**1** Influence of extreme weather disasters on global crop production

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In recent years, a number of extreme weather disasters (EWDs) have 8 9 partially or completely damaged regional crop production<sup>1-5</sup>. While 10 detailed regional accounts of the impacts of EWDs exist, the global scale 11 impacts of droughts, floods, and extreme temperature events on crop 12 production are yet to be quantified. Here we estimate for the first time 13 national cereal production losses across the globe resulting from reported 14 extreme weather events over 1964-2007. We find that droughts and 15 extreme heat events significantly reduced national cereal production by 9-16 10%, while our analysis could not identify a global impact from floods and 17 extreme cold events. Analyzing the underlying processes, we find that 18 production losses due to droughts were associated with a reduction in both 19 harvested area and yields whereas extreme heat mainly decreased cereal 20 yields. Additionally, the results highlight ~7% greater production impacts 21 from more recent droughts and 8-11% more damage in developed 22 countries compared to developing ones. Our findings may help guide 23 agricultural priorities in international disaster risk reduction and 24 adaptation efforts.

25 In many regions of the world, there have been significant changes in the nature 26 of droughts, floods, and extreme temperature events since the middle of the 20<sup>th</sup> 27 century<sup>6-8</sup>. Over agricultural areas, disasters arising from extreme weather can 28 cause significant damage to crops and food system infrastructure, with the 29 potential to destabilize food systems and threaten local to global food security. In 30 recent years, nearly a quarter of all damage and losses from climate-related 31 disasters is on the agricultural sector in developing countries<sup>9</sup>. With such 32 disasters expected to become more common in the future<sup>1,6,7</sup>, policy makers 33 need robust scientific information in order to develop effective disaster risk 34 management and adaptation interventions (e.g., infrastructure, technology, 35 management, and insurance) to protect the most vulnerable populations and to 36 ensure global food security.

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Whether an extreme weather event results in a disaster depends not only on the severity of the event itself, but also on the vulnerability and exposure of the human and natural systems that experience it<sup>6</sup>. Past research has addressed agricultural impacts of specific weather extremes with fixed definitions, such as degree days above some threshold<sup>10-15</sup>. This approach likely underestimates the crop impacts of EWDs because similar extreme weather events may have differing impacts depending on the vulnerability of the exposed system.

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In this study, we address this bias by using a disaster dataset compiled based on
human impact. In addition, we attend to two further limitations of previous work
on extreme weather and agriculture. Firstly, several regional empirical studies
have highlighted the adverse impacts of extreme heat events on crop yields<sup>10-13</sup>,

50 and global modeling efforts have estimated future crop yield declines due to 51 increasing extreme heat stress<sup>14,15</sup>. But this emphasis on crop yields offers an 52 incomplete picture of agricultural performance and food security because of the 53 potential for compensation or compounding of yield impacts by changes in 54 harvested area $^{16}$ ; and because crop production (and not yields) – together with 55 access and utilization – determines food security<sup>2,4,7,17,18</sup>. Secondly, we seek to 56 investigate the agricultural impacts of often-overlooked extreme weather events, 57 namely floods and extreme cold disasters<sup>2,3</sup>. Thus, our study is the first, to our 58 knowledge, that takes an empirical approach to estimating the influence of 59 extreme weather disasters on crop area, yields, and production at the global 60 scale.

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62 We use a statistical method, Superposed Epoch Analysis (also known as 63 compositing, see Methods), to estimate average national per-disaster cereal 64 production losses across the globe due to reported droughts, floods, and 65 temperature extremes from 1964-2007. Additionally we estimate the impacts on 66 cereal yield and harvested area separately to identify processes leading to 67 production losses. Based on ~2800 reported extreme hydro-meteorological 68 disasters collated by the Emergency Events Database EM-DAT<sup>19</sup>, we find that 69 national cereal production during a drought was significantly reduced by 10.1% 70 on average (95% confidence interval 9.9-10.2%) while years with extreme heat 71 led to national production deficits of 9.1% (8.4-9.5%, Fig. 1a-b). These 72 production deficits were equivalent to roughly six years of production growth, 73 however no significant lasting impact was noted in the years following the 74 disasters. Estimated mean production losses were driven mainly by a

preponderance of disasters with moderate impacts on crops, as opposed to a few
extreme cases (Extended Data Fig.1).

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78 Over 1964-2007, these estimated EWD impacts represent a loss of 1820 million 79 MT due to droughts (approximately equal to the global maize and wheat 80 production in 2013) and 1190 million MT due to extreme heat disasters (more 81 than the global 2013 maize harvest). Over 2000-2007 (the period with the most 82 complete disaster reporting compared to earlier decades), 6.2% of total global 83 cereal production was lost due to EWDs relative to an estimated counterfactual 84 global production without EWD impacts (3.0% to extreme heat and 3.2% to 85 drought).

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87 Cereal yield declines during EWDs were 5.1% (4.9-5.2%) and 7.6% (7.0-8.1%) 88 for drought and extreme heat, respectively (Fig. 2a). Harvested area dropped 89 4.1% (4.0-4.3%) during droughts but was not significantly affected by extreme 90 heat (Fig. 2b). This may be due to the shorter duration of extreme heat events 91 relative to droughts – while approximately one third of droughts in this study 92 spanned multiple years, all extreme heat events took place within a single year. 93 Droughts may thus be more likely to last long enough to cause complete crop 94 failure and discourage planting while extreme heat disasters, especially outside 95 key crop developmental stages, may impact crop growth and reduce yields 96 without critically damaging harvests.

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98 Our estimated yield deficits from extreme weather events cannot be directly99 compared to previous studies of the impact of seasonal mean climate trends over

100 the same period<sup>20</sup> (see Supplementary Discussion). However, we derived a 101 comparable measure to that in Lobell and Field  $(2007)^{21}$ , and estimated a yield 102 sensitivity of 6-7% per 1ºC increase in seasonal mean weather associated with 103 extreme heat disasters, which suggests that our observed extreme heat impacts 104 are not necessarily independent from those detected in studies examining 105 changes in seasonal temperatures (Extended Data Figure 4). Methodological 106 differences and uncertainties prevent us from drawing strong conclusions based 107 on this comparison. Our drought impacts, however, seem to be independent of 108 previous estimates that used seasonal weather anomalies (see Supplementary 109 Discussion).

110

111 Our results do not show significant production impacts from extreme cold events 112 and floods (Fig. 1c-d). One potential explanation is that floods tend to occur in 113 the spring in temperate regions as a result of snowmelt and cold weather 114 susceptibility in most agricultural regions is highest outside the growing season, 115 which may render a sizeable portion of the flood and extreme cold disasters 116 analyzed in this study agriculturally irrelevant. The estimated lack of response 117 may also be an artifact of the spatial dimension of these disasters. While drought 118 and extreme temperature affect broad regions, floods are a function of both 119 weather and topography and can be highly localized within a country<sup>22</sup>. Since 120 this study uses country-level agricultural statistics, one may speculate that a 121 more noticeable flood impact on sub-national production is masked at the 122 national scale.

123

124 Several additional analyses offer more detailed insights into the impacts of these 125 EWDs on cereal production. Cereals in the more technically developed 126 agricultural systems of North America, Europe and Australasia suffered most 127 from droughts, facing on average a 19.9% production deficit compared to 12.1% 128 in Asia, 9.2% in Africa, and no significant impact in Latin America and the 129 Caribbean (overall difference in means p = 0.02, Fig. 3a). This more severe 130 production impact in the developed nations was driven by a substantial yield 131 deficit of 15.9% with no significant reduction in harvested area (Fig. 3b-c). We 132 see three possible explanations for this pattern. First, it may arise from a 133 tendency among lower-income countries to encompass diverse crops and 134 management across many small fields, which may allow for some fields to resist 135 drought better than others. This might reduce the national drought sensitivity 136 compared to higher-income countries, where large-scale monocultures are more 137 dominant. Second, lower-income countries may better resist drought because 138 smallholders tend to employ risk-minimizing strategies compared to the yield-139 maximizing ones prevalent in higher-income countries. Finally, the pattern may 140 relate to generally lower fair-weather yields in lower-income countries. In Asia, 141 we found a significant reduction of 8.8% in harvested area during droughts with 142 no corresponding yield deficit, suggesting that this region has a greater tendency 143 for total crop failure in the event of a drought rather than harvesting with 144 reduced yields<sup>16</sup>. The production impacts in Africa did not correspond to 145 significant deficits in either yield or harvested area.

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While the production of all three crops was similarly affected by droughts (5-6%deficit each, Fig. 4a), only maize was significantly affected by extreme heat

149 (11.7% deficit, p = 0.01) (Fig. 4b). Maize was also the only crop with significant 150 yield impacts (12.4%, p = 0.002) (Fig. 4c-d). We are hesitant to draw strong 151 conclusions based on this difference as it may be due to differing variance as well 152 as mean (see Supplementary Discussion). Furthermore, it may reflect the fact 153 that maize is generally grown during summer months, which have the highest 154 probabilities of extreme heat as defined in EM-DAT, while wheat is grown during 155 the spring. Disaster data with monthly or daily resolution would enable us to 156 investigate whether this apparent susceptibility of maize is a result of differing 157 growing season.

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159 Finally, more recent droughts (1985-2007) caused cereal production losses 160 averaging 13.7%, greater than the estimated 6.7% during earlier droughts 161 (1964-1984) (p = 0.008, Fig. 5), which may be due to any combination of rising 162 drought severity (although whether drought severity has increased globally is presently debated)<sup>23-26</sup>, increasing vulnerability<sup>27</sup> and exposure to drought<sup>6</sup>, 163 164 and/or changing reporting dynamics (Extended Data Figure 3). Sample size 165 limitations prevented us from repeating a regional and temporal analysis for 166 extreme heat.

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Some limitations of our analyses are worth noting. First, we mainly focus on four principal types of EWDs, but follow-up studies should include tropical storms and extreme precipitation and wind events, especially since they may have an increasingly significant impact on agriculture in the context of climate change<sup>28</sup>. Second, our estimates are biased towards more recent disasters as they are more abundantly reported in EM-DAT than older ones (*see* Extended Data Figure 3;

174 Supplementary Discussion). Third, we use EWDs from the EM-DAT database, 175 which collates disasters based on several criteria for significant human impact 176 (see Methods). We may be underestimating the true impact of EWDs if disasters 177 are included mainly based on urban impacts, or if extreme events occurring in 178 sparsely populated areas are less likely to qualify as disasters. Finally, since we 179 observe agricultural impacts at the national level, more dramatic local and 180 regional effects of disasters may be muted (but conversely, finding a signal at the 181 national level highlights the substantial influence of droughts and extreme heat 182 events). Future studies may arrive at a more detailed estimate by using 183 subnational agricultural data, localizing the reported disasters within nations, 184 selecting events taking place during the growing season, and controlling for 185 severity of disasters. Linking the definitions of EWDs used in this study with 186 statistical meteorological definitions will also enable a forecasting of future 187 impacts.

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189 Overall there are four main conclusions from our study. First, over the period 190 1964-2007 drought and extreme heat events substantially damaged national 191 agricultural production across the globe. Within the framework of this study, no 192 impact on agriculture was identified from floods and extreme cold events. 193 Second, drought reduced cereal yield as well as completely damaged crops while 194 extreme heat only affected yield, reflecting clear differences in the processes 195 leading to overall production impacts. Third, this study highlights an important 196 temporal dimension to these impacts. While the damage to cereal production is 197 considerable, this impact is only short term as agricultural output rebounds and 198 continues its growth trend after the global average disaster. Additionally, we

show that recent droughts had a larger impact on cereal production than earlier
ones. Finally, our regional and crop specific analysis finds that developed nations
suffer most from these extreme events.

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203 Present climate projections suggest that extreme heat events will be increasingly 204 common and severe in the future<sup>1</sup>. Droughts are likely to become more frequent 205 in some regions, though significant uncertainty persists in the projections<sup>6</sup>. This 206 study, by highlighting the important historical impacts of these extreme events 207 on agriculture, emphasizes the urgency with which the global cereal production 208 system must adapt to extremes in a changing climate. Understanding the key 209 processes leading to such crop losses enables an informed prioritization of 210 disaster risk reduction and adaptation interventions to better protect the most 211 vulnerable farming systems and the populations dependent on them.

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Supplementary Information is linked to the online version of the paper atwww.nature.com/nature

Acknowledgements. We thank R. Below who is in charge of the EM-DAT project

at the Centre for Research on the Epidemiology of Disasters for sharing the data.

287 We thank C. Champalle for testing the original idea using data over East Africa in

a class project. This research was supported by a Discovery Grant from the

289 Natural Science and Engineering Research Council of Canada to N.R.

290 Author contribution. This research was designed and coordinated by N.R. All

authors performed analyses, discussed the results, and wrote the manuscript.

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 www.nature.com/reprints. The authors declare no competing financial interests.

294 Readers are welcome to comment on the online version of the paper.

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298 Figure 1. Influence of extreme weather disasters on national cereal 299 **production.** Normalized production composites for (a) drought, (b) extreme 300 heat, (c) flood, and (d) extreme cold disasters over 7-year windows centered on 301 the disaster year (blue lines). Box plots depict the distributions of 1000 false-302 disaster control composites, with red crosses denoting extreme outliers. 303 Production during drought and extreme heat years was 10.1% and 9.1% below 304 the control mean, while no significant production signal was detected for floods 305 or extreme cold. Production resumed normal levels immediately following 306 drought and extreme heat events. The increasing trend in production over the 7-307 year window reflects the observed growth trend.

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Figure 2. Influence of extreme weather disasters on national cereal yields and harvested area. Yield (blue) and harvested area (red) composites for (a) drought and (b) extreme heat, with significant points (those lying beyond the control box plot whiskers) marked by stars (box plots not shown for clarity). Drought was associated with significant deficits in both yield and harvested area (5.1 and 4.1%), while extreme heat revealed only significant yield impacts of 7.6% with no significant effect on harvested area.

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Figure 3. A regional analysis of the influence of drought. Regional composites of (a) production, (b) yield, and (c) harvested area for drought, with significant points (those lying beyond the control box plot whiskers) marked by stars (box plots not shown for clarity). P-values reflect significance of differences between regions in drought-year response (Kruskal-Wallis test). The drought-year normalized production is 7.8 and 10.7% lower in developed Western countries

than in Asia and Africa, a difference driven by a significantly greater yield deficit.
Meanwhile, the Latin America and Caribbean region exhibits no significant
response to drought.

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327 Figure 4. The influence of drought and extreme heat on maize, rice, and 328 wheat. a-f, Drought and extreme heat composites of production, yield, and 329 harvested area for maize (blue), rice (red), and wheat (green), with significant 330 points (those lying beyond the control box plot whiskers) marked by stars (box 331 plots not shown for clarity). P-values reflect significance of differences between 332 crops in disaster-year response (Kruskal-Wallis test). Maize production 333 responds more to extreme heat than wheat and rice, an effect driven by a 334 substantial yield deficit.

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Figure 5. A temporal analysis of the influence of drought. Production
composites for (a) earlier (1964-1984) versus (b) later (1985-2007) droughts,
with boxplots of 100 respective control composites. In later instances, mean
drought-year production losses were greater (13.7%) than in earlier instances
(6.7%; p = 0.008, Kruskal-Wallis test).

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342 Extended Data Figure 1. Distributions of individual responses to drought 343 and extreme heat. Histograms of disaster-year differences from means of 1000 344 resampled controls for (a-c) drought and (d-f) extreme heat. A preponderance of 345 moderately negative values (falling towards the right of the red shaded areas) 346 underlies the negative mean disaster year signals, with a limited influence of 347 extreme cases (those at the left of the red shaded areas).

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## **Extended Data Figure 2. The influence of sample size on estimated disaster impacts.** Estimated mean 16-cereal aggregated production deficit for (**a**) extreme heat and (**b**) drought in 200 sub-samples with size of (1, 2, ..., n) (points). Dotted grey line shows the final estimated mean production deficit (9.1% for extreme heat, 10.1% for drought). The majority of initial variability at low sample sizes dissipates into the mean at well below the actual sample size (n=39 for extreme heat, n=247 for drought).

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357 Extended Data Figure 3. Time-series of the number of extreme heat and 358 drought disasters per year from the EM-DAT database. The EM-DAT 359 database is based on a compilation of disaster reports gathered from various 360 organizations including United Nations agencies, governments, and the 361 International Federation of Red Cross and Red Crescent Societies. The time-362 series of reported disasters per year exhibits an increasing trend, likely the 363 result of more complete disaster reporting in more recent decades with a 364 possible contribution from increasing disaster incidence. There is also large 365 inter-annual variability in the number of events.

366

Extended Data Figure 4. Seasonal weather anomalies of drought and extreme heat disasters in EM-DAT. Normalized composite mean growing season temperature for (a) extreme heat and (b) drought, and (c) total precipitation for drought. Box plots depict the distributions of 1000 falsedisaster control composites, with red crosses denoting extreme outliers. Extreme heat events correspond to seasonal temperature anomalies of 1.2°C, while

373	drought years have only 0.15°C warmer temperatures, with no significant					
374	precipitation anomaly.					
375						
376	Extended Data Table 1: Statistical significance of individual crop analysis.					
377	Percent of points on control composites less than EWD composites for individual					
378	crop analysis, 1000 control replicates total.					

379

### 380 Extended Data Table 2: Statistical significance of 16-cereal aggregate

**analysis.** Percent of points on control composites less than EWD composites for

- 382 16-cereal aggregate, 1000 control replicates total.
- 383

384	Extended Data Table 3: Statistica	l significance o	f regional	analysis.	Percent
		0		~	

- 385 of points on control composites less than EWD composites for 16-cereal
- 386 aggregate by region, 1000 control replicates total.
- 387

388 Extended Data Table 4: Sample sizes for individual crop and 16-cereal

- 389 aggregate analyses.
- 390

391	<b>Extended Data</b>	Table 5:	Sample	sizes fo	or regional	analysis.
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393 Extended Data Table 6: Kruskal-Wallis assumptions test results for group

- 394 comparison analyses.
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#### 398 Methods

399 Superposed Epoch Analysis (SEA) is used to isolate an average EWD response 400 signal using time series of national agricultural production data and EWDs. SEA 401 is a statistical approach that has been used to enhance the signal (i.e., influence 402 of particular events) in time-series data, while reducing noise due to extraneous 403 variables<sup>29</sup>. The EWDs are compiled from the Emergency Events Database EM-404 DAT<sup>19</sup> and consist of 2184 floods, 497 droughts, 138 extreme heat events, and 405 194 extreme cold events from 177 countries over the period 1964-2007. EM-406 DAT collects information on a reported disaster if at least ten people died, a state 407 of emergency was declared, international assistance was called, or at least 100 408 people were either injured, made homeless, or required immediate assistance<sup>19</sup>. 409 Disaster reports are gathered from various organizations including United 410 Nations agencies, governments, and the International Federation of Red Cross 411 and Red Crescent Societies<sup>20</sup>. The agricultural data consist of country-level total 412 production, average yield, and total harvested area data for 16 cereals<sup>30</sup>, 413 covering the 177 countries in the set of EWDs from 1961 to 2010.

414

415 From the time-series of agricultural data, we extracted shorter sets of time-416 series using a seven-year window centered on the year of occurrence of each 417 EWD, with three years of data preceding and following each EWD. The data were 418 normalized to the average of the three years preceding and following the event 419 to remove the absolute magnitude of national data from the signal. For multi-420 year droughts, we averaged across all drought years to produce a single disaster 421 year datum. For a three-year drought, for example, the seven-year window 422 became a nine-year window with seven data points (with the middle three years

being averaged and assigned to year 0). The seven-year sets of EWD time series were then centered on the disaster year and averaged year-wise to yield single composited time-series of production, yield, and harvested area for each EWD type (a total of 12 composited time series). The averaging thus strengthens the signal at the central year of EWD occurrence, while also cancelling the noise in the non-disaster years preceding and following the event.

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430 During compositing, points on individual time-series co-occurring with another 431 disaster in the set were excluded from the mean. This procedure resulted in 432 variable sample size across the seven years of the composites. For brevity, we 433 have here presented mean sample sizes across all years; complete tabulated 434 sample sizes are displayed in Extended Data Tables 4-5. Our composited mean 435 estimate does not seem to be influenced by outliers (see Extended Data Figure 1 436 and Supplementary Discussion). The signal-to-noise strength will certainly 437 depend on the sample size, and we performed an analysis to estimate the 438 influence of sample size (see Extended Data Tables 4 and 5, Extended Data Figure 439 2, and Supplementary Discussion).

440

In addition to average per-disaster estimates, we also calculated aggregate production losses over specific time periods. For each extreme heat or drought event, we first applied the average per-disaster percentage loss estimate (different values for extreme heat or drought) to the average national production across the six adjacent non-disaster years. We then computed the aggregate drought or heat related global production loss for each year by summing the production losses for each event over the given time period. We estimated the

percentage of global production lost to the EWDs relative to an estimated
counterfactual global production in a world without EWDs (the latter being the
sum of observed global production plus the estimated production loss).

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452 The significance-testing procedure involved setting up a "control" estimate by 453 randomly resampling the agricultural data using sets of fictitious disasters with 454 randomly-generated years and countries of occurrence. The fictitious EWD time 455 series were averaged as for the true ones to yield composited 'control' time 456 series, and the entire process was repeated 1000 times. We quantified EWD-year 457 deficits in production, yield, and harvested area by subtracting the true EWD 458 time series from the mean of the controls. Excluding randomly generated 459 disasters that happened to be real disasters systematically raised the impact 460 estimates by  $\sim 1\%$ ; to present a more conservative and rigorous detection of the 461 disaster signal, we elected not to exclude such pseudo-disasters. Note that we 462 chose not to de-trend the time series before compositing to remove technology-463 driven growth, but rather simply estimate the disaster signal as difference from 464 control (see Fig. 1). We estimated the 95% confidence intervals for our point 465 estimates of impacts using an approach similar to a delete-one jackknife 466 resampling method (see Supplementary Discussion).

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The percent significance of each estimate of the EWD composites relative to control was estimated as the percentage of 1000 control points less than the EWD composite estimate for each year. Points with estimated significance of <0.5% or >99.5% were considered significant deficits and surpluses, respectively, corresponding to a two-tailed 99% confidence level. While we

chose a two-tailed approach for robustness, we found no significant surpluses.
The significant points appear as stars in Figures 2-4, while for Figures 1 and 5 we
present the EWD composites with the distribution of controls represented as an
array of box-and-whisker plots for a visual representation of significance. The
complete tabulated percent significance values are presented in Extended Data
Tables 1-3.

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480 The earlier-versus-later analysis for droughts was performed by applying the 481 SEA procedure to the set of droughts divided roughly equally into earlier and 482 later halves. Similarly, the regional analysis was conducted by repeating SEA for 483 full set of disasters divided into four regional groupings, and the by-crop 484 composites were obtained by repeating SEA on the full disaster sets using crop-485 specific agricultural data from FAO<sup>30</sup>. Statistical significance of differences 486 between crop-specific, regional, and earlier-versus-later composites was 487 assessed using the Kruskal-Wallace test. We applied a quadratic transformation 488 to the data for comparison to equalize variance between groups (verified using 489 Levene's test), and used non-parametric tests when comparing groups as normal 490 assumptions were not met (see Supplementary Discussion).

491

492 Code availability. All the core programs including codes to perform superposed
493 epoch analysis and the various statistics described in this paper are available on
494 Github (https://github.com/nramankutty/SEA-code).

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