# **Industrial Applications of Pulsed Power Technology**

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### **ABSTRACT**

A review of mainly the past two years is undertaken of the industrial applications of pulsed power. Repetitively operated pulsed power generators with a moderate peak power have been developed for industrial applications. These generators are reliable and have low maintenance. Development of the pulsed power generators helps promote industrial applications of pulsed power for such things as food processing, medical treatment, water treatment, exhaust gas treatment, ozone generation, engine ignition, ion implantation and others. Here, industrial applications of pulsed power are classified by application for biological effects, for pulsed streamer discharges in gases, for pulsed discharges in liquid or liquid-mixture, and for material processing.

Index Terms — Pulsed power, industrial application, bioelectrics, exhaust gas treatment, discharge in liquid, material processing.

### 1 INTRODUCTION

# **PULSED** power technology has mainly been developed for nuclear fusion studies and for military defense applications. For these studies, pulsed power generators have been developed with extremely high peak power and typically single shot operation. Recently, repetitively operated pulsed power generators with a moderate peak power have been developed. These generators are compact, reliable, low maintenance, and have high reproducibility. Many studies of industrial applications of pulsed power, such as food processing, medical treatment, water treatment, exhaust gas treatment, ozone generation, engine ignition, ion implantation and others, came about with the development of such a pulsed power generator.

In this paper, a review of mainly the past two years is undertaken of pulsed power generators and industrial applications of pulsed power. Discharge switches have been typically used in pulsed power generators with extremely high peak power. Power semiconductors and magnetic switches are commonly used in pulsed power generators for industrial applications. Various pulse power generators have been developed for each industrial application for which they are suitable [1-12]. Here, industrial applications of pulsed power are classified by application for biological effects [13-22], for pulsed streamer discharges in gases [23-58], for pulsed discharges in liquid or liquid-mixture [59-79], and for material processing [80-119].

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### 2 PULSED POWER GENERATORS

Many conventional pulsed power generators have been using discharge switches with a capacitor discharge circuit. These generators are based on single shot or low repetition rate operation and generate extremely high peak power. On the other hand, repetitively operated pulsed power generators with a moderate peak power have been developed for industrial applications. Also, a compact pulsed power system is required [1].

Recently, power semiconductor device technologies have improved the performance of fast and high-power switching devices. Semiconductor switches are used with the assistance of magnetic switches (for example, S1 and Ls in Figure 1) because the switches are not capable of driving typical generators directly. Repetitively operated generators consist of semiconductor switches, step-up pulse transformers, and magnetic switches. Here, recent progress of all solid-state pulsed power generators is reviewed with particular emphasis on industrial applications of pulsed power generator using semiconductor and magnetic switches.

Research and development on practical industrial applications of repetitive pulsed power generators have focused on microlithography light sources for a long time. In particular, an excimer laser and a high energy density plasma (extreme ultraviolet source) [2], which are used in semiconductor fabrication, require a high repetition rate, high

stability, and a long lifetime. Therefore, most of the light sources for microlithography have been using an all solid-state pulsed power generator with a semiconductor switch and a magnetic switch for their driver [3]. It is necessary to evaluate the losses of the capacitor and magnetic switch core material [4-5]. The capacitor charging or discharging losses are related to the dissipation factor, the energy transfer per pulse, and the pulse repetition rate. Since new magnetic materials like nanocrystalline alloy is recently available, the optimal selection of magnetic switches for each compression stage is important.

Applications for environmental fields involving the decomposition of harmful gases, generation of ozone, and water treatment by discharge plasmas in water utilizing pulsed power discharges have been studied [3,6-9]. In these applications, repetitive operation and long lifetime are also necessary for pulsed power generators. The circuit of a magnetic pulse compressor (MPC) for treatment of pollutants in heterogeneous media is shown in Figure 1 [10]. The specifications of the primary switch S1 is shown in Table 1. The basic parameters are shown in Table 2. One magnetic pulse compression stage suffices to produce 60 kV, 3 J pulses with a 15 ns risetime, 100 ns duration, and 500 Hz repetition frequency across a reactor that has a discharge impedance of approximately  $100 \ \Omega$ .

Table 1. The specifications of the primary switch S1 [10].

| Item                       | Value         |  |
|----------------------------|---------------|--|
| Maximum charge voltage     | 9 kVDC        |  |
| Blocking voltage           | 13.5 kV       |  |
| Peak current               | 2.5 kA        |  |
| Maximum turn-off current   | < 10 A        |  |
| Pulse duration             | 1.5 µs        |  |
| di/dt                      | 6 kA/μs       |  |
| Pulse repetition frequency | up to 1.3 kHz |  |

Table 2. The basic parameters of the high voltage output [10].

| Item                                       | Value                       |  |
|--|-----------------------------|--|
| Average power                              | 3-5 kW                      |  |
| Maximum voltage at 100 Ω (equivalent load) | 60 kV                       |  |
| Risetime                                   | 15-20 ns                    |  |
| Pulse width                                | 100 ns                      |  |
| Pulse repetition frequency                 | up to 1 kHz<br>(3-5 J/shot) |  |

Pulsed electric field (PEF) generation is a promising technology for non-thermal disinfection of water. A typical pulsed power modulator circuit is shown in Figure 2 [11]. The step-up pulse transformer increases the peak voltage to a maximum of 20 kV at a repetition rate of 2 kHz.

Another application involves biological cell response for nanosecond pulsed electric field exposures [12]. The charging of the cell membranes in response to ultra short pulsed high electric fields was studied. The experimental setup is shown in Figure 3. A fast semiconductor switch (MOSFET) was chosen as a switch in a Blumlein line pulse generator. The voltage applied to the electrodes is 1kV, corresponding to a maximum electric field of 100~kV/cm in the  $100~\mu m$  gap. The pulse duration is 60~ns with a rise- and fall- time of about 2-5 ns.

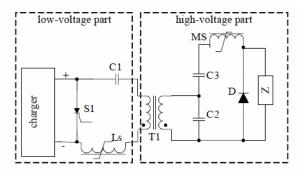


Figure 1. The circuit of a magnetic pulse compressor (MPC) for treatment of pollutants [10].

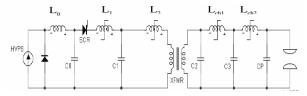


Figure 2. A typical pulsed power modulator circuit for water sterilization [11].

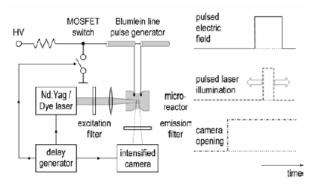


Figure 3. Experimental setup and diagnostic principle [12].

### 3 BIOLOGICAL APPLICATIONS

Industrial fields using biological effects of pulsed power technologies can be categorized as decontamination of air and water, crop growth, food processing, and medical treatment. Types of pulsed power that have biological effects are gas discharges, water discharges, and electromagnetic fields. The discharges yield free radicals, UV radiation, and shock waves. The electromagnetic field yields electroporation of the cell membrane or influences the cell nuclei. Biological applications of pulsed power are performed by selecting the type that gives the target objects the adequate result from among these agents or byproducts.

Gas discharges are selected for sterilization of Escherichia coli and other bacteria for decontamination of air. A pulsed streamer discharge that is generated at a wire or pin electrodes has been used for such purposes. A diffuse pulsed discharge at atmosphere that is used for excitation of pulsed lasers was studied for sterilization by Shcolnikov et al [13]. The pulsed voltage is able to make a diffuse discharge without a spark discharge. The diffuse discharge is useful for covering a large discharge area for making free radicals. Shcolnikov used three electrodes to obtain the diffuse discharge at atmospheric pressure.

Water discharges and pulsed electromagnetic fields are selected for sterilization or inactivation of bacteria in water decontamination. A streamer discharge is usually used in water. The streamer discharge can generate free radicals, UV radiation, strong electric field and shock waves. These agents have been shown to effectively sterilize bacteria. Additionally, Baroch et al used spark discharges to degrade Escherichia coli and staphylococcus aureus [14].

Z. Li et al studied inactivation of cyanobacteria in water by using streamer discharges [15]. A voltage of 160 kV with a pulse width of 2  $\mu$ s was used to generate the streamer discharge. Only a single discharge made M. aeruginosa sink to the bottom of chamber. They concluded that the dominant agents for inactivation of cyanobacteria are shockwave and the discharge current.

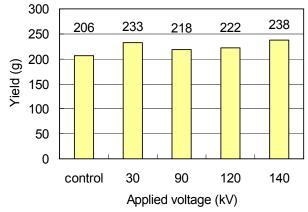
For decontamination of water, a three phase method of gas, liquid, and solid was proposed by R. Zhang et al [16]. The reactor was a packed-bed type with a barrier electrode. The water inlet and outlet were set at the upper and lower sides, respectively. Conversely, the gas inlet and outlet were set at lower and upper sides, respectively. The three-phase discharge was then performed to sterilize Escherichia coli.

For biological applications of pulsed electromagnetic field, electroporation is usually used to sterilize bacteria. This technique is commonly applied for sterilization in food processing. El-Hag et al investigated inactivation of microorganisms naturally contaminated in orange juice [17]. The naturally contaminated microorganisms in orange juice are more difficult to inactivate by PEF than added unnatural microorganisms. They showed that the reduction ratio of natural microorganisms increased by a synergistic effect between the PEF strength and temperature as shown in Table 3. The industrial-scale electroporation of sugar beat has been done in order to replace the thermal denaturation process [18].

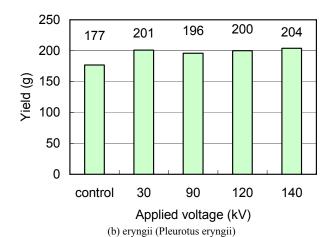
For crop growth, gas discharges were used for cultivation of mushrooms by Tsukamoto et al [19]. They used a spark discharge applied to sawdust pots used for planting fungus. The group with the applied spark discharge had a twofold gain of Shiitake (*Lentinula edodes*) mushrooms. Other mushrooms were also investigated by applying a spark discharge. Those were buna-shimeji (*Hypsizygus marmoreus*) mushroom and eringi (*Pleurotus eryngii*) mushroom. The crops of those mushrooms increased 15 % by applying the spark discharge as shown in Figure 4.

**Table 3.** Effect of temperature rise on the reduction of microorganisms in contaminated orange juice [17].

| Temperature, °C                           | untreated | 23   | 45   |
|---|-----------|------|------|
| Electric field level, kV/cm               | untreated | 46.7 | 40.0 |
| Count on plate count agar, log cfu/mL     | 7.91      | 6.37 | 6.20 |
| Count on potato dextrose agar, log cfu/mL | 7.01      | 5.70 | 4.84 |



(a) buna-shimeji (Hypsizygus marmoreus)



**Figure 4.** Effect of electrical stimulus on mushroom growth. "Control" means the case without a spark discharge [19].

For medical treatments, electromagnetic field has attracted attention for cancer treatment. Electroporation by pulsed power has been known for the medical application of gene manipulation since early times. Recently, many researchers have interests in using shorter pulse durations. The electrode microchamber with dimensions suitable for live mammalian cell has been studied [20]. Extremely short pulse electric fields or high frequency electric fields that are greater than 10 MHz can be applied to the nucleoplasm. Then apotosis of the cancer cell can be induced. Recently, many studies are being performed on the effect of a pulse electric field on a cancer cell. Nuccitelli et al applied a pulse electric field to melanomas in a mouse and showed that a nanosecond pulse electric field caused cell death of the melanomas in the mouse

[21]. The effects to the cell were also investigated by others. Nomura et al. investigated the effect of intense burst ac electric field on the cell [22]. They showed that a burst electric field of about 25 kHz on the cell caused electroporation. On the contrary, a burst electric field of about 50 MHz caused fragmentation or degradation of DNA within the cell.

# 4 TREATMENT OF EXHAUST GASES BY PULSED STREAMER DISCHARGE

Recently, nonthermal plasmas, in which the mean energy of the electrons is higher than that of the ions and the neutrals in gas, have been increasingly used to control harmful gases [23-26] and to generate ozone (O<sub>3</sub>) [27, 28]. Nonthermal plasmas have many kinds of chemically activate radicals, such as O, O<sub>3</sub>, N, N\*, N<sub>2</sub><sup>+</sup> and OH, which are generated by the dissociation and ionization of the ambient gases caused by the impact of energetic electrons. Using pulsed power technology, nonthermal plasmas have been generated by a pulsed electron beam [29] or a pulsed streamer discharge [30], and have been used to treat nitric oxides (NO<sub>x</sub>) [31-34], sulfur dioxide (SO<sub>2</sub>) [35-37], carbon dioxide (CO<sub>2</sub>) [38] and volatile organic compounds (VOCs) [39, 40], and to generate ozone [41-46]. Particularly, the treatment of exhaust gases (NO<sub>X</sub> and SO<sub>2</sub>) using a pulsed streamer discharge has been studied for the past decade. This section explains the present status.

After the industrial revolution, the consumption of fossil fuel energy increased rapidly and caused environmental pollution. As a result, it became very important to protect the environment and to develop technologies with less energy consumption and less pollutant exhaust. Figure 5 shows the typical experimental set-up for exhaust gas treatment by a pulsed streamer discharge [47]. Gas cylinders of nitrogen  $(N_2)$ , oxygen  $(O_2)$ ,  $N_2$  mixed with NO, and  $N_2$  mixed with  $SO_2$ and H<sub>2</sub>O are used to simulate the exhaust gases from a thermal power station, a diesel engine, and other sources. The typical electrode geometries of the discharge reactors are coaxial, line to plane, and point to plane. Generally, a magnetic pulse compressor, a multi-staged Blumlein line, and a simple capacitor discharge system are utilized as the pulsed power supply. Before and after the discharge treatment, the concentrations of NO, NO<sub>2</sub> and SO<sub>2</sub> are measured using gas analysis instruments to investigate the removal ratio and removal energy efficiency of the pollutants. During the

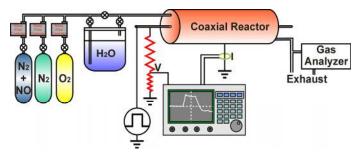


Figure 5. Experimental set-up for simulated gas treatment [47].

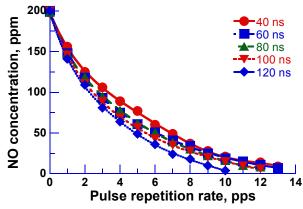


Figure 6. Concentrations of NO as a function of pulse repetition rate and for different pulse widths [47]. Pulse width: ● 40 ns, ■ 60 ns, □ 80 ns, ▲ 100 ns, ▼ 120 ns.

experiment, the applied voltage and discharge current into the reactor are monitored by oscilloscope via voltage and current probes to calculate the consumption energy.

Figure 6 shows the dependence of NO concentration on the pulse repetition rate for different pulse durations in an experiment with a three-staged Blumlein line pulsed power generator having a 300  $\Omega$  characteristic impedance, a simulated gas composition of 200 ppmNO/5%O<sub>2</sub>/4%H<sub>2</sub>O and balance of N<sub>2</sub>, gas temperature of 298 K, and a gas flow rate of 2.0 L/min at 273 K and 1.01 x 10<sup>5</sup> MPa [47]. Observe in Figure 6 that the concentration of NO decreases with increasing pulse repetition rate. This is because the radicals which react with NO increase with the repetition rate. It is also understood that the reduced molecules of NO gradually saturate with increasing pulse repetition rate. This saturation is caused by a decrease of the reaction rate between the radicals and NO at the higher NO removal ratio. These phenomena are generally observed in pollutant removal experiments. The key factors to decrease energy consumption to decompose pollutants are explained in the following sections.

### 4.1 PULSED DURATION

The energy efficiency for NO removal improves with shorter pulse duration [47]. From the streak image of a pulsed streamer discharge in a coaxial electrode geometry [48, 49], the propagation process of the pulsed streamer discharge is that the primary streamer initiates in the vicinity of the central rod electrode and then propagates toward the grounded cylinder electrode. When the primary streamers lose contact with the central rod electrode, secondary streamers initiate in the vicinity of the central rod electrode since the electric field at the central rod surface becomes high enough to generate streamers with the disappearance of the interaction between the electric fields of the central rod electrode and the primary streamers. The secondary streamers propagate toward the ground electrode with higher velocity than that of the primary streamers. This is because the secondary streamers move in the plasma channels already produced by the primary streamers. The secondary streamers, however,

propagating in the middle of the electrode gap because the electric field is insufficient to sustain ionization once the primary streamers reach the outer cylinder electrode. After full development of the primary streamers in the electrode gap, the discharge phase changes to a glow-like discharge in the plasma channel produced by the primary streamers.

The reason for the higher energy efficiency of NO removal with a shorter pulse duration is that the reactive radicals are generated more efficiently during the initial phase (during the primary streamer propagation) of the pulsed streamer discharge. Currently, nanosecond pulsed power generators having a maximum voltage of 100 kV and a duration of several ns have been developed to create pulsed streamer discharges consisted of only the primary streamer to reduce the energy consumption for NO removal [50-52].

### 4.2 CHEMICAL ADDITIVE

Chemical additives, such as ammonia, propene, and others, are often used to cause a chain reaction for pollutant decomposition in a nonthermal plasma. In studies, the chemical additives are injected at the inlet of a discharge reactor. Figure 7 shows the dependence of NO removal ratio on the input energy density [53]. It is found from Fig. 7 that the addition of propene improves the NO removal ratio for the same input energy density. The NO removal ratio increased from 60 % to 80 % at 3 Wh/Nm<sup>3</sup>. It is also reported that the addition of methyl alcohol, ethyl alcohol, 1-propanol, Butyl alcohol, pentyl alcohol, pentane, hexane, heptane, octane, ethylene or propylene has a similar positive influence on the energy efficiency of NO removal [54, 55]. Ammonia as an additive also reduces the consumption energy to remove NO [56]. For ammonia addition, it is noted that the aerosols of the ammonia salts of ammonium nitrate and cupric ammonium nitrate were generated as byproducts.

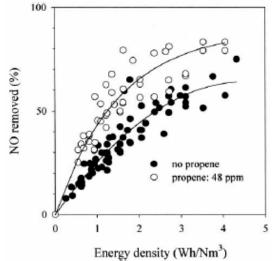
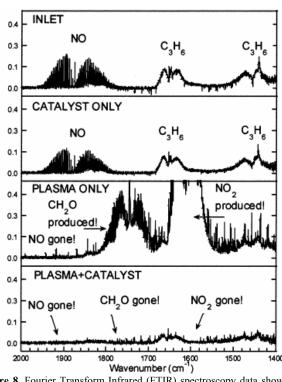


Figure 7. NO removal ratio as a function of input energy density [53].

### 4.3 CATALYST

For NO removal by nonthermal plasma, most of the NO are oxidized into nitric dioxide (NO<sub>2</sub>) and therefore the removal

ratio of total nitric oxides (NO<sub>X</sub>=NO+NO<sub>2</sub>) is quite low. However, it is well known that NO<sub>2</sub> reacts with hydrocarbons on a catalyst surface and is de-oxidized to N<sub>2</sub>. Figure 8 shows the gas composition (FT-IR spectra), which shows the effect of a catalyst only, plasma only, and plasma plus catalyst combination on NO<sub>x</sub> and hydrocarbons [57]. In this case, propene is used as the hydrocarbon reactant. From the figure of the catalyst only, there is no change in gas composition by only passing the catalyst. For plasma only, all NO is oxidized into NO<sub>2</sub> and part of the propene is oxidized into formaldehyde. For the combination of plasma and catalyst, NO<sub>2</sub> oxidized by the discharge passes the catalyst with the hydrocarbon, and NO<sub>x</sub> and the hydrocarbon are perfectly treated. In addition, it has recently been reported that a nonthermal plasma can enhance the performance of selective catalytic reduction at relatively lower temperatures than the normal working temperature [58].



**Figure 8.** Fourier Transform Infrared (FTIR) spectroscopy data showing the effect of catalyst, plasma, and plasma plus catalyst combination on  $NO_X$  and hydrocarbons [57].

### 5 PULSED DISCHARGES IN LIQUID-MIXTURE

Industrial applications using pulsed discharges in liquid or liquid-mixture are described here, excluding biological- and medical-related applications. Additionally, applications for pulsed power systems such as switches and insulation are described.

The most typical application of pulsed discharges in liquid or liquid-mixture is water treatment. The objects of treatment are in various fields, such as for waterworks, sewage, river, lake and industrial drainage. The characteristics of a pulsed discharge plasma in water have been investigated by T. Namihira, et al.[59]. They designed a maintenance-free pulse generator using magnetic pulse compression to produce discharges in water as shown in Figure 9. The temperature and electron density of the plasma were calculated to be 15,000 K and 10<sup>18</sup> /cm<sup>3</sup>, respectively. Kadowaki, et al studied the initiation and propagation of streamer discharges by reciprocal voltage pulse trains [60]. It was found that OH radicals were produced in the experiment. Choi et al have been developing a pulsed power generator by using EMTP simulation to produce discharges in water [61]. The generator consists of a magnetic pulse compressor (MPC) and a Blumlein-type pulse forming network (BPFN).

Using discharges in gas with droplets of water or in an aerosol as well as in water have been suggested for water treatment. Minamitani et al [62] effectively decomposed the dye indigo carmine by pulsed corona discharges in gas with a water droplets spray. The pulsed corona was produced in a coaxial reactor consisting of wire-cylinder electrodes, and the droplets with indigo carmine were sprayed axially. High removal rates of phenol were achieved by Pokryvailo et al, using an aerosol reactor and a corona above the water (CAW) [59]. Their best results were 33% conversion with a yield of 200 g/kWh using four CAW modules and 99% conversion with a yield of 18 g/kWh using an aerosol reactor (Figure 10). They considered the system for water treatment and demonstrated reliable all-solid-state compact nanosecond pulsers.

There are also applications of water treatment using pulsed electric fields. Treatment systems for continuous flowing water by pulsed electric field (PEF) have been designed by two groups; Gaudreau et al [64], and Narsetti et al [11].

Acoustic phenomena such as a shockwave also occur with discharges in liquid. Mackersie et al studied high-power ultrasound (HPU) generation by spark discharges in water [65]. The formation and collapse of bubbles generated by the electric spark in water were discussed, and the effects from HPU output were investigated. They concluded that maximizing the source dimension is more advantageous than increasing the applied pulse energy. Sunka et al developed an apparatus to generate two successive shock waves focusing on a common focal point for medical application [66].

Ignition in engines is not only one of the traditional applications of spark discharges but an application for discharges in a gas-liquid mixture. Wang et al applied pulsed power technique to the ignition in engine [67]. Nanosecond transient plasmas have been applied to the ignition of hydrocarbon-air mixtures under quiescent and dynamic fill conditions and within combustion chamber geometries.

Pulsed electrical breakdown phenomena in liquid have also been applied to many fields including high-voltage switching, high-voltage insulation, and energy storage, though they are not direct industrial applications. Typical characteristics of liquids are high electric field strength and/or a large dielectric constant. Wetz et al investigated the effects of surface conditioning, field enhancements, and charge injection on pulsed breakdown strength of water [68, 69]. Dielectric strength of sub-millimeter water gaps using microsecond and sub-microsecond voltage pulses was experimentally studied by Lu et al [70] and was simulated by Qian et al [71].

Development of the switches using water as medium is in full development. The switches allow for compact generators and it is possible to achieve a fast pulse rise time. Cassany and Voisin discussed reproducibility improvement of high voltage self-break water switches [72]. A 170 kV laser-triggered water switch was developed by Woodworth, et al [73]. They obtained Schlieren photographs of the laser-induced breakdown and considered the effect of a string of hot dense point plasmas formed with the laser, as shown in Figure 11. Qian et al studied self- and laser-triggered electrical breakdown of liquid water with numerical calculations [74].

Xiao et al has investigated the electrical breakdown and dielectric recovery of propylene carbonate as well as water [75, 76]. Other liquids can also be used as the medium of a switch or insulators and bring various features. Oil switches have been developed by Curry et al [77, 78]. Poly-a olefin synthetic oil was tested as a switching medium by Norgard et al [79].

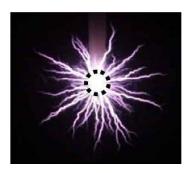


Figure 9. Typical still photograph of a discharge induced in water [59].

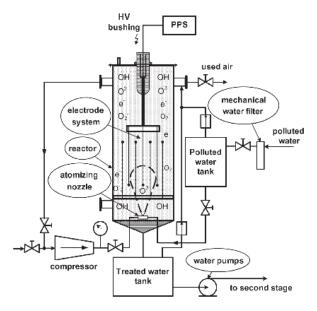
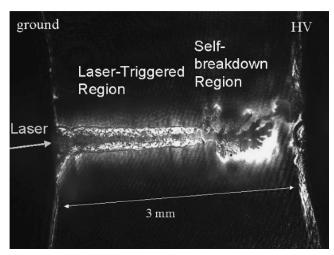


Figure 10. System for polluted water treatment [63].



**Figure 11.** Time-resolved Schlieren photograph of laser-induced breakdown in a 170 kV switch a few nanoseconds before breakdown [73].

### **6 MATERIAL PROCESSING**

Pulsed power technologies are utilized for some material processes. Plasma-based ion implantation and deposition (PBII&D or PBIID) is one of the modern technology employing pulsed power technique for surface treatment of complex shape materials. PBIID can now be considered a mature technology for surface modification and thin film deposition after pioneering work in the 1990s [80]. In this section, pulse power applications for material process are described focusing on the PBIID technologies. Other applications of the pulsed power technologies described in here are material ablation, surface heating (annealing) and new material synthesizing. Pulsed high-power lasers are employed to ablate solid materials as ion or neutral particle sources for new material synthesis and/or film depositions [81]. Pulsed high-power lasers and high-power microwaves are also used to heat material surfaces (annealing) [82]. Metal foil evaporation with pulsed large currents are used for synthesizing fine particles, ceramics joining, and plasma generation used as ion sources [83, 84]. These technologies are also described in this section as pulsed power applications.

## 6.1 PLASMA-BASED ION IMPLANTATION AND DEPOSITION

A conventional ion source for surface modification is comprised of a plasma generator and a means for ion extraction and acceleration [85]. Typical ion beam systems are characterized by having a preferred direction, the direction of ion beam propagation. As a consequence, ion beam treatment, like ion implantation, is a line-of-sight process. This is well-suited for planar substrates like wafers, but impractical for treatment of three-dimensional (3-D) objects because complicated substrate motion would be required to achieve uniformity.

Plasma-based ion implantation and deposition (PBIID) was originally developed as a revolutionary non line-of-sight

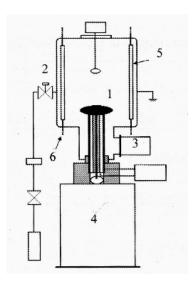
process by incorporating a three-dimensionally shaped target (substrate) in the ion acceleration scheme itself, rather than utilizing conventional ion extraction. The object to be treated was immersed in the plasma, and became a part of the ion source in a more general sense by biasing. Ion acceleration occurs in a dynamically self-adjusting sheath that forms around the biased target surface [80, 86].

In the plasma-based ion implantation (PBII) technique, substrates are immersed directly in the plasma and biased negatively with high-voltage pulses. The plasma conforms to and surrounds the substrate, of which the whole surface is implanted at the same time. PBII eliminates the intermediate stages such as beam extraction, focusing, and scanning, as well as substrate or wafer manipulation. PBII has many advantages [80] such as high ion current density, relatively short processing time (e.g., minuets), high dose rate (e.g.,  $10^{14} \, \mathrm{cm}^{-2} \, \mathrm{s}^{-1}$ ), wide ion energy range (up to about  $100 \, \mathrm{keV}$ ), large implant areas ( $100 \, \mathrm{s} \, \mathrm{s} \, \mathrm{o} \, \mathrm{cm}^2$ ) and treatment of 3-D work pieces with complex shapes, in comparison with conventional implantation.

The typical PBII system consists of a plasma chamber and negative bias pulse voltage generator as shown in Figure 12 [87]. Generally, plasmas are produced by direct current (dc) hot cathode (filaments), RF discharges sustained at 13.56 MHz, or electron cyclotron resonance (ECR) discharges at 2.45 GHz [88]. In Figure 12, the plasma was produced utilizing distributed electron cyclotron resonance (DECR) system which consists of 24 magnet bars for multi-polar magnetic field confinement and ECR resonance. The plasma reactor is 60 cm in diameter and 70 cm in height. The negative high-voltage of 10's of kV is applied to the substrate holder to extract ions from plasmas.

Typical voltage and current waveforms of the substrate holder are shown in Figure 13 [87]. When a rectangular high-voltage negative pulse is applied to a conducting substrate immersed in plasma, an ion sheath develops around the substrate. The ions are accelerated towards the substrate surface, where they are implanted. According to physical timescales, three different phases can be distinguished [88]. First, when the bias voltage is supplied instantaneously, electrons are repelled on the timescale of the inverse electron plasma frequency exposing a matrix of ions which are too massive to respond quickly. This phenomenon is called an ion matrix sheath. Second, on the slower timescale of the inverse ion plasma frequency the ions in the matrix sheath are accelerated toward the substrate and the ion current density reaches a sharp maximum. Third, on a longer time scale, the sheath and current density evolve toward a steady-state configuration.

A rectangular high-voltage negative pulse is generated by employing a pulse transformer, a stacked Blumlein line, or a pulse forming network [89-91]. For example, the circuit layout of a pulser using solid-state technology is shown in



**Figure 12.** Schematic design of a PBII facility using a DECR plasma excitation. (1) High-voltage substrate holder. (2) Gas inlet. (3) Pumping (4) High-voltage pulse generator 100 kV, 100 A using a pulse transformer. (5) 24 magnet bars for multipolar magnetic field confinement and ECR resonance condition. (6) 24 linear microwave applications running along the magnet bars. Plasma reactor is 60 cm in diameter and 70 cm high [87].

Figure 14 [89]. The circuit operation is based on a dc converter that uses an on-off switch to modulate the dc voltage. To increase the output voltage and provide isolation, the secondary windings of three step-up pulse transformers are connected in series. The modulator generated high-voltage negative pulses with an amplitude of about 4 kV, duration of 5 µs, rise time of 1 µs, maximum current of 2.0 A, and frequency of 5 kHz. Improvement in component lifetime is necessary to realize this system. Much work has focused on improvement of components made from stainless steel [92, 93], steel [94], titanium and aluminum alloy (Ti-6Al-4V) [94] and silicon wafer [95]. The kinetic energy of the ions is only needed to penetrate the surface layer of contamination or similar barrier, while the actual nitriding step is dominated by diffusion [94].

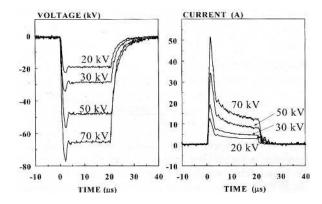
Ion implantation has a limited processing depth, and therefore coatings added to the portfolio of surface modifications which can be enhanced by the application of plasma-based processing. Typical classes of coating materials include metals, transition metal nitride, oxides, and various forms of diamond-like carbon.

Diamond-like carbon (DLC) materials are of great interested in applications where high hardness, chemical inertness and/or high temperature are required [96]. DLC can be deposited by various methods, for example, PBIID-type techniques [97-100]. PBIID is an especially effective technique for treating the inner surface of a cylinder (or tube), as shown in Figure 15 [100, 101]. Difficulty in the treatment of inner surfaces is the production of the plasma and the negative pulse bias of the inner surface [80].

There are also applications for biocompatible metals such as austenitic stainless steel, tantalum, niobium, cobalt chrome nickel alloys, titanium and titanium alloys [80, 103, 104]. Tian

et al used oxygen PBII to increase bioactivity, wear resistance, and corrosion resistance of titanium alloy (Ti-6Al-4V) [102]. Wan et al. used PBIID to fabricate silicon-oxynitride (Si-N-O) film on silicon wafers to increase blood compatibility [103].

Efforts to increase the plasma density have been made and produce a decrease in the sheath thickness in proportion to the inverse of the square root of the plasma density [80];



**Figure 13.** Typical voltage and current waveforms obtained with a 0.13 Pa nitrogen plasma using a 100 kV, 100A pulse transformer. Surface of the stainless steel substrate is 300 cm<sup>2</sup> [87].

$$l = \frac{2^{5/2} \varepsilon_0 V_0^{3/4}}{3 \exp(-1/4) e^{1/4} n_e^{1/2} (kT_e)^{1/4}} \approx \lambda_{De} \left(\frac{eV_0}{kT_e}\right)^{3/4}$$
(1)

where  $V_0$  is the negative potential of the substrate during the pulse, Te is the electron temperature, k is Boltzmann constant,  $\epsilon_0$  is the permittivity of free space,  $n_e$  is the electron density, and  $\lambda D_e$  the electron Debye length. Takeuchi et al used the theta pinch phenomena to increase plasma density [105]. High-density plasmas have the advantage not only of a thin sheath around the work piece, but also a high deposition rate of the fabricated film. Takaki et al used Lorenz force to accelerate an carbon plasma, produced using a shunting arc discharge scheme, toward the Si substrate holder as shown in Figure 16 [98]. This system achieved a deposition rate of 100 nm/min for an carbon film on a silicon wafer [106].

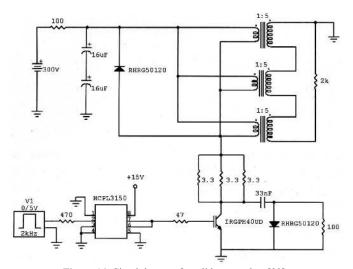


Figure 14. Circuit layout of a solid-state pulser [89].

# Vacuum chamber Gas diffusion cylinder Negative pulse

**Figure 15.** Schematic diagram of a PBIID system for inner surface modification of a cylinder tube [100].

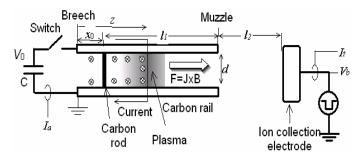


Figure 16. Schematic diagram of a magnetically driven carbon shunting arc system for PBIID [98].

### **6.2 ION SOURCE FOR MATERIAL PROCESS**

Ion source research is a remarkably active area from the need for various ion beams for material processes. Most ion sources are extracted from plasmas generated by various methods. Laser ion sources (LIS) are based on plasmas produced by a high-power laser beam focused on a solid target [81, 107]. Vacuum arc ion sources have evolved over the past 20 years into a standard laboratory tool for production of high current metal ions [108]. An electron beam is also utilized to generate plasmas for material processing [109].

Laser ion sources (LIS) are capable of delivering highly charged ions with high intensity and can be used for direct ion implantation of materials in order to modify the surface properties such as hardness, roughness, conductivity, and chemical reactivity. A typical LIS system for material application is shown in Figure 17 [110]. The high-power iodine laser of the Prague Asterix Laser System, emitting radiation with a 438 nm wavelength, 400 ps pulse duration and about 250 J maximum pulse energy, was employed to irradiate a germanium target in vacuum. Energetic Ge ions emitted from the laser-produced plasma were directly implanted into C substrates placed 30 cm from the target. The Ge implantation depth was analyzed to be about 150-750 nm [110].

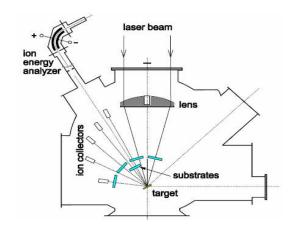
A characteristic of a vacuum arc (cathodic-vacuum arc) ion source is the production of high current beams of metal ions. The metal plasma is produced by the vacuum arc discharge. Ion beams have been produced for over 50 solid metals of the periodic table, with ion energies of up to several hundred keV and beam currents up to several amps. The source is usually a

repetitively operated electric pulse with a pulse width up to some milliseconds and duty cycles of 10% or more. The ions have low but multiple-ionized charge states. The mean charge state lies between 1 and 3, and the charge states can be increased in a number of different ways [108].

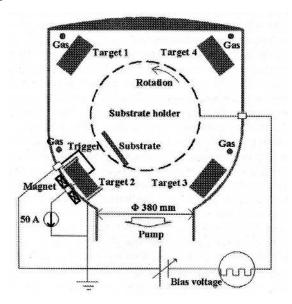
Since cathodic vacuum arc technology was used for modifying (coating) material surface by Thomas Edison in 1892 [111], the cathodic vacuum arc ion deposition technique has attracted great interest in the formation of various metal or alloy films, such as TiN, ZrN, CrN and AlN [112, 113]. Recently, the cathodic vacuum arc is used to prepare magnesium-oxide (MgOx) films for the protective layer of alternating-current plasma display panel (ac-PDP) [114]. For the preparation of MgOx films, Mg plate is employed as the target ablated by the cathodic vacuum arc in low pressure oxygen. The negative bias pulse voltage is applied on substrates for ion extraction as shown in Figure 18 [114].

A shunting arc discharge can be used as an ion source of metals and semi-metal materials, such as titanium, tungsten, silicon, and carbon, and is ignited without any trigger source at a wide range of gas pressures from vacuum to atmospheric pressure under identical discharge conditions [98, 115]. The shunting arc is ignited or triggered by self-heating of the rod to increase the vapor pressure and/or to emit thermoelectrons around a rod, as shown in Figure 19. The particles evaporated from the surface of the rod, as shown in

Figures 19a and 19b, increased the local gas pressure and reduced the breakdown field level around the rod which was at a lower ambient gas pressure than the Paschen minimum breakdown point. The rod heated by a large current also works as an electron emitter. The emitted electrons are accelerated toward the chamber wall, colliding with the gas species to make ions under middle or high gas pressure circumstances. Takaki et al. prepared amorphous carbon (a-C) films with a high deposition rate (100 nm/min) using a carbon shunting arc discharge as an ion source [116]. Yukimura et al. also prepared a-C films using a carbon shunting arc discharge in methane gas to control hydrogen content as shown in Figure 20 [117].



**Figure 17.** Schematic diagram of a laser ion source for implantation of Ge ions into C substrates [110].



**Figure 18.** Schematic diagram of a cathodic vacuum arc ion source for depositing MgOx films [114].

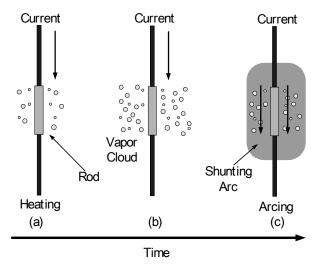
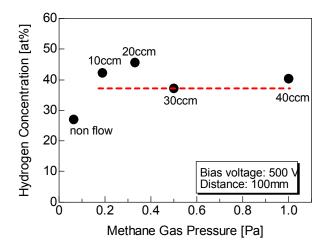


Figure 19. Schematics of the shunting arc ignition process [98].



**Figure 20.** Hydrogen contaminating concentration in the deposited carbon film as a function of ambient methane gas pressure [117].

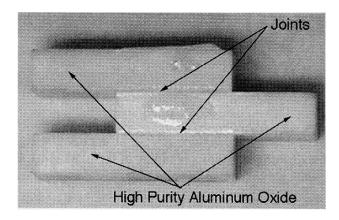
### **6.3 OTHER APPLICATIONS**

Pulsed power technologies can be used in other material processes, such as surface heating (annealing) by laser [118] or microwave irradiation, synthesis of nanocomposite powders [83], and joining of solid materials [119].

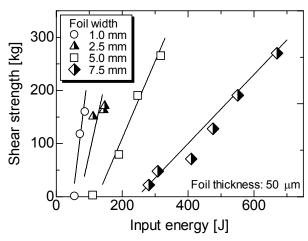
Energetic beams such as lasers are powerful tools to modify the surface of materials. Various types of laser such as ruby-, Nd:YAG-, Ti:sapphire-, excimer XeCl-, and CO<sub>2</sub>-laser have been employed for surface modification. For example, the surface temperature of TiN rises to 6,000 K within 20 ns by irradiation using a XeCl laser (2.4 J/cm²) [118]. The decomposition temperature of a TiN coating is around 3,200 K, therefore, reform and ablation occur in a short time period.

High power micro- or millimeter-wave beam can also be employed to heat a material surface. This method is especially effective on dielectric materials, e.g., ceramics. Bruce et al. employed a high-power 83 GHz millimeter-wave beam for joining ceramics tubes [119]. This method exploits the use of the beam-forming capability of an 83-GHz gyrotron-based system allowing energy deposition in a narrow region surrounding the joint area with minimal heating of the metal fixture. Three pieces of high purity aluminum oxide (99.5% Alumina) joined with reactive glass braze are shown for demonstration in Figure 21. The shear strength of the joint was found to be 121 MPa, which is comparable to the strength of the ceramics themselves [119].

Exploding (fusing) metallic foil or wire can be used for nanocomposite particles synthesis, and joining dielectric materials such as ceramics. Takaki et al. used 50 µm titanium foils for joining alumina tiles. The shear strength of the joined alumina tiles increased with increasing input energy to the titanium foil as shown in Figure 22 [84]. Lee et al. used aluminum and zirconium exploding wires in oxygen for the synthesis of zirconia-alumina nanocomposite powders [83]. The produced powders showed a spherical morphology with less agglomeration.



**Figure 21.** Joined alumina tiles using high-power millimeter-wave beam irradiation [119].



**Figure 22.** Shear strength as a function of total input energy for various foil widths at 50 μm in foil thickness [84].

### 7 SUMMARY

This review has concentrated on industrial applications of pulsed power. Repetitively operated pulsed power generators with a moderate peak power have been developed using semiconductor and magnetic switches for industrial applications. These generators are compact, reliable, low maintenance, and have high reproducibility. Many studies of industrial applications of pulsed power, such as food processing, medical treatment, water treatment, exhaust gas treatment, ozone generation, engine ignition, ion implantation and others, came about with development of such a pulse power generator. Industrial applications of pulsed power are classified as applications using biological effects, using pulsed streamer discharges in gases, using pulsed discharges in liquid or liquid-mixture, and material processing. Those developed over the past two years have been reviewed.

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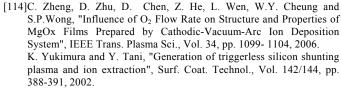
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