

Influence of Substrates on the Long-Range Order of Photoelectrodeposited Se-Te Nanostructures

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10 PHOTOELECTRODEPOSITED SE-TE NANOSTRUCTURES
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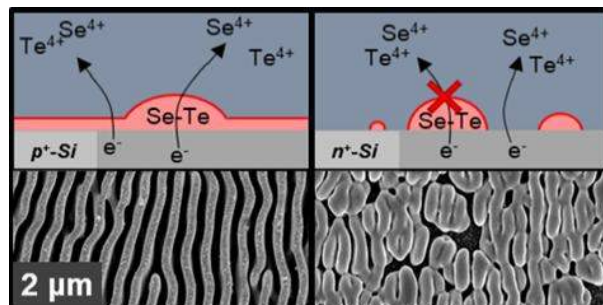
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ABSTRACT.

The long-range order of anisotropic phototropic Se-Te films grown electrochemically at room temperature under uniform intensity, polarized, incoherent near-IR illumination has been investigated using crystalline (111)-oriented Si substrates doped degenerately with either p- or n-type dopants. Fourier-transform (FT) analysis was performed on large-area images obtained with a scanning electron microscope, and peak shapes in the FT spectra were used to determine the pattern fidelity in the deposited Se-Te films. Under nominally identical illumination conditions, phototropic films grown on p⁺-Si(111) exhibited a higher degree of anisotropy and a more well-defined pattern period than phototropic films grown on n⁺-Si(111). Similar differences in the phototropic Se-Te deposit morphology and pattern fidelity on p⁺-Si vs. n⁺-Si were observed when the deposition rate and current densities were controlled for by adjusting the deposition parameters and illumination conditions. The doping-related effects of the Si substrate on the pattern fidelity of the phototropic Se-Te deposits is ascribable to an electrical effect produced by the different interfacial junction energetics between Se-Te and p⁺-Si vs n⁺-Si that influences the dynamic behavior during phototropic growth at the Se-Te/Si interface.

Keywords: Electrodeposition, photoelectrochemistry, photodeposition, nanopatterning, interface, chalcogenide

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3 Highly anisotropic nanoscale lamellar patterns spontaneously develop over macroscopic
4 areas during electrodeposition of phototropic Se-Te alloy films onto unpatterned substrates
5 illuminated uniformly by incoherent polarized light.¹ The lamellae adopt an orientation parallel
6 to the direction of polarization, and the width and periodicity of the lamellae are controlled by the
7 intensity-weighted average wavelength of the illumination.² The patterns of the phototropic Se-
8 Te films respond dynamically during growth to changes in the illumination, enabling the template-
9 free synthesis of complex three-dimensional nanostructures with fully controllable morphologies
10 based on controlling the properties of the incident light during the phototropic growth process.¹
11 Phototropic growth may therefore offer an intrinsically three-dimensional approach to the design
12 and synthesis of adaptive, complex, mesostructured materials with a variety of novel properties,
13 including materials with optical properties tailored for use as elements in next-generation optical
14 devices (e.g. lenses, filters, modulators), light absorbers in thin-film solar cells or photodetectors,
15 and mesoscopic materials for supporting thermal or electrochemical catalysts with controlled
16 electronic and ionic conductivity.
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35 The Se-Te alloys are semiconductors with energy-band gaps (E_g) that are between those of
36 Se ($E_g \sim 1.85$ eV) and Te ($E_g \sim 0.33$ eV), depending on the ratio of Se to Te as well as the
37 crystallinity of the material.³⁻⁶ Phototropic growth with consequent optically based nanoscale
38 morphology control has also been observed for PbSe films, suggesting that the phenomenon may
39 be general for semiconductors with short minority-carrier diffusion lengths.⁷ A model that
40 combines full-wave optical simulations with weighted Monte-Carlo mass addition accurately
41 reproduces the average pattern period and morphology for phototropic Se-Te structures grown
42 under multiple and complex optical inputs.^{2, 8, 9}
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3 Se-Te photoelectrodeposits exhibit phototropic growth on a variety of substrates, including
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5 Au, highly oriented pyrolytic graphite, n⁺-Si(111), and p-Si(100).¹ Hence the atomic-level
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7 structural properties of the substrate/film interface do not substantially influence the morphology
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9 of the resultant photoelectrodeposited phototropic Se-Te film. Electrochemical reactions of
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11 semiconductors involve the conduction of charge through either the conduction or valence band,
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13 and often are affected by differences in the behavior and distribution of charge carriers under dark
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15 or illuminated conditions, as in the anodic etching of Si.¹⁰⁻¹² Band conduction and the
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17 electrochemical behavior of semiconductors are important during the deposition of metals onto
18
19 structured Si working electrodes, with the work function of the deposited metals influencing the
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21 spatial distribution of the electrodeposit, either in the dark or under illumination.¹³ In addition,
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23 wavelength-dependent light-absorption profiles have been shown to direct deposition of metal
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25 anisotropically onto patterned, photoactive Si microwire arrays.¹⁴
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31 After an initial light-independent deposition phase, phototropic growth of the Se-Te films
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33 results from absorption of light with energy above the Se-Te band gap, producing an electron-hole
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35 pair. Photogenerated electrons that reach the Se-Te/electrolyte interface reduce oxidized Se or Te
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37 species dissolved in the solution, whereas photogenerated holes are collected at the back contact
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39 to the Se-Te film. Holes must be conducted across the back contact, so the energetics of the
40
41 interface between the phototropically growing semiconducting electrodeposit and the substrate
42
43 may influence the morphology and growth of the phototropic film. Herein we examine whether
44
45 and how the substrate influences the development of the lamellar patterns in photoelectrodeposited
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47 Se-Te films, with a focus on possible electrical effects due to the energetics of the junction between
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49 the substrate and the phototropic semiconducting film.
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53 ***Matched-Illumination Growth Conditions***

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3 Detailed experimental procedures are provided in the Supporting Information. Se-Te films
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5 were deposited potentiostatically from an aqueous bath of 1.00 M H₂SO₄, 0.020 M SeO₂, and 0.010
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7 M TeO₂. The p⁺-Si and n⁺-Si planar substrates had a resistivity < 0.005 Ω-cm and had a (111) ±
8
9 0.5° crystal orientation. Unless otherwise noted, all substrates were illuminated with constant,
10
11 vertically polarized light from a narrow-band light-emitting diode (LED) source producing an
12
13 intensity-weighted average wavelength of 927 nm at a nominally uniform power density of 53 mW
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15 cm⁻² over the whole substrate. Mass was deposited by cathodic deposition until a total charge
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17 density of -750 mC cm⁻² had passed through the working electrode.
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22 Figures 1(a) and (b) show representative scanning-electron microscopy (SEM) images of
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24 Se-Te films grown on p⁺-Si(111) and n⁺-Si(111) substrates, respectively. The films grown on p⁺-
25
26 Si exhibited a higher degree of anisotropy along the axis of optical polarization than films grown
27
28 on n⁺-Si, and more defects were apparent in the patterns of the n⁺-Si/Se-Te films than in the p⁺-
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30 Si/Se-Te films. Figures S1(a) and (b) provide high-resolution, low-magnification images showing
31
32 wide areas of the photoelectrodeposited Si/Se-Te films that contain the regions shown in Figures
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34 1(a) and (b).
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39 Figures 1(c) and (d) show two-dimensional Fourier transforms (2D FT) of wide-area
40
41 images of phototropic Se-Te films grown on p⁺-Si and n⁺-Si substrates. The 2D FT data were
42
43 converted to and analyzed in the polar coordinate system where the radial coordinate, *r*, is the
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45 linear distance from the center of the FT, equivalent to the periodicity of the pattern in real space.
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47 The angular coordinate, *θ*, is the angle formed between the position of the radial coordinate and
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49 the positive x axis in Cartesian coordinates, equivalent to the direction of the pattern. Thusly, the
50
51 horizontal component of the 2D FT was evaluated where *θ* = 0 (and *r* = *x*) along the direction
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53 perpendicular to the polarization vector used during film growth, and reflects the spacing of the
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3 lamellae in the SEM images, with a narrow band in the horizontal component of the 2D FT
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5 corresponding to a lamellar pattern with a highly defined period. The vertical component of the
6
7 2D FT was then evaluated at a distance, r , corresponding to the position of the primary FT band
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9 as determined by the peak position in the horizontal direction. The vertical component is parallel
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11 to the polarization vector used during film growth and reflects the alignment of the lamellae with
12
13 the axis of polarization. A narrow band in the vertical component of the 2D FT corresponds to a
14
15 highly anisotropic pattern, approaching perfectly parallel and straight lamellae.
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20 Figures 1(e) and (f) show the horizontal and vertical surface profiles that were extracted
21
22 from the bands corresponding to the primary periods in the 2D FTs shown in Figures 1(c) and (d).
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24 For the horizontal and vertical profiles, the bands were sharper for p^+ -Si/Se-Te than for n^+ -Si/Se-
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26 Te films, consistent with a more defined pattern, and straighter lamellae, for Se-Te films grown on
27
28 p^+ -Si substrates than on n^+ -Si substrates. The horizontal and vertical profiles were fitted to
29
30 Lorentzian functions, and the full-widths at half maximum (FWHMs) of the fitted peaks provide
31
32 figures-of-merit for the uniformity of the lamellar Se-Te structure. For horizontal FWHMs The
33
34 FWHMs of the fits to the horizontal profiles were $1.49 \mu\text{m}^{-1}$ and $1.77 \mu\text{m}^{-1}$ for p^+ -Si/Se-Te and n^+ -
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36 Si/Se-Te films, respectively. For the vertical profiles, the FWHMs were 30.9° and 57.9° for p^+ -
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38 Si/Se-Te and n^+ -Si/Se-Te films, respectively. Average horizontal FWHM values for samples
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40 prepared under nominally identical conditions were $1.44 \pm 0.26 \mu\text{m}^{-1}$ and $1.79 \pm 0.07 \mu\text{m}^{-1}$ for p^+ -
41
42 Si/Se-Te and n^+ -Si/Se-Te films, respectively. For the vertical FWHM values, averages for p^+ -
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44 Si/Se-Te were $31.3^\circ \pm 1.0^\circ$; for n^+ -Si/Se-Te films, averages were $63.4^\circ \pm 10.1^\circ$.
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50 Films grown on the different substrates yielded different locations in reciprocal Fourier
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52 space of the maxima of the peaks fitted to the horizontal surface profiles, with higher values in
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54 reciprocal Fourier space corresponding to smaller periods in real space. The fit to the peak
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3 associated with p⁺-Si/Se-Te films was centered at 2.79 μm⁻¹, corresponding to an average period
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5 of 358 nm, whereas the fit to the peak associated with n⁺-Si/Se-Te films was centered at 2.47 μm⁻¹,
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7 corresponding to an average period of 405 nm. This increase in the average period results from
8
9 the higher degree of disorder in the pattern, which led to a less closely packed pattern for the n⁺-
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11 Si/Se-Te films as compared to the p⁺-Si/Se-Te films.
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14 *Nucleation Dynamics*

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17 To elucidate the development of the patterns in the phototropically grown films as well as
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19 any differences in how the phototropic patterns develop on the two differently doped types of Si
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21 substrates, the phototropic growth of Se-Te films on p⁺-Si or n⁺-Si was observed at several steps
22
23 early in the photoelectrodeposition process. Figure 2 shows the structures observed during
24
25 nucleation and development of the phototropic Se-Te films. At low levels of mass deposited and
26
27 cathodic charge density passed (-0.75 mC cm⁻²), the morphology of the phototropic Se-Te deposit
28
29 was nearly identical on both substrates, with more uniform nucleation on p⁺-Si (Figure 2a), and
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31 the initial development of some larger islands on n⁺-Si (Figure 2f). On p⁺-Si(111) substrates, as
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33 additional cathodic charge density (-3.75 mC cm⁻²) was passed, the deposition density sharply
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35 increased, resulting in a thin, continuous film (Figure 2b). In contrast, on n⁺-Si(111) substrates,
36
37 larger nucleated sites continued to develop (Figure 2g). At the next step in cathodic charge density
38
39 (-37.5 mC cm⁻²), a nearly continuous film of nucleation sites was observed on p⁺-Si (Figure 2c)
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41 whereas on n⁺-Si the film remained discontinuous even though the nucleation sites were larger
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43 than for the previous current step (Figure 2h). At the subsequent charge density step (-75 mC cm⁻²),
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45 on p⁺-Si the nucleation sites had merged into a lamellar pattern (Figure 2d), whereas void space
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47 and islanded nucleation sites were still present on n⁺-Si even though some nucleation sites had
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49 merged (Figure 2i). After the final charge density step (750 mC cm⁻²), the phototropically grown
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3 films on p⁺-Si (Figure 2e) and n⁺-Si (Figure 2j) had developed into structures with mutually similar
4 lamellar patterns, but with mutually distinctive, and clearly identifiable differences in the pattern
5 fidelity.
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9 10 ***Junction Analysis***

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12 To further investigate the reasons for the variation in nucleation dynamics and resulting
13 film morphologies on the two types of Si substrates, the electrical characteristics of junctions
14 formed between Se-Te films and p⁺-Si or n⁺-Si substrates were investigated (see Supporting
15 Information for detailed experimental methods describing solid-state measurements). Figure 3a
16 shows the current density versus voltage, *J-V*, behavior of Se-Te deposited on p⁺-Si or n⁺-Si. The
17 linear *J-V* relationship for Se-Te deposited on p⁺-Si indicates an ohmic contact, whereas the *J-V*
18 relationship between Se-Te and n⁺-Si was non-linear. The Si substrates had nominally mutually
19 equal resistivity, thickness, and crystal orientations, and nominally only differed in dopant type
20 and Fermi level. The lower current density observed for Se-Te films grown on n⁺-Si relative to the
21 current density for Se-Te grown on p⁺-Si at the same applied potential is thus consistently
22 ascribable to the presence of a non-ohmic voltage drop at the n⁺-Si/Se-Te junction.
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38 Figure 3b shows the change in current density with time for representative Se-Te films
39 photoelectrodeposited on p⁺-Si or n⁺-Si substrates. The substrates were held for ~200 s at a
40 potential of -0.065 V (for p⁺-Si) and -0.200 V (for n⁺-Si) vs. Ag/AgCl, under nominally mutually
41 identical injection conditions (53 mW cm⁻² illumination power). The light was then blocked for 10
42 s. Throughout the photoelectrodeposition, the cathodic photocurrent densities for phototropic Se-
43 Te growth on p⁺-Si were greater than those for phototropic Se-Te growth on n⁺-Si (Figure 3b); for
44 example, immediately prior to the light being blocked, a photocurrent density of -2.44 mA cm⁻²
45 was measured for Se-Te on p⁺-Si, whereas a photocurrent density of -1.89 mA cm⁻² was observed
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3 for Se-Te on n⁺-Si. Additionally, the dark current comprised up a larger proportion of the total
4 current for films grown on n⁺-Si than for films grown on p⁺-Si. For example, in the dark, -0.09 mA
5 cm⁻² was passed by Se-Te on p⁺-Si, while -0.47 mA cm⁻² was passed by Se-Te on n⁺-Si. The
6
7 photocurrent from the Se-Te film was negative, indicating that the film exhibited p-type
8 conductivity during the deposition. These results are characteristic of the general morphology
9 produced by inorganic phototropic growth of Se-Te films on p⁺-Si or n⁺-Si (Figure S4).

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17 Figure S3 shows the ultraviolet photoelectron spectroscopy (UPS) data for as-deposited
18 and for sputter-cleaned Se-Te films. After removal of a surface oxide via sputter-cleaning, the
19 work function of Se-Te was measured to be ~5 eV, and the position of the valence-band maximum
20 (VBM) relative to the Fermi level (E_F), or $VBM - E_F$, was ~395 meV for Se-Te deposited on both
21 n⁺-Si and p⁺-Si substrates. For a film of 60-80 at.% Te, the optical bandgap, E_g , of Se-Te has been
22 experimentally determined to be 1.06 eV.⁶

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31 Figure 4 shows a simplified band diagram¹⁵ for Se-Te in contact with p⁺-Si or n⁺-Si.¹⁶
32 During the deposition of Se-Te, photogenerated electrons, i.e., the minority carriers, are collected
33 at the dynamic semiconductor-solution interface and result in mass addition to the growing film.
34 For the photodriven mass-addition process to occur, the photogenerated holes must thus be
35 collected at the Si/Se-Te junction before recombining. In the case of p⁺-Si/Se-Te films, the
36 observed ohmic contact and proximity of the observed work function measured for Se-Te to the
37 valence-band maximum of Si suggest a minimal barrier to hole collection at that interface.
38 Conversely, the difference between the work functions of Se-Te and n⁺-Si implies the presence of
39 a substantial barrier to hole collection, thus inhibiting the flow of photocurrent into solution and
40 preventing mass addition to the electrodeposit.
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3 The observed junction behavior between Se-Te and the Si growth substrates can explain
4 the nucleation dynamics observed in Figure 2. The non-ohmic contact between n⁺-Si and Se-Te
5 would enhance electrical isolation of the nucleated Se-Te sites, due to the potential drop at the
6 junction with n⁺-Si. The variation in the material in contact with the solution would lead to a
7 surface of mixed barrier height across the partially nucleated n⁺-Si substrate. This behavior is
8 consistent with the sustained discontinuous nature of the film grown on n⁺-Si (vs. the continuous
9 films present on p⁺-Si), even at late stages of deposition.
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19 *Matched Deposition-Rate Conditions*

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21 Under nominally mutually identical illumination power, Se-Te films phototropically grown
22 on p⁺-Si substrates were observed to exhibit greater photocurrent densities, and thus to grow faster,
23 than phototropically grown Se-Te films on n⁺-Si substrates. Se-Te films were therefore grown on
24 p⁺-Si substrates with the applied potential and illumination power tuned such that the photocurrent
25 densities, and the ratios of light to dark current density, matched to within 15% the values for films
26 grown on n⁺-Si. Figure 5 shows three such matched pairs of growths, providing examples of
27 samples having matched rates of deposition for relatively low (~0.5 mA cm⁻²), intermediate (~0.7
28 mA cm⁻²), and high (~1.0 mA cm⁻²) total current densities. The films deposited on p⁺-Si exhibited
29 less defective patterns and straighter, more anisotropic lamellae, whereas films on n⁺-Si exhibited
30 patchier morphologies and more defective patterns. These observations correlated with much
31 sharper horizontal and vertical 2D FT peaks (Table 1) for phototropic p⁺-Si/Se-Te films than for
32 phototropic n⁺-Si/Se-Te films.
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49 Films grown on n⁺-Si exhibited an increased photocurrent density at more negative applied
50 potentials whereas films grown on p⁺-Si showed less relative change in the observed photocurrent
51 density with applied potential (Figure S4). Figure S5 shows the FWHMs for the 2D FTs for the
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3 films deposited at varied growth rates on p⁺-Si or n⁺-Si substrates. Films grown on p⁺-Si at low
4 rates resulted in narrower 2D FT bands than films deposited at higher rates. The opposite trend
5 was observed for films grown on n⁺-Si, with films grown on n⁺-Si at lower relative rates having
6 broader 2D FT bands than films grown at high rates. Figures S6 and S7 show that the same general
7 trend was observed for films deposited on metals of varying work function, with Se-Te deposited
8 on metal substrates having work functions closely aligned with Se-Te (i.e., Au, $\phi_m = 5.3-5.4$ eV)
9 exhibiting higher photocurrent densities, and less defective patterns, than films deposited on
10 substrates having a work function misaligned with Se-Te (i.e., Ti, $\phi_m = 4.33$ eV).¹⁷ In addition,
11 Figure S8 shows the *J-V* behavior of Se-Te films on substrates that exhibited better electrical
12 contact than was observed for Ti contacts to Se-Te.
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26 Nucleation may play a role in the effect of deposition rate on the fidelity of the Se-Te film
27 pattern. To achieve higher rates of growth, the required relative applied potentials were more
28 negative, leading to decreases in the band bending at the interface between n⁺-Si and Se-Te.
29 Consequently, Se-Te nucleation may be denser on n⁺-Si at these more negative potentials.
30 Conversely, the ohmic contact between Se-Te and p⁺-Si suggests negligible barrier to nucleation
31 at less negative potentials. In both cases, the observed higher fidelity patterns are consistent with
32 expectations for the formation of more continuous and thin film nucleation relative to island
33 nucleation of Se-Te deposits.
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45 In summary, in all cases examined herein, the fidelity of the pattern was greater for
46 phototropic Se-Te films grown on p⁺-Si substrates than for phototropic Se-Te films grown on n⁺-
47 Si. Parameterization using only conditions of deposition rate and illumination power does not
48 allow prediction of the pattern fidelity or of the observed differences in film properties on either
49 substrate. However, the energetics of the junction between the phototropic Se-Te film and the
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3 substrate influences the nucleation dynamics and subsequent morphological variation and pattern
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5 fidelity, thus providing an example of the influence of interfacial electrical effects, as opposed to
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7 structural effects, of the substrate on the morphology of phototropically grown Se-Te films.
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10 **SUPPORTING INFORMATION.**

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12 In-depth experimental methods and materials used, additional scanning electron
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14 micrographs, plotted XPS/UPS data, plots of observed photoelectrochemical quantities and
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16 calculated figures of merit, results/discussion for experiments on metallic substrates, discussions
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18 on nucleation density, tabulated details for all fabricated samples.
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27 **Notes**

28 The authors declare no competing financial interest.
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3 **FIGURES AND TABLES.**
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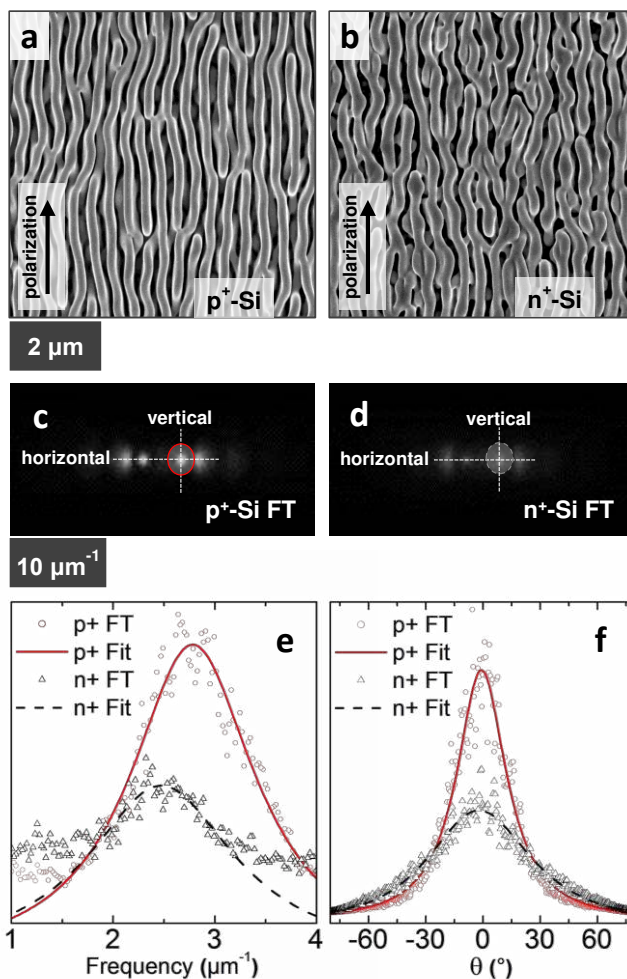


Figure 1. Representative SEM images of SeTe photoelectrodeposited on (a) p⁺-Si and (b) n⁺-Si substrates using vertically polarized illumination with $\lambda = 927$ nm and a power density of 53 mW cm^{-2} . (c) and (d) 2D FT spectra generated from wide-area SEM images including the regions depicted in (a) and (b), respectively, with primary FT bands highlighted. Co-plotted (e) horizontal (normal to polarization) and (f) vertical (parallel to polarization) surface profiles of integrated intensity and Lorentzian curve fits for the primary FT bands in the 2D FT spectra in (c) and (d).

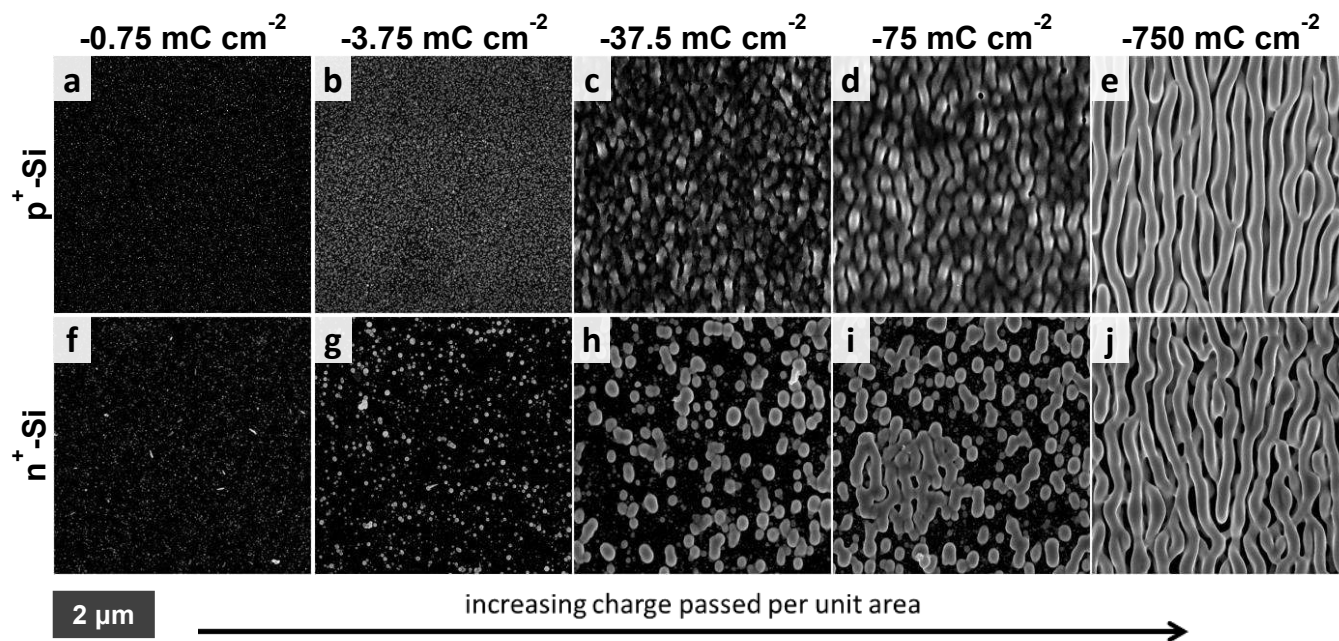


Figure 2. Series of SEM images demonstrating films with characteristic amounts of charge passed (mass deposited) per unit area on (a-e) p⁺-Si and (f-j) n⁺-Si with (a), (f) at -0.75 mC cm⁻²; (b), (g) at -3.75 mC cm⁻²; (c), (h) at -37.5 mC cm⁻²; (d), (i) at -75 mC cm⁻²; and (e), (j) at -750 mC cm⁻².

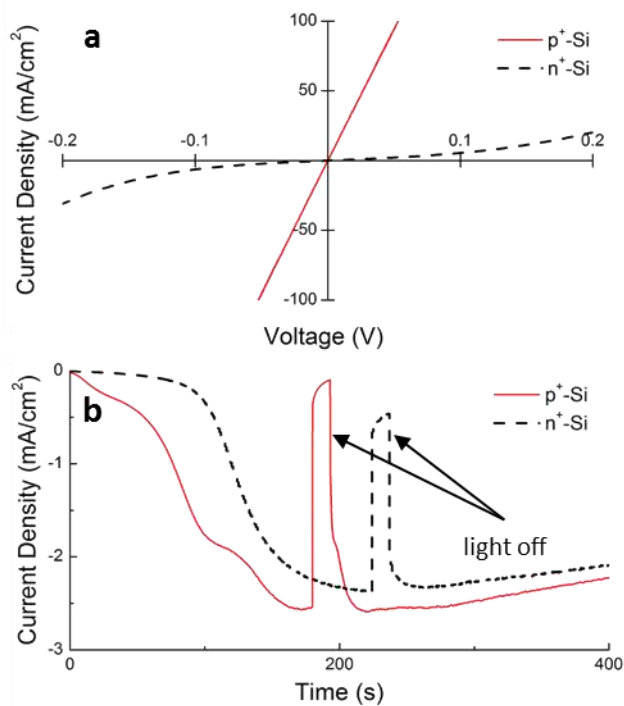


Figure 3. (a) Current-voltage behavior of Se-Te on p⁺-Si and n⁺-Si. (b) Chopped-light chronoamperometry experiments showing the ratio of light to dark current density for Se-Te films representative of those grown on p⁺-Si and n⁺-Si.

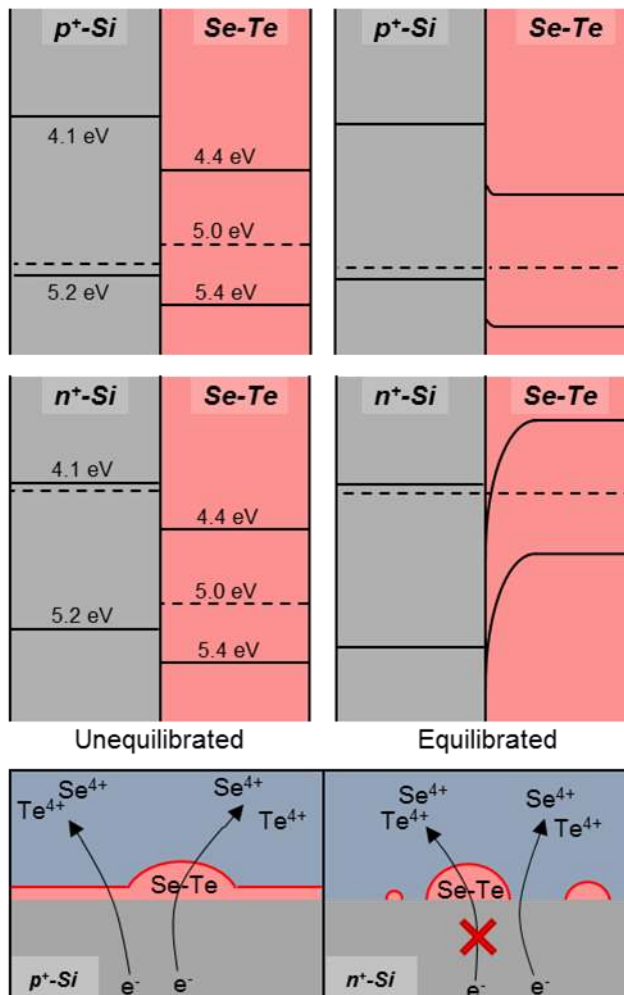
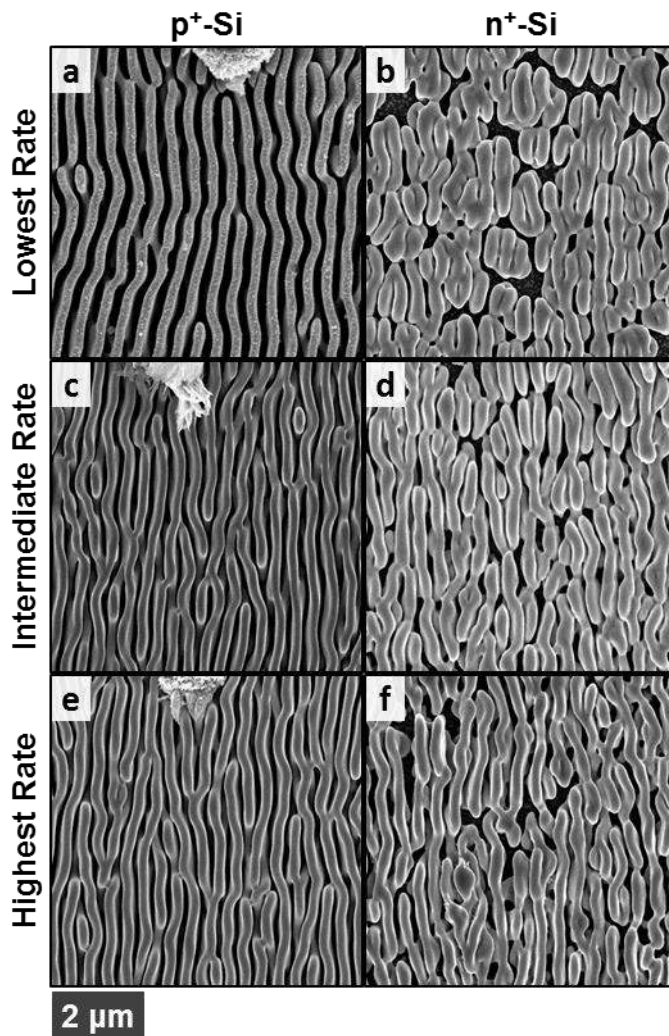


Figure 4. Simplified band diagrams showing the energies of the band positions relative to the vacuum level and the expected trends in the equilibration of the junctions formed by n^+ -Si or p^+ -Si with Se-Te. Lower panel shows a schematic with the hypothesized preferential pathways for mass deposition during the electrodeposition of Se-Te. In the case of the n^+ -Si/Se-Te interface, the non-ohmic potential drop inhibits deposition onto nucleated Se-Te sites.



36 **Figure 5.** Se-Te films grown at (a), (b) low, $\sim 0.5 \text{ mA cm}^{-2}$;
37 (c), (d) intermediate, $\sim 0.7 \text{ mA cm}^{-2}$; and (e), (f) high, ~ 1.0
38 mA cm^{-2} , matched relative deposition rates on (a), (c), (e)
39 p⁺-Si and (b), (d), (f) n⁺-Si.

Table 1. Growth Parameters and FT Analysis of Structures Grown with Matching Rates of Deposition

	<i>growth substrate</i>	
	<i>p+ Si</i>	<i>n+ Si</i>
<i>Lowest Growth Rate</i>		
peak current density (mA cm ⁻²)	0.515	0.483
light-to-dark current ratio	2.22	2.41
FT horizontal FWHM (μm ⁻¹)	0.59	2.48
FT vertical FWHM (°)	33.7	104.2
<i>Intermediate Growth Rate</i>		
peak current density (mA cm ⁻²)	0.685	0.705
light-to-dark current ratio	2.74	3.17
FT horizontal FWHM (μm ⁻¹)	1.10	2.51
FT vertical FWHM (°)	30.1	72.9
<i>Highest Growth Rate</i>		
peak current density (mA cm ⁻²)	1.031	1.077
light-to-dark current ratio	3.91	4.21
FT horizontal FWHM (μm ⁻¹)	1.00	1.73
FT vertical FWHM (°)	31.4	57.3