13 global climate models common to both CMIPs were evaluated to assess 36 37 their capability to reproduce the spatial distribution and seasonality of European Reanalysis (ERA) rainfall and temperature. Models were used to determine uncertainty with 38 spatiotemporal variability of rainfall and temperature projections. The CMIP6 GCMs did not 39 appear to perform better than the older CMIP5 in SEA unlike other parts of the globe, except 40 for rainfall. The CMIP6 models showed Kling-Gupta Efficiency (KGE) values in the range 41 of -0.48-0.6, 0.21-0.85 and 0.66-0.91 in simulating historical rainfall, maximum temperature 42 and minimum temperature compared to 0.13-0.46, 0.3-0.86 and 0.42-0.92 for CMIP5. The 43 improvement in CMIP6 models in SEA was in the low uncertainty in ensemble simulation. 44 The projections of CMIP5 and CMIP6 showed a relatively smaller increase in temperature 45 with the CMIP6 ensemble when compared to CMIP5 models, while rainfall appeared to 46 decrease. The geographical distribution of the changes indicated a greater increase in 47 48 temperature in the cooler region than in the warmer region. In contrast, there was increase in rainfall in the wetter region and a smaller improvement in the drier region. This indicates 49 50 increased homogeneity in temperature spatial variability, but more heterogeneity in rainfall, in the SEA region under climate warming scenarios. 51

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53 *Keywords* Tropical climate, GCM, CMIP5/CMIP6, Uncertainty, Köppen climate

54 classification

55 **1. Introduction**

Climate change is a global issue due to the damaging effects on various sectors, including 56 water resources, public health, energy, and agriculture (Lee et al., 2017; Muhammad et al., 57 2019; Shahid, 2010; Shahid et al., 2017). Mapping possible changes in the climatic 58 parameters is crucial for planning climate change adaptation and mitigation strategies. It is 59 particularly important in environmentally-critical locations, where subtle changes in weather 60 parameters may significantly impact the service sector. Global Climate Models (GCMs) have 61 the ability to simulate the effects of greenhouse gas (GHG) emissions on climatic systems 62 63 and realistically predict future conditions (Flato et al., 2013; Hartmann, 2016). These models are widely used to model past climatic conditions and project future responses to increased 64 GHG emissions and land-use changes (Chen et al., 2014; Taylor et al., 2011; van Vuuren et 65 al., 2011). A major advantage of GCMs is their ability to predict future climate in response 66 to various atmospheric GHG concentration scenarios. These GCMs are available publicly as 67 part of the Coupled Model Intercomparison Project (CMIP). 68

Most GCMs incorporate a large degree of uncertainty, primarily due to inadequate 69 70 model descriptions of the physical processes driving the climate system and climate scenarios (Gao et al., 2019; Hamed et al., 2021a; Weigel et al., 2010). Certain models, however, are 71 72 capable of resolving regional climatic events, thereby increasing their usefulness in predicting future climate change scenarios for a given region. It is normally a good idea to 73 74 utilize all available climate models to reflect a complete range of future changes. CMIP models have rigorously improved over the years to overcome these uncertainties, from 75 CMIP1 to the latest version, CMIP6 (Eyring et al., 2016). 76

77 CMIP6 GCMs differ from previous CMIPs in that the newest version provides a more 78 accurate depiction of the Earth's physical processes. Additionally, the CMIP6 model forecasts 79 additional scenarios using shared socioeconomic pathways (SSPs) (O'Neill et al., 2014; 80 Schlund et al., 2020). These updated climate projections take socioeconomic developments, technological advancement, and other environmental factors (such as land use) into account 81 (Moss et al., 2010), enabling the development of new scenarios to better evaluate the 82 consequences of climate change policies. CMIP6 places a premium on coordinated 83 experiments to gain a better understanding of the processes behind climate variability. As a 84 result, CMIP6 GCMs are expected to minimize possible bias to a greater extent than their 85 predecessors (Arias et al., 2021; Iqbal et al., 2021; Song et al., 2021b). 86

87 Southeast Asia (SEA), located between two oceans (the Pacific to the east and the 88 Indian to the west) and two continental regions (Asia and Australia), is considered the largest archipelago in the world (Chang et al., 2005). The climate in this region is tropical, with high temperatures and well-distributed monthly rainfall of >200 mm. The climate is determined by latent heat release near the equator and convective tropical air masses. The rainfall distribution is controlled by a land-sea breeze process, resulting from the interaction of elevated island topography and synoptic winds (Hamed et al., 2021b; Qian, 2008).

SEA has experienced different climatic extremes over the last 50 years (including 94 droughts during El Nino events and heavy rains in La Nina periods) especially in the 95 Indonesian region (Dewi, 2010; Nasional, 2012). The mean temperature has risen by 0.1-96 0.03°C per decade over the past 50 years, and the sea level has risen by 1-3 mm per year 97 (IPCC, 2007). The severity and frequency of climatic extremes are likely to increase, putting 98 the SEA region at risk of climate change impacts (Thirumalai et al., 2017; Ge et al., 2019; 99 100 Nashwan et al., 2018a; Raghavan et al., 2017). Significant changes in seasonal rainfall patterns and an increase in the frequency of flooding and water shortage would profoundly 101 102 affect many service sectors (Nashwan et al., 2018b; Nashwan and Shahid, 2022; Ziarh et al., 2021). In order to be prepared for these increased impacts, policymakers must be informed 103 104 about the climate change implications for these areas and the adaptation methods required to mitigate impacts and increase industry resilience. 105

Numerous studies have examined both the historical and potential future climate 106 107 change in SEA and adjacent areas using GCMs (Desmet and Ngo-Duc, 2021; Iqbal et al., 2021; Kang et al., 2019; Khadka et al., 2021; McSweeney et al., 2015; Noor et al., 2019; 108 Salman et al., 2020; Supari et al., 2020; Supharatid et al., 2021; Tangang et al., 2020). For 109 example, Iqbal et al. (2021) used compromised programming to rank 35 CMIP6 GCMs for 110 Mainland Southeast Asia (MSEA). Analysis revealed that three GCMs could accurately 111 reproduce annual mean rainfall over central and southern regions. Desmet and Ngo-Duc, 112 (2021) investigated rainfall, near-surface temperature and wind for 28 CMIP6 models in 113 SEA. They ranked GCMs by combining two different scores (spatial and temporal) to 114 generate each variable score. A final global score, combining all variables, is then reported. 115 Khadka et al. (2021) compared 28 CMIP5 and 32 CMIP6 GCMs to assess their ability to 116 117 replicate large-scale atmospheric circulations over the SEA summer monsoon domain. These showed better performance for the CMPI6 GCMs than for CMIP5. These studies evaluated 118 119 the historical performance of GCMs in regards of simulating climate over SEA. Only 120 Supharatid et al. (2021) investigated the change in rainfall and temperature in SEA using 121 CMIP6, although their study was confined to MSEA. They utilized two SSP scenarios to examine changes in climate parameters. It appears that a comprehensive assessment 122

involving a comparison of CMIP5 and CMIP6 historical simulations and future projection 123 over the entire SEA (comprising both mainland and maritime continents) is lacking. Despite 124 the governments in this region having already taken steps to reduce climate change effects 125 based on the CMIP5 modelling, this planning could be negatively impacted by population 126 growth and large-scale economic development. So the risk associated with climate change 127 would not be uniform over the whole region. Governments in the region need current, 128 detailed information to inform the adaptation strategies selected for various SSPs. A 129 comparative evaluation of the projections, based on CMIP5 and CMIP6 models, is essential 130 for the region in order to streamline all existing adaptation measures. 131

This study aims to evaluate the difference in previous historical estimations and projections of CMIP5 and CMIP6 models over Southeast Asia. Both rainfall and temperature data are examined and evaluated to assess the validity of the decision-making process based on the various projections.

136

137 2. Description of the study area and data

138 **2.1.** Southeast Asia (SEA)

SEA lies between latitude -10° - 30°N and longitude 90° - 141°E (Figure 1). SEA covers an 139 area of about 4,550,000 km². It includes eleven countries and is made up of two main regions 140 (Mainland and Maritime Southeast Asia). SEA is located within the zone of the Asian 141 monsoon cycle, located between the Pacific and Indian Oceans. It is one of Asia's most active 142 regions affected by convective heating processes. SEA has a generally level topography apart 143 from some parts of Myanmar and Indonesia, where the elevation rises to 4000 m above sea 144 145 level. The average yearly rainfall for the region varies between 750 and 5000 mm (Khan et al., 2019; Peel et al., 2007; Yang et al., 2021), and the mean temperature is 25 °C. As a result 146 147 of the diverse spatiotemporal atmospheric processes occurring within the region, climate extremes such as droughts and floods are common in most parts of SEA. 148

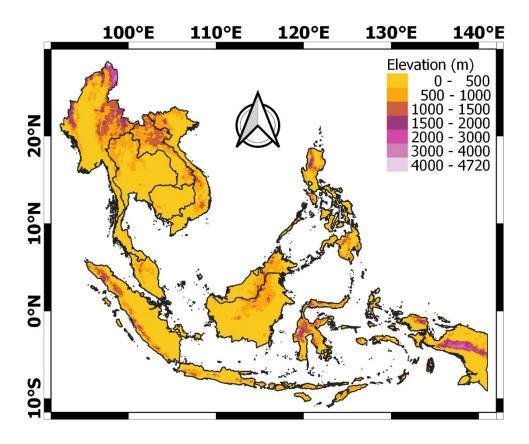




Figure 1 Southeast Asian topography

151 **2.2.** Gridded rainfall and temperature dataset

To assess the ability of the GCMs' to simulate annual rainfall, and maximum and 152 minimum temperatures, ERA5 - a global high-resolution reanalysis dataset, is used. ERA5 is 153 the fifth edition of the Copernicus Climate Change Service's (C3S) atmospheric, oceanic, and 154 155 land-surface reanalysis product of the European Centre for Medium-Range Weather Forecasts (ECMWF) (Hersbach et al., 2020). This provides data on 240 atmospheric 156 variables for different pressure level settings. ERA5 is generated by combining an enhanced 157 version of the Integrated Forecasting System (IFS) cycle 41r2 with high-quality global 158 observations. This study used the hourly ERA5 dataset of two climatic variables (e.g., rainfall 159 160 and near-surface temperature) with a 0.25-degree spatial resolution, spanning the period from January 1979 to December 2005. The hourly rainfall is used to estimate the total monthly 161 rainfall, while the highest and lowest diurnal temperatures were used to extract the average 162 maximum and minimum temperatures. SEA is considered a data-scarce region due to the 163 unavailability of high-quality long-term observation data (Li, 2020). The evenly spaced 164 gridded dataset is generally used for model validation in data-scarce regions. ERA5 is a 165 reanalysis climate data product that provides consistent high-resolution hourly data of several 166

- climate variables. It should be noted that several studies have reported the use of ERA5 as a
 reference dataset near SEA (Khadka et al., 2021; Zhai et al., 2020; Zuluaga et al., 2021).
- 169 The spatial distribution of mean annual rainfall, T_{mx} , and T_{mn} over SEA is shown in
- 170 Figure 2. Hkakabo Razi Mountains in the north and Papua in the south experience the
- 171 highest annual rainfall (>5000 mm), while the lowest can be found in the middle of Myanmar.
- 172 T_{mx} is homogeneous in SEA except for the high mountainous regions. T_{mn} ranges from 15 to
- 173 30 °C over SEA. However, T_{mn} in the northern region of SEA can be a low as -5 °C.

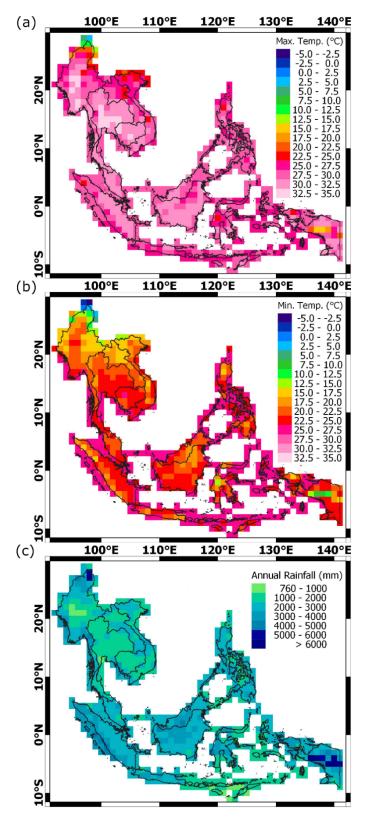
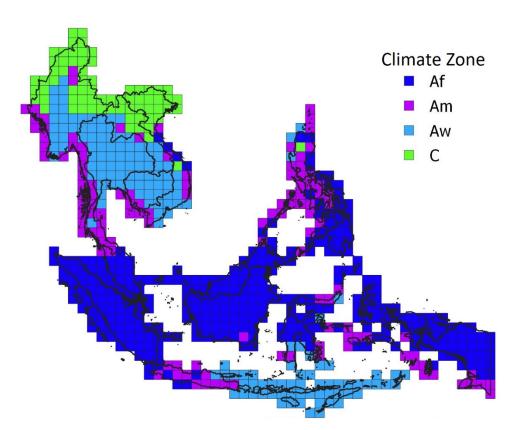


Figure 2 Spatial variability of yearly mean (a) T_{mx} and (b) T_{mn} , and (c) annual total rainfall over SEA during 1979–2005, estimated via ERA5.

177 SEA is subject to a wide variability in climatic conditions. The region is classified 178 into six climate zones based on Köppen climate classification (Peel et al., 2007): tropical

rainforest climate (Af), tropical monsoon climate (Am), tropical Savannah climate (Aw), 179 180 temperate without dry season (Cf), temperature dry summer (Cs), and temperature dry winter (Cw). Due to small areal coverage of Cf, Cs, and Cw, they are combined and included in 181 zone C (Figure 3). Af is major climate zone over the SEA, covering 47% of total area, 182 whereby annual rainfall varies from 2000 to 4000 mm. During winter, the temperature drops 183 to near freezing point (-5 to 0 °C), particularly in zone C, however it often rises to above 35 184 °C during some summer days, particularly in Thailand in the Aw zone. Annual rainfall ranges 185 from 760 to 1000 mm in most of the Aw zone. In general, the temperature in both Af and 186 Am zones is greater than 18 °C, however the total rainfall amounts received are different 187 (Alvares et al., 2013). 188

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191 Figure 3 Köppen climate classification of SEA based on ERA5 (1979-2005). Köppen climate

- classes are Tropical rainforest climate (Af), Tropical monsoon climate (Am), Tropical
 Savannah climate (Aw), Temperate without dry season (Cf), Temperature dry summer (Cs),
- 194 and Temperature dry winter (Cw).
- 195

196 **2.3. Global climate models (GCMs)**

- 197 This study assesses the performance of 13 CMIP5 GCM's (Taylor et al., 2012) and their
- updated versions, CMIP6 (Eyring et al., 2016) over SEA. The output of the models have been

downloaded from the open-access platform https://esgf-node.llnl.gov. This site provides 199 historical and future projections of monthly rainfall, Tmx and Tmn. The models details are 200 presented in Table 1. Out of several variant labels available, the first one, r1i1p1 for CMIP5 201 and r1i1p1f1 for CMIP6, is chosen to simplify the evaluation process. CMIP5 investigates 202 several greenhouse gas emissions scenarios through the radiative concentration pathways 203 (RCPs). In CMIP6, new SSPs are used which consider possible changes in the Earth's 204 environment, as well as global economic and demographic trends. Future projections of the 205 RCP 4.5 and 8.5 of CMIP5 are compared with their equivalent radative forcing in CMIP6, 206 207 SSP2-4.5 and SSP5-8.5 in this study.

Institution / Country	Abbreviation		Model	Resolution		
Australian Research Council Centre of	ACCESS	CMIP 5	ACCESS1-3	1.90 × 1.20		
Excellence for Climate System Science, Australia	ACCESS	CMIP 6	CMIP 6 ACCESS-CM2			
Beijing Climate Center,	BCC	CMIP 5	BCC-CSM1.1-M	2.80×2.80		
Beijing, China	всс	CMIP 6	BCC-CSM2-MR	$1.12 \times 1.12^{\circ}$		
Canadian Centre for	CANEGN	CMIP 5	CANESM2	2.80×2.80		
Climate Modelling and Analysis, Victoria, Canada	CANESM	CMIP 6	CanESM5	2.79 × 2.81		
Euro-Mediterranean Centre on Climate Change		CMIP 5	CMCC-CM	0.70×0.70		
coupled climate model, Italy	CMCC	CMIP 6	CMCC-ESM2	0.94 × 1.25		
EC-Earth Consortium,	EC-EARTH	CMIP 5	EC-EARTH	1.10×1.10		
Europe	EC-EANTI	CMIP 6	EC-Earth3	0.35×0.35		
Chinese Academy of Sciences Flexible Global	FGOALS	CMIP 5	FGOALS-g2	2.80×2.08		
Ocean-Atmosphere–Land System model, China	FUUALS	CMIP 6	FGOALS-g3	2.00×2.00		
Geophysical Fluid Dynamics Laboratory, NJ,	GFDL-ESM	CMIP 5	GFDL-ESM2G	2.50×2.00		
USA	OI DE-LOW	CMIP 6	GFDL-ESM4	1.00×1.25		
Institute for Numerical	DIMCM	CMIP 5	INMCM4.0	2.00×1.50		
Mathematics, Russia	INMCM	CMIP 6	INM-CM5-0	2.00×1.50		
Institute Pierre Simon		CMIP 5	IPSL-CM5A-LR	3.70×1.90		
Laplace (IPSL), Paris, France	IPSL-CM-LR	CMIP 6	IPSL-CM6A-LR	2.50 × 1.27		
Japan Agency for Marine- Earth Science and	Marc	CMIP 5	MIROC5	1.40 × 1.40		
Technology (JAMSTEC), Kanagawa, Japan	MIROC	CMIP 6	MIROC6	1.40×1.40		
		CMIP 5	MPI-ESM-MR	1.90×1.90		

Table 1 Detailed description of the CMIP5 and CMIP6 GCMs used in this research

Institution / Country	Abbreviation		Model	Resolution
Max Planck Institute for Meteorology (MPI-M), Germany	MPI-ESM- HR	CMIP 6	MPI-ESM1-2-HR	$0.94 imes 0.94^{\circ}$
	MPI-ESM-LR	CMIP 5	MPI-ESM-LR	1.90 × 1.90°
		CMIP 6	MPI-ESM1-2-LR	$1.87 \times 1.86^{\circ}$
Meteorological Research	MRI	CMIP 5	MRI-CGCM3	1.10 × 1.10°
Institute, Ibaraki, Japan		CMIP 6	MRI-ESM2-0	1.12 × 1.12°

210 **3. Methodology**

ERA5 0.25°×0.25° reanalysis dataset is used as a reference to evaluate CMIP5 and CMIP6 211 GCMs. The evaluation process entails examining past performance of the three climatic 212 variables (e.g., mean annual rainfall, T_{mx} and T_{mn}). This is carried out using statistical and 213 graphical metrics. Ultimately the model ensemble mean is used to project future changes for 214 each climate zone of SEA for different CMIPs. GCMs have spatial resolution ranges from 215 0.70° to 3.70° (Table 1), so they are normally interpolated to a common spatial resolution of 216 $1.0^{\circ} \times 1.0^{\circ}$ using bilinear interpolation technique. The ERA5 data is also aggregated to the 217 resolution of 1.0°×1.0°, so all datasets have similar grid sizes and therefore provide an 218 219 unbiased comparison. Methodological details are presented below.

220 3.1. Statistical and graphical analyses

The Kling-Gupta efficiency (KGE) is employed to estimate the relative performance of the 221 two CMIPs (Gupta et al., 2009; Kling et al., 2012). The KGE is a single metric designed to 222 223 evaluate three statistical characteristics together (e.g., Pearson's correlation (r), spatial variability ratio and the normalized variance) as shown in equation (1). The combination of 224 three metrics provides valuable diagnostic information about the model's performance. KGE 225 226 is less susceptible to extremes and has greater capability to describe and quantify the overall fitness of GCMs (Radcliffe and Mukundan, 2017). The KGE value varies between 1 and -∞, 227 where 1 represents a complete match. There is no specific meaning attached to the KGE value 228 when it equals zero. However, Knoben et al., (2019) compared the KGE with the Nash-229 Sutcliff efficiency index and noted that KGE values above -0.41 represented a reasonable 230 performance, while values closer to 1 generally indicated high performance. The KGE is 231 232 calculated for three climate variables of each GCM compared to the reference dataset (1979-2005). 233

$$KGE = 1 - \sqrt{(r-1)^2 + \left(\frac{\mu_{GCM}}{\mu_{ref}} - 1\right)^2 + \left(\frac{\sigma_{GCM}}{\sigma_{ref}} - 1\right)^2}$$
(1)

where μ_{GCM} and μ_{ref} are the mean, and σ_{GCM} and σ_{ref} are the standard deviation for GCM and ERA5 data, respectively.

The Taylor diagram (Taylor, 2001) is employed to visually represent the performance of each GCM. The diagram is a robust graphical plot that integrates three statistical metrics, degree of correlation (R), centered root-mean-square error (CRMSE) and ratio of spatial standard deviation (SD). CRMSE determines the discrepancies between two CMIPs and the ERA5 observed data. The blue line in the diagram represents constant CRMSD values, with values increasing with distance from the center.

Statistical tests were employed to estimate the similarity between the seasonal variability of CMIPs and ERA5 rainfall, T_{mx} and T_{mn} , following Baker and Huang (2014). The tests include 1) t-test to show the similarity in the mean, 2) F-test to assess the similarity in data variance, and 3) Kolmogorov–Smirnov (KS) test to evaluate the similarity in data distribution (Sardeshmukh et al., 2000).

247 **3.2.** Future projections

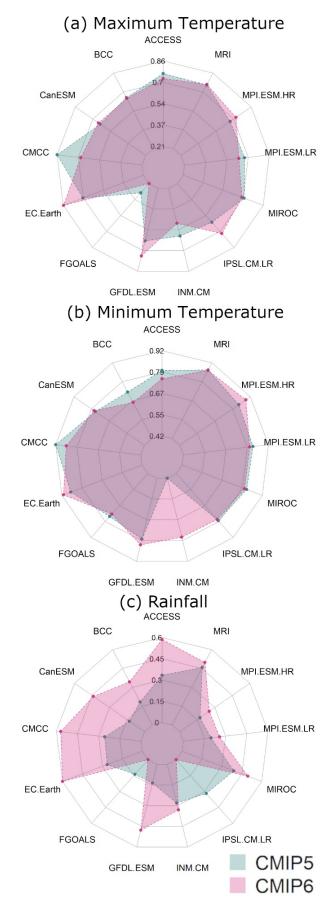
Future projections of annual rainfall, T_{mx} and T_{mn} using GCMs of two CMIPs are compared 248 with the historical period (1979-2005) to evaluate possible future climate changes in SEA. 249 Two projections are considered: the medium (RCP4.5 and SSP2-4.5) and high (RCP8.5 and 250 SSP5-8.5) impact scenarios. For a detailed comparison, future horizon was divided into near 251 (2020-2059) and far (2060-2099) futures. The median and 95% confidence band of the 252 projection interval are considered for each scenario in order to quantify the associated 253 uncertainty of the different CMIP models. The seasonal variability of different climate zones 254 for rainfall, T_{mx} and T_{mn} are measured for each model. Finally, maps are prepared to depict 255 percentage of change in rainfall and absolute change in temperatures (°C). 256

257 **4. Results**

258 4.1. Evaluating skills of CMIP5 and CMIP6 GCMs

Figure 4 depicts the ability of two CMIPs to replicate annual rainfall, T_{mx} , and T_{mn} in terms of KGE. A single radar chart is used to present KGE of CMIP5 (in light green) and CMIP6 (in light red) GCMs for each climate variable. KGE values less than zero on the rainfall radar

chart are defined as zero for illustration purposes. It shows that GCMs are able to estimate 262 T_{mn} better than T_{mx} and rainfall in SEA. The performance of the CMIP5 models and their 263 improvements in CMIP6 are almost the same in simulating T_{mx} and T_{mn}. Few models of 264 CMIP6 simulated T_{mx} better than previous versions, namely: MPI-ESM-HR, IPSL-CM-LR, 265 GFDL-ESM, EC-Earth, CanESM and MRI. Both versions of FGOALS simulated a lower 266 value of T_{mx} than other models, indicating poor modelling capability. For T_{mn}, only five 267 models of CMIP6 indicated better performance than their predecessors, including MPI-ESM-268 HR, INM-CM, GFDL-ESM, EC-Earth and CanESM. INM-CM showed the largest 269 improvement in CMIP6 for T_{mn}. Although the performance of the models of both CMIPs was 270 nearly identical in replicating historical temperatures, CMIP6 GCMs displayed an enhanced 271 ability to simulate historical rainfall in all cases apart from FGOALS and IPSL-CM-LR. 272 Among the CMIP6 models, EC-EARTH was best in replicating all variables. ACCESS of 273 CMIP6 exhibited the best performance in replicating rainfall (KGE 0.59) and CMCC of 274 275 CMIP5 in replicating T_{mx} and T_{mn} (KGEs 0.86 and 0.92, respectively). KGEs of both FGOALS and IPSL-CM-LR were poor (KGEs -0.31 and -0.48, respectively) for rainfall, 276 therefore indicating poor capability. 277

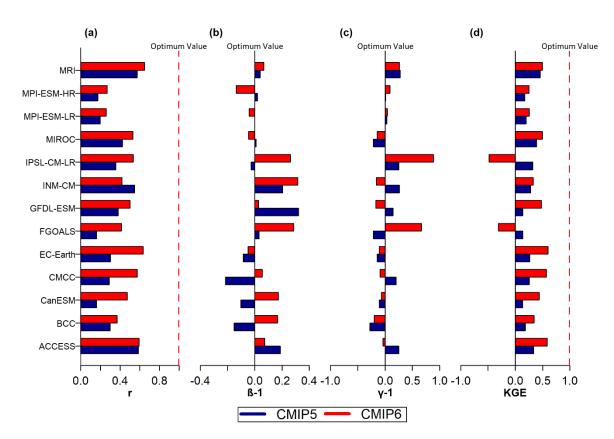




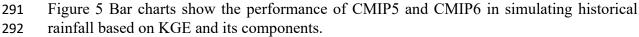
- 279 Figure 4 Performance of CMIP5 and CMIP6 GCMs in estimating historical annual average:
- 280 (a) T_{mx} , (b) T_{mn} ; and (c) rainfall during 1979-2005

KGE is the integration of three statistical metrics, namely Pearson's correlation (r), 281 mean of GCM to mean of ERA5 (β) and variability ratio (γ). Figure 5 presents the three 282 components of KGE in terms of r, β -1 and γ -1 aiming to illustrate the most influencer 283 component of the final KGE score. The r, β , γ and KGE of CMIP5 and CMIP6 GCMs in 284 simulating historical rainfall are presented in blue and red bars. The result indicates that all 285 the components contribute significantly to a higher value of KGE. However, models that 286 have near optimum values of β and γ (e.g., MPI-ESM-LR) showed a low KGE due to low r, 287 indicating a bit higher influence of spatial correlation on model performance. 288





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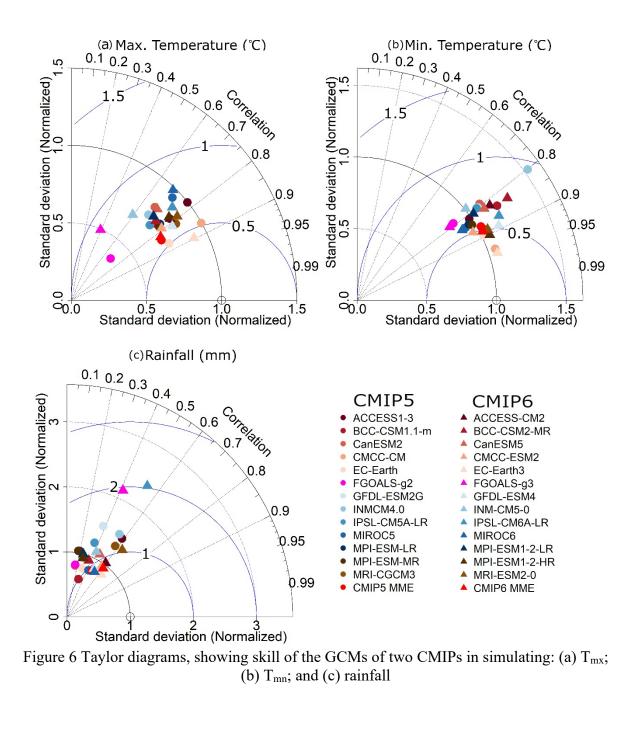


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294 4.2. Taylor diagram

The ability of the two CMIP models to estimate annual rainfall, T_{mx} and T_{mn} are presented (along with their MME means) as Taylor diagrams (Figure 6). Hollow circle on the x-axis presents reference data (i.e., ERA5). The CMIP5 and CMIP6 models are represented using coloured circles and triangles, respectively. The model symbol nearest to the hollow circle indicates the best performing model. The correlation of the models with the reference data is best for T_{mn} (0.85). This is followed by T_{mx} (0.75) and then rainfall (0.45). A strong correlation for T_{mn} indicates better capability of GCMs of both CMIPs in modeling T_{mn} . Model over and underestimation, however, is noted. FGOALS of both CMIPs underestimated T_{mx} and T_{mn} variability, while INM-CM5-0 overestimated T_{mn} variability. The majority of models, of both CMIPs, simulated observed rainfall variability reasonably well, except for a large overestimation by IPSL-CM6A-LR and FGOALS-g3.

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312 4.3. Seasonal variability

The multimodel ensemble (MME) medians of the available 13 GCMs for both CMIPs have been used to show bias in the seasonal variability of temperature and rainfall for each climatic zone when compared to ERA5. Figure 7 shows the month-to-month bias in T_{mx} . This is estimated by subtracting the CMIPs MME from ERA5. The dashed red line represents the bias in the CMIP5 MME median, while the dashed blue line represents the bias in the CMIP6 MME median. The horizontal black dashed line represents the zero bias. The 95% confidence interval band of GCMs' bias has also been provided to show simulation uncertainty.

320 Overall, the bias in MME median of CMIP6 was more aligned to the zero line than CMIP5. The 95% confidence interval band of the CMIP6 ensemble was also thinner, 321 suggesting lower uncertainty in their estimates of T_{mx} than for CMIP5. The results also 322 indicated that the inner model differences of CMIP6 were far less than for CMIP5. Both 323 versions of CMIPs displayed higher uncertainties in simulating seasonal variability of T_{mx} in 324 climate zone C than in other zones. Both CMIPs underestimated T_{mx} in zone Af. CMIP6 325 overestimated T_{mx} in Am for all months, except January and February. Both versions also 326 underestimated T_{mx} in the Aw climate zone for all months, except for the April to June period. 327

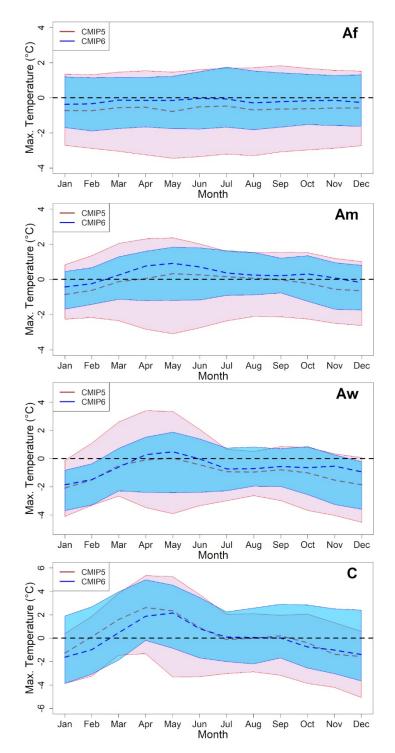
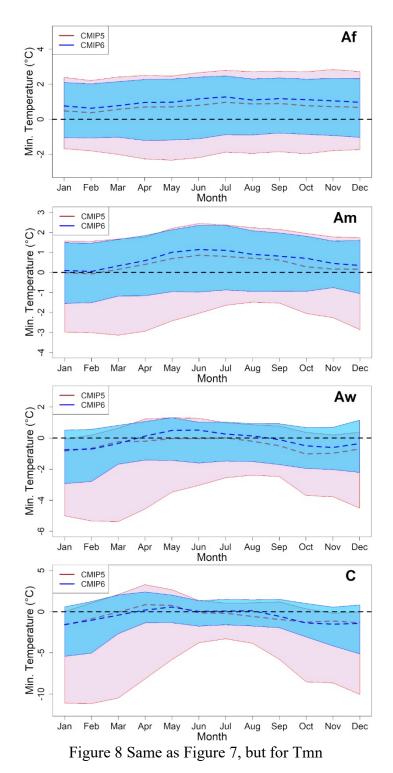


Figure 7 Seasonal variability in mean bias in Tmx of CMIP5 and CMIP6 GCMs compared
 to ERA5 dataset for four different climate zones (AF, Am, Aw and C) of SEA

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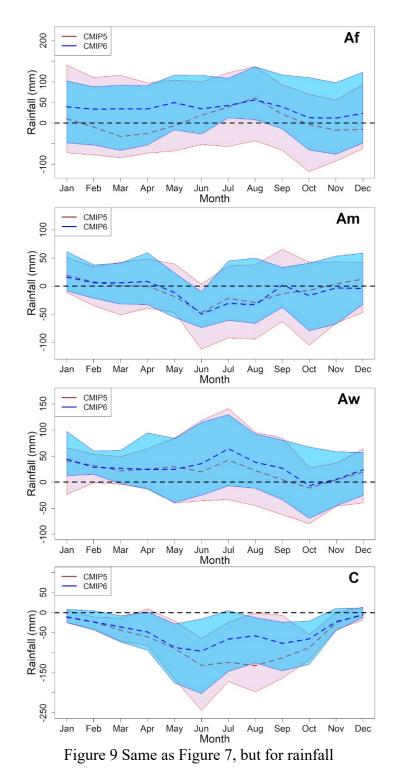
Figure 8 presents the month-to-month viability of bias in T_{mn} , estimated by the two CMIPs. Like T_{mx} , CMIP5 shows larger inter-modality in T_{mn} than CMIP6. This indicates low uncertainty in the CMIP6 simulations when compared to CMIP5. For most months, a subtle overestimation by GCMs of both CMIPs was noticed for the Af and Am zones, especially by

- 336 CMIP6. The median bias for the MMEs was nearly identical with ERA5 for the climate zone
- C, while the bias confidence interval band of CMIP5 was between -11 and 4 °C.



Similar results are seen for rainfall. Uncertainty in the CMIP6 rainfall bias (Figure 9)
band is thinner than CMIP5 bias for all climate zones. However, CMIP6 MME overestimated
rainfall for the Af zone to a greater degree than for CMIP5 MME. In the Am and Aw zone,
MME of both CMIPs under and overestimated monsoon rainfall, respectively. The

differences were greater for CMIP6 MME. The highest underestimation by both CMIP
MMEs was noted in zone C. Both CMIPs MME median and confidence interval band were
below zero for most of the months. This indicates an underestimation of rainfall by all GCMs
for both CMIPs in this zone.





350 Overall, the results support the findings determined in statistical evaluations of the 351 models. Table 2 presents the results of the t-test, KS test and F-test for seasonal rainfall, T_{mx}

and T_{mn} of CMIPs seasonal median and ERA5 in different climate zones. Both CMIP5 and CMIP6 seasonal MME were statistically indistinguishable at the 95% level based on all three tests in all climate zones, except zone Af for the t-test and KS test. The results indicate no significant difference in CMIP5 and CMIP6 models in SEA. Inter-model variability of CMIP6 GCMs, however, was less than for the CMIP5 GCMs. The uncertainty in simulations in CMIP6, therefore, was lower than for the CMIP5 GCMs.

Table 2 The results obtained using Student's t-test, KS and F-test for historical seasonal T_{mx} , T_{mn} and rainfall of CMIP5 and CMIP6 against ERA5 in different climate zones. Zero (0) indicates that the test supports the null hypothesis of no difference, while one (1) indicates rejection of the null hypothesis at the 5% significance level.

Variable	Month	Zone Af		Zone Am		Zone Aw			Zone C				
Variable		t	KS	F	t	KS	F	t	KS	F	t	KS	F
	CMIP5 vs ERA5	1	1	0	0	0	0	0	1	0	0	0	0
Tmx	CMIP6 vs ERA5	0	0	0	0	0	0	0	1	0	0	0	0
T	CMIP5 vs ERA5	1	1	0	0	0	0	0	0	0	0	0	0
Tmn	CMIP6 vs ERA5	1	1	0	0	0	0	0	0	0	0	0	0
Rainfall	CMIP5 vs ERA5	0	0	0	0	0	0	0	0	0	0	0	0
Kainiali	CMIP6 vs ERA5	1	0	0	0	0	0	0	0	0	0	0	0

362

363 4.4. Projected T_{mx}, T_{mn} and rainfall

Figure 10 shows the temporal evolution of T_{mx} (plots a and b) and T_{mn} (plots c and d) averaged 364 over SEA by the MMEs of CMIP5 and CMIP6 for differing scenarios. The upper plots (e.g., 365 a and c) show the projection for medium emission scenarios; RCP4.5 for CMIP5 and SSP2-366 4.5 for CMIP6, respectively, while the lower plots (e.g., b and d) show the projection for 367 high-end scenarios; RCP8.5 for CMIP5 and SSP5-8.5 for CMIP6, respectively (Figure 10). 368 The MME median projection is presented using an intermediate solid line for the applicable 369 370 historical period (1979 - 2005 for CMIP5 and 1979 - 2014 for CMIP6) and the dashed line for the future period, while the band presents the 95% confidence interval of the projections. 371 372 The blue line represents CMIP6, and the brown line represents CMIP5. A 30-year moving average is used to smooth the lines. 373

Figure 10 shows a much thinner confidence band (less uncertainty) in the projections for CMIP6 than its predecessors, CMIP5. For T_{mx} , both versions show nearly the same future projection for different scenarios for 2020-2059. CMIP6 shows a greater increase in T_{mx} for SSP2-4.5 and a reduced increase for SSP5-8.5 compared to RCP4.5 and 8.5 projections for 2060-2099. T_{mx} is projected to reach 30.2 °C and 31.74 °C for SSP2-4.5 and 5-8.5, while 29.9 °C and 31.97 °C for RCP4.5 and 8.5 by 2100. The CMIP5 MME median shows an

abrupt shift in T_{mx} between the historical estimations and the modeling forecasts. This is not 380 seen in the CMIP6 modeling. A gradual increase in T_{mx} from historical to future periods 381 indicates a realistic projection by CMIP6. 382

383 384 385

The MME median of CMIP6 shows a slight decrease in T_{mn} in the future (when compared to the CMIP5) for both scenarios (Figure 10). The T_{mn} is projected to reach 25.11 °C and 26.6 °C for SSP2-4.5 and 5-8.5, and 25.29 °C and 26.7 °C for RCP4.5 and 8.5 by 2100. As is the case for T_{mx}, CMIP6 also shows reduced uncertainty in the T_{mn} projection 386 when compared to CMIP5. 387

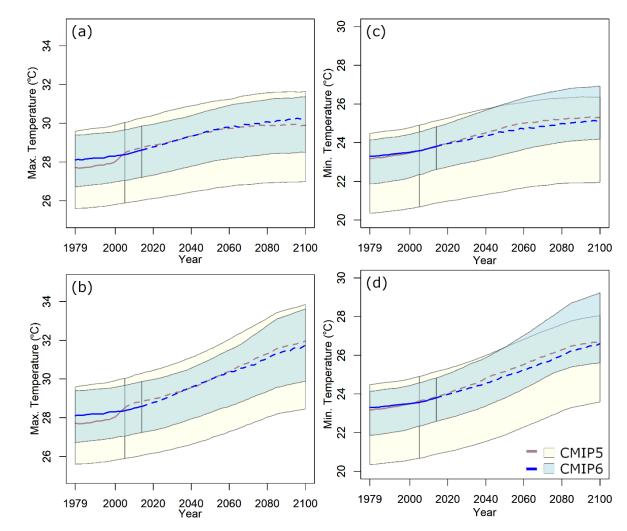




Figure 10 Temporal evolution of T_{mx} (°C) (a and b) and T_{mn} (°C) (c and d) for CMIP5 389 390 (yellow) and CMIP6 (blue) under different scenarios (upper row) RCP4.5 and SSP2-4.5 and (lower row) RCP8.5 and SSP5-8.5. Shadings signify 95% projections confidence interval. 391 392 The vertical line indicates the end of the historical estimations.

Figure 11 shows rainfall projections generated by CMIP5 and CMIP6 MME. The 394 MME median of CMIP6 indicates the potential for a greater increase in rainfall in the future 395 than does CMIP5. The uncertainty in the projections of both CMIPs, however, is similar. The 396 CMIP6 MME projected an increase in rainfall from nearly 2500 mm from the present day to 397 2700 mm by 2100 for SSP2-4.5, while CMIP5 MME indicated a potential for 2577 mm for 398 RCP4.5 (Figure 11 (a)). For the higher scenario, the MME of both CMIPs projected the 399 rainfall to reach 2640 mm by 2100 (Figure 11 (b)). Results indicate a greater decrease in 400 rainfall for SSP5-8.5 than for SSP2-4.5, and a greater increase in rainfall for RCP8.5 than 401 402 RCP4.5.

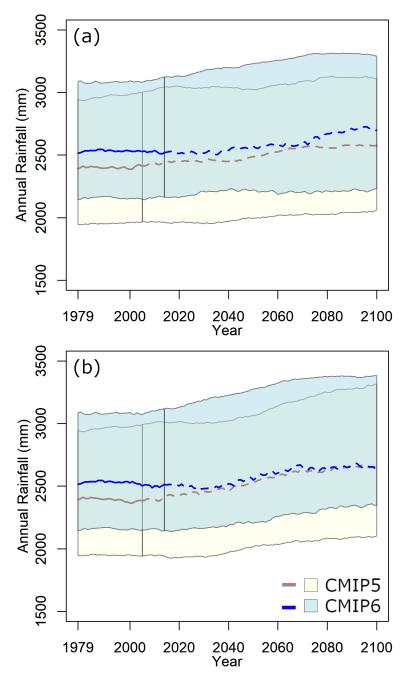


Figure 11 Annual rainfall (mm) projection by CMIP5 (yellow) and CMIP6 (blue) models for
different scenarios: a) medium scenario (RCP4.5 and SSP2-4.5); and b) high scenario
(RCP8.5 and SSP5-8.5)

407

408 4.5. Spatial changes of temperature and rainfall

409 Changes in annual T_{mx} , T_{mn} and rainfall were estimated using the MME mean of CMIPs for 410 both the near and far futures, and for both the medium and high scenarios. These were 411 compared to the historical period (1979-2005). Figure 12 depicts the geographical distribution of projected change (°C) in T_{mx} . Both CMIPs projected a rise in T_{mx} for the two future periods. However, CMIP6 MME projected a smaller rise in T_{mx} than did CMIP5 MME. The projections of both CMIPs are highly consistent. Both MMEs projected a maximum increase in T_{mx} in the north (> 4.0 °C), and a minimum to the southeast (Papua), with a temperature of 1.0–1.33 °C in the near future and 1.59-3.01 °C in far future. T_{mx} projections also show a reduced rate of temperature increase in the central parts of SEA.

The increase in T_{mn} was similar to T_{mx} (Figure 13). In contrast to T_{mx} , however, the CMIP6 MME modelling projected a greater increase in T_{mn} than for CMIP5 MME, for both projection scenarios in both periods. Overall, T_{mn} is projected to increase more than T_{mx} . The greatest increase is seen in the north (5.02 °C), while the lowest is in the southeast, 0.96-1.27 °C in near future and 1.57-3.08 °C in far future. Both T_{mn} and T_{mx} show the greatest increase in regions where historical temperatures are less and vice versa.

Figure 14 shows the geographical variability in the projected changes in annual 425 426 rainfall in percent. Both the CMIPs MME provided projections for annual rainfall for both the medium and high scenarios. The greatest increase is projected for the near future for 427 SSP2-4.5. Both CMIPs, however, display a 25% decrease in rainfall in the south (Java) and 428 southwest (Sumatra) parts of SEA. Rainfall increases in the northwest (Borneo and 429 Indonesia) and the southeast (Papua). A 10 to 20% increase in rainfall in those regions is 430 projected in the far future, for all scenarios. Rainfall would increase in the higher rainfall 431 432 regions of SEA.

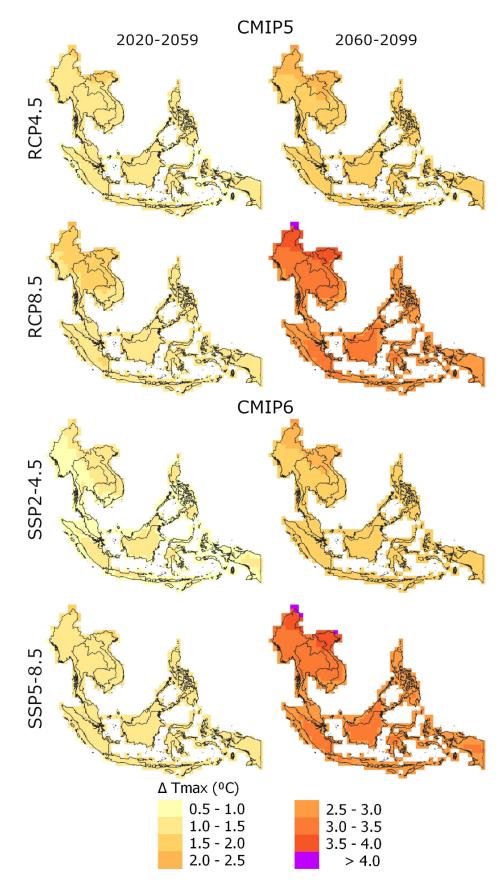
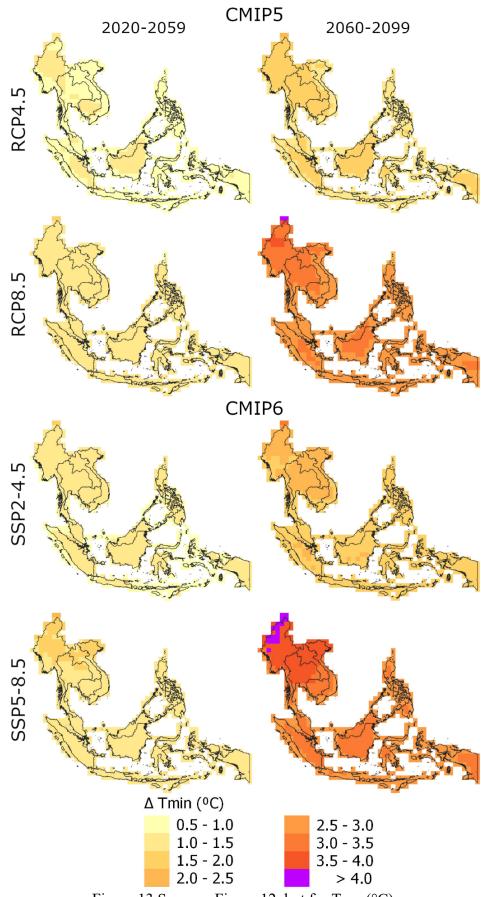
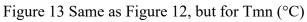


Figure 12 Geographical variability of the change in T_{mx} (°C) over SEA based on MME of CMIP5 and CMIP6 for two futures in medium and high projection scenarios





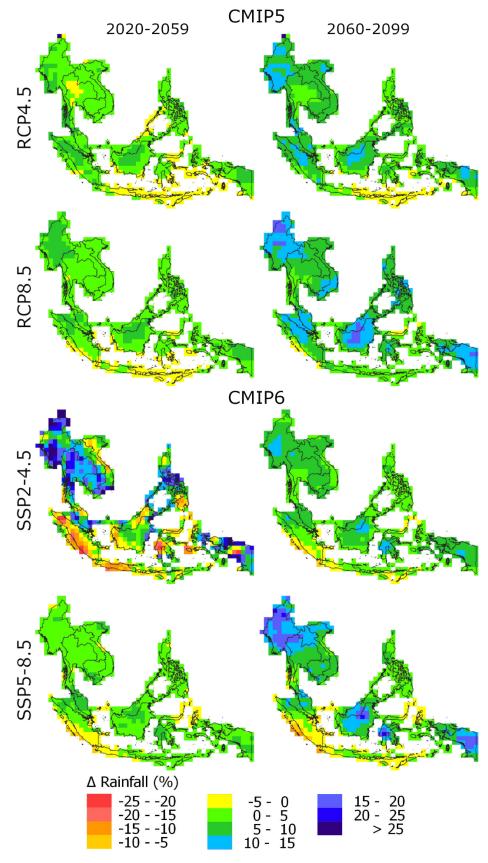






Figure 14 Same as Figure 12, but for rainfall

441 5. Discussion

A large number of studies have examined the ability of CMIP5 and CMIP6 GCMs to estimate 442 the historical climate in different regions of the globe (Jain et al., 2019; Gusain et al., 2020; 443 Kamruzzaman et al., 2021; Song et al., 2021a; Yazdandoost et al., 2021). Overall, these 444 studies have revealed an improvement in the CMIP6 models compared to previous versions, 445 i.e. CMIP5. Improvements in CMIP6 modelling have been noted in studies of the Tibetian 446 Plateau (Lun et al., 2021), Central and South America (Ortega et al., 2021), Columbia (Arias 447 et al., 2021), Meditteranean region (Bağçaci et al., 2021). The superiority of CMIP6 models 448 over the older CMIP5 models was also reported for extreme indices work over East Africa 449 450 (Ayugi et al., 2021), extreme rainfall and temperature in major river basins of China (Zhu et al., 2021), extreme precipitation over the whole of China (Luo et al., 2021), Australia (Deng 451 452 et al., 2021), and Western North Pacific and East Asia (Chen et al., 2021). CMIP6 models were found to simulate climatic variables more accurately than CMIP5 models. For example, 453 454 Jiang et al., (2021) found improved measurement of clouds and vapor over the tropical ocean using CMIP6. In the nearby region of SEA, Jain et al., (2019) reported enhancement of 455 456 CMIP6 GCMs over Central and North India. Gusain et al., (2020) reported the higher capability of CMIP6 GCMs in estimating the Indian summer rainfall. Song et al., (2021b) 457 458 showed an improvement in CMIP6 modelling over South Korea. Kamruzzaman et al., (2021) 459 found there was an enhanced ability of CMIP6 MME to replicate spatial variability of rainfall and temperature over Bangladesh when compared with CMIP5 MME. 460

The current study findings were different to those noted in other parts of the world, 461 462 with the performance of CMIP6 GCMs found to be similar to that of CMIP5. The KGE showed an improvement in some of the CMIP6 GCMs in simulating historical rainfall, 463 however, the Taylor diagram indicated similar performance of GCMs for both CMIPs. The 464 major difference in the CMIP6 models when compared to the CMIP5 models was less inter-465 model variability. Due to this, the uncertainty bond in CMIP6 ensemble was much narrower 466 than in the CMIP5 ensemble. A comparable finding is reported by Deng et al., (2021) when 467 comparing the performance of CMIPs in simulating temperature extremes over Australia. 468 These showed narrower ensemble ranges for CMIP6 models when compared to CMIP5 469 models (Deng et al., 2021). These results indicate more consistency in simulations using 470 CMIP6 GCMs when compared to CMIP5 GCMs. All CMIP6 GCMs used the same forcing 471 datasets and boundary conditions (Taylor et al., 2018). Therefore, the simulations of CMIP6 472 473 GCMs are more consistent.

The results reported here also contradict the findings from Khadka et al., (2021) over 474 475 SEA. That study did not use common models to compare both CMIPs and also used different subsets of the CMIP5 and CMIP6 GCMs. In the current study, common GCMs for both 476 CMIPs were used and so provided an estimation of the relative performance of the GCMs. 477 Khadka et al., (2021) also used correlation and RMSE for measuring the performance of the 478 GCMs. So two metrics were used to estimate different properties of the model performance. 479 It should be noted that making decisions using multiple statistical metrics is always 480 problematic, as using different metrics can often provide different outcomes. For this reason, 481 the current study used an integrated metric (KGE). This measures the ability of the model to 482 construct spatial distributions, variables and bias, and thus has provided a reliable assessment 483 of GCM capability. 484

The SEA is comprised of both mainland and maritime continents. Shallow and deep marginal seas, with complex land-sea distribution and topography, have resulted in a complex climatic regime (Robertson et al., 2011). Atmospheric circulation patterns (resulting from the land-sea configuration) make seasonal temperatures and rainfall asymmetric over the region (Yoneyama and Zhang, 2020). These factors may have influenced CMIP6 modelling performance and affected the improved capability noted in other studies when comparing performance against the older CMIP5 models.

492 This study reported some inconsistencies in the projection of temperature and rainfall for both the CMIP5 and CMIP6 models. CMIP6 showed a large increase in T_{mx} for SSP2-4.5 493 and a small increase for SSP5-8.5, compared to RCP4.5 and 8.5, for the far future projections 494 (2060-2099). The MME mean of CMIP6 showed a slight decrease in T_{mn} in future than 495 496 CMIP5 for both scenarios. In contrast to T_{mx}, CMIP6 MME projected an increase in T_{mn} compared to CMIP5 MME for both projection scenarios in all periods. This has also 497 contradicted the findings available for other regions. SSP scenarios have previously been 498 reported as indicating a greater increase in temperature than their equivalent RCP scenarios 499 (Ortega et al., 2021). However, both CMIPs have reported a greater increase in T_{mn} when 500 compared to T_{mx}, as noted in other regions. The greatest inconsistency in the CMIP5 and 501 CMIP6 GCMs was in the rainfall projections. The results showed a decrease in rainfall for 502 SSP5-8.5 as compared to SSP2-4.5, with an increase in rainfall noted for RCP8.5 compared 503 to RCP4.5. This indicated an increase in rainfall with increase in temperature for CMIP5 504 505 MME in the region. In contrast, CMIP6 MME showed a decrease in rainfall for SSP5-8.5 despite a rise in temperature. 506

The spatial distribution of temperature and rainfall changes revealed a greater 507 increase in temperature in the cooler regions and a reduced increase in the warmer regions. 508 This was in contrast to the rainfall projections. Increased rainfall was noted in the high rainfall 509 regions and reduced rainfall in the current low rainfall regions. The results indicated more 510 homogeneity in the geographical variability of temperature, but more heterogeneity in the 511 spatial distribution of rainfall. The current temperature in the region is more homogeneous 512 than in any other part of the world and the present study indicates that this would continue 513 into the future. In contrast, the current spatial distribution of rainfall in SEA is highly diverse, 514 ranging from 750 mm to >6000 mm. Some parts of Papua in the southeast receive the highest 515 rainfall globally (~11000 mm). The SEA has the highest density of animal life on the planet 516 with the various species inhabiting a narrow climatic niche. Climate change is expected to 517 increase species diversity. 518

519

520 6. Conclusion

The present study evaluated the use of CMIP5 and CMIP6 in developing present and future 521 climate projections for the Southeast Asia region. Uncertainties in historical simulation and 522 future projections of the CMIPs were also examined as part of determining overall model 523 performance. The study revealed no significant improvement in GCMs (from CMIP5 to 524 CMIP6) in simulating present-day temperature and rainfall over SEA. However, the CMIP6 525 ensemble did display less uncertainty in the simulation work than CMIP5. This indicated a 526 greater degree of confidence could be assumed in any decision-making based on the CMIP6 527 528 projections. Both CMIPs revealed that a rise in temperature and rainfall in most of SEA would occur. Some inconsistencies in the CMIP5 and CMIP6 models projections were noted. 529 This has emphasized the need to streamline existing adaptation measures, particularly those 530 arising from CMIP6 SSP scenarios. The study projected a decrease or an insignificant 531 increase in rainfall in the low rainfall region. This may increase both flood and water stress 532 in the region. Any changes in the homogeneity in temperature and rainfall could significantly 533 affect the biodiversity in the region. Future modelling should take account of the increased 534 availability of GCMs both CMIPs, and utilize the ability to compare and contrast the various 535 model iterations. 536

538 **Declarations**

539 Funding

540 The authors are grateful to Staffordshire University, UK for providing financial support for

this research through grant no. WR GCRF 2020-2021. Authors are also grateful to the Belt

and Road Special Foundation of the State Key Laboratory of Hydrology-Water Resources

and Hydraulic Engineering to support this research through grants no. (2019491311 &

544 2020491011).

545 Conflicts of interest/Competing interests

546 The authors declare that they have no known competing financial interests or personal 547 relationships that could have appeared to influence the work reported in this paper.

548 Code availability

549 The code was written using R software, R.3.4, to produce the data. The code is available upon

550 request.

551 Author contributions

- All authors contributed to the study conception and design.
- 553

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