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# Inflammation and immune dysfunction in Parkinson disease

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Abstract | Parkinson disease (PD) is a progressive neurodegenerative disease that affects peripheral organs as well as the central nervous system and involves a fundamental role of neuroinflammation in its pathophysiology. Neurohistological and neuroimaging studies support the presence of ongoing and end-stage neuroinflammatory processes in PD. Moreover, numerous studies of peripheral blood and cerebrospinal fluid from patients with PD suggest alterations in markers of inflammation and immune cell populations that could initiate or exacerbate neuroinflammation and perpetuate the neurodegenerative process. A number of disease genes and risk factors have been identified as modulators of immune function in PD and evidence is mounting for a role of viral or bacterial exposure, pesticides and alterations in gut microbiota in disease pathogenesis. This has led to the hypothesis that complex gene-by-environment interactions combine with an ageing immune system to create the 'perfect storm' that enables the development and progression of PD. We discuss the evidence for this hypothesis and opportunities to harness the emerging immunological knowledge from patients with PD to create better preclinical models with the long-term goal of enabling earlier identification of at-risk individuals to prevent, delay and more effectively treat the disease.

#### Substantia nigra

The midbrain nucleus, which supplies the basal ganglia with dopamine, involved in reward, motivation and addiction.

Bradykinesia Slowness of movement.

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https://doi.org/10.1038/ s41577-022-00684-6 Bidirectional communication between the brain and other organ systems is essential for brain health and the overall health of the organism. Once thought to be immune privileged, the brain is now acknowledged as a highly immune-specialized organ with its own brain-resident immune cells. These cells shape neuronal circuitry<sup>1</sup> and lymphatic and glymphatic systems that regulate the complex efflux of immune cells and fluids exchanging from the cerebrospinal space with the rest of the circulation<sup>2</sup>. The study of the crosstalk between the central and peripheral immune systems has intensified in recent decades.

Parkinson disease (PD) is a progressive neurodegenerative disorder pathologically characterized by the loss of dopaminergic neurons in the substantia nigra and the presence of protein inclusions termed Lewy bodies. Previously, the disease was largely considered to be a movement disorder, classically characterized by a tetrad of motor deficits, including resting tremor, bradykinesia, postural instability, and rigidity of the neck, trunk and limbs. However, PD is now understood to be a multi-system disorder with notable neuroinflammation and immune dysfunction that has been implicated in the development of various non-motor symptoms such as sleep and gastrointestinal dysfunction, which can precede the disease diagnosis by decades<sup>3–5</sup>.

Most cases of PD are considered idiopathic; however, with the advancement of technology and accessibility to patient populations, the genetic architecture of PD is becoming further defined. Mutations in more than 20 genes have been identified that cause monogenic forms of PD6, yet they are rare and account for ~30% of familial PD and only ~3-5% of sporadic cases7. Interestingly, patients exhibiting the same genetic mutation typically do not have similar clinical presentations, suggesting that the aetiology of the disease is compounded by a complex interaction among environmental, age-associated and genetic factors (FIG. 1). Genome-wide association studies (GWAS) have identified over 90 risk variants, and the variants explain ~22% of the heritability of the disease8. Of the significant single-nucleotide polymorphisms (SNPs), several are found in genes associated with the function of the immune system<sup>8,9</sup>. Furthermore, previous studies have found common genetic variants between patients with PD and other autoimmune and inflammatory diseases<sup>10</sup>, including Crohn's disease<sup>11</sup>, further suggesting the influence of the immune system in PD pathogenesis. Additionally, exposure to environmental insecticides heightened immune responses in HLA-DR variant carriers and increased disease risk 2.48-fold<sup>12</sup>. Together, these findings place the immune system at the intersection between genetic and environment

interactions that confer risk for PD. In this Review, we discuss clinical evidence from genetic, pharmacological, immunological, neuroimaging and epidemiological

studies and mention animal studies that have served to interrogate and validate pathways that could be targeted for therapeutic intervention to delay, prevent or treat PD.

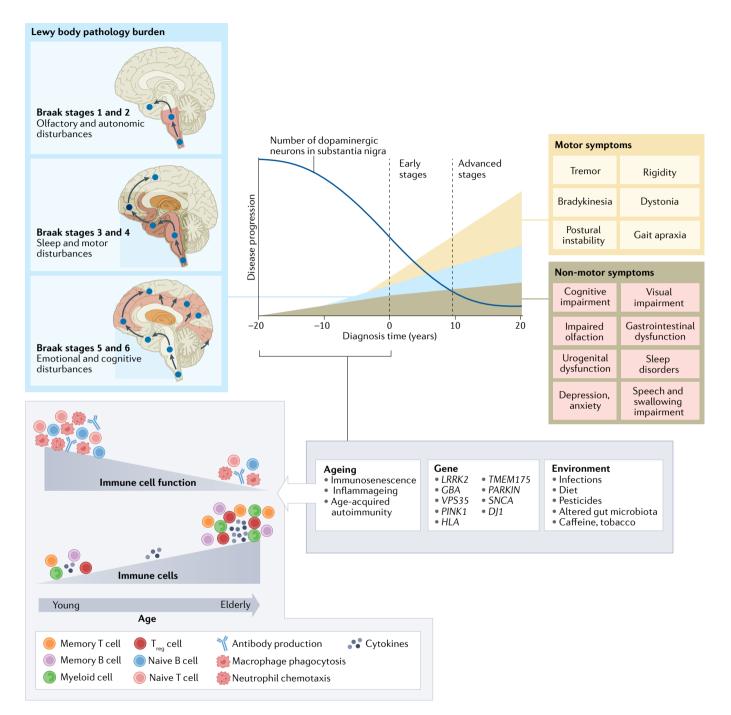


Fig. 1 | Immune cell ageing interacts with genetic and environmental stressors to accelerate PD pathology. Although primarily considered a motor-related disorder, Parkinson disease (PD) affects multiple systems, and patients commonly present with accompanying non-motor symptoms, which often start in the prodromal phase. The concept of prodromal PD is supported by the Braak theory (blue box), in which Lewy body pathologies begin in the periphery and olfactory bulb and advance to the brainstem and towards higher brain centres following a predictable caudal-rostral pattern<sup>204</sup>. During the prodromal stage, when neuronal dysfunction begins, a combination of factors, from an ageing immune system, genes and environment, can create the perfect storm to enable the development

and progression of PD pathogenesis. Age-associated alterations in the immune system include immunosenescence and inflammageing as well as an impaired adaptive immune system defined by a decline in naive T cells and B cells and memory cell accumulation and a reduction in T cell receptor and B cell receptor diversity and sensitivity to stimuli<sup>13-15</sup>. These deficiencies contribute to an increase in susceptibility to infection and a type of age-acquired autoimmunity where autoantibodies may begin to appear. There are now multiple lines of evidence that suggest a relationship between environmental stressors, including viral and bacterial exposures, pesticides, diet, and alterations in gut microbiota, and the increased risk of developing PD.  $T_{reg}$  cell, regulatory T cell.

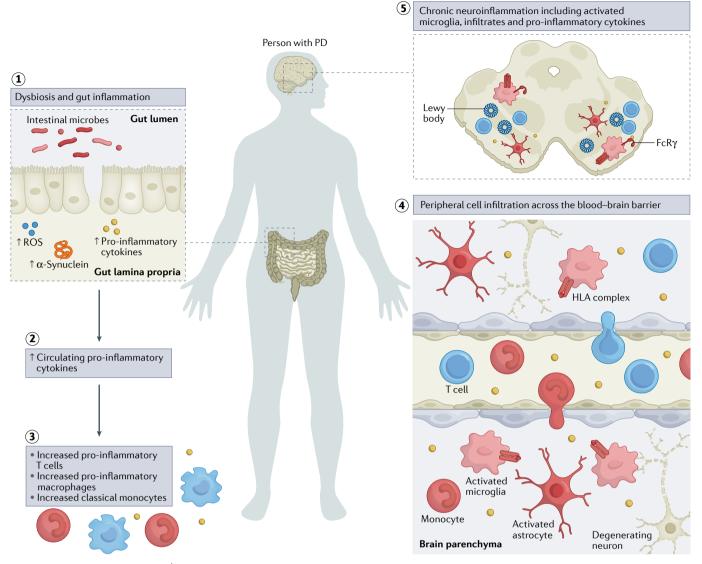


Fig. 2 | **Inflammatory manifestations in PD.** The figure highlights inflammatory manifestations that have been identified in patients with Parkinson disease (PD). Intestinal dysbiosis and inflammation (step 1), increases in levels of circulating pro-inflammatory cytokines (step 2), innate and adaptive immune cell activation and changes in frequency (step 3), blood–brain barrier permeability and peripheral immune cell infiltration of the central nervous system (step 4) and neuroinflammation (step 5) are hallmarks of a pro-inflammatory immune phenotype in PD. ROS, reactive oxygen species.

## Inflammation in PD pathogenesis and progression

Considering age is the greatest risk factor for many neurodegenerative diseases, the ageing of the immune system is the most underappreciated and understudied contributing factor in the neurodegeneration field. Immunosenescence is characterized by two primary features, namely an age-acquired immunodeficiency and inflammageing. Inflammageing is characterized by excess low-level production of circulating inflammatory mediators, or cytokines — most notably C-reactive protein (CRP), IL-6 and tumour necrosis factor (TNF) — from chronically stimulated innate and adaptive immune cells<sup>13–15</sup>. Both the innate and adaptive immune systems lose competence with ageing and are also notably altered in PD<sup>16,17</sup> (FIG. 2).

Inflammageing Low-grade inflammation that occurs during ageing. *Innate immunity.* Microglia are densely populated in the substantia nigra pars compacta and striatum of the brain — areas that are both affected in PD.

One of the first pieces of evidence linking neuroinflammation to the pathogenesis of PD came in 1988, when McGeer et al. showed HLA-DR<sup>+</sup> reactive microglia in post mortem tissue from patients who had PD18. The number of HLA-DR<sup>+</sup> microglia increases with neuronal degeneration throughout the nigrostriatal pathway<sup>19</sup>. Activated microglia are partially responsible for the elevated levels of TNF, IL-1β, TGFβ, IL-6, reactive oxygen species (ROS), nitric oxide species and pro-apoptotic proteins found in the substantia nigra pars compacta, striatum, and cerebrospinal fluid (CSF) of patients with PD<sup>20,21</sup>. In vivo evaluation of microglial activity has been performed using positron emission tomography (PET) ligands to measure and trace neuroinflammation in the brains of patients with PD. The use of ligands such as [11C](R)-PK11195, which binds translocator protein (TSPO, formerly known as the peripheral benzodiazepine receptor), a receptor which was

considered selectively expressed on activated microglia, showed increased microglial activation in the brains of patients with PD but the levels of microglial activation did not correlate with clinical severity<sup>22,23</sup>. Usage of this first-generation PET ligand allowed researchers to conclude that microglia are activated early in the disease process, leaving them to promote neuroinflammation in vulnerable PD-associated brain regions. However, the accuracy and interpretation of TSPO radioligand binding may be influenced by a number of issues such as TSPO polymorphisms found with second-generation ligands, low TSPO density in the healthy brain and multicellular expression, including infiltrating cells from the periphery<sup>24</sup>. New, more accurate targets are necessary to ensure microglia specificity and function.

Historically, microglia in the areas of neurodegeneration have been termed 'activated' due to their ameboid morphology, a description often perceived as a damaging inflammatory state. However, evidence suggests that microglia present along a spectrum of phenotypes and play a number of distinct roles in PD pathogenesis. For example, microglia can contribute to neuronal death through the production of inflammatory factors, they may interact with a-synuclein to contribute to a-synuclein propagation and aggregation or can alternatively have protective functions through production of neurotrophic factors<sup>25,26</sup>. Dysfunctional phagocytosis in glial cells due to lysosomal defects imparted by PD-related mutations may be one mechanism that contributes to microgliosis and neuroinflammation<sup>27</sup>. Extracellular a-synuclein can directly activate microglia in a conformation-specific and mutation-specific context, such that a-synuclein fibrils and mutations associated with early-onset PD induce the most robust immune responses in BV2 microglial-like cells<sup>28,29</sup>. The NOD-, LRR- and pyrin domain-containing 3 (NLRP3) inflammasome signalling in microglia is a multiprotein complex involved in the activation of a pro-inflammatory state<sup>30</sup>. In PD models, NLRP3 inflammasome signalling in microglia is reportedly triggered by a-synuclein<sup>31</sup> and different a-synuclein species lead to specific NLRP3 inflammasome microglial responses, which can comprise α-synuclein degradation<sup>32</sup>, indicating its potential role in PD.

In addition to microglia, monocytes may contribute to disease pathogenesis. Within the total monocyte population, frequencies of classical CD14+CD16- monocytes are elevated in patients with PD, and these cells display an altered transcriptome<sup>33</sup>. CC-chemokine ligand 2 (CCL2) is upregulated in patients with PD, suggesting an increase in monocyte recruitment and inflammation. Leucine-rich repeat kinase 2 (LRRK2) levels are elevated in monocyte populations from patients with PD and contribute to monocyte dysregulation<sup>34,35</sup>. Distinct gene expression patterns of monocytes have been noted in patients in early stages of the disorder, including genes involved in immune activation such as HLA-DQB1, MYD88, REL and TNF<sup>36</sup>. More recently, a transcriptome-wide association study positively identified gene associations between lysosomal pathways and innate immune function in dorsolateral prefrontal cortex and peripheral monocytes as risk factors for PD37.

Adaptive immunity. Ample evidence suggests a role for adaptive immunity in disease pathogenesis. In the same incipient study that identified HLA-DR<sup>+</sup> microglia in the brains of patients with PD, McGeer et al. showed that CD3<sup>+</sup> T cells infiltrate the brains of patients with PD, a finding which has been replicated in other studies and in animal models<sup>18,38</sup>. Subsequent studies have focused on T cell subsets in the brain and in the periphery to understand their role in the inflammatory pathogenesis associated with PD. In the brain, CD4<sup>+</sup> and CD8<sup>+</sup> T cells were present in the substantia nigra pars compacta of patients with PD at greater levels than in control patients<sup>38</sup>. Peripherally, multiple studies, including a meta-analysis of 943 cases of PD, have identified a reduction in circulating CD4<sup>+</sup> T cells in patients<sup>39,40</sup>. More specifically, HLA-DR<sup>+</sup> T cells and CD45RO<sup>+</sup> memory T cells have been shown to be increased in patients with PD relative to healthy controls, while naive CD4<sup>+</sup> T cells are reduced, and mixed results have been reported on the frequency of CD25<sup>+</sup> regulatory T ( $T_{reg}$ ) cells<sup>40-42</sup>. CD4+FOXP3+ T<sub>reg</sub> cells have increased suppressive activity in patients with PD43. This correlates with the finding that dopamine, which is deficient in patients with PD, lowers T<sub>reg</sub> cell function<sup>40,44</sup>. Other functional studies have not demonstrated a difference between patients treated with dopamine-replacement medication compared to untreated patients, suggesting that dopaminergic drugs may not alter T cell activity<sup>42,43</sup>. Interestingly, the expression of specific dopamine receptors found on T cell subsets correlates with disease severity in patients with PD, highlighting a potential role of immune cell dopamine receptors in the development or progression of PD<sup>45,46</sup>. T cell dysregulation in PD has been suggested as T cells exhibit increased TNF receptor expression47 along with increased production of IFNy and TNF by effector T cells, even in the presence of  $T_{reg}$  cells<sup>42</sup>. In 2017, a ground-breaking study by Sulzer et al. was the first to suggest that, in patients with PD, specific T cell subsets — mainly CD4+ T cells — recognized certain a-synuclein peptides48, further supporting a role of adaptive immunity in PD pathogenesis. A more recent study has identified that a-synuclein T cell immunoreactivity in peripheral blood mononuclear cells is associated with preclinical and early motor PD, suggesting that monitoring this in at-risk populations could potentially enable earlier detection of disease49. Although there are discrepancies between findings in T cell populations concerning their dysregulation and their roles in PD pathogenesis, some of the variability may be explained by the heterogenous nature of the patient populations that were evaluated in these studies. It remains clear that dysregulation in immune cell trafficking can promote a pro-inflammatory environment that can contribute to the neuronal cell death associated with PD.

The role of B cells in PD is less well understood and is being actively explored. Reports suggest that B cells are reduced in number in the blood of patients with PD relative to controls<sup>50,51</sup> but these findings are not consistent across studies<sup>52</sup>. IgG deposits have been found on dopaminergic neurons in the brain, and the IgG receptor FcγRI was found on activated microglia<sup>53</sup>, suggesting that humoral immunity may play a role in neuroinflammation and neurodegeneration. Autoantibodies against  $\alpha$ -synuclein, dopamine and melanin are present in the sera and CSF of patients with PD<sup>54,55</sup>. The levels of  $\alpha$ -synuclein autoantibodies in CSF and plasma in patients with mild or moderate PD have been correlated with disease activity, supporting the hypothesis that  $\alpha$ -synuclein autoantibodies could serve as a potential biomarker for PD<sup>56</sup>. Previous infections may be linked to the production of autoantibodies through molecular mimicry as has been suggested for infections with herpes simplex virus 1 (HSV1) and *Helicobacter pylori*<sup>57,58</sup>.

Cytokines in PD. Collectively, data from the innate and adaptive immune systems provide evidence that immune dysregulation in both the periphery and brain can cause upregulation of inflammatory cytokines that initiate a cascade of pro-inflammatory signalling events that ultimately result in the neurotoxicity that is associated with PD. In a similar manner to what has been observed in the brain, levels of the pro-inflammatory cytokines TNF, IFNγ, IL-1β, IL-6, IL-2, CXC-chemokine ligand 8 (CXCL8) and CCL2 are elevated in the serum of patients with PD and correlate with disease severity and disability<sup>59,60</sup>. This may be a consequence of altered lymphocyte populations that contribute to immune cell dysregulation as higher levels of IFNy-producing T cells relative to IL-4-producing T cells have been identified, which is in accordance with a reduced CD4 to CD8 T cell ratio in patients with PD<sup>61</sup>.

While a number of different signalling pathways have been implicated in PD pathogenesis, two of the ones that have received increasing attention are the IFNy (also called type II interferon) and TNF pathways<sup>62</sup>. Multiple studies have reported TNF to be elevated in the sera, CSF and brains of patients with PD19,20,63-66, and consistent with a role for TNF in nigral degeneration, selective neutralization of soluble TNF signalling significantly attenuates dopaminergic (DA) neuron death in rodent models67-70. As described in more detail below, epidemiological evidence suggests that TNF signalling in individuals with autoimmune diseases is linked to increased risk of developing PD, whereas anti-TNF therapy in patients with autoimmunity has been associated with a decreased risk for PD71. Notably, as TNF is produced by intestinal epithelial cells<sup>72</sup>, it may alter the gut environment, impacting inflammation and a-synuclein accumulation.

A significant amount of evidence implicates IFN $\gamma$ signalling in PD pathophysiology. Near the end of World War I in 1918, an influenza pandemic gave rise to a high incidence of postencephalitic parkinsonism, suggesting vulnerability of basal ganglia DA neurons to pathogen-driven immune response<sup>73</sup>, and a type II interferon response was directly implicated by transcriptomic analysis in the selective vulnerability of DA neurons<sup>74</sup>. In the midbrain regions of patients with PD, higher levels of IFN $\gamma$  have been reported along with significant co-expression of  $\alpha$ -synuclein<sup>75</sup>. Preclinical rodent models of PD-like degeneration have also implicated IFN $\gamma$  signalling in progressive DA neuron death<sup>76,77</sup>. Two recent studies have demonstrated synergistic neurodegenerative effects between lipopolysaccharide (LPS)-induced inflammation involving IFN $\gamma$  pathways and mutant LRRK2 in mice<sup>78</sup> and in metabolic reprogramming of neurotoxic microglia from patient-derived induced pluripotent stem cells<sup>79</sup>. Interestingly, LRRK2 is an IFN $\gamma$  target gene regulated in immune cells in response to pathogens<sup>80–82</sup>; genetic polymorphisms in LRRK2 (discussed below) have been associated with Crohn's disease and leprosy, suggesting that pathogenic mechanisms may be common to certain chronic inflammatory conditions, infections and PD.

## Genetic evidence of immune involvement

Although age remains the greatest risk factor for developing sporadic PD, mutations in several genes cause autosomal dominant and autosomal recessive monogenic forms of PD. Several genetic variants that modulate the risk of idiopathic disease have been identified; some of these genes, including *LRRK2*, *SNCA* (which encodes  $\alpha$ -synuclein), *GBA* (which encodes glucocerebrosidase (GBA); also known as lysosomal acid glucosylceramidase), *PRKN* (encoding E3 ubiquitin-protein ligase parkin) and *PINK1* (encoding PTEN-induced kinase 1 (PINK1)), encode proteins that also modulate immune function. The fundamentals of these genetic findings in PD are described in BOX 1.

LRRK2 variants in PD. Mutations in the gene encoding LRRK2 are the most common cause of familial PD and explain ~1% of sporadic cases<sup>83-85</sup>. LRRK2 expression is tightly regulated in peripheral immune cells and increases in response to microbial pathogens in human B cells, T cells, macrophages and non-classical monocytes<sup>34,81,86,87</sup>. Furthermore, LRRK2 is a member of the receptor interacting protein (RIP) kinase family, which is a group of proteins that detect and respond to cellular stress by regulating cell death and activation of the immune system<sup>88</sup>.

To date, eight pathogenic mutations have been identified in LRRK2 in patients with PD, with the G2019S mutation being the most common<sup>89</sup>, as well as two non-coding variants that confer a twofold increase in the risk for disease90. The G2019S mutation has consistently been reported to increase LRRK2 kinase activity<sup>91-93</sup>, which is associated with neuronal toxicity94,95. Peripheral pro-inflammatory cytokine levels are higher in a subset of asymptomatic individuals carrying the G2019S mutation<sup>96</sup>. Taken together with the fact that overall LRRK2 levels are increased in immune cells of patients with sporadic PD<sup>34</sup>, it is suggested that inflammation plays an early role in the disease and may be driven by increased LRRK2 kinase activity. This pathological mechanism is further supported by reports that LRRK2 toxicity, both in vitro and in vivo, is ameliorated following pharmacological LRRK2 kinase inhibition<sup>97</sup>.

Interestingly, LRRK2 expression is also associated with a number of bacterial infections and infectious diseases. Meta-analysis of human cell gene expression in response to a *Mycobacterium tuberculosis* infection identified *LRRK2* as a highly significant differentially enriched gene<sup>98</sup>. Furthermore, *Lrrk2*-knockout mice showed limited bacterial burdens and enhanced

### Box 1 | PD genetics indicate a role of the immune system in pathogenesis

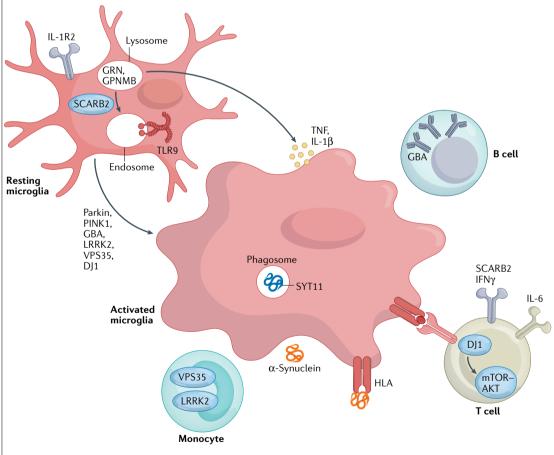
A brief overview of the biological relevance of genetic variants that modulate the risk of idiopathic Parkinson disease (PD) (see the figure) is provided below; their link to immune mechanisms is described in more detail in the main text.

## GBA, PRKN and PINK1, SNCA, VPS35, and PARK7

The *GBA* gene encodes for the lysosomal enzyme glucocerebrosidase that mediates conversion of glucocerebroside to glucose and ceramide. Heterozygous *GBA* mutation carriers have an increased risk of developing PD<sup>254</sup>; *GBA* mutations are now identified as the most common genetic risk factor for PD<sup>255</sup>. The *PRKN* and *PINK1* genes are two of the most well-characterized autosomal recessive genes associated with PD<sup>256,257</sup>. Five missense point mutations, A30P<sup>258</sup>, E46K<sup>259</sup>, H50Q<sup>260</sup>, G51D<sup>261</sup> and A53T<sup>262</sup>, in the  $\alpha$ -synuclein encoding gene *SNCA* may cause autosomal dominant PD. Moreover, duplication and triplication of the  $\alpha$ -synuclein gene locus has been described in familial and sporadic PD<sup>263</sup> and in dementia with Lewy bodies<sup>125,255,264,265</sup>. Heterozygous changes in the gene encoding the VPS35 cargo-binding component of the retromer complex are associated with late-onset PD<sup>266,267</sup>, with D620N being the only mutation characterized to date<sup>265,269</sup>. Mutations in the *PARK7* gene, which encodes DJ1, cause autosomal-recessive PD<sup>270</sup>. DJ1 is a multifunctional redox-sensitive protein that may mediate neuroprotection by dampening mitochondrial oxidative stress<sup>271</sup> and regulating anti-apoptotic and anti-oxidative gene expression<sup>272,273</sup>.

## BST1, SYT11, TMEM175 and GRN

Genome-wide association studies have linked several further candidate genes with PD risk, with several of these genes related to the immune system. The immune-associated gene *BST1* has been proposed to play a role in neutrophil adhesion and migration and may cause selective vulnerability of dopaminergic neurons in PD<sup>274</sup>. Synaptotagmin 11, encoded by SYT11, localizes to the *trans*-Golgi network and recycling endosomes and is involved in cytokine secretion and phagocytosis in microglia<sup>275</sup>. *TMEM175* encodes a lysosomal K<sup>+</sup> channel that stabilizes lysosomal pH and regulates lysosome catalytic activity<sup>52,276</sup>. The GRN gene encodes progranulin (PGRN), the precursor to granulins, which possess cytokine-like activity and regulate cellular proliferation, growth and tumorigenicity.



GBA, glucocerebrosidase; GPNMB, glycoprotein NMB; PINK1, PTEN-induced kinase 1; TLR9, Toll-like receptor 9; TNF, tumour necrosis factor.

inflammatory profiles, suggesting that LRRK2 may control innate immune pathways following *M. tuber-culosis* infection<sup>99</sup>. LRRK2 has also been implicated in the regulation of the enteric pathogens *Salmonella typhimurium*<sup>100</sup> and *Listeria monocytogenes*<sup>101</sup>. Knock-in

mice harbouring the G2019S mutation showed improved control of *S. typhimurium* infection with reduced bacterial growth and longer survival during sepsis. However, animals with the G2019S mutation that were subjected to reovirus-induced encephalitis exhibited increased mortality and had elevated ROS production and concentrations of  $\alpha$ -synuclein in the brain<sup>102</sup>, potentially suggesting antagonistic pleiotropic effects of the G2019S mutation. Similar effects are seen with the gain-of-kinase function R1628P mutation, which is a risk variant for PD but protective for leprosy, and associated with excessive inflammatory type 1 reactions<sup>103,104</sup>. These data highlight the versatility of LRRK2 in regulating immune responses and imply potential opposing effects of LRRK2 kinase-mediated inflammation in the central nervous system (CNS) versus the periphery that may also be dependent on additional complex genetic interactions (BOX 2).

GBA mutations in PD. The neurodegenerative manifestations of GBA-associated PD may arise from the toxic effects of accumulated lipids, autophagic perturbations and endoplasmic reticulum stress in neurons<sup>105</sup>. However, it is becoming more apparent that inflammation plays a key role in the pathology of GBA-associated PD. For example, selective deletion of GBA in neurons, oligodendrocytes and astrocytes of mice increased inflammatory cytokine production, oxidative stress and morphologically active microglia despite normal microglial GBA expression<sup>106,107</sup>. Furthermore, deletion of the *Ripk3* gene (which encodes for the receptor-interacting kinase 3 that is essential for programmed cell death in response to TNF) attenuates microglial cell activation and motor impairment upon glucocerebrosidase (GCase) inhibition in mice<sup>108</sup>. Additionally, mice expressing the L444P point mutation in the Gba gene exhibit multisystem inflammation, including evidence of B cell hyperproliferation<sup>109</sup>.

Induced pluripotent stem cell-derived macrophages from individuals with a *GBA* mutation exhibit increased upregulation of *TNF* mRNA expression upon LPS stimulation<sup>110</sup> and secrete higher levels of the pro-inflammatory cytokines TNF, IL-6 and IL-1 $\beta$ <sup>111</sup>. Patients with *GBA*-associated PD have higher plasma levels of CXCL8, CCL2 and CCL3 (also known as MIP1a) than patients with idiopathic PD<sup>112</sup>, suggesting GBA may alter the immune environment. Additionally, enzymatic GBA activity is significantly reduced in monocytes from both patients with idiopathic PD and patients with *GBA*-associated PD compared to controls<sup>113</sup>, suggesting that GBA dysfunction in immune cells may be instrumental in both idiopathic and GBA-associated PD.

**PRKN** *and* **PINK1** *mutations in PD*. Seventy-nine different *PRKN* mutations have been reported in familial and sporadic PD, and these mutations are the most common cause of autosomal recessive early-onset cases<sup>114</sup>. The second most common cause are mutations in the *PINK1* gene<sup>115</sup>, which are typically missense mutations, though copy number variants and exonic rearrangements, such as the homozygous deletion of exon 7, have been described<sup>116-118</sup>. Parkin, a cytosolic protein, mediates mitophagy in conjunction with the ubiquitin kinase PINK1. PINK1 accumulates on the outer mitochondrial membrane when mitochondria are damaged or depolarized. It assists in the recruitment of parkin to mitochondria, where parkin then ubiquitinates proteins

found on the outer mitochondrial membrane with K63-linked polyubiquitin chains, targeting these mitochondria for lysosomal degradation. A loss of Pink1 or Prkn dysregulates mitophagy and increases mitochondria stress, mitochondrial ROS and mitochondrial DNA (mtDNA)<sup>119</sup>. Mito-inflammation has recently been directly connected to PD-like pathology in mice deficient in Pink1 or Prkn that are subjected to acute (exhaustive exercise induced) or chronic (mtDNA mutation induced) mitochondrial stress<sup>120</sup>. This mitochondrial stress induced dopaminergic neurodegeneration in the substantia nigra pars compacta in the absence of Prkn or Pink1 and increased pro-inflammatory cytokines in the serum. These phenotypes were successfully rescued by the genetic inactivation of Sting1, a component of the DNA-sensing cyclic GMP-AMP synthase (cGAS)-STING innate immune pathway that can be activated by mtDNA from damaged mitochondria<sup>121</sup>. STING signalling also exacerbates progression in other models of chronic neurodegenerative disease such as the ME7 prion disease model<sup>122</sup>. Nazmi et al. confirmed STING as a critical driver of type I interferon-mediated neurodegeneration and, in this model, mice deficient in STING or IFNAR1 displayed attenuated neuroinflammation<sup>122</sup>. Interestingly, Lrrk2-/- macrophages exhibit chronic cGAS engagement caused by mtDNA leakage into the cytosol, leading to altered innate immune gene expression<sup>123</sup>. These reports highlight a convergence between PD-associated genes and emphasize an important connection between mitochondrial stress and inflammation in the context of PD.

As in mito-inflammation, both PINK1 and parkin have been implicated in adaptive immunity via presentation of mitochondrial antigens. Although antigen presentation can be mediated by autophagy, mitochondrial antigen presentation relies on the generation and trafficking of mitochondrially derived vesicles<sup>124</sup>. With the knockdown of Pink1, the amount of glycoprotein B of HSV1 targeted to the mitochondrial matrix is upregulated in a murine macrophage cell line, with the overexpression of parkin eliciting the opposite phenotype<sup>124</sup>. Furthermore, when CD11c<sup>+</sup> dendritic cells isolated from Pink1 or Prkn-knockout mice were treated with a single dose of LPS, they exhibited increased presentation of an endogenous mitochondrial antigen, the mitochondrial matrix protein 2-oxoglutarate de-hydrogenase, relative to wild type controls. Such data provide support for a non-cell-autonomous model in which autoimmune mechanisms mediated by mitochondria-specific cytotoxic T cell activity may contribute to pathology in PRKN-associated and PINK1-associated PD. In addition to this role of PINK1 and parkin in mitochondrial quality control, the loss of their activity during PD may increase mitochondrial antigen presentation during inflammation. In support of this, intestinal infection with Gram-negative bacteria in Pink1-/- mice engages mitochondrial antigen presentation and autoimmune mechanisms that elicit the establishment of cytotoxic mitochondria-specific CD8<sup>+</sup> T cells in the periphery and in the brain<sup>124</sup>. Furthermore, these mice show a decrease in the density of dopaminergic axons in the striatum, increased infiltrating monocytes and T cells

#### Mitophagy

The selective degradation of mitochondria by autophagy.

#### Mito-inflammation

Inflammation that arises through mitochondrial pathways.

# Substantia nigra pars compacta

An area of the substantia nigra that contains the majority of neuromelanin-containing dopaminergic neurons, as opposed to the pars reticulata, which is comprised of mainly GABAergic neurons.

## Box 2 | Genes, risk factors and modifiers in PD converge on the lysosome

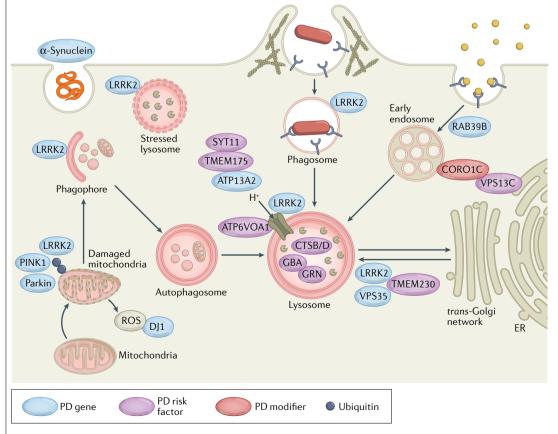
Many Parkinson disease (PD)-associated genes identified by familial Mendelian inheritance patterns (such as *LRRK2*, *ATP13A2*, *PINK1*, *PRKN*, *RAB39B* and *SCNA*) have roles in lysosomal function. Candidate risk genes for PD, such as *TMEM175*, *TMEM230*, *CTSB*, *VPS13C*, *GBA*, *SYT11* and *ATP6V0A1*, are also linked with lysosomal functions<sup>149,150</sup>, with a significant proportion of rare lysosomal disorder gene variants associated with PD risk<sup>277</sup>. These genes have been implicated in various aspects of autophagy and lysosomal function, including in regulation of lysosomal pH, vesicular trafficking, autophagosome biogenesis, phagocytosis and cargo-specific autophagy<sup>278-280</sup> (see the figure). Such cellular processes are crucial for efficient immune cell function but detailed mechanistic insight into the roles of these PD-associated genes in inflammation remains lacking.

The involvement of aberrant microglial phagocytosis in PD is supported by the fact that microglia uptake and remove dopaminergic neuronal cell debris in vivo and can engulf  $\alpha$ -synuclein possibly via Toll-like receptor 4 (TLR4)<sup>281,282</sup>. Extracellular  $\alpha$ -synuclein can directly activate microglia, with  $\alpha$ -synuclein fibrils and mutations associated with early-onset PD leading to the most robust levels of immune activation in BV2 microglial-like cells<sup>283</sup>. Furthermore, PD-associated genes have been shown to regulate phagocytosis in microglia<sup>82,284,285</sup> and macrophages<sup>99,286,287</sup>. However, the precise contribution of microglial to PD is still unknown.

It was recently shown that LRRK2 is recruited to ruptured lysosomal membranes and induces lysosomal tubule formation (LTF), with increased LTF observed with the G2019S mutation<sup>285</sup>. Lysosomal tubules are crucial for two immune-related functions: phagocytosis and antigen presentation<sup>289,290</sup>. This is intriguing as LRRK2 has been heavily implicated in modulating phagocytosis<sup>284</sup> and LRRK2 expression is also positively correlated with HLA-DR expression in human monocytes<sup>34</sup>. Whether LTF is the mechanism underlying LRRK2's role in antigen presentation is yet to be determined and is an interesting avenue of research.

A recent report showed that inhibition of LRRK2 kinase activity normalizes lysosomal dysfunction and inflammatory responses in *Gba*<sup>D409V</sup> knock-in mouse astrocytes<sup>291</sup>, suggesting functional interaction of LRRK2 and glucocerebrosidase at the lysosome. Of note, astrocytes are crucial regulators of innate and adaptive immune responses in the injured central nervous system<sup>292</sup>. Additionally, the frequency and incomplete penetrance of the *LRRK2*<sup>G20195</sup> mutation<sup>293-295</sup> strongly suggests a role for environmental triggers or genetic modulators of risk<sup>296</sup>. Interestingly, the endolysosomal protein Coronin 1C (CORO1C)<sup>297</sup> has recently been identified as a modifier of *LRRK2* penetrance in North Americans and Europeans<sup>298</sup>. These data strongly support a functional interaction between these two proteins, as CORO1C expression is markedly altered in LRRK2-deficient tissue<sup>299</sup>. Whether these interactions are functionally relevant in innate and adaptive immune cells and their inflammatory responses is currently unknown but is of interest for future research.

From these findings, it is evident that a significant number of genes associated with PD are implicated in cellular functions critical for endolysosomal trafficking and protein sorting in immune cells. The challenge remains to understand how these genes mechanistically contribute to PD development.



ER, endoplasmic reticulum; GBA, glucocerebrosidase; PINK1, PTEN-induced kinase 1; ROS, reactive oxygen species.

into the CNS, and are affected by motor impairment. Collectively, these data support the idea that PINK1 is a repressor of the immune system and provide a pathophysiological model in which intestinal infection acts as a triggering event in PD, highlighting the relevance of the gut-brain axis in the disease.

SNCA in PD. Due to the earlier onset and more rapid disease progression observed in patients with SNCA gene triplications relative to those with duplications, a dosage effect of the SNCA gene is expected<sup>125</sup>. Such observations indicate a neurotoxic effect of increased a-synuclein, even when point mutations are not present, and have prompted the development of various animal models of overexpression. Overexpression of a-synuclein in dopaminergic neurons of the substantia nigra pars compacta in an adeno-associated virus mouse model of PD robustly induces neuroinflammation<sup>126-128</sup>. This model also results in substantial infiltration of pro-inflammatory CC-chemokine receptor 2 (CCR2)+ peripheral monocytes into the CNS, and genetic knockout of Ccr2 prevents a-synuclein-induced inflammation and neuronal degeneration<sup>129</sup>. Furthermore, when in contact with a-synuclein fibrils or oligomers, both astrocytes and microglia exhibit increased neurotoxicity<sup>26,130-132</sup>.

Increased expression of  $\alpha$ -synuclein also affects immune cells outside the CNS. For example,  $\alpha$ -synuclein peptides can stimulate the secretion of TNF in lymphocytes from patients with PD but not from controls<sup>133</sup> and can trigger helper and cytotoxic T cells to secrete cytokines, including IFN $\gamma$ , IL-2 and IL-5 (REF.<sup>48</sup>). Fibrillar  $\alpha$ -synuclein can act via Toll-like receptor (TLR) and inflammasome pathways in monocytes, leading to IL-1 $\beta$ production<sup>134</sup>. Similarly, human peripheral blood mononuclear cells increase inflammasome-related cytokines in response to treatment with  $\alpha$ -synuclein monomers or fibrils<sup>135</sup>. Such data imply that increased  $\alpha$ -synuclein expression, in the form of monomers or fibrils, may stimulate cytokine production in the periphery, which may be a major contributor to immune activation in PD.

Multiple lines of evidence indicate that inflammation associated with infection triggers increased  $\alpha$ -synuclein expression in vivo, with examples including norovirus infection in the human gastrointestinal tract<sup>136</sup> and West Nile virus in mice<sup>137</sup>. In preclinical models, LPS can trigger increased  $\alpha$ -synuclein expression<sup>138,139</sup>, which may be a structurally distinct fibril strain that induces specific pathological patterns of synucleinopathies in mice<sup>140</sup>. These reports suggest that  $\alpha$ -synuclein may have a bidirectional relationship with inflammation or that an inflamed environment may affect  $\alpha$ -synuclein processing.

**VPS35** *mutations in PD.* RAB10, a member of the small RAB GTPase family, is a known substrate of LRRK2 (REF.<sup>141</sup>) and the D620N mutation in *Vps35* leads to LRRK2-dependent increases in RAB10 phosphorylation in the spleens of *Vps35*<sup>D620N</sup> knock-in mice relative to non-transgenic littermates<sup>142</sup>. Furthermore, neutrophils and monocytes from patients with PD who carry the D620N mutation exhibited this same increase in LRRK2-mediated RAB10 phosphorylation relative to

idiopathic PD and healthy controls<sup>142</sup>. Such data support the idea that the D620N mutation results in a toxic gain-of-function in an upstream regulator of the LRRK2 kinase pathway. How this interaction and PD-associated mutations affect neutrophil and monocyte function has yet to be elucidated.

PARK7 in PD. DJ1, which is encoded by PARK7, regulates TLR signalling in mouse primary astrocytes, suggesting that DJ1 may play a role in innate immunity<sup>143</sup>. Indeed, DJ1-deficient Caenorhabditis elegans exposed to pathogenic Pseudomonas aeruginosa exhibit increased p38 phosphorylation and hyper-induction of PMK1 target genes<sup>144</sup>, which are genes implicated in innate immunity in C. elegans145. More recently, it has been revealed that DJ1-deficient mice exhibit decreased generation of thymus-derived T<sub>reg</sub> cells and generate increased levels of ROS146. Interestingly, DJ1-deficient T<sub>reg</sub> cells had higher levels of AKT-mTOR signalling and were less sensitive to TGF $\beta$  and IL-2. T<sub>rep</sub> cells are thought to be neuroprotective in PD via their role in suppressing the inflammatory activity of microglial cells exposed to oxidative stress and inflammation<sup>147</sup>. Coupled with the observation that loss of DJ1 leads to constitutively active BV2 microglia<sup>148</sup>, this suggests a mechanism behind the neuroprotective effects of DJ1 in PD.

**PD** risk factor SNPs and genes are associated with the *immune system*. In addition to the genetic mutations discussed above, 91 genes and/or risk loci for PD have been identified from GWAS<sup>9,149,150</sup>, with several of the candidate genes associated with the immune system (BOX 1).

Although the association between PD and the HLA region is complex, the hits at HLA-DRB6 and HLA-DQA1 may imply regulation of antigen presentation as a potential mechanism by which the immune response links environmental factors to genetic susceptibility in conferring risk for PD<sup>12</sup>. Indeed, a-synuclein-derived fragments may act as antigenic epitopes displayed by MHC molecules<sup>48</sup>. Several SNPs around the GPNMB gene (encoding glycoprotein NMB (GPNMB)) have been linked to risk for developing PD<sup>150,151</sup>. It has been suggested that GPNMB may regulate systemic immune responses, including inhibition of T cell activation and reducing macrophage inflammatory responses to LPS<sup>152,153</sup>. Although the function of transmembrane protein 175 (TMEM175) has been recently characterized in neurons, with TMEM175 deficiency decreasing GCase activity and impairing lysosome-dependent clearance of α-synuclein fibrils<sup>154</sup>, TMEM175 function in glial and immune cells is not well understood. Given that TMEM175 is heavily implicated in the lysosomal pathway, and that lysosomal function is critical for immune cell behaviour, it is highly likely that TMEM175 dysfunction may also affect immune cells. Mutations in the GRN gene that lead to haploinsufficiency of the progranulin (PGRN) protein have been reported to cause frontotemporal dementia<sup>155</sup>, with the rs5848 SNP increasing PD risk<sup>156</sup>. Additionally, reduced serum levels of PGRN have been reported in PD<sup>157</sup>. Although a number of receptors, such as Ephrin 2A receptors<sup>158</sup>, are regulated by PGRN, current data

#### Synucleinopathies

A group of neurodegenerative disorders in which the protein  $\alpha$ -synuclein accumulates abnormally to form inclusions in the cell bodies or axons of neurons or oligodendrocytes.

#### Pernicious anaemia

A condition in which the body cannot make enough healthy red blood cells because it does not have enough vitamin B12.

## Polymyalgia rheumatica

An inflammatory disorder that causes muscle pain and stiffness, especially in the shoulders and hips. suggest that PGRN also fulfils receptor-independent intracellular functions, which appear to converge on the lysosome<sup>149</sup>.

Interestingly, several histological markers and cytokines upregulated in patients with PD are risk factors for PD. An interaction between IL-6 and oestrogen receptor polymorphisms increases the risk for earlyonset PD<sup>159</sup>. Polymorphisms in the TNF gene also increase the risk of PD and earlier disease onset<sup>160,161</sup>. Similarly, IL1B polymorphisms are more abundant in patients with PD relative to healthy controls and may affect the onset of disease<sup>162,163</sup>. Despite not being a risk factor for PD, allelic distribution of IFNG is significantly different between early-onset and late-onset PD<sup>164</sup>, implicating a role of T cells in PD pathogenesis as T cells are major producers of IFNy. In addition, pathway analysis has implicated genes involved in the 'regulation of leukocyte/lymphocyte activity' as conferring an increased susceptibility to PD165.

Four loci associated with PD relate to the transcription factor nuclear factor- $\kappa$ B (NF- $\kappa$ B) that regulates a number of immune genes in response to different stimuli. These loci include *DDRGK1* (REF.<sup>149</sup>) and *SCARB2* (encoding lysosomal integral membrane protein 2 (LIMP2); also known as SCARB2) as reported in an earlier GWAS meta-analysis<sup>150</sup>. Interestingly, SCARB2 is a receptor for GCase and regulates the production of type I interferon through TLR9, another known PD risk locus<sup>149</sup>.

### **Epidemiological evidence**

Given the heterogeneity of manifestations and sporadic incidence of PD, it is no surprise that disease incidence has been associated with numerous environmental factors that either increase or decrease the risk of its development<sup>166</sup>. These include links between caffeine consumption<sup>167</sup>, exercise<sup>168</sup>, use of nonsteroidal anti-inflammatory drugs (NSAIDs), and smoking<sup>169</sup> and reduced incidence of PD and increased incidence after pesticide exposure<sup>170</sup>, traumatic brain injury<sup>171</sup>, consumption of dairy products<sup>172</sup>, and exposure to certain viral or bacterial infections<sup>173,174</sup>. These findings suggest that inflammation or immunological challenges may synergize with genetic predisposition to trigger PD pathogenesis and progression (FIG. 1). For an in-depth discussion of how gene-by-environment interactions converge on immune and inflammatory pathways and contribute to lifetime risk of PD, we refer the reader to a recent review<sup>175</sup>.

**Pesticides and PD risk.** Pesticides and occupational exposure to chemicals can increase the risk of PD<sup>176,177</sup>. Paraquat is the chemical most linked to risk, and even rotenone, a 'natural' plant-derived pesticide used by home gardeners, has been associated with disease<sup>178</sup>. Both compounds inhibit mitochondrial respiration, which could impact an already dysfunctional endolyso-somal pathway in individuals with particular genetic mutations<sup>179,180</sup>. In addition to paraquat and rotenone, exposure to the toxin 1-methyl-4-phenyl-1,2,3,6-tetrahydropyridine (MPTP), after its accidental discovery as an agent that caused dopaminergic neuronal loss in

humans during recreational drug use, has become one of the main methods to induce dopaminergic neuronal loss and degeneration in animal models<sup>181–183</sup>. Studies have shown that paraquat, rotenone and MPTP all induce both central and peripheral inflammation and oxidative stress<sup>184–188</sup>.

Links to autoimmune conditions. With overwhelming evidence for a role of inflammation in PD pathogenesis, epidemiological studies have focused on identifying genetic overlap or pleiotropic loci between PD and autoimmune disorders to identify potential common genetic pathways. PD has been epidemiologically linked to several organ-specific and multi-organ autoimmune disorders. Patients with multiple sclerosis, Graves disease (hyperthyroidism), Hashimoto disease (hypothyroidism), pernicious anaemia or polymyalgia rheumatica have a 33% increased risk of developing PD, and this risk increases further after hospitalization with an autoimmune disorder<sup>189</sup>. A recent GWAS identified 17 shared loci between PD and 7 autoimmune diseases, including type 1 diabetes, Crohn's disease, ulcerative colitis, rheumatoid arthritis, coeliac disease, psoriasis and multiple sclerosis<sup>10</sup>. In addition, it is well established that mutations in the LRRK2 gene increase risk of developing PD in patients with Crohn's disease<sup>10</sup> or ulcerative colitis<sup>190</sup>. Furthermore, LRRK2 has been identified by GWAS as a major susceptibility gene for Crohn's disease<sup>190</sup>, and the PD-associated G2019S mutation contributed genetic risk for Crohn's disease<sup>11,191</sup>. Collectively, autoimmune diseases represent a group of disorders in which the peripheral immune system is chronically activated and producing inflammatory mediators that may stimulate neuroinflammation and thereby promote PD pathogenesis.

NSAIDs and PD. Given that NSAIDs may reduce the risk of other neurodegenerative diseases<sup>192</sup>, it is unsurprising that this association has been observed in PD. One of the first reports linking NSAIDs and PD showed that ibuprofen, acetaminophen and aspirin protect dopaminergic neurons in vitro, thus promoting dopaminergic neuronal integrity<sup>193</sup>. Furthermore, the NSAIDs sodium salicylate, aspirin and meloxicam protect against MPTP-induced dopaminergic neurotoxicity in animal models<sup>194-196</sup>. Following these initial reports, an epidemiological study found that patients with regular use of non-aspirin NSAIDs (two or more tablets per day) had a lower risk of developing PD relative to non-regular users of non-aspirin NSAIDs<sup>197</sup>. This was further supported by a follow-up study showing that ibuprofen users but not acetaminophen or aspirin users had a 35% lower risk of developing PD than non-users<sup>198</sup>. This was corroborated by a study which found that, while NSAIDs as a class did not modify the risk, ibuprofen had a slightly protective effect on risk for PD, indicating that certain NSAIDs may provide protective benefits<sup>199</sup>. These protective properties may be based on the well-known role of NSAIDs in inhibiting cyclooxygenase 1 (COX1) and COX2, thereby reducing the generation of nitric oxide radicals and oxidative stress, to which dopaminergic neurons are particularly susceptible<sup>200</sup>. In 2006, a study reported that non-aspirin NSAIDs reduced the risk for PD by 20% in men but increased the incidence in women by 20%, being one of the first studies to indicate sex differences with NSAID use and PD incidence<sup>201</sup>. Some studies have not been able to replicate the link between incidence of PD and NSAID use but have suggested that patients with PD have a higher rate of immediate-type hypersensitivity (asthma, hay fever or allergic rhinitis), providing further evidence for an inflammatory link in the pathogenesis of PD and the need to effectively evaluate the impact of NSAID use prior to the development of PD<sup>20,203</sup>.

Gut dysbiosis and inflammatory bowel disease. In 2003, Braak et al. introduced a hypothesis that PD pathogenesis originates in the gut<sup>204</sup> and that the associated gastrointestinal dysfunction, including a history of constipation, preceded motor symptoms and a PD diagnosis in the clinic by decades<sup>3,205</sup>. Since then, the hypothesis has been further developed to incorporate contributions from the gut microbiota and intestinal inflammation as a mechanism driving pathology<sup>206,207</sup>. Differences in gut microbial composition between patients with PD and controls have been extensively reported, indicating that the gastrointestinal environment and its microbial inhabitants are impacted in PD<sup>208</sup>. Although several studies have identified changes in relative abundance of certain bacteria, including Prevotellaceae, Bifidobacterium, Akkermansia and Lactobacillus, in patients with PD, results often vary given differences in study design and methodology, patient populations, and choice of controls<sup>209</sup>. While it remains unknown how specific taxa of gut bacteria could contribute to or trigger PD, several studies have shown associations between motor symptoms or disease progression as well as conditions associated with early, pre-motor stages of PD and the relative abundance of certain bacterial families within faecal samples from patients<sup>210-212</sup>. Furthermore, changes in gut bacterial composition have been associated with intestinal inflammation in PD<sup>206,213</sup>. Higher levels of numerous inflammatory mediators have been found in stool of patients with PD compared to controls, including IL-1a, IL-1 $\beta$ , CXCL8, CRP and calprotectin<sup>213-216</sup>, and the levels of some of these molecules are inversely associated with the age of PD symptom onset, suggesting that they could contribute to the development of the disorder<sup>213</sup>. Levels of Bacteroides and Verrucomicrobia also correlate with plasma levels of TNF and IFN $\gamma^{217}$ , respectively. These findings are consistent with the hypothesis that gut dysbiosis is linked to an inflammatory environment that may contribute to the initiation of PD pathology. How to effectively target the gut microbiome to delay or mitigate the development of PD remains an area of active research.

Several epidemiological studies have associated risk for PD with inflammatory bowel disease (IBD)<sup>11,218,219</sup>. A meta-analysis suggests that patients with IBD have a 28–30% increased risk of developing PD<sup>220</sup>. A systematic review and meta-analysis also found that patients with IBD who are on chronic anti-inflammatory therapy with anti-TNF biologics had 78% lower odds of developing PD than patients with IBD not on anti-TNF drugs, further supporting the hypothesis that chronic inflammation contributes to PD pathogenesis<sup>11,221</sup>. Additional research is needed to identify therapeutic windows, time and duration of therapy, and whether it should be prophylactic or prior to clinical (motor) symptoms. More importantly, the current FDA-approved anti-TNF biologics may not be the most suitable drug candidates for long-term chronic use because they immunosuppress the patient due to their ability to block both membrane-bound and soluble forms of TNF. In addition, they have very limited brain penetrance. Pegipanermin — a second-generation biologic that is a non-immunosuppressive soluble TNF-selective drug due to its unique dominant-negative mechanism of action<sup>222</sup> — crosses the blood-brain barrier and has been shown to have neuroprotective activity in multiple preclinical models of ageing, neuronal dysfunction and neurodegeneration<sup>223-226</sup>. This drug is currently in clinical trials for the treatment of pulmonary complications in COVID-19 under the name QUELLOR (ClinicalTrials. gov identifier NCT04370236) and may be worth exploring in PD and other chronic neuroinflammatory brain disorders.

## Therapeutic landscape

Given the overwhelming evidence that immune activation and inflammation are hallmarks of PD, it is unsurprising that anti-inflammatory drugs and interventions targeting the immune system have moved forward in the PD clinic as they have in other neurodegenerative diseases like amyotrophic lateral sclerosis and Alzheimer disease. TABLE 1 lists clinical trials that involve immunotherapies against a-synuclein and immunomodulatory or anti-inflammatory approaches that have been completed or are in progress. Most of these trials have clinical (motor) and not immunological end points, and some include measures of target engagement, which is deemed critical for hypothesis-driven decision-making. As in other neurodegenerative diseases, the outcome of anti-inflammatory drug trials in PD has been terribly disappointing thus far, and changes in the approach to such trials are warranted. The addition of immune-related end points may be an important clinical consideration that could impact the design of future, potentially more successful studies using combination therapies that target multiple processes, including immunological responses. Additionally, the selection of patient cohorts and enrolment criteria for any clinical study is critical to the outcome as is the point at which any given therapy or drug is initiated. Specifically, for inflammation in PD pathogenesis, if the inflammatory process or immune dysfunction begins early in the disease process, an anti-inflammatory intervention that is started after a clinical (motor) diagnosis is made in a neurologist's office is unlikely to have a disease-modifying effect. Based on the abundant data supporting a prodromal, preclinical stage of PD that includes sleep, olfactory and gastrointestinal dysfunction that begins decades before motor symptoms develop, biofluids and immune cells should be collected from individuals with these features and interrogated for dysfunction and inflammation in order to find inflammatory endophenotypes for enrolment into these trials in order to directly test hypotheses

Table 1   Clinical trials in PD involving immunomodulatory and anti-inflammatory therapeutics							
Drug type	Drug name	Clinical trial phase (year valuate)	Status of clinical trial	Enrolment criteria	Immune outcomes collected/reported	Clinical trial ID	Refs
Recombinant GM-CSF	Sargramostim (Leukine)	Phase I (2013)	Completed	PD (diagnosis >3 years)	PBMC and T cell sorting; T <sub>reg</sub> cell function	NCT01882010	235
		Phase lb (2019)	Active, not recruiting	PD (diagnosis >3 years)	Immunophenotype PBMC; lymphocyte immune cell number and function; antibodies to GM-CSF	NCT03790670	236
GLP1 analogue	Exenatide	Phase II (2013)	Completed	PD (H&Y <2.5 on mediation)	No immune-specific treatment outcomes; motor and non-motor outcomes	NCT01971242	237
PPARγ agonist	Pioglitazone	Phase II (2015)	Completed	Early PD (H&Y <2 and diagnosis <5 years)	No immune-specific treatment outcomes; motor and non-motor outcomes	NCT01280123	238
Cannabinoid system agonists	Nabilone	Phase II (2018)	Completed	PD	No immune-specific treatment outcomes; motor and non-motor outcomes	NCT03769896	239
		Phase III (2018)	Recruiting	PD (previous NMS-Nab study participant)	No immune-specific treatment outcomes; motor and non-motor outcomes	NCT03773796	240
	GWP42003-P (cannabidiol)	Phase II (2019)	Completed	Idiopathic PD	No immune-specific treatment outcomes; motor and non-motor outcomes	NCT02818777	241
mAb targeting carboxy-terminal epitope of α-synuclein	Prasinezumab (PRX002)	Phase I (2014)	Completed	PD (H&Y 1–3)	Immunogenicity determined by anti-PRX002 antibodies	NCT02157714	242,243
		Phase II (2017)	Active, not recruiting	Early PD (H&Y 1–2)	Immunogenicity determined by anti-PRX002 antibodies	NCT03100149	244
mAb targeting amino-terminal epitope of α-synuclein	BIIB054	Phase I (2015)	Completed	Early idiopathic PD	Immunogenicity determined by anti-BIIB054 antibodies	NCT02459886	245
		Phase II (2017)	Active, not recruiting	Early PD (H&Y <2.5 and diagnosis <3 years)	Immunogenicity determined by anti-BIIB054 antibodies	NCT03318523	246
Vaccine targeting carboxy terminus of α-synuclein	AFFITOPE PD01A	Phase I (2014)	Completed	PD	Titre of antibodies specific for the immunizing peptide	NCT02216188	247
		Phase I (2015)	Completed	PD	No immune-specific treatment outcomes; motor and non-motor outcomes	NCT02618941	248
		Phase I (2013)	Completed	PD (same patients valuate in NCT02618941)	Antibodies specific for the immunizing peptide	NCT01885494	249
		Phase I (2012)	Completed	Early PD (H&Y <2 and diagnosis <4 years)	Antibodies directed towards vaccine components	NCT01568099	250
Vaccine targeting α-synuclein	AFFITOPE PD03A	Phase I (2014)	Completed	Early PD (H&Y <2 and diagnosis <4 years)	Antibodies directed towards vaccine components	NCT02267434	251
Synthetic peptide-based vaccine targeting α-synuclein	UB-312	Phase I (2019)	Recruiting	Part A in healthy participants; part B in PD (H&Y <3)	Immunogenicity determined by anti-α-synuclein antibodies in blood and CSF	NCT04075318	252
BCR–ABL tyrosine kinase inhibitor	Nilotinib	Phase II (2016)	Active, not recruiting	Moderate PD (H&Y 2.5–3)	No immune-specific treatment outcomes	NCT02954978	253

The table was generated from a literature search conducted on ClinicalTrials.gov with the search terms "Parkinson disease" and "inflammatory". Results were subsequently filtered for relevance. No date restrictions were set for articles retrieved from the search. CSF, cerebral spinal fluid; H&Y, Hoehn & Yahr staging; mAb, monoclonal antibody; NMS-Nab, Nabilone for non-motor symptoms in Parkinson disease; PBMC, peripheral blood mononuclear cell; PD, Parkinson disease; T<sub>reg</sub> cell, regulatory T cell.

about inflammation and target engagement. This could position the neurodegeneration field for greater success at the level of the FDA by proposing the performance of shorter biomarker-directed clinical trials with a clear target engagement study design that will inform better decision-making going forward into phase III trials.

## **Challenges and future directions**

As the field of neurodegeneration moves forward to critically interrogate the role of central and peripheral inflammation in the pathogenesis and progression of PD, one major challenge is the development of new techniques, such as single-cell multi-omics, machine learning or advanced patient-derived models<sup>227</sup>, that will enable the field to rigorously test whether or not the immune system and inflammation are critical components of the disease, how immune cells protect or predispose neurons to injury, and at which stages immune processes play critical roles and are predictive of disease trajectory. To do this, we need to have a better understanding of how genes and the environment impact immune function over time. To this end, the field will need to engage in longitudinal collection of immune cells and tissues from individuals at genetic and environmental risk for PD, and not just from those with clinical motor features, to perform deep genetic, transcriptional and immune profiling. This will enable researchers to look for meaningful changes in responses to immune challenges as opposed to comparing baseline differences between disease cases and healthy controls - specifically, the detection of an immune dysfunctional trait rather than an immune dysfunctional state. A second challenge will be the development of non-invasive tools to identify individuals exhibiting neuroinflammation. Currently, PET imaging is the best technique available to the field. However, high costs, low signal-to-noise ratio and genetic polymorphisms in the TSPO gene in the human population significantly curtail its widespread use<sup>228,229</sup>. Perhaps the use of non-invasive breath volatile organic compound mass-spectrometry technology<sup>230</sup> will enable monitoring of treatment responsiveness and measurement of target engagement simultaneously. Another promising technology is free-water measurement in diffusion-tensor magnetic resonance imaging (DT-MRI), which is a sensitive method widely used in patients with Alzheimer disease and PD to identify clinical pathology. This technology could be leveraged to also identify neuroinflammatory changes in the vicinity of white-matter tracts in the CNS<sup>231-234</sup>.

While genetic research is informative, obtaining clinical samples from patients with monogenic forms of PD or specific risk SNPs is not trivial because these

individuals are relatively rare. As an example, heterozygote carriers of the LRRK2<sup>G2019S</sup> mutation are prevalent in the Ashkenazi Jewish ancestry community; however, when trials with LRRK2 kinase inhibitors begin in the clinic, their participation will be highly sought by a large number of pharmaceutical companies, and the interpretation of results from individuals participating in multiple trials will be complex. Enrolling specific clinical populations for assessment of immunomodulatory therapies, including patients of genetic risk tied to the immune system, will be crucial to determine the neuroprotective effects as a response to a genetic contribution. Additionally, while the field has made significant strides in identifying genes and gene variants associated with PD risk in individuals with European ancestry, better efforts are needed to perform similar studies in diverse populations. These efforts have been severely lagging, a serious problem since immune-related genes are expected to vary widely based on ethnicity and geography. Immune-based therapies are therefore unlikely to have equivalent efficacy in all populations and should be tested in cohorts with diverse ethnic backgrounds.

Perhaps the most pressing challenge in the field is the need to develop tools enabling earlier diagnosis to deliver effective therapies to prevent, delay or arrest disease. The overall sentiment in the field from preclinical data is that, if PD begins outside the brain and in peripheral organs and then progresses into the brain, diagnosis in the pre-motor stages of the disease must be achievable. A deeper understanding and accurate detection of the immunological mechanisms underlying the earliest signs of parkinsonism will lead to new therapies and may one day enable clinicians to intervene effectively with novel or repurposed anti-inflammatory and immunomodulatory therapies to slow or delay the progression of disease from the periphery to the CNS.

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A review underscoring the importance of bidirectional communication between the peripheral and central immune and nervous systems for brain health and potential role of intrinsic innate molecules in neurodegeneration

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#### Author contributions

The authors contributed equally to all aspects of the article.

#### **Competing interests**

M.G.T. is an ex-employee of and co-inventor on the Xencor and INmune Bio patents describing the dominant-negative TNFs and is a consultant to and has stock ownership in Xencor and Inmune Bio, which has licensed Xpro1595 (pegipanermin) for neurological indications. All other authors declare no competing interests.

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