

## **Influence of upper-ocean stratification on tropical cyclone-induced surface cooling in the Bay of Bengal**

*S. Neetu<sup>1</sup>, Matthieu Lengaigne<sup>1,2</sup>, Emmanuel M. Vincent<sup>2</sup>, Jérôme Vialard<sup>2</sup>, Gurvan Madec<sup>2,3</sup>,  
Guillaume Samson<sup>2</sup>, M. R. Ramesh Kumar<sup>1</sup>, Fabien Durand<sup>4</sup>*

1. NIO, Goa, India
2. LOCEAN-IPSL, IRD/CNRS/UPMC/MNHN, Paris, France
3. NOC, Southampton, United Kingdom
4. LEGOS, IRD/CNRS/UPS, Toulouse, France

Corresponding author: neetu@nio.org

S. Neetu

National Institute of Oceanography, Dona Paula - 403004 Goa, India

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### **Abstract**

Surface cooling induced by tropical cyclones (TCs) is about three times larger during pre-monsoon than during post-monsoon season in the Bay of Bengal. We investigate processes responsible for this seasonal contrast using an ocean general circulation model. The model is forced by TC winds prescribed from an analytic vortex using observed TC tracks and intensities during 1978-2007. The simulation accurately captures the seasonal cycle of salinity, temperature and barrier layer in this region, with fresher waters, deeper upper-ocean stratification and thicker barrier layers during post-monsoon season. It also reproduces the three times larger TC-induced cooling during pre-monsoon than during post-monsoon season. This difference is essentially related to seasonal changes in oceanic stratification rather than to differences in TC wind energy input. During the post-monsoon season, a deeper thermal stratification combined with a considerable upper-ocean freshening strongly inhibits surface cooling induced by vertical mixing underneath TCs. On average, thermal stratification accounts for ~60% of this cooling reduction during post-monsoon season, while haline stratification accounts for the remaining 40%. Their respective contributions however strongly vary within the Bay: haline stratification explains a large part of the TC-induced cooling inhibition offshore of northern rim of the Bay (Bangladesh-Myanmar-east coast of India), where salinity seasonal changes are the strongest, while thermal stratification explains all the cooling inhibition in the southwestern Bay. This study hence advocates for an improved representation of upper-ocean salinity and temperature effects in statistical and dynamical TCs forecasts that could lead to significant improvements of TC intensity prediction skill.

## **1. Introduction**

The Bay of Bengal (BoB) is home to about four named tropical cyclones (TCs) each year, which accounts for ~5% of the total annual TC numbers worldwide [Alam *et al.*, 2003]. These few TCs may not be the most intense but have catastrophic impacts. Of the top twenty deadliest TCs in the world history, fourteen were generated in the BoB [Longshore, 2008]. The high population density distributed along low-lying coastal areas and poor disaster management strategies largely explain this very strong vulnerability of countries around the Bay (India, Sri Lanka, Bangladesh, Myanmar) to natural disasters. For instance, recent TC Nargis reached Category 4 strength and caused the worst natural disaster in Myanmar with more than 140 000 lives lost, one million homeless people and over \$10 billion in economic losses [Webster, 2008; McPhaden *et al.*, 2009]. It is therefore of utter practical importance to identify the key factors that control TC intensity in this region.

Uncertainties in TC intensity forecasts are mainly related to three key factors: storm inner core dynamics, structure of the atmospheric synoptic scale environment, and interactions with upper-ocean layers [Emanuel, 1999; Marks and Shay, 1998]. This pivotal role of the ocean-atmosphere interaction arises from the fact that TCs primarily draw their energy from evaporation at the ocean surface [Riehl, 1950]. TCs generally cool the ocean surface by several degrees along their track [Leipper, 1967, Shay *et al.*, 1992, Cione *et al.*, 2000; D'Asaro, 2003]. While higher ambient SSTs provide the potential for stronger TCs, cyclone intensity is most sensitive to SST cooling under the storm eye [Schade, 2000]. This cooling reduces the total enthalpy flux provided to the atmosphere and inhibits the cyclone intensification [Cione and Ulhorn, 2003]. While energy input associated with TC winds is the key factor controlling the amplitude of TC-induced cooling [*e.g.*, Vincent *et al.*, 2012a; hereafter V12a], several studies have also illustrated the influence of sub-surface oceanic background conditions on the TC-induced SST signature [Shay *et al.*, 2000; Cione and Ulhorn, 2003; Jacob and Shay, 2003; Shay and Brewster, 2010; Lloyd and Vecchi, 2011; Vincent *et al.*, 2012b]. Vincent *et al.* [2012b] (hereafter V12b) indeed showed that the widely varying upper-ocean pre-cyclone stratification could modulate TC-induced cooling amplitude by up to an order of magnitude for a given level of TC kinetic energy input to the upper ocean. The impact of oceanic stratification on TC intensification has recently been illustrated within the BoB [Ali *et al.*, 2007]. In the case of TC Nargis, Yu and McPhaden [2011] highlighted the apparent connection between a high temperature, a low salinity front, and the TC intensity, suggesting a tight coupling between the cyclone and the ocean stratification.

In the BoB, TCs mainly occur in the western and central part of the basin (Figure 1b) and have a bimodal distribution (Figure 1a): they preferentially occur during the pre-monsoon and post-monsoon seasons. These TCs are promoted by high Sea Surface Temperatures (SSTs), a

dynamically unstable atmosphere and low tropospheric wind shear during the post-monsoon/pre-monsoon season while they are inhibited by strong tropospheric wind shear during the monsoon [Menkes *et al.*, 2012]. The BoB SST remains above the 28°C threshold in all seasons. Compared to other TC-prone regions, the BoB has a very peculiar oceanic stratification. It indeed displays a strong near-surface halocline with very low salinity in the northeastern part of the basin and higher salinity in the southwest [e.g., Rao and Sivakumar, 2003]. The fresher surface salinity in the northern part of the Bay largely results from oceanic rainfall and from the huge river discharges [Sengupta *et al.*, 2006; Shetye *et al.*, 1996]. The oceanographic characteristics of the BoB also undergo seasonal changes in response to the wind and freshwater forcing fluxes associated with the monsoon. Mixed-layer temperature drops by about 1°C during summer monsoon, as a result of reduced shortwave radiation and increased latent heat loss [Shenoi *et al.*, 2002; De Boyer Montégut *et al.*, 2007a]. The excess precipitation and huge river runoff during summer monsoon lead to low-salinity water in the vicinity of river mouths in the northern BoB, which is then advected into the southern BoB [Vinayachandran *et al.*, 2005] and eastern Arabian Sea by the East India Coastal Current [Shetye *et al.*, 1991; Shenoi *et al.*, 2005; Kurian and Vinayachandran, 2007; Durand *et al.*, 2007]. In addition, a substantial amount of low-salinity water escapes from the BoB along the eastern boundary [e.g., Han and McCreary, 2001; Sengupta *et al.*, 2006]. This results in a very strong near-surface halocline along the rim of the BoB during the post-monsoon season, which affects density stratification and the depth of wind-induced vertical mixing [Vinayachandran *et al.*, 2002]. As a consequence, the mixed layer is usually shallow there, and controlled by salinity stratification. This often results in the formation of a barrier layer [BL; Lukas and Lindstrom, 1991; Sprintall and Tomczak, 1992], the layer between the base of the mixed layer and the top of the thermocline. The BL that forms during summer monsoon in the northern bay exists throughout the post-monsoon season but is much thinner during the pre-monsoon season [Thadathil *et al.*, 2007]. This BL prevents turbulent entrainment of cooler thermocline water into the mixed layer and consequently maintains warm SST. This largely explains why SST and sea level anomalies are less correlated in the BoB than in other basins [Gopalan *et al.*, 2000; Rao and Behera, 2005].

Previous studies suggest that those seasonal stratification changes modulate the amplitude of TC-induced surface cooling. Indeed, SST cooling underneath TCs is larger during pre-monsoon [ $\sim 2\text{-}3^\circ\text{C}$ ; Gopalakrishna *et al.*, 1993; Rao, 1987; Sengupta *et al.*, 2008] than during post-monsoon season in the BoB [ $\sim 0.5^\circ\text{C}\text{-}1^\circ\text{C}$ ; Chinthalu *et al.*, 2001; Sengupta *et al.*, 2008; Subrahmanyam *et al.*, 2005]. From oceanic observations for individual case studies, Sengupta *et al.* [2008] suggested that the weaker observed surface cooling during the post-monsoon season results from the presence of the BL that prevents entrainment of cold water into the mixed layer. These findings led the authors to speculate that freshwater from monsoon rain and river runoff may influence TC intensity

in the BoB.

The aforementioned study relies on a restricted number of TCs (eight) and CTD data over a short period (October 2003), which prevented the authors from providing a quantitative estimate of the respective influences of the seasonal changes in haline and thermal stratification on the differences in TC-induced cooling amplitude between the two seasons. In the present paper, we address this issue using a much larger sample. This is made possible by the use of V12a's global ocean simulation which includes TC wind forcing prescribed from an analytical vortex fitted to observed TC tracks and intensities over the 1978-2007 period. This simulation captures reasonably well the main characteristics of TC-induced surface cooling at the global scale [V12a]. Our approach hence provides a relatively large sample consisting of the simulated oceanic response to 135 TCs over a 30-year period in the BoB. While V12b discuss the sensitivity of TC-induced cooling amplitude to the pre-cyclone stratification at global scale, it neither discusses regional aspects nor the potential salinity contribution to the upper-ocean stratification. The present paper hence allows investigating TC surface response in a region where salinity stratification is known to be particularly strong and has hence been suggested to influence TC-induced cooling amplitude.

The paper is organized as follows. Section 2 briefly describes the observational datasets as well as our numerical strategy. Section 3 validates the model climatology and cold wake characteristics for the pre-monsoon and post-monsoon seasons. We then analyze and relate differences in TC-induced cooling between the two seasons to the thermohaline stratification in Section 4 and further quantify the respective contributions of temperature and salinity stratification to TC-induced cooling amplitude. The final section provides a summary of our results and a discussion of their implications.

## **2. Data and methods**

### **a. Observed datasets**

We use the temperature and salinity of the North Indian Ocean Atlas [NIOA; *Chatterjee et al.*, 2012] to validate the model climatological thermohaline structure in the BoB. This monthly climatology of  $1^\circ \times 1^\circ$  spatial resolution uses all the data accounted for in the World Ocean Atlas 2009 [*Locarnini et al.*, 2010; *Antonov et al.*, 2010], but adds a considerable amount of data from Indian sources, especially within the Indian Exclusive Economic Zone. This addition improves considerably the climatology in the BoB compared to the WOA, especially along its western boundary [*Chatterjee et al.*, 2012]. We also validate the simulated mixed layer depth (MLD) and BL thickness (BLT) with the updated MLD climatology and BLT climatology of *de Boyer Montégut et al.* [2004, 2007b], which now includes ARGO profiles until September 2008.

We use the blended Tropical Rainfall Measuring Mission (TRMM) Microwave Imager

(TMI) and Advanced Microwave Scanning Radiometer (AMSR-E) SST daily dataset (available from [http://www.ssmi.com/sst/microwave\\_oi\\_sst\\_data\\_description.html](http://www.ssmi.com/sst/microwave_oi_sst_data_description.html)) at  $0.25^\circ \times 0.25^\circ$  spatial resolution to characterize the observed SST response to TCs over the 1998-2007 period and validate the simulated one. Despite their inability to retrieve SST data under heavy precipitation, TMI and AMSR-E offer the advantage of being insensitive to atmospheric water vapor and provide accurate observations of SST beneath clouds [Wentz *et al.*, 2000], a few days after (and before) TC passage. The inner-core cooling (*i.e.* cooling underneath the eye) cannot be assessed confidently with TMI-AMSR-E, since data are most of the time missing within 400 km of the current TC position. This dataset however provides a reliable estimate of the cooling in the TC wake, valid data being typically available 1 to 2 days after TC passage.

Observed TC position and magnitude are derived from the International Best Track Archive for Climate Stewardship [IBTrACS, Knapp *et al.*, 2010]. In this study, we focus on the 1978-2007 period, over which global satellite coverage provides the position and estimated maximum wind speed every 6h for more than 3000 TCs worldwide (135 in the BoB). To define the pre-monsoon and the post-monsoon seasons, we consider the months when more than five TCs occurred during the 1978-2007 period (Figure 1a). May-June will then be considered as the pre-monsoon season and September-October-November-December as the post-monsoon season. It should however be noted we defined those periods based on cyclonic seasons rather than traditional monsoon (the months of June and September being usually encompassed within the monsoon period [Wang *et al.*, 2009]).

## **b. Model description and setup**

The model configuration and strategy to include TC forcing have been previously described in V12a. The following section provides a short summary of this modeling framework.

We use a  $\frac{1}{2}^\circ$  global ocean model configuration [known as ORCA05, Biastoch *et al.*, 2008a] built from the NEMO (Nucleus of European Model of the Ocean, version 3.2, Madec, 2008), with 46 vertical levels (10 levels in the upper 100 m and 250 m resolution at depth). This configuration includes the latest version of mixed layer dynamics: a turbulent kinetic energy (TKE) closure scheme [Blanke and Delecluse, 1993] improved by including the effect of Langmuir cells [Axell, 2002], a surface wave breaking parameterization [Mellor and Blumberg, 2004], and an energetically consistent time and space discretization [Burchard, 2002; Marsaleix *et al.*, 2008]. This configuration successfully reproduces Indo-Pacific ocean variability from interannual to decadal time scales [Penduff *et al.*, 2010; Lengaigne *et al.*, 2012; Keerthi *et al.*, 2012; Nidheesh *et al.*, 2012] as well as the local SST response to TC wind forcing [V12a].

In this configuration, the MLD is defined as the depth where density is  $0.01 \text{ kg.m}^{-3}$  higher than surface density. The different terms contributing to the heat budget in the ocean mixed layer

(ML) are calculated online, stored as daily averages and provide the following closed budget for the ML temperature evolution:

$$\begin{aligned} \partial_t T = & - \underbrace{\langle u \partial_x T + v \partial_y T + w \partial_z T \rangle}_a + \underbrace{\langle D_l(T) \rangle}_b - \underbrace{\frac{1}{h} \frac{\partial h}{\partial t} (T - T(z=h))}_c \\ & + \underbrace{\frac{(k \partial_z T)(z=h)}{h}}_d + \underbrace{\frac{Q^* + Q_s(1-F(z=h))}{\rho_0 C_p h}}_e \end{aligned} \quad (1)$$

where  $T$  is the model temperature in the ML,  $(u, v, w)$  the components of ocean currents,  $D_l(T)$  the lateral diffusion operator,  $k$  is the vertical diffusion coefficient,  $h$  the time varying MLD. Brackets denote the vertical average over the mixed layer  $h$ . (a) is the advection, (b) the lateral diffusion, (c) is the entrainment/detrainment at the ML base, (d) is the vertical diffusion flux at the ML base and (e) is heat flux storage in the ML (with  $Q_s$  the solar heat flux and  $Q^*$  the non-solar heat fluxes: sensible, latent, radiative heat fluxes;  $F(z=h)$  is the fraction of surface solar irradiance that penetrates below the mixed layer.

As in V12a, we will use this heat budget calculation to infer the respective contribution of these processes to the amplitude of TC-induced cooling. The term (b) for lateral diffusion is negligible in the wake of TCs (not shown). In the following, (b) is neglected, (c) and (d) are grouped together in a vertical processes term and referred to as MIX; (e) the surface forcing term is referred to as FOR; (a) the advection term is referred to as ADV. To quantify the relative contribution of all processes to the cooling magnitude, each term of the ML heat budget is integrated starting 10 days prior to TC passage.

The simulations analyzed in this paper use the atmospheric datasets and formulations proposed by *Large and Yeager* [2009] as surface boundary conditions. This approach was developed in the design of the ‘‘coordinated ocean-ice reference experiments (COREs)’’ program [*Griffies et al.*, 2009] and is referred to as CORE II forcing. The forcing datasets presented by *Large and Yeager* [2009] are based on NCEP/NCAR reanalysis for near surface wind, temperature, specific humidity and density, combined with a variety of satellite datasets for radiation, SST, sea-ice concentration and precipitation. Turbulent fluxes are computed from bulk formulae as a function of the prescribed near surface atmospheric state and the simulated ocean surface state (SST and surface currents). Data are provided at six-hourly (wind speed, humidity and atmospheric temperature), daily (short- and long-wave radiation) and monthly (precipitation) resolution, with interannual variability over the 1978–2007 period except for river runoff that remains climatological [*Fekete et al.*, 1999]. To avoid an artificial model drift, the sea surface salinity is nudged towards monthly-mean climatological values with a 300 days relaxation timescale (for a 50m-thick mixed layer) [*Griffies et al.*, 2009]. The large nudging timescale compared to the typical

response time to a TC allows simulating a realistic climatological haline stratification, a key feature to study the TC ocean response in the Bay, without significantly affecting the salinity stratification at the timescale of TCs (a few days). The model starts from an ocean at rest initialized with temperature and salinity fields from the World Ocean Atlas 2005 [Locarnini *et al.*, 2006; Antonov *et al.*, 2006]. It is then spun up for a 30-year period using the CORE II bulk formulae and interannual forcing dataset (1948-1977) [Large and Yeager, 2009; Griffies *et al.*, 2009]. The final state is then used to start the simulations described below (which are run over 1978-2007).

The NCEP/NCAR reanalysis used as wind forcing in the original CORE II dataset has a coarse horizontal resolution ( $\sim 2^\circ$ ), insufficient to resolve the spatial scale of TCs that typically display radius of maximum wind of  $\sim 50\text{km}$  [Kikuchi *et al.*, 2009]. This dataset hence only contains weaker-than-observed TC wind signatures (TC-like vortices) and cannot directly be used to investigate the model response to TCs, as shown by V12a. To include a more realistic TC wind forcing, a cyclone-free forcing is first prepared from the original interannual CORE II forcing by filtering these TC-like vortices. These residual TC signatures have been filtered out by applying a 11-day running mean (the maximum time of influence of a TC at a given point) to the zonal and meridional wind components of the original CORE II wind forcing, within 600km around each cyclone track position, with a linear transition from filtered to unfiltered winds between 600 and 1200km (the maximum radius of influence for TC winds). A new forcing is then constructed with realistic TC-wind signatures superimposed on the filtered forcing. The 6-hourly cyclone position and magnitude from IBTrACS database [Knapp *et al.*, 2010] are linearly interpolated onto the model grid and timestep (*i.e.* every 36 minutes). This information is used to reconstruct the 10-m wind vector from an idealized TC wind vortex fitted to observations [Willoughby *et al.*, 2006; see their Figure 2 for the resulting analytical wind profile]. This procedure results in a simulation where TC wind magnitude and structure is more realistic than in the CORE II original forcing (see Figure 1 in V12a). A more detailed description of this forcing strategy can be found in V12a, together with a model validation at global scale. Similar strategies have been recently successfully used to assess the impact of TCs in the ocean [Wang *et al.*, 2012a,b]. Vincent *et al.* [2012c] further showed that the  $\frac{1}{2}^\circ$  resolution is a good compromise between accuracy and numerical cost for a realistic global simulation of the ocean response to TCs: compared to a  $1/12^\circ$  grid, a  $\frac{1}{2}^\circ$  resolution provides very similar results in terms of TC power dissipation, a good proxy for TC-induced vertical mixing, while slightly underestimating the TC wind-driven upwelling.

### **c. Methodology to monitor the ocean response**

To characterize the ocean response to TCs, we first subtract the mean SST seasonal cycle from the model and TMI-AMSR-E observations. This mean seasonal cycle is calculated over 1998-

2007 when comparing model results to observations (Figures 7 and 8) and over 1978-2007 when model results are extended over the entire available period (Figure 9 onwards). TC track locations are then used to retrieve the ocean response to TCs. Average SST anomalies within a fixed radius of 200 km (about 4 radii of maximum wind) around each TC track position are used to characterize the cooling amplitude. This scale corresponds to the area where SST is known to influence TC intensity [Cione and Uhlhorn, 2003; Schade, 2000].

Following V12ab, the reference unperturbed pre-storm SST conditions ( $SST_0$ ) are defined as the 7-day average ending 72 hours before the TC passage while the SST in the cold wake (CW) of the TC ( $SST_{CW}$ ) is defined as the 3-day average starting 24 hours after the storm passage. The amplitude of the SST response is characterized by the cooling amplitude in the CW as  $\Delta T_{CW} = SST_{CW} - SST_0$ . We will see in section 3 and specifically from Figure 7 that these choices for temporal averaging are justified by our observational and modelling results.

Following V12b, two variables are used in this study to diagnose the TC atmospheric forcing magnitude and the influence of subsurface oceanic background conditions. The Wind Power index (WPI) characterizes the strength of TC forcing. It integrates several parameters known to influence the CW amplitude (storm size, maximum winds and translation speed of the TC) in a single measure. The WPI builds on the Power Dissipated by friction at the air-sea interface [ $PD$ ; Emanuel, 2005] that is a good proxy of the kinetic energy transferred from the winds to the ocean surface currents [V12b]. The  $PD$  is calculated for each cyclone track position as:

$$PD = \int_{t_b}^{t_a} \rho C_D |\mathbf{V}|^3 dt \quad (2)$$

and the WPI is obtained as follows:

$$WPI = [PD/PD_0]^{1/3} \quad (3)$$

where  $|\mathbf{V}|$  is the local magnitude of surface wind,  $C_D$  the dimensionless surface drag coefficient,  $\rho$  the surface air density,  $t_b$  the time when a cyclone starts influencing the considered location and  $t_a$  the time after the cyclone passage;  $PD_0 = \int_{t_o}^{t_c} \rho C_D |\mathbf{V}|^3 dt$  is a normalization constant corresponding to a weak storm with a translation speed of  $7 \text{ m.s}^{-1}$  ( $25 \text{ km.h}^{-1}$ ) and a maximum 10-minute averaged wind speed of  $15 \text{ m.s}^{-1}$  (the wind speed defining a Tropical Depression: the weakest classified cyclonic system).  $t_b$  is set to 3 days before a TC reaches a given ocean point, while  $t_a$  is taken as 3 days after the TC passage. As discussed in V12a, results are rather insensitive to reasonable changes of these parameters.

WPI is a proxy of the amount of kinetic energy available for mixing underneath the storm [V12b]. As cooling mainly results from mixing induced by the vertical shear of oceanic currents [Price, 1981, V12a], WPI is hence a pertinent variable to describe the former. V12b have shown that the mean cooling underneath a TC increases nearly linearly with WPI but demonstrated that the



cooling magnitude also depends on ocean background conditions.

In addition to this atmospheric variable, we hence use an oceanic variable, the Cooling Inhibition index (CI), also introduced by V12b, which characterizes the ocean background conditions control of the cooling amplitude. This index is based on the physical process responsible for the cooling: conversion of kinetic energy to potential energy by vertical mixing. V12b show that the amplitude of the cooling is proportional to the cube root of the potential energy change. CI is hence defined as the cube root of the potential energy necessary to produce a 2°C surface cooling via vertical mixing:

$$CI = [\Delta E_p(-2^\circ C)]^{1/3} \text{ with } \Delta E_p(\Delta T) = \int_{h_m}^0 (\rho_f - \rho_i(z)) g z dz \quad (4)$$

where  $g$  is the acceleration of gravity,  $z$  is ocean depth,  $\rho_i$  is the pre-cyclone density profile,  $\rho_f$  the homogeneous final density profile and  $h_m$  is the mixing depth allowing a 2°C surface cooling via a heat-conserving mixing.

This quantity can easily be computed from any available temperature and salinity profiles before the cyclone passage (by assuming that mixing results in a perfectly homogeneous temperature and salinity profile). It measures the inhibition of mixing-induced surface cooling by the ocean background state. CI is a good measure of this inhibition because it integrates two relevant parameters for the cooling amplitude: the MLD before the cyclone passage, and the strength of the stratification underneath this mixed layer. Indeed, the deeper the initial mixed layer, the more kinetic energy is required to produce mixing at its base. And the deeper the initial mixed layer, the greater the thermal capacity of the surface layer: for a given available energy, a thicker layer cools less. The stratification at the base of the mixed layer is another relevant parameter because it sets the temperature of water that can be entrained into the mixed layer during mixing. The CI is computed from both temperature and salinity profiles and therefore accounts for the intensity of the haline stratification, including BL effect in its calculation.

V12b showed that TC-induced SST variations in our simulation are largely a function of WPi and CI, with CI modulating the cooling amplitude by up to an order of magnitude for a given WPi. The dependence of the cooling amplitude on both WPi and CI for the specific case of the BoB is further illustrated on Figure 2a where the average cooling amplitude underneath TC conditions in the Bay is binned as a function of WPi and CI. The average cooling increases as a function of WPi and decreases as a function of CI. Large cooling (>2°C) only occurs in the BoB when powerful TCs (WPi>2.5) travel over favourable oceanic conditions (CI<20). As shown on Figure 2b, this dependence of the cooling amplitude on CI and WPi can be reasonably well approximated by a 2<sup>nd</sup> order polynomial least-square function. This least-square fit will be used in Section 4 to hindcast the simulated cooling in the BoB and assess the respective contribution of thermal and haline

stratification to TC-induced cooling amplitude.

In order to assess the robustness of the results in this paper, we have performed significance tests on the differences between pre-monsoon and post-monsoon seasons in terms of mean state model oceanic changes (right panels in Figures 3, 4, 5, 6, 11) as well as model and observed coolings under TCs (Figures 7, 8, 9, 13 and 14) using two-tailed Student tests and Mann-Whitney rank tests. All the differences that are discussed in the following are statistically significant at the 95% confidence level, except when stated otherwise.

### **3. Model validation**

Figure 3 compares the model climatological sea surface salinity (SSS) with observational estimates for pre-monsoon and post-monsoon seasons in the Northern Indian Ocean. In observations, high salinities characterize the Arabian Sea, due to the excess of evaporation over precipitation [*Rao and Sivakumar, 2003*] and proximity to two high-salinity seas, namely the Red Sea and the Persian Gulf. On the other hand, the BoB exhibits a large excess of precipitation over evaporation [*Harenduprakash and Mitra, 1988; Prasad, 1997*]. Low salinity water fills the entire bay throughout the year, with freshest water along the coast adjacent to the major river mouths (Ganga, Brahmaputra, and Irrawadi). This results in a very large meridional salinity gradient, with very low salinity in the northern and eastern BoB and higher salinity in the southwestern BoB. Huge river runoff and excess precipitation over evaporation during summer monsoon lead to a post-monsoon near-surface salinity minimum of less than 28 psu in the northern part of the Bay (Figure 3b), 3 psu fresher than during the pre-monsoon season (Figure 3a). These regional patterns and seasonal features are reasonably well reproduced by the model (Figure 3de). The freshening of the Northern Bay may however be underestimated away from the coast during the post-monsoon season by  $\sim 1$  psu (Figure 3cf, Table 1), although observational estimates in this region may not be reliable due to the scarcity of available observations. If real, this underestimation may arise from inaccurate runoffs and precipitation forcing or from the rather coarse  $\frac{1}{2}^\circ$  resolution of the model that may not allow exporting enough coastal freshwater offshore.

These low SSS in the BoB during post-monsoon season are associated with the development of a BL along the northern rim of the Bay, especially in the eastern part of the basin and along the eastern coast of India (Figure 3ab; contour), in agreement with the observational analysis of *Thadathil et al. [2007]*. These BL are almost absent during the pre-monsoon season, except in the eastern part of the Bay where TCs hardly occur (thick contour on Figure 3). The model is also able to represent this seasonal contrast albeit with an underestimation of the BL spatial extent in the central and southwestern part of the Bay during post-monsoon season (Figure 3def, Table 1).

The near surface thermal stratification is diagnosed in the model and observations by

calculating the depth at which ocean temperature is  $2^{\circ}\text{C}$  below the surface temperature ( $H_{\text{SST-}2^{\circ}\text{C}}$ ), a measure first proposed by Lloyd and Vecchi (2011). The larger this depth the more difficult it is for a given TC to cool the ocean surface: it is thus an appropriate measure to validate the ocean thermal stratification in relation to TC response. Figure 4 compares the model climatological  $H_{\text{SST-}2^{\circ}\text{C}}$  with observational estimates for pre-monsoon and post-monsoon seasons in the Northern Indian Ocean, as well as the difference between the two seasons. Observations indicate that  $H_{\text{SST-}2^{\circ}\text{C}}$  is relatively homogeneous in the Bay during the pre-monsoon season (Figure 4a), but displays a clear east/west contrast during the post-monsoon season (Figure 4b). The upper-ocean stratification deepens by 20 to 30m in the northeastern part of the Bay as well as by  $\sim 10\text{m}$  along the eastern coast of India (Figure 4c; Table 1). In contrast, the upper-ocean stratification shoals by  $\sim 5\text{-}10\text{m}$  in the southwestern part of the Bay. Seasonal  $H_{\text{SST-}2^{\circ}\text{C}}$  changes generally agree between model and observations (Figure 4c and 4f; Table 1). The model however underestimates the depth of the upper-ocean stratification in the BoB during both seasons (Figure 4de).

Longitudinal sections in the Bay (Figure 5ab) and vertical profiles of climatological salinity and temperature north of  $15^{\circ}\text{N}$  (Figure 5c) further allow contrasting the thermohaline structure during pre-monsoon and post-monsoon seasons. Compared to pre-monsoon season, post-monsoon season displays much larger salinity stratification in the northern part of the Bay in the upper 40 m ( $\sim 0.1 \text{ psu.m}^{-1}$  against  $\sim 0.03 \text{ psu.m}^{-1}$  before the monsoon). While the SST during the pre-monsoon season is higher than  $29^{\circ}\text{C}$ , the SST drops by  $\sim 1^{\circ}\text{C}$  during the post-monsoon season, explaining the deeper upper-ocean thermal stratification discussed on Figure 4. Once again, the model simulates the contrast between these two seasons reasonably well, both in terms of temperature and salinity stratification (Figure 5def).

Figure 6 shows the CI calculated from model and observations during pre-monsoon and post-monsoon seasons, as well as the difference between the two seasons. This measure also allows validating the relevant ocean stratification for the response to TCs (V12b), which (contrary to  $H_{\text{SST-}2^{\circ}\text{C}}$ ) integrates the effect of salinity stratification. Observations indicate that the CI is relatively homogeneous during the pre-monsoon season in the Bay (Figure 6a), but displays a very strong northeast/southwest contrast during the post-monsoon season (Figure 6b). The northern and eastern part of the Bay as well as the eastern coast of India display the largest CI changes (Figure 6c, Table 1), corresponding to a  $\sim 20$  to  $30\%$  CI increase (Figure 6). In contrast, the southwestern part of the Bay exhibits opposite seasonal changes, with a  $\sim 10\%$  CI reduction off shore of Tamil Nadu and north of Sri Lanka. Seasonal CI changes estimated from model outputs generally agree qualitatively with those inferred from the observed climatology (Figure 6c and f, Table 1). The physical meaning of this pattern follows: the deeper thermal stratification (Figures 4 and 5) resulting from the cooler SST and strong near surface salinity gradient in the northern part of the Bay (Figures 3 and 5) result

in a stronger oceanic inhibition of vertical mixing-driven cooling during the post-monsoon season, compared to the pre-monsoon season. A given TC is hence likely to induce a weaker cooling during the post-monsoon season, especially in the northeastern part of the Bay and along the eastern Indian coastline. The model underestimates the CI in the BoB during both seasons (Figure 6de) but displays similar seasonal change (Figure 6f and Table 1).

Figure 7 provides a first illustration of the potential impact of this seasonal change in stratification on TC-induced cooling. The composite TC-induced surface cooling amplitude derived from satellite observations over the 1998-2007 period is significantly larger during pre-monsoon ( $\sim 0.9^{\circ}\text{C}$ ; Figure 7a) than during post-monsoon ( $\sim 0.4^{\circ}\text{C}$ ; Figure 7b) season in the BoB. Largest cooling usually occurs  $\sim 2$ -3 days after the cyclone passage and recedes within  $\sim 20$  days although the SST remains on average  $0.1$ - $0.4^{\circ}\text{C}$  colder than pre-storm SSTs, as previously discussed by *Lloyd and Vecchi [2011]*. The model accurately reproduces both the amplitude and timing of the TC induced SST cooling, before and after the monsoon, although with a slightly overestimated cooling (Figure 7). The above results agree well with previous observations underneath individual TCs in the BoB, with larger cooling during pre-monsoon season [*Gopalakrishna et al., 1993; Rao, 1987; Sengupta et al., 2008*] than during post-monsoon season [*Chinthalu et al., 2001; Sengupta et al., 2008; Subrahmanyam et al., 2005*]. The mean wind power over the cyclone tracks does not differ much between the pre-monsoon and post-monsoon seasons (W<sub>Pi</sub>: 1.61 against 1.73; Figure 7). The distribution of W<sub>Pi</sub> for both seasons is further displayed on Figure 8c: the two distributions are very much alike with mean values (vertical lines) that are not significantly different at 95% level in the two cases. In contrast, the TC-induced cooling distributions are significantly different with a weaker mean during the post-monsoon seasons and most intense observed cooling (up to  $-2.5^{\circ}\text{C}$ - $3^{\circ}\text{C}$ ) occurring only during the pre-monsoon season for observations (Figure 8a). The modelled cooling exhibits a similar behaviour (Figure 8b), although the mean for both seasons are overestimated by 10 to 20% as compared to observations. This overestimation is likely related to the underestimation of the climatological CI (see Figure 6). The weak differences in W<sub>Pi</sub> (*i.e.* in TC mechanical energy transfer to the ocean) between the two seasons are a first indication that ocean stratification is the main responsible for differences in cooling amplitude.

#### **4. Processes controlling differences in pre-monsoon and post-monsoon TC cooling**

In the previous section, we only used model results from the satellite period (1998-2007) to allow a fair comparison with observations. The results discussed in the previous section remain true over the full 1978-2007 model simulation period: a weaker cooling is simulated during the post-monsoon compared to the pre-monsoon season over the 1978-2007 period (Figure 7), while the

wind power remains very similar (not shown). In this section, we hence analyze the model response to 135 TCs in the BoB over the 1978-2007 period, in order to obtain a larger sample and a higher statistical significance.

Figure 9 exhibits the histograms of TC-induced SST cooling as a function of WPI for the pre-monsoon and post-monsoon seasons. These two distributions are strikingly different: the slope of the average cooling amplitude against WPI is about three times smaller during the post-monsoon season than during the pre-monsoon season ( $0.28^{\circ}\text{C}$  against  $0.70^{\circ}\text{C}$ ). This result demonstrates that, for a given wind power input, the resulting cooling is on average two to three times smaller during the post-monsoon season. Differences in oceanic stratification underneath TCs between the two seasons are further demonstrated by displaying probability density functions of the CI (Figure 8d). The CI distribution is significantly different between the two seasons, with stronger near-surface haline stratification and deeper thermal stratification (*i.e.* stronger CI) during the post-monsoon season. The range of CI values under which TCs occur is also larger during post-monsoon than during pre-monsoon season. While CI values above 22 are hardly found underneath TCs before the monsoon, half of CI values exceed this threshold during the months following the monsoon. The influence of oceanic stratification on TC-induced cooling amplitude is further demonstrated by the coloured curves on Figure 9 that display the average cooling as a function of WPI for four different ranges of CI (less than 18, between 18 and 24, between 24 and 30 and greater than 30). The influence of CI allows explaining the wide range of possible TC-induced cooling amplitudes for a given wind power input and hence the dispersion of TC-induced cooling during the post-monsoon season seen on Figure 9b. When TCs transit over waters displaying large CI (greater than 30, *i.e.* strongly stratified) during the post-monsoon season, the resulting cooling amplitudes are very weak (regression slope of  $0.04^{\circ}\text{C}$ ). In contrast, cooling amplitudes for TCs that transit over waters with lowest CI (less than 18; *i.e.* weakly stratified) are larger ( $\sim 0.74^{\circ}\text{C}$ ) and match those simulated during pre-monsoon season (slope of  $0.74^{\circ}\text{C}$ ). This demonstrates that oceanic stratification is a major factor controlling the amplitude of the SST response to a TC in the BoB.

An analysis of the physical processes responsible for the simulated TC-induced cooling (Figure 10) derived from the online tendency terms of the equation of the ML temperature described in section 2b provides further evidence on the role of upper-ocean stratification in the cooling amplitude difference between the two seasons. TC cooling induced by heat fluxes (mainly latent - not shown) is indeed very similar between the two seasons and does not exceed  $-0.5^{\circ}\text{C}$  for the strongest TC forcing ( $\text{WPI} > 2.5$ , compare the orange curves in Figures 10a and b). Advective processes are of secondary importance and only slightly contribute to TC-induced cooling for the strongest WPI (*i.e.* strongest cyclones). In contrast, the amplitude of mixing-induced cooling strongly differs between the two seasons. During pre-monsoon, mixing-induced cooling rapidly

increases with  $WPI$ , explaining more than 60% of the TC-induced cooling for  $WPI$  larger than 1.5. In contrast, mixing-induced cooling is three to four times weaker during the post-monsoon season for a given wind power input and never exceeds the amplitude of the cooling induced by heat fluxes. This reduced cooling by vertical mixing is largely responsible for the reduced TC-induced cooling amplitude for the post-monsoon season compared to pre-monsoon season. This tendency term analysis demonstrates that cooling by mixing is weaker during the post monsoon season. This is because the stronger upper-ocean stratification opposes a stronger resistance to mixing, hence resulting in a lesser entrainment of colder subsurface water. This is one more indication that changes in ocean stratification explain most differences in TC-induced cooling between the two seasons.

The oceanic stratification depends on both temperature and salinity. Using the CI, it is possible to infer their respective contributions to the total stratification and relate them to TC-induced surface cooling differences between the two seasons. Figure 8d compares the distribution of the CI underneath TCs for the two seasons calculated with a constant salinity profile of 33.85 psu (the average upper 200 m BoB value in post-monsoon season; hereafter  $CI_{S0}$ ; results are not very sensitive to the salinity value used to perform this calculation). This index therefore only accounts for thermal stratification effects. The overall  $CI_{S0}$  distribution is shifted toward lower values compared to CI (Figure 8d); i.e. salinity stratification inhibits vertical mixing in the BoB. This is an expected result as salinity strongly contributes to upper ocean static stability in the upper BoB (Figure 5). The impact of salinity on stratification is twice larger during the post-monsoon than during the pre-monsoon season in the BoB ( $CI - CI_{S0} \sim 2$  on average during pre-monsoon season and  $\sim 5$  during post-monsoon season). This simple diagnostic illustrates the key role of salinity in density stratification of the BoB, especially during the post-monsoon season.

Figure 11 further illustrates the regional distribution of salinity impact on the BoB stratification (i.e. differences between CI and  $CI_{S0}$ ). As displayed on Figure 11c, salinity seasonal changes mainly affect the CI distribution along the northern rim of the BoB, where strongest seasonal changes in haline stratification (Figure 3 and 5) and BLT (Figure 3) are observed. Salinity accounts for about half of the seasonal cooling inhibition changes in the northeastern part of the Bay along the coast of Myanmar and Bangladesh and for a large part of CI increase along the eastern coast of India, offshore the state of Andhra Pradesh. On the other hand, temperature changes explain all of CI seasonal changes in the southwestern part of the Bay (Figure 11ab). The model generally reproduces these features (Figure 11d-f), although it slightly underestimates the relative role of haline stratification in the CI changes along the eastern Indian coastline.

While these results clearly demonstrate the important role played by salinity (ranging from less than 0% to more than 80% depending on the considered region), observational estimates and

model results both indicate a strong contribution from the seasonal evolution of the thermal structure to the pre-monsoon and post-monsoon CI contrasts. The deepening of the upper thermocline related to the seasonal surface cooling in the northern and western part of the BoB (Figures 4 and 5) acts to increase the CI in these regions during post-monsoon season (Figure 11ad) and contributes up to 80% of the seasonal stratification changes (Figure 11cf). Typically, because of the surface cooling taking place during the monsoon, vertical mixing has to penetrate deeper (down to  $\sim 50$  m) during the post-monsoon season, as compared to the pre-monsoon season (down to  $\sim 35$  m), in order to cool the mixed layer by  $2^\circ\text{C}$  (Figure 4). While salinity and temperature changes act in concert to increase CI after the monsoon along the northern rim of the BoB, they oppose each other in the southwestern part. The freshening acts to increase CI (Figure 3) while both the SST drop (Figure 5) and the modest shoaling of the thermal stratification (Figure 4) act to decrease CI in this latter region (Figure 11).

Our results clearly indicate that seasonal changes in the vertical haline and thermal structures are consistent with differences in TC-induced cooling amplitude between the pre-monsoon and post-monsoon seasons. A more quantitative estimate of their respective roles can further be obtained by using the least-square fit of the cooling amplitude as a function of WPI and CI discussed in Section 2c to hindcast the simulated cooling in the BoB. This approach performs very well, with a 0.84 correlation and a 0.98 regression between the amplitudes of the cooling simulated by the model and the cooling hindcasted using the least square fit (Figure 12). The histograms of the hindcasted SST cooling as a function of WPI (Figure 13a-b) also show a very similar behavior to the SST cooling actually simulated by the model (Figure 9). The slope of the predicted cooling amplitude against WPI is indeed larger during the pre-monsoon season ( $-0.62^\circ\text{C}$ , compared to  $-0.70^\circ\text{C}$  for the simulated cooling, Figure 9a) than during the post monsoon season ( $\sim -0.28^\circ\text{C}$  for both predicted and simulated coolings). The influence of salinity on cooling amplitude can then be assessed from a cooling prediction using  $CI_{S_0}$ . The cooling slope as a function of WPI only increases by  $\sim 10\%$  when neglecting haline stratification for pre-monsoon season (Figure 13a and 13c). This suggests a relatively modest role of salinity on the amplitude of TC-induced cooling in the pre-monsoon season. In contrast, using  $CI_{S_0}$  for the post-monsoon period results in a 50% increase of the slope from  $-0.28^\circ\text{C}$  to  $-0.41^\circ\text{C}$ , and hence in a  $\sim 50\%$  increase of the hindcasted mean cooling amplitude (Figure 13b and 13d). In addition, the very weak predicted and simulated TC-induced coolings ( $-0.3^\circ\text{C} < \text{SST} < 0.0^\circ\text{C}$ ) for moderate WPI ( $1 < \text{WPI} < 2$ ) occur much less often when salinity is not accounted for. However, the change of slope due to haline stratification during the post-monsoon season (from  $-0.28^\circ\text{C}$  to  $-0.41^\circ\text{C}$ ; Figure 13bd) only explains 40% of the change of slope between post-monsoon and pre-monsoon seasons (from  $-0.28^\circ\text{C}$  to  $-0.62^\circ\text{C}$ ; Figure 13ab). This indicates that changes in haline stratification are responsible on average for  $\sim 40\%$  of the

cooling reduction between pre-monsoon and the post-monsoon seasons, with changes in the thermal stratification explaining the remaining 60%. In other words, salinity effects reduce TC-induced cooling by 40% during the post-monsoon season.

## **5. Summary and discussion**

### **a. Summary**

In this paper, we investigate processes responsible for the smaller amplitude TC-induced surface cooling in the BoB during the post-monsoon compared to the pre-monsoon season. Because detailed observations underneath TCs are scarce, we analyze a global ocean model simulation forced by realistic TC winds derived from an analytic shape adjusted to observed TC tracks and magnitude over the 1978-2007 period. Our approach samples the ocean response to 135 TCs in the BoB over this 30-year period. The model exhibits TC-induced SST cooling that is about 3 times larger during the pre-monsoon than during post-monsoon season, in agreement with observations.

As discussed by V12b, the amplitude of TC cooling is to a large extent explained by two parameters: the wind power input of the TC atmospheric forcing and the cooling inhibition from background oceanic conditions. TC wind power input does not significantly change between the pre-monsoon and post-monsoon seasons, suggesting that seasonal changes in oceanic structure are responsible for larger TC-induced cooling amplitude during pre-monsoon season. The heavy precipitation and river discharge during and following the monsoon result in a very intense upper-ocean freshening and the formation of a thick BL. Thermal structure also undergoes marked changes between pre-monsoon and post-monsoon seasons, with a cooler mixed layer over most of the BoB following the monsoon, resulting in a deeper upper thermal stratification. These thermal and haline stratification changes reduce the entrainment of cooler thermocline waters into the mixed layer and consequently reduce TC-induced cooling during the post-monsoon season. Our analysis indeed reveals that stronger cooling inhibition by oceanic stratification is responsible for a cooling amplitude reduction by a factor of three during the post-monsoon season.

We then assess the respective contributions of seasonal changes in thermal and haline stratification to the reduction of TC-induced cooling. To that end, we use a simple bivariate statistical model that allows accurately predicting the amplitude of TC-induced cooling from wind power (W<sub>Pi</sub>) and CI indices. This allows demonstrating that the strong near-surface salinity stratification during the post-monsoon season is responsible for ~40% of cooling decrease, with SST changes explaining the remaining 60%. The respective contributions of thermal and haline stratification however strongly vary spatially within the Bay: haline stratification explains most of the TC-induced cooling inhibition off shore of the eastern coast of India (~80%), where salinity seasonal changes are strongest while thermal stratification explains all the TC-induced cooling



inhibition in the southwestern part of the BoB.

## **b. Discussion**

Our modeling study confirms previous case studies. Observations indeed suggest that TC-induced surface cooling is larger during the pre-monsoon [*Gopalakrishna et al.*, 1993; *Rao*, 1987; *Sengupta et al.*, 2008] than during the post-monsoon season in the BoB [*Chinthalu et al.*, 2001; *Sengupta et al.*, 2008; *Subrahmanyam et al.*, 2005]. *Sengupta et al.* [2008] suggest that weaker surface cooling during the post-monsoon season largely results from the presence of salinity and BL changes through individual case studies analysis. Our results, however, suggest that thermal changes are a major contributor to the difference in TC-induced cooling amplitude between the two seasons, although the effects of the changes in haline stratification also significantly contribute the seasonal TC-induced cooling changes, especially around the rim of the northern BoB.

Satellite observations show that the amplitude of TC-induced surface cooling is also larger during the pre-monsoon ( $\sim 1.2^{\circ}\text{C}$ ) than during post-monsoon ( $\sim 0.6^{\circ}\text{C}$ ) season in the Arabian Sea (Figure 14ab). Our model simulation (38 Arabian Sea TCs over the 1978-2007 period) reproduces these pre-monsoon/post-monsoon TC-induced surface cooling contrasts in the Arabian Sea (Figure 14ab). Figure 14cd shows the histograms of TC-induced cooling as a function of WPI for pre-monsoon and post-monsoon seasons for the Arabian Sea. Unlike the BoB, there is a stronger wind power input before the monsoon than after (average WPI of  $\sim 2.5$  against  $\sim 1.6$ ). Compared to the Bay of Bengal, the regression slope of the TC-induced cooling to WPI does not considerably change between the pre-monsoon and post-monsoon seasons in the Arabian Sea ( $0.87^{\circ}\text{C} / 0.74^{\circ}\text{C}$ , against  $0.70^{\circ}\text{C} / 0.28^{\circ}\text{C}$  in the BoB), and is close to the  $0.70^{\circ}\text{C}$  slope during pre-monsoon season in the BoB. The stronger cooling during pre-monsoon season hence largely results from changes in TC wind power input. Due to a less salinity-stratified upper ocean [e.g., *Shenoi et al.*, 2002], the CI is lower in the Arabian Sea than in the BoB (Figure 6). During the post-monsoon season, salinity stratification acts to decrease the CI in the central part of the Arabian Sea (Figures 11be) due to lower salinity at depth (not shown). This hence partly compensates the CI increase due to changes in the thermal structure west of  $70^{\circ}\text{E}$  (Figure 11ad), resulting in a relatively small influence of the oceanic stratification on the cooling in the Arabian Sea, compared to the BoB.

The rather coarse resolution model used in the present study simulates rather accurately the contrasted TC-induced cooling amplitude between pre- and post-monsoon seasons, suggesting that the  $1/2^{\circ}$  resolution is sufficient to capture the TC-induced mixing, a dominant process in the cold wake formation. Refined resolution in the BoB within our global model [*Biastoch et al.*, 2008b] or the use of high-resolution regional models [*Diansky et al.*, 2006; *Benshila et al.*, 2012] may however be required to better represent the TC-induced Ekman suction that shoals the thermocline

near the eye, increasing the cooling efficiency of vertical mixing [Yablonsky and Ginis, 2009; Jullien *et al.*, 2012]. Although presumably of secondary importance [Jacob and Koblinsky, 2007; Jourdain *et al.*, 2012], a realistic parametrization of the TCs-related precipitation may as well improve the TC-induced ocean response, by accounting for their stabilizing effect on the water column. As shown by Jourdain *et al.* [2012], this stabilizing effect may reduce the cooling amplitude by 5 to 10% in the Bay, hence reducing the model TC-induced cooling overestimation (Figure 7).

A significant increase in the horizontal and vertical model resolutions together with an improved representation of river discharge and precipitation patterns within the Bay [Papa *et al.*, 2010] may also allow a better representation of the mixed layer and of the offshore export of coastal freshwaters. The model underestimation of the freshening in the northern BoB during the post-monsoon season indeed probably results in an underestimation of the salinity influence on the TC-induced surface cooling. Estimates derived from the observed climatology however suggest that at least 50% of the reduction in TC-induced cooling amplitude may be related to thermal changes (Figure 11). The exact quantification of the influence of salinity may require a more exhaustive and in-depth analysis of oceanic controls on TC-induced cooling within the Bay using observations. The Argo program [Gould *et al.*, 2004] provides a unique opportunity to investigate this issue: started in 2002, this program has reached its targeted density in late 2006. The availability of both temperature and salinity profiles in the upper ocean with reasonable temporal and spatial coverage may allow quantifying the respective contributions of salinity and temperature stratifications on TC-induced cooling inhibition from *in-situ* observations over the recent period.

This influence of salinity on TC-induced cooling calls for a better description and understanding of salinity variations within the Bay. Previous studies have already shown that the seasonal salinity evolution is largely determined by the fresh water sources/sinks and the redistribution of the resulting low/high-salinity water by ocean currents [e.g., Rao and Sivakumar, 2002; Vinayachandran *et al.*, 2005; Sengupta *et al.*, 2006]. However, the paucity of observations in coastal regions does not yet allow providing a robust and precise estimate of the intensity and extent of the BoB freshening. In addition, little is known about the interannual variability of SSS in the BoB. Although a limited amount of repeated observations along shipping lanes suggest that salinity variability is high in the tropical Indian Ocean [Delcroix *et al.*, 2005; Rao and Sivakumar, 2003], details of basin-wide spatio-temporal structure of salinity interannual variations in the BoB and their mechanisms are still lacking.

This major influence of salinity also advocates for the use of an adequate oceanic index in statistical TC intensity prediction schemes. As shown by Yu and McPhaden [2011], buoyancy content in the BoB upper layer has a higher correlation with salinity content than with heat content.

A commonly used metric of TC sensitivity to the ocean is the Tropical Cyclone Heat Potential (TCHP), a measure of the heat content between the sea surface and the depth of the 26°C isotherm, computed from altimeter-derived vertical temperature profile estimates [*Shay et al.*, 2000; *Goni and Trinanes*, 2003]. This index is useful for identifying warm anticyclonic features, where hurricanes often undergo sudden intensification in the western Atlantic [e.g., *Shay et al.*, 2000] and Northwestern Pacific [e.g., *Lin et al.*, 2005]. Using TCHP allows improving statistical intensity forecasts in these regions [*DeMaria et al.*, 2005; *Mainelli et al.*, 2008], where sea level variability is closely related to changes in the depth of the main thermocline, and salinity plays a lesser role. The present study advocates for the use of a different TC oceanic metric that accounts for the effect of salinity, as already suggested by V12b and *Yu et al.* [2011]. The CI proposed by V12b is a relevant option, since it accounts for the effect of salinity stratification on TC-induced cooling inhibition. This metric can be derived from currently available operational oceanography products constrained by oceanic observations [e.g., *Drévilion et al.*, 2008] or directly from Argo data; and tested in cyclone intensity forecast schemes in place of the currently used TCHP, in particular in the BoB.

The present study brings further evidence of the haline stratification impact on TC-induced surface cooling. Neglecting haline stratification indeed results in a 50% overestimation of the TC-induced cooling during the post-monsoon season in the BoB (Figure 13bd). Figure 15 shows the spatial distribution of the long-term average impact of salinity stratification on the pre-cyclone cooling inhibition. Figure 15 hence provides a global view of regions where salinity stratification may significantly influence TC-induced SST cooling. Since TCs mostly develop in deep atmospheric convection regions, the associated climatological rainfall results in a stable haline stratification that inhibits TC-induced cooling, explaining the dominance of negative values in Figure 15. While the BoB, studied in this paper, is associated with a rather strong influence of haline stratification on TC-induced cooling, there is also a moderate influence of haline stratification in the western Pacific and South Indian Ocean TC basins. But there is a very clear influence of haline stratification on TC-induced cooling in the low-salinity region of the tropical western North Atlantic due to the discharge from the Amazon and Orinoco rivers whose waters are advected northwestward by the North Brazilian and Guyana Currents [*Muller-Karger et al.*, 1995; *Hellweger and Gordon*, 2002]. Given that TC that transit over this area can hit densely populated regions of Mexico and Southeastern United States [*Weinkle et al.*, 2012], this region probably deserves a dedicated study.

The haline stratification during the post-monsoon season induces an average 40% reduction of TC-induced cooling, and potentially much more locally in the northern and eastern rim of the Bay. Given the potentially strong negative feedback of TC-induced cooling on TC intensity, an assessment of the exact impact of haline stratification on TC characteristics is however lacking.

Further studies using high-resolution regional coupled models in this region as well as statistical analysis based on observations are therefore required to further address this issue, in the BoB and in the region influenced by the Amazon river plume.

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## Table and figures captions:

**Table 1:** Average observed and modeled differences between post-monsoon and pre-monsoon seasons for SSS,  $H_{SST-2^{\circ}C}$ , BLT and CI in the regions displayed as colored dashed squares on Figures 3, 4 and 6: North-East ( $85^{\circ}E-95^{\circ}E$ ,  $16^{\circ}N-22^{\circ}N$ ), South-West ( $81^{\circ}E-85^{\circ}E$ ,  $9^{\circ}N-14^{\circ}N$ ) and East Indian Coast ( $81^{\circ}E-85^{\circ}E$ ,  $14^{\circ}N-20^{\circ}N$ ).

**Figure 1:** (a) Seasonal evolution of the number of TCs north of the equator in the Indian Ocean over the 1978-2007 period. (b) Number of TCs per year in  $2^{\circ}$  by  $2^{\circ}$  bins over the 1978-2007 period. The thick 0.4 isoline delineates a region where 80% of TCs occur in the northern Indian Ocean. Dataset used is the International Best Track Archive for Climate Stewardship [IBTrACS, Knapp *et al.*, 2010].

**Figure 2:** (Left panel) Average TC-induced cooling in the model in the BoB, as a function of the WPI (a proxy of the TC-energy input into the upper ocean, see text for details) (10 bins of 0.3) and CI (a proxy of the inhibition of the cooling by the ocean stratification, see text for details) (10 bins of 3). Bins with less than six samples are shaded in white. (Right panel) Best fit of the model cooling using degree 2 polynomial.

**Figure 3:** Climatological SSS (in psu; color) and BLT (in meters, grey contours, 5m contour intervals) for pre-monsoon (left panels) and post-monsoon (middle panels) seasons and their difference (right panels) derived from observed SSS climatology of Chatterjee *et al.* [2012] and BLT climatology of De Boyer Montégut *et al.* [2004] (upper panels) and model over the 1978-2007 period (lower panels). The thick contour on panels a, b, d and e delineates a region where 80% of TCs occur in the northern Indian Ocean (see Figure 1). Dashed colored boxes on panel (c) highlight the regions of the BoB discussed in Table 1 (Red : North-East BoB, Green : East Indian Coast, Blue : Southwest BoB). The black dashed line indicates the temperature and salinity section at  $90^{\circ}E$  shown on Figure 5.

**Figure 4:** Climatological depth at which the ocean temperature is  $2^{\circ}C$  below the surface temperature (in meters) for pre-monsoon (left panels) and post-monsoon (middle panels) seasons, and their difference (right panels) derived from De Boyer Montégut *et al.* [2004] climatology (upper panels) and the model over the 1978-2007 period (lower panels). The thick contour delineates a region where 80% of TCs occur in the northern Indian Ocean (see Figure 1) while dashed colored boxes on panel (c) are same as in Figure 3. The black dashed line indicates the temperature and salinity section at  $90^{\circ}E$  shown on Figure 5.

**Figure 5:** Latitude-depth section (at  $90^{\circ}E$ ) of observed climatological salinity (in psu; color) and temperature (in  $^{\circ}C$ ; contour) in the BoB during (a) pre-monsoon and (b) post-monsoon seasons. (c) Observed temperature (red) and salinity (blue) profiles averaged in the BoB north of  $15^{\circ}N$  for pre-monsoon (plain line) and post-monsoon (dashed line) seasons. (d-f) Same for model outputs over 1978-2007.

**Figure 6:** Climatological cooling inhibition index (CI; in  $(J.m^{-2})^{-1/3}$ ) for pre-monsoon (left column) and post-monsoon (middle column) seasons, and their difference (right column) using observations (upper panels) and the model over the 1978-2007 period (lower panels). The thick

contour delineates a region where 80% of TCs occur in the northern Indian Ocean (see Figure 1) while dashed colored boxes on panel (c) are same as in Figure 3. The black dashed line indicates the temperature and salinity section at 90°E shown on Figure 5.

**Figure 7:** Composite evolution of TC-induced SST cooling within 200 km of TC-tracks in the BoB (in °C) during pre-monsoon (left) and post-monsoon (right) seasons for observations (black line) and the model over the 1998-2007 period (thick orange line) and the model over the 1978-2007 period (thin orange line). Vertical bars (black for the observations and orange for the model) indicate the spread around the mean, evaluated from the lower and upper quartiles. These quartiles are not shown for the model results over the 1978-2007 period for clarity.

**Figure 8:** Probability density function in the BoB for pre-monsoon (black thick line) and post-monsoon seasons (orange thick line) of the TC-related distributions of (a) observed TC-induced SST cooling (bin size: 0.2°C), (b) modeled TC-induced SST cooling (bin size: 0.2°C), (c) WPI (bin size: 0.2) and (d) CI (bin size: 1) over the 1998-2007 period. The number of cases on panel (c) represents the number of cooling locations, sampled every six hours along the TC tracks. The thin black (resp. orange) line on panel (d) indicate the pre monsoon (resp. post monsoon) CI calculated with a constant salinity profile ( $CI_{S_0}$ ) of 33.85 psu (averaged salinity in the BoB in post-monsoon season within the upper 200 m). Vertical thick dashed lines on each panel indicate the mean value for pre-monsoon (black) and post-monsoon season (orange). The vertical thin dashed lines on panel (d) indicate the mean value for pre-monsoon (black) and post-monsoon (orange)  $CI_{S_0}$ .

**Figure 9:** Two-dimensional histograms of TC-induced SST cooling (in °C) versus WPI in the model over the entire period (1978-2007) for (a) pre-monsoon and (b) post-monsoon seasons. The thick black line indicates the average of the cooling distribution for a given WPI, the white line is a linear fit of the black line, and the vertical black bars indicate the upper and lower quartiles of the cooling distribution for a given WPI. The slope of the linear fit is also reported on each panel. The average cooling (in °C) as a function of WPI for different CI (in  $(J.m^{-2})^{-1/3}$ ) ranges ( $CI < 18$ ,  $18 < CI < 24$ ,  $24 < CI < 30$ ,  $CI > 30$ ) during (a) pre-monsoon and (b) post-monsoon seasons is indicated with colored lines. Results for the two upper CI ranges ( $24 < CI < 30$  and  $CI > 30$ ) are not displayed during pre-monsoon season due to the lack of oceanic profiles with such CIs at this time of the year. Vertical color bars indicate the upper and lower quartiles of the cooling distribution for a given WPI, for each range of CI. The slope of the linear fit of each curve is reported on each panel.

**Figure 10:** Mean amplitude of TC-induced cooling and respective contributions of vertical mixing (MIX), heat fluxes (FOR) and advection (ADV) to the total cooling as a function of the WPI for pre-monsoon (left panels) and post-monsoon seasons (right panels). Absolute values (resp. relative contribution of each process to the total cooling) of each process to the total cooling are shown on the lower (resp. upper) panels.

**Figure 11:** Post-monsoon minus pre-monsoon Cooling Inhibition index calculated with constant salinity profile  $CI_{S_0}$  (left column),  $CI - CI_{S_0}$  (middle column) and percentage of CI seasonal change due to salinity  $(CI - CI_{S_0})/CI$  (right column) using observations (upper panels) and model outputs (lower panels). The middle column indicates the salinity propensity to inhibit cooling underneath TCs (i.e. the right column has yellow shading where salinity contributes to diminish TC-induced

cooling during the post-monsoon season, relative to the pre-monsoon season). The right panels only display the salinity contribution for absolute CI seasonal changes larger than  $2(\text{J.m}^{-2})^{-1/3}$

**Figure 12:** Two-dimensional histogram of predicted SST cooling versus simulated SST cooling in the BoB over the period 1978-2007. The regression slope and correlation between the two datasets are indicated on the figure. The black line corresponds to the  $y=x$  curve, and the white line corresponds to the best linear fit.

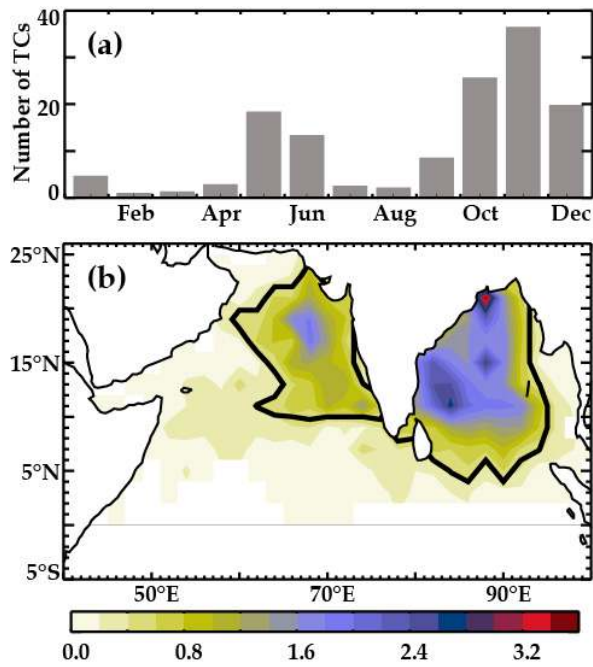
**Figure 13:** Two-dimensional histograms of predicted SST cooling with actual T,S profiles (top panels) and with a constant salinity profile (lower panels) versus WPI during pre-monsoon (left column) and post-monsoon seasons (right column) in the BoB. For each panel, the thick black line indicates the average of the cooling distribution for a given WPI, the vertical bars indicate the upper and lower quartiles of the cooling distribution for a given WPI and the white line is a linear fit of the black line. The slope of the linear fit is reported as a white line on each panel.

**Figure 14:** (a-b) Same as Figure 7ab but for the Arabian Sea. (c-d) Same as Figure 9 (but without the binning into CI), for the Arabian Sea.

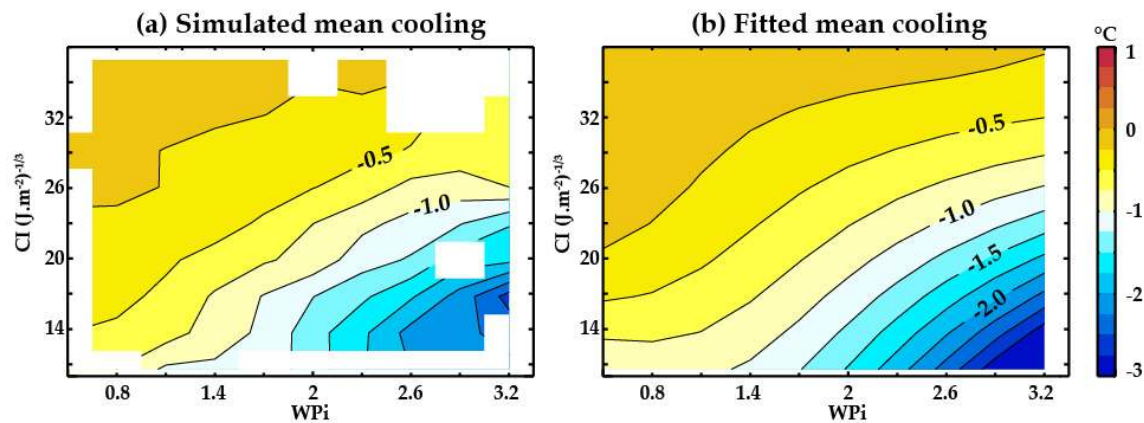
**Figure 15:** Spatial distribution of the difference between average standard CI underneath TC tracks (in  $(\text{J.m}^{-2})^{-1/3}$ ) minus  $\text{CI}_{\text{S0}}$  calculated over the 1978-2007 period. Both quantities are estimated from the weekly averaged stratification model outputs, within 200km and between 10 days and 3 days before each cyclone eye passage location. This plot indicates where salinity stratification inhibits (blue shades) or enhances (red shades) TC-induced cooling.

Regions	$\Delta$ SSS (psu)		$\Delta H_{SST-2^{\circ}C}$ (m)		$\Delta$ BLT (m)		$\Delta$ CI ( $J/m^2$ ) <sup>1/3</sup>	
	OBS	MOD	OBS	MOD	OBS	MOD	OBS	MOD
North-East BoB	-2.2	-1.3	13.0	15.6	14.3	11.9	8.4	9.0
South-West BoB	-0.2	0.0	-6.6	-1.8	6.3	2.4	-1.4	-0.9
East Indian Coast	-1.5	-1.0	4.0	14.0	9.8	8.8	3.6	5.0

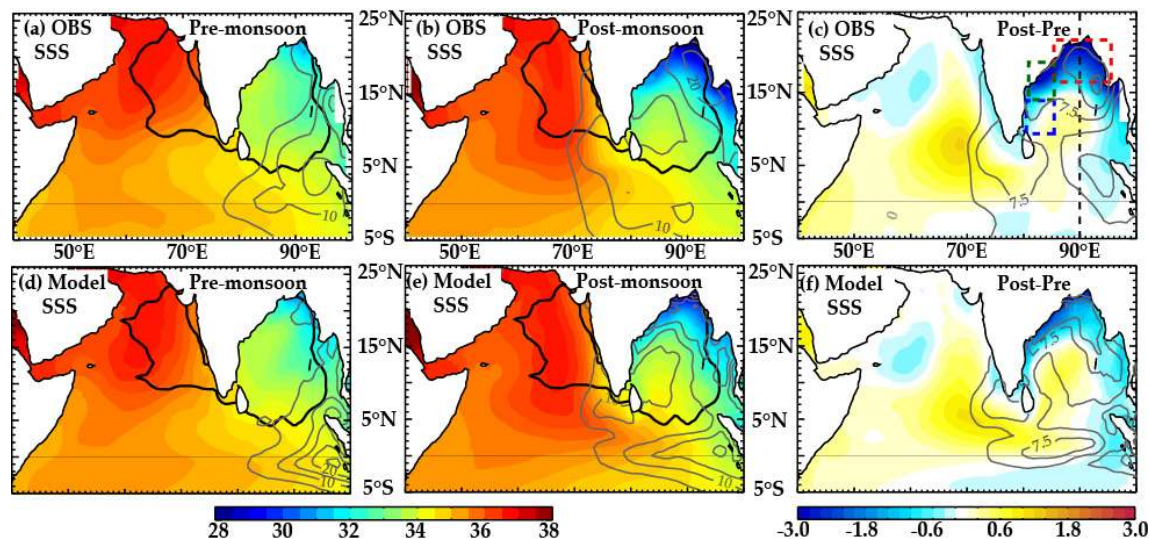
**Table 1:** Average observed and modeled differences between post-monsoon and pre-monsoon seasons for SSS,  $H_{SST-2^{\circ}C}$ , BLT and CI in the regions displayed as colored dashed squares on Figures 3, 4 and 6: North-East ( $85^{\circ}E-95^{\circ}E$ ,  $16^{\circ}N-22^{\circ}N$ ), South-West ( $81^{\circ}E-85^{\circ}E$ ,  $9^{\circ}N-14^{\circ}N$ ) and East Indian Coast ( $81^{\circ}E-85^{\circ}E$ ,  $14^{\circ}N-20^{\circ}N$ ).



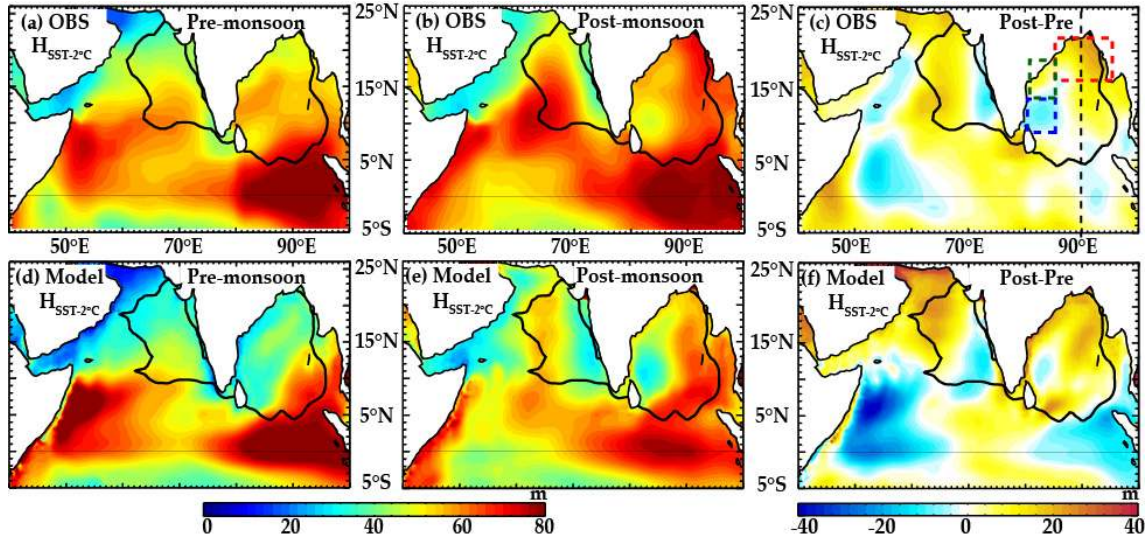
**Figure 1:** (a) Seasonal evolution of the number of TCs north of the equator in the Indian Ocean over the 1978-2007 period. (b) Number of TCs per year in  $2^{\circ}$  by  $2^{\circ}$  bins over the 1978-2007 period. The thick 0.4 isoline delineates a region where 80% of TCs occur in the northern Indian Ocean. Dataset used is the International Best Track Archive for Climate Stewardship [IBTrACS, Knapp *et al.*, 2010].



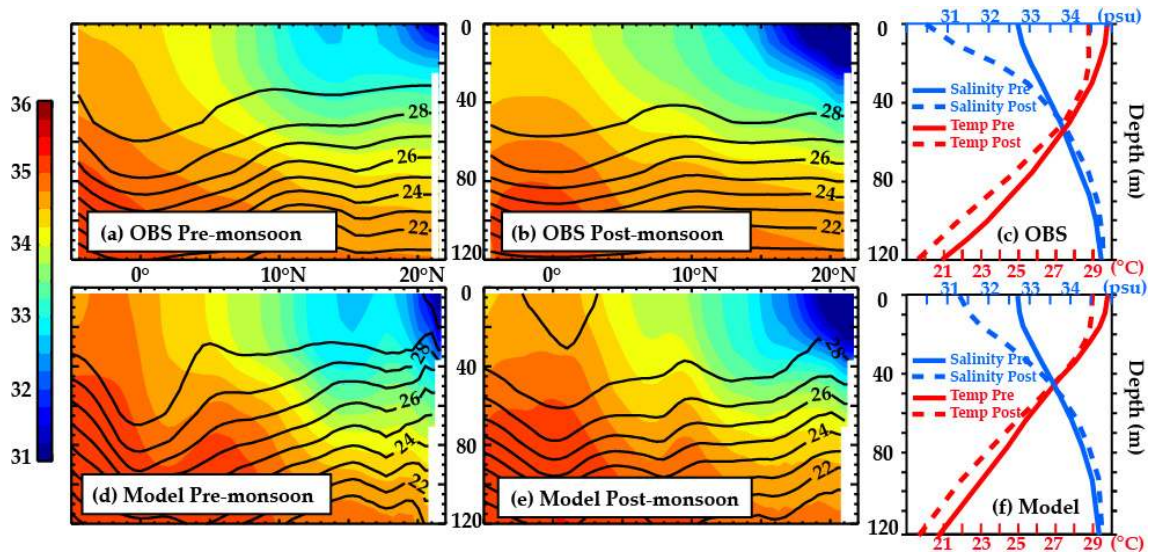
**Figure 2:** (Left panel) Average TC-induced cooling in the model in the BoB, as a function of the WPi (a proxy of the TC-energy input into the upper ocean, see text for details) (10 bins of 0.3) and CI (a proxy of the inhibition of the cooling by the ocean stratification, see text for details) (10 bins of 3). Bins with less than six samples are shaded in white. (Right panel) Best fit of the model cooling using degree 2 polynomial.



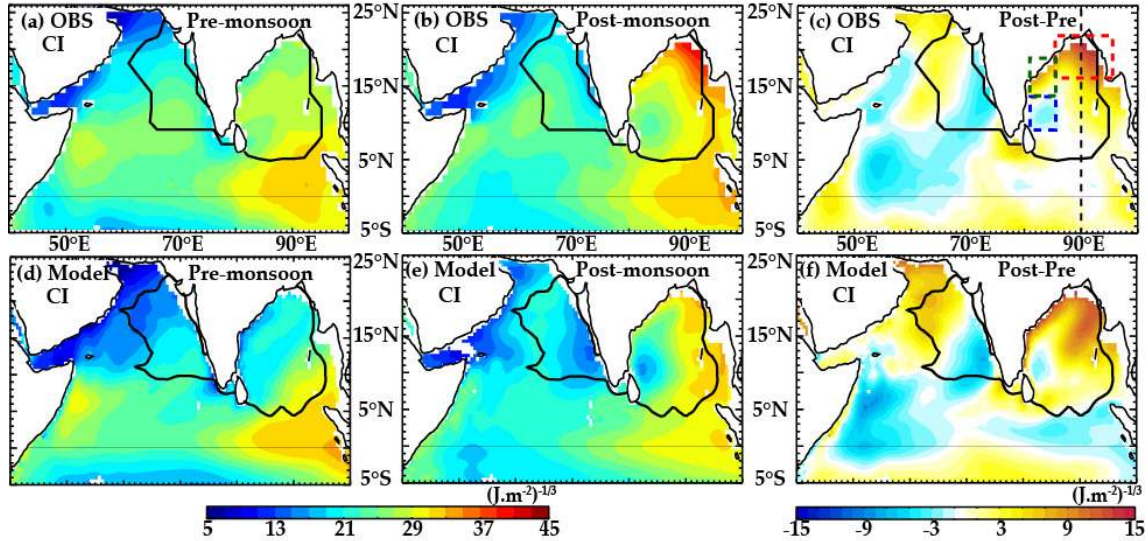
**Figure 3:** Climatological SSS (in psu; color) and BLT (in meters, grey contours, 5m contour intervals) for pre-monsoon (left panels) and post-monsoon (middle panels) seasons and their difference (right panels) derived from observed SSS climatology of Chatterjee et al. [2012] and BLT climatology of De Boyer Montégut et al. [2004] (upper panels) and model over the 1978-2007 period (lower panels). The thick contour on panels a, b, d and e delineates a region where 80% of TCs occur in the northern Indian Ocean (see Figure 1). Dashed colored boxes on panel (c) highlight the regions of the BoB discussed in Table 1 (Red : North-East BoB, Green : East Indian Coast, Blue : Southwest BoB). The black dashed line indicates the temperature and salinity section at 90°E shown on Figure 5.



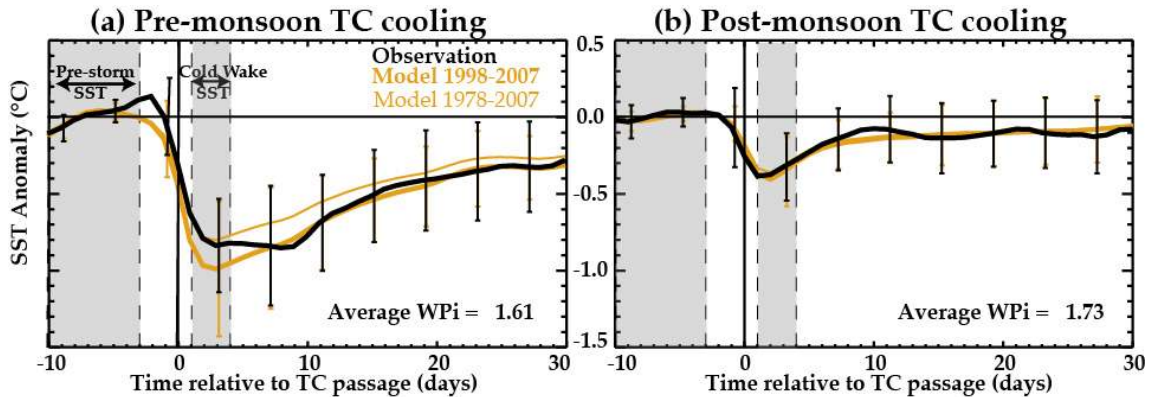
**Figure 4:** Climatological depth at which the ocean temperature is 2°C below the surface temperature (in meters) for pre-monsoon (left panels) and post-monsoon (middle panels) seasons, and their difference (right panels) derived from De Boyer Montégut et al. [2004] climatology (upper panels) and the model over the 1978-2007 period (lower panels). The thick contour delineates a region where 80% of TCs occur in the northern Indian Ocean (see Figure 1) while dashed colored boxes on panel (c) are same as in Figure 3. The black dashed line indicates the temperature and salinity section at 90°E shown on Figure 5.



**Figure 5:** Latitude-depth section (at 90°E) of observed climatological salinity (in psu; color) and temperature (in °C; contour) in the BoB during (a) pre-monsoon and (b) post-monsoon seasons. (c) Observed temperature (red) and salinity (blue) profiles averaged in the BoB north of 15°N for pre-monsoon (plain line) and post-monsoon (dashed line) seasons. (d-f) Same for model outputs over 1978-2007.

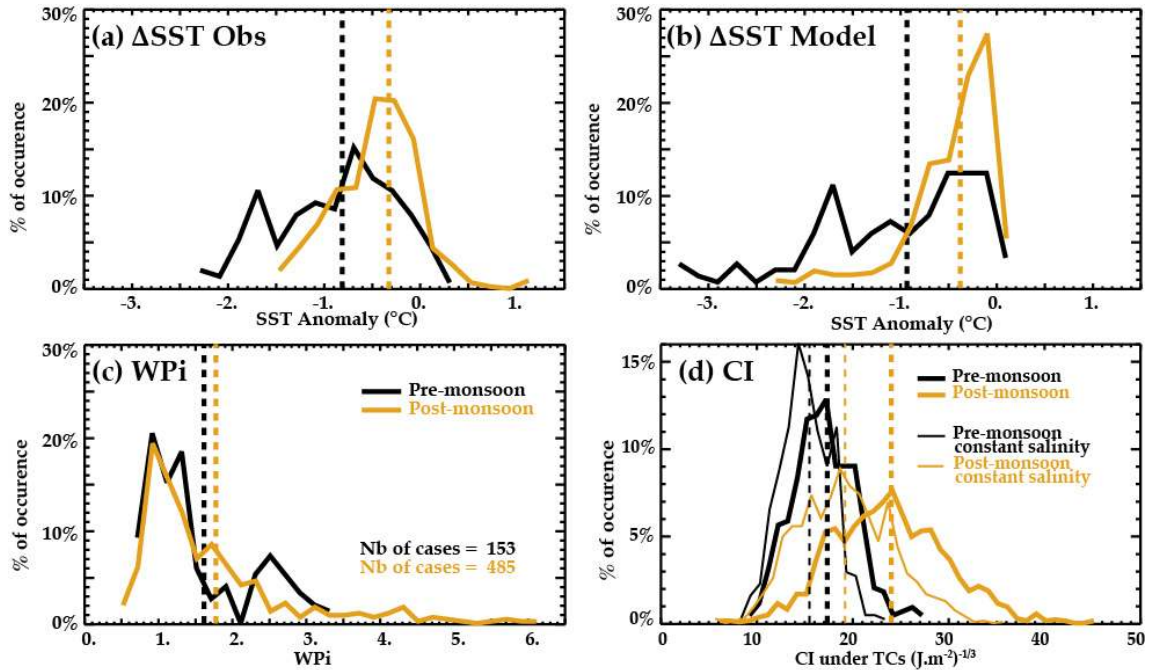


**Figure 6:** Climatological cooling inhibition index (CI; in  $(\text{J.m}^{-2})^{-1/3}$ ) for pre-monsoon (left column) and post-monsoon (middle column) seasons, and their difference (right column) using observations (upper panels) and the model over the 1978-2007 period (lower panels). The thick contour delineates a region where 80% of TCs occur in the northern Indian Ocean (see Figure 1) while dashed colored boxes on panel (c) are same as in Figure 3. The black dashed line indicates the temperature and salinity section at 90°E shown on Figure 5.

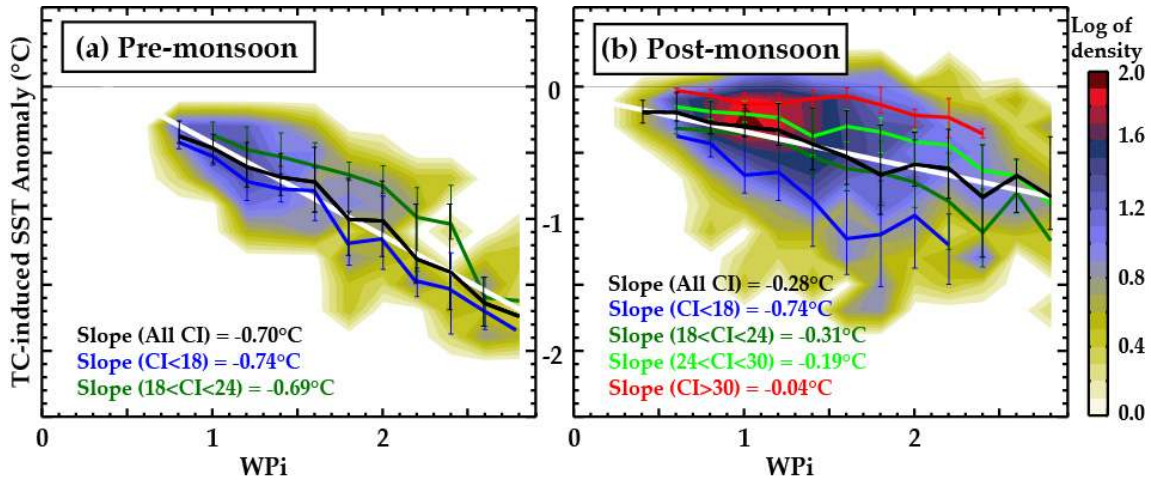


**Figure 7:** Composite evolution of TC-induced SST cooling within 200 km of TC-tracks in the BoB (in  $^{\circ}\text{C}$ ) during pre-monsoon (left) and post-monsoon (right) seasons for observations (black line) and the model over the 1998-2007 period (thick orange line) and the model over the 1978-2007 period (thin orange line). Vertical bars (black for the observations and orange for the model) indicate the spread around the mean, evaluated from the lower and upper quartiles. These quartiles are not shown for the model results over the 1978-2007 period for clarity.

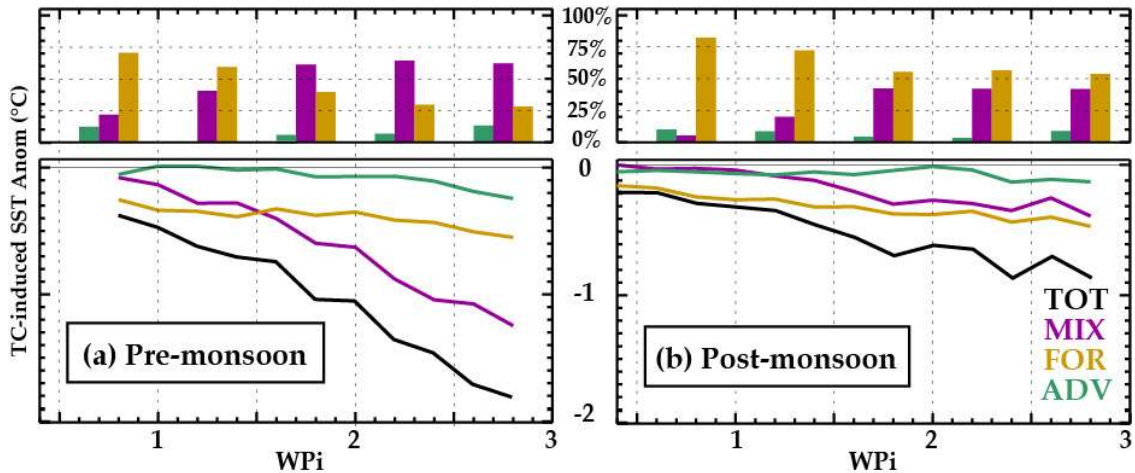




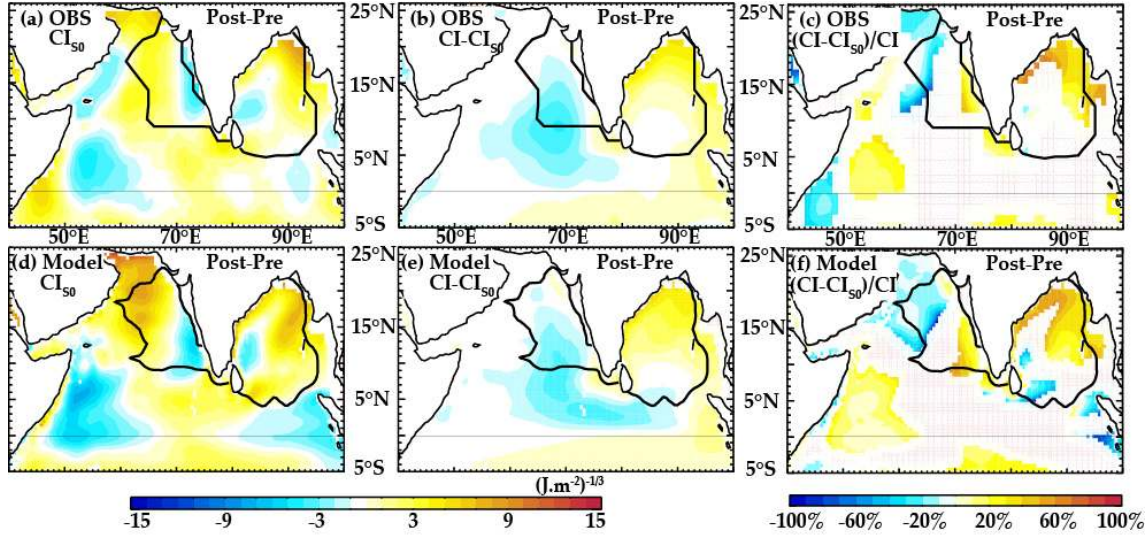
**Figure 8:** Probability density function in the BoB for pre-monsoon (black thick line) and post-monsoon seasons (orange thick line) of the TC-related distributions of (a) observed TC-induced SST cooling (bin size: 0.2°C), (b) modeled TC-induced SST cooling (bin size: 0.2°C), (c) WPI (bin size: 0.2) and (d) CI (bin size: 1) over the 1998-2007 period. The number of cases on panel (c) represents the number of cooling locations, sampled every six hours along the TCs tracks. The thin black (resp. orange) line on panel (d) indicate the pre monsoon (resp. post monsoon) CI calculated with a constant salinity profile (CI<sub>S0</sub>) of 33.85 psu (averaged salinity in the BoB in post-monsoon season within the upper 200 m). Vertical thick dashed lines on each panel indicate the mean value for pre-monsoon (black) and post-monsoon season (orange). The vertical thin dashed lines on panel (d) indicate the mean value for pre-monsoon (black) and post-monsoon (orange) CI<sub>S0</sub>.



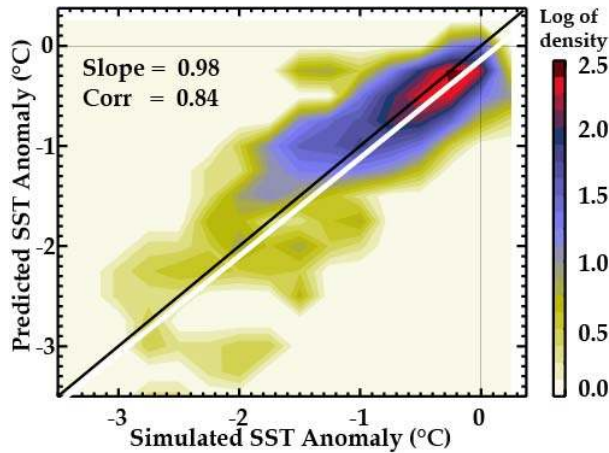
**Figure 9:** Two-dimensional histogram of TC-induced SST cooling (in °C) versus WPI in the model over the entire period (1978-2007) for (a) pre-monsoon and (b) post-monsoon seasons. The thick black line indicates the average of the cooling distribution for a given WPI, the white line is a linear fit of the black line, and the vertical black bars indicate the upper and lower quartiles of the cooling distribution for a given WPI. The slope of the linear fit is also reported on each panel. The average cooling (in °C) as a function of WPI for different CI (in  $(J.m^{-2})^{-1/3}$ ) ranges ( $CI < 18$ ,  $18 < CI < 24$ ,  $24 < CI < 30$ ,  $CI > 30$ ) during (a) pre-monsoon and (b) post-monsoon seasons is indicated with colored lines. Results for the two upper CI ranges ( $24 < CI < 30$  and  $CI > 30$ ) are not displayed during pre-monsoon season due to the lack of oceanic profiles with such CIs at this time of the year. Vertical color bars indicate the upper and lower quartiles of the cooling distribution for a given WPI, for each range of CI. The slope of the linear fit of each curve is reported on each panel.



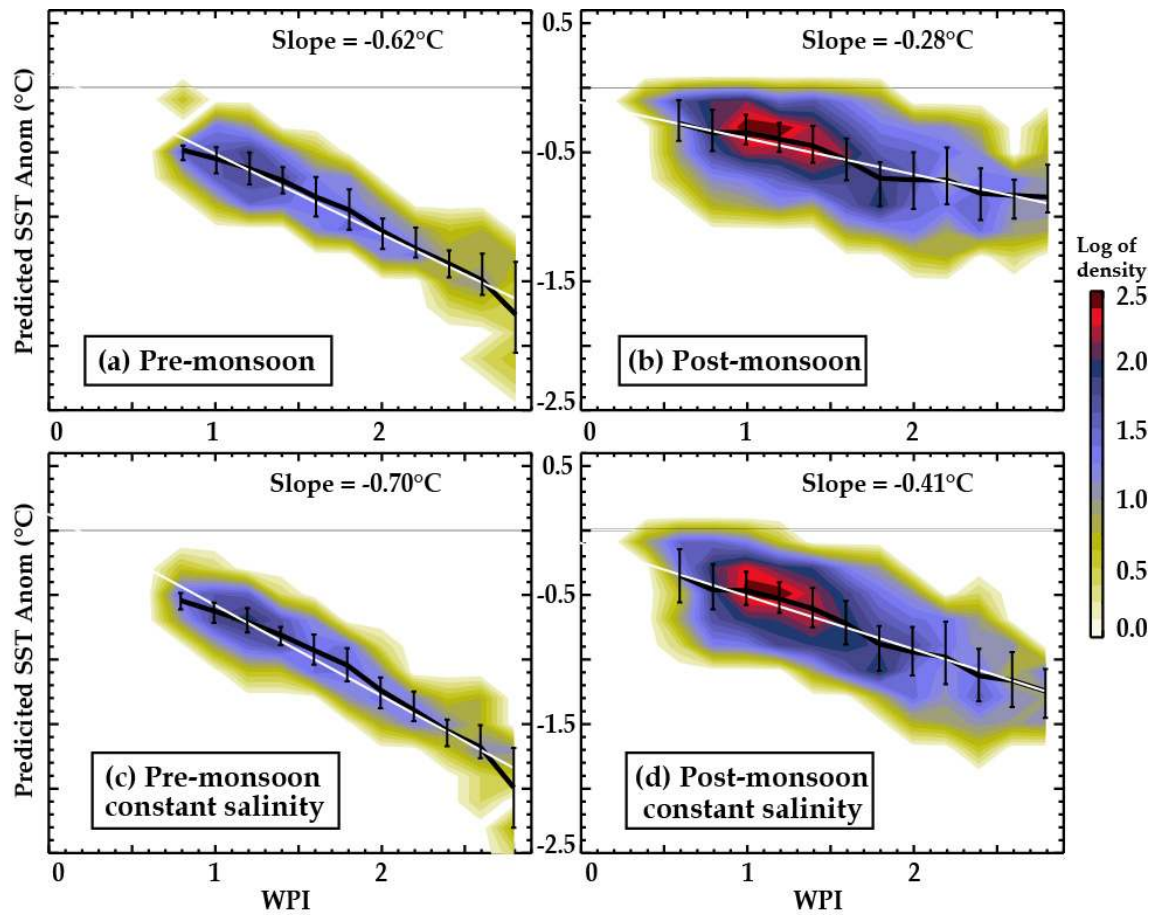
**Figure 10:** Mean amplitude of TC-induced cooling and respective contributions of vertical mixing (MIX), heat fluxes (FOR) and advection (ADV) to the total cooling as a function of the WPI for pre-monsoon (left panels) and post-monsoon seasons (right panels). Absolute values (resp. relative contribution of each process to the total cooling) of each process to the total cooling are shown on the lower (resp. upper) panels.



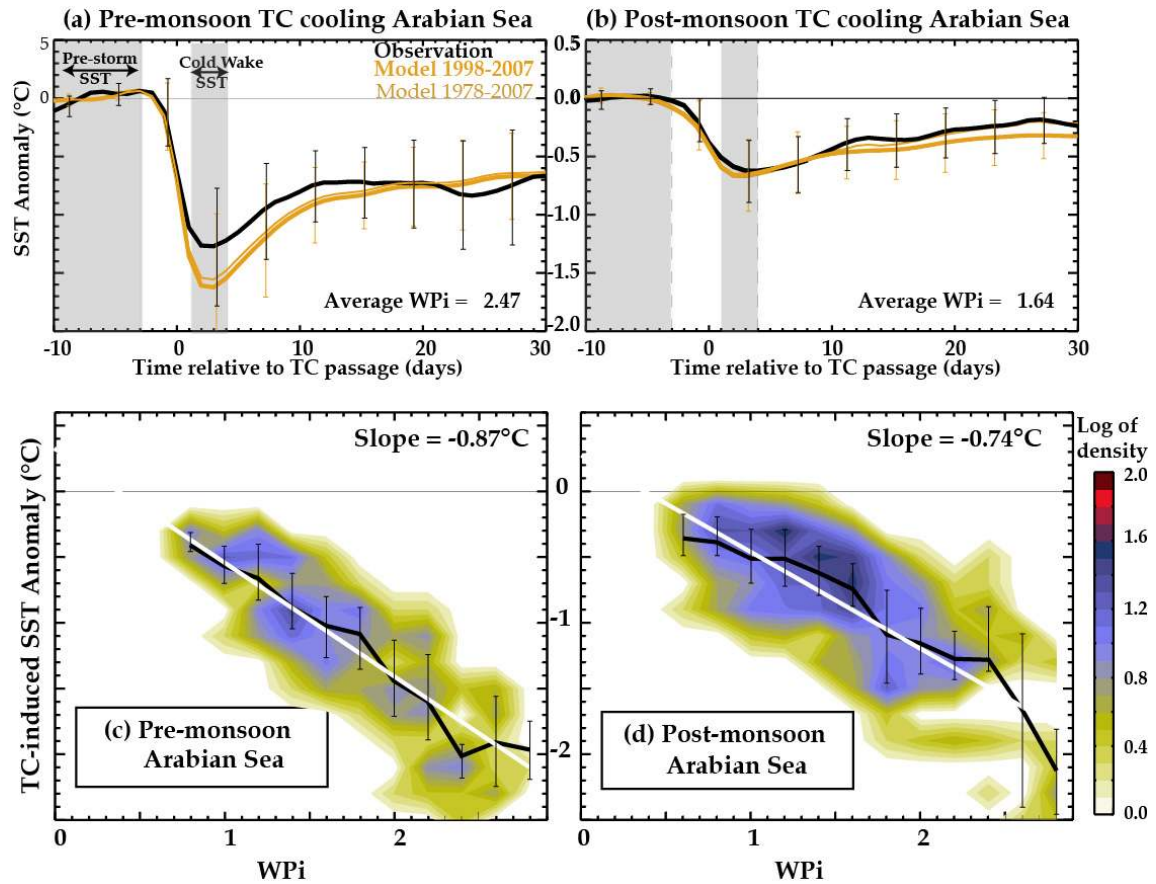
**Figure 11:** Post-monsoon minus pre-monsoon Cooling Inhibition index calculated with constant salinity profile  $CI_{s0}$  (left column),  $CI - CI_{s0}$  (middle column) and percentage of  $CI$  seasonal change due to salinity  $(CI - CI_{s0})/CI$  (right column) using observations (upper panels) and model outputs (lower panels). The middle column indicates the salinity propensity to inhibit cooling underneath TCs (i.e. the right column has yellow shading where salinity contributes to diminish TC-induced cooling during the post-monsoon season, relative to the pre-monsoon season). The right panels only display the salinity contribution for absolute  $CI$  seasonal changes larger than  $2(\text{J.m}^{-2})^{-1/3}$



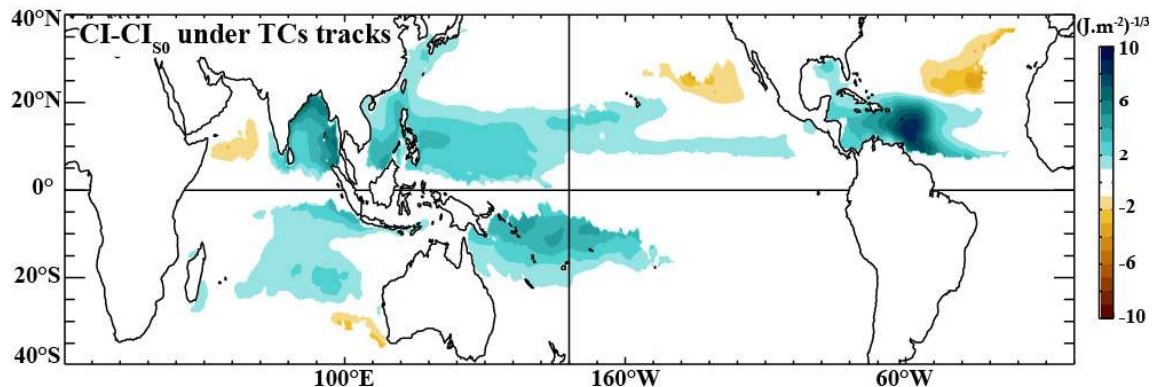
**Figure 12:** Two-dimensional histogram of predicted SST cooling versus simulated SST cooling in the BoB over the period 1978-2007. The regression slope and correlation between the two datasets are indicated on the figure. The black line corresponds to the  $y=x$  curve, and the white line corresponds to the best linear fit.



**Figure 13:** Two-dimensional histogram of predicted SST cooling with actual T,S profiles (top panels) and with a constant salinity profile (lower panels) versus WPI during pre-monsoon (left column) and post-monsoon seasons (right column) in the BoB. For each panel, the thick black line indicates the average of the cooling distribution for a given WPI, the vertical bars indicate the upper and lower quartiles of the cooling distribution for a given WPI and the white line is a linear fit of the black line. The slope of the linear fit is reported as a white line on each panel.



**Figure 14:** (a-b) Same as Figure 7ab but for the Arabian Sea. (c-d) Same as Figure 9 (but without the binning into CI), for the Arabian Sea.



**Figure 15:** Spatial distribution of the difference between average standard CI underneath TC tracks (in  $(\text{J.m}^{-2})^{-1/3}$ ) minus  $\text{CI}_{\text{S}0}$  calculated over the 1978-2007 period. Both quantities are estimated from the weekly averaged stratification model outputs, within 200km and between 10 days and 3 days before each cyclone eye passage location. This plot indicates where salinity stratification inhibits (blue shades) or enhances (red shades) TC-induced cooling.