



## Influence of emission size distribution and nucleation on number concentrations over Greater Paris

Karine Sartelet<sup>1</sup>, Youngseob Kim<sup>1</sup>, Florian Couvidat<sup>2</sup>, Maik Merkel<sup>3</sup>, Tuukka Petäjä<sup>4</sup>, Jean Sciare<sup>5</sup>, and Alfred Wiedensohler<sup>3</sup>

<sup>1</sup>CEREA, École des Ponts ParisTech, EdF R&D, IPSL, 77455 Marne la Vallée, France

<sup>2</sup>INERIS, 60550 Verneuil en Halatte, France

<sup>3</sup>Leibniz Institute for Tropospheric Research, 04318 Leipzig, Germany

<sup>4</sup>Institute for Atmospheric and Earth System Research/Physics, University of Helsinki, 00014, Helsinki, Finland

<sup>5</sup>Laboratoire des Sciences du Climat et de l'Environnement, 91191 Gif-sur-Yvette, France

**Correspondence:** Karine Sartelet (karine.sartelet@enpc.fr)

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**Abstract.** With the growing evidence that high particle number concentrations may impact health, modelling their emissions and understanding formation processes is necessary, especially in cities where many people are exposed. As emission inventories of particle numbers and size distribution over cities are usually not available, a methodology is defined to estimate them from PM<sub>2.5</sub> emissions and ratios of PM<sub>1</sub> / PM<sub>2.5</sub> and PM<sub>0.1</sub> / PM<sub>2.5</sub> by activity sector. In this methodology, a fitting parameter  $\alpha_{em}$  is used to redistribute the number concentrations in the lowest emission diameter range. This parameter is chosen by comparing measured and simulated number concentrations during non-nucleation days. The emission size distribution is then finely discretised by conserving both mass and number in each of the size ranges where emissions are specified. The methodology is applied over Greater Paris during the MEGAPOLI campaign (July 2009). Three-dimensional simulations are performed using the chemistry transport model Polair3D/Polyphemus coupled to the aerosol module SSH-aerosol to represent the evolution of particles by condensation, evaporation, coagulation, and nucleation, with a sectional approach for the size distribution. The model is first compared to measurements during non-nucleation days, and the influence over the month of July 2009 of three different nucleation parameterisations is assessed, i.e. binary (sulfuric acid, water), ternary (sulfuric acid, ammonia, water), and heteromolecular (extremely low-volatility organic compounds (ELVOCs) from monoterpenes and sulfuric acid). The modelled number concentrations compare very well to measurements, with an average normalised mean error of 42 % for the daily number concentrations of particles larger than 10 nm and 37 % for the number concentrations of particles larger than 100 nm. The influence of the binary nucleation is low, and the ternary nucleation scheme leads to better simulated number concentrations (in terms of bias and error) at only one site out of three, but it systematically reduces the model to measurement correlation, suggesting that ternary nucleation may not be the dominant process in new particle formation. However, the relative bias and error, as well as the correlation at suburban sites, are systematically improved using the heteromolecular nucleation scheme involving sulfuric acid and ELVOCs from monoterpenes. This suggests that heteromolecular nucleation may be important in cities, especially at suburban sites in summer, and that a better characterisation of the emissions of ELVOC precursors from traffic is needed.

## 1 Introduction

Although ongoing air quality regulations only apply to particle mass, the number of particles may also be a hazard to human health (Win-Shwe and Fujimaki, 2011; Kelly et al., 2011; Pascal et al., 2013; Downward et al., 2018; Rivas et al., 2021). For example, Oberdörster et al. (2005); Schraufnagel (2020) showed that particulate matter (PM) of diameters lower than 100 nm ( $PM_{0.1}$ , also called ultra-fine particles, UFPs) are responsible for pulmonary inflammation. Because of their small sizes, they can translocate to all organs (Schraufnagel, 2020). Because the mass of UFPs is negligible, they contribute little to the total mass concentration of particles, but the number concentration of UFPs is high. Because current regulations govern the mass of particles of aerodynamic diameters lower than or equal to 10  $\mu\text{m}$  ( $PM_{10}$ ) and 2.5  $\mu\text{m}$  ( $PM_{2.5}$ ), UFPs are not regulated by those, and differences in maps of high mass and number concentrations have been reported (Ye et al., 2020).

Although most computational fluid dynamics and chemistry transport models have focused until very recently on accurately representing the mass of particles, modelling the number of particles has increasingly become the subject of these studies. At the local scale, the number of particles was modelled at the local exhaust outlet (Albriet et al., 2010; Xu et al., 2021) and in the plumes of ships (Karl et al., 2020), and at the neighbouring scale (Karl et al., 2016; Kurppa et al., 2020; Ketzler et al., 2021; Kumar et al., 2022) the large influence of nucleation and primary emissions from traffic was stressed. At the regional and global scales, chemistry transport models with model-to-measurement comparisons of number concentrations were performed in the United States (Jung et al., 2010; Zhang et al., 2010a; Kelly et al., 2011) and (more recently) in Europe (Kukkonen et al., 2016; Fountoukis et al., 2012; Patoulias et al., 2018; Fanourgakis et al., 2019; Olin et al., 2022; Patoulias and Pandis, 2022; Frohn et al., 2021). Only a few studies performed simulations over cities (Kukkonen et al., 2016; Frohn et al., 2021) and had poorer statistics than at the regional or global scale (Frohn et al., 2021).

The high number concentrations are largely due to UFPs (de Jesus et al., 2019). Although UFPs may undergo transport and may be formed away from the observation site (Cai et al., 2018), in cities the high particle number concentrations are thought to mostly originate from nucleation and traffic emissions in summer (Rivas et al., 2020; Casquero-Vera et al., 2022). These particles are difficult to represent because of uncertainties in their emission and in the nucleation process but also difficulties in modelling their growth mechanisms (Yu et al., 2019). Indeed, many of the modelling studies listed previously represent the size distribution using a log-normal approach with three to four modes (Zhang et al., 2010a; Kelly et al., 2011; Kukkonen et al., 2016; Fanourgakis et al., 2019). However, such a coarse discretisation of low-diameter particles induces large uncertainties in number

concentrations (Sartelet et al., 2006; Blichner et al., 2021). Furthermore, Blichner et al. (2021) found that the aerosol number concentrations are better modelled compared to observations if a sectional scheme is used for low-diameter particles.

The emissions of particle numbers are highly uncertain, and they are usually not reported in emission inventories, such as the European emission inventory (EMEP/EEA, 2019) or city inventories. In the framework of the EUCAARI project, a number emission inventory was built over Europe by size-segregating PM mass emission for the different sectors (Kulmala et al., 2011). Most of the regional-scale studies presented above used this emission inventory (Fountoukis et al., 2012; Patoulias et al., 2018; Patoulias and Pandis, 2022) or an updated version (Olin et al., 2022). Although the number concentrations may be particularly high in cities, number emissions are difficult to estimate. Kukkonen et al. (2016) and Frohn et al. (2021) estimated number emissions from particle mass emissions for different anthropogenic sectors. These number emissions were then assigned to the Aitken mode of a modal size representation for 3D modelling. Such an approach is not appropriate for a sectional size representation where the aerosol dynamics are finely modelled. A methodology is needed to estimate particle number emissions and the size distribution at emission for city-scale inventories.

Not only are the primary emissions and size distributions at emission of UFPs highly uncertain, but their formation from gas-phase precursors (nucleation) and the emissions of low-volatility organic vapour, which may strongly influence the growth of UFPs (Patoulias and Pandis, 2022), is also uncertain. Okuljar et al. (2021) showed that particles smaller than 3 nm may largely be directly emitted by traffic, but this contribution may be low during nucleation episodes. Low-volatility organic vapours are also emitted by traffic, and depending on the distance from the source, they may be in the gas phase (at high temperature) or the condensed phase (after cooling to ambient temperature). The difficulty in accounting for the organic vapours in the emission inventory arises from the fact that they might already be partly included in  $PM_{2.5}$  (as organic carbon, Kim et al., 2016).

Nucleation is uncertain both in terms of the gas involved and their representation. Several parameterisations of binary nucleation (involving sulfuric acid and water) or ternary nucleation (involving sulfuric acid, ammonia, and water) exist. Zhang et al. (2010b) compared binary and ternary nucleation parameterisations and found differences of several orders of magnitude among the parameterised nucleation rates. Among the parameterisations tested, those with a simple power law to describe the binary nucleation of sulfuric acid (Sihto et al., 2006; Kuang et al., 2008) compared best to observed nucleation rates. Zhang et al. (2010b) reported that the commonly used binary parameterisations of Kulmala et al. (1998) and Vehkamäki et al. (2002) or the ternary parameterisations of Napari et al. (2002) and Merikanto et al. (2007),

which are based on classical homogeneous nucleation models, overestimate the nucleation rate. Organic vapours, such as highly oxygenated organic molecules (HOMs), may also be involved in nucleation (Tröstl et al., 2016; Sulo et al., 2021). The large influence of the heteromolecular nucleation of sulfuric acid and organics has been underlined in a global modelling study (Zhu and Penner, 2019). However, the influence of heteromolecular nucleation was not assessed at the regional or city scale, where HOMs are believed to mostly contribute to the growth of nanoparticles (Patoulias and Pandis, 2022).

This paper aims to model the number of particles over Greater Paris during summer, first by defining a methodology to estimate primary number emissions, and second by estimating nucleation parameterisations that best represent measurements. The simulations are performed during the summer MEGAPOLI campaign. Section 2 presents the model and the measurement data. Section 3 defines a methodology to estimate the number emissions from the different emission sectors. Section 4 studies the influence of nucleation (binary, ternary and heteromolecular with organics) on the number concentration. The model with the different nucleation parameterisations is evaluated in Sect. 5 at several measurement sites for mass and number concentrations and size distribution. The conclusions are presented in Sect. 6.

## 2 Presentation of the model and data

### 2.1 The model

Simulations are performed with the three-dimensional (3D) chemistry transport model Polair3D (Sartelet et al., 2007) of the Polyphemus platform, which is coupled to the aerosol module SSH-aerosol (Sartelet et al., 2020). The gas-phase chemistry model is CB05, modified to represent the formation of semi-volatile organic compounds that may condense onto particles and form secondary organic aerosols (SOAs) (Kim et al., 2011; Chrit et al., 2017). The considered SOA precursors are anthropogenic (toluene, xylenes, intermediate and semi-volatile organic compounds) and biogenic (monoterpenes, sesquiterpenes, isoprene). The myriad of SOA species formed during the oxidation of those precursors is modelled with surrogate organic molecules of representative physico-chemical properties (Couvidat et al., 2012; Sartelet et al., 2020). Some of the surrogates may be considered non-volatile: the surrogate BiA3D (3-methyl-1,2,3-butane tricarboxylic acid) from the monoterpene oxidation, the surrogates monomer ( $C_{10}H_{14}O_9$ ) and dimer ( $C_{19}H_{28}O_{11}$ ) from the monoterpene autoxidation, the surrogate AnCIP from the xylenes and low nitrous oxide toluene oxidation, and the surrogate SOAIP (secondary organic aerosol of low volatility) from the oxidation of anthropogenic semi-volatile organic compounds. The growth of UFPs is strongly impacted by the condensation of these low-volatility compounds and coagulation. Therefore, numerically the conden-

sation of non-volatile compounds is solved dynamically with nucleation and coagulation processes using the ETR (explicit trapezoidal rule) numerical scheme. In each section, particles grow because of condensation, leading to variations in the section diameters. Because the bound diameters of each section should remain fixed to ensure numerical consistency with coagulation and 3D transport, the number and mass concentrations are redistributed at each time step on the fixed-size (diameter) sections using the Euler-coupled approach (Devilliers et al., 2013). The condensation and evaporation of semi-volatile compounds is computed by assuming bulk thermodynamic equilibrium between the gas and the particle phases. The condensing matter estimated from bulk equilibrium is distributed over the aerosol size distribution by using weighting factors for each size section based on the condensation and evaporation kernel of the condensation and evaporation rate.

Different parameterisations of nucleations are implemented: the binary parameterisation of Kuang et al. (2008) involving sulfuric acid and water; the ternary parameterisation of Napari et al. (2002) involving sulfuric acid, water, and ammonium; and the heteromolecular parameterisation of Riccobono et al. (2014) involving sulfuric acid and oxidised monoterpene compounds. Concerning this heteromolecular nucleation, Schobesberger et al. (2013) argued that stable clusters with sulfuric acid molecules may be effectively formed from highly oxidised extremely low-volatility organic compounds (ELVOCs). The less oxidised but more abundant oxidation products may instead drive the initial growth of the clusters. Hence, the concentration of the oxidised biogenic compounds is assumed to be equal to the concentration of ELVOCs, which are formed in the model from the autoxidation of monoterpenes (Ehn et al., 2014; Chrit et al., 2017). Several studies rescaled the ternary parameterisation of Napari et al. (2002) using scaling factors on the order of  $10^{-5}$ – $10^{-6}$  because of nucleation rates that are too high (Fountoukis et al., 2012; Patoulias et al., 2018). A scaling factor of 0.001 is used here. As the heteromolecular parameterisation of Riccobono et al. (2014) also led to number concentrations that were too high, it is rescaled by a factor 0.1.

### 2.2 Simulation setup

The simulation domain (see Fig. 4) and the model input data (meteorology and boundary conditions) are the same as in Royer et al. (2011); Couvidat et al. (2013). Only five size sections between 0.01 and  $10\ \mu\text{m}$  were used in these studies. To represent the aerosol dynamics, including the nucleation process, the discretisation of particle diameters starts at 1 nm here, and the number of sections is increased to 25. The bound diameters of the sections used in the modelling are (in  $\mu\text{m}$ ) 0.001, 0.00133, 0.00177, 0.00237, 0.00316, 0.00421, 0.00562, 0.00750, 0.01, 0.0141, 0.0199, 0.0282, 0.0398, 0.0562, 0.0794, 0.112, 0.1585, 0.224, 0.316,

0.447, 0.631, 0.891, 1.26, 2.5, 5.0, and 10. The distribution of boundary conditions and emissions into 25 size sections is done offline prior to the simulation using the algorithm detailed in Appendix A. Because the larger-scale simulations from the nesting domain presented in Royer et al. (2011); Couvidat et al. (2013) did not include particles of diameters lower than 0.01  $\mu\text{m}$ , the boundary conditions for particles between 0.001 and 0.01  $\mu\text{m}$  are fixed to 0. The allocation of emissions to the different sections is detailed in the following section.

### 2.3 Size distribution at emission

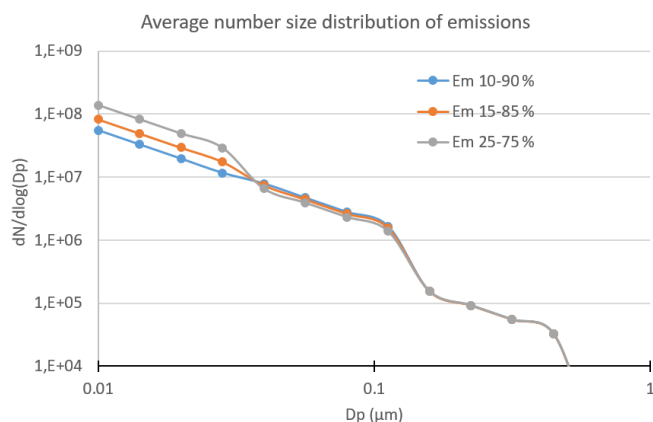
Anthropogenic emissions are obtained from the Airparif 2005 inventory, which provides emissions for the different category sectors defined by Selected Nomenclature for Air Pollution (SNAP). For particulate emissions, only  $\text{PM}_{10}$  and  $\text{PM}_{2.5}$  emissions are available. Emissions of PM from traffic are assumed to be made of 50 % elementary carbon, 40 % organics, and 10 % non-volatile non-carbonaceous PM (Couvidat et al., 2013). Emissions of intermediate-, semi-, and low-volatility organic compounds (IVOC, SVOC, LVOC, respectively) are estimated from the organic mass of  $\text{PM}_{2.5}$  as detailed in Sartelet et al. (2018): the mass of organic vapours is estimated by multiplying by 1.5 the organic mass (Kim et al., 2016). The emitted organics are then divided into volatility classes: 25 % is assigned to LVOC, 32 % to SVOC, and 43 % to IVOC (Couvidat et al., 2012). To determine number emissions, the size distribution of  $\text{PM}_{2.5}$  is estimated using ratios of  $\text{PM}_1 / \text{PM}_{2.5}$  and  $\text{PM}_1 / \text{PM}_{0.1}$  from the UK National Atmospheric Emissions Inventory (NAEI) for each activity sector. These factors are presented in Table A2 of Appendix A. To represent  $\text{PM}_{0.1}$ ,  $\text{PM}_1$ , and  $\text{PM}_{2.5}$  emissions, the size range of diameters between 0.01 and 10  $\mu\text{m}$  is divided into five sections regularly distributed in log space and using bound diameters (in  $\mu\text{m}$ ) of 0.01, 0.0398, 0.1585, 0.631, 2.11, and 10. Emissions of coarse particles ( $\text{PM}_{10}$ – $\text{PM}_{2.5}$ ) are assigned to the section of diameters between 2.11 and 10  $\mu\text{m}$ . Emissions of fine particles ( $\text{PM}_{2.5}$ – $\text{PM}_1$ ) are assigned to the section of diameters between 0.631 and 2.11  $\mu\text{m}$ . Note that 0.631 and 2.11  $\mu\text{m}$  are used as bound diameters for  $\text{PM}_1$  and  $\text{PM}_{2.5}$  because PM is defined for aerodynamic diameters, whereas the model uses the diameter of spherical particles. Aerodynamic diameters of 1 and 2.5  $\mu\text{m}$  correspond to diameters of 0.631 and 2.11, assuming a particle density of 1.58  $\text{g cm}^{-3}$  and approximating the Cunningham correction factor following DeCarlo et al. (2004); Jung et al. (2020). Emissions of  $\text{PM}_{0.1}$  are assigned in the size range of diameters between 0.01 and 0.1585  $\mu\text{m}$ . The bound diameter of 0.1585  $\mu\text{m}$  is reasonable for  $\text{PM}_{0.1}$  at emission because particles may then be irregular with a diameter larger than the aerodynamic diameter (DeCarlo et al., 2004). However, the mass of UFP particles  $\text{PM}_{0.1}$  is redistributed arbitrarily between the low range (between 0.01 and 0.0398  $\mu\text{m}$ ) and the high range (above 0.0398  $\mu\text{m}$ ) of UFP diameters using a dis-

tribution coefficient  $\alpha_{\text{em}}$ ; i.e. an emission ratio ( $\alpha_{\text{em}}$ , ( $1\alpha_{\text{em}}$ )) distributes  $\text{PM}_{0.1}$  in two size ranges. To determine this arbitrary distribution coefficient, simulations are compared to measurements during non-nucleation days using three different values of  $\alpha_{\text{em}}$ : 10 %, 15 %, and 25 % (Sect. 3). The mass allocated to the section between 0.01  $\mu\text{m}$  and 0.0398  $\mu\text{m}$  corresponds to  $\alpha_{\text{em}}$  times the mass of  $\text{PM}_{0.1}$ , and the mass allocated to the section between 0.0398  $\mu\text{m}$  and 0.1  $\mu\text{m}$  corresponds to ( $1\alpha_{\text{em}}$ ) times the mass of  $\text{PM}_{0.1}$ . Note that particles with diameters lower than 0.01  $\mu\text{m}$  are not emitted here, although diesel vehicles may emit such small particles (Kuuluvainen et al., 2020). However, the work of Olin et al. (2022) suggests that these emissions may not strongly affect the number concentrations at background sites because of the coagulation of emitted particles.

Although five size sections are defined for emissions, as many as 25 size sections are used in the model to represent the aerosol dynamics. To specify emissions in the range of the size sections of the model, the size distribution at emission is progressively refined by dividing each of the size sections at emission into two smaller size sections, keeping both the emitted mass and number concentrations constant during each division. The algorithm used for this division is detailed in Appendix A.

### 2.4 Measurements

Concerning number concentrations, measurements were performed between 1 and 31 July 2009 at three sites: the LHVP site, a background urban site in the centre of Paris; the SIRTAsite, a background suburban site in the southwestern part of Paris; and the GOLF site, a background suburban site close to a golf course in the northeastern part of Paris (see Fig. 4). At the LHVP site, the number concentrations were monitored using a twin differential mobility particle sizer (TDMPs) for diameters between 3 and 635 nm. An air ion spectrometer (AIS) monitored the size distribution of ambient (not dried) positive and negative air ions of mobility diameters ranging from 0.8 to 40 nm. At the GOLF site, number concentrations were monitored using an electrical aerosol spectrometer (EAS) for diameters between 3 and 10 000 nm. At the SIRTAsite, number concentrations were monitored using a scanning mobility particle sizer (SMPS) for diameters between 10 and 500 nm. The monthly average size distribution is plotted in Figs. 7, 8, and 9 at the SIRTAsite, LHVP, and GOLF sites, respectively. The lowest number concentrations are measured at the suburban SIRTAsite. The highest number concentrations are measured at the suburban GOLF site. Further details about the measurements performed may be found in Pikridas et al. (2015). Mass concentrations were also monitored, allowing for the validation of the modelled particle mass concentrations. The mass concentrations of sulfate, nitrate, ammonium, and organics in  $\text{PM}_1$  were monitored with aerosol mass spectrometers (Freutel et al., 2013).



**Figure 1.** Number of emitted particles as a function of diameter (in  $\text{m}^{-2} \text{s}^{-1}$ ).

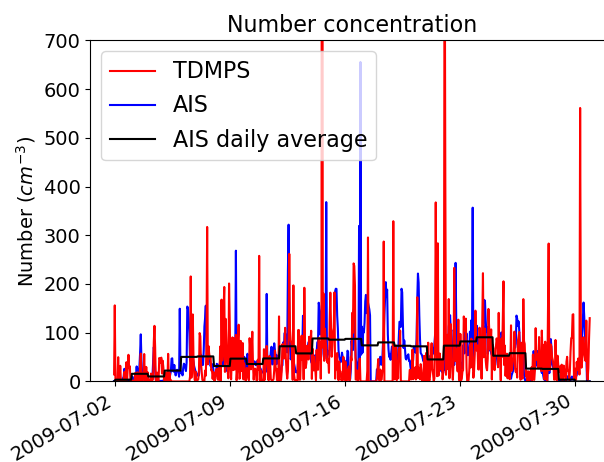
### 3 Selection of non-nucleation days to determine the size distribution at emission

The undetermined number distribution coefficient  $\alpha_{\text{em}}$  at emission, defined in Sect. 2.3, is estimated by comparing the model to the measurements during non-nucleation days at LHVP, where measurements of UFPs from diameters as low as 0.8 nm are available from the AIS.

Three distribution coefficients  $\alpha_{\text{em}}$  are tested, 10 %, 15 %, and 25 %, corresponding to three sets of emission ratios, i.e. 10 %–90 %, 15 %–85 %, and 25 %–75 %, respectively. The differences between the size distribution at emission for these sets are shown in Fig. 1. The number of UFPs at emission is smaller in the set 10 %–90 % than in the set 15 %–85 %, which is itself smaller than in the set 25 %–75 %. The number of particles of diameters lower than 5 nm, as measured with the AIS and TDMPS, is shown in Fig. 2. It is the lowest at the beginning of July: it is lower than  $20 \text{ cm}^{-3}$  on 2 and 3 July and for the first 6 h of 4 July. This period is then selected to determine the number distribution coefficient at emission. Over that period, the averaged number concentration measured with the TDMPS consists mostly of particles with a diameter larger than 10 nm ( $N_{>10}$ ). Figure 3 compares the average number concentrations simulated over that period to the TDMPS measurements using the different emission distribution coefficients  $\alpha_{\text{em}}$ : 10 %, 15 %, and 25 %. The measured  $N_{>10}$  is  $8216 \text{ cm}^{-3}$ , while the averaged simulated  $N_{>10}$  is  $6172 \text{ cm}^{-3}$  for  $\alpha_{\text{em}} = 10 \%$ ,  $8152 \text{ cm}^{-3}$  for  $\alpha_{\text{em}} = 15 \%$ , and  $12883 \text{ cm}^{-3}$  for  $\alpha_{\text{em}} = 25 \%$ . Clearly, the number of UFPs is too high using  $\alpha_{\text{em}} = 25 \%$ . Particles with diameters below  $0.03 \mu\text{m}$  are also overestimated using  $\alpha_{\text{em}} = 15 \%$ , while they are well modelled using  $\alpha_{\text{em}} = 10 \%$ .

### 4 Influence of nucleation

Although nucleation has a low influence on the mass concentrations of  $\text{PM}_{10}$ ,  $\text{PM}_{2.5}$ , and  $\text{PM}_{10}$ , it has a major influence on



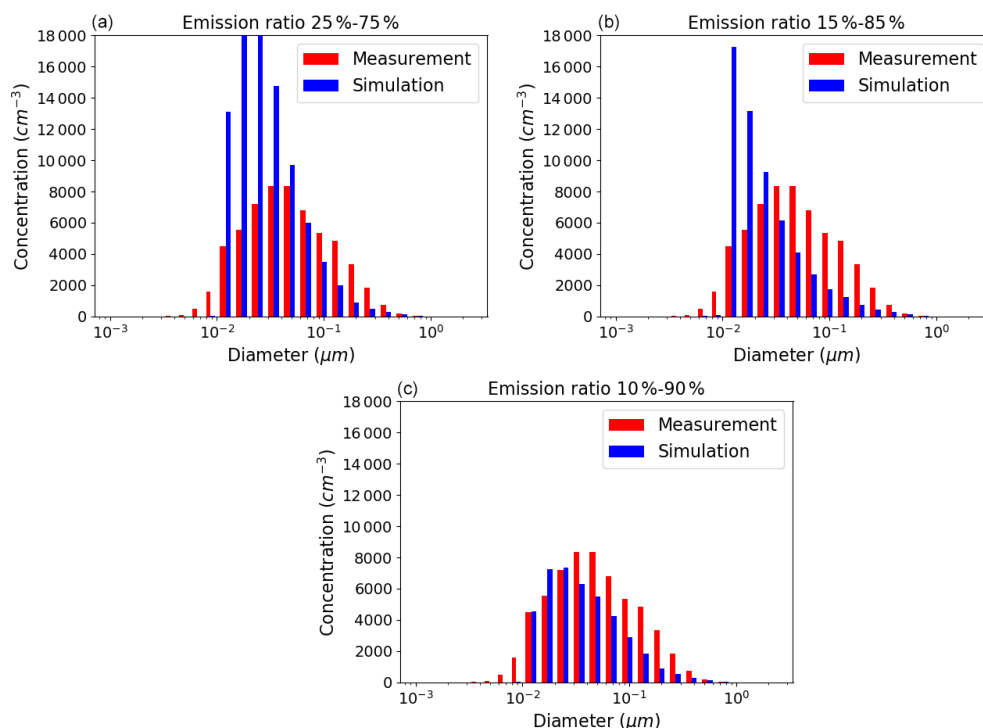
**Figure 2.** Number of particles with diameters lower than 5 nm, as measured with the AIS (in blue) and TDMPS (in red). The black lines represent the average daily number concentrations measured with the AIS.

the number concentration during July. As shown in Fig. 4a, if nucleation is not taken into account, high number concentrations are observed along the main roads and motorways and concentrations are higher near the central part of the city than in the suburbs. The main roads are clearly distinguishable on the map of number concentrations, highlighting the strong impact of traffic emissions on the simulated concentrations.

To assess the influence of nucleation, simulations are performed with different nucleation parameterisations and compared to the simulation where nucleation is ignored. The nucleation parameterisations are those detailed in Sect. 2.1: the binary nucleation parameterisation of Kuang et al. (2008) involving sulfuric acid and water; the ternary nucleation parameterisation of Napari et al. (2002) involving ammonia, sulfuric acid, and water; and the heteromolecular nucleation parameterisation involving organic and sulfuric acid of Riccobono et al. (2014).

Nucleation leads to a large increase in number concentrations. On average over the whole domain and over the month of July, the binary, ternary, and heteromolecular nucleation parameterisations lead to an increase by a factor 1.1, 2.1, and 2.8, respectively.

Figure 5 shows the relative differences between simulations taking into account one nucleation scheme and the simulation without nucleation. The increase in the number concentration using the binary parameterisation is very localised (mostly near central Paris). The increase in the number concentration using the ternary parameterisation is larger than with the binary, but it is also very localised near Paris and its suburbs and near large factories. The increase in the number concentration using the heteromolecular parameterisation is the largest of the three parameterisations. Although the average increase over the domain is of the same order of magnitude as the increase due to ternary nucleation, it is less



**Figure 3.** Average number concentration between 2 July 00:00 UTC and the 4 July 06:00 UTC, simulated with an emission ratio of 25 %–75 % (a), 15 %–85 % (b), and 10 %–90 % (c). The simulated concentrations are in blue, while the concentrations measured with the TDMPS are in red.

localised and more homogeneously distributed. However, as shown in Fig. 4b, the highest number concentrations are simulated near the central part of Paris and along the main roads and motorways, even when the heteromolecular nucleation parameterisation is used.

## 5 Model evaluation

The simulated concentrations are evaluated using the measurements performed at the SIRTA, LHVP, and GOLF sites.

### 5.1 Statistics

#### 5.1.1 Mass concentration

The mass concentration of the particles and of the different compounds of particles are fairly well modelled, as shown in Table 1. Modelled  $\text{PM}_{2.5}$  and  $\text{NO}_2$  concentrations are compared to measurements routinely carried out at background sites by the air quality agency Airparif. For  $\text{PM}_{2.5}$  and sulfate, ammonium, and organics in  $\text{PM}_1$ , the simulated concentrations satisfy the most strict performance criteria of Boylan and Russell (2006) (mean fractional error, MFE, below 50 % and mean fractional bias, MFB, below 30 %). The MFE for nitrate concentration is higher (88 %), but the nitrate concentrations are very low ( $0.2 \mu\text{g m}^{-3}$ ) in both the measurements and the simulation. Except for nitrate, the correlations  $R$  be-

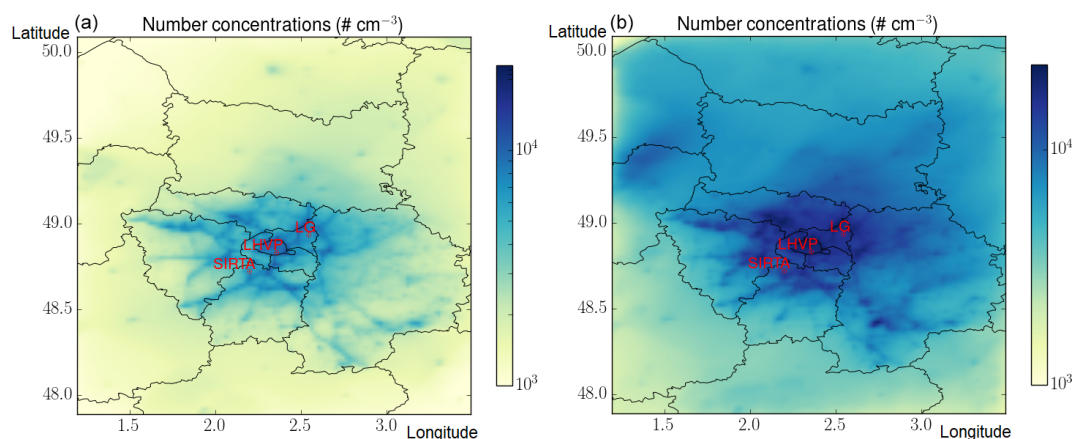
tween the simulations and measurements are also high (between 57 % and 76 %).

Note that the statistics shown in Table 1 are not influenced by the nucleation scheme.

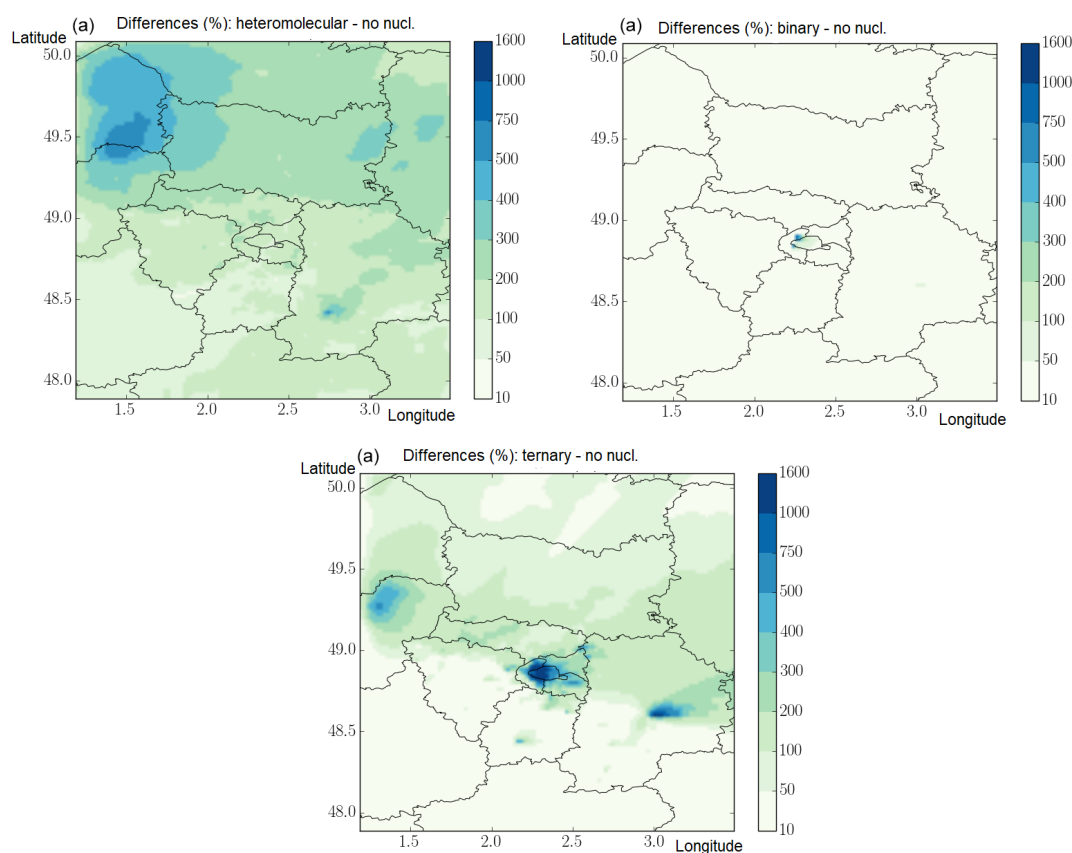
No measurement of  $\text{NH}_3$  concentration was available in 2009. However, the simulated concentration range is realistic (see Fig. 6) considering that measurements of  $\text{NH}_3$  performed recently in the Paris city centre with a mini-DOAS (differential optical absorption spectroscopy) indicate a mean concentration of  $2 \mu\text{g m}^{-3}$  (Viatte et al., 2021).

#### 5.1.2 Number concentration

The simulated number concentrations of particles with diameters larger than 10 nm ( $N_{>10}$ ) and 100 nm ( $N_{>100}$ ) are compared to the observations at SIRTA, LHVP, and GOLF in Table 2. The simulation without nucleation strongly underestimates the number concentrations  $N_{>10}$  and  $N_{>100}$  in July 2009 at all sites (SIRTA, LHVP, and GOLF). There are no established criteria for determining how well a simulation performs against the measurement. The normalised mean bias (NMB) and the normalised mean error (NME) are often used (Fanourgakis et al., 2019; Olin et al., 2022; Patoulias and Pandis, 2022; Frohn et al., 2021). For a month of spring or summer over Europe, in Olin et al. (2022) the NME was 94 % for  $N_{>10}$  and 49 % for  $N_{>100}$  on average over 6 stations and in Patoulias and Pandis (2022) the NME was



**Figure 4.** Number concentrations (in  $\text{cm}^{-3}$ ) for the simulation without nucleation (a) and the simulation with heteromolecular nucleation (b).



**Figure 5.** Differences in number concentrations (in %) between simulations with and without nucleation. The nucleation parameterisations used are the heteromolecular (a), the binary (b), and the ternary (c).

63 % for  $N_{>10}$  and 45 % for  $N_{>100}$  on average over 26 stations. The NMB of  $N_{>30}$  ranged between 117 % and 161 % in Frohn et al. (2021), who used a modal approach for the size distribution. Simulations over cities led to higher errors: only monthly means are compared in Kukkonen et al. (2016), and the NMB ranges between 218 % and 285 % for  $N_{>30}$  in Frohn et al. (2021). The simulations without nucleation

presented here lie in the range of errors obtained in previous studies at the European scale, with NMEs between 36 % and 79 % for  $N_{>10}$  and between 47 % and 50 % for  $N_{>100}$ . These statistics are improved when nucleation is used, depending on the nucleation scheme used. Using the heteromolecular scheme for nucleation leads to very good model-

**Table 1.** Model to measurement comparisons of daily mass concentrations in July 2009. The subscripted “1” is used to indicate PM<sub>1</sub>.

	NO <sub>2</sub>	PM <sub>2.5</sub>	Sulfate <sub>1</sub>	Nitrate <sub>1</sub>	Ammonium <sub>1</sub>	Organics <sub>1</sub>
Number of stations	46	5	3	3	3	3
Meas. mean (µg m <sup>-3</sup> )	15.8	10.3	1.0	0.2	0.4	2.9
Sim. mean (µg m <sup>-3</sup> )	14.1	8.2	0.9	0.2	0.4	2.4
MFB (%)	-21	-19	-14	-20	3	-5
MFE (%)	41	33	34	88	29	36
Correlation (%)	73	76	69	32	74	57

to-measurement comparisons: the NME is 42 % on average for  $N_{>10}$  and 37 % for  $N_{>100}$ .

The effect of the binary nucleation is very low at all sites, especially for  $N_{>100}$ . There is almost no change in the statistics at the SIRTA suburban site for both  $N_{>100}$  and  $N_{>10}$ . The effect of nucleation is the largest at the site in the centre of Paris (LHVP), where it leads to an increase of the number of particles and reduces the bias from -54 % to -42 %. However, the error slightly increases and the correlation strongly decreases (from 68 % to 26 %), suggesting that the binary nucleation scheme does not improve the model-to-measurement comparisons overall. At all sites, the number concentration is strongly underestimated if only binary nucleation is taken into account.

The effect of the ternary nucleation is higher than the effect of the binary one. For  $N_{>100}$ , the number concentration increases, leading to a decrease in the bias and a decrease in the error. This decrease is the strongest at the GOLF site, reducing the bias from -50 % to -41 % and the error from 50 % to 44 %. However, the model-to-measurement correlation decreases strongly at the GOLF site from 50 % to 37 %. Although the correlation also decreases because of ternary nucleation at the LHVP site, it increases from 51 % to 59 % at the SIRTA site. For  $N_{>10}$ , The increase in number concentration leads to a decrease in the bias and error at both the SIRTA and GOLF sites. As for  $N_{>100}$ , this decrease is the strongest at the GOLF site, where the bias is reduced from -79 % to -24 % and the error is reduced from 79 % to 51 %. At the LHVP site in central Paris, the increase in  $N_{>10}$  is too strong and the absolute value of the bias is not modified much, as it varies from -54 % to 55 %, but the error increases from 55 % to 97 %. At all three sites, the correlation strongly decreases. The effect of the parameterisation is not completely satisfactory because although the measurement-model bias decreases at most sites, the nucleation is too strong at the site located in the centre of Paris, where the measurement-model correlations also decrease strongly.

The effect of the heteromolecular nucleation strongly improves the bias and error of  $N_{>100}$  and  $N_{>10}$  at all sites. For example, at the SIRTA site, for  $N_{>10}$  the bias is reduced from -54 % to -17 % and the error is reduced from 54 % to 36 %, while for  $N_{>100}$  the bias is reduced from -44 %

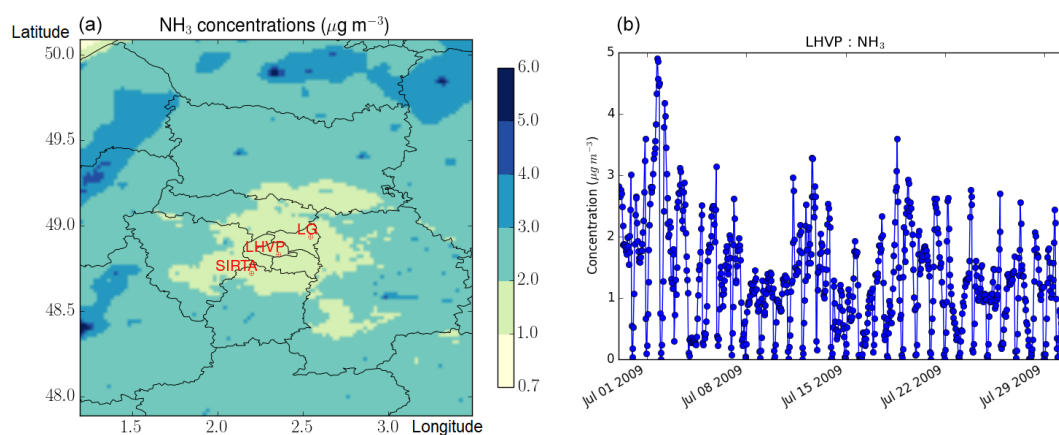
to -28 % and the error is reduced from 47 % to 36 %. For  $N_{>10}$ , the correlation is not modified much, except at the LHVP site, where it is reduced from 68 % to 49 %. However the correlation decreases less than with the other nucleation schemes (it decreases to 26 % for the binary nucleation and to -20 % for the ternary nucleation). For  $N_{>100}$ , the correlation also slightly decreases at the LHVP site, from 77 % to 68 %, but it is greatly improved at both the SIRTA site (from 50 % to 65 %) and the GOLF site (from 51 % to 63 %). These comparisons suggest that the heteromolecular nucleation improves the modelling of  $N_{>10}$  and  $N_{>100}$  at the suburban sites. Even though the bias and error are also improved at the city centre site, the decrease in correlation when the nucleation parameterisations are used may indicate the need to better characterise new particle formation in cities and close to traffic sites.

## 5.2 Size distribution and hourly evolution

The strong influence of nucleation is also evident when looking at the particle size distributions averaged over the month of July in Fig. 7 at the SIRTA site, Fig. 8 at the LHVP site, and Fig. 9 at the GOLF site. The influence of the different nucleation parameterisations is now compared. To gain more insights into the influence of the nucleation parameterisation on the size distribution and on the number formation rate, the simulated hourly evolution of the number of particles of diameters between 10 and 100 µm ( $N_{10-100}$ ) is plotted in Fig. 10 and compared to measurements. At all sites, the number concentration is strongly underestimated if only binary nucleation is taken into account, as shown by the hourly evolution of  $N_{10-100}$  (Fig. 10). Although a few nucleation peaks, associated with a sudden increase in  $N_{10-100}$  concentrations, are sometimes simulated, they do not appear to be temporally related to those observed.

At the SIRTA site, Fig. 7 shows that the influence of binary nucleation is very low. The influence of ternary nucleation is higher, but the number of particles with diameters between 20 and 200 nm is strongly underestimated. This number is better modelled using the heteromolecular nucleation parameterisation, although this parameterisation seems to slightly overestimate the number of particles between 10 and 20 nm.





**Figure 6.** Monthly average  $\text{NH}_3$  concentration (a) and hourly evolution of the  $\text{NH}_3$  concentrations (in  $\mu\text{g m}^{-3}$ ) at the LHVP site (b).

**Table 2.** Model-to-measurement comparisons of daily number concentrations of particles with a diameter higher than 10 nm in July 2009 at LHVP, SIRT, and GOLF.  $\bar{o}$  and  $\bar{s}$  stand for mean observation and simulated concentrations, respectively. Corr. stands for correlation. NMB and NME stand for normalised mean bias and normalised mean error, respectively.

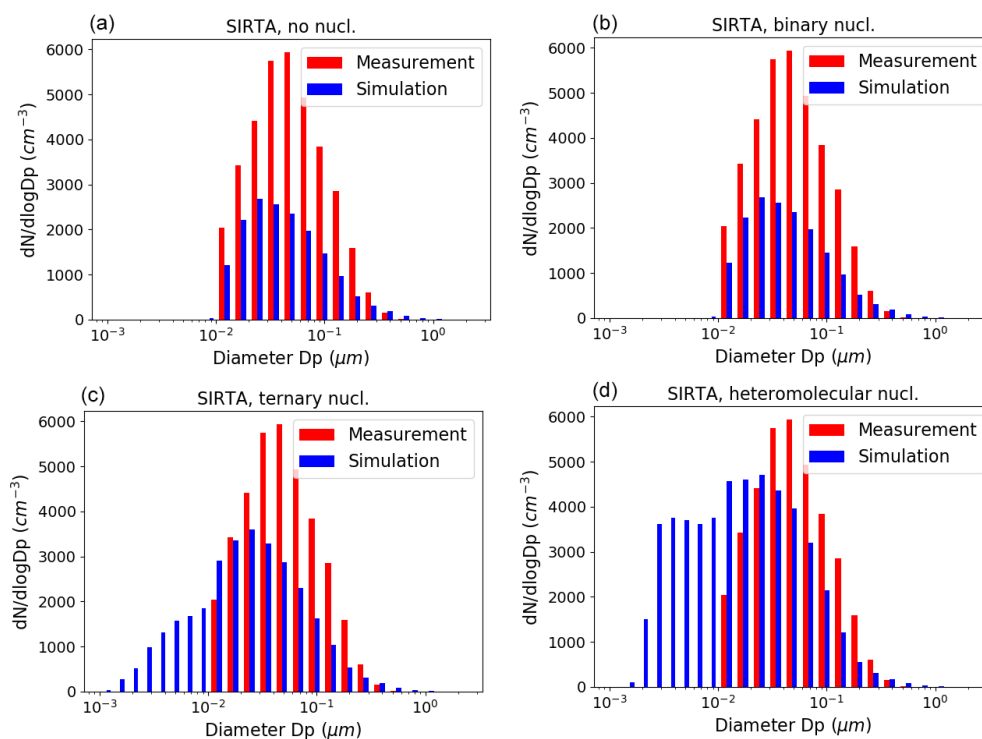
Station	$N_{>10}$					$N_{>100}$				
	$\bar{o}$	$\bar{s}$	Corr.	NMB	NME	$\bar{o}$	$\bar{s}$	Corr.	NMB	NME
Station SIRT										
No nucl.	5328	2458	48	−54	54	946	532	51	−44	47
Binary	5328	2461	47	−54	54	946	531	51	−44	47
Ternary	5328	3241	27	−39	51	946	571	59	−40	43
Heteromolecular	5328	4396	49	−17	36	946	680	65	−28	36
Station LHVP										
No nucl.	9852	4567	68	−54	55	1191	667	77	−44	47
Binary	9852	5709	26	−42	57	1191	660	76	−44	47
Ternary	9852	15 302	−20	55	97	1191	713	68	−40	44
Heteromolecular	9852	7341	49	−25	37	1191	805	68	−32	38
Station GOLF										
No nucl.	12 957	2279	66	−79	79	1221	615	50	−50	50
Binary	12 957	3195	23	−75	75	1221	625	47	−49	49
Ternary	12 957	9739	−51	−24	51	1221	716	37	−41	44
Heteromolecular	12 957	5814	64	−55	55	1221	808	63	−33	37

However, Fig. 10 shows that the heteromolecular nucleation allows a fairly good representation of the nucleation events associated with the different high  $N_{10-100}$  concentrations, such as between 23 and 30 July.

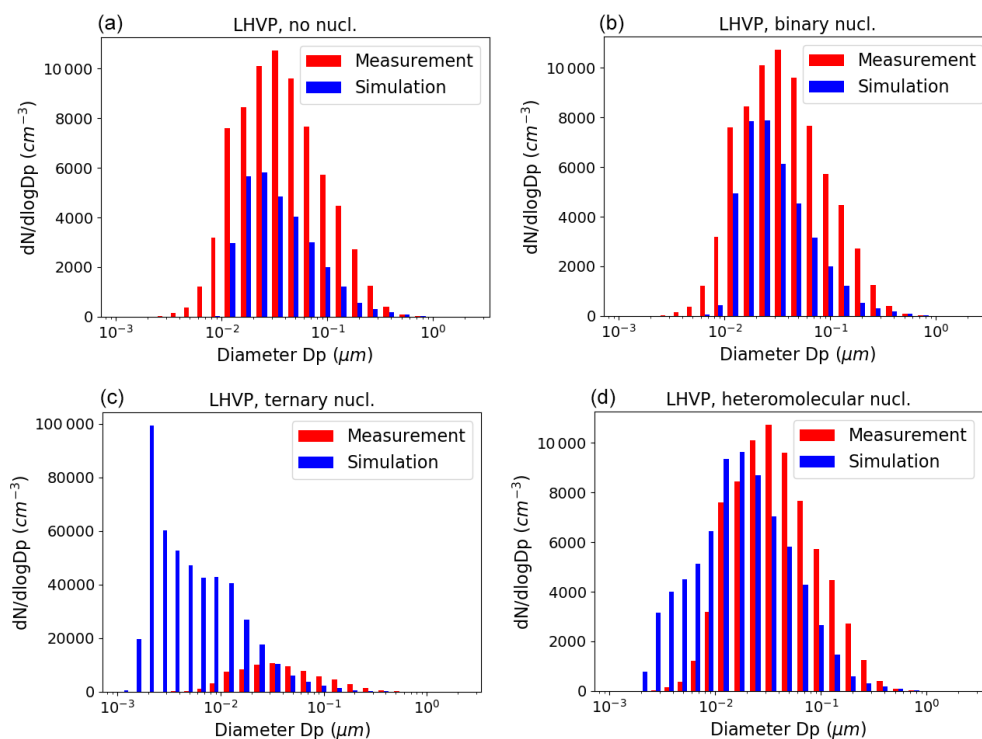
At the LHVP site, Fig. 8 shows that the influence of the binary nucleation is higher than at the SIRT site and leads to good model-to-measurement comparisons, although the number of particles of diameters between 20 and 200 nm is underestimated. This underestimation is less important using the heteromolecular parameterisation, which overestimates the number of particles between 3 and 10 nm. However, the hourly variations of  $N_{10-100}$  concentrations are underestimated between 9 and 16 July and between 23 and 30 July

when nucleation is the strongest at the LHVP site (Fig. 10). This could be due to condensable vapours being too low.

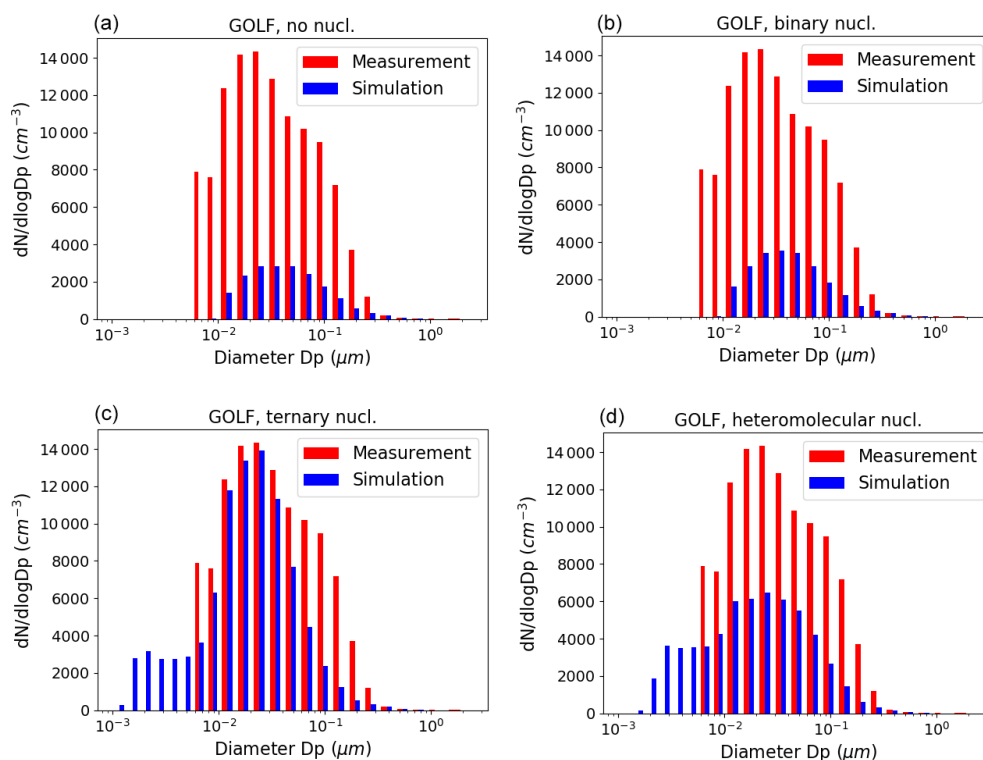
The ternary nucleation parameterisation performs well for number of particles with diameters above 20 nm, but the number of particles with diameters below 20 nm is strongly overestimated (by a factor larger than 100). At the LHVP site,  $\text{NH}_3$  concentrations vary between 0 and  $3 \mu\text{g m}^{-3}$  during most of July. As traffic is the main source of  $\text{NH}_3$  in the centre of Paris in July, the peaks of  $\text{NH}_3$  concentrations are strongly related to the traffic peaks (Fig. 6). When ternary nucleation is taken into account, peaks of particle number concentrations are simulated when  $\text{NH}_3$  concentrations are high and number concentrations are very low when  $\text{NH}_3$  concen-



**Figure 7.** Size distribution of the number concentrations (in  $\text{cm}^{-3}$ ) at the SIRTa site for the simulation without nucleation (a), with binary nucleation (b), with ternary nucleation (c), and with heteromolecular nucleation (d).



**Figure 8.** Size distribution of the number concentrations (in  $\text{cm}^{-3}$ ) at the LHVP site for the simulation without nucleation (a), with binary nucleation (b), with ternary nucleation (c), and with heteromolecular nucleation (d).



**Figure 9.** Size distribution of the number concentrations (in  $\text{cm}^{-3}$ ) at the GOLF site for the simulation without nucleation (a), with binary nucleation (b), with ternary nucleation (c), and with heteromolecular nucleation (d).

trations are low (Fig. 10). These high peaks and variations of number concentrations are not observed in the measurements, suggesting that the ternary nucleation parameterisation does not perform well at the LHVP urban site.

At the GOLF site, Fig. 8 shows that the number concentration is strongly underestimated if nucleation is not taken into account for particles of all diameters. The effect of binary nucleation is very small. The heteromolecular nucleation parameterisation performs better. Although it leads to acceptable statistics, the number concentration is still underestimated for particles of all diameters. A good representation of the size distribution is obtained using the ternary nucleation parameterisation. However, Fig. 10 shows that large nucleation peaks, associated with a sudden increase in  $N_{10-100}$  concentrations, are erroneously simulated with the ternary nucleation parameterisation, particularly between 17 and 20 July.

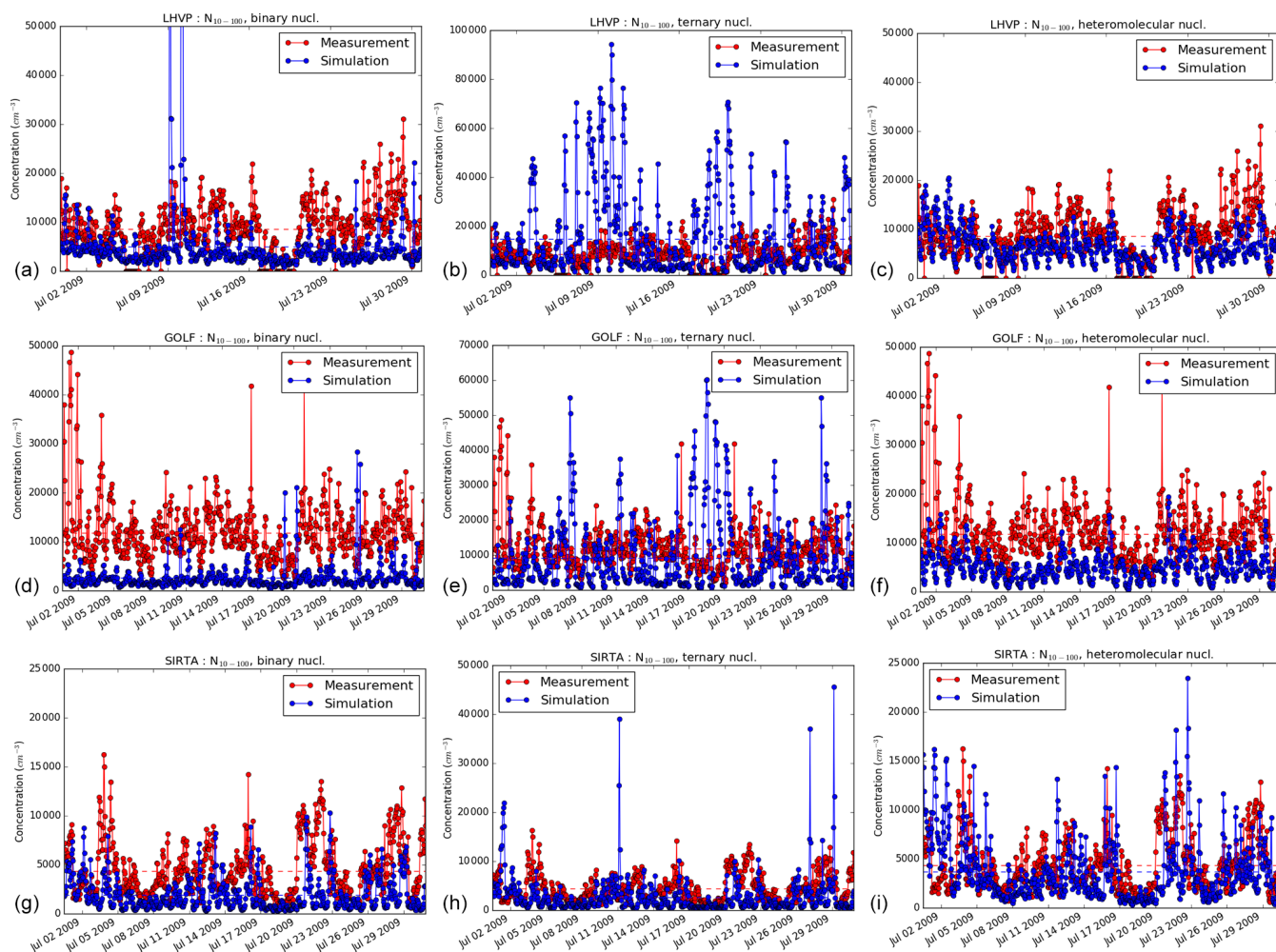
## 6 Conclusions

This paper illustrates a method for estimating the number emission factors and size distributions for different activity sectors from the emissions of  $\text{PM}_{2.5}$ . The estimated size distribution at emission is discretised using a sectional approach, and it is refined to ensure consistency regarding both mass and number concentrations. This method is applied

over Greater Paris and calibrated using days when nucleation was low.

$\text{PM}_1$ ,  $\text{PM}_{2.5}$ , and  $\text{PM}_{10}$  concentrations are not influenced by nucleation. However, nucleation has a strong influence on number concentrations in July 2009. The influence of binary nucleation, which involves sulfuric acid and water, is low over Paris. The influence of ternary nucleation, which involves sulfuric acid, ammonia, and water, can be very high, but this high influence may not be realistic. It leads to very good model-to-measurement comparison at one suburban site in terms of size distribution, but it systematically deteriorates the correlation between simulated and measured number concentrations. Furthermore, it strongly overestimates the number concentrations at the central site and slightly underestimates it at the other suburban site. Co-located measurements of ammonia and number concentrations are required to conclude on the role of ammonia in nucleation in urban areas. The best model-to-measurement comparisons for  $N_{>10}$ ,  $N_{>100}$ , and the size distributions are obtained using the heteromolecular nucleation parameterisation, which involves sulfuric acid and extremely low-volatility organic compounds from monoterpenes, emphasising the realistic importance of this process.

The correlation between measured and simulated number concentrations is high for the simulation without nucleation (higher than 48 %), stressing the strong influence of primary



**Figure 10.** Hourly evolution of the number concentrations  $N_{10-100}$  (in  $\text{cm}^{-3}$ ) at the LHVP (a, b, c), GOLF (d, e, f), and SIRTA (g, h, i) sites for the simulation with binary nucleation (a, d, g), ternary nucleation (b, e, h), and heteromolecular nucleation (c, f, i). Note that the maximum concentration is higher in the graphs with the ternary nucleation parameterisation than in those with the binary or heteromolecular nucleation parameterisations.

emissions and organic vapours from traffic on the growth of the emitted UFPs. At the two suburban sites, the heteromolecular nucleation parameterisation clearly leads to improved simulated number concentrations compared to measurements, with lower error and bias and higher correlation. The correlation is systematically deteriorated at the central site (LHVP) if nucleation is taken into account, suggesting that nucleation may involve other species than those used here or that monoterpene emissions from traffic could be underestimated. Guo et al. (2020) suggested that extremely low-volatility compounds (ELVOCs) formed from traffic emissions or emitted by traffic could nucleate. Recent studies suggested that traffic may emit monoterpenes, such as  $\alpha$ -pinene (Panopoulou et al., 2020), which could then form ELVOC rapidly and be involved in nucleation.

## Appendix A: Size distribution of emissions

To estimate the size distribution of emissions, emissions of  $\text{PM}_{10}$  and  $\text{PM}_{0.1}$  are deduced from emissions of  $\text{PM}_{2.5}$  using the ratios of Table A2. Following this, the discretisation of the size sections of the emitted particles is refined by assuming that both mass and number are kept constant in each diameter range. As an illustration, let us consider one section of bound diameters  $d_-$  and  $d_+$ , i.e. all particles in that section have diameters between  $d_-$  and  $d_+$  (for example,  $d_- = 0.1 \mu\text{m}$  and  $d_+ = 1 \mu\text{m}$ ). These particles of diameters between  $d_-$  and  $d_+$  are assumed to have a mass  $M$  and a number of particles  $N$ . To refine the section discretisation into two sections rather than one, a bound diameter  $d_m$  is added between  $d_-$  and  $d_+$ . This allows us to define two sections: section one for particles of diameters between  $d_-$  and  $d_m$  and section two for particles between

**Table A1.** Diameters (in  $\mu\text{m}$ ) of the different sections both initially and after the first refinement step.

Initial sections										
$d_-$	0.01		0.0398		0.1585		0.631		2.5	
$d_+$	0.0398		0.1585		0.631		2.5		10	
$d_m$	0.199		0.0794		0.316		1.256		5	
After first refinement step										
$d_-$	0.01	0.199	0.0398	0.0794	0.1585	0.316	0.631	1.256	2.5	5
$d_+$	0.199	0.0398	0.0794	0.1585	0.316	0.631	1.256	2.5	5	10
$d_m$	0.0141	0.0282	0.0562	0.112	0.224	0.447	0.891	1.772	3.536	7.071

**Table A2.** Estimation of the size distribution of emissions by estimating the ratios of  $\text{PM}_{1.0} / \text{PM}_{2.5}$  and  $\text{PM}_{0.1} / \text{PM}_{1.0}$  for each activity sector.

	SNAP	$\text{PM}_{1.0} / \text{PM}_{2.5}$	$\text{PM}_{0.1} / \text{PM}_{1.0}$
Combustion in energy and transformation industries	01		
Public power and district heating plants	0101/0102	0.7945	0.4806
Petroleum refining plants	0103	0.8058	0.5428
Stationary engines	0105	1	0.5
Non-industrial combustion plants	02		
Commercial and institutional plants	0201	0.8577	0.4155
Residential plants	0202	0.6761	0.2006
Plants in agriculture, forestry, and aquaculture	0203	0.7101	0.5565
Combustion in manufacturing industry	03	0.8072	0.5265
Production processes	04		
Processes in petroleum industries	0401	0.8072	0.5265
Processes in iron and steel industries and collieries	0402	0.5117	0.3684
Processes in inorganic and organic chemical industries, wood, food, and other	0404/0405/0406	0.7711	0.5
Extraction and distribution of fossil fuels and geothermal energy	05	0.8072	0.5265
Solvent and other product use	06	0.7711	0.5
Road transport	07		
Passenger cars, light and heavy-duty vehicles, and buses	0701/0702/0703	0.8947	0.5882
Mopeds and motorcycles < 50 and > 50 $\text{cm}^3$	0704/0705	0.6842	0.3846
Gasoline evaporation from vehicles	0706	0.8947	0.5882
Other mobile sources and machinery	08		
Railways	0802	0.8947	0.1765
Inland waterways	0803	0.8975	0.3032
Air traffic	0805	0.67	0.2239
Agriculture and forestry	0806/0807	0.8148	0.2575
Industry	0808	1	0.5
Household and gardening	0809	0.9043	0.5882
Waste treatment and disposal	09	1	0.15
Agriculture	10	1	0.5

$d_m$  and  $d_+$ . The particles of section one have diameters between  $d_-$  and  $d_m$ , and they are assumed to have a mass  $M_1$  and a number of particles  $N_1$ . The particles of section two have diameters between  $d_m$  and  $d_+$ , and they are assumed to have a mass  $M_2$  and a number of particles  $N_2$ . We assume that refining the discretisation conserves both mass and number ( $M = M_1 + M_2$ ;  $N = N_1 + N_2$ ) and that the mean diameter of each section is the geometrical mean of the section. To summarise, a section of mass  $M$  and mean diameter  $d_m = (d_- d_+)^{1/2}$  is divided into two sections of mass and number ( $M_1, N_1$ ) and ( $M_2, N_2$ ). The mean diameters of these sections are  $\bar{d}_1 = (d_- d_m)^{1/2}$  and  $\bar{d}_2 = (d_+ d_m)^{1/2}$ . The conservation of the number is written as follows:

$$N = N_1 + N_2 \quad (\text{A1})$$

$$\frac{M}{d_m^3} = \frac{M_1}{(d_- d_m)^{3/2}} + \frac{M_2}{(d_+ d_m)^{3/2}}. \quad (\text{A2})$$

Taking into account the conservation of mass, we have

$$\frac{M_1 + M_2}{d_m^3} = \frac{M_1}{(d_- d_m)^{3/2}} + \frac{M_2}{(d_+ d_m)^{3/2}}, \quad (\text{A3})$$

$$M_1 \left( \frac{1}{d_m^3} - \frac{1}{(d_- d_m)^{3/2}} \right) = M_2 \left( \frac{1}{(d_+ d_m)^{3/2}} - \frac{1}{d_m^3} \right), \quad (\text{A4})$$

$$M_1 \left( \frac{1}{d_m^{3/2}} - \frac{1}{d_-^{3/2}} \right) = M_2 \left( \frac{1}{d_+^{3/2}} - \frac{1}{d_m^{3/2}} \right). \quad (\text{A5})$$

Therefore,

$$a = \frac{M_2}{M_1} = \frac{\frac{1}{d_m^{3/2}} - \frac{1}{d_-^{3/2}}}{\frac{1}{d_+^{3/2}} - \frac{1}{d_m^{3/2}}}. \quad (\text{A6})$$

Thus, the mass  $M_1$  and  $M_2$  may be written as

$$M_1 = \frac{1}{1+a} M; \quad M_2 = \frac{a}{1+a} M \text{ with: } a = \frac{\frac{1}{d_m^{3/2}} - \frac{1}{d_-^{3/2}}}{\frac{1}{d_+^{3/2}} - \frac{1}{d_m^{3/2}}}.$$

The number of particles in each section is then deduced from the mass, the density, and the geometric mean diameter  $\bar{d}$  of the section by assuming that particles are spherical:

$$N_1 = \frac{6 M_1}{\pi \rho \bar{d}_1^3}; \quad N_2 = \frac{6 M_2}{\pi \rho \bar{d}_2^3}.$$

Using this algorithm, the number of section is progressively refined. An example of initial diameters and the diameters after the first refinement step is shown in Table A1.

**Code and data availability.** The measurement data are available through the following web site: <http://cds-espri.ipsl.upmc.fr/megapoli/index.jsp> (Ether, MEGAPOLI database, 2011, Last access: 29 June 2022). The SSH-aerosol model can be downloaded from <https://github.com/sshaerosol/ssh-aerosol/tree/>

v1.3 (sshaerosol, 2022, SSH-aerosol v1.3, last access: 27 June 2022). The chemistry transport model Polair3D/Polyphemus coupled to the aerosol module SSH-aerosol and the simulated concentrations is available from the corresponding author upon request.

**Author contributions.** KS was responsible for the conceptualisation of the study, conducting the visualisation, validation, and analysis. KS and YK developed the software. KS, YK, and FC participated in the development of the aerosol model SSH-aerosol. KS, JS, MM, TP, and AW acquired resources. KS wrote a first draft of the paper, which was reviewed by all co-authors.

**Competing interests.** At least one of the (co-)authors is a member of the editorial board of *Atmospheric Chemistry and Physics*. The peer-review process was guided by an independent editor, and the authors also have no other competing interests to declare.

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