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# Influenza Hemagglutinin Structures and Antibody Recognition

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Hemagglutinin (HA) is most abundant glycoprotein on the influenza virus surface. Influenza HA promotes viral entry by engaging the receptor and mediating virus–host membrane fusion. At the same time, HA is the major antigen of the influenza virus. HA antigenic shift can result in pandemics, whereas antigenic drift allows human circulating strains to escape herd immunity. Most antibody responses against HA are strain-specific. However, antibodies that have neutralizing activities against multiple strains or even subtypes have now been discovered and characterized. These broadly neutralizing antibodies (bnAbs) target conserved regions on HA, such as the receptor-binding site and the stem domain. Structural studies of such bnAbs have provided important insight into universal influenza vaccine and therapeutic design. This review discusses the HA functions as well as HA–antibody interactions from a structural perspective.

nfluenza viruses are classified based on their antigenicity, which is determined by their surface glycoproteins. Four types of influenza viruses, A, B, C, and D, have been isolated and characterized. Influenza A and B viruses have two surface glycoproteins, hemagglutinin (HA) and neuraminidase (NA), whereas influenza C and D viruses have only one surface glycoprotein, hemagglutinin-esterase fusion (HEF). Based on the antigenicity of HA and NA, influenza A viruses are further classified into subtypes. There are 18 known HA subtypes (H1–H18) and 11 known NA subtypes (N1-N11). HA subtypes are further divided into two groups. Group 1 HA includes H1, H2, H5, H6, H8, H9, H11, H12, H13, H16, H17, and H18, whereas group 2 HA includes H3, H4, H7, H10, H14, and H15. Both influenza A and B viruses infect humans and can cause severe illness or death. In contrast, influenza C virus only causes mild symptoms in most cases. Human infection with influenza D virus has not been observed. Therefore, most influenza research has been focused on influenza A and B viruses. A main difference between influenza A and B viruses is that influenza B virus is only found in humans, whereas the primary natural reservoir for influenza A virus is aquatic birds; these avian viruses can give rise to new pandemic viruses in humans through reassortment with human and swine viruses. As a result, influenza A virus usually receives more attention and has been studied more extensively.

The surface of influenza virions is dominated by HA, which outnumbers NA by five- to 10-

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fold (Harris et al. 2006; Hutchinson et al. 2014). HA confers upon influenza virus the ability to agglutinate red blood cells, which enables rapid quantification of influenza virus (hemagglutination assay) as well as the virus-neutralizing capacity of antibodies and sera (hemagglutination inhibition assay) (Hirst 1942). The ability of HA to agglutinate red blood cells can be attributed to its receptor binding function. HA engages sialylated glycan receptors on host cells to initiate viral entry (Burnet and Stone 1947; Stone 1948). HA also carries the machinery for membrane fusion (Maeda and Ohnishi 1980). HA is a homotrimer consisting of a globular head domain that resides atop a membrane-proximal stem domain (Fig. 1A). Its structure was first reported in 1981 (Wilson et al. 1981), which made it possible to study the structure–function relationships of HA. In a back-to-back article, the major antigenic sites on the H3 HA were also described for the first time (Wiley et al. 1981). Characterization of an HA-peptide antibody in 1984 led to the identification of the HA-tag (Wilson et al. 1984), which is a linear epitope consisting of nine amino acids that is used extensively in protein purification and labeling.

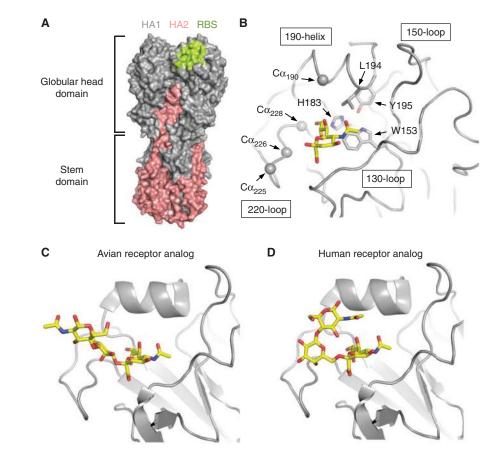


Figure 1. Hemagglutinin (HA) structures and receptor-binding site (RBS). (*A*) Structure of trimeric HA. The location of the RBS is shown in lime on the HA structure. HA1 is shown in gray and HA2 in salmon. The globular head domain sits on top of the stem domain. (*B*) The major structural elements, namely 130-loop, 150-loop, 190-helix, and 220-loop, of the HA RBS are shown. Highly conserved residues W153, H183, L194, and Y195 are shown in stick representation. The alpha carbons ( $C\alpha$ s) of HA1 residues 190, 225, 226, and 228, which are involved in the H1, H2, and H3 receptor-specificity switch, are shown in sphere representations. (*C*) Avian-type receptor ( $\alpha$ 2,3-linked sialic acid) binds to HA with an extended configuration (Xu et al. 2012). (*D*) Human-type receptor ( $\alpha$ 2,6-linked sialic acid) binds to HA with a folded-back configuration (Xu et al. 2012).

Despite being extremely useful as a research tool, the HA-tag epitope is not clinically relevant because it is located in the subunit interface of HA, which is not accessible in the native form of HA. The structure of a neutralizing antibody in complex with HA was first reported in 1995 (Bizebard et al. 1995), which provided important insights into the molecular mechanism of how HA is recognized by the adaptive humoral immune system.

As a major antigen of influenza virus, HA constantly evolves to escape herd immunity arising from natural infection and vaccination, while still preserving its functionality (Wu and Wilson 2017). That is why the various components of the seasonal influenza vaccine need to be annually reviewed and updated, when necessary. Most antibodies elicited by seasonal influenza vaccines are strain-specific and the virus can readily escape such antibodies. Nonetheless, many broadly neutralizing antibodies (bnAbs) against HA have been identified and characterized in the past decade (Wu and Wilson 2018), which has enabled structural-based design of universal influenza vaccine candidates (Impagliazzo et al. 2015; Yassine et al. 2015). Understanding the structural biology of HA has been accelerating in recent years, in large part owing to the technical advances in X-ray crystallography (Garman 2014) as well as cryogenic electron microscopy (cryo-EM) (Nogales 2016). This review will describe the biology of HA and antibodies against HA from a structural perspective. H3 numbering is used for HAs throughout, unless indicated otherwise.

# RECEPTOR BINDING AND SPECIFICITY OF HEMAGGLUTININ

Each protomer of HA contains a membranedistal receptor-binding site (RBS) in the globular head domain that binds to sialylated glycan receptors on the host cell surface (Fig. 1A) (Weis et al. 1988). Nevertheless, it should be noted that the H17 and H18 subtypes, which are found only in bats, do not bind to sialic acids and are the exceptions (Sun et al. 2013; Tong et al. 2013; Zhu et al. 2013b). Instead, H17 and H18 HAs utilize the major histocompatibility complex class II (MHC-II) molecule as host receptor (Karakus et al. 2019). The HA RBS is composed of the 130-loop, 150-loop, 190-helix, and 220loop, named after their relative positions on the HA amino-acid sequence. Several key residues that interact with sialic acid are conserved across influenza A and B HAs (Wang et al. 2007). These include W153, H183, L194, and Y195 (Fig. 1B). However, major structural variations of the HA RBS can also be observed in naturally circulating strains. Examples include a single residue insertion in the 130-loop of some strains from H1 and H5 subtypes and all strains from H6 and H10 subtypes (Lee et al. 2012), a tworesidue insertion in the 150-loop of H7, H10, and H15 subtypes (Tzarum et al. 2017), and an eight-residue deletion in the 220-loop of multiple H7N2 strains (Suarez et al. 1999; Yang et al. 2010).

The receptor specificity for avian influenza viruses is α2,3-linked sialic acid (avian-type receptor), whereas for human influenza viruses it is  $\alpha$ 2,6-linked sialic acid (human-type receptor). When binding to HA,  $\alpha 2,3$ -linked sialic acid typically displayed an extended configuration (Fig. 1C), whereas  $\alpha$ 2,6-linked sialic acid typically displayed a folded-back configuration (Fig. 1D) (Shi et al. 2014). This folded-back conformation is in fact the most energetically stable conformation of a2,6-linked sialic acid in sialosides in their unbound form (Sabesan et al. 1991). Switching the receptor specificity from  $\alpha$ 2,3-linked sialic acid to  $\alpha$ 2,6-linked sialic acid is required for avian influenza viruses to cause human pandemics and for transmission, as assessed in an animal model between ferrets (Tumpey et al. 2007; Pappas et al. 2010; Roberts et al. 2011). The structural mechanisms for the receptor specificity switch are well-characterized for H1, H2, and H3, all of which are associated with known influenza pandemics-the Spanish flu (H1N1) pandemic in 1918, the Asian flu (H2N2) pandemic in 1957, the Hong Kong flu (H3N2) pandemic in 1968, and the most recent swine flu (H1N1) pandemic in 2009. All avian H1, H2, and H3 HAs predominately encode Glu, Gly, Gln, and Gly at residues 190, 225, 226, and 228, respectively. H1 requires a pair

of mutations E190D/G225D to switch receptor specificity from  $\alpha$ 2,3-linked sialic acid to  $\alpha$ 2,6linked sialic acid (Matrosovich et al. 1997; Glaser et al. 2005; Stevens et al. 2006; Tumpey et al. 2007), whereas H2 and H3 require a different pair Q226L/G228S (Rogers et al. 1983; Connor et al. 1994; Pappas et al. 2010; Xu et al. 2010). Recent human H3N2 viruses have further evolved a receptor specificity favoring long  $\alpha$ 2,6 sialylated glycans with several *N*-acetyllactosamine (LacNAc) repeats (Peng et al. 2017). Consistently, the receptor-binding mode has subtly evolved in recent human H3N2 viruses (Lin et al. 2012; Wu et al. 2018a).

Other subtypes including H5, H6, H7, H9, and H10 are occasionally transmitted to humans. To date, naturally circulating strains from these subtypes have not acquired the receptor specificity to  $\alpha$ 2,6-linked sialic acids and, hence, are not able to transmit among humans. Nonetheless, studies have explored the possibility of switching receptor specificity from α2,3linked sialic acid to  $\alpha 2,6$ -linked sialic acid in different subtypes that may have pandemic potential. In 2013, two studies independently adapted H5N1 strains to acquire transmissibility among ferrets, which is a commonly used mammalian model for influenza transmission (Herfst et al. 2012; Imai et al. 2012). In both studies, mutations in the HA RBS were observed in the ferret-transmissible H5N1 strains. Common mutations in the HA RBS that were identified by both studies include Q226L and a loss of glycosylation site at residue 158 (Herfst et al. 2012; Imai et al. 2012). Follow-up studies showed that those mutations are important for H5 HA to switch receptor specificity from a2,3-linked sialic acid to  $\alpha$ 2,6-linked sialic acid (Lu et al. 2013; Xiong et al. 2013; de Vries et al. 2014). Those studies provoked the National Institutes of Health (NIH) to declare a pause on certain influenza gain-of-function studies in 2014 (Casadevall and Imperiale 2014), which was then lifted in late 2017. How other HA subtypes switch receptor specificity from  $\alpha 2,3$ -linked sialic acid to a2,6-linked sialic acid has also been studied. Different subtypes appear to require different sets of mutations to switch specificity toward a2,6-linked sialic acid-Q226L/

G228S for H4 HA (Song et al. 2017), G225D for H6 HA (de Vries et al. 2017b), V186G/K193T/ G228S, V186K/K193T/G228S or V186N/ N224K/G228S for H7 HA (de Vries et al. 2017a), and K158aA/D193T/Q226L/G228S for H10 HA (Tzarum et al. 2017) ("158a" indicates the insertion of an amino acid after position 158). Because of the structural variability of the RBS in different HA subtypes, it is not surprising that the mutational requirements for switching receptor specificity are different for different subtypes.

# HEMAGGLUTININ-MEDIATED MEMBRANE FUSION

Besides engaging the receptor, HA also mediates virus-host membrane fusion, which is essential for viral entry. Comparing the HA structures between prefusion and postfusion conformations shows that HA has to undergo large conformational rearrangements to promote virus-host membrane fusion (Bullough et al. 1994; Chen et al. 1999). Such a large conformational change is only possible after proteolytic processing of the HA trimer. During protein translation, HA trimer is synthesized as a single polypeptide chain (HA0). HA0 is then cleaved by host cell proteases to become mature HA, which consists of HA1 and HA2 subunits that remain cross-linked via a single disulfide bond (Fig. 2A). The mature HA, but not HA0, is fusion-competent. The cleavage site is a surface loop in the stem domain. The amino-acid sequence of the cleavage site varies (Böttcher-Friebertshauser et al. 2013). Although most strains carry a monobasic cleavage site, some strains carry a polybasic cleavage site. Monobasic cleavage site can be processed by proteases such as plasmin (Lazarowitz and Choppin 1975), factor Xa (Gotoh et al. 1990), tryptase Clara (Kido et al. 1992), HAT (Böttcher et al. 2006), and TMRPSS2 (Böttcher et al. 2006). The tissue tropism of influenza virus is strongly influenced by these proteases, which are only present in a limited number of tissues, such as the respiratory or intestinal tract. In contrast, polybasic cleavage sites, mainly found in avian viruses, can be processed by furin, which is ubiquitously expressed.

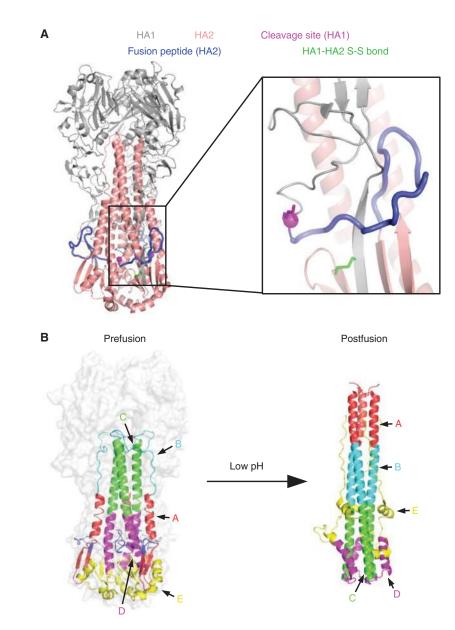


Figure 2. Fusion machinery of hemagglutinin (HA). (A) Several important structural features in the stem domain, including the cleavage site (carboxy-terminal Arg of HA1), fusion peptide (amino-terminal region of HA2), and the disulfide bond that links HA1 and HA2, are highlighted. (B) The structural rearrangements of the HA2 between prefusion and postfusion conformations are shown (Chen et al. 1999).

Therefore, influenza strains with polybasic cleavage site are typically highly pathogenic. This proteolytic processing of HA does not induce substantial conformational changes, as shown by the structural comparison of the precursor HA0 (uncleaved form) and mature HA (cleaved form), which are almost identical (Chen et al. 1998), except that the fusion peptide now inserts into the center of the cleaved HA trimer (Wilson et al. 1981).

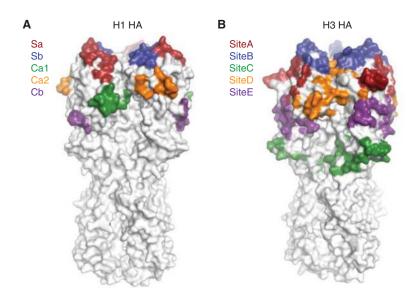
HA-mediated membrane fusion is a pH-dependent process that occurs in the endosome.

The acidic environment inside the endosome triggers the conformational change of HA (Fig. 2B), which in turn induces virus-host membrane fusion. The threshold pH of membrane fusion varies between strains and subtypes (ranging from ~5.2 to 6.0) (Scholtissek 1985; Puri et al. 1990; Korte et al. 2007; DuBois et al. 2011; Galloway et al. 2013), but is generally lower for HAs from human isolates than for HAs from avian isolates (Galloway et al. 2013). The conformational change of HA during fusion involves substantial structural rearrangements in the stem domain. Consistently, many residues in the stem domain are highly conserved, some of which are determinants of the threshold pH of membrane fusion (Daniels et al. 1985; Rachakonda et al. 2007; Thoennes et al. 2008; Xu and Wilson 2011; Mair et al. 2014; Byrd-Leotis et al. 2015).

# ANTIGENIC EVOLUTION OF HEMAGGLUTININ

Identifying regions on HA that are targeted by humoral immune response has been a primary focus for influenza research. The antigenicity of H1 and H3 viruses has been studied most extensively among HA subtypes, because of their circulation in the human population. In early studies, five major antigenic sites were proposed for H1 HAs (Sa, Sb, Ca1, Ca2, and Cb) (Gerhard et al. 1981; Caton et al. 1982), as well as for H3 HA (sites A–E) (Wiley et al. 1981; Skehel et al. 1984; Wiley and Skehel 1987). All antigenic sites are located in the globular head domain for both H1 and H3 HAs (Fig. 3). In contrast to the HA globular head domain, the HA stem has a much lower efficiency in eliciting antibody responses and, hence, is less immunogenic (Jegaskanda et al. 2013; Altman et al. 2015; Angeletti et al. 2017; Tan et al. 2019).

To continue circulating in human populations, influenza viruses have to constantly evolve to escape herd immunity arising from natural infection and vaccination, via antigenic shift and antigenic drift. Antigenic shift involves the emergence of antigenically novel viral strains, whereas antigenic drift involves incremental changes in circulating viruses via mutation. Antigenic shift is rare as compared with antigenic drift, but can lead to devastating pandemic outbreaks. Antigenic shift often results from reassortment that involves acquisition of anti-



**Figure 3.** Major antigenic sites of hemagglutinin (HA). (*A*) The five major antigenic sites on H1 HA are illustrated. (*B*) The five major antigenic sites on H3 HA are shown.

viruses into human or other mammalian viruses (Lowen 2017). Antigenic shift is responsible for known influenza pandemics-the Spanish flu (H1N1) pandemic in 1918, the Asian flu (H2N2) pandemic in 1957, the Hong Kong flu (H3N2) pandemic in 1968, and the most recent swine flu (H1N1) pandemic in 2009. After each pandemic, the progeny influenza strains continue to evolve in the human population and escape herd immunity via antigenic drift. The evolutionary rates of H1N1 and H3N2 in humans are similar and are approximately twofold higher than that of influenza B virus (Furuse et al. 2016). The HA globular head domain evolves faster than the HA stem domain (Kirkpatrick et al. 2018), which is consistent with the notion that the HA globular head domain is immunodominant over the HA stem domain (Jegaskanda et al. 2013; Altman et al. 2015; Angeletti et al. 2017; Tan et al. 2019). Studies have also shown that major antigenic drift in human influenza evolution almost exclusively involves amino-acid substitutions in the major antigenic sites (Smith et al. 2004; Koel et al. 2013). Because the HA RBS partially overlaps with major antigenic sites (Sa, Sb, and Ca2 of H1 HA, and antigenic sites A, B, and D of H3 HA) (Wu and Wilson 2017), antigenic drift may be associated with a change in receptor-binding avidity (Hensley et al. 2009; Li et al. 2013).

genically novel HA or NA or both from avian

Accumulation of N-glycosylation sites is a common immune evasion strategy that is utilized by diverse enveloped viruses (Davis et al. 1990; Simmonds et al. 1991; Cheng-Mayer et al. 1999; Fournillier et al. 2001; Zhang et al. 2004), including human influenza A viruses (Zhang et al. 2004; Blackburne et al. 2008; Igarashi et al. 2008; Cherry et al. 2009; Das et al. 2010; Sun et al. 2011; Lee et al. 2014; Tate et al. 2014; Wu and Wilson 2017; Altman et al. 2019). The accumulation of N-glycosylation sites in the HA globular head domains of human influenza A viruses occurs at discrete 5- to-7-year intervals, until they reach a functional limit (Altman et al. 2019). After reaching this functional limit, which is ~5 for H1N1 and ~7 for H3N2, N-glycosylation sites are swapped (i.e., loss of one Nglycosylation site and gain of another at the same time through point mutations) at a longer interval (Altman et al. 2019). If an N-glycosylation site is added next to the RBS, it can negatively influence receptor binding (Tsuchiya et al. 2001, 2002; Das et al. 2011; Kim et al. 2013; Tate et al. 2014; Alymova et al. 2016). Subsequently, the RBS and immediate proximal region become relatively more exposed as N-glycosylation sites accumulate and shield the rest of the HA globular head domain (Wu and Wilson 2017). Consistently, RBS-proximal antigenic site B has become immunodominant in recent human H3N2 HAs (Popova et al. 2012; Chambers et al. 2015), which are highly decorated with N-glycans (Lee et al. 2014; Wu and Wilson 2017).

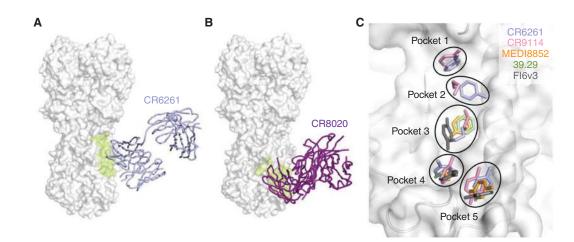
# ANTIBODIES AGAINST THE HEMAGGLUTININ STEM DOMAIN

Most antibody responses focus on epitopes that are highly diverse among strains (Gerhard et al. 1981; Wiley et al. 1981; Caton et al. 1982) and are thus strain-specific (Wang et al. 1986; Wrammert et al. 2008). However, some HA antibodies can neutralize multiple strains within a subtype or even across subtypes by targeting conserved regions. These broadly neutralizing antibodies (bnAbs) target regions that are relatively conserved. The stem domain is one such region on HA (Wu and Wilson 2017). In 1993, a mouse antibody C179 was reported to have cross-subtype neutralization activity and was believed to bind to the stem domain (Okuno et al. 1993). In 2013, the structure of C179 in complex with HA confirmed that C179 indeed binds to the HA stem (Dreyfus et al. 2013). The 20-year delay in the structural characterization of C179 was due to the fact that the research on stem-binding bnAbs did not become active until 2008-2009. In 2008-2009, three sets of pan-group 1 HA antibodies were isolated independently from human subjects in different continents (Kashyap et al. 2008; Throsby et al. 2008; Sui et al. 2009). In 2009, X-ray structural characterization revealed that antibodies CR6261 and F10 bound to a highly conserved epitope in the HA stem domain (Ekiert et al. 2009; Sui et al. 2009). These findings show that

influenza bnAbs can be elicited in humans and sparked considerable interest in studying human influenza bnAbs. In 2011, a pan-influenza A stem-binding bnAb FI6v3, which can target both group 1 and group 2 HAs, was reported (Corti et al. 2011). Nevertheless, despite different angles of approach to the HA surface and the use of both heavy and light chains for binding by FI6v3 versus only the heavy chain for CR6261, the epitopes of FI6v3 and CR6261 are highly overlapping. However, unlike CR6261, which utilized the  $V_{\rm H}$ 1-69 germline gene, FI6v3 arose from the  $V_H$ 3-30 germline gene. In 2012, an antibody called CR9114, which can target both influenza A and B HAs, was reported (Dreyfus et al. 2012). Both CR9114 and CR6261 are encoded by V<sub>H</sub>1-69 and bind to a very similar epitope. During the late 2000s and subsequent years, many other stem-binding bnAbs from humans were discovered and characterized (Kashyap et al. 2008, 2010; Burioni et al. 2010; Clementi et al. 2011; Ekiert et al. 2011; Nakamura et al. 2013; Yasugi et al. 2013; Friesen et al. 2014; Henry Dunand et al. 2015, 2016; Wu et al. 2015, 2018b; Fu et al. 2016; Joyce et al. 2016;

Kallewaard et al. 2016; Andrews et al. 2017; Lang et al. 2017; Yamayoshi et al. 2017), including some that cross-react with both group 1 and 2 HAs (Nakamura et al. 2013; Henry Dunand et al. 2015, 2016; Wu et al. 2015; Fu et al. 2016; Joyce et al. 2016; Kallewaard et al. 2016; Andrews et al. 2017; Lang et al. 2017).

Structural characterization of stem-binding bnAbs antibodies illustrated that most stembinding bnAbs have an epitope that highly overlaps with that of CR6261 (Fig. 4A) (Wu and Wilson 2018), although group 2-specific stembinding bnAbs bind slightly lower down the stem domain (Fig. 4B) (Ekiert et al. 2011; Friesen et al. 2014). Five pockets in the stem domain are often targeted by stem-binding bnAbs, mainly using hydrophobic and aromatic residues (Fig. 4C) (Kadam et al. 2017). Identification of these common binding features facilitated structure-based design of stem-binding proteins (Fleishman et al. 2011; Whitehead et al. 2012; Chevalier et al. 2017), peptides (Kadam et al. 2017), and small molecules (van Dongen et al. 2019) with neutralizing activity akin to the bnAbs.



**Figure 4.** Hemagglutinin (HA) stem-binding antibodies. (*A*) Binding of group 1–specific broadly neutralizing antibody (bnAb) CR6261 to HA (Ekiert et al. 2009). (*B*) Binding of group 2–specific bnAb CR8020 to HA (Ekiert et al. 2011). (*A*,*B*) The HA surface is colored in white and bnAb epitope colored in lime. (*C*) Pockets that are commonly targeted by HA stem-binding antibodies are shown. Side chains of key binding residues in the paratopes (i.e., the parts of an antibody that bind to the epitope, usually the complementarity determining regions [CDRs], but can also include some framework residues) of CR6261 (Ekiert et al. 2009), CR9114 (Dreyfus et al. 2012), MEDI8852 (Kallewaard et al. 2016), 39.29 (Nakamura et al. 2013), and FI6v3 (Corti et al. 2011) are shown in stick representation. The HA surface and underlying backbone structure are colored in white.

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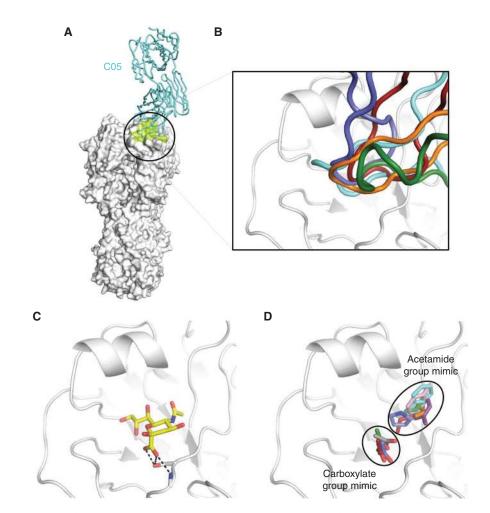
Although the stem-binding bnAb epitopes are highly conserved, variations can be observed in some of the epitope or epitope-proximal residues and can limit the breadth of stem-binding bnAbs (Zost et al. 2019). A well-known example is the N-glycosylation site at HA1 Asn38, which is adjacent to the conserved stem epitope (Ekiert et al. 2009; Sui et al. 2009). The N-glycosylation site at HA1 Asn38 is not present among group 1 HAs, but is highly conserved among group 2 HAs. The N-glycan at HA1 Asn38 can block binding of stem-binding bnAbs to group 2 HAs. Binding to group 2 HAs often involves a reorientation of the group 2-specific glycan at HA1 Asn38 and other residues, including HA2 Trp 21 (Corti et al. 2011; Dreyfus et al. 2012). Structural comparison of V<sub>H</sub>1-69-encoded stem-binding bnAbs suggests that the precise approach angle of stem-binding bnAbs is a determinant of whether the N-glycan at HA1 Asn38 can be accommodated (Lang et al. 2017).

# ANTIBODIES AGAINST THE HEMAGGLUTININ RECEPTOR-BINDING SITE

Another conserved region on HA is the RBS, despite being more variable than the stem domain (Wu and Wilson 2017). A number of RBS-targeting bnAbs have been identified and characterized (Fleury et al. 1998; Barbey-Martin et al. 2002; Yoshida et al. 2009; Krause et al. 2011, 2012; Ohshima et al. 2011; Whittle et al. 2011; Ekiert et al. 2012; Lee et al. 2012, 2014; Tsibane et al. 2012; Hong et al. 2013; Schmidt et al. 2013; Xu et al. 2013; Lee and Wilson 2015; McCarthy et al. 2018). By targeting the receptorbinding sites, these bnAbs prevent HA from binding to the receptor. Unlike the stem domain, which is close to the viral membrane, the RBS is highly exposed. Therefore, RBS-targeted bnAbs can adopt a much wider range of approach angles when engaging the HA as compared with stem-binding bnAbs (Wu and Wilson 2017). By comparing the structures of RBS-targeting bnAbs, several common features were revealed. RBS-targeting bnAbs often insert a single complementarity determining region (CDR) loop, mostly CDR H3 but occasionally H2, into the RBS (Fig. 5A,B) (Lee and Wilson 2015). The tips of these CDR loops mimic the binding mode of sialic acid (Lee and Wilson 2015). The most common strategy is to mimic the acetamide group of sialic acid using a hydrophobic, often aromatic, amino acid, such as Trp (Ekiert et al. 2012), Tyr (Fleury et al. 1998; Xu et al. 2013), Phe (Xu et al. 2013), Met (Lee et al. 2012), Leu (Lee et al. 2014), Val (Whittle et al. 2011; Schmidt et al. 2015), and Pro (Fig. 5C) (Hong et al. 2013; Schmidt et al. 2015). Mimicking the sialic acid carboxylate group is another strategy that is utilized by some RBS-targeting bnAbs to enable formation of an extensive hydrogen bond network. A number of RBS-targeting bnAbs are able to completely mimic the carboxylate group using an Asp at the tip of CDR H3 (Fig. 5D) (Whittle et al. 2011; Hong et al. 2013; Schmidt et al. 2013, 2015; Lee et al. 2014), whereas some other RBS-targeting bnAbs partially mimic the carboxylate group using a backbone carbonyl group (Ekiert et al. 2012; Lee et al. 2012; McCarthy et al. 2018). Structural characterization of RBS-targeting bnAbs has also inspired the development of anti-influenza protein binders against the RBS (Strauch et al. 2017). In addition, by harnessing the knowledge of RBS-targeting and stem-binding bnAbs, a multidomain llama antibody has been developed, which can broadly cross-neutralize influenza A and B viruses (Laursen et al. 2018).

Because small variations can be found within the HA RBS as well as high variability in the RBSproximal regions (Wu and Wilson 2017), it is difficult for RBS-targeted bnAbs to completely avoid contacting variable regions, despite their small binding footprints using a single CDR. As a result, the reactivity of RBS-targeted bnAbs is generally not as broad as stem-binding bnAbs. Many RBS-targeted bnAbs are subtype-specific (Whittle et al. 2011; Hong et al. 2013; Schmidt et al. 2013, 2015), and some can cross-react with a limited number of subtypes (Yoshida et al. 2009; Ohshima et al. 2011; Ekiert et al. 2012; Krause et al. 2012; Lee et al. 2012, 2014; Xu et al. 2013; McCarthy et al. 2018). It has been proposed that the bivalence of immunoglobulin G (IgG) confers RBS-targeted bnAbs with an avidity effect, by binding to two adjacent trimers simulta-





**Figure 5.** Hemagglutinin (HA) receptor-binding site (RBS)-targeting antibodies. (*A*) Structure of a prototypic RBS-targeting antibody C05 in complex with HA (Ekiert et al. 2012). HA is colored in white and the C05 epitope is colored in lime. (*B*) bnAbs C05 (cyan), F045-092 (green), 5J8 (slate blue), and CH65 (red) target the HA RBS using their complementarity determining region (CDR) H3 (Whittle et al. 2011; Ekiert et al. 2012; Hong et al. 2013; Lee et al. 2014). S139/1 (orange) targets the HA RBS using its CDR H2 (Lee et al. 2012). (*C*) Sialic acid in complex with HA. Hydrogen bonds between the carboxylate group of sialic acid and HA are shown in black dashed lines. (*D*) The carboxylate and acetamide groups of sialic acid are mimicked by RBS-targeting antibodies, including C05 (cyan) (Ekiert et al. 2012), S139/1 (orange) (Lee et al. 2012), F045-092 (green) (Lee et al. 2014), 2G1 (purple) (Xu et al. 2013), 8M2 (pink) (Xu et al. 2013), 5J8 (slate blue) (Hong et al. 2013), HC63 (gray) (Barbey-Martin et al. 2002), and CH65 (red) (Whittle et al. 2011). The side chains that act as mimics of sialic acid receptor moieties are shown in stick representation.

neously (Ekiert et al. 2012; Lee et al. 2012). As a result, the potency of RBS-targeting bnAbs is usually higher than stem-binding bnAbs. Cross-linking HA trimers on the viral surface by RBS-targeting bnAbs may also prevent HA conformational changes that normally occur during membrane fusion (Barbey-Martin et al. 2002).

# OTHER BROADLY REACTIVE ANTIBODIES AGAINST HEMAGGLUTININ

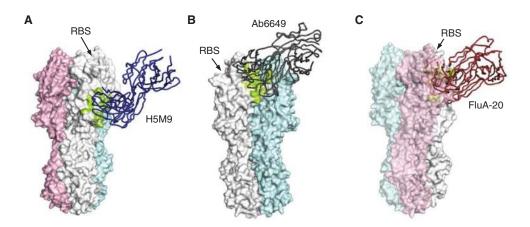
Other regions on HA besides the RBS and stem domain are also targeted by bnAbs. Because most regions on HA besides the RBS and stem domain are highly diverse across HA subtypes,

Cold Spring Harbor Perspectives in Medicine www.perspectivesinmedicine.org bnAbs that do not target the RBS or the stem domain are usually subtype-specific. One example is the vestigial esterase subdomain on HA1, which is conserved among strains within a certain subtype but not across subtypes (Fig. 6A). Several protective antibodies that target the vestigial esterase subdomain have been characterized (Li et al. 2009; Dreyfus et al. 2012; Zhu et al. 2013a; Zuo et al. 2015; Chai et al. 2017; Bangaru et al. 2018), including H5M9 (Li et al. 2009; Zhu et al. 2013a), CR8071 (Dreyfus et al. 2012), 46B8 (Chai et al. 2017), 100F4 (Zuo et al. 2015), F005-126 (Iba et al. 2014), and H3v-47 (Bangaru et al. 2018). Both H5M9, which is a mouse antibody, and 100F4, which is a human antibody, target multiple clades of H5N1 viruses. CR8071 and 46B8 are human antibodies that target influenza B viruses, whereas F005-126 and H3v-47 are human antibodies that target diverse strains of H3N2 viruses. Although these bnAbs all target the vestigial esterase subdomain, their mechanisms of action are different. H5M9, 46B8, and F005-126 prevent the pH-dependent conformational changes of the HA, which is required for virus-host membrane fusion (Li et al. 2009; Zhu et al. 2013a), whereas CR8071 and H3v-47 primarily function via blocking the viral budding

and progeny release process (Brandenburg et al. 2013; Bangaru et al. 2018).

Besides the vestigial esterase subdomain, some other regions on HA are also bnAb epitopes. For example, Ab6649 is a human H1-specific bnAb that targets an epitope above the vestigial esterase subdomain on HA1 and is highly conserved among H1N1 strains (Fig. 6B) (Raymond et al. 2018). Antibodies H7.137, H7.167, and H7.169 are H7-specific bnAbs that bind to a similar epitope that is highly conserved within the H7 subtype (Thornburg et al. 2016). An H1-specific bnAb FISW84 binds to an epitope that is near the ectodomain membrane anchor junction and is conserved among H1 HAs (Benton et al. 2018). Antibodies D1 H1-3/H3-3, D1 H1-17/H3-14, and D2 H1-1/H3-1, which are nonneutralizing but offer in vivo protection, target a conserved epitope that is slightly below the RBS and can cross-react with both H1 and H3 subtypes (Lee et al. 2016).

Recent studies have revealed that antibodies can target conserved epitopes in HA1 that involve the trimer interface, which is not solvent-accessible in the conventional HA trimer configuration (Bajic et al. 2019; Bangaru et al. 2019; Turner et al. 2019; Watanabe et al. 2019).



**Figure 6.** Other neutralizing epitopes on hemagglutinin (HA). (*A*) Binding of 5HM9 to HA in the vestigial esterase region (Zhu et al. 2013a). (*B*) Binding of Ab6649 to HA in the "lateral patch" on the globular head domain, which is next to the receptor-binding site (RBS) and above the vestigial esterase subdomain (Raymond et al. 2018). (*C*) Binding of FluA-20 to the HA trimer interface (Bangaru et al. 2019). The epitope of FluA-20 is located in a conserved region in the HA protomer–protomer interface. Therefore, binding of FluA-20 to HA requires dissociation or breathing of the HA trimer. (A–C) Three protomers of the HA trimer are colored in white, pink, and cyan, respectively. The epitope is colored in lime. The location of the RBS is indicated.

H7.5 is a human H7-specific bnAb that targets an epitope that involves adjacent protomers in the HA trimer (Thornburg et al. 2016; Turner et al. 2019). Part of the H7.5 epitope involves the trimer interface, suggesting that HA1 globular head is structurally dynamic in its prefusion state (Turner et al. 2019). Single-molecule Förster resonance energy transfer (FRET) also reveals that HA undergoes reversible conformational changes (Garcia et al. 2015). The epitopes of other HA interface-targeting antibodies, such as FluA-20 (Bangaru et al. 2019), S5V2-29 (Watanabe et al. 2019), H2214 (Watanabe et al. 2019), and 8H10 (Bajic et al. 2019), are mainly composed of residues in the trimer interface (Fig. 6C). FluA-20 has the largest breadth among these antibodies, with the ability to bind to 14 out of 15 tested HA subtypes. Electron microscopy (EM) analysis indicated that these HA interface-targeting antibodies can induce dissociation of the HA trimer (Bangaru et al. 2019; Turner et al. 2019). Nonetheless, the mechanisms of action of these interface-targeting antibodies are different. Although H7.5 has neutralizing activity (Thornburg et al. 2016), FluA-20, S5V2-29, H2214, and 8H10 have no direct neutralizing activity (Bajic et al. 2019; Bangaru et al. 2019; Watanabe et al. 2019). These interface-targeting antibodies appear to offer in vivo protection through antibody-dependent cellular cytotoxicity (ADCC) (Bangaru et al. 2019; Watanabe et al. 2019).

# VACCINES AGAINST HEMAGGLUTININ

Structural characterization of bnAbs has been a major driver of universal influenza vaccine development. Antibodies elicited by seasonal influenza vaccine are typically strain-specific. As a result, the components of seasonal influenza vaccine have to be annually reviewed and updated, if necessary, to account for the antigenic drift of the circulating strains. However, antigenic mismatch between the vaccine strains and the circulating strains occur occasionally (Tricco et al. 2013). In addition, most seasonal influenza vaccines are produced in embryonated chicken eggs, which may result in HA egg-adaptive mutations that change the antigenicity of the vaccine strains (Robertson et al. 1987; Kodihalli et al. 1995; Chen et al. 2010; Popova et al. 2012; Parker et al. 2016; Raymond et al. 2016; Wu et al. 2017; Zost et al. 2017). Egg-adaptation mutations allow HAs from human influenza viruses, which has a receptor specificity toward  $\alpha$ 2,6-linked sialic acid, to increase affinity to  $\alpha$ 2,3-linked sialic acid (Wu et al. 2017, 2019), which is abundant on the chorioallantoic membrane (Ito et al. 1997; Sriwilaijaroen et al. 2009). As a result, the effectiveness of seasonal influenza vaccine is far from satisfying (Belongia et al. 2016).

A major direction of influenza vaccine research is to develop immunogens that can elicit protective antibodies against multiple strains or even subtypes, such that annual updates of the influenza vaccine are not needed. HA stembinding bnAbs have provided significant insight into structural-based design of such immunogens (Wu and Wilson 2018). Several stem immunogens that can elicit heterosubtypic antibodies have been described (Steel et al. 2010; Bommakanti et al. 2012; Mallajosyula et al. 2014, 2015; Impagliazzo et al. 2015; Wohlbold et al. 2015; Yassine et al. 2015; Valkenburg et al. 2016; Corbett et al. 2019). Some of these stem immunogens are referred to as "headless HA" (Steel et al. 2010; Valkenburg et al. 2016; Corbett et al. 2019), because they are basically HA without the globular head domain. The general framework for stem immunogen design is to link the aminoand carboxy-terminal regions of the HA1 chain with the entire HA2 chain. Displaying these stem immunogens on nanoparticles can enhance their immunogenicity (Yassine et al. 2015; Corbett et al. 2019). Thus, these stem immunogens are promising candidates for a universal influenza vaccine.

# CONCLUDING REMARKS

Although the first structure of HA was reported almost 40 years ago, knowledge of HA recognition by antibodies had remained limited for many years. Because the major antigenic sites on HA are highly variable, it has been long believed that human antibodies with heterosubtypic activity against HA did not exist. None-

theless, over the past decade, many human bnAbs to HA have been discovered and characterized. These bnAbs can neutralize multiple strains within a given subtype or across multiple subtypes. Structural characterization has shown that the epitopes of these bnAbs are distinct from the classic antigenic sites. Most HA bnAbs target the RBS or the stem domain, which are both evolutionarily conserved owing to functional constraints. These bnAbs have provided important insight into universal influenza vaccine design and also for the design of multidomain antibody, small protein, peptide, and small molecule therapeutic candidates.

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