

# Influenza virus infection history drives and shapes antibody responses to influenza vaccination

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1 **Influenza virus infection history drives and shapes antibody responses to influenza vaccination**

2  
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## 43 Summary

### 44 Background

45 The controversial hypothesis that recalled immunological memory limits responses to variant virus strains has  
46 been revived by recent reports linking poor vaccine effectiveness against A(H3N2) influenza viruses with prior  
47 vaccination. The impact of memory induced by prior infection is rarely considered, and is difficult to ascertain  
48 because infections are often sub-clinical. This study investigates influenza vaccine immunogenicity among  
49 participants who had been monitored for 9 years for clinical influenza infection or seroconversion.

### 50 Methods

51 In 2007, 269 households from Ha Nam, Viet Nam commenced ongoing monitoring for influenza infection. In  
52 2016, 72 adult participants with documented prior A(H3N2) infection and 28 without infection received  
53 trivalent inactivated influenza vaccine for the first time. Serological responses were assessed by  
54 hemagglutination inhibition assay against 40 A(H3N2) viruses spanning 1968-2018. Effects of prior infection  
55 were determined by comparing geometric mean titres and titre rises. Generalized additive and lowess models  
56 were used to fit, and compare, titre landscapes across strains.

### 57 Findings

58 Participants with documented prior A(H3N2) virus infection had higher pre-vaccine titres against strains  
59 circulating since 2004 compared to those without prior infection. Moreover, they had higher titre rises on days  
60 7, 14, 21 and 280 post-vaccination against vaccine and subsequently circulating strains. Accordingly, 1/72  
61 versus 4/28 of vaccinees with and without documented prior infection experienced illness due to A(H3N2) in  
62 the season after vaccination ( $p = 0.021$ ). The range of A(H3N2) virus clades recognized by vaccine-induced  
63 antibodies was associated with the clade that last caused infection, indicating that recalled immunity drove  
64 antibody production against shared epitopes.

### 65 Interpretation

66 These results suggest that immunological memory from prior infection drives and shapes antibody production  
67 induced by inactivated influenza vaccine, and underpins the capacity for vaccine to induce sufficient antibody  
68 for protection.

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## 75 Introduction

76 RNA viruses undergo relatively rapid mutation, which can critically impact vaccination strategies.<sup>1</sup> Influenza  
77 viruses are particularly prone to substitutions within the major surface protein, hemagglutinin (HA), as a  
78 consequence of viral RNA replication without proofreading,<sup>2</sup> and selection of human antibody escape mutants.  
79 This process, termed antigenic drift, facilitates recurrent influenza infection throughout life. In turn,  
80 prevention by vaccination, requires repeated administration of vaccine containing regularly-updated virus  
81 strains. Vaccine effectiveness (VE) has been poor against A(H3N2) viruses since at least 2010, when VE  
82 estimation by subtype became more widely implemented.<sup>3</sup> This could, in part, be due to greater mismatch  
83 between vaccine and circulating strains. A(H3N2) viruses have undergone greater antigenic evolution  
84 compared to A(H1N1) and B influenza viruses,<sup>4</sup> and more often acquire substitutions within antigenic sites  
85 when propagated in eggs to produce vaccine.<sup>4,5</sup> It is further speculated that vaccine immunogenicity and  
86 effectiveness may be limited by recall of immunological memory against past strains, a hypothesis that was  
87 first proposed in the 1960's and termed original antigenic sin.<sup>6</sup> Interest in this phenomenon has been revived  
88 by a series of recent reports that antibody responses,<sup>7</sup> and VE against A(H3N2) viruses<sup>8-11</sup> are attenuated  
89 among people who received vaccine in prior year(s). A meta-analysis indicates that while repeat vaccination  
90 effects are more pronounced for A(H3N2) than for other subtypes, there is substantial heterogeneity in  
91 effects.<sup>12</sup>

92 The cellular and molecular mechanisms underlying the variable effects of prior vaccination and pre-existing  
93 immunity remain largely undefined. The antigenic distance hypothesis postulates that when successive  
94 vaccine strains are antigenically similar, existing antibodies or memory B cells attenuate vaccine  
95 immunogenicity by masking or clearing vaccine antigen, resulting in attenuated VE if the vaccine and epidemic  
96 strains differ, but not if they are also similar.<sup>13</sup> Alternately, it is hypothesized that memory B cells induced by  
97 prior vaccination dominate and focus responses on epitopes that are conserved between prior and prevailing  
98 vaccine strains, compromising responses against epitopes that have changed.<sup>14</sup> This could enhance antibody  
99 responses and VE, if epidemic strains retain those conserved epitopes, but could reduce VE if these epitopes  
100 have changed.<sup>14</sup> The epitopes recognized by influenza virus neutralizing antibodies are largely located on the  
101 globular head of HA, surrounding the receptor binding site.<sup>15</sup> Up to 131 amino acid positions in the head of HA  
102 of A(H3N2) viruses have been associated with antigenic variation and assigned to one of five antigenic sites,  
103 designated A to E.<sup>16,17</sup> Antigenic sites A and B are immunodominant,<sup>16</sup> and single amino acid substitutions in  
104 these sites can result in escape from vaccine-induced immunity, particularly if glycosylation sites are  
105 introduced.<sup>4,18</sup>

106 Few studies consider how prior influenza infections affect the immunogenicity and protection afforded by  
107 influenza vaccines. Understanding infection history is contingent on detecting asymptomatic/subclinical

108 infection, which may account for up to three-quarters of influenza virus infections.<sup>19,20</sup> To this end,  
109 participants of a cohort in northern Viet Nam (Ha Nam Cohort), who were influenza vaccine naïve, and who  
110 had been monitored for influenza infection for 9-years, since December 2007, were vaccinated in 2016. The  
111 impact of prior infection with A(H3N2) viruses of varying antigenic distance from the vaccine was determined  
112 by measuring the titre and strain-coverage of antibodies induced by vaccination, and the capacity of the  
113 vaccine to prevent influenza-like illness (ILI) due to A(H3N2) virus infection in the subsequent season.

## 114 Methods

### 115 Study design and participants

116 A comprehensive study design is described in the **appendix (p 2-3)**, and the full protocol is available via the  
117 Australian New Zealand Clinical Trials Registry (ACTRN, 12621000110886). Briefly, this study was part of an  
118 ongoing, prospective, population-based unvaccinated cohort study.<sup>19</sup> In 2007, 270 households that initially  
119 comprised a population of 945 individuals were enrolled (**figure 1a**). Participants have been monitored for  
120 influenza virus infection by active ILI surveillance and by serology on blood samples collected annually or  
121 biannually, at times spanning transmission peaks. Infection was defined as having ILI with RT PCR-confirmation  
122 of influenza virus infection or a four-fold or greater antibody titre rise (seroconversion) against a circulating  
123 strain. In 2016, we selected all 28 adult participants who had no detected A(H3N2) virus infection since 2007,  
124 then selected 72 of similar sex and age who had at least one A(H3N2) virus infection (**appendix p 3**).

125 Trivalent inactivated influenza vaccine (TIV; Vaxigrip, Sanofi Pasteur) was administered to the 100 selected  
126 participants in November 2016 (**figure 1b**). The virus strains included in this vaccine were all egg-grown (e),  
127 specifically A/California/7/2009 (H1N1)pdm09-like, B/Brisbane/60/2008-like, and A/Hong Kong/4801/2014  
128 (H3N2)-like, hereafter abbreviated to HK14e. Blood samples were collected before and 4, 7, 14, 21, and 280  
129 days after vaccination. Blood samples were also collected 7 and 21 days after confirmed influenza illness  
130 occurring in the season after vaccination.

131 Study protocols were approved by ethics committees of the University of Melbourne (1646470), the National  
132 Institute of Hygiene and Epidemiology in Viet Nam (IRB-VN01057 – 08/2016), and the Oxford Tropical  
133 Medicine Research Unit (30-16). All participants provided informed consent, conducted in Vietnamese.

### 134 Procedures

135 Sera were tested in hemagglutination inhibition (HI) assay against 40 A(H3N2) viruses that circulated from  
136 1968 to 2018 (**figure 1c**). Viruses were propagated in mammalian cell lines and/or in eggs (**appendix pp 4-5**),  
137 and HA and neuraminidase (NA) genes were sequenced. NA can agglutinate erythrocytes if T148I or D151G/N  
138 amino acid substitutions arise during virus propagation, and this can interfere with HI antibody detection  
139 (**appendix p 5**).<sup>21</sup> Where necessary, viruses were plaque-selected to produce stocks that lacked NA T148X or  
140 D151X substitutions, and were more sensitive for detecting HI antibodies (**appendix p8**). Virus HA genes were  
141 compared by constructing a phylogenetic tree (**figure 1c**). HA antigenic site positions, defined by Lee et al,<sup>17</sup>  
142 that varied between HK14e and at least one recent prior strain were tabulated to determine whether antigenic  
143 variation from HK14e was clustered within particular sites, and if this varied between prior infecting strains  
144 (**figure 1e**).



145 HI assays were performed according to WHO Global Influenza Surveillance Network protocols with minor  
146 modifications, and additional quality controls to enable comparison of titres across multiple viruses and time  
147 points (**appendix pp 4-8**). HI titres were read using an automated reader (CypherOne, InDevR, **appendix p 4**).

## 148 Outcomes

149 The primary outcome was vaccine immunogenicity, comparing participants who had or lacked recent A(H3N2)  
150 virus infection. This included proportions seropositive (defined as a titre of 40 or more) or seroconverting  
151 (defined as a four-fold or greater titre rise), geometric mean titres (GMT), and geometric mean ratios (GMR).  
152 The strain-coverage of antibodies induced by vaccination was further compared by fitting antibody titre  
153 landscapes across all A(H3N2) viruses tested.<sup>22</sup> Titres were determined at a range of time points, but  
154 comparison focused on day 14 post-vaccination, when titre peaks were detected, and on day 280, when titre  
155 decay plateaus.<sup>23</sup>

156 In secondary analysis, participants who had been infected with viruses from distinct genetic clades were  
157 compared to investigate whether antigenic relatedness between the prior strain and the vaccine strain affects  
158 the strain-coverage of antibodies induced by vaccination.

159 Ill events post-vaccination caused by an A(H3N2) virus were also evaluated.

## 160 Statistical Analysis

161 HI titres were  $\log_2$  transformed to estimate GMTs and GMRs, calculated as the mean of post-vaccination minus  
162 pre-vaccination differences. Mixed effects linear regression was used to estimate GMTs and GMRs, and to  
163 determine the size of the effect of recent infection. The regression model included a random effects term to  
164 account for within-person correlations of antibody titres over time, and an interaction term for time of serum  
165 collection by recent infection status (**appendix p10**). For ease of interpretation estimated GMTs and GMRs  
166 were reported as back-transformed values. Fisher's exact test was used to compare proportions with and  
167 without prior infection who seroconverted at day 14; maintained a 4-fold titre rise at day 280; or who became  
168 infected post-vaccination.

169 To construct and compare antibody landscapes, generalized additive models (GAMs) and lowess models were  
170 used to fit  $\log_2$  titres against A(H3N2) viruses organized antigenically.<sup>22</sup> We used the GAM function from the R  
171 package `mgcv`, and accounted for repeated measurements on each individual through specification of a  
172 random effect.<sup>24</sup> Plots were generated with `ggplot2`.<sup>25</sup> The lowess model has been published online  
173 (<https://github.com/acorg/ablandscapes>).

## 174 Results

175 100 participants who had complete serological and virological assessments to detect influenza virus infections  
176 since December 2007 were vaccinated in November 2016 (**figure 1a**). Twenty-eight had no A(H3N2) virus  
177 infection detected since 2007 and 72 had at least one infection, hereafter referred to as recent infection. 51/72  
178 had one recent prior A(H3N2) infection, 18/72 had two, and 3/72 had three prior infections. Infection was  
179 detected as ILI, confirmed by RT-PCR, for 16/72, and as seroconversion without ILI for 56/72. Age and sex  
180 distributions of participants with and without recent infection were similar (**figure 1b**). The proportions having  
181 an A(H1N1) virus infection since 2007 were similar among participants with prior A(H3N2) infection (40/72,  
182 55%) and without prior A(H3N2) infection (14/28, 50%). The year that participants were last infected with  
183 A(H3N2) virus ranged from 2008 to 2015 (**appendix p9**). Viruses circulating during these years belonged to a  
184 range of genetic clades that varied in genetic distance from the 2016 vaccine strain, which was egg-grown  
185 A/Hong Kong/4801/2014 (HK14e), belonging to clade 3c2a (**figure 1c, appendix p9**). Twenty-six positions  
186 within antigenic sites A-E differed between at least one prior strain and HK14e (**figure 1d, e**). Differences were  
187 clustered within sites A and B for the comparison of HK14e with clade 3c3a viruses (HN14/Sw13). In contrast,  
188 differences were clustered in site C for the comparison of HK14e with clade 3c1 viruses (HN12/Vi11) (**figure**  
189 **1e**).

190 Confirmed A(H3N2) virus illnesses were detected 275-340 days after vaccination in 5 of 100 vaccinees.  
191 A(H3N2)<sup>+</sup> ILI was also detected in 5 of 456 (1.1%) unvaccinated adults 249-344 days after the vaccine campaign,  
192 indicating that vaccination had little apparent effect on the timing of A(H3N2) virus infections. Vaccine efficacy  
193 cannot be estimated because vaccinees were purposefully selected so that most participants who lacked  
194 recent A(H3N2) virus infection received vaccine (**appendix p3**). Infecting strains belonged to clades 3c2a1,  
195 3c2a2, and 3c2a1b (**figure 1c**), and contained the K160T substitution in site B that renders them antigenically  
196 distinct from the HK14e vaccine strain.<sup>4</sup> A(H3N2)<sup>+</sup> ILI was detected in 4/28 (14%) vaccinees who lacked recent  
197 A(H3N2) virus infection, but only 1/72 (1.4%) vaccinees who had recent A(H3N2) virus infection (odds ratio  
198 0.084, 95% CI 0.009 - 0.793,  $p = 0.021$ ). This effect of recent infection was subtype-specific since A(H3N2)<sup>+</sup> ILI  
199 cases accounted for similar proportions of vaccinees with recent A(H1N1) virus infection (3/54, 5.6%) and  
200 without recent A(H1N1) virus infection (2/37, 5.4%). These results suggest that vaccinated adults who lacked  
201 recent infection with an A(H3N2) subtype virus were relatively unprotected against A(H3N2) illness.

202 Vaccination induced robust antibody production by day 7 when 2-fold or greater titre rise was detected in 87%  
203 of participants, and 4-fold or greater titre rise was detected in 62% of participants (**figure 2a**). This contrasts  
204 with studies showing negligible production of antibody by day 7 after primary exposure to influenza virus,<sup>26</sup>  
205 and suggests that recalled memory B cells contributed substantially to the antibody response. Titres were

206 highest at day 14 and then declined (**figure 2a**). Nevertheless, by day 280, titres were still at least 4-fold higher  
207 than at baseline for 54% of participants, indicating that vaccination induced sustained antibody production.

208 Participants who had recent A(H3N2) virus infection were more often seropositive (titre  $\geq 40$ ) against HK14e  
209 at all time points, and more often seroconverted (titre rise  $\geq 4$ -fold) compared to participants who lacked  
210 recent infection (**table 1**). Notably, 74% of participants with recent infection seroconverted against  
211 A/Kansas/14/2017 (Ka17), a clade 3c3a strain, compared to 43% of participants without recent infection, with  
212 comparable differences in proportions seropositive. Similarly, seroconversion against A/Brisbane/60/2018  
213 (Br18) from clade 3c2a1b, was more common among vaccinees with recent infection, and 83% remained  
214 seropositive 280 days after vaccination compared to 56% of participants without recent infection. Recent  
215 A(H3N2) virus infection had little effect on the proportion of participants seropositive against A(H1N1)pdm09  
216 in the vaccine (**table 1**). These results indicate that recent A(H3N2) virus infection enhances the capacity of  
217 vaccine to induce A(H3N2)-reactive, but not A(H1N1)-reactive, antibodies. Therefore, effects of recent  
218 infection are likely to be mediated by type/subtype-specific memory B cells, rather than by broadly cross-  
219 reactive B or T cells.

220 To further examine the strain-coverage of antibodies induced by vaccination, generalized additive models  
221 (GAM) were used to fit titres against 40 strains that circulated up to 46 years before and 4 years after the  
222 vaccine strain emerged (**figure 2b-d**). As reported previously,<sup>22</sup> pre-vaccine antibody titres were relatively high  
223 against strains encountered early in life (**figure 2b, appendix pp 11-12**), consistent with hypotheses that  
224 immune responses induced against early life strains are recalled upon subsequent encounter of later  
225 strains.<sup>6,27</sup> Vaccine-induced titre rise was greatest against strains proximal to HK14e, and diminished as virus  
226 genetic and temporal distance from HK14e increased (**figure 2c, d**), presumably reflecting the degree to which  
227 antigenic sites were conserved with the vaccine strain. Vaccine-induced back-boosting of titres was largely  
228 limited to strains circulating after participant's birth years (**appendix p 16**), suggesting that back-boosting  
229 reflects recall of memory B cells induced by prior infections. Alternately, back-boosting could reflect low-  
230 avidity antibody binding to past-strains when antibody concentrations are high since titre rise extended across  
231 more strains on day 14 after vaccination than on day 280 (**figure 2c, d, appendix pp 13-14**). Vaccination caused  
232 a sustained shift in the peak of the antibody landscape from older strains towards 2011 strains by day 280  
233 after vaccination (**figure 2c, appendix pp 11,12,17**).

234 Pre-vaccination titres were higher across the landscape among participants who had recent infection (**figure**  
235 **3a, appendix p10**). This was particularly marked for the comparison of participants with no recent infection  
236 versus those with RT PCR confirmed prior A(H3N2) infection. Differences were also clearly apparent for the  
237 comparison with participants having serologically confirmed recent infection, but were more restricted to  
238 strains circulating since 2004. Pre-vaccination titres against strains circulating since 2004 were not detectably  
239 associated with participant age (**figure 2b, appendix p 16**). Titres remained higher among participants with

240 recent infection after vaccination (**figure 3b, appendix p10**). Moreover, titre rises were higher against vaccine  
241 and subsequently circulating strains among participants with recent infection, and were at least as high against  
242 past strains (**figure 3c-d, appendix pp 13-14**). Effects of recent infection were observed across age groups  
243 (**appendix pp 16**), inclusive of the oldest participants born in the 1930's and 1940's (**appendix p 39**). Notably,  
244 by day 280, when A(H3N2)<sup>+</sup> ILI cases had already been detected, GMTs against several circulating strains  
245 exceeded 40 among participants who had recent infections, but were lower in those who lacked recent  
246 infection (**figure 3e, appendix 10**). We have shown previously that titres of this magnitude can be associated  
247 with substantial protection in this cohort.<sup>28</sup> These results indicate that recent infection boosts the titre and  
248 breadth of A(H3N2)-reactive antibodies induced by vaccination.

249 We next investigated whether the strain coverage of vaccine induced antibodies differed between participants  
250 who were last infected with clade-3c3a (HN14/Sw13) versus clade-1 or -3c1 (HN09- or HN12) viruses, which  
251 are clearly genetically and antigenically distinct (**figure 1e**). To obtain a more detailed comparison of antibody  
252 titres across strains, viruses circulating since 2007 were represented on a two-dimensional map of antigenic  
253 distances (**figure 4 a-f**). Pre-vaccination titre landscapes differed somewhat between prior infection groups  
254 (**figure 4 a-c**) whereas post-vaccination landscapes were markedly different (**figure 4 d-f**). Landscapes  
255 remained relatively focused on clade-1/3c1 viruses among participants with prior clade-1/3c1 virus infection  
256 (**figure 4e**) and on 3c3a viruses among participants with prior 3c3a virus infection (**figure 4f**). Notably,  
257 participants with prior 3c3a virus infection had higher titres against HK14e than those with prior 1/3c1 virus  
258 infection even though HK14e was closer to clade 1/3c1 viruses on the antigenic map. Titre rise landscapes also  
259 differed, extending more towards clade-1/3c1 viruses among participants with prior 1/3c1 infection, and more  
260 towards the 3c3a viruses among participants with prior 3c3a virus infection (**appendix p 19**). Differences  
261 between prior infection groups were apparent by day 7, and persisted until day 280, after vaccination  
262 (**appendix p 19-20**). These results suggest that recalled prior strain immunity may drive antibody production  
263 towards epitopes that are shared between the vaccine strain and prior strains.

264 Antigenic site B is the immuno-dominant antigenic site on HA of A(H3N2) viruses, and is well conserved  
265 between HK14e and clade 1/3c1, but not 3c3a, viruses (**figure 1e**). To investigate whether this affected  
266 antibody production against site B of the HK14e vaccine, sera from participants with prior clade 1/3c1 versus  
267 3c3a infection were titrated against a site B antigenic variant (**figure 4g**). Reverse genetics was used to change  
268 HK14e HA position 159 from Y to S (**appendix p22**). The Y159S substitution was chosen because Sw13e has an  
269 S at position 159 (**figure 1e**), and is antigenically distinct from HK14e (**figure 4a, appendix p22**). The antigenic  
270 effect of the Y159S substitution was confirmed using ferret antisera and a site B directed mAb: HK14e antisera  
271 titres against the Y159S variant were lower than against native virus and reverse genetics virus bearing wild-  
272 type HA, and higher than against Sw13e (**appendix p22**). Several participants with prior 3c1 infection had  
273 higher pre-vaccination titres against wild-type compared to Y159S virus, indicating the presence of antibodies

274 against site B of HK14e, vice versa several participants with prior 3c3a infection had higher pre-vaccination  
275 titres against the Y159S variant (**figure 4h**). Post-vaccination sera from 9/14 participants with prior clade 1 or  
276 3c1 infection had greater than two-fold higher titres against wild-type compared to Y159S virus indicating that  
277 antibodies were induced against site B of HK14e. Only 3/13 participants with prior 3c3a infection, had higher  
278 post-vaccine titres against wild-type compared to Y159S virus and differences did not exceed two-fold. These  
279 results indicate that antibody was poorly induced against site B of HK14e among people with prior 3c3a  
280 infection. It is therefore probable that vaccination induced antibodies against sub-dominant sites among  
281 participants with prior 3c3a infection. Since sub-dominant sites, such as site C, are better conserved across  
282 past and future strains (**appendix p23**), this could give rise to antibodies with broader strain coverage.

283 The five vaccinees who developed A(H3N2)<sup>+</sup> ILI in the season after vaccination had poor antibody responses  
284 induced by vaccination compared to participants who did not develop A(H3N2)<sup>+</sup> ILI (**figure 5a-d**). Titre rise by  
285 day 7 was markedly low, and did not increase further by day 21 (**figure 5g-j, appendix p 24**). However, in these  
286 same participants, antibody titres increased between days 7 and 21 post-infection, and were higher than titres  
287 detected at the same time points post-vaccination (**figure 5c-l**). Antibody titres detected 7 and 21 days after  
288 infection of three unvaccinated participants were equivalent to titres detected after infection of vaccinated  
289 participants (**appendix p 25**) suggesting that infection responses were not boosted by prior vaccination, and  
290 that infection induced a more potent response than vaccination.

## 291 Discussion

292 In this study, adults who had undergone active investigation to detect influenza virus infections since 2007  
293 were vaccinated for the first time in 2016 with inactivated influenza vaccine containing a new A(H3N2) strain.  
294 Vaccination induced robust A(H3N2)-reactive antibody responses that were at least as good among older  
295 compared to younger adults, contrasting with studies in more highly vaccinated populations.<sup>29,30</sup> Detailed  
296 analysis of the kinetics and breadth of the A(H3N2)-reactive antibody response demonstrated that much of  
297 the antibody detected was induced between day 4 and day 7 after vaccination, and was cross-reactive with  
298 past strains. These findings indicate that recalled memory B cells contribute substantially to the vaccine  
299 response. Moreover, participants with an A(H3N2) virus infection during the 9 years prior to vaccination had  
300 higher antibody titres, with faster rises and better-maintained antibody levels against the vaccine virus and  
301 future circulating viruses. Similarly, A(H3N2)<sup>+</sup> ILI was predominantly detected among vaccinees who lacked  
302 prior A(H3N2) virus infection indicating that both vaccine immunogenicity and effectiveness are enhanced by  
303 immunological memory associated with prior infection.

304 The boosting effects of prior infection, observed here, contrast with reports of negative effects of prior or  
305 repeated vaccination,<sup>7-11</sup> suggesting that the type of prior exposure is highly relevant. Several groups have  
306 demonstrated that neutralizing antibodies can become focused on limited virus epitopes that have remained  
307 conserved across successively encountered strains.<sup>31,32</sup> It is hypothesized that recalled memory B cells  
308 dominate and focus responses on epitopes that are well conserved in successively encountered strains, which  
309 could either enhance or compromise protection depending upon whether these targeted epitopes undergo  
310 mutation in subsequent strains.<sup>13,14</sup> In the current study, the strain-coverage of antibodies and capacity to  
311 generate antibodies against a prominent site B epitope was shaped by the prior infecting clade, consistent  
312 with memory B cell dominance. These findings present a paradox whereby memory B cell recall is pivotal for  
313 inactivated egg-based influenza vaccine to elicit sufficient antibody for protection, but may also be  
314 problematic in terms of the capacity for vaccination to update immunity by generating memory B cells and  
315 antibodies against epitopes that have mutated in a new vaccine strain. To generate antibodies and memory B  
316 cells against variant epitopes, influenza vaccines must either induce memory B cells to undergo further affinity  
317 maturation<sup>33</sup> or induce naïve B cell differentiation. Memory B cells may have a competitive advantage because  
318 they have undergone affinity maturation, so may compete more successfully for antigen in order to engage T  
319 cell help for further differentiation, and are additionally less reliant than naïve B cells on T cell help for  
320 activation.<sup>34,35</sup> Inactivated influenza vaccines deliver antigen transiently, and induce minimal innate co-  
321 stimulation, hence may have little capacity to activate naïve B cells and generate new B cell clones and  
322 antibodies in the presence of vaccine-reactive memory B cells.

323 Infection induced higher antibody titres against a broader antigenic range of A(H3N2) viruses than vaccination  
324 among individuals who who developed A(H3N2)<sup>+</sup> ILI in the season after vaccination. This indicates that  
325 infection may have greater potential to expand the antibody repertoire than vaccination. In turn, as the  
326 epitope range of the memory B cell pool increases, the potential to recognize epitopes in a new vaccine strain  
327 will also increase, providing a mechanism for the differential effects of prior infection and vaccination.  
328 Similarly, in ferrets and mice, priming with inactivated influenza vaccine induces little to no antibody, and no  
329 protection against variant virus strains, whereas priming by infection induces more antibody and substantial  
330 protection against variant strains.<sup>36,37</sup> These differences in antibody responses may reflect a greater capacity  
331 for influenza virus infection, as opposed to vaccination, to activate both the innate and adaptive immune  
332 systems,<sup>38</sup> and in turn activate naïve B cells. Additionally, antigen may be retained for longer periods after  
333 infection than vaccination, and may be available to engage naïve B cells after the memory B cell response  
334 starts to contract.<sup>39</sup>

335 In summary, this study demonstrates that prior A(H3N2) virus infection and pre-existing immunity can  
336 increase, and extend the breadth of, antibody responses induced by a new A(H3N2) vaccine strain, and thereby  
337 enhance protection despite antigenic drift. However, the range of strains against which antibodies were  
338 induced was dictated by the strain with which participants were previously infected, suggesting that the  
339 vaccine is inducing a memory-dominated response. Such memory dominance may need to be overcome in  
340 future vaccine strategies to increase protection against A(H3N2) viruses.

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357

358 **Contributors**

359 MA assisted with virus propagation, performed serology, assisted with data analysis and co-drafted the  
360 manuscript

361 HVMP co-management of the Ha Nam Cohort including sample collection and processing and diagnostic  
362 testing over the course of the vaccination study, and critically reviewed the manuscript.

363 LC assisted with virus propagation, and serology, sequenced virus HA and NA genes and plaqued viruses, and  
364 critically reviewed the manuscript.

365 LTQM co-conceived and co-designed the study, co-managed the Ha Nam Cohort sample collection and  
366 processing and diagnostic testing over the course of 9-years of cohort investigation and over the vaccination  
367 study, and critically reviewed the manuscript.

368 RT performed components of the data analysis and critically reviewed the manuscript.

369 SW performed components of the data analysis and critically reviewed the manuscript.

370 PQT co-designed the study, co-managed Ha Nam Cohort field work and data collection over the course of 9-  
371 years of cohort investigation and over the vaccination study, and critically reviewed the manuscript.

372 DP assisted with data analysis and critically reviewed the manuscript.

373 NTD assisted with study design, managed all activities of the health care workers to collect samples  
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375 NLKH, LTT, NTH, TTKH, NTND, and VTNB processed samples, performed influenza diagnostic testing and virus  
376 isolation over 9-years of cohort investigation between 2007 and 2016, and also over the course of vaccination  
377 and subsequent follow-up, assisted with data cleaning, and critically reviewed the manuscript.

378 AK assisted with data analysis and critically reviewed the manuscript.

379 LH assisted with virus propagation and critically reviewed the manuscript.

380 TND and DDA co-management of the Ha Nam Cohort over the course of 9-years of cohort investigation and  
381 over the vaccination study, and critically reviewed the manuscript.

382 KK contributed to data interpretation and critical review of the manuscript.

383 SDB, KG-J, DS, IB co-designed the study and critically reviewed the manuscript.

384 SS assisted with data analysis and critically reviewed the manuscript.

385 HRvD co-conceived and co-designed the study, co-managed the Ha Nam Cohort over the course of the  
386 vaccination study, and critically reviewed the manuscript.

387 AF conceived the study, co-managed the Ha Nam Cohort sample collection and processing and diagnostic  
388 testing over the course of 9-years of cohort investigation and over the vaccination study, assisted with sample  
389 processing, virus propagation, and serology, managed data and data analysis, and co-drafted the manuscript.

390

391 **Declaration of interests**

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393 potential role of influenza vaccination in AMR in 2019.

394

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396 The funders had no role in the conduct of the study.

397



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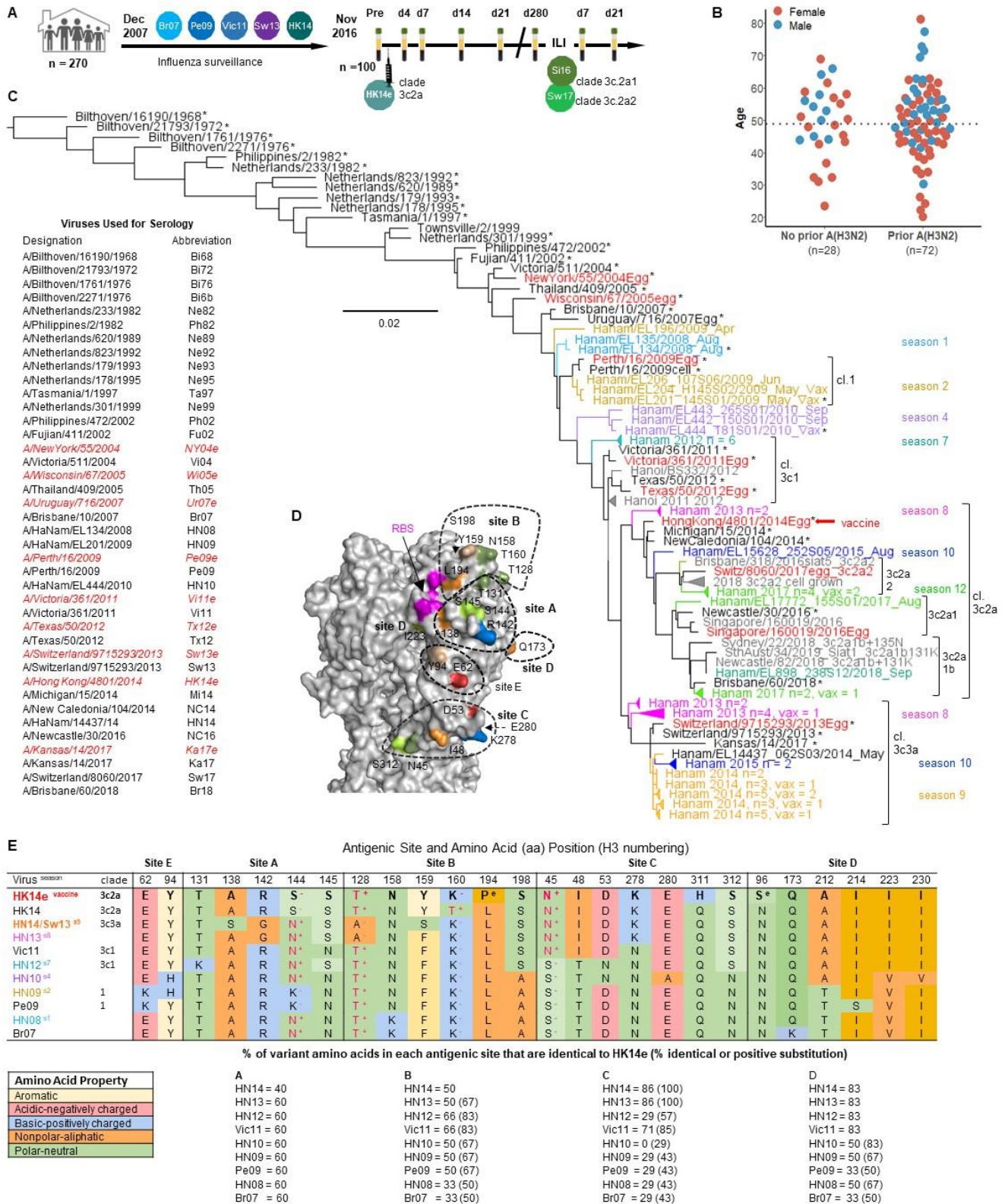
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**Table 1.** Proportions of participants with and without recent A(H3N2) virus infection who were seropositive or seroconverted against vaccine and subsequently circulating strains

Prior H3N2	Test antigen <sup>clade</sup>	Seropositive (HI ≥ 40)										Seroconvert			
		Pre		d7		d14		d21		d280 <sup>a</sup>		d14		d280 <sup>a</sup>	
		n (%)	p	n (%)	p	n (%)	p	n (%)	p	n (%)	p	n (%)	p	n (%)	p
No	HK14e <sup>3c2a</sup>	8 (29)	0.000	25 (89)	0.065	26 (93)	0.076	23 (82)	0.006	21 (78)	0.026	18 (64)	0.058	12 (44)	0.180
Yes		51 (71)		71 (99)		72 (100)		71 (99)		66 (94)		60 (83)		42 (60)	
No	Mi14 <sup>3c2a</sup>	1 (4)	0.001	16 (57)	0.001	20 (71)	0.000	19 (68)	0.000	17 (63)	0.114	20 (71)	0.064	12 (44)	0.656
Yes		25 (35)		64 (89)		71 (99)		69 (96)		56 (80)		64 (89)		36 (51)	
No	NC16 <sup>3c2a1</sup>	3 (11)	0.002	17 (61)	0.003	21 (75)	0.000	19 (68)	0.000	15 (56)	0.008	17 (61)	0.140	11 (41)	0.652
Yes		31 (43)		65 (90)		72 (100)		69 (96)		58 (83)		55 (76)		33 (47)	
No	Br18 <sup>3c2a1b</sup>	5 (18)	0.020	20 (71)	0.003	25 (89)	0.065	25 (89)	0.683	15 (56)	0.008	13 (46)	0.066	7 (26)	0.805
Yes		32 (44)		68 (94)		71 (99)		67 (93)		58 (83)		49 (68)		22 (31)	
No	Ka17 <sup>3c3a</sup>	0 (0)	0.017	9 (32)	0.014	11 (39)	0.000	9 (32)	0.000	3 (11)	0.004	12 (43)	0.005	3 (11)	0.024
Yes		13 (18)		44 (61)		58 (81)		53 (74)		30 (43)		53 (74)		24 (34)	
No	Sw17 <sup>3c2a2</sup>	0 (0)	1.000	1 (4)	0.035	4 (14)	0.082	2 (7)	0.019	1 (4)	0.170	6 (21)	0.235	2 (7)	0.722
Yes		1 (1)		16 (22)		24 (33)		21 (29)		11 (16)		25 (35)		9 (13)	
No	H1N1pdm09	5 (18)	1.000					23 (82)	0.527			23 (82)	0.756		
Yes		14 (19)						63 (88)				62 (86)			

495 a = 27/28 participants without prior H3N2 and 70/72 participants with prior H3N2 provided samples on d280  
496 p = Fishers Exact test

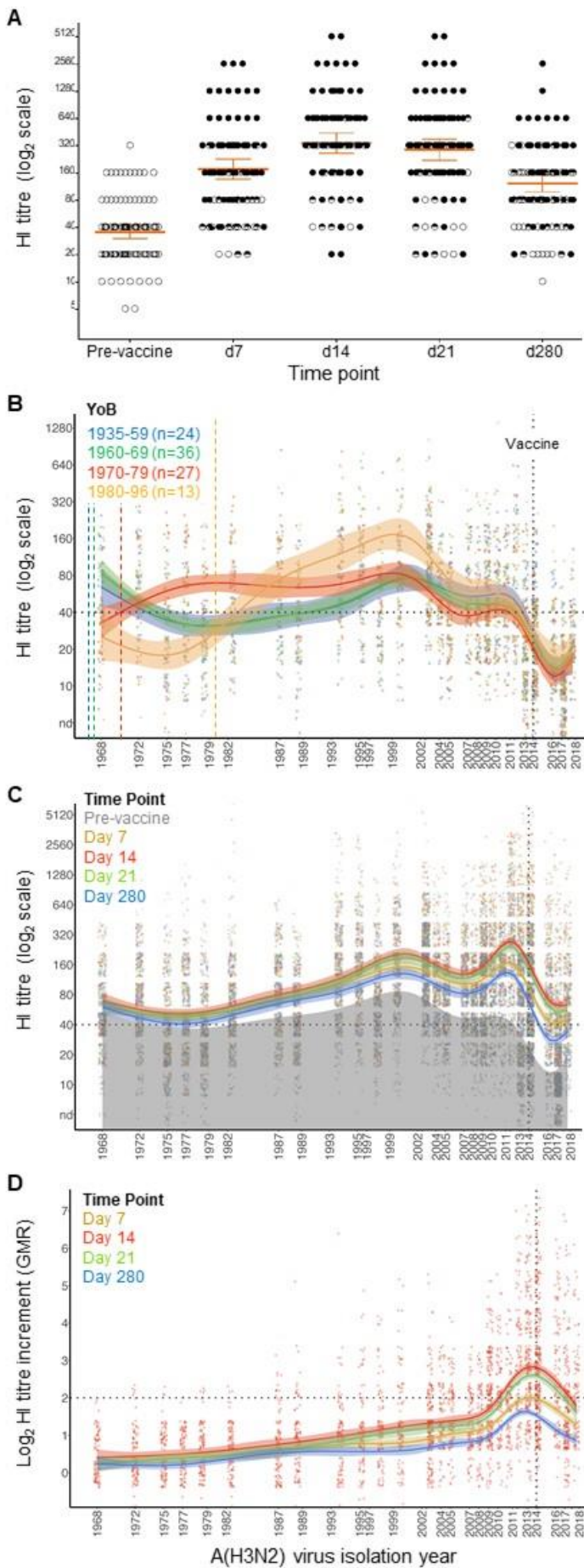


497  
 498 **Figure 1. Participant selection and investigation of previously circulating A(H3N2) viruses.** (A) Study design  
 499 and timeline. (B) Age and sex distribution of vaccinated participants with and without recent prior A(H3N2)  
 500 virus infection. The dotted line indicates the median age. (C) Phylogenetic tree of the HA genes of viruses  
 501 recovered from Ha Nam Cohort ILI cases (coloured by season), and viruses used to construct antibody

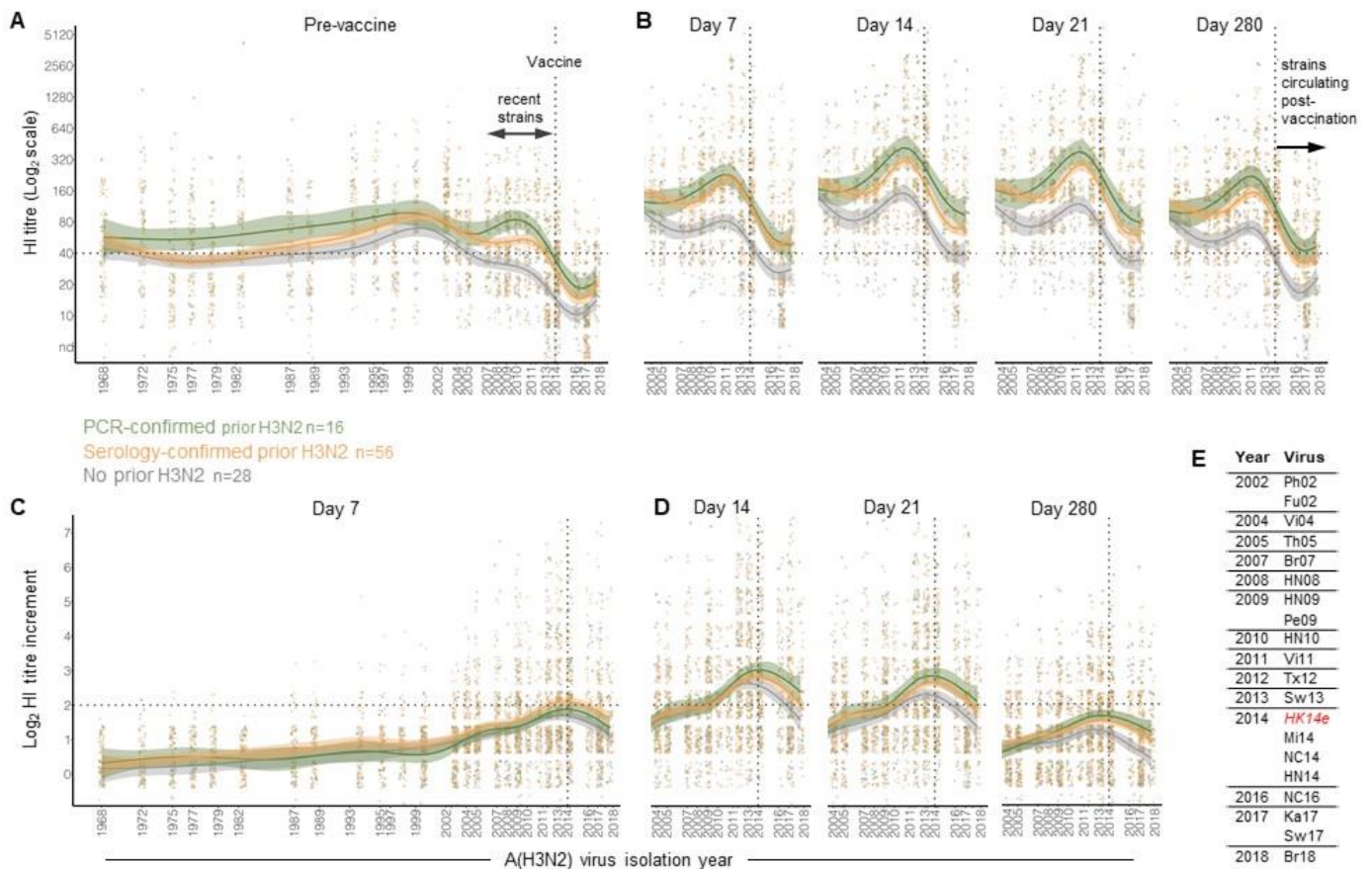
502 landscapes (coloured black if cell grown or red if egg grown). Viruses from participants of the vaccine study  
503 are indicated by the suffix "Vax". Clades (cl.) and sub-clades are delineated using parentheses. (D) Model of  
504 the globular head of HK14e HA (SWISS-MODEL: A0A0K0YAS1), showing amino acid positions within antigenic  
505 sites A to E that differed from at least one of the prior infecting strains, and receptor binding site (RBS)  
506 residues. (E) Antigenic site positions that varied between HK14e and at least one prior infecting strain are  
507 tabulated and shaded according to amino acid properties. Substitutions that result in gain (+) or loss (-) of  
508 glycosylation are coloured in pink. Egg adapted substitutions are indicated by a superscript <sup>e</sup>. The relative  
509 extent of identity or similarity between previously encountered viruses and HK14e, within each antigenic site  
510 is summarized below the table.

511





**Figure 2. Kinetic and strain coverage of the A(H3N2) virus-reactive antibody response to vaccination.** (A) Titres against the HK14e vaccine strain are shown for each participant ( $n=100$ ) and time-point. Filled circles indicate titres that were at least 4-fold higher than pre-vaccine titres; half circles indicate titres that were only 2-fold higher and open circles indicate titres that were unchanged compared to pre-vaccination. Bars and error bars show geometric means and 95% confidence intervals. (B) Pre-vaccine titre landscapes across strains spanning 1968 to 2018 were estimated using GAMs. Participants are grouped by year of birth (YoB) with dashed and colour-matched vertical lines representing the earliest strain that participants could have been exposed to. (C) Fitted titre landscapes for pre-vaccination (grey-shaded area) and post-vaccination (coloured lines) time-points are compared for all vaccinees. Shading indicates 95% confidence intervals (CI) for the model, and dots show individual participant titres against each antigen. (D) Fitted landscapes of post-vaccination minus pre-vaccination titre increments are shown for all vaccinees. Dotted horizontal lines indicate thresholds for seropositivity or seroconversion. Dotted vertical lines in B-D indicate the position of the vaccine antigen.



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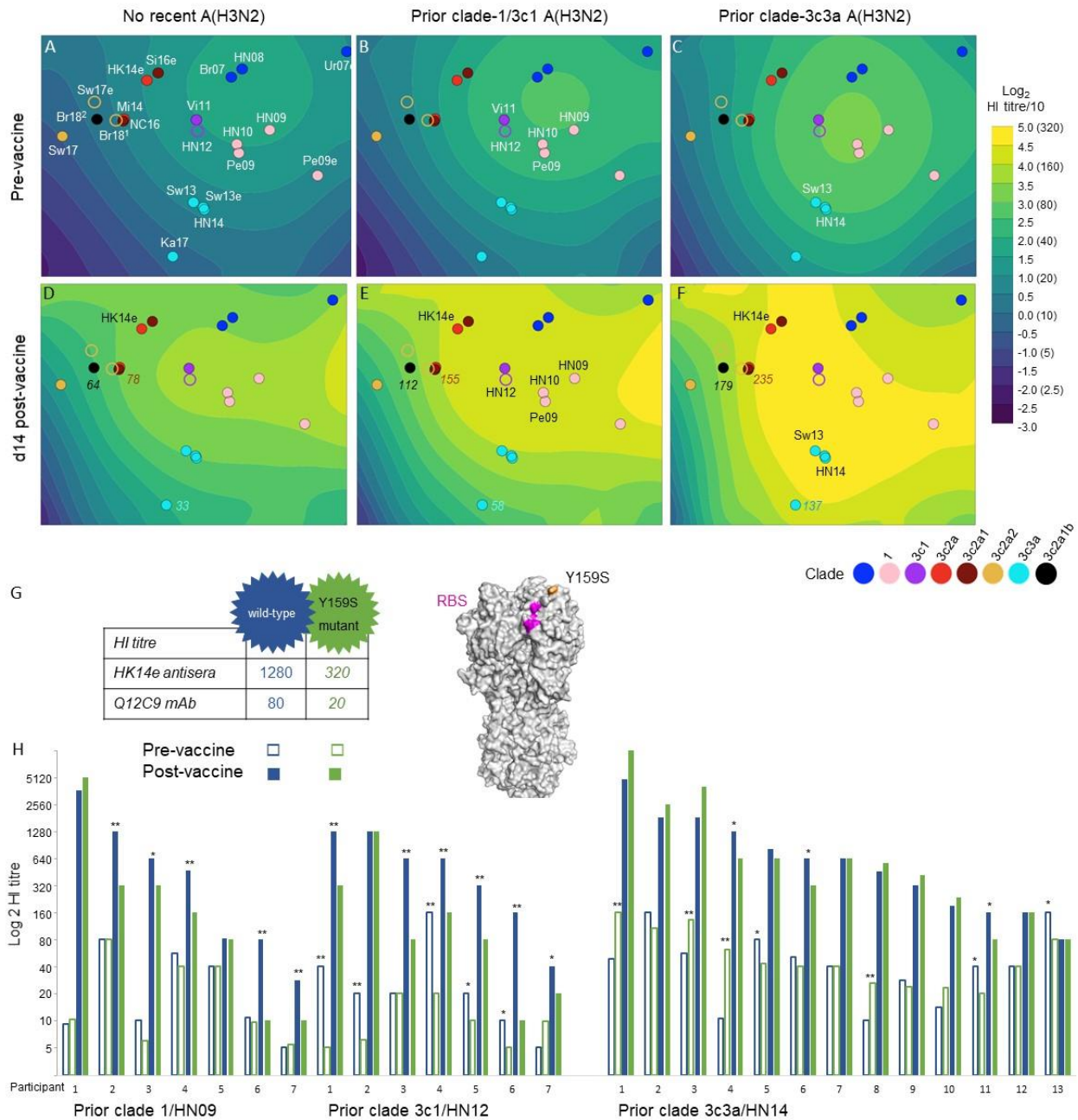
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**Figure 3. Recent A(H3N2) virus infection enhances the titre and strain-coverage of A(H3N2)-reactive antibodies induced by vaccination.** (A) Pre-vaccine titres landscapes against strains spanning 1968 to 2018 were estimated using generalized additive models (GAMs). Line colours correspond to documentation of prior A(H3N2) infection since 2007. Shaded areas indicate 95% confidence intervals, and dots show individual participant titres against each antigen. (B) Post-vaccination titre landscapes against strains spanning 2004-2018. (C-D) Landscapes of titre rise, calculated as post- minus pre-vaccination Log<sub>2</sub> titre, were estimated using GAMs. (E) List of viruses used to generate landscapes shown in B and D.



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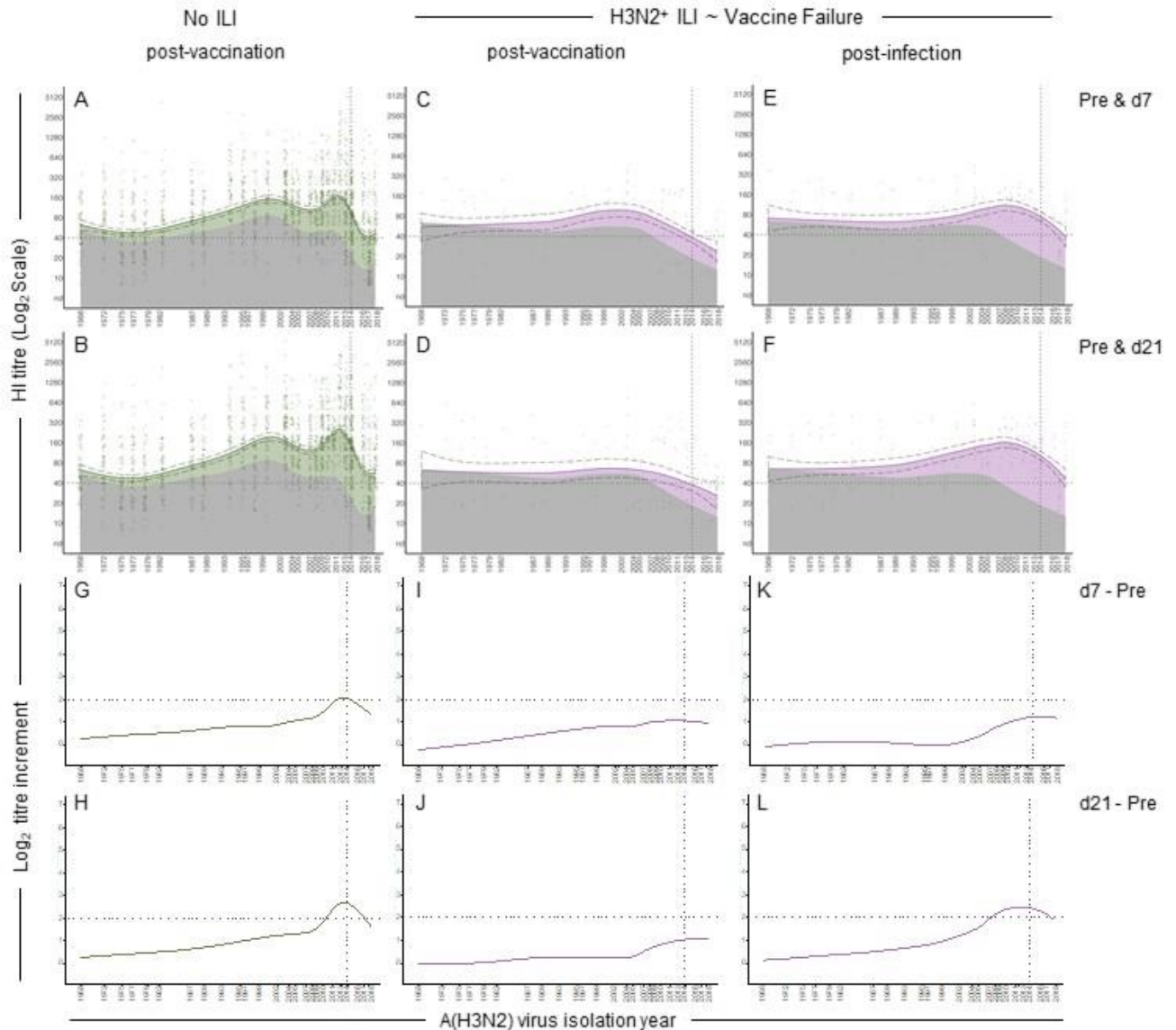
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**Figure 4. The strain-coverage of antibodies induced by vaccination is influenced by the A(H3N2) virus clade that caused prior infection.** (A-F) Serum titres of participants in each prior infection group were modelled against a two-dimensional antigenic map of recent A(H3N2) viruses, and represented as contours. Each circle represents a virus on the map, coloured by (sub)clade, assigned since 2009. Abbreviated virus names are shown for all viruses in panel A, and only for viruses that had been encountered by participants in each group in the other panels. Numbers in italics in panels D-F are GMTs for selected viruses. Viruses against which participant sera were titrated are indicated by solid circles, otherwise viruses are indicated by open circles. Results are presented for 28 vaccinees with no recent A(H3N2) infection, 38 with a prior clade 1 or -3c1 infection, and 13 with a prior 3c3a virus infection. (G) Reverse genetics was used to create a HA Y159S variant virus that was antigenically distinct from HK14e in site B, based on titres of HK14e antisera and a site B directed



565 mAb (Q12C9). (H) Pre and post vaccination titres of individual participants are compared against wild-type  
 566 versus Y159S virus to examine whether vaccination induced antibodies against site B of HK14e. Titres that  
 567 were 2-fold or > 2-fold different between viruses having wildtype versus Y159S HA are indicated by \* and \*\*,  
 568 respectively.



569  
 570 **Figure 5. Antibody titre landscapes associated with infections detected after vaccination.** Log<sub>2</sub> titres across  
 571 strains of vaccinees who remained protected (n=95, A-B) or who developed A(H3N2)<sup>+</sup> ILI (n=5, C-F) were  
 572 modelled using GAMS to generate pre- (grey shading) and post-vaccination (A-D) or post-infection (E-F)  
 573 landscapes (coloured shading). Landscapes on days 7 and 21 post-vaccination or post-infection are shown in  
 574 comparison to pre-vaccination landscapes (A-F) or as Log<sub>2</sub> titre increments from baseline (G-L). Dashed lines  
 575 above and below the shaded areas represent 95% CIs, and dots show individual participant titres against each  
 576 antigen. Dotted horizontal lines indicate thresholds for seropositivity or and seroconversion. Dotted vertical  
 577 lines indicate the position of the vaccine antigen.



## Supplementary Files

This is a list of supplementary files associated with this preprint. Click to download.

- [HaNamVaccinationMScriptAppendices.pdf](#)
- [NMEDA11377718HNProtocolENV1.115APR16.pdf](#)