# INDECOMPOSABLE MODULES OVER NAGATA VALUATION DOMAINS 

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#### Abstract

For a discrete valuation ring $R$, let $\mathrm{fr}(R)$ be the supremum of the ranks of indecomposable finite rank torsion-free $R$-modules. Then $\operatorname{fr}(R)=$ $1,2,3$, or $\infty$. A complete list of indecomposables is given if $\operatorname{fr}(R) \leq 3$, in which case $R$ is known to be a Nagata valuation domain.


Let $R$ be a discrete valuation ring with prime $p$ and quotient field $Q$, and let $R^{*}$ be the $p$-adic completion of $R$ with quotient field $Q^{*}$. Define $\operatorname{fr}(R)=\sup \{\operatorname{rank} X: X$ indecomposable torsion-free $R$-module of finite rank $\}$. In this paper, we show that $\operatorname{fr}(R)=1,2,3$, or $\infty$. This resolves a conjecture by P. Va'mos that $\mathrm{fr}(R)=1,2$, or $\infty$.

It is well known that $\operatorname{fr}(R)=\infty$ in case [ $Q^{*}: Q$ ] is infinite and $\operatorname{fr}(R)=1$ if $\left[Q^{*}: Q\right]=1$. Call $R$ a Nagata valuation domain if $2 \leq\left[Q^{*}: Q\right]$ is finite [Z]. In this case char $Q^{*}=q>0 ; Q^{*}=Q(u)$ for some unit $u$ of $R^{*}$ with $u^{n}=\lambda$, a unit of $R$; and [ $Q^{*}: Q$ ] is a power of $q[\mathrm{~V}, \mathrm{R}]$. Examples of Nagata valuation domains are given in [ N ] and [ V ].

Zanardo $[\mathrm{Z}]$ shows that if $\left[Q^{*}: Q\right]=2$, then $\mathrm{fr}(R)=2$. Moreover, in this case there are, up to isomorphism, only three indecomposables: $R, Q$, and $R^{*}$. His example showing that $\operatorname{fr}(R) \geq 6$ for $\left[Q^{*}: Q\right]=3$ is in error.

Henceforth, assume [ $\left.Q^{*}: Q\right]=n \geq 2$. Then $Q^{*}$ is a splitting field for each finite rank $R$-module $X$; i.e., $R^{*} \otimes X$ is the direct sum of a free $R^{*}$-module and a $Q^{*}$-module. Thus, quasi-homomorphism results of Lady [L1, L3] for modules over a discrete valuation ring with a fixed splitting field are applicable.

As summarized in [L1, Theorem 1] and proved in [L3, Theorem 5.1], for:
$n=2$, there are three strongly indecomposables: $R, Q$, and $R^{*}$;
$n=3$, there are five strongly indecomposables: $R, Q, R^{*}, C^{-} R$ ( $p$-rank 1 , rank 2), and $C^{+} R^{*}$ ( $p$-rank 2, rank 3);
$n=4$, there are strongly indecomposables of arbitrarily large finite rank, but all strongly indecomposables are potentially describable (tame representation type);
$n \geq 5$, there are strongly indecomposables of arbitrarily large finite rank, but a description is generally regarded as hopeless (wild representation type).

[^0]Since strongly indecomposables are indecomposable, Lady's theorem yields $\mathrm{fr}(R)=\infty$ for $n \geq 4$. We give an alternate proof by easily constructed examples in §3. This is sufficient for our purposes and avoids the deep arguments used in [L3].

The only unresolved case is $n=3$. In this case, we show that $\operatorname{fr}(R)=3$ and give a complete list of indecomposables up to isomorphism: $R, Q, R^{*}, C^{-} R$, and infinitely many of $p$-rank 2 , rank 3 (all quasi-isomorphic to $C^{+} R^{*}$ ). The strongly indecomposable $R$-module $C^{+} R^{*}$ is the quasi-homomorphism dual of $R^{*}$ defined in [A1].

## 1. Preliminaries

The $p$-rank of an $R$-module $X$ is the $R / p R$-dimension of $X / p X$. Fundamental properties of $p$-rank are given in [A1].

Lemma 1.1 [A1, Proposition 1.3, Lemma 1.5]. Two finite rank $R$-modules $G$ and $H$ are quasi-isomorphic if and only if $p-\operatorname{rank} G=p-\operatorname{rank} H, \operatorname{rank} G=$ rank $H$, and there is a monomorphism $f: G \rightarrow H$. Moreover, quasi-isomorphism implies isomorphism for modules of p-rank 1 .
2. Indecomposables for $\left[Q^{*}: Q\right]=3$

As noted in the introduction, $\operatorname{char} Q=3$ and $Q^{*}=Q(u)$ for some unit $u$ of $R^{*}$ with $u^{3}=\lambda$, a unit of $R$. This notation is maintained throughout the rest of this section.

Define $A[u]$ to be the pure $R$-submodule of $R^{*}$ generated by $\{1, u\}$. Then $A[u]=(Q \oplus Q u) \cap R^{*}$ is strongly indecomposable with $p$-rank 1 and rank 2 and, hence, is quasi-isomorphic to $C^{-} R$ by Lady's theorem. The following lemma is proved in [Z, Proposition 5] using Kurosch matrix-invariant arguments from [A1]. However, it can also be proved directly from the definition of $A[u$ ] (a proof is not included).

Lemma 2.1 [ Z , Corollary 12, Theorem 8]. The module $A[u]$ is (strongly) indecomposable. Moreover, if $X$ is an indecomposable $R$-module of rank $\leq 2$, then $X$ is isomorphic to $R, Q$, or $A[u]$.

Next let $a, b \in R^{*} \backslash R$ and define $A[a, b]$ to be the pure $R$-submodule of $R^{*} \oplus R^{*}$ generated by $(1,0),(0,1)$, and $(a, b)$. In particular, $Q A[a, b]=$ $Q(1,0) \oplus Q(0,1) \oplus Q(a, b)$ and $A[a, b]=Q A[a, b] \cap\left(R^{*} \oplus R^{*}\right)$. Up to isomorphism, this definition of $A[a, b]$ coincides with that of $[\mathrm{Z}]$. Then $A[a, b]$ has $p$-rank 2 and rank 3 . A routine argument shows that $A[a, b]$ is (strongly) indecomposable if and only if $\{1, a, b\}$ is a $Q$-independent set. In this case, $A[a, b]$ is quasi-isomorphic to $C^{+} R^{*}$ by Lady's theorem. Moreover, $A\left[u, u^{2}\right]$ is the quasi-homomorphism dual of $R^{*}$, noting that $R^{*}$ has $p$-rank 1 and rank 3.

Lemma 2.2. Suppose that $(a, b)$ and $(c, d)$ are $R^{*}$-vectors.
(a) If $(c, d)=s(a, b) M+P$ for an invertible $2 \times 2 R$-matrix $M, a Q$ vector $P$, and $0 \neq s \in Q$, then $A[a, b] \approx A[c, d]$.
(b) $A\left[u, p^{i} u^{2}\right] \approx A\left[p^{i} u, u^{2}\right]$.
(c) If $r$ is $a$ unit of $R$ and $j>i$, then $A\left[u+p^{i} r u^{2}, p^{j} u^{2}\right] \approx A\left[u, p^{j} u^{2}\right]$.

Proof. (a) Define an $R^{*}$-automorphism $\phi$ of $R^{*} \oplus R^{*}$ by $\phi(x)=x M^{-1}$. Then $\phi$ induces a homomorphism $A[c, d] \rightarrow A[a, b]$ since $(Q \oplus Q) M^{-1}$ is contained in $Q \oplus Q$ and $(c, d) M^{-1}=s(a, b)+P M^{-1}$. In fact, this is an isomorphism since $A[a, b]$ and $\phi(A[c, d])$ are both pure rank-3 submodules of $R^{*} \oplus R^{*}$.
(b) Let $A=A\left[u, p^{i} u^{2}\right]$ and $B=A\left[p^{i} u, u^{2}\right]$ with $i \geq 1$. There is an $R^{*}$-endomorphism $\phi$ of $R^{*} \oplus R^{*}$ defined by

$$
\begin{aligned}
& \phi(1,0)=(1,1)=(1,0)+(0,1) \in A, \\
& \phi(0,1)=\left(-u^{-2},-p^{i} u^{-1}+p^{2 i}\right)=-\lambda^{-1}\left(u, p^{i} u^{2}\right)+p^{2 i}(0,1) \in A,
\end{aligned}
$$

recalling that $u^{3}=\lambda$. Now $\phi$ is an automorphism as

$$
\left(\begin{array}{cc}
1 & 1 \\
-u^{-2} & -p^{i} u^{-1}+p^{2 i}
\end{array}\right)
$$

has determinant $d \equiv-u^{-2}\left(\bmod p R^{*}\right)$, a unit of $R^{*}$. Moreover, $\phi(B)$ is contained in $A$ since

$$
\begin{aligned}
\phi\left(p^{i} u, u^{2}\right) & =p^{i} u(1,1)+u^{2}\left(-u^{-2},-p^{i} u^{-1}+p^{2 i}\right)=\left(p^{i} u-1, p^{2 i} u^{2}\right) \\
& =p^{i}\left(u, p^{i} u^{2}\right)-(1,0) \in A
\end{aligned}
$$

It follows that $\phi: B \rightarrow A$ is an isomorphism.
(c) Let $A=A\left[u, p^{j} u^{2}\right]$ and $B=A\left[u+p^{i} r u^{2}, p^{j} u^{2}\right]$, and assume that either $i \geq 1$ or else $i=0$ and $r u$ is not congruent to 1 modulo $p R^{*}$.

Define an $R^{*}$-endomorphism $\phi$ of $R^{*} \oplus R^{*}$ by

$$
\begin{aligned}
\phi(1,0) & =\left(1-p^{i} r u,-p^{i+j} r u^{2}+p^{2 i+j} r^{2} \lambda\right) \\
& =(1,0)-p^{i} r\left(u, p^{j} u^{2}\right)+p^{2 i+j} r^{2} \lambda(0,1) \in A, \\
\phi(0,1) & =\left(0,1-p^{3 i} r^{3} \lambda\right)=\left(1-p^{3 i} r^{3} \lambda\right)(0,1) \in A .
\end{aligned}
$$

Then $\phi$ is an automorphism if $i \geq 1$, since the coefficient determinant $d=$ $\left(1-p^{i} r u\right)\left(1-p^{3 i} r^{3} \lambda\right) \equiv 1\left(\bmod p R^{*}\right)$. If $i=0$, then $d=(1-r u)\left(1-\lambda r^{3}\right)$. Since char $Q^{*}=3,1-\lambda r^{3}=1-(r u)^{3}=(1-r u)^{3}$, whence $d=(1-r u)^{4}$. Thus, $\phi$ is an automorphism, as $r u$ is not congruent to $1 \bmod p R^{*}$.

Now $\phi(B)$ is contained in $A$ since

$$
\begin{aligned}
\phi(u & \left.+p^{i} r u^{2}, p^{j} u^{2}\right) \\
& =\left(u+p^{i} r u^{2}\right) \phi(1,0)+p^{j} u^{2} \phi(0,1) \\
& =\left(u+p^{i} r u^{2}\right)\left(1-p^{i} r u,-p^{i+j} r u^{2}+p^{2 i+j} r^{2} \lambda\right)+p^{j} u^{2}\left(0,1-p^{3 i} r^{3} \lambda\right) \\
& =\left(u-p^{2 i} r^{2} \lambda, p^{j} u^{2}-p^{i+j} r \lambda\right) \\
& =\left(u, p^{j} u^{2}\right)-p^{2 i} r^{2} \lambda(1,0)-p^{i+j} r \lambda(0,1) \in A,
\end{aligned}
$$

recalling that $u^{3}=\lambda$. As in the proof of $(\mathrm{b}), B \approx \phi(B)=A$.
It remains to show that it is sufficient to assume that either $i \geq 1$ or else $i=0$ and $u$ is not congruent to 1 modulo $p R^{*}$. To see this, assume that $i=0$ and $r u=1+p s$ for some $s=s_{0}+s_{1} u+s_{2} u^{2} \in R^{*}$. Then $u+r u^{2}=$ $\left(2+p s_{0}\right) u+p s_{1} u^{2}+p s_{2} \lambda$. Since $p s_{2} \lambda \in Q$, it follows from (a) that $B=$ $A\left[u+r u^{2}, p^{j} u^{2}\right] \approx A\left[\left(2+p s_{0}\right) u+p s_{1} u^{2}, p^{j} u^{2}\right]$. As char $Q=3,2+p s_{0}=$ $-1+p s_{0}$ is a unit of $R^{*}$. Thus, $B \approx A\left[u+p t u^{2}, p^{j} u^{2}\right]$ for $t=\left(2+p s_{0}\right)^{-1} s_{1}$ by (a). If $i^{\prime}=p$-height $(p t) \geq j$, an application of (a) shows that $B \approx A$. Otherwise, $j<i^{\prime}$ and $i^{\prime} \geq 1$, as desired.

Theorem 2.3. If $X$ is an indecomposable $R$-module of rank 3, then $X$ is isomorphic to $R^{*}$ or $A\left[u, p^{j} u^{2}\right]$ for some $j$.
Proof. Note that $p$-rank $X \neq 0$ or 3 , as $X$ is reduced with no free summands (see [A1]). If $p$-rank $X=1$, then $X$ embeds in its completion which is isomorphic to $R^{*}$. Since $R^{*}$ also has $p$-rank 1 and rank $3, X \approx R^{*}$ by Lemma 1.1.

Now assume that $X$ is indecomposable with $p$-rank 2 and rank 3. Then $X \approx$ $A[a, b]$ with $(a, b)=\left(u, u^{2}\right) M$ for some $2 \times 2 R$-matrix $M$ with $\operatorname{det} M \neq$ $0[\mathrm{Z}]$. We outline another proof that avoids matrix invariants. Let $R x \oplus R y$ be a basic submodule of $X$ and extend to a maximal free submodule $R x \oplus R y$ $\oplus R z$ of $X$. Then $X$ embeds as a pure submodule of $R^{*} x \oplus R^{*} y \approx\left(R^{*} \otimes X\right) /$ $d\left(R^{*} \otimes X\right)$, where $d\left(R^{*} \otimes X\right)$ is the maximal divisible submodule. It follows that $X \approx A[a, b]$, where image $z=a x \oplus b y$ for $a, b \in R^{*}$. Since $Q^{*}=Q(u)=$ $Q \oplus Q u \oplus Q u^{2}$, we may write $(a, b)=\left(u, u^{2}\right) M+P$ for some $R$-matrix $M$ and $R$-vector $P$. Apply Lemma 2.2(a) to see that, up to isomorphism, $P$ may be chosen to be 0 .

In view of Lemma 2.2(a), the isomorphism class of $A[a, b]$ is preserved by invertible $R$-column operations on $M$. In particular, it suffices to assume that $M$ is of the form

$$
\left(\begin{array}{cc}
p^{k} & 0 \\
p^{r} & p^{j}
\end{array}\right)
$$

with $i<j$ and $r$ either 0 or a unit of $R$. This follows from the observation that if an element in a row has least $p$-height, then the other entry in its row can be set to 0 using an invertible $R$-column operation. Moreover, column interchange and multiplication of a column by a unit are invertible $R$-operations.

We now have $X \approx A[a, b]$ with $(a, b)=\left(p^{k} u+p^{i} r u^{2}, p^{j} u^{2}\right), j>i$ and $r$ either 0 or a unit of $R$.

First, assume $k \leq i$. Then $X \approx A\left[u+p^{i-k} r u^{2}, p^{j-k} u^{2}\right]$ by Lemma 2.2(a). Moreover, $A\left[u+p^{i-k} r u^{2}, p^{j-k} u^{2}\right] \approx A\left[u, p^{j-k} u^{2}\right]$ via Lemma 2.2(c). Thus, $X \approx A\left[u, p^{j-k} u^{2}\right]$.

Now assume $k>i$. Factor out $p^{i}$ and apply Lemma 2.2(a) to assume, up to isomorphism, that $[a, b]=\left[p^{k-i} u+r u^{2}, p^{j-i} u^{2}\right]$. If $r=0$, then $X \approx$ $A[a, b] \approx A\left[u, p^{t} u^{2}\right]$ for some $t$, obtained by factoring out $p^{\min \{k-i, j-i\}}$ and applying Lemma $2.2(\mathrm{~b})$ in the case $k-i>j-i$.

Finally assume that $r$ is a unit. Then $X \approx A[a, b]=A\left[r u^{2}+p^{k-i} u, p^{j-i} u^{2}\right]$ $\approx A\left[u^{2}+p^{i^{\prime}} r^{\prime} u, p^{j^{\prime}} u^{2}\right]$ for $i^{\prime}=k-i, j^{\prime}=j-i$, and $r^{\prime}=r^{-1}$ (Lemma 2.2(a)). Since $\left(u^{2}\right)^{2}=u \lambda$, substituting $v$ for $u^{2}$ in the latter term and relabeling exponents and units gives $X \approx A\left[v+p^{i} r v^{2}, p^{j} v\right]$ for a unit $r=r^{\prime} / \lambda$ of $R$. Invertible $R$-column operations on

$$
\left(\begin{array}{cc}
1 & p^{j} \\
p^{i} r & 0
\end{array}\right)
$$

reduce to the case that $X \approx A\left(v+p^{i} r v^{2}, p^{i+j} v^{2}\right)$. However, $Q^{*}=Q(u)=Q(v)$ with $v^{3}=\lambda^{2}$, a unit of $R$. Thus, Lemma 2.2 , with $u$ replaced by $v$, is true. The argument of the first case then shows that $X \approx A\left[v, p^{t} v^{2}\right]$ for some $t$. Hence, by Lemma 2.2, $X \approx A\left[u^{2}, p^{t} \lambda u\right] \approx A\left[u^{2}, p^{t} u\right] \approx A\left[p^{t} u, u^{2}\right] \approx$ $A\left[u, p^{t} u^{2}\right]$, as desired.

For finite rank torsion-free $R$-modules $G$ and $H$, define $S_{G}(H)$ to be the
image of the evaluation map $\operatorname{Hom}(G, H) \otimes_{R} G \rightarrow H$. Fundamental properties of $S_{G}(-)$ are given in [A2, Chapter 5] for torsion-free abelian groups of finite rank.
Proposition 2.4. (a) If $A\left[u, p^{j} u^{2}\right] \approx A\left[u, p^{j} u^{2}\right]$, then $i=j$.
(b) There are embeddings $A\left[u, p^{i} u^{2}\right] \rightarrow A\left[u, p^{i-1} u^{2}\right]$ and $A\left[u, p^{i-1} u^{2}\right] \rightarrow$ $A\left[u, p^{i} u^{2}\right]$. In each case the image has index $p$.
(c) If $G$ and $H$ are indecomposable with p-rank 2 and rank 3, then $S_{G}(H)=$ $H$.
Proof. (a) can be proven as in [Z, Proposition 16] for the case $i=0, j=1$. We outline an alternate proof that avoids matrix invariants. An $R$-isomorphism $\phi: A=A\left[u, p^{i} u^{2}\right] \rightarrow B=A\left[u, p^{j} u^{2}\right]$ lifts to an $R^{*}$-isomorphism of completions $\phi^{*}: A^{*}=R^{*} \oplus R^{*} \rightarrow B^{*}=R^{*} \oplus R^{*}$. Since $\phi\left(u, p^{i} u^{2}\right) \in B$ and $\phi^{-1}\left(u, p^{j} u^{2}\right) \in A$, it follows from a computation of $p$-heights that $i=j$.
(b) There is a monomorphism $f: A\left[u, p^{i-1} u^{2}\right] \rightarrow A\left[u, p^{i} u^{2}\right]$ induced by an $R^{*}$-endomorphism $\phi$ of $R^{*} \oplus R^{*}$ with $\phi(1,0)=(1,0)$ and $\phi(0,1)=(0, p)$. Moreover, there is a monomorphism $f^{\prime}: A\left[u, p^{i} u^{2}\right] \rightarrow A\left[u, p^{i-1} u^{2}\right]$ induced by $\phi^{\prime}(1,0)=(p, 0)$ and $\phi^{\prime}(0,1)=(0,1)$. Note that $f f^{\prime}=p$ and $f^{\prime} f=p$. Hence, if $H_{i}=A\left[u, p^{i} u^{2}\right]$, then $p H_{i}$ is contained in image $f$. But $p$-rank $H_{i}=2$ and $H_{i}$ is not isomorphic to $H_{i-1}$ by (b). It follows that $H_{i} /$ image $f \approx$ $R / p R$. Similarly, $H_{i-1} /$ image $f^{\prime} \approx R / p R$.
(c) For $i \geq 1$ and for $\phi^{\prime}$ and $\phi$ defined as in the proof of (b), there is $g$ : $A\left[p^{i-1} u, u^{2}\right] \rightarrow A\left[p^{i} u, u^{2}\right]$ induced by $\phi^{\prime}$ and $g^{\prime}: A\left[p^{i} u, u^{2}\right] \rightarrow A\left[p^{i-1} u, u^{2}\right]$ induced by $\phi$ with $g g^{\prime}=p$ and $g^{\prime} g=p$. It now follows that if $G_{i}=$ $A\left[p^{i} u, u^{2}\right]$, then $G_{i} /$ image $g \approx R / p R \approx G_{i-1} /$ image $g^{\prime}$.

In view of Theorem 2.3, it is sufficient to show that

$$
f \oplus \delta_{i} g \delta_{i-1}^{-1}: H_{i-1} \oplus H_{i-1} \rightarrow H_{i} \quad \text { and } \quad f^{\prime} \oplus \delta_{i-1} g^{\prime} \delta_{i}^{-1}: H_{i} \oplus H_{i} \rightarrow H_{i-1}
$$

are onto, for $\delta_{i}$ the isomorphism $G_{i}=A\left[p^{i} u, u^{2}\right] \rightarrow A\left[u, p^{i} u^{2}\right]=H_{i}$ given in Lemma 2.2(b). Assume that $f \oplus \delta_{i} g \delta_{i-1}^{-1}$ is not onto. Since $H_{i} / p H_{i} \approx R / p R \oplus$ $R / p R$ and $p H_{i}$ is properly contained in both the image of $f$ and the image of $\delta_{i} g \delta_{i-1}^{-1}$, it follows that image $f=$ image $\delta_{i} g \delta_{i-1}^{-1}$. Hence, $f \delta_{i-1}\left(G_{i-1}\right)=$ $\delta_{i} g\left(G_{i-1}\right)$. But this is a contradiction, as can be seen by observing that $f$ is a restriction of $\phi$ and $g$ is a restriction of $\phi^{\prime}$. The proof that $f^{\prime} \oplus \delta^{-1} g^{\prime}$ is onto is analogous.
Lemma 2.5. Assume that $X$ is a finite rank $R$-module with submodule $K$ such that $A=X / K \approx A[u]$ or $A\left[u, p^{i} u^{2}\right]$ for some $i \geq 0$. If $S_{A}(X)=X$, then $K$ is a summand of $X$.
Proof. It suffices to prove that $\operatorname{End}(A[u])$ and $\operatorname{End}\left(A\left[u, p^{i} u^{2}\right]\right)$ are commutative. This is a consequence of [AR2, Theorems 5.6 and 5.8] as the abelian group proof therein carries over to modules over discrete valuation rings. Recall that $A[u]$ has $p$-rank 1 and is reduced. Hence its completion is isomorphic to $R^{*}$. In particular, $\operatorname{End}(A[u])$ is isomorphic to a subring of $R^{*}$. Moreover, $A\left[u, p^{i} u^{2}\right]$ is quasi-isomorphic to $A\left[u, u^{2}\right]$ which is the dual of $R^{*}$, as noted above. Thus, $Q \operatorname{End}\left(A\left[u, p^{i} u^{2}\right]\right)=Q \operatorname{End}\left(A\left[u, u^{2}\right]\right)=Q \operatorname{End}\left(R^{*}\right)=Q R^{*}$. It follows that $\operatorname{End}\left(A\left[u, p^{i} u^{2}\right]\right)$ is commutative.

Theorem 2.6. If $X$ is a finite rank $R$-module, then $X$ is the direct sum of modules of rank $\leq 3$.

Proof. Choose pure strongly indecomposable submodules $X_{i}$ of $X$ with $X /\left(X_{1} \oplus \cdots \oplus X_{m}\right) p^{k}$-bounded. Each $X_{j}$ is isomorphic to $R, R^{*}, Q, A[u]$, or $A\left[u, p^{r} u^{2}\right]$ for some $r \geq 0$ by Lady's theorem, Lemma 2.1, and Theorem 2.3. If $X_{i}$ is isomorphic to the pure injective module $R^{*}$ or $Q$, then $X_{i}$ is a summand of $X$. Moreover, if $X_{i} \approx R$, then $X$ has a cyclic summand, since $X$ modulo the pure submodule generated by $\left\{X_{j}: j \neq i\right\}$ is isomorphic to $R$.

We may now assume that each $X_{j}$ is isomorphic to $A[u]$ of some $A\left[u, p^{i} u^{2}\right]$. By induction on rank $X$ and $\left|X /\left(X_{1} \oplus \cdots \oplus X_{m}\right)\right|$, it suffices to further assume that $X /\left(X_{1} \oplus \cdots \oplus X_{m}\right) \approx R / p R$ and prove that $X$ has a summand of rank $\leq 3$. Write $X=\left(X_{1} \oplus \cdots \oplus X_{m}\right)+R\left(x_{1}+\cdots+x_{m}\right) / p$. Let $K$ be the pure submodule of $X$ generated by $\left\{X_{j}: j \neq 1\right\}$ and $A=X / K$, quasi-isomorphic to $X_{1}$. Then $A$ has $p$-rank 1 , rank 2 or $p$-rank 2 , rank 3 and has no free summands, being quasi-isomorphic to a strongly indecomposable $X_{1}$. Hence, $A$ is indecomposable [A1, Proposition 4.1].

It is now sufficient to prove that $S_{A}(X)=X$, in which case $X$ has a summand isomorphic to $A$ of rank $\leq 3$ by Lemma 2.5. There is some $Y=X_{i}$, say $i=1$, with $S_{Y}\left(X_{j}\right)=X_{j}$ for each $j$. This follows from the natural exact sequence $A\left[u, p^{r} u^{2}\right] \rightarrow A[u] \rightarrow 0$, Proposition 2.4(c), and the assumption that each $X_{j} \approx A[u]$ or $A\left[u, p^{r} u^{2}\right]$. Moreover, for $A=X / K \approx X_{1}+R\left(x_{1} / p\right)$, $S_{A}\left(X_{j}\right)=X_{j}$ for each $j$, again by Proposition 2.4(c) or Lemma 2.1 and the fact that $A$ is indecomposable.

Write $X_{i}^{\prime}=p X_{i}+R x_{i}$, an indecomposable module for the same reason that $A$ is. For each $i$, there is $y_{i} \in A$, a unit $r_{i}$ of $R$, and $f_{i}: A \rightarrow X_{i}^{\prime}$ with $f_{i}\left(y_{i}\right) \equiv r_{i} x_{i}\left(\bmod p X_{i}\right)$. This is because if $X_{i}^{\prime}=S_{A}\left(X_{i}^{\prime}\right)$ is contained in $p X_{i}$, then $x_{i} \in p X_{i}$ and letting $r_{i}=1$ will do. Note, for future reference, that we may as well assume that $f_{i}\left(y_{i}\right) \equiv x_{i}\left(\bmod p X_{i}\right)$. To see this, choose a unit $s_{i}$ of $R$ with $1=r_{i} s_{i}+p t_{i}, t_{i} \in R$. Then $s_{i} f_{i}\left(y_{i}\right) \equiv x_{i}\left(\bmod p X_{i}\right)$, as desired.

We begin with the case $m=2$ and find $x \in A$ and $g_{i}: A \rightarrow X_{i}^{\prime}$ with $g_{1}(x) \equiv$ $x_{1}\left(\bmod p X_{1}\right)$ and $g_{2}(x) \equiv x_{2}\left(\bmod p X_{2}\right)$. If either $f_{1}\left(y_{2}\right) \equiv s_{1} x_{1}\left(\bmod p X_{1}\right)$ or $f_{2}\left(y_{1}\right) \equiv s_{2} x_{2}\left(\bmod p X_{2}\right)$ for units $s_{1}, s_{2}$ of $R$, then let $x=y_{2}$, respectively, $x=y_{1}$. Otherwise, $f_{1}\left(y_{2}\right) \in p X_{1}$ and $f_{2}\left(y_{1}\right) \in p X_{2}$. In this case, let $x=$ $y_{1}+y_{2}$. In any case, there are units $t_{i}$ of $R$ with $f_{i}(x) \equiv t_{i} x_{i}\left(\bmod p X_{i}\right)$. As above, choose $g_{i}$ to be an appropriate $R$-unit multiple of $f_{i}$.

Next let $A^{\prime}=p A+R x$, an indecomposable submodule of $A$ for the same reason that $A$ is indecomposable. Restriction induces a well-defined $\phi=g_{1} \oplus$ $g_{2}: A^{\prime} \rightarrow p X=p X_{1} \oplus p X_{2}+R\left(x_{1} \oplus x_{2}\right)$ with $\phi(x) \in\left(x_{1} \oplus x_{2}\right)+p X_{1} \oplus p X_{2}$. Since $S_{A}\left(A^{\prime}\right)=A^{\prime}$ by Proposition 2.4(c) and $S_{A}\left(X_{i}\right)=X_{i}$ for each $i$, it follows that $S_{A}(p X)=p X$ and so $S_{A}(X)=X$. This completes the proof for $m=2$.

We illustrate an induction argument with $m=3$. From the $m=2$ case $S_{A}\left(X_{12^{\prime}}\right)=X_{12^{\prime}}$ for $X_{12^{\prime}}=p X_{1} \oplus p X_{2}+R\left(x_{1} \oplus x_{2}\right)$. Consequently, there is $x \in A$ and $g_{12}: A \rightarrow X_{12^{\prime}}$ with $g_{12}(x) \equiv\left(x_{1} \oplus x_{2}\right)\left(\bmod p X_{1} \oplus p X_{2}\right)$. Otherwise, $x_{1} \oplus x_{2} \in S_{A}\left(X_{12^{\prime}}\right)=X_{12^{\prime}}$ is contained in $p X_{1} \oplus p X_{2}$. Recall that there is $y_{3}=A$ and $f_{3}: A \rightarrow X_{i}^{\prime}$ with $f_{3}\left(y_{3}\right) \equiv x_{3}\left(\bmod p X_{3}\right)$. If $f_{3}(x) \equiv s_{3} x_{3}\left(\bmod p X_{3}\right)$ for some unit $s_{3}$ of $R$, then let $a=x$. If $g_{12}\left(y_{3}\right) \equiv s\left(x_{1} \oplus x_{2}\right)\left(\bmod p X_{1} \oplus p X_{2}\right)$ for some unit $s$ of $R$, then let $a=y_{3}$. Otherwise, let $a=x+y_{3}$. It follows that $a \in A$ with $g_{12}(a) \equiv r\left(x_{1} \oplus x_{2}\right)\left(\bmod p X_{1} \oplus p X_{2}\right)$ and $f_{3}(a) \equiv r_{3} x_{3}\left(\bmod p X_{3}\right)$ for units $r$ and $r_{3}$ of $R$. As in the $m=2$ case, we may assume that $r=$ $r_{3}=1$ and construct $\phi: A^{\prime}=p A+R a \rightarrow p X$ with $\phi(a) \equiv\left(x_{1} \oplus x_{2} \oplus x_{3}\right)$
$\left(\bmod p X_{1} \oplus p X_{2} \oplus p X_{3}\right)$. It follows, as above, that $S_{A}(X)=X$.
The proof is concluded by an induction on $m$; the argument for passing from $m$ to $m+1$ is analogous to that of the preceding paragraph.
3. Indecomposables for $\left[Q^{*}: Q\right]=n \geq 4$

The following are examples showing that $\operatorname{fr}(R)=\infty$ for $\left[Q^{*}: Q\right]=n \geq$ 4. The detailed computations needed to verify that the modules are actually strongly indecomposable are omitted.
Example 3.1. Assume $n \geq 4$. Given $m \geq 2$, there is a strongly indecomposable $R$-module with $p$-rank $m$ and rank $2 m$.
Proof. Case I: char $Q^{*} \geq 5$. Since $Q^{*}$ is purely inseparable over $Q$, there is $u \in R^{*}$ such that $1, u, u^{2}, u^{3}$, and $u^{4}$ are $Q$-independent. Let $M$ be an $m \times m$ simple Jordan block $R$-matrix, i.e., the diagonal elements of $M$ are a fixed unit $\lambda$ of $R$, the super diagonal elements are all 1 's, and the remaining entries are 0 . Define $X=A[\Gamma]=\left(R^{*}\right)^{m} \cap\left(Q^{m} \oplus Q^{m} \Gamma\right)$, where $\Gamma=u M+u^{2} I_{m}$, and $R$-module with $p$-rank $m$ and rank $2 m$.

It can be shown that $\operatorname{End}(X)$ is represented by the set of $2 m \times 2 m R$ matrices $\left(\begin{array}{cc}\Pi & 0 \\ 0 & \Pi\end{array}\right)$ with $\Pi M=M \Pi$. This can be seen by equating $Q$-coefficients $1, u, u^{2}, u^{3}$, and $u^{4}$. Consequently, $Q \operatorname{End}(X) \approx Q[M] \approx Q[x] /\left\langle(x-\lambda)^{m}\right\rangle$ is a ring with no nontrivial idempotents, whence $X$ is strongly indecomposable.

Case II: char $Q^{*}=3$. If there is $u \in R^{*}$ with $1, u, u^{2}, u^{3}$, and $u^{4} Q$ independent, the construction of Case I suffices. Otherwise, there are $u, v \in R^{*}$ with $u^{3}, v^{3} \in R$ and $1, u, v, u^{2} v, v^{2} u, u^{2} v^{2}, u^{2}$, and $v^{2}$ are $Q$-independent. Choose $M$ as in Case $I$, and define $X=A[\Gamma]$ for $\Gamma=u M+v I$. An argument similar to that of Case I shows that $Q \operatorname{End}(X) \approx Q[X] /\left\langle(x-\lambda)^{m}\right\rangle$ and $X$ is strongly indecomposable.

Case III: char $Q^{*}=2$. We are left with two possibilities not covered in Case I: there is $u \in R^{*}$ with $1, u, u^{2}$, and $u^{3} Q$-independent and $u^{4} \in R$, or there is $u, v \in R^{*}$ with $u^{2}, v^{2} \in R$ and $\{1, u, v, u v\} \quad Q$-independent. In the first case, define $X=A[\Gamma]$ for $\Gamma=u M+u^{3} I$. An argument similar to that of Case I shows that $X$ is strongly indecomposable.

For the second case, define $X=A[\Gamma]$ for $\Gamma=u M+v I$. Once again, it can be shown that $Q \operatorname{End}(X)$ has no nontrivial idempotents, but the argument is slightly more complicated than the previous cases.

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