Increased fronto-striatal reward prediction errors moderate decision making in obsessive-compulsive disorder

Running title: reward prediction error abnormalities in OCD

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Abstract

Background: Obsessive-compulsive disorder (OCD) has been linked to functional abnormalities in fronto-striatal networks as well as impairments in decision making and learning. Little is known about the neurocognitive mechanisms causing these decision making and learning deficits in OCD, and how they relate to dysfunction in fronto-striatal networks.

Methods: We investigated neural mechanisms of decision making in OCD patients, including early- and late-onset of disorder, in terms of reward prediction errors (RPEs) using fMRI. RPEs index a mismatch between expected and received outcomes, encoded by the dopaminergic system, and are known to drive learning and decision making in humans and animals. We used reinforcement learning models and RPE signals to infer the learning mechanisms and to compare behavioural parameters and neural RPE responses of the OCD patients to healthy matched controls.

Results: Patients with OCD showed significantly increased RPE responses in the anterior cingulate cortex (ACC) and the putamen compared to controls. OCD patients also had a significantly lower perseveration parameter than controls.

Conclusions: Enhanced RPE signals in ACC and putamen extend previous findings of fronto-striatal deficits in OCD. These abnormally strong RPEs suggest a hyper-responsive learning network in patients with OCD, which might explain their indecisiveness and intolerance of uncertainty.

Keywords

Obsessive-compulsive disorder (OCD), reward prediction errors (RPE), anterior cingulate cortex (ACC), putamen, reinforcement learning, age-of-onset

Introduction

Obsessive-compulsive disorder (OCD) is related to abnormal activity in fronto-striatal brain loops (Saxena *et al.* 1998; Aouizerate *et al.* 2004; Maia *et al.* 2008; Menzies *et al.* 2008; Brem *et al.* 2012; Walitza *et al.* 2014). These loops represent segregated, recurrent neural networks (Alexander *et al.* 1986) between cortical regions, such as the anterior cingulate cortex (ACC), and subcortical areas including striatum. Fronto-striatal loops are crucial for many cognitive domains involving the maintenance and selection of information (Alexander & Brown 2011; Maia & Frank 2011; Hauser *et al.* 2016b) and are closely interconnected with other cortical and subcortical systems (Doya 2008). The activity of these loops, is to a large extent modulated by catecholaminergic neurotransmitters, such as dopamine (Frank *et al.* 2007). Dopamine influences the neural gain in the system, changing the information conveyed in the network (Fiore *et al.* 2014, 2016; Hauser *et al.* 2016b). Impairments in these networks can change decision making and learning (Maia & Frank 2011; Cavanagh & Frank 2014) – processes found to be impaired in OCD (Fear & Healy 1997; Sachdev & Malhi 2005; Nielen *et al.* 2009; Gillan & Robbins 2014).

Fundamental to learning and decision making are the expression of reward prediction error (RPE; Montague *et al.* 1996; Schultz *et al.* 1997) signals. These signals indicate the mismatch between expectations and experiences – such as outcomes – and drive reinforcement learning and goal-directed behaviour (Schultz *et al.* 1997; Glimcher 2011). RPE signals are known to be encoded by the dopaminergic midbrain (Schultz *et al.* 1997) and being processed in fronto-striatal loops, such as ACC (Kennerley *et al.* 2011; Hauser *et al.* 2014b, 2015a), the striatum (Rutledge *et al.* 2010; Daw *et al.* 2011), and ventromedial prefrontal cortex (Kennerley *et al.* 2011; Hauser *et al.* 2015a). Changes in RPE processing have direct impact on fronto-striatal loop activity and thus alter decision making and learning (Fiore *et al.* 2014, 2016; Hauser *et al.* 2016b).

There is relatively consistent evidence that areas involved in RPE-processing, such as ACC, vmPFC and striatum, are impaired in OCD patients (van den Heuvel *et al.* 2010; Stern *et al.* 2011; Brem *et al.* 2012; Becker *et al.* 2014; Brem *et al.* 2014; Grünblatt *et al.* 2014; Walitza *et al.* 2014; Hauser *et al.* 2016a). Electrophysiological studies further suggest that internal error signals, such as the error-related negativity (ERN; Falkenstein *et al.* 1990) are increased in OCD patients (Gehring *et al.* 2000;

Johannes *et al.* 2001; Endrass *et al.* 2008; Gründler *et al.* 2009; Cavanagh *et al.* 2010; Riesel *et al.* 2011; Xiao *et al.* 2011; Endrass & Ullsperger 2014; Riesel *et al.* 2015). Although these internal error signals have been related to RPE processing in the ACC (Holroyd & Coles 2002), no study has yet directly investigated RPE signals in OCD patients. Increased RPE signals could also explain patients' subjective 'not just right' experiences (NJR; Coles *et al.* 2003) and thus favour avoidance and checking behaviour, as these NJR experiences have been suggested to reflect mismatch signals, similar to RPEs (Pitman 1987).

In this study, we investigated learning and decision making mechanisms in 33 subjects with OCD and 34 matched controls. The adolescent and adult participants played a probabilistic reversal learning task which is known to be sensitive to detect fronto-striatal impairments in OCD (Remijnse *et al.* 2006; Chamberlain *et al.* 2008; Valerius *et al.* 2008; Remijnse *et al.* 2009; Freyer *et al.* 2011). We used reinforcement learning models (Sutton & Barto 1998) to infer underlying learning mechanisms via a model-derived RPE signal measured using functional magnetic resonance imaging (fMRI). We hypothesized that OCD patients would show an increased RPE signal in fronto-striatal areas, related to abnormal decision making and learning.

Materials and methods

Subjects

67 adolescent and adult subjects participated in this fMRI study. 33 OCD patients (23.4y±9.5, 13.4-45.9) were compared to 34 healthy, matched controls (24.5y±11.2, 13.1-45.8; detailed group descriptions in Table 1). Patients were recruited from public and private health care services as well as through public advertisement. Controls were recruited from the general population. Both groups, OCD patients and controls underwent a structured psychiatric interview (SCID or K-SADS-PL, German versions; Wittchen *et al.* 1997; Delmo *et al.* 2001) and all comorbidities are listed in Table 1. All OCD patients fulfilled the DSM-IV-TR and DSM-5 criteria for OCD at least once in lifetime and were diagnosed with either early onset (EO: disorder onset < 18y) or late onset (LO) OCD. To investigate the role of variability in current OCD-severity, we also included five patients which were in remission at the time of the study, but previously met a primary diagnosis of OCD. Symptom severity was assessed using the (C)Y-BOCS interview (Goodman *et al.* 1989). None of the controls reported any major psychiatric disorder (psychosis, depression, autism spectrum disorder, substance abuse), but two controls reported specific phobias (spiders, syringes) without clinical relevance or any daily life impairments. Of the 33 patients, 20 were medicated and 13 were not medicated at the time of the study (Table 1). One OCD patient had to be excluded prior to analysis due to a task performance at chance level. Data from some of the healthy controls has been reported previously (Hauser *et al.* 2014a, 2014b, 2015a, 2015b). The study was approved by the local ethics committee and complied with the declaration of Helsinki, and all participants (and if under 18 years: their legal guardians) gave written informed consent.

Reversal learning task

All participants played a probabilistic reversal learning task (Fig. 1) (Hauser *et al.* 2014b, 2014a, 2015b) consisting of 120 trials (divided into 2 runs), while fMRI was recorded. The subjects were instructed to win as much money as possible. They had to learn the reward-contingencies based on trial-and-error. One of the stimuli was assigned with a win probability of 80%, whereas the second stimulus had a punishment probability of 80%. After six to 10 correct responses, the reward probabilities

reversed (exact reversal rules are detailed in Hauser *et al.* 2014b). The subjects were informed beforehand about the probability of reversals occurring, but no further information about the reversal contingencies was provided. As outcomes, either a reward (+50 Swiss Centimes) or a punishment (-50 Swiss Centimes) was presented. To prevent misses, we punished late answers with -100 Swiss Centimes. The location of the stimuli was randomly determined on each trial to prevent motor perseveration.

Computational Modeling

To understand the mechanisms underlying the subjects' choices, we compared two different anticorrelated Rescorla-Wagner learning models (Gläscher *et al.* 2009; Reiter *et al.* 2016), one with a common learning rate *alpha* for positive and negative RPEs, the other with separate learning rates (Niv *et al.* 2012; Hauser *et al.* 2015b). Each of the models was combined with two different softmax choice models. We used a standard softmax choice rule with the stochasticity (inverse temperature) parameter *beta*, and an extended softmax function with an additional perseveration parameter *gamma* to capture potential differences in the participants' tendency to repeat a given action independent of its value (Lau & Glimcher 2005; Daw *et al.* 2011). We determined the best models using Bayesian model selection (Stephan *et al.* 2009). The parameters and RPEs from the winning model were then used for fMRI analyses and further behavioural comparison (using independent sample t-tests). Detailed descriptions of the models and procedures are provided in the supplementary material.

fMRI: preprocessing and group comparisons

fMRI was recorded in a 3T Philips Scanner (Philips Medical Systems, Best, the Netherlands). Echo planar imaging (EPI), optimized for maximal orbitofrontal signal sensitivity (TR: 1850ms, TE: 20ms, 15° tilted downwards of AC-PC, 40 slices, 2.5*2.5*2.5mm voxels, 0.7mm gap, FA: 85° FOV: 240*240*127mm), was used. Additionally, a T1-weighted structural image was recorded.

SPM8 (http://www.fil.ion.ucl.ac.uk/spm/) standard procedures were used for preprocessing and analysis. The raw data were realigned, resliced, and coregistered to the T1 image. For normalization, the deformation fields were used, which were obtained using 'new segmentation'. This resulted in a

new standard voxel size of 1.5*1.5*1.5mm. Subsequently, the data were spatially smoothed (6mm FWHM kernel).

Based on our hypothesis that OCD patients show increased RPE signals, we compared the neural responses to RPEs during outcome presentation. On the first level, we entered model-derived RPEs as a parametric modulator at the time of feedback onset. Several other regressors were entered as nuisance regressors: cue onsets and value of chosen option at this time, movement parameters, and pulsatile artifacts (Kasper *et al.* n.d.). At the second level, we compared the RPE effects between the groups using independent sample t-tests. Group differences are reported on $p<.05$, whole-brain corrected using cluster-based family-wise error correction (FWE; height threshold $p<.005$).

fMRI: further analyses (age-of-onset, symptom severity)

Because of the large age-range of our participants, we re-analyzed the same fMRI-models by entering age, as well as log-transformed age (natural logarithm), as a covariate - although age did not differ between the groups - to control for more subtle effects which would be driven by age.

To determine whether these group differences were modulated by age-of-onset or symptom severity, we correlated the mean activation in the significant group-difference clusters with the age-of-onset as well as with symptom severity as measured by (C)Y-BOCS using t-tests and multiple regression analyses.

Results

Behavioural group differences

We found no difference between the groups in whether they were able to learn the stimulus-valence associations. Both groups performed similarly well in terms of winnings (CTRL: 16.80CHF±4.81, OCD: 16.60CHF±6.32, t(64)=.15, p=.885), number of rewarded trials (CTRL: 77.62±4.51, OCD: 77.50±5.84, t(64)=.09, p=.927), number of punished trials (CTRL: 40.74±4.52, OCD: 40.56±5.07, t(64)=.15, p=.884), number of misses (CTRL: 1.65±1.92, OCD: 1.88±2.09, t(64)=.46, p=.646), and the number of reversals in the stimulus-valence mapping (CTRL: 7.26±1.33, OCD: 7.06±1.56, t(64)=.57, p=.573). We found that the groups differed marginally in how often they switched between the stimuli (CTRL: 22.62±7.84, OCD: 26.34±9.79, t(64)=1.71, p=.092). We then calculated the stay probability, separately for trials with positive and negative feedback. A repeated-measures ANOVA with within-subject factor valence (reward, punishment) and between-subjects factor group (CTRL, OCD) confirmed a marginally significant difference in the group main effect (F(1,64)=3.70, p=.059), more evident in a lower stay-probability after rewards in OCD (CTRL: .97±.02, OCD: .94±.08, t(64)=2.05, p=.045), than after punishments (CTRL: .48±.16; OCD: .43±.12, t(64)=1.41, p=.165).

Computational modeling reveals altered perseveration

Between the four different model combinations, the anti-correlated Rescorla-Wagner model with the perseveration parameter and an identical learning rate for positive and negative RPEs, outperformed all other models (Supplementary Table 2). Consequently, we used this model for all further behavioural and fMRI analyses.

To better understand the decision making mechanisms in OCD, we compared the model parameters between our OCD patients and healthy controls. The winning model contained three free parameters which were estimated for each subject independently. The learning rate *alpha* determines how quickly a participant learns from new evidence. The inverse temperature parameter *beta* describes how stochastic or exploratory the subject makes its decisions. Lastly, the perseveration parameter

gamma accounts for the tendency of choosing the same stimulus again, independently of the assigned values.

We did not find any difference between the groups in the learning rate *alpha* (CTRL: .56±.13, OCD: .54±.12, t(64)=.59, p=.560, Fig. 2) or in the choice stochasticity parameter *beta* (CTRL: 6.75±4.70, OCD: 5.36±4.66, t(64)=1.20, p=.234). However, we found a significant difference in the perseveration parameter *gamma* (CTRL: .308±.177, OCD: .205±.161, t(64)=2.47, p=.016). The difference remained significant when controlling for age (multiple regression, age: t(64)=2.43, p=.018; *log*(age): t(64)=2.48, p=.016). Interestingly, the OCD patients had a lower perseveration parameter compared to the matched controls. This means that they are less likely to repeat the same action again, independent from the stimulus value.

In a subsequent exploratory analysis, we assessed whether there was a relation between symptom severity and model parameter *gamma* within the patient group. We did not find any effect of symptom severity on any scale ((C)Y-BOCS total: r=.170, p=.352; obsessions: r=1.52, p=.407; compulsions: r=.157, p=.392). This suggests that *gamma* is not an indicator of symptom severity per se.

Increased RPEs in OCD

Based on our hypothesis of increased RPE signals in OCD patients, we compared the RPE signals during outcome processing between OCD and healthy controls. We found that OCD patients showed increased RPE-related activation in anterior cingulate cortex (ACC; Fig. 3, Table 2) and right putamen. Both areas have also been found to be activated as a main effect of RPE (cf. supplementary material, Fig. S1, Table S1). There was no region that showed an increased response in healthy controls compared to OCD.

To control for potential age-dependent effects in our sample, we additionally entered age as a covariate in our second-level analysis (cf. supplementary material). The same two clusters remained significant when regressing out age (OCD>CTRL: ACC: MNI -15 41 19, k=331, z=4.21; putamen: MNI 36 8 -3, k=261, z=4.05) and log-transformed age (OCD>CTRL: ACC: MNI -15 41 19, k=327,

z=4.23; putamen: MNI 35 9 -2, k=250, z=3.98). We are thus confident, that the group differences in these clusters are not influenced by any age-effects.

In order to understand how the group differences in fMRI were linked to our model parameter differences, we performed an exploratory correlation analysis of the perseveration parameter *gamma* and the mean response of putamen and ACC, independently for each group. There was a significant correlation between the perseveration parameter *gamma* and putamen in OCD patients, but not in controls (OCD: r=.486, p=.005; controls: r=.089, p=.617, Fig. S3). There was no correlation between *gamma* and the ACC in any of the groups (OCD: r=.073, p=.693; controls: r=.007, p=.970).

No relationship between symptom severity and putamen or ACC activity

To understand whether regions that showed increased activation in OCD were also related to patients' symptom severity, we extracted the mean effect size of these areas (cf. supplementary material) and correlated them with symptom severity scores as measured with the (C)Y-BOCS interview. There was no correlation of either ACC or putamen with the total (C)Y-BOCS score (putamen: r=.125, p=.496; ACC: r=.160, p=.380). There was also no correlation with the obsessions (putamen: r=.232, p=.202; ACC: r=.240, p=.186) or compulsions subscales (putamen: r=-.006, p=.976; ACC: r=.051, p=.783). There was no correlation between disorder duration and putamen or ACC activity (putamen: r=.122, p=.520; ACC: r=-.042, p=.825). These findings suggest that the increased activation in ACC and putamen reflect a trait-like property of OC-behaviour, rather than a marker of the disorder severity.

Age-of-onset related to putamen activation

Previous findings of bimodally distributed incidence rates in OCD and behavioural, genetic and neural differences in early- and late-onset OCD patients (Walitza *et al.* 2010; Grünblatt *et al.* 2014; Walitza *et al.* 2014; Boedhoe *et al.* 2016) suggest that there might be differences between early- (EO) and late-onset (LO) OCD patients (details of the patient sub-groups are listed in Table S3). EO in comparison to LO might represent a more severe specific developmental subtype of OCD with increased heritability and differences in the nature of OCD symptoms, the illness course and the pattern

of comorbidity (Walitza *et al.* 2011). Therefore we compared ACC and putamen activity between the two onset-subgroups and found a significant difference in the putamen (EO=-.23±1.07, LO=1.31±.98, t(30)=3.89, p=.001, Fig. S2), but not in the ACC (t(30)=1.16, p=.256). However, because both groups showed significant differences in their age as well as in their intellectual abilities (Table S3), we additionally controlled for these factors using multiple regression. The association between putamen activity and age-of-onset remained significant even after controlling for these other factors (t(28)=2.37, p=.024), which themselves did not have an effect on putamen activity (age: t(28)=.70, p=.490; IQ: t(28)=.27, p=.790).

Because of the difference in putamen activity between the age-of-onset groups, we also compared the perseveration parameter gamma between the two age-of-onset groups and indeed found a significant difference (EO: γ=.17±.15, LO: γ=.29±.16, t(30)=2.22, p=.034). This, however, did not remain significant when controlling for age and IQ (t(28)=1.54, p=.134). Additional exploratory analyses of age-of-onset and the other model parameters did not reveal any significant effect (all p's >.05). Generally, it should also be noted that the LO group with 10 subjects was markedly smaller than the EO group (N=22).

Medication effects on behaviour and RPEs

Because the majority of our patients were being treated with medication, we investigated whether the effects reported above might be related to the patients' medication status (medicated/non-medicated) using independent-sample t-tests. There was no significant difference in the model parameters (*alpha*: non-medicated: .56±15, medicated: .53±.11, t(30) = .59, p=.560; *beta*: non-medicated: 4.6±4.3, medicated: 5.9±4.9, t(30)=-.75, p=.462; *gamma*: non-medicated: .21±.20, medicated: .20±.14, t(30)=.14, p=.892). Likewise, there was no difference in the ACC (non-medicated: .25±.73, medicated: .47±.70, t(30)=-.84, p=.407) or putamen (non-medicated: .22±1.17, medicated: .28±1.34, t(30)=-.145, p=.886) cluster.

Discussion

Neuroimaging studies of OCD patients have reported activation differences in fronto-striatal areas, such as ACC or striatum (van den Heuvel *et al.* 2010; Brem *et al.* 2012; Walitza *et al.* 2014; Hauser *et al.* 2016a). Because of the importance of these areas in OCD, they have often been selected as target regions for invasive OCD treatments such as cingulotomy or deep brain stimulation (DBS) in severe refractory patients (Greenberg *et al.* 2010; Figee *et al.* 2013). Both areas are known to be responsive to reward prediction errors (RPEs) and are critically involved in decision making (Rushworth *et al.* 2011; Haber & Behrens 2014) which in turn is impaired in OCD patients.

To understand the mechanisms underlying such decision making impairments in OCD, we investigated the neural correlates of RPE signals during a reversal learning task. We found that striatum as well as ACC expressed an RPE across all subjects (Fig. S1). When comparing the OCD patients to the healthy controls, we found an increased RPE signal in the ACC as well as in putamen for the OCD patients, meaning that OCD patients have increased expression of an RPE in these areas.

To our knowledge, this is the first study to investigate RPE signals in OCD patients. Our findings extend a relatively consistent literature reporting increased internal error signals in OCD patients (Gehring *et al.* 2000; Johannes *et al.* 2001; Endrass *et al.* 2008; Gründler *et al.* 2009; Cavanagh *et al.* 2010; Riesel *et al.* 2011; Xiao *et al.* 2011; Endrass & Ullsperger 2014; Riesel *et al.* 2015). This is crucial, because these signals have been related to RPEs (Holroyd & Coles 2002), but previous attempts to indirectly measure RPEs using feedback-related signals in event-related potentials, such as the feedback-related negativity (FRN; Walsh & Anderson 2012; Hauser *et al.* 2014b), have remained inconclusive (Nieuwenhuis *et al.* 2005; Gründler *et al.* 2009; O'Toole *et al.* 2012; Endrass *et al.* 2013). This might be due to the unclear relation between RPEs and the FRN (Talmi *et al.* 2013; Hauser *et al.* 2014b; Sambrook & Goslin 2014) and the limited spatial specificity of the latter. Our findings thus support the theory that patients with OCD have a hyper-responsive learning and monitoring system (Ullsperger *et al.* 2014) that causes these regions to be more responsive if errors occur (i.e., higher ERN) or if adjustments in behavior are needed (i.e., stronger RPEs).

OCD patients have previously been suggested to show impairments in cognitive flexibility tasks, such as reversal learning (Remijnse *et al.* 2006; Chamberlain *et al.* 2008; Valerius *et al.* 2008; Remijnse *et al.* 2009; Freyer *et al.* 2011; Endrass *et al.* 2013). However, the mechanisms and processes of these impairments remained unclear. Here, we used reinforcement learning models to better understand the neurocognitive mechanisms and processes involved. By analysing the model-derived parameters, we found that the OCD patients significantly differed in the perseveration parameter *gamma*. This change in perseveration was also reflected by a lower stay probability in the behavioural analysis. This might be surprising at first, because OCD has previously been associated with an increase in perseveration and excessive habit formation (Gillan *et al.* 2011; Voon *et al.* 2014; Gillan *et al.* 2015, 2016; Hauser *et al.* 2016a). However, these studies often used over-trained and/or speeded tasks which do not involve learning and uncertainty as in our task. Additionally, perseveration parameters have previously been used in different learning tasks where the parameter had a slightly different function (Lau & Glimcher 2005; Daw *et al.* 2011). In the context of probabilistic reversal learning models, a decreased perseveration parameter may reflect a form of 'checking' behaviour. A lowered perseveration behaviour in OCD could reflect an obsessive need for certainty, which can only be satisfied by making sure that an alternative stimulus indeed reveals the predicted outcome. An alternative explanation of a worse learning in OCD patients seems less likely because both groups performed the task equally well (e.g., money won, number of rewarded trials, number of reversals), and a failure of learning would have been reflected in either a lower learning rate *alpha* or an altered choice stochasticity parameter *beta*. It should also be noted that a decreased perseverative behaviour does not affect task performance in trivial ways, as there was also no difference in earnings between the groups. Interestingly, a similar switching behaviour as in our OCD patients has been observed in non-human primates after ACC lesioning (Kennerley *et al.* 2006) – consistent with our finding of an altered RPE signal in the ACC.

The finding of increased RPEs in OCD fits well with decreased perseveration. For example, if one constantly experiences that 'something is wrong' one might feel tempted to double-check whether the alternative option really conveys the predicted outcome, and thus to switch more frequently. This relation between the perseverative behaviour and the RPE signals is also reflected in a significant correlation between the perseveration parameter and RPE activity in putamen in the OCD patients (Fig.

S3). It is noteworthy that patients that are more different from controls in their striatal response show more similar perseveration parameter values. Although counterintuitive at first, one could speculate that this might reflect a compensatory process. A strong link between striatum and ACC through fronto-striatal loops (Alexander *et al.* 1986; Frank *et al.* 2007; Haber & Behrens 2014; Hauser *et al.* 2016b), for example, could suggest that an increased striatal activity counterbalances a hyperactive ACC signal and thus 'normalises' the behavioural output of this loop.

It was previously suggested that OCD patients are loss avoidant (Carr 1974; Kaufmann *et al.* 2013) and thus show compulsion-like behaviours. However, loss aversion is generally difficult to dissociate from a valence-independent need for making correct decisions. Our decreased perseveration parameter favours the latter hypothesis, because OCD patients sacrifice small punishments for being reassured that they know which of the stimuli currently depicts the 'correct' one. If OCD patients were to be loss avoidant, this would have been reflected in an increased learning rate for punishments and more switches after losses, but not wins.

RPE signals are well known as markers of the dopaminergic system (Pessiglione *et al.* 2006; Chowdhury *et al.* 2013). Our findings of hyperactive RPE signals thus support recent genetic and other findings that suggest the dopaminergic system being involved in OCD pathogenesis (Denys *et al.* 2004b, 2004a; Brem *et al.* 2014; Pauls *et al.* 2014). Moreover, our findings may also help to explain why an augmentation of the first line treatment (serotonergic medication) with neuroleptic medication (with mainly dopaminergic effects) as well as invasive treatments such as DBS targeting dopaminergic areas (Rück *et al.* 2008; Figee *et al.* 2013) can have beneficial effects, especially in severe refractory OCD. However, RPEs and phasic dopamine is known to also interact with other neurotransmitter systems, such as serotonin (Doya 2008; Maia & Cano-Colino 2015). It is thus likely that increased RPEs are caused by complex interaction between multiple neurotransmitters. Likewise, it should also be noted that the majority of our patients were treated with (serotonergic) medications and that serotonin also affects decision making (Seymour *et al.* 2012). However, we did not observe any difference between the medicated and non-medicated OCD patients, neither in behaviour nor in the fMRI activation. It is thus unlikely that the medication was driving the differences that we found in this study.

To test whether our differences in RPE processing reflected severity of OCD symptoms, or rather an obsessive-compulsive trait independent of severity, we correlated the (C)Y-BOCS symptom scores with the RPE difference clusters and model parameters. We did not find any significant correlation. This - together with the fact that we also included participants that were currently in remission and on medication - suggests that the altered RPE responses may reflect a trait rather than a symptom severity marker. Again, medication of our patients might have confounded our symptom severity analysis to a certain extent, despite symptom severity not being significantly different between medicated and non-medicated patients ((C)Y-BOCS total: $t(30)=1.49$, $p=.146$; obsessions: $t(30)=1.86$, $p=.073$; compulsions: $t(30)=.86$, $p=.398$). An additional caveat is that the severity of the disease (as measured by the (C)Y-BOCS) may be underestimated – especially in adolescents –, depending on the degree of insight of the patients.

RPEs have been shown to have specific developmental trajectories in healthy participants (Hauser *et al.* 2015b). Because we were interested in determining disorder-specific differences in OCD independent of developmental effects, we additionally controlled for age. The clusters in ACC and putamen remained significant, supporting a notion that these OCD-related differences are not influenced by age and consistent with a similar RPE activation across adolescence and adulthood in these regions (Hauser *et al.* 2015b). However, a significant age-of-onset difference in the putamen suggests that the putamen effect is particularly pronounced in LO patients.

In this study, we report data from a relatively large group of OCD patients. Several limitations, in particular related to the patient sample, apply. Our patient group is relatively heterogeneous with several subjects being in remission at the time of scanning. Moreover, a majority of the patients was treated with medication and suffered from additional comorbidities. Although controlling for age in our analyses, it would be desirable to have a more narrow patient age range. Lastly, our post-hoc comparison between early- and late-onset patients revealed interesting differences, but a marked difference in group size as well as a difference in IQ and age demands for a replication in better controlled subgroups.

In summary, this study investigated the mechanisms underlying the decision making and learning impairments in OCD patients. We found increased RPE signals in ACC and putamen in patients. As an RPE signal is influenced by a dopaminergic system this can be seen to support the idea

that OCD may be linked to a dysregulation in this neuromodulatory system (Denys *et al.* 2004b). Additionally, we found that decision making in OCD was characterized by a change in perseverative behaviour. Together, the behavioural and neural findings support the idea of a hyperactive monitoring system that is crucial not only for error monitoring but also for learning and decision making.

Conflict of interest

SW received speakers' honoraria from Eli Lilly, OPO-Pharma in the last five years.

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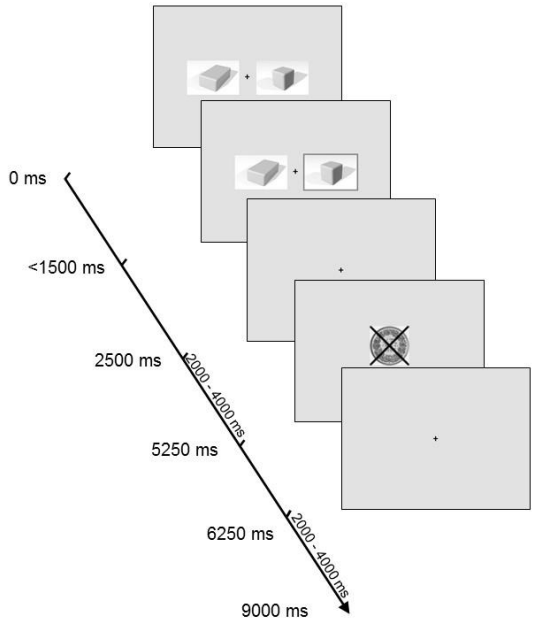

Figure 1. Probabilistic reversal learning task. Subjects performed a probabilistic reversal learning task while fMRI was recorded. The participants had to learn which of the stimuli had the higher reward probability in order to earn maximal amount of money. Every now and then, the reward contingencies changed and the subjects had to adjust accordingly.

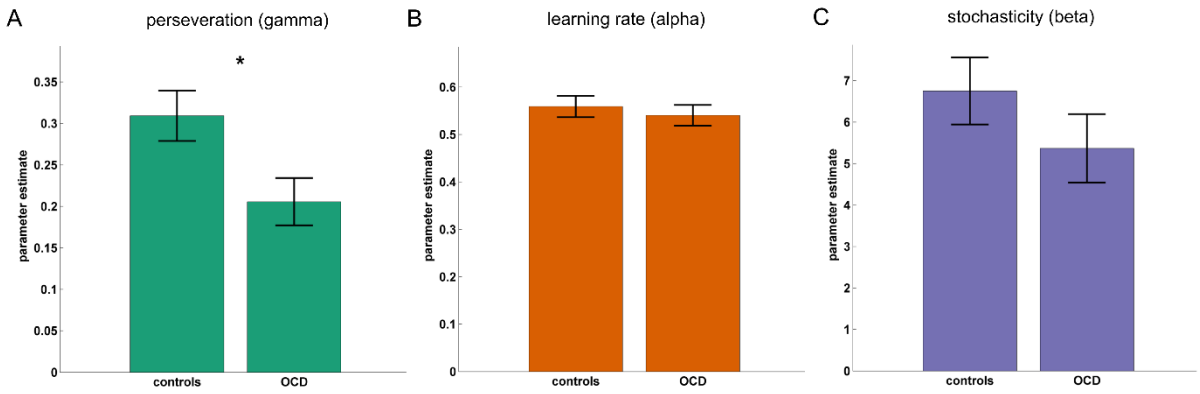

Figure 2. Comparison of the model parameters. OCD patients had a significantly lower perseveration parameter gamma (A). The subjects did not differ in their learning rate alpha (B) or in the choice stochasticity beta (C). * p=.016

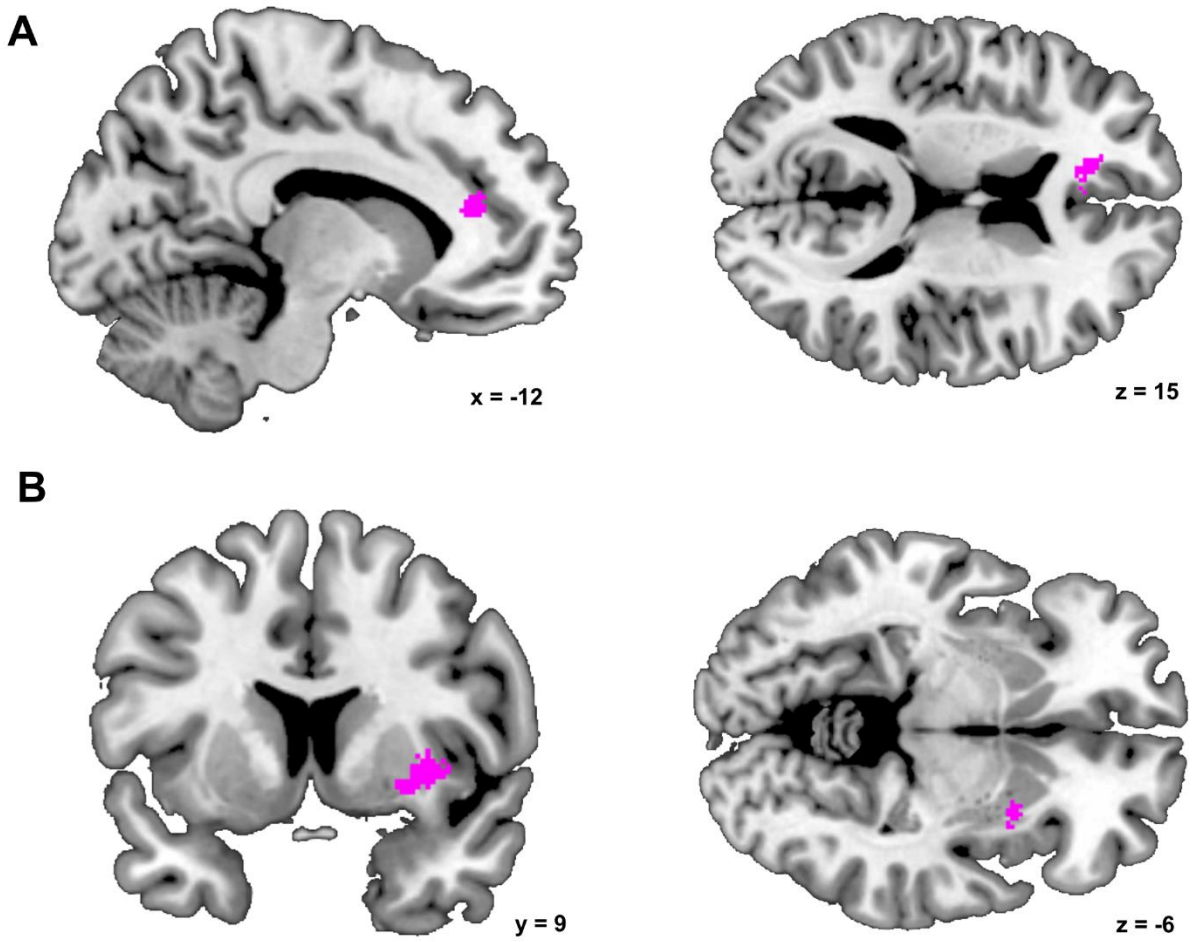

Figure 3. Reward prediction error changes in OCD. OCD patients showed significantly increased RPE activations in the anterior cingulate cortex (A) and in the putamen (B).

Table 1. Characteristics of the participants. Groups were matched for age, sex and intelligence (mean±SD). This table includes all subjects; please note that one OCD patient was excluded from behavioural analysis due to performance on chance level. AD: antidepressants; ADHD: attention deficit hyperactivity disorder; AN: anorexia nervosa; CD: conduct disorder; GAD: generalized anxiety disorder; NaSSA: noradrenergic and specific serotonergic antidepressant; SSNRI: selective serotonergic and noradrenergic reuptake inhibitors; SSRI: selective serotonergic reuptake inhibitors.

	controls (N=34)	OCD (N=33)	significance
age	24.5±11.2 (13.1-45.8)	23.4±9.5 (13.4-45.9)	t(65)=.42, p>.05
sex (m/f)	13/21	21/12	χ^2 (1)=3.36, p>.05
IQ estimate[1]	110±14	105±20	t(65)=1.26, p>.05
(C)Y-BOCS total[2]	-	15.47±9.87 (0-34)	
early-/late-onset[3]	-	22/10	
medicated/unmedicated	0/34	20/13	
medication		SSRI (n=13) neuroleptics (n=4) SSNRI (n=3) benzodiazepine (n=2) Levothyroxin (n=2) NaSSA (n=1) anticholinergics (n=1) tricyclic AD (n=1)	
current comorbitities[4]	F40.2 specific phobia (n=2)	F32/33 depression (n=3) F40.01 panic disorder with agoraphobia (n=2) F40.1 social phobia (n=4) F40.2 specific phobia (n=4)	

		F41.1 GAD (n=2) F45.2 body dysmorphic disorder (n=1) F45.4 pain disorder (n=1) F50.0 AN (n=2) F90.0 ADHD (n=2) F91.0 CD (n=1) F93.8 other childhood emotional disorders (n=2) F95.1 chronic tic disorder (n=1)	

1 (Waldmann 2008), model 65

2 (Goodman *et al.* 1989)

3 Early onset was clinically diagnosed when patients received a diagnosis under age 18 or when they retrospectively reported having clinically relevant symptoms under age 18. OCD patient performing on chance level not reported.

4 Assessed using K-SADS-PL or SCID structured interview (both German version) in patients and controls.

Table 2. RPE differences between OCD patients and healthy controls. OCD patients showed increased RPE activations in ACC and putamen ($p<.05$, cluster-extent FWE corrected). No area showed increased activation for controls.

Contrast	Region	Hemisphere	Cluster size (voxels)	x	y	z	z Score
controls>OCD	ns						
OCD>controls	ACC	left	295	-15	41	19	4.26
	putamen	right	225	35	9	-2	4.03