# Influence of low frequency modes on dynamical concertedness in double proton transfer dynamics

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#### Abstract

We analyze the classical phase space dynamics of a three degree of freedom Hamiltonian that models multiple bond breaking and forming reactions. The model Hamiltonian, inspired from studies on double proton transfer reactions, allows for exploring the dynamical consequences of higher index saddles on multidimensional potential energy surfaces. Studies have shown that coupling of low frequency transverse modes to the reaction coordinate can significantly influence the reaction mechanism, concerted or sequential, as inferred from a reduced dimensional analysis. Using the notion of dynamically concerted and sequential pathways, we provide insights into the role of the transverse modes by studying the delay times between the formation of two bonds. The delay time distribution, used extensively in earlier studies, is placed on a firm dynamical footing by correlating it with the phase space manifolds, determined using the technique of Lagrangian descriptors. We establish the utility of Lagrangian descriptors in identifying the phase space manifolds responsible for the dynamically concerted and dynamically sequential pathways.

*Keywords:* Double proton transfer, Sequential and concerted mechanisms, Delay time distributions, Lagrangian descriptor, Phase space structures, Higher index saddles

### 1. Introduction

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The theory of nonlinear dynamical systems is a natural framework for understanding chemical reactions. There are several reasons for such a claim, but two of them are key. Firstly, breaking of a bond is only possible if the vibrations are modeled as nonlinear oscillators. Secondly, the canonical paradigm of associating an energized molecule with many such nonlinear oscillators that are coupled together [1–4] leads to a rich and complex dynamical behaviour that necessitates a phase space perspective for proper analysis

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and interpretation [5, 6]. Indeed the central notion of a transition state is best understood as a dynamical
 bottleneck that is formed by certain invariant manifolds in the phase space [7–13]. Reaction rates can then

<sup>9</sup> be associated with fluxes through appropriate bottlenecks [14–16]. Thus, a local dynamical perspective on

<sup>10</sup> Transition state theory (TST) has provided fresh insights into the usefulness and limitations of TST in the

microcanonical [17], canonical [18] and more general [19–23] settings.

Apart from the rates, there is yet another important aspect of a chemical reaction that is enshrined 12 in the TS - the mechanism. In fact, identifying the correct TS is essentially equivalent to a knowledge 13 of the mechanism of the reaction. For reactions involving a single TS (elementary reactions) one therefore 14 associates a single mechanism that leads to the transformation of the reactants to products. However, several 15 reactions are associated with potential energy surface (PES) that exhibit novel features [24] like extended flat 16 regions (calderas or more generally entropic intermediates), ambimodal TS, valley ridge inflection points, 17 several distinct saddle points (multiple TSs), and saddle points with more than one unstable direction (higher 18 index saddles). It is now clear that the existence of such features on the PES can lead to significant dynamical 19 effects. Examples include dynamical matching [25–30], nonstatistical branching ratios [31–33], energy 20 dependent product selectivity [34–36], and switching of reaction mechanisms [37–39]. Consequently, there 21 is an increased focus now on trajectory-based analysis of complex reactions. 22

In the current work we are interested in understanding the dynamics of reactions that involve breaking 23 and forming of multiple bonds. Here one invariably has to face up to a fundamental and essential mechanis-24 tic question: is the process occurring sequentially or in a concerted fashion? In this regard, the Diels-Alder 25 and the double proton transfer (DPT) reactions have provided a rich arena to explore the role of dynamics 26 in determining the correct reaction mechanism [39–48]. From a fundamental point of view the possibility 27 of more than one distinct pathway is linked with the presence of several distinct TSs. Although tradition-28 ally one associates TS with a index-1 saddle point on the multidimensional PES, several studies indicate 29 that the dynamical influence of higher index saddles on the PES can also be a deciding factor in identify-30 ing the dominant mechansim [49–53]. For instance, for energies above the index-2 saddle one can have a 31 time-dependent switching between the concerted and sequential pathways. Recently, it was shown [37] that 32 such a dynamical mechanism switch is an inherently classical phenomenon. Moreover, owing to the mixed 33 regular-chaotic nature of the classical phase space, initial quantum wavepackets that are centered at specific 34 regions of the classical phase space can undergo strikingly different mechanism-switching dynamics [37]. 35 Interestingly, the switching timescale is typically of the order of a bond stretching time period and hence 36 ultrafast. These observations therefore raise questions on the utility of a purely non-dynamical classification 37 of the mechanism as concerted or sequential. Such concerns have been raised by Carpenter in his early work 38 on the dynamic matching phenomenon wherein he emphasizes the "hazards associated with partitioning of 39 mechanisms into stepwise and concerted categories" based purely on the features on the static potential 40 energy surface [26]. More recently, Houk and coworkers introduced quantitative measures for classifying 41 the mechanism as dynamically concerted or sequential. Thus, for a given trajectory, if the time delay be-42 tween the formation of the first bond and the second is shorter than a specified timescale then that particular 43 trajectory is classified as dynamically concerted [43]. As a consequence the central quantity of interest 44 is the distribution of the delay times associated with an appropriate ensemble of trajectories. Depending 45 on the nature of the delay time distributions one can identify the mechanism as dynamically concerted or 46 sequential. 47

Note that the approach of Houk and coworkers [43] implicitly invokes the dynamics in the full classical phase space. Understandably, a detailed phase space analysis of the ab initio molecular dynamics based studies of reactions like the Diels-Alder is far from easy. At the same time, there is no denying the fact that rationalizing the dynamics based on the phase space structures is expected to yield rich dividends in

terms of our ability to predict rather than simply observe or compute. Thus, from a nonlinear dynamical 52 systems point of view it is natural to expect that the delay time distributions are intimately linked to the 53 disposition of the stable and unstable phase space manifolds that lead to transport from the reactant to 54 the product regions. However, identifying, let alone computing, such manifolds in very high dimensions 55 is not feasible at the present moment. A crucial question then is this: can reduced dimensional models 56 capture enough of the essential dynamics to allow for at least qualitative predictions? The answer, as 57 apparent from the several studies utilizing "minimal" models, is yes. For example, significant dynamical 58 insights into the phenomenon of roaming and dynamic matching have come from phase space studies on 59 the low dimensional model systems [25–29, 54–58]. Nevertheless, the detailed study of a electrocyclic ring 60 opening reaction by Kramer et al. highlights the central issues in this regard [59]. A comparison of the 61 direct dynamics calculations (in a 36-dimensional phase space) with the reduced two-dimensional model 62 dynamics for the same reaction revealed that the two do share dynamical similarities. However, they make 63 an important point - in the event that large amplitude modes, which would be considered as "spectator" 64 modes in the reduced dimensional treatment, couple to the reaction coordinate, the dynamics may be more 65 complicated then what would be predicted by the reduced dimensional models. Note that one can associate 66 large amplitude modes with low frequency vibrations and in a molecule with symmetry the various low 67 frequency modes can couple to the reactive mode in different ways due to the symmetry constraints. Thus, 68 apart from leading to a more complicated dynamics, can the coupling of specific low frequency modes alter 69 the inferred reduced dimensional mechanism itself? 70

Since the present study focuses on the DPT reaction in a specific class of molecules, we mention a few 71 examples from earlier studies that highlight the importance of the low frequency modes. In their extensive 72 review of multiple proton transfer dynamics, Smedarchina et al. [60] have argued for the importance of the 73 coupling of low frequency skeletal vibrations to the proton transfer modes. Furthermore, in a path integral 74 molecular dynamics simulation Yoshikawa et al. have shown that the low frequency out-of-plane vibration 75 can suppress the concerted pathway in porphycene molecule [61, 62]. Another example comes from the 76 Car-Parrinello molecular dynamics study of porphycene by Walewski et al., where it was observed that 77 excitations of selective low frequency modes, and combinations thereof, tend to enhance or suppress the 78 different mechanisms [63]. It is also relevant to point out the classical ab initio molecular dynamics study 79 of DPT by Ushiyama and Takatsuka where, apart from hints to the importance of delay time distributions, 80 the crucial role of skeletal vibrations to the second proton transfer was emphasized [45]. 81

Clearly, and as discussed in detail in sec. 2, for a DPT reaction with two reactive modes, coupling of 82 even one low frequency transverse mode results in a system with three degrees of freedom. In this work 83 we investigate the classical dynamics of such a model system with the aim of explicitly correlating the 84 delay time distributions with the appropriate phase space manifolds. In particular, as mentioned above, we 85 investigate the influence on the delay time distributions due to the coupling of a third mode belonging to 86 a specific symmetry class. The results show that while high frequency modes do not significantly change 87 the fraction of concerted trajectories, the low frequency modes can substantially reduce the fraction. An 88 explanation of our delay time results in terms of the phase space structures is given by computing the 89 appropriate manifolds using the technique of Lagrangian descriptors(LD). We show that the LD maps 90 faithfully capture the changes in the delay time distributions with varying coupling strength of the third 91 mode. In sec. 2 and Appendix A we motivate the model Hamiltonian used in our study. The influence 92 of the third mode on the delay time distributions are presented in sec. 3.3, followed by the results of the 93 delay time distributions for varying frequencies and coupling strengths. In sec. 3.4, aided by the stability 94 analysis of the linearized flow in Appendix B, the dynamical trajectory observations are correlated with 95 the LD-based determination of the relevant phase space manifolds. Finally, sec. 4 concludes with a brief 96

### 97 summary and future outlook.

# 98 2. Model Hamiltonian

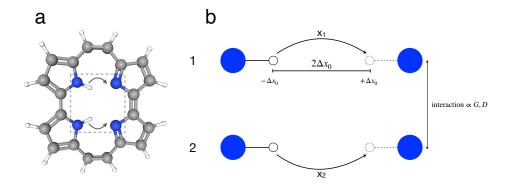


Figure 1: (a) The porphycene molecule with N = 38 atoms. The nitrogen, carbon, and hydrogen atoms are indicated in blue, grey, and white colors respectively. (b) Schematic for the double proton transfer model (indicated by arrows in both the panels) corresponding to the dashed square region shown in (a). The coordinates  $(x_1, x_2)$  correspond to the two proton transfer events. Each proton transfer subsystem is described by an appropriate double well potential with minima at  $\pm \Delta x_0$ . Interaction between the two subsystems is mediated by the coupling constant *G* and *D* at the leading order. See the main text and Appendix A for details.

To study the double proton transfer reaction, we consider a model three degree of freedom Hamiltonian motivated by the models introduced by Smedarchina et al. [64, 65] in their extensive studies. In Fig. 1 we show a schematic for the DPT process. The two proton transfer events occurring in the molecule (labeled as subsystem 1 and 2 in the figure) are described by one dimensional coordinates  $x_1$  and  $x_2$  with associated masses  $m_1$  and  $m_2$ , which are taken to be equal to the proton mass  $m_H$ . As shown in detail in Appendix A, an appropriate model two degrees of freedom dimensionless Hamiltonian for the coupled proton transfer is conveniently expressed in terms of the coordinates  $(X_s, X_a) \equiv (\sqrt{M}x_s, \sqrt{M}x_a) = (\sqrt{M}(x_1+x_2)/2, \sqrt{M}(x_1-x_2)/2)$  with  $M = m_1 + m_2 = 2m_H$ . The Hamiltonian is of the form

$$H(\mathbf{X}, \mathbf{P}) = \frac{1}{2}(P_s^2 + P_a^2) + U(X_s, X_a)$$
(1)

with  $(P_s, P_a)$  being the momenta conjugate to  $(X_s, X_a)$ . The two dimensional potential energy surface is given by

$$U(\mathbf{X}) = \bar{\alpha}_s \left[ X_s^2 - (\Delta X_s)^2 \right]^2 + \bar{\alpha}_a \left[ X_a^2 - (\Delta X_a)^2 \right]^2 + 2\bar{R} X_s^2 X_a^2 + \mathcal{U}(G, D)$$
(2)

In the above we have denoted  $(\mathbf{X}, \mathbf{P}) \equiv (X_s, X_a, P_s, P_a)$  with the parameters  $\bar{\alpha}_s = \bar{\alpha}_a \equiv (1 - D)/M^2$  and  $\bar{R} \equiv (3 + D)/M^2$ . The various minima on the PES are given in terms of the quantities

$$\Delta X_{s,a} = \sqrt{\frac{M(1\pm G)}{1-D}} \tag{3}$$

and the constant energy shift is denoted as

$$\mathcal{U}(G,D) = 1 - \bar{\alpha}_s (\Delta X_s)^4 - \bar{\alpha}_a (\Delta X_a)^4 \tag{4}$$

The parameters G and D are specific to a given system (molecule) and correspond to the coupling of the two proton transfer coordinates. We refer the reader to the Appendix A for a detailed derivation of the above

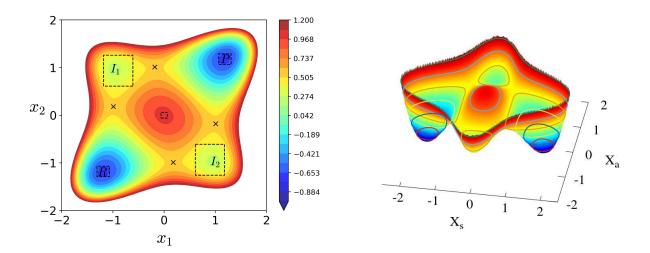


Figure 2: (Left panel) A contour plot of the model potential energy surface in the local  $(x_1, x_2)$  coordinates. (Right panel) A three dimensional plot of the potential energy surface in the mass-weighted  $(X_s, X_a)$  coordinates. The parameter values are G = 0.2, and D = 0.15. Different regions of the potential energy surface are shown inside the dotted space of the contour plot where R, P, and  $I_{1,2}$  represent the reactant, product and intermediate regions respectively. The central box represents the index-2 saddle region. Note that the PES also exhibits four index-1 saddles, which are shown as  $\times$ .

Hamiltonian along with the relevant mass, length, and time scales. In Fig. 2 the two dimensional PES are shown in the two different sets of coordinates. Note that, in general, the number and type of critical points on the PES [65] depend on the values of *G* and *D*, mimicking a wide variety of dynamical systems. For the values of interest to us in the current work the PES exhibits a total of nine critical points which, as seen in

<sup>105</sup> Fig. 2, include four minima, four index-1 saddles and one index-2 saddle.

In the molecular context the Hamiltonian in Eqn. 1 captures the dynamics corresponding to the key reactive degrees of freedom. Thus, for an *N*-atom molecule of interest with (3N - 6) vibrational degrees of freedom Eqn. 1 accounts for two of the degrees of freedom. However, the remaining (3N - 8) modes that are transverse to the reactive modes typically do couple to the **X** degrees of freedom to varying extents. Moreover, if the molecule of interest has a certain point group symmetry then the various transverse modes are constrained to couple to **X** with specific functional form of the coupling potentials. For instance, in the context of DPT all the (3N - 8) modes denoted by **Y** couple at leading order via the potential

$$U_{\text{coup}}(\mathbf{X}, Y_k) = \frac{1}{2}\omega_{kY}^2 \left[ Y_k - \frac{\lambda}{\omega_{kY}^2} g(\mathbf{X}) \right]^2$$
(5)

with  $g(\mathbf{X}) = X_{s,a}, X_s X_a$ , and  $X_{s,a}^2$  depending on the symmetry class to which the  $Y_k$ -mode belongs. In Eqn. 5 the  $Y_k$  mode is modeled as a harmonic oscillator with the frequency of the mode denoted by  $\omega_{kY}$  and  $\lambda$ being a measure of the coupling strength. It is interesting to note that with the above form of coupling one can still think of the **Y**-modes as providing a "bath", albeit a structured one. This is in contrast to the usual system-bath models wherein all the "bath" **Y**-modes would couple bilinearly with a specified spectral density. Clearly, the dynamical implications of a structured and a non-structured bath are expected to be quite different for the reaction process.

As mentioned in the introduction, several studies have indicated the importance of including the additional modes since they can have a significant effect on the mechanism inferred from an analysis of the reduced dimensional Hamiltonian. Thus, certain symmetry modes tend to enhance a specific mechanism

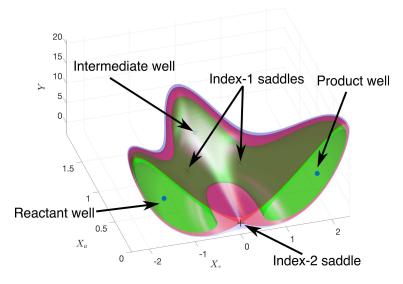


Figure 3: Potential energy visualized as equipotential surfaces for three energies. The green surface denotes  $E < E_s$ , red surface denotes  $E = E_s$ , and the blue surface denotes  $E > E_s$ . The energy of the index-2 saddle is  $E_s$  and denoted by the +, while the index-1 saddles are shown as  $\times$ . The parameter values are G = 0.2, D = 0.15,  $\omega_Y = 0.3$ ,  $\lambda = 0.3$  and  $\lambda' = 0.1$  for the total energy, E = 1.1 to visualize the equipotential surfaces.

(concerted or sequential) whereas certain other symmetry modes act in an opposite manner [63]. Therefore, 116 in order to rationalize the observed DPT rates one minimally needs to include two of the Y-modes, resulting 117 in a four degree of freedom Hamiltonian. However, understanding global phase space transport and linking 118

it to the dynamical influence of the coupled Y-modes in this case is a challenging task. Instead, here we ad-

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dress a simpler yet nontrivial question - can the coupling of a Y-mode with a given symmetry significantly 120 influence the reaction mechanism as inferred from the low dimensional system in Eqn. 1? And, if so, what

121 is the dynamical origin of such a modulation? As noted above in Eqn. 5, there are several choices for the 122

model Hamiltonian according to the  $g(\mathbf{X})$  of interest. In this work we focus on the specific three degree of 123

freedom Hamiltonian 124

$$H(\mathbf{X}, \mathbf{P}, Y, P_Y) = \frac{1}{2}(P_s^2 + P_a^2 + P_Y^2) + U(\mathbf{X}) + \frac{1}{2}\omega_Y^2 \left[Y - \frac{\lambda}{\omega_Y^2}X_s^2 - \frac{\lambda'}{\omega_Y^2}X_a^2\right]^2$$
(6)

$$\equiv \frac{1}{2}(P_s^2 + P_a^2 + P_Y^2) + V(\mathbf{X}, Y)$$
(7)

with  $U(\mathbf{X})$  being the potential in Eqn. 2 and we continue to adopt the mass-weighted coordinate represen-125 tation. Note that the above form of coupling corresponds to the so called  $a_g$ -symmetry Y-mode and the 126 importance of this coupling to DPT has been noted in several earlier studies. For example, in the N = 38127 atom porphycene molecule one has a total of 3N - 8 = 106 Y-modes. Among these modes the ones with  $a_g$ 128 symmetry have substantial projection [66] onto the two reactive modes X. 129

For the purpose of the current study we choose 0 < G < 1/2 and |D| < 2G which yields a total of nine 130 critical points. The details associated with the critical points are given in Table 1. Note that there is no 131 restriction on the sign of D. At the same time, for a given G, the dynamics corresponding to positive or 132 negative D can be sufficiently different. For the rest of the paper we fix the values G = 0.2 and D = 0.15. 133 Moreover, we fix the total energy at E = 1.1, which is slightly above the index-2 saddle energy (cf. Table 1). 134

Consequently, both the concerted and sequential pathways (examples can be seen in Fig. 4) from reactant to product are available classically. A key objective of the current study is to relate the phase space dynamics of the Hamiltonian in Eqn. 7 with the mechanism of DPT. In particular, we intend to assess the influence of the transverse *Y*-mode with both low and high frequencies  $\omega_Y$  over a range of the couplings  $(\lambda, \lambda')$ . In Fig. 3 a representation of the PES  $V(\mathbf{X}, Y)$  is shown for three values of the energy,  $E < E_s, E = E_s, E > E_s$ , where  $E_s$  is the energy of the index-2 saddle at the origin.

Configuration space coordinates	Total energy	Linear stability	Description
$\left(\pm\Delta X_s, 0, \frac{\lambda}{\omega_Y^2} (\Delta X_s)^2\right)$	$1 - \bar{\alpha}_s (\Delta X_s)^4$	C-C-C	reactant and product <i>R</i> , <i>P</i>
$\left(0, \pm \Delta X_a, \frac{\lambda'}{\omega_Y^2} (\Delta X_a)^2\right)$	$1 - \bar{\alpha}_a (\Delta X_a)^4$	C-C-C	intermediates $I_{1,2}$
$\left(X_{s}^{\ddagger},X_{a}^{\ddagger},Y^{\ddagger} ight)$	$H^{\ddagger}$	S-C-C	index-1 saddles
(0,0,0)	$E_s = 1$	S-S-C	index-2 saddle

Table 1: Equilibrium points, their respective energies and linear phase space stability. The stability types are denoted by *C* for center and *S* for saddle. For an explicit expression for  $(X_s^{\ddagger}, X_a^{\ddagger}, Y^{\ddagger})$  and the associated energy  $H^{\ddagger}$  see Appendix B.

#### 141 **3. Results and Discussions**

#### 142 3.1. Computational preliminaries: defining the initial ensemble, relevant regions, and delay time

In order to understand the influence of the  $(\lambda, \lambda')$  couplings and the frequency  $\omega_Y$  of the transverse Y-143 mode on the dynamics of the Hamiltonian in Eqn. 7, we compute the delay time distribution. The concept 144 of delay time distribution is motivated by the dynamical studies of Diels-Alder reactions by Houk and 145 coworkers [43]. As the name suggests, the delay time corresponds to the time difference between the 146 transfer of the first proton and the subsequent transfer of the second proton. By definition, the delay time 147 is zero for a pure concerted pathway that proceeds directly from the reactant R to the product P via the 148 index-2 saddle, and without visiting the intermediate regions. On the other hand, a sequential pathway 149 from R to P via any or both the intermediate regions  $I_{1,2}$  yields a finite value for the delay time. Therefore, 150 demarcating different regions in the configuration space (i.e., reactant, intermediate, product, and index-2 15 saddle) is necessary in order to compute the delay time and related measures. Consequently, in Fig. 2, the 152 definitions of the various regions of the PES used in this study are shown as boxes of various sizes. We 153 have divided the PES into five regions. The product and the reactant regions are centered at the minima 154  $(\pm \Delta X_s, 0)$ , and the region boundaries are at  $(\pm 0.1 \Delta X_s, \pm 0.15)$  from the minima. Similar regions are defined 155 around the intermediate minima  $(0, \pm \Delta X_a)$ . However, for the intermediate regions, the region boundaries are 156 at  $(\pm 0.40, \pm 0.33 \Delta X_a)$  from the minima. Since the height and the spread of the wells containing the global 157 and local minima vary, therefore we are using different box sizes. The index-2 saddle region is centered at 158 (0,0) with the boundaries at  $(\pm 0.05, \pm 0.05)$ . Note that there are other ways to assign the different regions 159 on the PES and, naturally, the quantitative delay time distributions will be sensitive to the specific choice. 160 However, within reasonable definitions of the regions, at a qualitative level the results are not expected to 161 be significantly different i.e., the key trends with varying parameters are preserved. 162

For our calculations, we choose the initial values of position coordinates  $X_s^{(0)}$  and  $X_a^{(0)}$  randomly from the index-2 saddle region. This choice of the initial ensemble is to focus on the influence of the index-2 saddle on the DPT. In addition, we randomly choose the initial momentum  $P_s^{(0)} > 0$  and fix the initial value

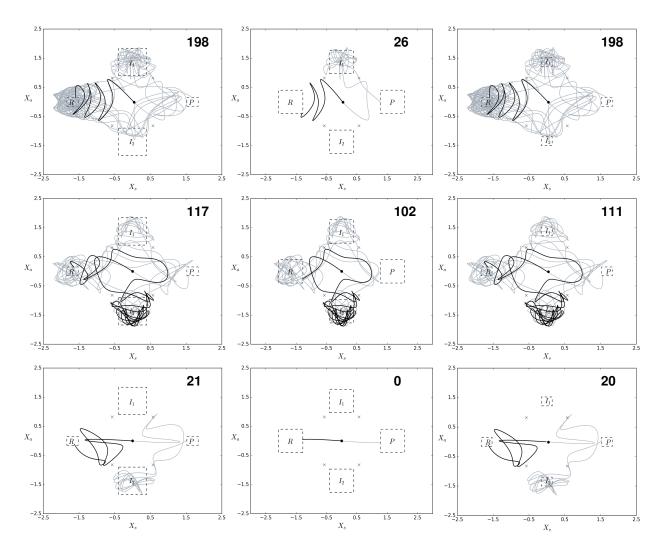


Figure 4: Examples trajectories with different delay times projected onto the  $(X_s, X_a)$  space. The various region definitions are shown as dashed boxes. (Left column) Region definitions used in the present work. (Middle column) Increased *R* and *P* region sizes. (Right column) Decreased  $I_1$  and  $I_2$  region sizes. All trajectories start at the index-2 saddle (solid circle) with a total energy E = 1.1. The forward and backward time propagation from the index-2 saddle are shown in grey and black respectively. The delay time assigned in each case is indicated in the respective panels. Note that the four index-1 saddles are indicated by  $\times$ . The example with zero delay time is a "pure" concerted trajectory.

of the third mode coordinate  $Y^{(0)}$  and its conjugate momentum  $P_Y^{(0)}$  at (0, 0). Finally, the initial momentum  $P_a^{(0)}$  is obtained by the energy conservation condition i.e.,  $H(\mathbf{X}^{(0)}, \mathbf{P}^{(0)}, Y^{(0)} = 0, P_Y^{(0)} = 0) = E = 1.1$ . The specific total energy value, fixed for the rest of the study, corresponds to being just above the index-2 166 167 168 saddle energy. Furthermore, note that the choice  $P_s^{(0)} > 0$  corresponds to the trajectories at the index-2 169 saddle having momentum in the direction of the product P. It is well known that the product selectivity 170 of a chemical reaction in a trajectory calculation is strongly associated with the momentum distribution at 171 the TS [25, 67]. Thus, although different initial momentum distributions at the index-2 saddle can lead 172 to quantitatively different results, we believe that the qualitative insights are fairly robust. A total of  $10^4$ 173 trajectories were initiated from the index-2 saddle region and propagated both in the forward and backward 174 direction until they reach the product and the reactant regions respectively. Trajectories, propagated up to 175 a final time  $t_f = 300$ , are deemed to be reactive if they form the product in the forward direction and the 176 reactant in the backward direction. Depending on the path a reactive trajectory takes and the associated 177 delay time, we can characterize them as concerted or sequential trajectories. In Fig. 4 we show examples of 178 a concerted and several sequential trajectories. 179

For the delay time computation we adopt the following strategy. The first instance when the forward 180 time trajectory enters either of the intermediate regions  $I_{1,2}$  is noted as  $t_I$ . This event corresponds to the 181 transfer of either one of the proton (cf. Fig. 1). Subsequently, the time at which the trajectory enters the 182 defined product region P is denoted as  $t_P$ . This time corresponds to the second proton transfer and hence 183  $\Delta \tau = t_P - t_I$  is associated as the delay time for the specific trajectory. Two points are important to note at this 184 stage. First, between  $t_I$  and  $t_P$  the trajectory may visit the reactant region R or visit the intermediate regions 185 several times. Second, the  $\Delta \tau$  as defined is sensitive to the extent of the different regions. Thus, variations 186 in the region sizes can change the  $\Delta \tau$  for a given trajectory. Examples for the same are shown in Fig. 4 187 and it is clear that some of the large  $\Delta \tau$  can become considerably smaller or a short  $\Delta \tau$  sequential trajectory 188 can turn into a concerted trajectory. However, the effect of such variations on the distribution  $P(\Delta \tau)$  shown 189 in Fig. 6 is not expected to be significant. In particular, the qualitative trends seen in Fig. 5 and Fig. 6 are 190 robust to small variations in the region sizes. 191

#### <sup>192</sup> 3.2. Effect of the third degree of freedom on the concerted pathways

Before discussing our results for the delay time distributions, in Fig. 5 we show the influence of the third 193 degree of freedom coupling in Eqn. 7 on the "pure" (as opposed to dynamically) concerted mechanism. In 194 particular, Fig. 5(a) and (b) show the fraction of concerted trajectories  $f_{conc}$  upon coupling only the  $X_s - Y$ 195 modes ( $\lambda' = 0$ ) and the  $X_a - Y$  modes ( $\lambda = 0$ ) respectively. Note that the Hamiltonian in Eqn. 7 involves both 196 the couplings and hence the results in Fig. 5(a) and (b) are a bit artificial. Nevertheless, such an analysis 197 allows for dissecting, and a better understanding, of the results for the actual  $a_{e}$ -symmetry coupling form. 198 It is clear from Fig. 5(a) that, apart from the initial oscillatory nature<sup>1</sup>, low  $\omega_Y$  tend to drastically reduce 199  $f_{conc}$  for increasing  $\lambda$ , while relatively larger  $\omega_Y$  lead to a slight increase. On the other hand, the results 200 in Fig. 5(b) indicate that  $f_{conc}$  increases moderately upon increasing the  $X_a - Y$  mode coupling strengths. 201 Therefore, the full  $a_g$ -symmetry coupling case with  $\lambda, \lambda' \neq 0$  should encode the subtle competition between 202 the two couplings. This is confirmed in Fig. 5(c) where, as an example, the variation in  $f_{conc}$  with  $\lambda'$  for 203 a fixed value of  $\lambda = 0.3$  is shown. Interestingly, now the high  $\omega_Y$  cases show very little variation over a 204

<sup>&</sup>lt;sup>1</sup>We remark here that the  $f_{conc}$  in Fig. 5(a) exhibits peaks at certain values of  $\lambda \equiv \lambda_p$ . Interestingly, these peaks seem to occur when the reactant well harmonic frequencies  $\omega_s(\lambda)$  and  $\omega_a$  become degenerate. An approximate estimate is  $\lambda_p \approx \omega_Y \left[ (\omega_a/2\Delta X_s)^2 - 2\bar{\alpha}_s \right]^{1/2}$ . For the parameters of interest,  $\lambda_p \approx 0.47 \omega_Y$ . Note that the degeneracy is driven by the  $X_s - Y$  coupling and hence an explicit three degrees of freedom effect. At the present moment we do not have a dynamical insight into this observation.

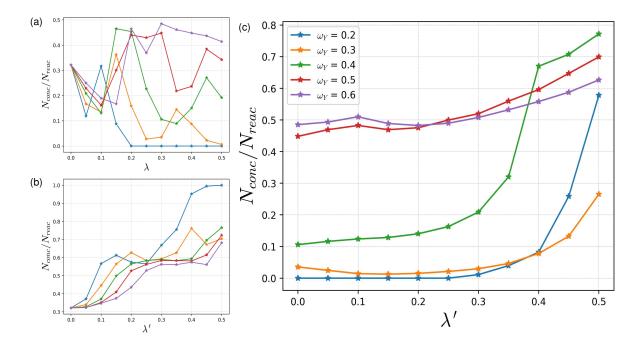


Figure 5: The fraction of concerted trajectories ( $f_{conc} = N_{conc}/N_{reac}$ ) as a function of the coupling parameters. Here  $N_{reac}$  is the total number of reactive trajectories i.e., ones that start at the index-2 saddle and go to the product P and reactant R regions in forward and backward time propagation. The total integration time is  $t_f = 300$ . (a) Variation with  $\lambda$  for fixed  $\lambda' = 0$  (b) Variation with  $\lambda'$  for fixed  $\lambda = 0$ . (c) Variation with  $\lambda'$  for fixed  $\lambda = 0.3$ . The frequency  $\omega_Y$  of the transverse Y-mode are shown in the legend. It is important to note that  $N_{reac}$  varies with the  $(\lambda, \lambda', \omega_Y)$  parameters.

significant range of the  $X_a - Y$  coupling strengths. In contrast, for  $\omega_Y \le 0.4$  the results are more complex with f<sub>conc</sub> increasing with  $\lambda'$  and the oscillations seen in Fig. 5(a) being absent. As expected, for  $\lambda' > \lambda = 0.3$ one observes  $f_{conc}$  increasing substantially. Nevertheless, it is evident from Fig. 5(c) that even for relatively large  $\lambda'$  values the  $\omega_Y = 0.2$  and 0.3 cases have considerably lower  $f_{conc}$  in comparison to the uncoupled case. We remark that these results agree with the general expectation that coupling of the large amplitude (low frequency) modes to the reaction coordinate can lead to dynamical behaviours that are vastly different from the dynamics of reduced dimensional systems.

Note that Fig. 5 pertains to the pure concerted pathways and hence, by definition, zero delay times. 212 Based on the discussions in the introduction, a useful perspective is to focus on the fraction of dynamically 213 concerted trajectories. Thus, although Fig. 5 indicates that low values of  $\omega_Y$  lead to a reduced f<sub>conc</sub>, is it 214 possible that most of the trajectories are still dynamically concerted for a reasonable choice for the delay 215 time cutoff  $\Delta \tau_c$ . In other words, if the distribution of delay times  $P(\Delta \tau)$  associated with the initial ensemble 216 of trajectories in Fig. 5 is strongly peaked for  $\Delta \tau \leq \Delta \tau_c$  then the mechanism would be labeled as dynamically 217 concerted. Consequently, as argued by Black et al. [43], the significant lowering of  $f_{conc}$  for small  $\omega_Y$  values 218 observed in Fig. 5 need not really imply a major change in the reaction mechanism. Therefore, to ascertain 219 if this indeed is the case we now turn our attention to the computation of the delay time distributions. 220

## 221 3.3. Delay time distributions: importance of the low frequency transverse modes

From the discussions above, it is clear that in order to analyze  $P(\Delta \tau)$  results for our model system it is essential to define the cutoff  $\Delta \tau_c$ . One possible choice for this cutoff time is related to the lifetime of a TS according to the Eyring equation [68, 69]. This timescale is set by the prefactor of TST i.e.,  $\Delta \tau_c \sim h/k_BT$ 

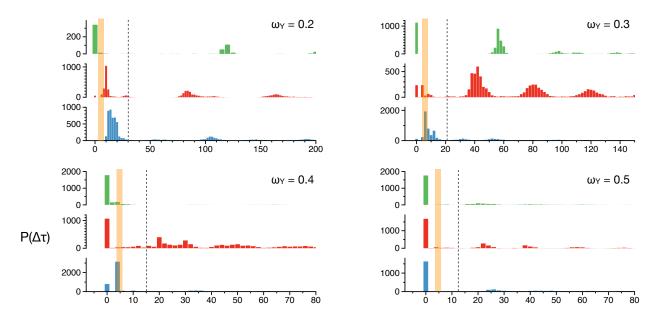


Figure 6: Delay time distributions  $P(\Delta \tau)$  for fixed  $\lambda = 0.3$  and varying  $\lambda'$ . The frequency  $\omega_Y$  of the transverse Y-mode is indicated in each case. The histograms in blue, red, and green correspond to  $\lambda'$  value 0.1, 0.3 and 0.5 respectively. The orange vertical bar at  $\Delta \tau_c \sim 5$  indicates the timescale associated with the unstable directions at the index-2 saddle. Note that the axis scales are different for each case and for comparison in each case the vertical dashed line corresponds to the harmonic period  $2\pi/\omega_Y$  associated with the transverse mode.

with  $h, k_B$ , and T being the Planck constant, Boltzmann constant and temperature respectively. However, as 225 we are dealing with a index-2 saddle and the dynamics is at zero temperature, we choose  $\Delta \tau_c$  based on the 226 timescales associated with the reactant oscillations [43] or the unstable motion at the index-2 saddle. Such 227 criteria have been invoked before in several studies [70]. For our model system and parameters of interest, 228 as shown in Appendix B, the unstable frequencies  $\Omega_s^* \sim 1.6$  and  $\Omega_a^* \sim 1.3$  at the index-2 saddle. These 229 frequencies, independent of the couplings  $(\lambda, \lambda')$  due to the form of the Hamiltonian, translate roughly to a 230 timescale  $T_{s,a}^* \sim 5$ . On the other hand, of the two harmonic frequencies around the reactant minimum, only 231  $\Omega_s$  depends on  $(\lambda, \omega_Y)$  and varies from ~ 5.5 ( $\omega_Y = 0.2$ ) to ~ 3.0 ( $\omega_Y = 0.5$ ), while  $\Omega_a \sim 2.7$  stays fixed. 232 Consequently, the harmonic timescales associated with the proton transfer modes at the reactant minimum 233 is about  $T_h \sim 2$ . In this work, we therefore choose the conservative estimate  $\Delta \tau_c \sim 5$  for discussing the 234 delay time results. 235

The results of the delay time computations are shown in Fig. 6 for fixed  $\lambda = 0.3$  as histograms<sup>2</sup>. In 236 each panel of Fig. 6 the transverse mode frequency  $\omega_Y$  is fixed and the delay time distributions for three 237 values of  $\lambda'$  are shown. Note that the both  $f_{conc}$ , shown in Fig. 5, and  $P(\Delta \tau)$  are computed using same 238 initial ensemble. In addition, the parameters used for generating Fig. 6 are fairly representative of other 239 parameter sets as well. For the value of  $\omega_Y = 0.4$  and 0.5 Fig. 6 (bottom panels) shows that a large fraction 240 of the distribution is concentrated for  $\Delta \tau \leq \Delta \tau_c$ , implying that the mechanism is dynamically concerted. 241 In contrast, for the case of  $\omega_Y = 0.2$  it is clear that the mechanism is sequential for  $\lambda' = 0.1$  and 0.3 with 242 the emergence of dynamically concerted behaviour for larger coupling strengths. However, the fact that 243

<sup>&</sup>lt;sup>2</sup>Note that as discussed previously and shown in Fig. 4, changing the region sizes will lead to some reshuffling of the counts, particularly for those with very large delay times. Nevertheless, the small to moderate time counts and their observed shifts should be robust.

there are substantial peaks for  $\Delta \tau \gg 10$  does hint at a fairly complex reaction dynamics. Clearly, the most complex variations in the distribution are seen in Fig. 6 for the  $\omega_Y = 0.3$  case. Here, despite the general trend of the onset of dynamical concerted behaviour with increasing  $\lambda'$ , even for the largest coupling a substantial fraction of the trajectories exhibit dynamically sequential mechanism. Given the opposing trends in  $f_{conc}$ observed in Fig. 5(a) and (b), one perhaps anticipates the  $\lambda \sim \lambda'$  case for lower values of  $\omega_Y$  to be in a sort of "crossover" region.

It is worthwhile pointing out the following interpretation of the delay time distribution results presented 250 here. In a given molecular system, characterized by the parameters G and D, the multitude of  $a_g$ -symmetry 251 modes couple with a range of  $\omega_Y$ ,  $\lambda$ , and  $\lambda'$  values. The results in Fig. 6 then suggest that in the full 252 multidimensional system whether the mechanism is dynamically concerted or sequential depends rather 253 sensitively on the set of ratios  $[(\lambda/\lambda')_1, (\lambda/\lambda')_2, \dots, (\lambda/\lambda')_{n_{ag}}]$ , where  $n_{ag}$  is the total number of  $a_g$ -symmetry 254 modes in a specific molecule. Clearly, similar criteria should exist for other transverse modes belonging to 255 different symmetry classes. At the moment there is not much known about the dynamical competition 256 between two or more low frequency modes with different symmetries. Nevertheless, Fig. 6 does provide a 257 clue as to why any a priori decision on the mechanism based solely on the static PES features is bound to be 258 problematic. To this end, in the following section we provide further support by establishing a link between 259 the phase space manifolds and the delay time distributions. 260

#### 261 3.4. Phase space viewpoint: Lagrangian descriptors are correlated with delay time distributions

A crucial observation, as shown in Appendix B, is that the linear analysis of the index-2 saddle equilib-262 rium does not shed any light on the changes in the fraction of concerted trajectories with coupling strengths 263 shown in Fig. 5. The eigenvalues of the linearized system at the index-2 saddle is independent of the 264 coupling strengths,  $\lambda, \lambda'$ . This implies that the competition of concerted vs sequential pathways for initial 265 conditions launched from the vicinity of the index-2 saddle is inherently mediated by the global phase space 266 structures. More so, these are global invariant manifolds in the phase space and transport initial conditions 267 between intermediate and product wells. Thus, differentiating which initial conditions have low delay time, 268 that is dynamically concerted, and high delay time, that is dynamically sequential. 269

In this study, we use Lagrangian descriptors [71-73] (see Appendix C for details on the method) to 270 identify the changes in the phase space structures with the changes in the coupling strengths and frequency 271 of the third mode. In the case of three or more degrees of freedom systems, this method has been used 272 to detect invariant manifolds and reactive islands [74–76], discovering structure in the nuclear phase space 273 in nonadiabatic quantum dynamics [77], while there is an increasing number of analysis for one and two 274 degrees of freedom system with and without dissipation and time dependence. We refer the reader to the 275 references in the open-source book on Lagrangian descriptors [73]. However, for three degrees of freedom 276 systems with multiple saddles with varying indices, the use of LD has not been studied carefully and we 277 present some preliminary discussion of this method. 278

For the three degrees of freedom system, we define the two dimensional section on the five dimensional energy surface

$$\Sigma_{X_s P_s}^+ = \left\{ (X_s, X_a, Y, P_s, P_a, P_y) \in \mathbb{R}^6 \, | \, X_a = 0, Y = 0, P_y = 0, \dot{X}_a > 0 \right\}$$
(8)

to inspect changes in the phase space structures with changes in the coupling strength and frequency of the third mode. We compute the Lagrangian descriptor for initial conditions on the reactant side ( $X_s \le 0$ ) of the section (Eqn. (8)). The integration time used if 10 time units which is almost double the cut-off time for dynamical concerted ( $\Delta \tau_c \sim 5$ ) pathway. While most studies using the LD method, and supported by theoretical arguments, tend to choose high integration time, we found that the short integration time of 10 time units gave sufficient time for the structures to form and did not generate the many intricate

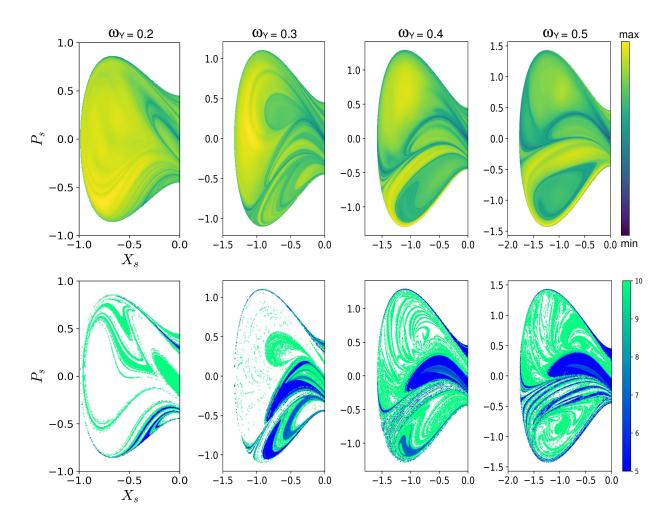


Figure 7: (Top panel) Forward LD map for  $\tau = 10$  and (bottom panel) delay time map in the  $(P_s, X_s)$  space at total energy of E = 1.1. The parameter values are  $\lambda = 0.3$ ,  $\lambda' = 0.1$ . The frequency  $\omega_Y$  of the transverse Y-mode is indicated above each column. The color scales associated with LD and delay time map are indicated in the respective panels. Note that the initial conditions of "pure" concerted and dynamically concerted trajectories are shown in blue. The green color indicates the initial condition of trajectories with delay time 10 or greater in the delay time map. The empty (white color) spaces in the delay time map correspond to initial conditions that are non-reactive up to the final time of integration.

stretching and folding of the global invariant manifolds. We compare the LD contour map with the delay 285 time map in Figs. 7-9 to show, for the first time, a striking correspondence between the invariant manifolds 286 and delay time distribution. First, we observe that a direct correspondence in the contours of delay times 287 and LD values across all the coupling strengths and frequency of the third mode with a cut-off time for 288 dynamically concerted behaviour of  $\Delta \tau_c \sim 5$  time units. The invariant manifolds identified in the LD 289 contour map correspond to initial conditions with high delay time. However, the regions bounded by the 290 invariant manifolds have two distinct delay times, that is either below  $\Delta \tau < 5$  or  $\Delta \tau > 10$ . It implies that the 291 invariant manifolds partition the initial conditions into dynamically concerted or sequential mechanisms. 292 However, it is unclear as to which invariant manifolds can be unambiguously tied to a given mechanism. 293 In order to discern which invariant manifolds mediate dynamically concerted and dynamically sequential 294 mechanisms for energies above the index-2 saddle, one needs to evolve an ensemble of trajectories inside 295 regions bounded by the invariant manifolds. This needs to be paired with a computation of the normally 296 hyperbolic invariant manifolds [78] and it's associated global invariant manifolds. The geometry of the 297 normally hyperbolic invariant manifolds (3-sphere) associated with the index-2 saddle and its stable and 298 unstable invariant manifolds (spherical cylinders or with geometry  $\mathbb{S}^2 \times \mathbb{R}$ ) is still an area of continued 299 interest [37, 79, 80] and we expect their structure and stability in the parameter space will shed light on the 300 precise phase space mechanism of the competition between the concerted and sequential pathways. 30

# **302 4. Conclusion and Outlook**

In this work we have studied the classical dynamics of a three degrees of freedom Hamiltonian which models the double proton transfer reaction in a particular class of molecules. However, the analysis and techniques presented here are expected to be relevant for other types of systems which involve breaking and forming of multiple bonds. The key points that emerge from our study are as follows:

 Coupling of additional low frequency modes to the reactive modes can lead to a change in the reaction mechanism inferred from lower dimensional studies. In particular, in the context of the DPT reaction studied in this work, it is seen that even a single low frequency mode can substantially change the fraction of reactive trajectories that proceed along the concerted pathway. It would be instructive to construct the phase space dividing surface for the index-2 saddle, along the lines of the earlier work by Collins, Ezra, and Wiggins [80], to gain further insights into the modulation of the fraction of concerted trajectories.

- 2. Inspired by several earlier studies on various reactions that involve multiple bond formation, we have 314 explored the utility of classifying reactions as dynamically concerted or sequential. To this end a 315 simple, but dynamical, measure involving the time delay between the formation of two bonds was 316 used. We suggest that the distributions of delay times provides much more information then the 317 fraction of concerted trajectories and its use is motivated by the work of [43]. More importantly, we 318 show a direct correspondence between the delay times and the phase space invariant manifolds for 319 the coupling strengths and frequency of the third mode. This observation, therefore, places the earlier 320 studies on a firm dynamical basis. 321
- 322 3. We have shown that the technique of Lagrangian descriptors can be invoked to map out the relevant in-323 variant manifolds in high dimensional phase space. In particular, although not explored further in the 324 current work, we observe that the LDs do encode the manifolds responsible for both the dynamically 325 concerted and the dynamically sequential reactive pathways. Further studies on the changes in the 326 structure of the index-2 normally hyperbolic invariant manifolds (NHIM) [8, 81] with the transverse 327 mode frequency and connection to the LD maps will be the focus of our future work.

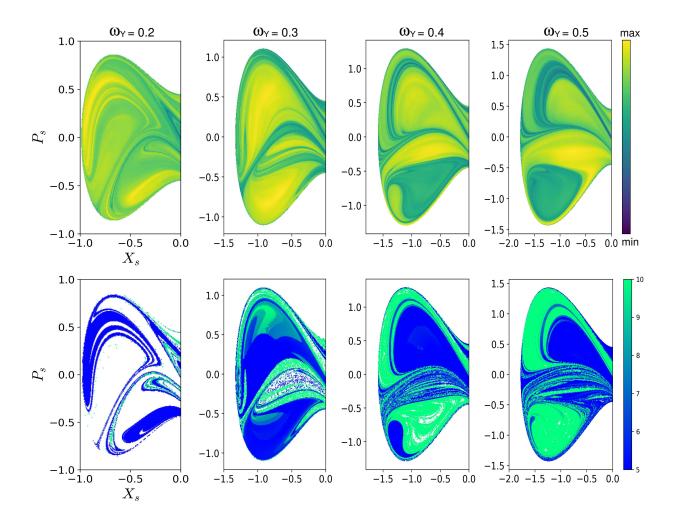


Figure 8: Same as in Fig. 7 with parameter values  $\lambda = 0.3$  and  $\lambda' = 0.3$ .

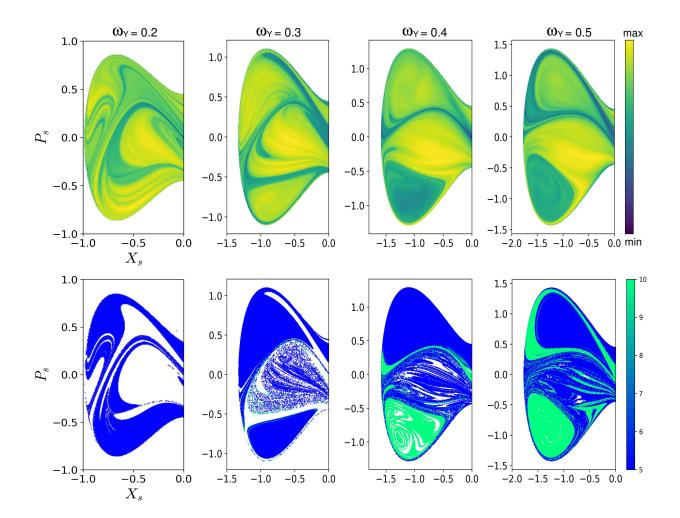


Figure 9: Same as in Fig. 7 with parameter values  $\lambda = 0.3$  and  $\lambda' = 0.5$ .

Several issues arise in the context of our, admittedly preliminary, study and we briefly mention a few. 328 Firstly, are the concerted and sequential pathways uncorrelated? One way to address this is to compute 329 the so called gap time distribution [17, 82–85] for the model system and the possible connections to the 330 delay time distributions. Such a connection, along with an unambiguous disentangling of the phase space 331 invariant manifolds for the two mechanisms, will then allow for decomposing the rate of the reaction in 332 terms of "concerted rates" and "sequential rates". Secondly, the extent of intramolecular vibrational energy 333 redistribution (IVR) [4, 5, 86] amongst the modes needs to be brought out clearly. Since the model has 334 three degrees of freedom, it would be relevant to map out the Arnold web structure [6, 87, 88] in the 335 intermediate wells and correlate with the residence time distributions [89]. Such insights from the IVR 336 dynamics may lead to the identification of the "trigger" modes of the molecule that ultimately result in a 337 concerted or sequential mechanism. Finally, our entire study is classical and raises the question of whether 338 the quantum dynamics also allows for a dynamically concerted or sequential classification. The issue is 339 subtle since, apart from tunneling which is relevant at low temperatures and correlated dynamics due to 340 quantum entanglement [65], even a proper definition of the delay time may pose difficulties. 341

We conclude by noting that the Hamiltonian in eq. 7 leads to a very rich and complex dynamics. Our study here has explored only a thin "slice" of the vast parameter range. We hope that a more detailed phase space analysis and classical-quantum correspondence study of the model presented herein will lead to further insights into the dynamical implications of high index saddles on reaction mechanisms.

#### 346 5. Acknowledgement

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# 352 Appendix A. Double proton transfer Hamiltonian: scaled 2D model

For a single proton transfer process, labeled as subsystem 1 in Fig. 1, the standard model corresponds to a quartic double well oscillator with the Hamiltonian

$$\bar{H}(\bar{x},\bar{p}) = \frac{1}{2\bar{m}}\bar{p}^2 + U(\bar{x})$$
(A.1)

and the potential energy function

$$U(\bar{x}) = -a\bar{x}^2 + b\bar{x}^4 + U_0 \tag{A.2}$$

with  $\bar{a}, \bar{b} > 0$  and  $U_0$  being the barrier height for the single barrier proton transfer. The critical points of the potential are determined as  $\bar{x}_c = 0, \pm \Delta x_0$  with  $\Delta x_0 \equiv (a/2b)^{1/2}$ . The point  $x_c = 0$  corresponds to the maximum with  $U(0) \equiv U_0 = a^2/4b$  while  $x_c = \pm \Delta x_0$  are the two minima with  $U(x_c = \pm \Delta x_0) = 0$ . Thus,  $U_0$  is the barrier height. As indicated in Fig. 1, the distance between the two minima is equal to  $2\Delta x_0$ .

We introduce scaled variables as follows. The coordinate is scaled by  $\Delta x_0$  as  $\bar{x} = x \Delta x_0$ , with x being dimensionless. Thus, the potential energy transforms as

$$U(x) = -a(\Delta x_0)^2 x^2 + b(\Delta x_0)^4 x^4 + U_0$$
(A.3)

$$= U_0 \left[ x^2 - 1 \right]^2 \tag{A.4}$$

Consequently, the Hamiltonian can be written down as

$$\bar{H}(x,P) = \frac{(\Delta x_0)^2}{2\bar{m}}P^2 + U_0 \left[x^2 - 1\right]^2$$
(A.5)

where  $P \equiv \bar{m}\dot{x}$ . Measuring mass in units of the proton mass  $m_H$  we have  $\bar{m} = m_H m$  and  $P = \bar{m}\dot{x} = m_H(m\dot{x}) \equiv m_H \tilde{p}$ . Finally, scaling the energy by  $2U_0$  and time as  $t = \alpha \tau$  with  $\alpha = [(\Delta x_0)^2 m_H/2U_0]^{1/2}$  we obtain the transformed dimensionless Hamiltonian

$$H(x,p) = \frac{1}{2m}p^2 + \frac{1}{2}\left[x^2 - 1\right]^2$$
(A.6)

with the identification  $p \equiv m(dx/d\tau)$ .

We now consider the double proton transfer scenario shown in Fig. 1 wherein the system has two such equivalent protons tunneling sites. A two degree of freedom Hamiltonian can then be expressed in terms of the two proton coordinates  $\mathbf{x} = (x_1, x_2)$  and their corresponding conjugate momenta  $\mathbf{p} = (p_1, p_2)$ 

$$H(\mathbf{x}, \mathbf{p}) = H_0(\mathbf{x}, \mathbf{p}) + U_{\text{coup}}(\mathbf{x})$$
(A.7)

where, the zeroth-order Hamiltonian is generalized from Eqn. A.6 and of the form

$$H_0(\mathbf{x}, \mathbf{p}) = \sum_{j=1,2} \left[ \frac{1}{2m_j} p_j^2 + U_0(x_j) \right]$$
(A.8)

with

$$U_0(x_j) = \frac{1}{2} \left[ x_j^2 - 1 \right]^2$$
(A.9)

The zeroth-order form is appropriate in the limit that the two protons being transferred are not correlated. However, typically, the two proton motions are coupled and general symmetry-based arguments indicate that the correct form of the coupling potential is given by

$$U_{\text{coup}}(\mathbf{x}) = -2Gx_1x_2 - Dx_1^2x_2^2 - C(x_1^3x_2 + x_1x_2^3) + \dots$$
(A.10)

Thus, in principle there are couplings of all order between the two modes. However, as has been noted earlier, from a perturbative perspective the first two leading order terms in the above expansion for  $U_{\text{coup}}(\mathbf{x})$ are sufficient to capture most of the essential dynamical features of the system. Therefore, in what follows we take  $G, D \neq 0$  and ignore the higher order terms.

At this stage it is useful to switch from the local coordinates used above to the normal mode coordinates  $(x_s, x_a)$  with the transformation  $(x_1, x_2) = (x_s + x_a, x_s - x_a)$ . The Hamiltonian in this new representation is given by

$$H(x_s, x_a, p_s, p_a) = \frac{1}{2M}(p_s^2 + p_a^2) + \left(\frac{\delta m}{M^2}\right)p_s p_a + U(x_s, x_a)$$
(A.11)

with  $M \equiv m_1 + m_2$  and  $\delta m = m_1 - m_2$ . Note the presence of the momentum coupling term. This term vanishes when we are looking at the symmetric  $m_1 = m_2$  cases, as in the present work. However, when considering singly substituted isotope case like  $m_1 = m_H$  and  $m_2 = m_D$ , for instance, then  $\delta m \neq 0$ . The potential thus transforms into

$$U(x_s, x_a) = \alpha_s \left[ x_s^2 - (\Delta x_s)^2 \right]^2 + \alpha_a \left[ x_a^2 - (\Delta x_a)^2 \right]^2 + 2Rx_s^2 x_a^2 + \mathcal{U}(G, D)$$
(A.12)

where we have denoted R = 3 + D and  $\alpha_s = \alpha_a \equiv \alpha = 1 - D$  with

$$\Delta x_{s,a} = \sqrt{\frac{1 \pm G}{1 - D}} \tag{A.13a}$$

$$\mathcal{U}(G,D) = 1 - \alpha_s (\Delta x_s)^4 - \alpha_a (\Delta x_a)^4$$
(A.13b)

As a final transformation, and preparation for the three degree of freedom Hamiltonian of interest to the current work, we transform to mass-weighted coordinates via  $X_{s,a} \rightarrow \sqrt{M}x_{s,a}$ . We thus obtain the Hamiltonian

$$H(\mathbf{X}, \mathbf{P}) = \frac{1}{2}(P_s^2 + P_a^2) + \left(\frac{\delta m}{M}\right)P_s P_a + U(X_s, X_a)$$
(A.14)

with the potential energy term

$$U(\mathbf{X}) = \bar{\alpha}_s \left[ X_s^2 - (\Delta X_s)^2 \right]^2 + \bar{\alpha}_a \left[ X_a^2 - (\Delta X_a)^2 \right]^2 + 2\bar{R} X_s^2 X_a^2 + \mathcal{U}(G, D)$$
(A.15)

In the above we have denoted  $(\mathbf{X}, \mathbf{P}) \equiv (X_s, X_a, P_s, P_a)$  with the parameters  $\Delta X_{s,a} = \sqrt{M} \Delta x_{s,a}$ ,  $\bar{\alpha}_s = \bar{\alpha}_a \equiv \alpha/M^2$ , and  $\bar{R} \equiv R/M^2$ . The above Hamiltonian with  $\delta m = 0$  corresponds to Eqn. 1 in the main article.

# 366 Appendix B. Hamiltonian vector field and linear stability of equilibria

<sup>367</sup> The Hamiltonian vector field is given by

$$\begin{split} \dot{X}_{s} &= P_{s} + \frac{\delta m}{M} P_{a} \\ \dot{X}_{a} &= P_{a} + \frac{\delta m}{M} P_{s} \\ \dot{Y} &= P_{y} \\ \dot{P}_{s} &= -4 \left( \bar{\alpha_{s}} (X_{s}^{3} - X_{s} (\Delta X_{s})^{2}) + \bar{R} X_{s} X_{a}^{2} \right) + 2\lambda X_{s} \left( Y - \frac{\lambda}{\omega_{Y}^{2}} X_{s}^{2} - \frac{\lambda'}{\omega_{Y}^{2}} X_{a}^{2} \right) \\ \dot{P}_{a} &= -4 \left( \bar{\alpha_{a}} (X_{a}^{3} - X_{a} (\Delta X_{a})^{2}) + \bar{R} X_{a} X_{s}^{2} \right) + 2\lambda' X_{a} \left( Y - \frac{\lambda}{\omega_{Y}^{2}} X_{s}^{2} - \frac{\lambda'}{\omega_{Y}^{2}} X_{a}^{2} \right) \\ \dot{P}_{y} &= -\omega_{Y}^{2} \left( Y - \frac{\lambda}{\omega_{Y}^{2}} X_{s}^{2} - \frac{\lambda'}{\omega_{Y}^{2}} X_{a}^{2} \right) \end{split}$$
(B.1)

This vector field has same number of equilibria as the two degrees of freedom model except that each equilibria also has a third coordinate. The equilibria, total energies, and their linear stability is summarised in the Table. 1, where the locations of the index-1 saddles and their energy are given by

$$\left(X_{s}^{\ddagger}, X_{a}^{\ddagger}, Y^{\ddagger}\right) = \left(\pm \sqrt{\frac{\bar{\alpha}_{s}\bar{\alpha}_{a}(\Delta X_{s})^{2} - \bar{\alpha}_{a}\bar{R}(\Delta X_{a})^{2}}{(\bar{\alpha}_{s}\bar{\alpha}_{a} - \bar{R}^{2})}}, \pm \sqrt{\frac{\bar{\alpha}_{s}\bar{\alpha}_{a}(\Delta X_{a})^{2} - \bar{\alpha}_{s}\bar{R}(\Delta X_{s})^{2}}{(\bar{\alpha}_{s}\bar{\alpha}_{a} - \bar{R}^{2})}}, \frac{\lambda}{\omega_{Y}^{2}}(X_{s}^{\ddagger})^{2} + \frac{\lambda'}{\omega_{Y}^{2}}(X_{a}^{\ddagger})^{2}\right)$$
(B.2)

$$H^{\ddagger} = \frac{1}{M^2(\bar{R}^2 - \bar{\alpha}_a \bar{\alpha}_s)} \left[ \bar{R}^2 \bar{\alpha}_s (\Delta X_s)^4 + \bar{R}^2 \bar{\alpha}_a (\Delta X_a)^4 \right) - 2\bar{R} (\Delta X_s \Delta X_a)^2 \bar{\alpha}_s \bar{\alpha}_a \right] + \mathcal{U}(G, D).$$
(B.3)

The linear stability of the equilibria in Table 1 is determined by the eigenvalue problem associated with the linearized vector field,  $\mathbb{J}\mathbf{v} = \beta \mathbf{v}$ , where the Jacobian  $\mathbb{J}$  is given by Eqn. (B.4),  $\beta$ ,  $\mathbf{v}$  are the eigenvalues

- and eigenvectors of the Jacobian at the equilibrium point. For the reactant, product, and intermediate wells, 370
- the eigenvalues are of the form  $\pm \omega_{c1}^e, \pm \omega_{c2}^e, \pm \omega_{c3}^e$ . For the saddles at energy  $H^{\ddagger}$ , the eigenvalues are of the form  $\pm \lambda^e, \pm \omega_{s1}^e, \pm \omega_{s2}^e$  which makes these index-1 saddles. For the saddle at energy  $E_s = 1$ , the eigenvalues 371
- 372
- are of the form  $\pm \lambda_{s_1}^e, \pm \lambda_{s_2}^e, \pm \omega_{s_3}^e$  which makes this an index-2 saddle. 373
- The Jacobian of the vector field,  $\mathbb{J}(X_s, X_a, Y, P_s, P_a, P_y)$ , is given by 374

$$\begin{pmatrix} 0 & 0 & 0 & 1 & \frac{\delta m}{M} & 0 \\ 0 & 0 & 0 & \frac{\delta m}{M} & 1 & 0 \\ 0 & 0 & 0 & 0 & 0 & 1 \\ -\frac{\partial^2 V}{\partial X_s^2} & -\frac{\partial^2 V}{\partial X_a \partial X_s} & -\frac{\partial^2 V}{\partial Y \partial X_s} & 0 & 0 & 0 \\ -\frac{\partial^2 V}{\partial X_s \partial X_a} & -\frac{\partial^2 V}{\partial X_a^2} & -\frac{\partial^2 V}{\partial Y \partial X_a} & 0 & 0 & 0 \\ -\frac{\partial^2 V}{\partial X_s \partial Y} & -\frac{\partial^2 V}{\partial X_a \partial Y} & -\frac{\partial^2 V}{\partial Y^2} & 0 & 0 & 0 \end{pmatrix},$$
(B.4)

where 375

$$-\frac{\partial^2 V}{\partial X_s^2} = -4\left[\bar{\alpha}_s(3X_s^2 - (\Delta X_s)^2) + \bar{R}X_a^2\right] + 2\lambda\left(Y - \frac{\lambda}{\omega_Y^2}3X_s^2 - \frac{\lambda'}{\omega_Y^2}X_a^2\right)$$
(B.5)

$$-\frac{\partial^2 V}{\partial X_a^2} = -4\left[\bar{\alpha}_a(3X_a^2 - (\Delta X_a)^2) + \bar{R}X_s^2\right] + 2\lambda' \left(Y - \frac{\lambda}{\omega_Y^2}X_s^2 - \frac{\lambda'}{\omega_Y^2}3X_a^2\right)$$
(B.6)

$$-\frac{\partial^2 V}{\partial X_a \partial X_s} = -\frac{\partial^2 V}{\partial X_s \partial X_a} = -4\left(2\bar{R} + \frac{\lambda\lambda'}{\omega_Y^2}\right) X_s X_a \tag{B.7}$$

$$-\frac{\partial^2 V}{\partial Y \partial X_s} = -\frac{\partial^2 V}{\partial X_s \partial Y} = -2\lambda X_s$$
(B.8)

$$-\frac{\partial^2 V}{\partial Y \partial X_a} = -\frac{\partial^2 V}{\partial X_a \partial Y} = -2\lambda' X_a$$
(B.9)

$$-\frac{\partial^2 V}{\partial Y^2} = -\omega_Y^2 \tag{B.10}$$

We track the changes in the linear stability of the index-1 and index-2 saddles with changes in the 376 coupling strength by tracking the eigenvalues of the linearized vector field (Jacobian (B.4)) evaluated at the 377 equilibrium points as the coupling strength is continuously varied. Note that in this work we have  $\delta m = 0$ . 378

The eigenvalues at the phase space point (0, 0, 0, 0, 0, 0) are given by

$$\left[\pm 2\sqrt{\bar{\alpha}_s}\Delta X_s, \pm 2\sqrt{\bar{\alpha}_a}\Delta X_a, \pm i\omega_Y\right] \tag{B.11}$$

which has the structure of an index-2 saddle and is only dependent on the parameters  $D, G, C, \omega_Y$ . This 379 supports the parametric study in this work where the index-2 saddle maintains its linear stability as we vary 380  $\lambda, \lambda'$ . Further, this also points to the fact that mere linear (local in the neighborhood of the equilibrium point) 381 analysis will not reflect the dynamical mechanism due to the influence of the coupling parameters on the 382 fraction of concerted trajectories. For the remaining equilibria, we show the changes in the magnitude of the 383

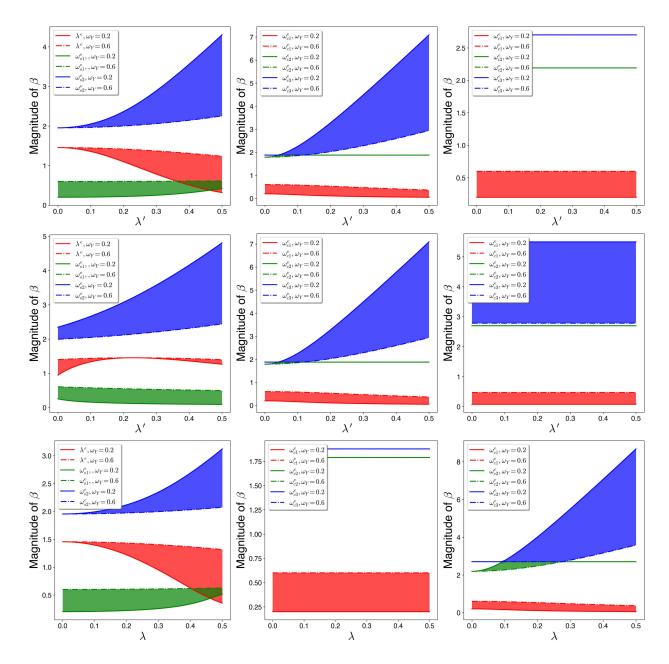


Figure B.10: Eigenvalues variation with  $\lambda'$ ,  $\lambda$ ,  $\omega_Y$ . (Top row)  $\lambda = 0.0$  (Middle row)  $\lambda = 0.3$  (Bottom row)  $\lambda' = 0.0$ . Left, middle, and right columns correspond to the index-1 saddles, intermediate wells, and product (or reactant) well, respectively. The magnitude of the eigenvalues at  $\omega_Y = 0.2$  and  $\omega_Y = 0.6$  are shown as continuous line and dash-dot line, respectively, with the shaded region between the lines denoting the variation for  $0.2 \le \omega_Y \le 0.6$ . Other parameters are  $\delta M = 0, M = 2, G = 0.20, D = 0.15$ .

eigenvalues along coupling parameters  $\lambda'$ ,  $\lambda$  and third mode frequency  $\omega_Y$  for  $\lambda = 0.3$ ,  $\lambda = 0.0$  and  $\lambda' = 0.0$ in Fig. B.10. We observe that the eigenvalues of the intermediate wells are independent of  $\lambda$  while the eigenvalues of the product (or reactant) well are independent of  $\lambda'$ . In general, there are no critical changes in the eigenvalues as the coupling strengths and frequency of the third mode are varied. The stability type of the equilibria stays the same.

## 389 Appendix C. Lagrangian descriptor: method to reveal the invariant manifolds

We briefly describe the method of Lagrangian descriptors, which reveals regions with qualitatively distinct dynamical behavior by showing the intersection of the invariant manifolds with the two dimensional section. For a general time-dependent dynamical system given by

$$\frac{d\mathbf{x}}{dt} = \mathbf{f}(\mathbf{x}, t) , \quad \mathbf{x} \in \mathbb{R}^n , \ t \in \mathbb{R} ,$$
(C.1)

where the vector field  $\mathbf{f}(\mathbf{x}, t)$  is assumed to be sufficiently smooth both in space and time. The vector field  $\mathbf{f}$ can be prescribed by an analytical model or given from numerical simulations as a discrete spatio-temporal data set. For instance, the vector field could represent the velocity field of oceanic or atmospheric currents obtained from satellite measurements or from the numerical solution of geophysical models. For any initial condition  $\mathbf{x}(t_0) = \mathbf{x}_0$ , the system of first order nonlinear differential equations (given in Eqn. (C.1)) has a unique solution represented by the trajectory that starts from that initial point  $\mathbf{x}_0$  at time  $t_0$ .

In this study, we adopt the LD definition

$$\mathcal{L}_{p}(\mathbf{x}_{0}, t_{0}, \tau) = \int_{t_{0}-\tau}^{t_{0}+\tau} \sum_{k=1}^{n} |f_{k}(\mathbf{x}(t; \mathbf{x}_{0}), t)|^{p} dt , \quad p \in (0, 1]$$
(C.2)

where  $f_k$  is the *k*-the component of the vector field, Eqn. (C.1) and use p = 1/2. We note that the integral can be split into its forward and backward time parts to detect the intersection of stable and unstable manifolds separately. This relates to finding the escape and entry channels into the potential well. In this study, we keep the forward part of the integral given by

$$\mathcal{L}_{p}^{f}(\mathbf{x}_{0}, t_{0}, \tau) = \int_{t_{0}}^{t_{0}+\tau} \sum_{k=1}^{n} |f_{k}(\mathbf{x}(t; \mathbf{x}_{0}), t)|^{p} dt$$
(C.3)

Although this definition of LD does not have an intuitive physical interpretation as that of the arclength definition [71], it allows for a rigorous proof that the "singular features" (non-differentiable points) in the LD contour map identify intersections with stable and unstable invariant manifolds [72]. Another important aspect of what is known in LD literature as the p-(quasi)norm is that degrees of freedom with relevance in escape/transition (reaction) dynamics can be decomposed and computed. This definition was used to show that the method can be used to successfully detect NHIMs and their stable and unstable manifolds in Hénon-Heiles Hamiltonian [90, 91]. For this system, where both fixed (or variable) integration time is used, it has also been shown that the LD scalar field attains a minimum (or maximum) value along with singularity at the intersections of the stable and unstable manifolds, and given by

$$\mathcal{W}^{s}(\mathbf{x}_{0}, t_{0}) = \operatorname{argmin} \mathcal{L}_{p}^{f}(\mathbf{x}_{0}, t_{0}, \tau) , \qquad (C.4)$$

where  $W^{s}(\mathbf{x}_{0}, t_{0})$  are the stable manifolds calculated at time  $t_{0}$  and argmin denotes the phase space coordinates on the two dimensional section that minimize the scalar field,  $\mathcal{L}_{p}^{f}(\mathbf{x}_{0}, t_{0}, \tau)$ , over the integration time, T. Thus, the scalar field plotted as a contour map identifies the intersection of the stable manifold with a two dimensional section. This ability of LD contour map to partition trajectories with different phase space geometry is shown in the right panel of Fig. C.11 as singular values of LD identify the intersection of the manifolds with the chosen section.

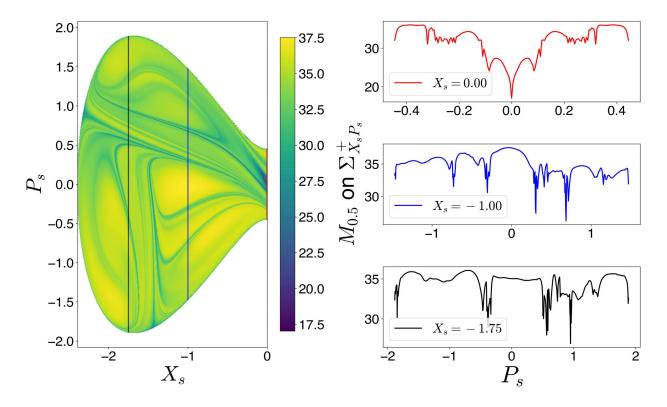


Figure C.11: Lagrangian descriptor (forward) on the section 8 identifying the invariant manifolds by the singular points with minima of the contour map shown by the one dimensional slices on the right. Other parameters are  $\lambda = \lambda' = 0$ ,  $\omega_Y = 0.2$  and E = 1.1.

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