



Initial Coefficient Bounds for a General Class of Bi-Univalent Functions

H. Orhan^a, N. Magesh^b, V. K. Balaji^c

^aDepartment of Mathematics, Faculty of Science, Ataturk University, 25240 Erzurum, Turkey

^bPost-Graduate and Research Department of Mathematics, Government Arts College for Men, Krishnagiri 635001, Tamilnadu, India

^cDepartment of Mathematics, L.N. Goot College, Ponneri, Chennai, Tamilnadu, India

Abstract. Recently, Srivastava et al. [22] reviewed the study of coefficient problems for bi-univalent functions. Inspired by the pioneering work of Srivastava et al. [22], there has been triggering interest to study the coefficient problems for the different subclasses of bi-univalent functions (see, for example, [1, 3, 6, 7, 27, 29]). Motivated essentially by the aforementioned works, in this paper we propose to investigate the coefficient estimates for a general class of analytic and bi-univalent functions. Also, we obtain estimates on the coefficients $|a_2|$ and $|a_3|$ for functions in this new class. Further, we discuss some interesting remarks, corollaries and applications of the results presented here.

1. Introduction

Let \mathcal{A} denote the class of functions of the form

$$f(z) = z + \sum_{n=2}^{\infty} a_n z^n \quad (1)$$

which are analytic in the open unit disk $\mathbb{U} = \{z : z \in \mathbb{C} \text{ and } |z| < 1\}$. Further, by \mathcal{S} we shall denote the class of all functions in \mathcal{A} which are univalent in \mathbb{U} .

For analytic functions f and g in \mathbb{U} , f is said to be subordinate to g if there exists an analytic function w such that (see, for example, [13])

$$w(0) = 0, \quad |w(z)| < 1 \quad \text{and} \quad f(z) = g(w(z)) \quad (z \in \mathbb{U}).$$

This subordination will be denoted here by

$$f < g \quad (z \in \mathbb{U})$$

or, conventionally, by

$$f(z) < g(z) \quad (z \in \mathbb{U}).$$

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Corresponding author: H. Orhan

Email addresses: orhanhalit607@gmail.com; horhan@atauni.edu.tr (H. Orhan), nmagi_2000@yahoo.co.in (N. Magesh), balajilsp@yahoo.co.in (V. K. Balaji)

In particular, when g is univalent in \mathbb{U} ,

$$f < g \quad (z \in \mathbb{U}) \Leftrightarrow f(0) = g(0) \quad \text{and} \quad f(\mathbb{U}) \subset g(\mathbb{U}).$$

Some of the important and well-investigated subclasses of the univalent function class \mathcal{S} include (for example) the class $\mathcal{S}^*(\alpha)$ of starlike functions of order α ($0 \leq \alpha < 1$) in \mathbb{U} and the class $\mathcal{K}(\alpha)$ of convex functions of order α ($0 \leq \alpha < 1$) in \mathbb{U} , the class $\mathcal{S}_p^\beta(\alpha)$ of β -spirallike functions of order α ($0 \leq \alpha < 1; |\beta| < \frac{\pi}{2}$), the class $\mathcal{S}^*(\varphi)$ of Ma-Minda starlike functions and the class $\mathcal{K}(\varphi)$ of Ma-Minda convex functions (φ is an analytic function with positive real part in \mathbb{U} , $\varphi(0) = 1$, $\varphi'(0) > 0$ and φ maps \mathbb{U} onto a region starlike with respect to 1 and symmetric with respect to the real axis) (see [5, 11, 24]). The above-defined function classes have recently been investigated rather extensively in (for example) [9, 17, 25, 26] and the references therein.

It is well known that every function $f \in \mathcal{S}$ has an inverse f^{-1} , defined by

$$f^{-1}(f(z)) = z \quad (z \in \mathbb{U})$$

and

$$f(f^{-1}(w)) = w \quad (|w| < r_0(f); r_0(f) \geq \frac{1}{4}),$$

where

$$f^{-1}(w) = w - a_2w^2 + (2a_2^2 - a_3)w^3 - (5a_2^3 - 5a_2a_3 + a_4)w^4 + \dots$$

A function $f \in \mathcal{A}$ is said to be bi-univalent in \mathbb{U} if both $f(z)$ and $f^{-1}(z)$ are univalent in \mathbb{U} . Let Σ denote the class of bi-univalent functions in \mathbb{U} given by (1). For a brief history and interesting examples of functions which are in (or which are not in) the class Σ , together with various other properties of the bi-univalent function class Σ one can refer the work of Srivastava et al. [22] and references therein. In fact, the study of the coefficient problems involving bi-univalent functions was reviewed recently by Srivastava et al. [22]. Various subclasses of the bi-univalent function class Σ were introduced and non-sharp estimates on the first two coefficients $|a_2|$ and $|a_3|$ in the Taylor-Maclaurin series expansion (1) were found in several recent investigations (see, for example, [1–4, 6–8, 12, 14, 16, 19–21, 23, 27, 29]). The aforesaid all these papers on the subject were actually motivated by the pioneering work of Srivastava et al. [22]. However, the problem to find the coefficient bounds on $|a_n|$ ($n = 3, 4, \dots$) for functions $f \in \Sigma$ is still an open problem.

Motivated by the aforementioned works (especially [22] and [3, 7]), we define the following subclass of the function class Σ .

Definition 1.1. Let $h : \mathbb{U} \rightarrow \mathbb{C}$, be a convex univalent function such that

$$h(0) = 1 \quad \text{and} \quad h(\bar{z}) = \overline{h(z)} \quad (z \in \mathbb{U} \text{ and } \Re(h(z)) > 0).$$

Suppose also that the function $h(z)$ is given by

$$h(z) = 1 + \sum_{n=1}^{\infty} B_n z^n \quad (z \in \mathbb{U}).$$

A function $f(z)$ given by (1) is said to be in the class $\mathcal{NP}_\Sigma^{\mu, \lambda}(\beta, h)$ if the following conditions are satisfied:

$$f \in \Sigma, \quad e^{i\beta} \left((1 - \lambda) \left(\frac{f(z)}{z} \right)^\mu + \lambda f'(z) \left(\frac{f(z)}{z} \right)^{\mu-1} \right) < h(z) \cos \beta + i \sin \beta \quad (z \in \mathbb{U}), \tag{2}$$

and

$$e^{i\beta} \left((1 - \lambda) \left(\frac{g(w)}{w} \right)^\mu + \lambda g'(w) \left(\frac{g(w)}{w} \right)^{\mu-1} \right) < h(w) \cos \beta + i \sin \beta \quad (w \in \mathbb{U}), \tag{3}$$

where $\beta \in (-\pi/2, \pi/2)$, $\lambda \geq 1$, $\mu \geq 0$ and the function g is given by

$$g(w) = w - a_2 w^2 + (2a_2^2 - a_3)w^3 - (5a_2^3 - 5a_2 a_3 + a_4)w^4 + \dots \quad (4)$$

the extension of f^{-1} to \mathbb{U} .

Remark 1.2. If we set $h(z) = \frac{1+Az}{1+Bz}$, $-1 \leq B < A \leq 1$, in the class $\mathcal{NP}_{\Sigma}^{\mu, \lambda}(\beta, h)$, we have $\mathcal{NP}_{\Sigma}^{\mu, \lambda}(\beta, \frac{1+Az}{1+Bz})$ and defined as

$$f \in \Sigma, \quad e^{i\beta} \left((1-\lambda) \left(\frac{f(z)}{z} \right)^{\mu} + \lambda f'(z) \left(\frac{f(z)}{z} \right)^{\mu-1} \right) < \frac{1+Az}{1+Bz} \cos \beta + i \sin \beta \quad (z \in \mathbb{U})$$

and

$$e^{i\beta} \left((1-\lambda) \left(\frac{g(w)}{w} \right)^{\mu} + \lambda g'(w) \left(\frac{g(w)}{w} \right)^{\mu-1} \right) < \frac{1+Aw}{1+Bw} \cos \beta + i \sin \beta \quad (w \in \mathbb{U}),$$

where $\beta \in (-\pi/2, \pi/2)$, $\lambda \geq 1$, $\mu \geq 0$ and the function g is given by (4).

Remark 1.3. Taking $h(z) = \frac{1+(1-2\alpha)z}{1-z}$, $0 \leq \alpha < 1$ in the class $\mathcal{NP}_{\Sigma}^{\mu, \lambda}(\beta, h)$, we have $\mathcal{NP}_{\Sigma}^{\mu, \lambda}(\beta, \alpha)$ and $f \in \mathcal{NP}_{\Sigma}^{\mu, \lambda}(\beta, \alpha)$ if the following conditions are satisfied:

$$f \in \Sigma, \quad \Re \left(e^{i\beta} \left((1-\lambda) \left(\frac{f(z)}{z} \right)^{\mu} + \lambda f'(z) \left(\frac{f(z)}{z} \right)^{\mu-1} \right) \right) > \alpha \cos \beta \quad (z \in \mathbb{U})$$

and

$$\Re \left(e^{i\beta} \left((1-\lambda) \left(\frac{g(w)}{w} \right)^{\mu} + \lambda g'(w) \left(\frac{g(w)}{w} \right)^{\mu-1} \right) \right) > \alpha \cos \beta \quad (w \in \mathbb{U}),$$

where $\beta \in (-\pi/2, \pi/2)$, $0 \leq \alpha < 1$, $\lambda \geq 1$, $\mu \geq 0$ and the function g is given by (4). It is interest to note that the class $\mathcal{NP}_{\Sigma}^{\mu, \lambda}(0, \alpha) := \mathcal{N}_{\Sigma}^{\mu, \lambda}(\alpha)$ the class was introduced and studied by Çağlar et al. [3].

Remark 1.4. Taking $\lambda = 1$ and $h(z) = \frac{1+(1-2\alpha)z}{1-z}$, $0 \leq \alpha < 1$ in the class $\mathcal{NP}_{\Sigma}^{\mu, \lambda}(\beta, h)$, we have $\mathcal{NP}_{\Sigma}^{\mu, 1}(\beta, \alpha)$ and $f \in \mathcal{NP}_{\Sigma}^{\mu, 1}(\beta, \alpha)$ if the following conditions are satisfied:

$$f \in \Sigma, \quad \Re \left(e^{i\beta} f'(z) \left(\frac{f(z)}{z} \right)^{\mu-1} \right) > \alpha \cos \beta \quad (z \in \mathbb{U})$$

and

$$\Re \left(e^{i\beta} g'(w) \left(\frac{g(w)}{w} \right)^{\mu-1} \right) > \alpha \cos \beta \quad (w \in \mathbb{U}),$$

where $\beta \in (-\pi/2, \pi/2)$, $0 \leq \alpha < 1$, $\mu \geq 0$ and the function g is given by (4). We notice that the class $\mathcal{NP}_{\Sigma}^{\mu, 1}(0, \alpha) := \mathcal{F}_{\Sigma}(\mu, \alpha)$ was introduced by Prema and Keerthi [16].

Remark 1.5. Taking $\mu + 1 = \lambda = 1$ and $h(z) = \frac{1+(1-2\alpha)z}{1-z}$, $0 \leq \alpha < 1$ in the class $\mathcal{NP}_{\Sigma}^{\mu, \lambda}(\beta, h)$, we have $\mathcal{NP}_{\Sigma}^{0, 1}(\beta, \alpha)$ and $f \in \mathcal{NP}_{\Sigma}^{0, 1}(\beta, \alpha)$ if the following conditions are satisfied:

$$f \in \Sigma, \quad \Re \left(e^{i\beta} \frac{z f'(z)}{f(z)} \right) > \alpha \cos \beta \quad (z \in \mathbb{U})$$

and

$$\Re \left(e^{i\beta} \frac{wg'(w)}{g(w)} \right) > \alpha \cos \beta \quad (w \in \mathbb{U}),$$

where $\beta \in (-\pi/2, \pi/2)$, $0 \leq \alpha < 1$ and the function g is given by (4). In addition, the class $\mathcal{NP}_{\Sigma}^{0,1}(0, \alpha) := \mathcal{S}_{\Sigma}^*(\alpha)$ was studied by Li and Wang [10] and considered by others.

Remark 1.6. Taking $\mu = 1$ and $h(z) = \frac{1+(1-2\alpha)z}{1-z}$, $0 \leq \alpha < 1$ in the class $\mathcal{NP}_{\Sigma}^{\mu,\lambda}(\beta, h)$, we have $\mathcal{NP}_{\Sigma}^{1,\lambda}(\beta, \alpha)$ and $f \in \mathcal{NP}_{\Sigma}^{1,\lambda}(\beta, \alpha)$ if the following conditions are satisfied:

$$f \in \Sigma, \quad \Re \left(e^{i\beta} \left((1-\lambda) \frac{f(z)}{z} + \lambda f'(z) \right) \right) > \alpha \cos \beta \quad (z \in \mathbb{U})$$

and

$$\Re \left(e^{i\beta} \left((1-\lambda) \frac{g(w)}{w} + \lambda g'(w) \right) \right) > \alpha \cos \beta \quad (w \in \mathbb{U}),$$

where $\beta \in (-\pi/2, \pi/2)$, $0 \leq \alpha < 1$, $\lambda \geq 1$ and the function g is given by (4). Further, the class $\mathcal{NP}_{\Sigma}^{1,\lambda}(0, \alpha) := \mathcal{B}_{\Sigma}(\alpha, \lambda)$ was introduced and discussed by Frasin and Aouf [6]

Remark 1.7. Taking $\mu = \lambda = 1$ and $h(z) = \frac{1+(1-2\alpha)z}{1-z}$, $0 \leq \alpha < 1$ in the class $\mathcal{NP}_{\Sigma}^{\mu,\lambda}(\beta, h)$, we have $\mathcal{NP}_{\Sigma}^{1,1}(\beta, \alpha)$ and $f \in \mathcal{NP}_{\Sigma}^{1,1}(\beta, \alpha)$ if the following conditions are satisfied:

$$f \in \Sigma, \quad \Re \left(e^{i\beta} f'(z) \right) > \alpha \cos \beta \quad (z \in \mathbb{U})$$

and

$$\Re \left(e^{i\beta} g'(w) \right) > \alpha \cos \beta \quad (w \in \mathbb{U}),$$

where $\beta \in (-\pi/2, \pi/2)$, $0 \leq \alpha < 1$ and the function g is given by (4). Also, the class $\mathcal{NP}_{\Sigma}^{1,1}(0, \alpha) := \mathcal{H}_{\Sigma}^{\alpha}$ was introduced and studied by Srivastava et al. [22].

In order to derive our main result, we have to recall here the following lemmas.

Lemma 1.8. [15] If $p \in \mathcal{P}$, then $|p_i| \leq 2$ for each i , where \mathcal{P} is the family of all functions p , analytic in \mathbb{U} , for which

$$\Re \{p(z)\} > 0 \quad (z \in \mathbb{U}),$$

where

$$p(z) = 1 + p_1z + p_2z^2 + \dots \quad (z \in \mathbb{U}).$$

Lemma 1.9. [18, 28] Let the function $\varphi(z)$ given by

$$\varphi(z) = \sum_{n=1}^{\infty} B_n z^n \quad (z \in \mathbb{U})$$

be convex in \mathbb{U} . Suppose also that the function $h(z)$ given by

$$\psi(z) = \sum_{n=1}^{\infty} \psi_n z^n \quad (z \in \mathbb{U})$$

is holomorphic in \mathbb{U} . If

$$\psi(z) < \varphi(z) \quad (z \in \mathbb{U})$$

then

$$|\psi_n| \leq |B_1| \quad (n \in \mathbb{N} = \{1, 2, 3, \dots\}).$$

The object of the present paper is to introduce a general new subclass $\mathcal{NP}_{\Sigma}^{\mu,\lambda}(\beta, h)$ of the function class Σ and obtain estimates of the coefficients $|a_2|$ and $|a_3|$ for functions in this new class $\mathcal{NP}_{\Sigma}^{\mu,\lambda}(\beta, h)$.

2. Coefficient Bounds for the Function Class $\mathcal{NP}_{\Sigma}^{\mu,\lambda}(\beta, h)$

In this section we find the estimates on the coefficients $|a_2|$ and $|a_3|$ for functions in the class $\mathcal{NP}_{\Sigma}^{\mu,\lambda}(\beta, h)$.

Theorem 2.1. *Let $f(z)$ given by (1) be in the class $\mathcal{NP}_{\Sigma}^{\mu,\lambda}(\beta, h)$, $\lambda \geq 1$ and $\mu \geq 0$, then*

$$|a_2| \leq \sqrt{\frac{2|B_1| \cos \beta}{(1 + \mu)(2\lambda + \mu)}} \tag{5}$$

and

$$|a_3| \leq \frac{2|B_1| \cos \beta}{(2\lambda + \mu)(1 + \mu)}, \tag{6}$$

where $\beta \in (-\pi/2, \pi/2)$.

Proof. It follows from (2) and (3) that there exists $p, q \in \mathcal{P}$ such that

$$e^{i\beta} \left((1 - \lambda) \left(\frac{f(z)}{z} \right)^{\mu} + \lambda f'(z) \left(\frac{f(z)}{z} \right)^{\mu-1} \right) = p(z) \cos \beta + i \sin \beta \tag{7}$$

and

$$e^{i\beta} \left((1 - \lambda) \left(\frac{g(w)}{w} \right)^{\mu} + \lambda g'(w) \left(\frac{g(w)}{w} \right)^{\mu-1} \right) = p(w) \cos \beta + i \sin \beta, \tag{8}$$

where $p(z) < h(z)$ and $q(w) < h(w)$ have the forms

$$p(z) = 1 + p_1z + p_2z^2 + \dots \quad (z \in \mathbb{U}) \tag{9}$$

and

$$q(w) = 1 + q_1w + q_2w^2 + \dots \quad (w \in \mathbb{U}). \tag{10}$$

Equating coefficients in (7) and (8), we get

$$e^{i\beta}(\lambda + \mu)a_2 = p_1 \cos \beta \tag{11}$$

$$e^{i\beta} \left[\frac{a_2^2}{2}(\mu - 1) + a_3 \right] (2\lambda + \mu) = p_2 \cos \beta \tag{12}$$

$$-e^{i\beta}(\lambda + \mu)a_2 = q_1 \cos \beta \tag{13}$$

and

$$e^{i\beta} \left[(\mu + 3) \frac{a_2^2}{2} - a_3 \right] (2\lambda + \mu) = q_2 \cos \beta. \tag{14}$$

From (11) and (13), we get

$$p_1 = -q_1 \tag{15}$$

and

$$2e^{i2\beta}(\lambda + \mu)^2 a_2^2 = (p_1^2 + q_1^2) \cos^2 \beta. \tag{16}$$

Also, from (12) and (14), we obtain

$$a_2^2 = \frac{e^{-i\beta}(p_2 + q_2) \cos \beta}{(1 + \mu)(2\lambda + \mu)}. \tag{17}$$

Since $p, q \in h(\mathbb{U})$, applying Lemma 1.9, we immediately have

$$|p_m| = \left| \frac{p^{(m)}(0)}{m!} \right| \leq |B_1| \quad (m \in \mathbb{N}), \tag{18}$$

and

$$|q_m| = \left| \frac{q^{(m)}(0)}{m!} \right| \leq |B_1| \quad (m \in \mathbb{N}). \tag{19}$$

Applying (18), (19) and Lemma 1.9 for the coefficients p_1, p_2, q_1 and q_2 , we readily get

$$|a_2| \leq \sqrt{\frac{2|B_1| \cos \beta}{(1 + \mu)(2\lambda + \mu)}}.$$

This gives the bound on $|a_2|$ as asserted in (5).

Next, in order to find the bound on $|a_3|$, by subtracting (14) from (12), we get

$$2(a_3 - a_2^2)(2\lambda + \mu) = e^{-i\beta}(p_2 - q_2) \cos \beta. \tag{20}$$

It follows from (17) and (20) that

$$a_3 = \frac{e^{-i\beta} \cos \beta (p_2 + q_2)}{(1 + \mu)(2\lambda + \mu)} + \frac{e^{-i\beta} (p_2 - q_2) \cos \beta}{2(2\lambda + \mu)}. \tag{21}$$

Applying (18), (19) and Lemma 1.9 once again for the coefficients p_1, p_2, q_1 and q_2 , we readily get

$$|a_3| \leq \frac{2|B_1| \cos \beta}{(2\lambda + \mu)(1 + \mu)}.$$

This completes the proof of Theorem 2.1. \square

3. Corollaries and Consequences

In view of Remark 1.2, if we set

$$h(z) = \frac{1 + Az}{1 + Bz} \quad (-1 \leq B < A \leq 1; z \in \mathbb{U})$$

and

$$h(z) = \frac{1 + (1 - 2\alpha)z}{1 - z} \quad (0 \leq \alpha < 1; z \in \mathbb{U}),$$

in Theorem 2.1, we can readily deduce Corollaries 3.1 and 3.2, respectively, which we merely state here without proof.

Corollary 3.1. Let $f(z)$ given by (1) be in the class $\mathcal{NP}_{\Sigma}^{\mu,\lambda}(\beta, \frac{1+Az}{1+Bz})$, then

$$|a_2| \leq \sqrt{\frac{2(A-B)\cos\beta}{(1+\mu)(2\lambda+\mu)}} \quad (22)$$

and

$$|a_3| \leq \frac{2(A-B)\cos\beta}{(2\lambda+\mu)(1+\mu)}, \quad (23)$$

where $\beta \in (-\pi/2, \pi/2)$, $\mu \geq 0$ and $\lambda \geq 1$.

Corollary 3.2. Let $f(z)$ given by (1) be in the class $\mathcal{NP}_{\Sigma}^{\mu,\lambda}(\beta, \alpha)$, $0 \leq \alpha < 1$, $\mu \geq 0$ and $\lambda \geq 1$, then

$$|a_2| \leq \sqrt{\frac{4(1-\alpha)\cos\beta}{(1+\mu)(2\lambda+\mu)}} \quad (24)$$

and

$$|a_3| \leq \frac{4(1-\alpha)\cos\beta}{(2\lambda+\mu)(1+\mu)}, \quad (25)$$

where $\beta \in (-\pi/2, \pi/2)$.

Remark 3.3. When $\beta = 0$ the estimates of the coefficients $|a_2|$ and $|a_3|$ of the Corollary 3.2 are improvement of the estimates obtained in [3, Theorem 3.1].

Corollary 3.4. Let $f(z)$ given by (1) be in the class $\mathcal{NP}_{\Sigma}^{\mu,1}(\beta, \alpha)$, $0 \leq \alpha < 1$ and $\mu \geq 0$, then

$$|a_2| \leq \sqrt{\frac{4(1-\alpha)\cos\beta}{(1+\mu)(2+\mu)}} \quad (26)$$

and

$$|a_3| \leq \frac{4(1-\alpha)\cos\beta}{(2+\mu)(1+\mu)}, \quad (27)$$

where $\beta \in (-\pi/2, \pi/2)$.

Corollary 3.5. Let $f(z)$ given by (1) be in the class $\mathcal{NP}_{\Sigma}^{0,1}(\beta, \alpha)$, $0 \leq \alpha < 1$, then

$$|a_2| \leq \sqrt{2(1-\alpha)\cos\beta} \quad (28)$$

and

$$|a_3| \leq 2(1-\alpha)\cos\beta, \quad (29)$$

where $\beta \in (-\pi/2, \pi/2)$.

Remark 3.6. Taking $\beta = 0$ in Corollary 3.5, the estimate (28) reduces to $|a_2|$ of [10, Corollary 3.3] and (29) is improvement of $|a_3|$ obtained in [10, Corollary 3.3].

Corollary 3.7. Let $f(z)$ given by (1) be in the class $\mathcal{NP}_{\Sigma}^{1,\lambda}(\beta, \alpha)$, $0 \leq \alpha < 1$ and $\lambda \geq 1$, then

$$|a_2| \leq \sqrt{\frac{2(1-\alpha)\cos\beta}{2\lambda+1}} \quad (30)$$

and

$$|a_3| \leq \frac{2(1-\alpha)\cos\beta}{2\lambda+1}, \quad (31)$$

where $\beta \in (-\pi/2, \pi/2)$.

Remark 3.8. Taking $\beta = 0$ in Corollary 3.7, the inequality (31) improves the estimate of $|a_3|$ in [6, Theorem 3.2].

Corollary 3.9. Let $f(z)$ given by (1) be in the class $\mathcal{NP}_{\Sigma}^{1,1}(\beta, \alpha)$, $0 \leq \alpha < 1$, then

$$|a_2| \leq \sqrt{\frac{2(1-\alpha)\cos\beta}{3}} \quad (32)$$

and

$$|a_3| \leq \frac{2(1-\alpha)\cos\beta}{3}, \quad (33)$$

where $\beta \in (-\pi/2, \pi/2)$.

Remark 3.10. For $\beta = 0$ the inequality (33) improves the estimate $|a_3|$ of [22, Theorem 2].

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