



## Influence of the actual weather situation on non-CO<sub>2</sub> aviation climate effects: The REACT4C Climate Change Functions

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**Abstract.** Emissions of aviation include CO<sub>2</sub>, H<sub>2</sub>O, NO<sub>x</sub>, sulfur oxides and soot. Many studies have investigated the annual mean climate impact of aviation emissions. While CO<sub>2</sub> has a long atmospheric residence time and is almost uniformly distributed in the atmosphere, non-CO<sub>2</sub> gases, particles and their products have short atmospheric residence times and are heterogeneously distributed. The climate impact of non-CO<sub>2</sub> aviation emissions is known to vary with different meteorological background situations. The aim of this study is to systematically investigate the influence of different weather situations on aviation climate effects over the North Atlantic region, to identify the most sensitive areas and potentially detect systematic weather related similarities. If aircraft were re-routed to avoid climate-sensitive regions, the overall aviation climate impact might be reduced. Hence, the sensitivity of the atmosphere to local emissions provides a basis for the assessment of weather related, climate optimized flight trajectory planning. To determine the climate change contribution of an individual emission as function of location, time and weather situation, the radiative impact of local emissions of NO<sub>x</sub> and H<sub>2</sub>O to changes in O<sub>3</sub>, CH<sub>4</sub>, H<sub>2</sub>O and contrail-cirrus was computed by means of the ECHAM5/MESSy Atmospheric Chemistry model. 4-dimensional climate change functions (CCFs) were derived thereof. Typical weather situations in the North Atlantic region were considered for winter and summer. Weather related differences in O<sub>3</sub>-, CH<sub>4</sub>-, H<sub>2</sub>O-, and contrail-cirrus-CCFs were investigated. The following characteristics were identified: Enhanced climate impact of contrail-cirrus was detected for emissions in areas with large scale lifting, whereas low climate impact of contrail-cirrus was found in the area of the jet stream. Northwards of 60°N contrails usually cause climate warming in winter, independent of the weather situation. NO<sub>x</sub> emissions cause a high positive climate impact if released in the area of the jet stream or in high pressure ridges, which induces a south- and downward transport of the emitted species. Whereas NO<sub>x</sub> emissions at, or transported towards high latitudes, cause low or even negative climate impact. Independent of the weather situation, total NO<sub>x</sub> effects show a minimum at ~250 hPa, increasing towards higher and lower altitudes, with generally higher positive impact in summer than in winter. H<sub>2</sub>O emissions induce a high climate impact when released in regions with lower tropopause height, whereas low climate impact occurs for emissions in areas with higher tropopause height. H<sub>2</sub>O-CCFs generally increase with height, and are larger in winter than in summer. The CCFs of all individual species can be combined, facilitating the assessment of total climate impact of aircraft trajectories considering CO<sub>2</sub>



and spatially and temporally varying non-CO<sub>2</sub> effects. Furthermore they allow the optimization of aircraft trajectories with  
25 reduced overall climate impact. In most regions NO<sub>x</sub> and contrail-cirrus dominate the sensitivity to local aviation emissions.  
The findings of this study recommend, to consider weather related differences for flight trajectory optimization in favour of  
reducing total climate impact.

## 1 Introduction

30 Emissions of aviation include carbon dioxide (CO<sub>2</sub>), water vapour (H<sub>2</sub>O), nitrogen oxides (NO<sub>x</sub>), sulfur oxides (SO<sub>x</sub>), and  
soot. Furthermore, aviation emissions cause the formation of contrails and contrail-cirrus. CO<sub>2</sub> and H<sub>2</sub>O are greenhouse gases,  
its emissions cause a climate warming. Emissions of NO<sub>x</sub> cause a short-term production of ozone (O<sub>3</sub>) and a long-term re-  
duction of methane (CH<sub>4</sub>). Changes of the O<sub>3</sub> precursor CH<sub>4</sub> cause a secondary, long-term reduction of O<sub>3</sub>, which is called  
primary mode ozone effect (PMO). Both, O<sub>3</sub> and CH<sub>4</sub>, are greenhouse gases, for which an enhancement causes a climate  
35 warming, while a reduction causes a cooling. Contrails form when hot and moist exhaust mixes with ambient air, while they  
only persist if the ambient air is saturated with respect to ice. Persistent contrails may evolve into contrail-cirrus which have a  
lifetime of up to many hours. On average, contrails and contrail-cirrus cause a climate warming, however in certain situations,  
they can also cause a cooling. Emissions of aerosols or aerosol precursors have a direct effect on climate, which can be warm-  
ing (soot) or cooling (SO<sub>x</sub>). Aviation aerosol emissions also have an indirect effect on clouds, which is still uncertain, thus the  
40 effect of aerosols has not been included in the present study. The most prominent climate effects of aviation emissions, their  
climate impact in terms of radiative forcing, its uncertainty, and the level of scientific understanding have been summarized by  
e.g. Lee et al. (2010).

The CO<sub>2</sub> emissions from aviation account for approximately 2% of the global CO<sub>2</sub> emissions. Taking the non-CO<sub>2</sub> effects into  
account, aviation constitutes 3 - 5% of the total anthropogenic climate impact (in terms of radiative forcing, Lee et al. (2010)).  
45 Compared with other modes of transportation, 12% of the CO<sub>2</sub> emissions from global transportation are caused by aviation  
(Brasseur, 2008). This share is expected to increase, as the aviation sector is growing at an annual rate of 2.7% presumably  
over the next 20 years (Brasseur et al., 2016), while other sectors reduce their CO<sub>2</sub>-emissions. The Paris Agreement set the  
ambitious goal to keep the global temperature rise within this century well below 2°Celsius compared to pre-industrial levels.  
In regard of this ambitious goal, political and societal pressure for sustainable aviation increases. Mitigation options, which  
50 may enable the aviation sector to reduce its climate impact ought to be identified and evaluated.

Mitigation options may involve technological measures, such as alternative fuels, novel engine concepts, modification of air-  
craft design, or policy measures such as emission trading or emission reduction schemes, for instance the EU ETS (Emis-  
sions Trading System, European Commission (2015)) or CORSIA (Carbon Offsetting and Reduction Scheme for International  
Aviation, ICAO (2020)), or technology targets like the ACARE (Advisory Council for Aviation Research and Innovation in  
55 Europe) Vision 2020 and FlightPath2050 (ACARE, 2020). Another efficient possibility for mitigation may be operational  
measures e.g. identifying alternative flight trajectories with reduced climate impact. CO<sub>2</sub> with its long atmospheric residence



time is almost uniformly distributed in the atmosphere, and its climate impact is independent of the location and situation during its release, whereas the non-CO<sub>2</sub> gases, particles and their products, have shorter atmospheric residence times and are heterogeneously distributed in the atmosphere. More precisely, the effects of non-CO<sub>2</sub> emissions depend on chemical and meteorological background conditions during their release, which vary with geographic location (e.g. Köhler et al., 2013), altitude (e.g. Frömming et al., 2012), time, local insolation (e.g. Gauss et al., 2006), actual weather, etc. Thus, regions and times which are more sensitive to non-CO<sub>2</sub> aviation emissions can be identified. If aircraft trajectories avoid these areas with enhanced sensitivity, aviation climate impact can potentially be mitigated (e.g. Matthes et al., 2012; Grewe et al., 2014b). Such operational measures might be implemented much faster than technological improvements, which require much more time for research, development and implementation.

Previous studies investigated the annual or seasonal mean impact on contrail formation and related radiative forcing by permanent changes in flight altitudes or lateral changes of flight routes (e.g. Sausen et al., 1998; Fichter et al., 2005; Rädcl and Shine, 2008). Others tried to avoid contrails and contrail cirrus by situation-related small changes in flight levels when flying through contrail regions (e.g. Mannstein et al., 2005; Chen et al., 2012), or they calculated horizontal flight trajectory changes to reduce travel time through contrail formation regions (Sridhar et al., 2011). These studies found considerable potential for the reduction of contrails but related tradeoffs with respect to CO<sub>2</sub> or travel time were considered only rudimentarily by user defined penalty factors or not at all. Irvine et al. (2014) presented a framework for the consistent assessment of maximum extra distance to be added to a flight for avoiding contrails without generating an increase in overall climate impact, but found a high dependency on the metric, time horizon and aircraft type. Zou et al. (2016) considered both, horizontal and vertical aircraft trajectory changes, and minimized the total flying cost of fuel consumption, CO<sub>2</sub> emissions, travel time and contrail formation by converting the climate impacts and other resulting tradeoffs into monetary value. Climate impacts were considered in terms of Global Warming Potential (GWP), thus a strong dependence on the time horizon was found. A study by Hartjes et al. (2016) determined 3-dimensional aircraft trajectories while minimizing contrail formation and found vertical trajectory adjustments to be preferable over horizontal trajectory changes.

By now, the spatial and temporal variability of climate effects from aircraft emissions of NO<sub>x</sub> on O<sub>3</sub> and CH<sub>4</sub> has mainly been considered in terms of climatological effects by means of permanent changes of flight altitudes or routes (Gauss et al., 2006; Köhler et al., 2008; Fichter, 2009; Köhler et al., 2013). Grewe and Stenke (2008) and Fichter (2009) systematically investigated annual mean effects of unified emissions on O<sub>3</sub>, CH<sub>4</sub>, H<sub>2</sub>O and contrails in terms of their altitudinal and latitudinal dependency, by identifying regions where emissions have the largest impact in a climatological sense. The paper by Grewe et al. (2014b), which is strongly related to the present study, showed exemplarily the impact of various aviation climate effects for one weather situation case study and demonstrated consequences for climate optimized aircraft trajectories by means of a flight planning tool.

However, none of the previous studies, considered the impact of various aviation effects in relation to the actual weather situation, location and altitude in detail. Except one case study by Grewe et al. (2014b), who focused on one specific weather pattern characterised by a strong jet stream in the North Atlantic region. Other representative weather patterns and their characteristic distribution of regions with higher or lower sensitivity to aviation emissions have not yet been presented. The present research



covers this aspect and makes a significant contribution to this knowledge gap by systematically investigating the altitude, location and weather dependency of aviation climate effects of O<sub>3</sub>, CH<sub>4</sub>, H<sub>2</sub>O and contrail-cirrus. Eight typical weather situations in the North Atlantic region are considered for winter and summer, which have been identified within the REACT4C project (https://www.react4c.eu/ and Irvine et al. (2013)). The present study provides an overview on these weather situations and systematically studies the effects and weather related differences in detail for various locations (latitude, longitude, altitude) and time of emission within the Northern Atlantic region. We have chosen the North Atlantic region as a study domain because the flight trajectories are not as constrained as over the continents. There are long distance flights which allow studying detours, there is sufficient air traffic making it worthwhile to study re-routing. But the traffic is not too dense to enable rerouting without generating too many conflicts with other flights. Additionally this study region is characterised by synoptical scale archetypical weather patterns, which allow creating a set of representative weather situations.

In the present study, first the methodology is presented how the weather related impact of a local emission on climate is calculated in a comprehensive climate chemistry model (Section 2). The weather situations which were used in the present study are described in Section 3. The resulting climate change functions (CCFs) are presented in Section 4. The results are discussed and an outlook is given how the CCFs could be used for planning of climate optimized aircraft trajectories (Section 5). Section 6 concludes with a short summary and ideas for future studies.

## 2 Model description

The ECHAM/MESSy Atmospheric Chemistry Model (EMAC, Jöckel et al. (2010, 2016)) is a numerical chemistry climate model system which implements submodels describing physical and chemical atmospheric processes, ranging from the troposphere up to the middle atmosphere and their interactions with the biosphere, hydrosphere and geosphere. The Modular Earth Submodel System (MESSy) couples the various submodels to the core atmospheric model ECHAM5 (Roeckner et al., 2006). Here, EMAC is used in a T42L41 spectral resolution, corresponding to a quadratic Gaussian grid of  $\sim 2.8^\circ \times 2.8^\circ$  in latitude and longitude, and 41 vertical layers from the surface to 5 hPa, which is a compromise between the level of detail within the simulation and the computational expense.

Within this study, EMAC is employed to calculate the atmospheric impact of standardised air traffic emissions at predefined longitudes, latitudes, altitudes and times. The efficient calculation of the specific climate impact for a number of emission locations is accomplished by means of the submodel ATTILA, a Lagrangian transport scheme (Reithmeier and Sausen, 2002; Brinkop and Jöckel, 2019). To calculate the contribution of a local emission to the chemical composition of the atmosphere and to the formation of contrails and contrail-cirrus, two newly developed submodels were implemented: AIRTRAC (v1.0) and CONTRAIL (v1.0) (Frömming et al., 2014). In the following the term contrail-cirrus is used for both, short lived line-shaped contrails and contrail-cirrus.

The location- and time-dependent specific climate impact per emission is referred to as climate change functions (CCFs). The CCFs are calculated for the assessment of re-routing options with a reduced climate impact. For a detailed description of the



125 methodology, the specific set up of the model and relevant submodels, the chemical and micro-physical details we refer to  
the companion model development paper of Grewe et al. (2014a), while in the present study we resume only the relevant in-  
formation and focus on the results. To derive these CCFs, a 4-dimensional time-region grid is defined. This time-region grid  
covers cruise altitude relevant pressure levels from 400 hPa to 200 hPa over the North-Atlantic area, in total yielding 168 grid  
points (see Table 1). At each of these time-region grid points, a pulse emission of  $\text{NO}_x$  and  $\text{H}_2\text{O}$  is released within one model  
130 timestep of 15 minutes. This is done for a number of representative weather situations (see Section 3). As the weather situation  
changes slightly during day, 3 different emission times (6, 12, and 18 UTC) are considered. An emission of  $5 \times 10^5$  kg NO  
( $=2.33 \times 10^5$  kg N) is released at each time-region. Regarding water vapour,  $1.25 \times 10^7$  kg  $\text{H}_2\text{O}$  is emitted at each time-region.  
 $\text{H}_2\text{O}$  loss is included proportionally to the precipitation rate. The emissions are distributed on 50 air parcel trajectories, which  
are (randomly distributed) started from within the EMAC grid box in which the time-region grid point lies. The air parcel  
135 trajectories transport the emissions and their products in a Lagrangian manner, while diffusive processes are considered by  
mixing of the air parcel trajectories with a multitude of background trajectories. More information about this mixing process,  
calculated by the submodel LGTMIX, can be found in the paper by Brinkop and Jöckel (2019). The Lagrangian approach  
has been chosen, as it facilitates the calculation of many time-regions in parallel. The background chemistry is calculated by  
the submodel MECCA (v3.2, Sander et al. (2011)), photolysis rates by the submodel JVAL (Sander et al., 2014). Non-methane  
140 hydrocarbon (NMHC) chemistry (up to four carbon atoms plus isoprene) is employed, reproducing the main features of the  
tropospheric chemistry (Houweling et al., 1998). The chemical loss and production rates are calculated for the unperturbed  
background, while the proportional contributions of the emitted species to the atmospheric mixing ratios of  $\text{NO}_y$  (all active ni-  
trogen species),  $\text{HNO}_3$ ,  $\text{O}_3$ ,  $\text{H}_2\text{O}$ , OH, and  $\text{CH}_4$  are calculated for each air parcel trajectory based on a tagging scheme (Grewe,  
2013; Grewe et al., 2014a, 2017). Atmospheric processes such as wash-out and dry deposition, are proportionally taken into  
145 account on the air parcel trajectories. The potential contrail coverage is calculated according to Burkhardt et al. (2008) and  
Burkhardt and Kaercher (2009). It indicates whether atmospheric conditions with respect to temperature and humidity enable  
the formation of persistent contrails for a representative kerosene fuelled aircraft with an overall propulsion efficiency of 0.3.  
This ability is transferred onto the air parcel trajectories. Then the actual contrail coverage is determined in dependency whether  
actual air traffic occurs in the respective grid box. Spreading, sublimation and sedimentation of ice particles are parameterized.  
150 Details are given by Grewe et al. (2014a) and Frömming et al. (2014). Because of its long perturbation life time, emissions of  
 $\text{CO}_2$  are assumed to be equally mixed within the atmosphere, the temporal evolution of the change in mixing ratio is calculated  
following Fuglestad et al. (2010) and Forster et al. (2007) as detailed by Grewe et al. (2014a).

This approach leads to a 4-dimensional distribution of mixing ratios of trace gases, coverage and optical properties of con-  
trails following a local pulse emission over an integration time of 90 days, covering most of the short-term responses, while  
155 longer term responses are covered by extrapolation. The impact of the perturbations in the energy balance are quantified by  
the radiation imbalance at the tropopause (radiative forcing, RF, Shine et al. (1990)). Positive RF will lead to climate warming  
and vice versa. The instantaneous radiative forcing at the tropopause is calculated directly within the submodel RAD4ALL  
(Dietmüller et al., 2016) for  $\text{O}_3$ ,  $\text{H}_2\text{O}$  and contrails. The stratosphere-adjusted RF, which allows stratospheric temperatures to  
adjust to the new equilibrium following the radiative imbalance, is derived as described in detail by Grewe et al. (2014a). The



**Table 1.** Overview of the time region grid points, where standardised emissions are released and specific local climate change functions are calculated.

latitudes [°N]	80	60	50	45	40	35	30
longitudes [°W]	75	60	45	30	15	0	
pressure levels [hPa]	200	250	300	400			

160 RF from CH<sub>4</sub> is determined from the CH<sub>4</sub> perturbation following the method described by Shine et al. (1990) and the RF from primary mode O<sub>3</sub> (PMO) is derived by applying a constant factor of 0.29 to the CH<sub>4</sub> RF as suggested by Dahlmann (2012). Regarding contrails, the difference between the adjusted and the instantaneous RF is marginal (Marquart et al., 2003), hence within the present study, the instantaneous RF is used. Because of the overall setup of the experiment, in some cases very small ice water contents were simulated. Several other radiation parameterisations are limited to ice water contents or optical depths  
165 ( $\tau$ ) exceeding a certain threshold (personal communication R. R. de Leon, MMU). Although for the model used here, no such validity range exists, in the present study, only contrails with  $\tau \geq 0.01$  are included, as it is suspected, that in some cases, very small optical depths in combination with small coverages may not yield correct short wave radiative forcings. The RF calculation for CO<sub>2</sub> is based on Fuglestedt et al. (2010) and includes a simple linearised conversion factor between the change in its atmospheric mass and the RF as provided by Grewe et al. (2014a).

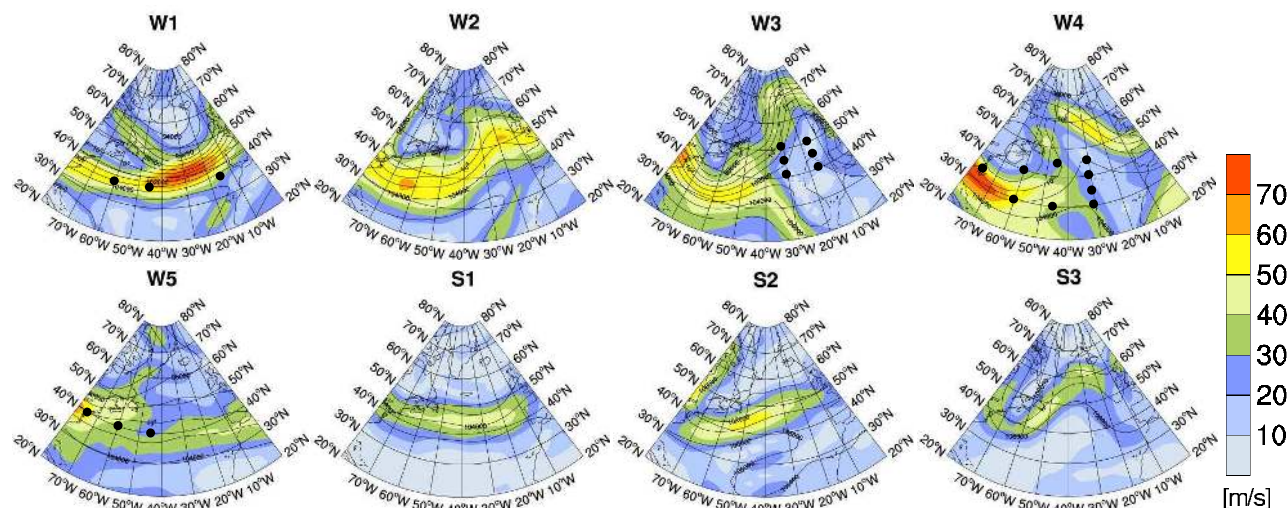
170 From RF various climate metrics can be derived by means of the climate-chemistry response model AirClim (Grewe and Stenke, 2008; Grewe and Dahlmann, 2015; Dahlmann et al., 2016). We use the average temperature response (ATR, Schwartz Dallara et al. (2011), which is based on the global mean temperature change integrated over a certain time horizon. The ATR is defined in Eq. 1, with the global mean temperature change  $\Delta T$  (K), the time  $t$  (years) and the time horizon  $H$  (years).

$$ATR_H = \frac{1}{H} \int_0^H \Delta T(t) dt \quad (1)$$

175 We choose a time horizon of 20 years, as we focus on the short-term effect of a climate-optimized re-routing strategy. Based on the RF calculations, other climate metrics could be calculated for other time horizons e.g. 20, 50, or 100 years (Fuglestedt et al., 2010), such as the absolute global warming potential (AGWP), or the absolute global temperature potential, which would give a wide range of CCFs. A temperature based climate metric has the advantage that it is both, used within the climate modeling community, but also understood by nonexperts. A discussion on the suitability of various metrics and time  
180 horizons regarding different research questions is given by Grewe et al. (2014a) and Grewe and Dahlmann (2015).

### 3 Weather situations

Figure 1 shows eight representative weather situations in the North Atlantic as determined within the EMAC model. These typical weather situations were defined according to the classification of Irvine et al. (2013). They represent the variability in



**Figure 1.** Geopotential height (black contours in gpm) and wind velocities in m/s (colourbar) at 250 hPa for five representative winter weather situations (W1–W5) and three summer situations (S1–S3) as simulated with EMAC using the classification of Irvine et al. (2013). The black dots mark the regions discussed in Section 4.2 and listed in Table A1. Please note that the maps in Figure 1 show a somewhat larger area for a better representation of the weather patterns, than the maps showing the CCFs in Figures 2, 6, 7, 10 and 11.

185 the North Atlantic in winter and summer. The patterns were determined by their similarity to the North Atlantic Oscillation (NAO) and East Atlantic (EA) teleconnection patterns and can be characterized by the strength and position of the jet stream. Five specific types are defined in winter. Weather situation W1 shows a strong zonal jet stream and a low pressure trough is dominating the North Atlantic. Weather situations W2 and W3 represent a meridionally tilted jet stream with either a weaker or stronger jet, respectively. Weather situation W4 is characterized by a ridge over the Eastern North Atlantic and the jet is confined to the western part of the North Atlantic. W5 shows the least similarity to the NAO and EA teleconnection patterns and the jet is weak and confined to the US coast. W5 is the most frequent weather situation in winter (26 days per season). Types W1 to W4 occur on average 15–19 days per winter (Irvine et al., 2013). In summer only three types are defined, because of weaker teleconnection patterns and a smaller variability of the jet. Weather pattern S1 represents a strong zonal jet stream, although the jet is weaker than in winter. Weather pattern S2 is characterized by a jet, which is weakly tilted towards northeast. 190 Weather pattern S3 shows a weak, but strongly tilted jet. Weather patterns S1 and S3 occur with similar frequency (19 and 18 days per summer, respectively). S2 is the most frequent type in summer (55 days per summer). For each of these 8 weather situations a representative day is selected, for which the weather dependent climate change functions were calculated. First results of CCFs for one specific weather situation (W1) were exemplarily shown by Grewe et al. (2014b). Here, an overview of the climate change functions for all representative winter and summer weather situations are presented and analysed in detail, 200 with particular focus on the differences between the weather situations.



## 4 Climate change functions

The climate change functions were calculated for eight representative weather situations and 168 emission regions listed in Table 1 (7 latitudes  $\times$  6 longitudes  $\times$  4 pressure levels) using the global chemistry climate model EMAC for episodic simulations. As the effects change during the course of the day, three emission times (6, 12, and 18 UTC) were considered. For the resulting 4032 time-regions (168 grid points  $\times$  3 timesteps  $\times$  8 weather situations) a total of 280 simulations were performed, using 13 500 CPU-h. For every time-region the development of contrails, the decay of H<sub>2</sub>O and the production and loss of O<sub>3</sub>, CH<sub>4</sub>, PMO and other trace gases were calculated as described in Section 2 and the respective radiative forcing and the average temperature response for a time horizon of 20 years (ATR20) was determined. Within this research paper we exemplarily show CCFs for the 250 hPa level and an emission time of 12 UTC. CCFs for other emission levels and times are presented in the supplement.

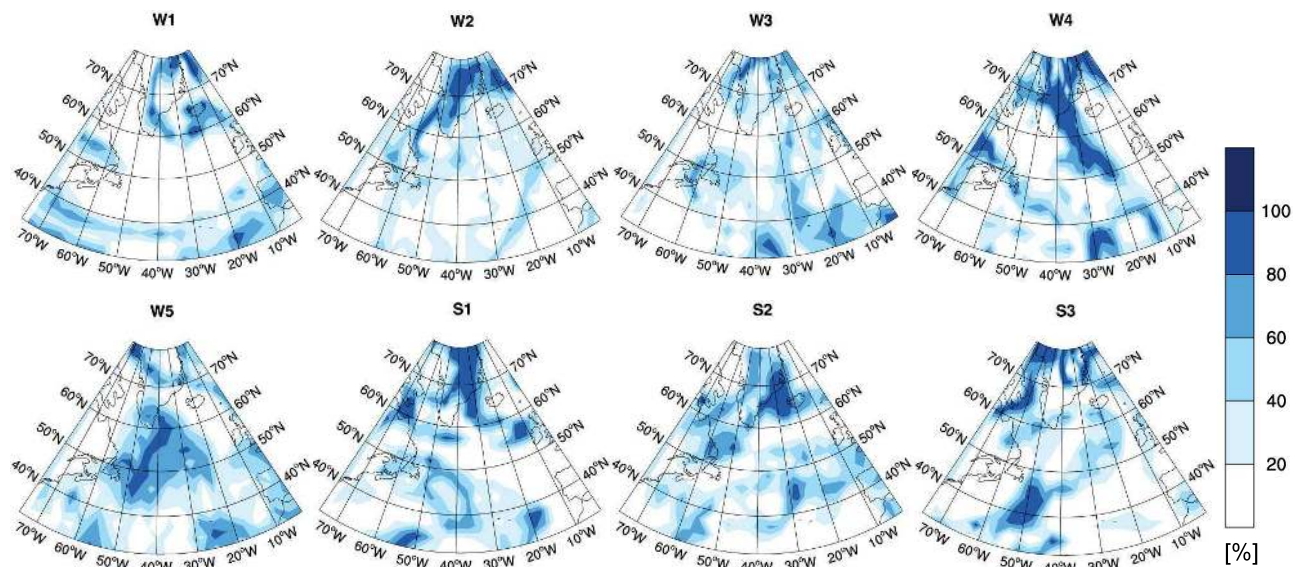
We describe the potential contrail coverage and contrail-cirrus CCF for all weather situations in section 4.1, the CCFs for O<sub>3</sub> and the combined effects of O<sub>3</sub> and CH<sub>4</sub> (total NO<sub>x</sub>) are presented in section 4.2 and the CCFs for H<sub>2</sub>O are described in section 4.3.

### 4.1 Contrail effects

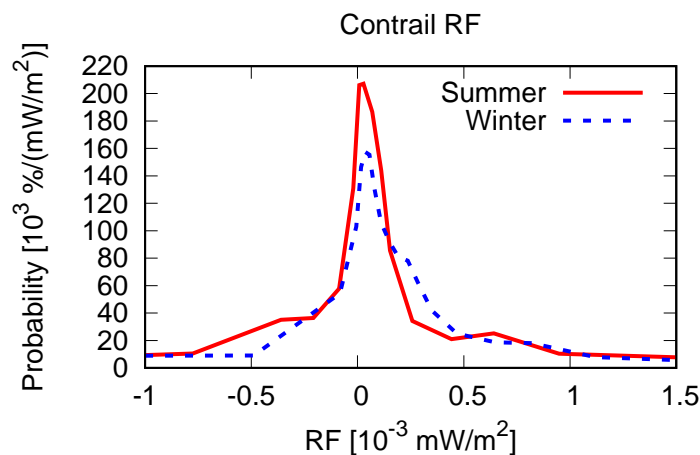
Figure 2 shows the potential contrail formation for the eight representative winter and summer weather situations as simulated with the EMAC model. The potential contrail coverage indicates the probability of atmospheric conditions enabling the formation of persistent contrails. When averaged over the year (not shown) maximum potential contrail coverage is found in the stormtrack region and over Greenland, whereas minimum potential coverage is found over Newfoundland. In our study region, the mean potential contrail coverage ranges from 20% – 36% at 250 hPa between the weather situations. The actual magnitude and distribution of potential contrail coverage depends on season and weather situation. Highest potential coverages are found in weather situations W4, W5 and S2 between 250 and 300 hPa. Minimum contrail formation is found at 400 hPa independent of the weather situation, since the temperature threshold for contrail formation is more frequently surpassed at that level, particularly at low latitudes. Common features found in the weather situations studied here, are an enhanced potential contrail coverage in the vicinity of Greenland, where saturation is induced by orographic lifting. Furthermore, enhanced potential contrail coverage is also found south of the jet stream, where the tropopause is higher, and in areas with strong meridional transport, e.g. around ridges, where air masses are lifted, which also leads to saturation. In contrast, comparably low potential coverages can be observed in the area of the jet stream (see Figure 2 e.g. W2, W3).

As described in section 2, aviation emissions are released in every time-region in our study area, resulting in contrail coverage if atmospheric conditions allow for it. The contrail coverage and ice water content is transported and evolves according to



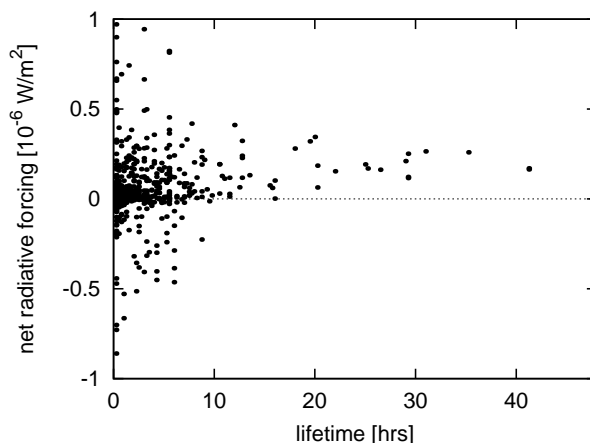


**Figure 2.** Potential contrail coverage in % at 250 hPa for eight representative weather situations.



**Figure 3.** Probability density function of contrail net RF for winter and summer weather situations.

spreading, sedimentation and sublimation. The span of contrail lifetimes ranges from 15 minutes to more than 24 h. A mean lifetime of contrails of  $3.5 \pm 5.3$  h was found for all considered weather situations. In winter, contrails exist on average 4.0 h, with mean lifetimes ranging from 1.9 h for W2 to over 5 h for W4 and W5. In summer, the mean lifetime of contrails is shorter (2.5 h) and similar for all weather situations. 78% of all contrails live less than 5 h, and only 7% of all contrails live longer than 10 hours. Contrail net RF is the sum of positive longwave and negative shortwave RF of similar magnitude (Ponater et al., 2002). Figure 3 shows the probability density function of contrail net RF, emphasizing that the majority of contrails within the



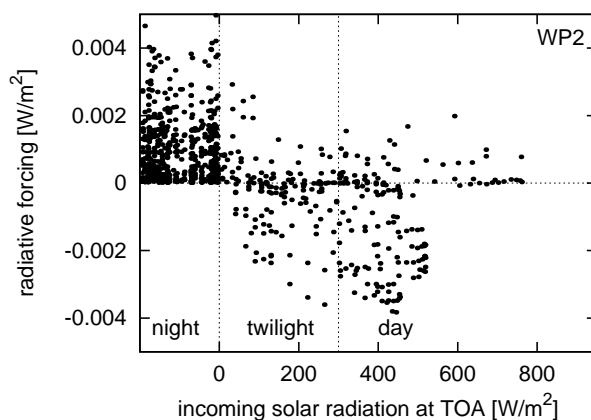
**Figure 4.** Instantaneous net radiative forcing of contrails relative to the contrail lifetime for winter and summer weather situations.

present study causes a mean positive net RF. The scatterplot of net RF versus contrail lifetime for all contrails in Figure 4 shows that a negative net RF may only occur for contrails with lifetimes less than 10 hours independent of the weather situation. This is due to the fact, that contrail RF may only be negative during daytime within a short timeframe (during and close to twilight, Meerkötter et al. (1999)), and the longer a contrail lives, the higher is the probability, that a larger amount of its lifetime lies outside this timeframe. The scatter plot in Figure 5 shows the instantaneous net radiative forcing relative to the actual, local day-/night-time for all timesteps over the whole contrail lifetime for all time-regions for weather pattern W2. At night (net top solar radiation = 0, horizontally spread for better readability), contrails cause only positive net radiative forcing. During twilight and daytime with low incoming solar radiation (up to  $\sim 500 \text{ Wm}^{-2}$ ), the largest spread of net radiative forcing is found, which can be both positive and negative, resulting from positive longwave and negative shortwave RF of similar extent. The strong shortwave cooling during twilight is caused by the flat angle of incidence and a comparably longer way through the contrails and therefore higher reflective impact (Meerkötter et al., 1999).

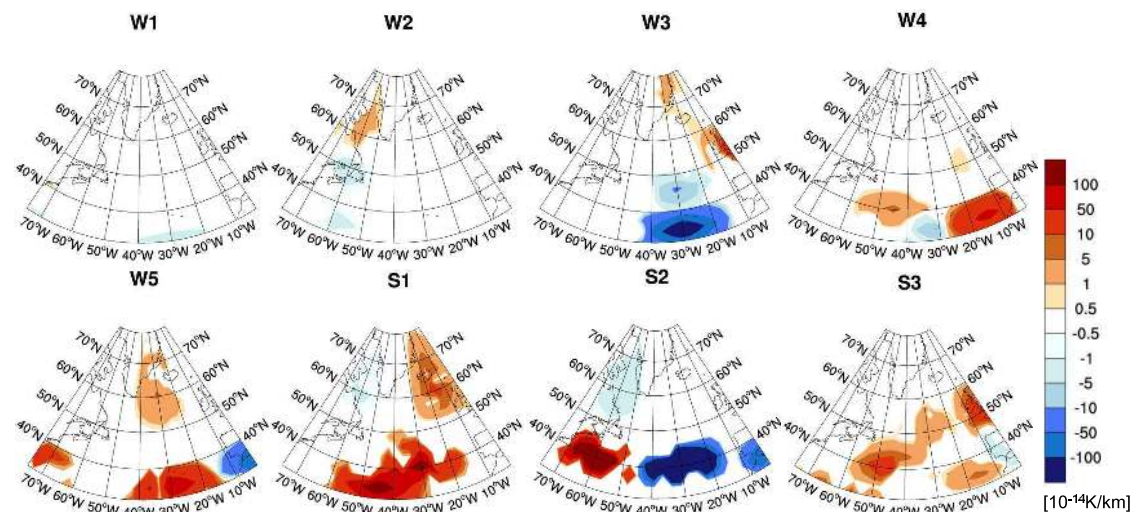
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To enhance the spatial resolution of the contrail-cirrus CCFs, the contrail RF, which is initially available on the resolution of the time region grid ( $15^\circ \times 5-20^\circ$ ), is masked with the information whether contrail-cirrus formation is possible at all (i.e. the potential contrail coverage), which is available at the finer spatial resolution of the EMAC model ( $\sim 2.8^\circ \times 2.8^\circ$ ). Figure 6 shows the climate change functions of contrail-cirrus in terms of ATR20 for all weather situations exemplarily at 250 hPa for an emission time of 12 UTC. Contrail-cirrus CCFs for other pressure levels and times are shown in the supplementary material. Overall, the CCFs of contrail-cirrus show a strong spatial and temporal variability and large differences with respect to the various weather situations. In general, the climate impact of contrail-cirrus may be both, positive or negative, and the sign of the instantaneous radiative forcing can even change during their lifetime. On average the positive radiative forcings dominate within all weather situations, indicated by positive CCF values. In winter, all contrails northwards of  $60^\circ \text{ N}$  have a warming

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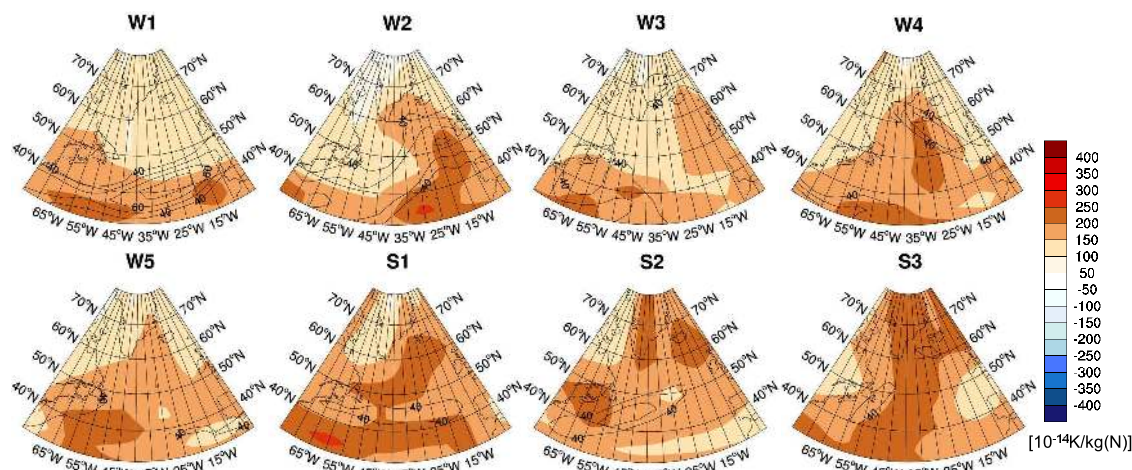


**Figure 5.** Instantaneous net radiative forcing at top of atmosphere (TOA) of individual contrails relative to the actual, local time (night, daytime, twilight) for weather pattern W2.



**Figure 6.** Climate change functions of contrail-cirrus at 250 hPa in  $10^{-14}$  K/km (flown) for 8 representative weather situations and an emission at 12 UTC. CCFs for other pressure levels and times investigated in this study are presented in the supplement.

260 climate impact as they are nighttime contrails in most cases. Similarities which indicate a significant relationship from the weather situation to the CCFs are not easily identified, although we find a few characteristic features: an enhanced climate impact (irrespective if positive or negative) south of the jet stream, in the vicinity of Greenland and in areas with strong meridional transport (e.g. around high pressure ridges in W3, W4). In contrast, close to the jet stream comparably low contrail-cirrus climate impact is found. Whether contrails have a positive or negative CCF depends mainly on the solar insolation (day/night)



**Figure 7.** Climate change functions of aviation induced  $O_3$  at 250 hPa in  $10^{-14} \text{K/kg(N)}$  for 8 representative weather situations and an emission at 12 UTC. The isolines show the windspeed in m/s ( $>40 \text{ m/s}$ ) at 250 hPa. CCFs for other pressure levels and times investigated in this study are presented in the supplement.

265 during their entire lifetime. If contrails have a cooling climate effect, a considerable part of their lifetime must exist during daytime. Contrails which exist only during night time only have a warming effect. This becomes apparent in the supplement, where contrail-cirrus CCFs for all emission times are shown. For example in W2, there is a warming contrail-cirrus area in the eastern Atlantic at 6 UTC, which is nighttime in that region. This CCF turns into a cooling contrail-cirrus area for emissions at 12 UTC (early morning hours in that region), while for emissions at 18 UTC (afternoon), the CCF becomes positive again.

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## 4.2 Nitrogen oxide effects

Figure 7 shows the climate change functions due to ozone ( $O_3$ ) changes induced by aviation  $NO_x$  emissions. The  $O_3$  CCF is always positive (= warming) and the spatial variabilities of the  $O_3$ -CCFs are significantly lower than those of contrail and contrail cirrus. The  $O_3$  CCF patterns show distinct similarities to the weather pattern (Figure 1). Larger  $O_3$  CCF values are found in the area of the jet stream, e.g. at  $35^\circ\text{N}$ ,  $50^\circ\text{W}$  in W1, or in the area of the high pressure ridges, e.g. reaching from the central or east Atlantic towards Iceland or Greenland in W2, W3 and W4, whereas low  $O_3$  CCFs are found at high latitudes in the winter weather patterns and in the area of low pressure troughs. These similarities between the weather patterns and the CCFs patterns indicate that the meteorological situation during the time of emission strongly influences the ozone production and thus the climate impact of the emitted  $NO_x$ . Our findings are supported by earlier climatological studies showing a higher  $O_3$  response for  $NO_x$  emissions at low latitudes (e.g. Berntsen et al., 2005; Köhler et al., 2008; Grewe and Stenke, 2008). Gauss et al. (2006) found an amplified seasonal variation of  $O_3$  effects for enhanced emissions at high northern latitudes. They



found a reduction of  $O_3$  for enhanced use of polar routes because of reduced or even absent photochemistry at high latitudes in winter, but an increase in total  $O_3$  for enhanced polar routes in summer, because an increased fraction of emissions is released in the lowermost stratosphere, where  $NO_x$  accumulates more efficiently, yielding an increase in total  $O_3$  burden.

285 To what extent different transport pathways affect the ozone production following an emission of  $NO_x$  is exemplarily illustrated in Figure 8, showing the evolution of ozone for two neighboring emission locations (A and B), both located at  $45^\circ$  N, but at different longitudes ( $30^\circ$  W and  $15^\circ$  W, respectively) and in different relation to the high pressure ridge in weather pattern W3. The air parcel starting at emission location A (left panel of Figure 8) is west of the high pressure ridge and stays at higher altitudes (above an altitude of 350 hPa in the first weeks) and at higher latitudes (northward of  $30^\circ$  N). Whereas the air parcel

290 starting at B, located in the high pressure ridge, is transported southwards and downwards after emission and stays at  $\sim 30^\circ$  N and below an altitude of  $\sim 300$  hPa until the end of February. During that time the ozone mixing ratio increases strongly and remains at around 30 to 40 nmol/mol. Whereas the air parcel starting at A (west of the high pressure ridge) experiences only moderate ozone production because of the scarce availability of sunlight at high latitudes in January and February, yielding an ozone mixing ratio of only 15 to 20 nmol/mol. This example demonstrates the importance of the emission location and of

295 the meteorological conditions during emission and subsequent transport pathways towards different chemical regimes: High photochemical  $O_3$  production occurs for transport pathways towards lower latitudes and altitudes whereas only moderate  $O_3$  production is found for transport pathways towards high altitudes and latitudes.

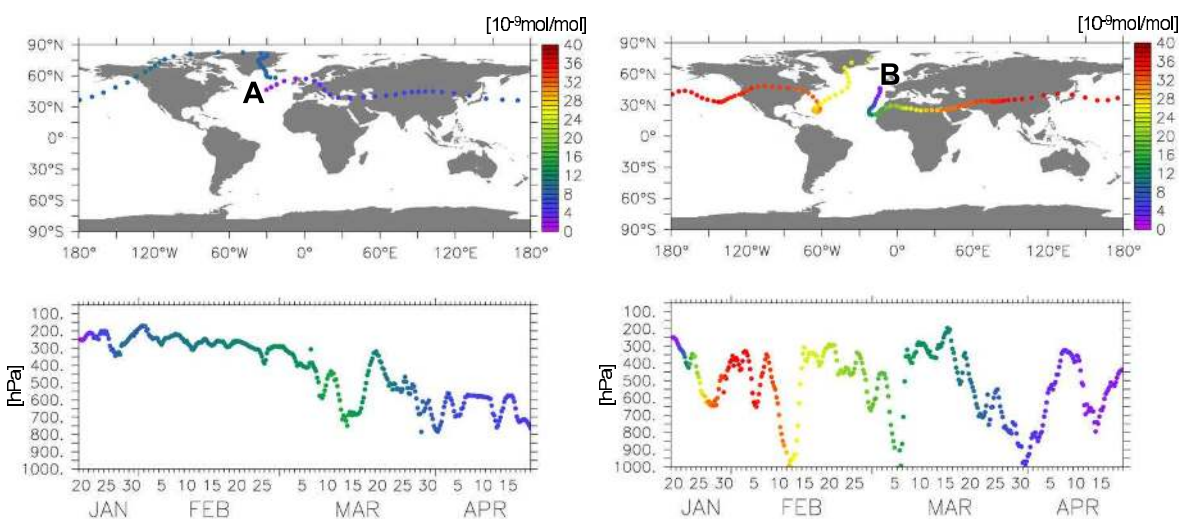
In order to better understand how the prevailing meteorology during an emission event and the subsequent transport pathways

300 influence the  $O_3$  CCFs, we analyse in detail where and when the bulk  $O_3$  increase occurred for trajectories started in different meteorological situations. In the following this is referred to as the  $O_3$  gain latitude, altitude and time. We identify three regions, which are exemplarily studied for W1, W3, W4 and W5, having either a pronounced high pressure ridge (W3, W4) or a zonally oriented jet stream (W1, W4, W5) in common. The regions discriminated in this analysis are:

- a In the high pressure ridge,
- 305 b west of the high pressure ridge, and
- c at high  $O_3$  CCF regions near the jet stream

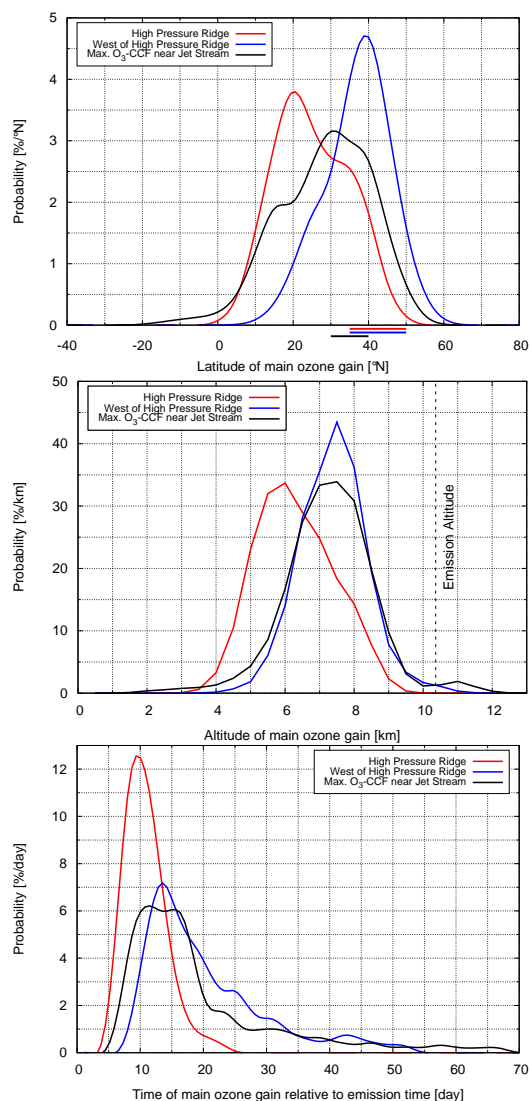
(see Appendix A for their definition). Figure 9 (top) shows the probability density function of the  $O_3$  gain latitude for W1, W3, W4 and W5 based on 300 and 450 trajectories for the high pressure ridge and jet stream related situations, respectively (see Tab. A1 and black dots in Figure 1 for the trajectory starting points). All three meteorological situations show a wide

310 spread of the ozone gain latitude between  $0^\circ$  and  $60^\circ$  N. However, there is a clear difference in the pdfs of the main ozone gain latitude (Figure 9 (top)) for the trajectories started in the area of the high pressure ridge with a major mode at  $20^\circ$  N (red curve) compared to the trajectories started west of the high pressure ridge with a major mode at  $40^\circ$  N (blue curve). Emissions released within the high pressure ridge have a larger probability to be transported towards the tropics compared to trajectories started at the same latitude but west of the ridge, which stay at higher latitudes or are transported even northwards. The ozone gain



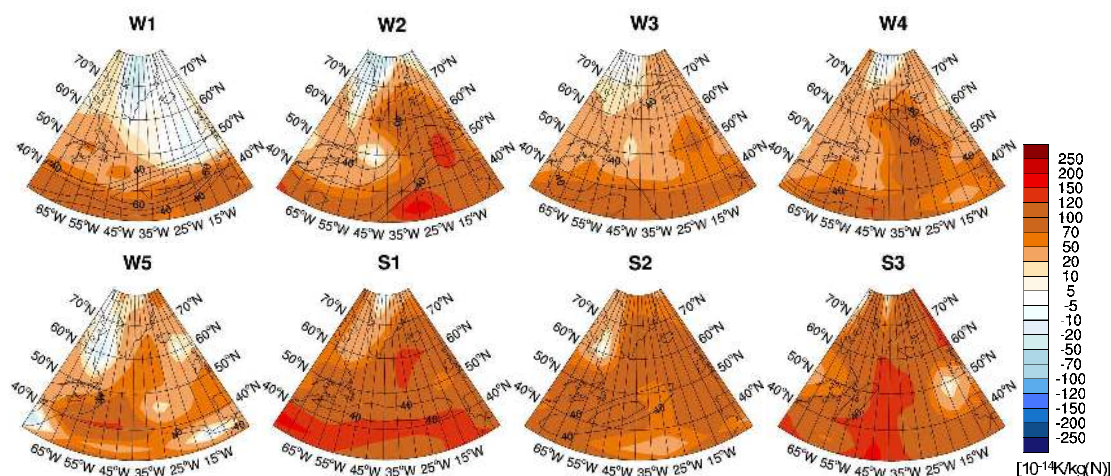
**Figure 8.** Evolution of the ozone mixing ratio along air parcel trajectories for two different emission locations in weather pattern W3: A ( $45^\circ$  N and  $30^\circ$  W, left) which is west of the high pressure ridge and B ( $45^\circ$  N and  $15^\circ$  W, right) which is in the high pressure ridge. Top: Geographical location of the air parcel in 6 hour intervals, the colour indicates the ozone mixing ratio [ $10^{-9}$  mol/mol]. Bottom: Temporal evolution of the altitude of the air parcels. For reasons of clarity, the top panels show a shorter period than the bottom panels (A:  $\sim 15$  days, B:  $\sim 27$  days, i.e. the time in which the air parcel surrounded the earth once).

315 latitude of trajectories starting west of the high pressure ridge is close to the latitude of the emission. The ozone gain altitude  
and time (Figure 9, mid and bottom) shows a main mode at 6 km and 10 days for trajectories starting in the high pressure ridge  
(red curve) and 7.5 km and  $\sim 15$  days for trajectories starting west of the ridge (blue curve), respectively. Note that the shape of  
the pdfs differs significantly. The air parcels starting in the high pressure ridge experience the ozone gain earlier and at lower  
altitudes than the air parcels starting west of the ridge, which experience ozone gain for a much longer period and at higher  
320 altitudes. As known from general meteorology, the transport pathways are controlled by the location of air parcels relative to  
the Rossby waves, leading to transport into different chemical regimes, such as the tropical mid troposphere or the mid to high  
latitude lowermost stratosphere, which are characterised by a high or low chemical activity, respectively. In a companion paper  
(Rosanka et al., 2020) the interdependency of the time and magnitude of the  $O_3$  maximum to the containment of air parcels  
within high pressure systems during emission has been further analyzed. They detected a high correlation of early  $O_3$  maxima  
325 when air parcels were released within high pressure systems and found, that high  $O_3$  changes were only possible for early  $O_3$   
maxima. The  $O_3$ -CCF values in the vicinity of the jet stream are of similar magnitude as the values in the area of the high  
pressure ridges. The pdfs of the main ozone gain latitude, altitude and time of the trajectories started in the vicinity of the jet  
stream (Figure 9, black line) look similar to the pdfs of the region west of the high pressure ridges, but show a large variability  
among the three weather patterns W1, W4, and W5 (not shown). For example in W4 the jet stream is split (Figure 1), which



**Figure 9.** Weighted probability density function of the main ozone gain latitude (top), altitude (mid), and time (bottom) for 3 different regions: In the high pressure ridge (red), west of the high pressure ridge (blue), and in the area of large  $O_3$ -CCF values near the jet stream (black) (for information on regions and weighting see Appendix A, Tab. A1 and black dots in Fig. 1). The location of emission release is indicated by bars.

330 leads to a bi-modal distribution ( $15^\circ N$  and  $35^\circ N$ ) of the main ozone gain latitude, whereas in W1, which is characterized by a strong zonal jet stream the pdf is unimodal and very narrow with a peak at  $30^\circ N$ . This again emphasizes the importance of analyzing location and weather dependent aviation effects, and at the same time supports the potential of finding similarities



**Figure 10.** Climate change functions of aviation induced total  $\text{NO}_x$  ( $\text{O}_3 + \text{CH}_4 + \text{PMO}$ ) at 250 hPa in  $10^{-14} \text{K/kg(N)}$  for 8 representative weather situations and an emission at 12 UTC. The isolines show the windspeed in m/s ( $>40$  m/s) at 250 hPa. CCFs for other pressure levels and times investigated in this study are presented in the supplement.

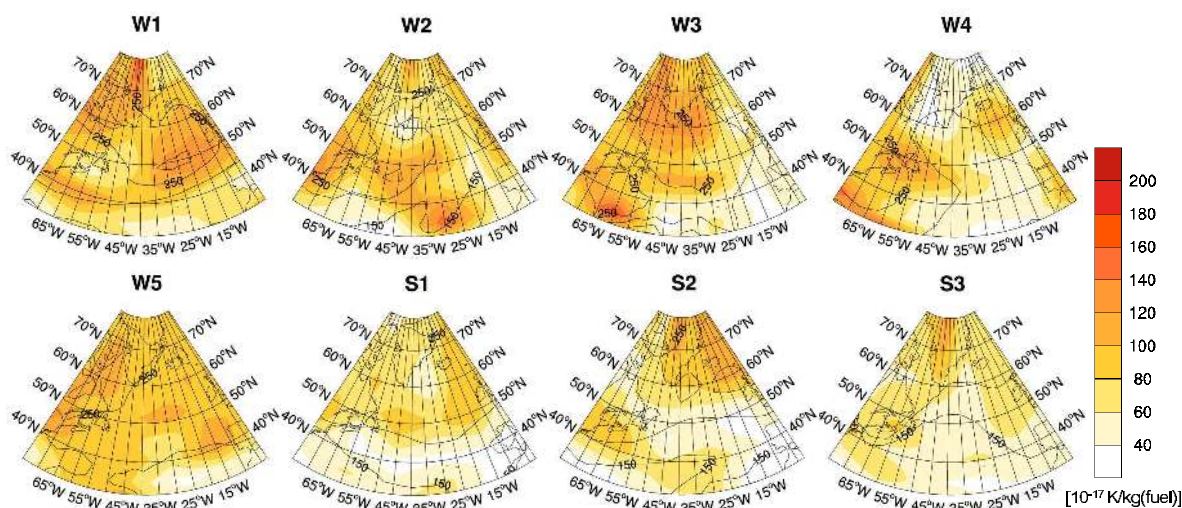
between corresponding weather patterns.

Figure 10 shows the combined CCFs induced by nitrogen oxide ( $\text{NO}_x$ ) emissions from aviation. Aviation emissions of  $\text{NO}_x$  cause an increase of  $\text{O}_3$ , a decrease of  $\text{CH}_4$  and a methane-induced decrease of  $\text{O}_3$  (PMO), in the following we denote these combined effects as total  $\text{NO}_x$  effect. The total  $\text{NO}_x$  effect is a combination of increased and reduced warming climate effects of similar magnitude. Depending on the size of the individual effects, the total  $\text{NO}_x$ -CCF may be either positive or negative. Similarities between the pattern of  $\text{NO}_x$ -CCF and the weather pattern (Figure 1) are found, with high positive values in the area of the jet stream and in the area of high pressure ridges, and low or even negative CCFs at high latitudes and in the area of low pressure troughs. The variability of the pattern is somewhat less pronounced than for  $\text{O}_3$ . Total  $\text{NO}_x$  effects show a minimum at  $\sim 250$  hPa, increasing towards higher and lower altitudes, with a higher (more warming) impact in summer than in winter (see supplement).

### 4.3 Water vapour effects

Figure 11 shows the CCFs for  $\text{H}_2\text{O}$  in terms of ATR20 for all weather situations exemplarily at 250 hPa for an emission time of 12 UTC. For this emission altitude (250 hPa) the climate impact varies by approximately one order of magnitude. The variability shows a pattern which clearly reflects the weather situations shown in Figure 1. Where the high pressure ridges induce a higher tropopause altitude (in W2, W3, W4, S3), the emitted  $\text{H}_2\text{O}$  will rain out more quickly, thus having a shorter residence time, which leads to a lower climate impact of  $\text{H}_2\text{O}$  emissions compared to the regions east or west of the high pressure ridge. Contrary, low pressure troughs correspond to a lower tropopause, thus emissions are released closer to or even





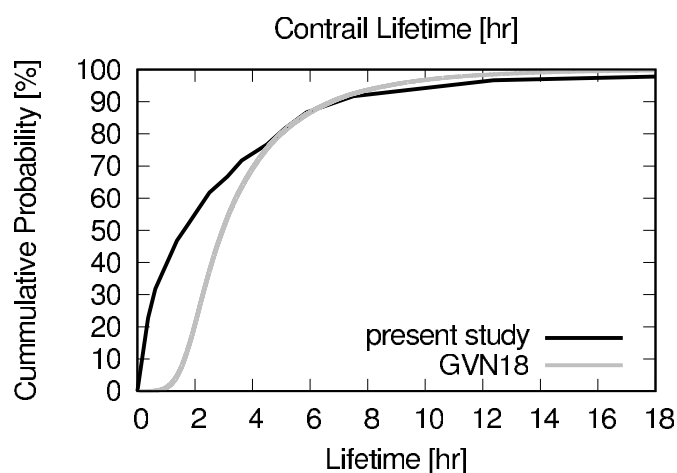
**Figure 11.** H<sub>2</sub>O climate change functions for the 8 individual weather situations and an emission at 12 UTC at 250 hPa. CCFs for other pressure levels and times investigated in this study are presented in the supplement. The isolines show the height of the tropopause in hPa.

350 in the lowermost stratosphere, where they have a longer residence time and thus a higher climate impact. In summer (S1, S2, S3), the H<sub>2</sub>O-CCF is considerably smaller than in the winter weather patterns, because of a higher tropopause height, stronger convective overturning, and thus shorter H<sub>2</sub>O lifetimes. In general, the distance of the emission altitude to the actual tropopause largely controls the climate impact of H<sub>2</sub>O emissions, as will be discussed in Section 5. Generally, H<sub>2</sub>O CCFs increase with height, and are higher in winter than in summer (see supplement).

## 355 5 Discussion

In the previous sections we have shown that there exist large weather related differences of non-CO<sub>2</sub> aviation climate effects. We could identify systematic weather related similarities. Regarding contrail-cirrus, we found enhanced potential coverage in areas where largescale lifting of air masses occurs. Close to the jet stream low potential coverage was observed. These general findings are supported by the study of Irvine et al. (2012), who analysed the distribution of ice-supersaturated regions (ISSRs) in similar weather situations within 20 years of ERA Interim Data (European Centre for Medium-Range Weather Forecasts RE-analysis Interim Data, Dee et al. (2011)). The exact manifestation of potential contrail coverage varies with the characteristic features of the weather situation. In general, our findings correspond well with the potential contrail cirrus coverage simulated by Burkhardt et al. (2008), given that their numbers (17%–21%) for 230–275 hPa comprise only 30–60°N. Regarding contrail lifetimes, our mean lifetimes of  $3.5 \pm 5.3$  h agree well with the estimates of Gierens and Vazquez-Navarro (2018), who determined the complete lifetime of persistent contrails to be  $3.7 \pm 2.8$  h by applying an automatic tracking algorithm in combination with statistical methods to one year of Meteosat-SEVIRI data over Europe and the North-Atlantic. In their

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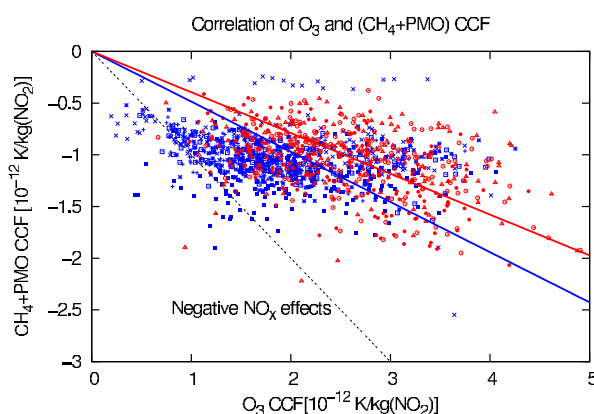


**Figure 12.** Cumulative probability of contrail lifetimes for all weather situations in comparison to Gierens and Vazquez-Navarro (2018) (=GVN18).

example, 80% of contrails had a lifetime smaller than 5 h and 5% lived longer than 10 h. Figure 12 compares the cumulative probability density function of lifetimes of both studies, illustrating that in the present study, a comparably larger fraction of contrails has lifetimes below 3 h. Nevertheless, both, the initial as well as the final stages of contrail lifetimes, had to be estimated by Gierens and Vazquez-Navarro (2018), as they could not be observed by satellite platforms. Ice water contents and optical depths for contrails of the REACT4C study were already presented in Grewe et al. (2014a), and were found to compare well with other studies, e.g. Kärcher et al. (2009); Frömming et al. (2011); Voigt et al. (2011).

Whether the climate impact of contrails and contrail cirrus is warming or cooling in the respective situation is complex and involves detailed knowledge about e.g. contrail optical properties, contrail lifetimes, solar zenith angle, ambient cloud coverage and surface properties below the contrail (e.g. Schumann et al., 2012). In General, enhanced climate impact of contrail-cirrus (irrespective if positive or negative) was detected south of the jet stream, in the vicinity of Greenland and in areas with strong meridional transport, whereas comparably low contrail-cirrus climate impact is found close to the jet stream.

Regarding  $O_3$ - and total  $NO_x$ -CCFs, we identified high positive values in the area of the jet stream and in the area of high pressure ridges, whereas low or even negative CCFs were found at high latitudes and in the area of low pressure systems. In general, the climate impact is higher in summer than in winter, because of reduced photochemistry due to missing sunlight in winter. These findings are in qualitative agreement with earlier climatological studies showing higher responses for  $NO_x$  emissions at low latitudes and lower or even negative effects at high latitudes (e.g. Berntsen et al., 2005; Köhler et al., 2008; Grewe and Stenke, 2008; Köhler et al., 2013) and comparable seasonal effects (e.g. Gauss et al., 2006). A correlation between the climatological response of  $O_3$  and  $CH_4$  to  $NO_x$  emissions has been shown in many studies (e.g. Lee et al., 2010; Holmes et al., 2013; Köhler et al., 2013). Our data demonstrate that this relation holds also when regarding individ-

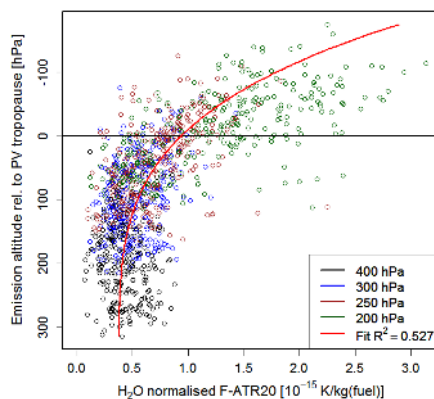


**Figure 13.** Correlation of  $O_3$ -CCFs and the  $CH_4$ - and PMO-CCFs. The dashed line indicates the transition, where the total  $NO_x$  effect becomes negative. The symbols indicate the different weather patterns (blue = winter, red = summer). The blue and red lines show a linear fit for winter and summer weather patterns, respectively.

ual weather situations. Figure 13 shows the  $O_3$ -CCFs and the combined  $CH_4$ -PMO-CCFs for all weather situations. A clear correlation is found, indicating that actual weather influences both effects in a similar way, with large or small positive values of  $O_3$ -CCFs correlated with large or small negative  $CH_4$ - and PMO-CCFs. However, the variability of the  $O_3$ -CCFs ( $\pm 1.5 \cdot 10^{-12} \text{ K/kg}(\text{NO}_2)$ ) is about a factor of 3 larger than the combined  $CH_4$ /PMO variability ( $\pm 0.5 \cdot 10^{-12} \text{ K/kg}(\text{NO}_2)$ ).

The  $H_2O$ -CCFs were also found to be closely related to the actual weather pattern. In regions with higher tropopause altitudes the emitted water vapour has a shorter atmospheric residence time and thus a lower climate impact, whereas in regions with lower tropopause height, the emitted water vapour has a longer residence time and a higher climate impact. In the summer situations, the  $H_2O$ -CCFs are generally smaller than in winter because of enhanced convective activity (larger vertical mixing) and subsequent rainout of  $H_2O$  and a generally higher tropopause height. Figure 14 shows the correlation of  $H_2O$ -CCFs to the emission altitude relative to the tropopause. The  $H_2O$  emission from 1 kg fuel occurring below the tropopause, yields a warming of approximately  $0.5 \cdot 10^{-15} \text{ K}$ , whereas the same emission above the tropopause leads to a warming of around 1 to  $3 \cdot 10^{-15} \text{ K}$ . In general, the distance of the emission altitude to the actual tropopause largely controls the climate impact of  $H_2O$  emissions. The higher the water vapour emissions are released (relative to the tropopause), the longer it takes until this water vapour enters the troposphere and will eventually be rained out, i.e. the longer is its residence time. These findings are supported by earlier studies regarding the climate effect of water vapour emissions from aviation in a climatological sense (e.g. Grewe and Stenke, 2008; Fichter, 2009; Frömming et al., 2012; Wilcox et al., 2012).

Our findings are in agreement with earlier studies, which investigated the altitude and latitude dependency of annual mean or seasonal non- $CO_2$  aviation effects (e.g. Gauss et al., 2006; Köhler et al., 2008; Grewe and Stenke, 2008; Fichter, 2009; Frömming et al., 2012; Köhler et al., 2013). Furthermore, as far as comparable, our findings are also in qualitative agreement with studies which investigated the avoidance of contrails (e.g. Mannstein et al., 2005; Sridhar et al., 2011; Chen et al., 2012;



**Figure 14.** Correlation of water vapour climate change functions [K/kg(fuel)] with emission altitude difference relative to the actual tropopause. Pressure levels of the various emission altitudes are distinguished by different colours. A fit function is indicated by the red line.

Irvine et al., 2014; Zou et al., 2016; Hartjes et al., 2016; Yin et al., 2018a), although the present study does not optimize trajectories but is only setting the scene. These previous studies indicated a strong reduction potential but were only valid for the actual situation and could not be transferred to other situations.

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The weather situations which were selected in our study occurred in the months December to February and June and July. Although our findings might be transferable to other seasons, future studies should look at special features, which might occur in other months. In the present study, we have explicitly excluded the direct and indirect climate impact of aviation aerosols. The status of knowledge on indirect aerosol effects is not considered being mature enough to be included in such a study. This will be covered in future projects.

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It is essential to note that uncertainties are associated with individual climate change functions presented in this study. However, for the application of these data in terms of optimisation of flight trajectories not the exact value of climate impact is crucial, but the relation of the individual components and their spatial and temporal variability. A detailed study on the sensitivity of routing changes to uncertainties in the climate change functions had been performed by Grewe et al. (2014b), who found differences in the reduction potentials but similar optimal routes.

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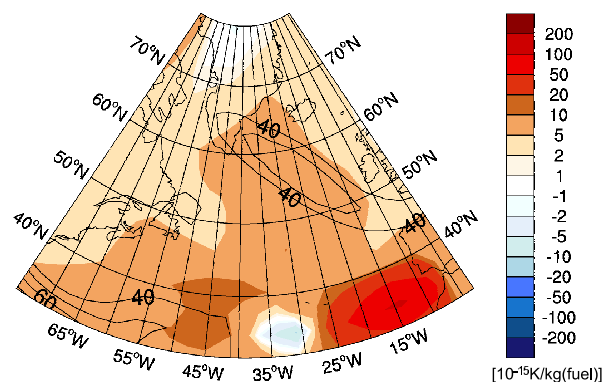
The CCFs of the individual species show the sensitivity of the atmosphere to non-CO<sub>2</sub> aviation emissions. If flight trajectories were rerouted to reduce climate impact by avoiding the most sensitive regions, possible tradeoffs between individual species need to be considered. With the present study, these tradeoffs can be estimated in a consistent way as the effects of all species are represented by means of a consistent metric. For the first time, a comprehensive data set is available for various species, pressure levels, emission times and a multitude of weather situations. During optimization, the characteristic effects of all species can be equally compared or individually be assigned with different weights. As a first step for a rough comparison,

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all CCFs are converted to K/kg(fuel). We multiply the contrail-cirrus CCF by a typical specific range value for transatlantic flights of 0.16 km/kg(fuel) (Graver and Rutherford (2018) and personal communication F. Linke, DLR). Similarly, the total  $\text{NO}_x$ -CCF is converted using a typical emissions index of  $\text{NO}_x$  of 13 g( $\text{NO}_2$ )/kg(fuel) (Penner et al., 1999). Figure 15 shows the merged CCFs of contrail-cirrus, total  $\text{NO}_x$  and  $\text{H}_2\text{O}$  exemplarily for weather situation W4 at 250 hPa and 12 UTC. When comparing these merged CCFs to individual components reveals clearly, that contrail-cirrus and  $\text{O}_3$ -CCFs are the dominating non- $\text{CO}_2$  effects. A hypothetical climate optimized transatlantic flight (which will stay on this pressure level for simplification) would certainly try to avoid the area with high positive CCFs in the eastern Atlantic between 30 and 40°N, which is due to warming contrail-cirrus. Further this flight trajectory will probably find a compromise between avoiding long distances through enhanced climate warming areas and at the same time avoiding long detours as these would induce a penalty with respect to  $\text{CO}_2$ -CCF. However, situations are conceivable, where extensive areas with cooling contrails occur (similar to the negative CCF area in the central Atlantic), which flight trajectories might purposely seek during optimization to minimize their overall climate impact. We emphasize, that this is a very simplified example to illustrate the concept. The optimization of weather dependent flight trajectories with respect to minimum climate impact is much more complex. However, such an optimization goes clearly beyond the scope of the present study. Nonetheless, the data from the present study are a comprehensive and valuable basis for weather dependent flight trajectory optimization with minimum climate impact. Some of the studies, based on the present data have already been published (e.g. Grewe et al., 2014b; Niklass et al., 2017; Yin et al., 2018b; Yamashita et al., 2019), others are in preparation.

Common features of the non- $\text{CO}_2$  CCFs facilitate the usage of our results for the development of more generalized algorithmic climate change functions (aCCFs). If CCFs were intended to be used for actual climate optimal flight planning, it would be necessary to predict the sign and magnitude of individual CCFs for the actual weather situation. Due to excessive use of computing time, it is not possible to calculate CCFs in detail for any actual situation. A procedure would be necessary to bypass detailed simulations. On the basis of specific CCFs from the present study, more generic Climate Change Functions, so called algorithmic Climate Change Functions (aCCFs) were developed. These algorithms facilitate the prediction of CCFs by means of instantaneous meteorological data from weather forecasts without the necessity of computationally extensive recalculation of CCFs by means of chemistry-climate model simulations. This was the aim of the related studies by Van Manen and Grewe (2019) and Yin et al. (2020). A number of assumptions and simplifications were necessary for such an approach. Nevertheless, algorithms have been devised for the prediction of  $\text{O}_3$ -,  $\text{CH}_4$ -,  $\text{H}_2\text{O}$ - and contrail-cirrus CCFs. These aCCFs would facilitate weather related climate optimized planning of flight trajectories for any weather situation. Such a modelling study has been performed by e.g. Yamashita et al. (2019), who implemented the aCCFs in a flight planning tool, to optimize flight trajectories with regard to various objective functions.



**Figure 15.** Merged climate change functions in  $10^{-15}$  K/kg(fuel) of aviation induced total  $\text{NO}_x$ , contrail-cirrus and  $\text{H}_2\text{O}$  at 250 hPa exemplarily for weather situation W4 and an emission at 12 UTC.

## 6 Conclusions

The model configuration and methodology to generate spatially and temporally resolved information on the sensitivity of the atmosphere to local aviation emission, which has been employed in this research paper, is, to our knowledge, unique. We investigated the influence of different weather situations on non- $\text{CO}_2$  aviation emissions' climate impact. Our results are 4D-climate change functions, which describe the climate impact of flown distance and local emissions of  $\text{NO}_x$  and  $\text{H}_2\text{O}$ , affecting contrail-cirrus and the mixing ratios of the greenhouse gases  $\text{O}_3$ ,  $\text{CH}_4$  and  $\text{H}_2\text{O}$ . We studied the impact of local emissions for eight different representative weather situations and for three points in time per day, resolving the temporal evolution of the weather system. The main objective was to derive systematic relationships between aviation climate impact and prevailing weather situation and emission location. For all non- $\text{CO}_2$  species included in the study, we found distinct weather related differences in their associated CCFs. We found an enhanced significance of the position of emission release in relation to high pressure systems, in relation to the jet stream, in relation to the altitude of the tropopause, and in relation to polar night. The results of this study represent a comprehensive dataset for studies aiming at weather dependent flight trajectory optimization reducing total climate impact. The dominating non- $\text{CO}_2$  effects were found to be contrail-cirrus and impacts induced by  $\text{NO}_x$  emissions on average, however, this might deviate temporally and regionally.

For an implementation of climate change functions in actual flight planning it would be necessary to accurately predict the sign and magnitude of individual CCFs for the actual weather situation. This can possibly be pursued by means of more generic aCCFs, which facilitate the prediction of CCFs by means of instantaneous meteorological data (e.g. Matthes et al., 2017). These aCCFs have to be verified and first verification results for the  $\text{O}_3$ -aCCFs are promising (Yin et al., 2018b). However, to improve the quality of these predictions, more knowledge has to be gained, particularly with respect to the transition of warming to cooling climate effects from contrail-cirrus and total  $\text{NO}_x$  impacts. Further evaluation and quantitative estimates on uncertainties



require additional comprehensive climate-chemistry simulations. Furthermore, better understanding of the tradeoffs between different effects (e.g. transport versus chemistry) or different species is essential. It might also be useful to focus on evaluating what might be the most promising regions to bypass, in other words, where total climate impact is highest and easiest to avoid or at lowest additional cost.

*Code and data availability.* The Modular Earth Submodel System (MESSy) is continuously further developed and applied by a consortium of institutions. The usage of MESSy and access to the source code is licenced to all affiliates of institutions which are members of the MESSy Consortium. Institutions can become a member of the MESSy Consortium by signing the MESSy Memorandum of Understanding. More information can be found on the MESSy Consortium Website (<http://www.messy-interface.org>). The results presented here have been obtained with a modified MESSy version 2.42, these modifications became part of the official release of MESSy version 2.50. The results presented in this work are archived at DKRZ and are available on request. A part of the data is available via the REACT4C project home page (<https://www.react4c.eu/>).

#### Appendix A: Calculation of the main ozone gain latitude, altitude, and time

The main ozone gain latitude ( $\phi_j$ ) of an emission location (identified with the index  $j$ ) is defined as the mean latitude at which the air parcel trajectories experience most of the ozone increase. Accordingly, the main ozone altitude and time are defined as the mean altitude and time at which the air parcel trajectories experience most of the ozone increase, respectively. In the following, we exemplarily define how the ozone gain latitude is derived, the other quantities are obtained by replacing latitude by altitude and time, respectively. The air parcel trajectories (identified with the index  $i$ ) will contribute different shares to the total ozone gain latitude. The ozone gain ( $O_3^{Gain_i}(t)$ ) along an air parcel trajectory is defined as the increase in  $O_3$  from the previous to the current time step  $t$  (for a decrease in  $O_3$ , the ozone gain is set to 0). The contribution  $A_{j,i}$  of a single trajectory  $i$  to the latitude of the main ozone gain (=ozone gain latitude) for the emission location  $j$  is given by

$$A_{j,i} = \int \frac{O_3^{Gain_i}(t) \cdot \phi_i(t)}{\sum_{i=1}^{50} \int O_3^{Gain_i}(t) dt} dt, \quad (A1)$$

where  $\phi_i(t)$  is the latitude of the trajectory  $i$  at time  $t$ . By taking the sum of the contributions  $A_{j,i}$  of all trajectories  $i$  starting at emission location  $j$ , the latitude of the main ozone gain is

$$\phi_j = \sum_{i=1}^{50} A_{j,i}. \quad (A2)$$

The weights ( $w_{j,i}$ ) for each trajectory to the ozone gain latitude are calculated by combining Equation (A1) and (A2):

$$w_{j,i} = A_{j,i} / \phi_j. \quad (A3)$$



**Table A1.** Overview of all considered locations for the weighted probability density functions.

High Pressure Ridge		West of High Pressure Ridge		Max. O <sub>3</sub> -CCF near Jet Stream		
W3	W4	W3	W4	W1	W4	W5
40° N, 15° W	35° N, 30° W	40° N, 30° W	35° N, 75° W	35° N, 60° W	30° N, 60° W	40° N, 75° W
45° N, 15° W	40° N, 30° W	45° N, 30° W	40° N, 60° W	35° N, 45° W	30° N, 45° W	40° N, 60° W
50° N, 15° W	45° N, 30° W	50° N, 30° W	45° N, 45° W	35° N, 15° W	30° N, 30° W	40° N, 45° W

505 A similar procedure is used to calculate the latitude of ozone gain for each single trajectory  $\phi_{j,i}$ :

$$\phi_{j,i} = \int \frac{O_3^{Gain_i}(t) \cdot \phi_i(t)}{\int O_3^{Gain_i}(t) dt} dt. \quad (A4)$$

The main difference between  $\phi_j$  and  $\phi_{j,i}$  is the weighting of the latitude. For  $\phi_{j,i}$  the ozone gain of a single trajectory is taken into account, whereas for  $\phi_j$  the ozone gain of all trajectories started at the emission region  $j$  is taken into account. Equation (A3) and (A4) define a data set containing the contribution and the latitudinal location of the main ozone gain for each trajectory. Based on these data a weighted probability density function (PDF) is derived in Eq. (A5). For a bin size of  $\Delta\phi$ , a center  $\phi$  of this bin, and  $n$  air parcel trajectories ( $i = 1, \dots, n$ ), which have their main ozone gain  $\phi_{j,i}$  in this bin, the PDF is:

$$pdf(\phi) = \frac{\sum_{j=1}^n w_{j,i}}{\sum w_{j,i} \cdot \Delta\phi}. \quad (A5)$$

The sampling size of this PDF would be rather small, if only a single emission location was taken into account (50 trajectories). In order to enhance the data basis, trajectories from various emission grid points are sampled for different meteorological features (High Pressure Ridge, West of High Pressure Ridge, and Near Jet Stream). In case 1 ("High Pressure Ridge"), the maximum of the O<sub>3</sub>-CCFs is analyzed for W3 and W4 which are both in the region of a high pressure ridge. In case 2 ("West of High Pressure Ridge"), the same weather situations (W3 and W4) are analyzed, but the emission locations evaluated lie further west compared to the points of case 1. In this case, the O<sub>3</sub>-CCFs are significantly lower. In both cases the same emission latitudes are taken into account but different longitudes. The last case ("Near Jet Stream") considers the location of high O<sub>3</sub>-CCFs in the vicinity of the jet stream. For this case weather patterns W1, W4 and W5 are analyzed. A summary of all emission locations taken into account is given in Table A1. Results are discussed in section 4.2.

*Author contributions.* CF, VG and SM designed the study. CF and PJ developed the relevant EMAC submodels with input from VG and SB. CF and SB performed the EMAC simulations. AH calculated the CCFs from the EMAC output with contributions from CF and VG. CF analysed the data with contributions from VG, SR, JVM. CF prepared the manuscript with contributions from all co-authors.

525 *Competing interests.* Author PJ is a member of the editorial board of the journal.





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