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# Inorganic salt interference on CO2+ in Aerodyne AMS and ACSM

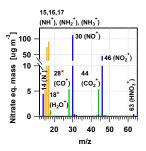
- 4 organic aerosol composition studies.
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#### Abstract

- 20 The Aerodyne aerosol mass spectrometer (AMS) and Aerodyne aerosol chemical speciation
- 21 monitor (ACSM) mass spectral fingerprints are widely used to determine organic aerosol (OA)
- 22 elemental composition and oxidation state, and to quantify OA sources. The OA CO<sub>2</sub><sup>+</sup> fragment is
- among the most important measurements for such analyses. Here, we show that a non-particle
- bound CO<sub>2</sub><sup>+</sup> signal can arise from reactions on the particle vaporizer and/or ion chamber induced
- by thermal decomposition products of inorganic salts. In our tests (8 instruments, n=29)
- ammonium nitrate (NH<sub>4</sub>NO<sub>3</sub>) causes a median CO<sub>2</sub><sup>+</sup> interference signal of +3.4% relative to
- 27 nitrate, and is highly variable among instruments and with measurement history (percentiles P<sub>10</sub>.
- $\frac{28}{90}$  =+0.4 to +10.2%). Other semi-refractory nitrate salts showed 2-10 times enhanced interference
- compared to NH<sub>4</sub>NO<sub>3</sub>, while the ammonium sulfate ((NH<sub>4</sub>)<sub>2</sub>SO<sub>4</sub>) induced interference was 3-10
- 30 times lower. As the  $CO_2^+$  interference is propagated to other mass spectral ions, it affects the
- times lower. As the  $CO_2$  interference is propagated to other mass spectral ions, it affects the calculated OA mass, mass spectra, molecular oxygen-to-carbon (O:C) ratio and  $f_{44}$ . The resulting
- calculated O/V mass, mass spectra, molecular oxygen-to-carbon (O.C) ratio and 344. The resulting
- 32 bias may be trivial for most ambient datasets, but can be significant for aerosol with higher
- inorganic fractions (>50%), e.g. at low ambient temperatures, or in laboratory experiments. The
- 34 large inter-instrument variation makes it imperative to regularly monitor the extent of this effect
- on individual AMS/ACSM systems.

#### **TOC Graphic** 36

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- estimated from CO<sub>2</sub> \* (m/z 44),
  CO<sub>2</sub> from oxidation of carbonaceous deposits by NH<sub>4</sub>NO<sub>3</sub> particles
- "Organic" signal from oxidation products of carbonaceous deposits by NH<sub>4</sub>NO<sub>3</sub> particles
  NO<sub>3</sub> from NH<sub>4</sub>NO<sub>3</sub> particles
  NH<sub>4</sub> from NH<sub>4</sub>NO<sub>3</sub> particles

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39 Graphical Abstract (TOC).

#### Introduction

- 41 Aerosol climate<sup>1</sup> and health<sup>2</sup> effects depend on many parameters, including particle size and
- 42 chemical composition. Organic aerosol (OA) is often a large fraction of the total ambient aerosol
- mass, with highly variable properties and sources.<sup>3</sup> Its chemical composition, especially the
- oxidation state, is a key parameter for the description of OA volatility<sup>4</sup>, hygroscopicity<sup>5</sup> and
- 45 atmospheric processing<sup>3</sup>.
- The Aerodyne aerosol mass spectrometer<sup>6-8</sup> (AMS) and the aerosol chemical speciation monitor<sup>9</sup>,
- 47 (ACSM) permit simultaneous quantification of ammonium nitrate (NH<sub>4</sub>NO<sub>3</sub>), ammonium
- sulfate ((NH<sub>4</sub>)<sub>2</sub>SO<sub>4</sub>), ammonium chloride (NH<sub>4</sub>Cl) and OA mass in sub-micron aerosol in real-
- 49 time. OA mass spectra provide valuable information on the degree of oxygenation of organic
- species and are used extensively in factor analysis to quantify primary vs secondary organic
- aerosol sources (SOA). 11 SOA is typically captured by one or more oxygenated organic aerosol
- 52 (OOA) factors which are characterized by different fractional contribution of the CO<sub>2</sub><sup>+</sup> fragment
- at m/z 44 ( $f_{44}$ ) a surrogate for organic acids and therefore aged aerosols.<sup>5, 8, 11, 13</sup> The effect of the
- 54 CO<sub>2</sub><sup>+</sup> fragment is further amplified in total OA mass and molecular oxygen-to-carbon (O:C)
- calculations, because it is used to estimate the intensities of several other related OOA fragments,
- such as CO<sup>+</sup> and H<sub>2</sub>O<sup>+</sup>. Therefore, the accurate measurement of the CO<sub>2</sub><sup>+</sup> fragment is
- 57 important for OA quantification, estimations of bulk elemental composition and properties, such
- as O:C ratio<sup>14</sup> and  $f_{44}$ - $f_{43}$  relationship<sup>15</sup>, and source apportionment.
- 59 AMS/ACSM instruments impact and flash-vaporize a focused particle beam in a high vacuum
- onto an inverted cone-shaped porous tungsten vaporizer, typically heated to 600 °C 8, 16 to
- 61 generate vapors that can undergo electron ionization and mass spectral classification. When
- applied to heterogeneous mixtures of chemical constituents, the flash-vaporization technique may
- be sensitive to memory or matrix effects. For example, instrument-to-instrument variability of
- especially  $f_{44}$  was recently indicated in an inter-comparison of 14 quadrupole (Q)-ACSMs, 1 high
- resolution time-of-flight (HR-ToF)-ACSM and 1 HR-ToF-AMS for ambient winter time aerosol
- in Paris. 19, 20 The observed variability is interpreted as instrument-dependent degree of pyrolysis
- and species dependent evaporation time scales in the samples, and is currently under further
- 68 investigations (publication forthcoming). While no matrix effects between inorganic species and
- organic/inorganic mixtures were observed in the above mentioned inter-comparison <sup>19, 20</sup> and other
- studies 17, 18, 21, the direct interactions of particles with the vaporizer, and small memory effects

were reported in the past.<sup>16, 21, 22</sup> Therefore, interactions of particles with the vaporizer and its surrounding ion chamber merit further investigations. Here we assess the effect of inorganic matrices on measured OA mass spectra. We focus, in particular, on the CO<sub>2</sub><sup>+</sup> fragment signal, and the impact that inorganic salts can have on the determination of OA mass and degree of oxidation in typical datasets.

## **Experimental**

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AMS/ACSM Instruments. 6 HR-ToF-AMS<sup>7</sup> (HR1-6), 1 compact-ToF-AMS<sup>23</sup> (CToF) and 1 Q-ACSM<sup>10</sup> (ACSM), all equipped with inverted cone-shaped porous tungsten vaporizers, were examined. The HR- and CToF-AMS provide quantitative size-resolved mass spectra of the nonrefractory particle components. The particle beam is sampled through an aerodynamic lens and focused onto the heated porous tungsten vaporizer in high vacuum (10<sup>-5</sup> Pa). The non-refractory particle components flash-vaporize and the resulting gas is ionized by electron ionization (EI) and classified in a mass spectrometer. We operated the instruments under standard conditions with a vaporizer temperature  $(T_{vap})$  of 600 °C unless noted otherwise. Prior to flash-vaporization, the beam is either alternately blocked (closed) and unblocked (open), yielding particle mass spectra (MS mode) following subtraction of the two, or modulated by a spinning chopper wheel, yielding size-resolved spectra (PToF mode). Beam open and closed times are given in Table S1 (SI) for the conducted experiments; general switching times were 2.5 or 5 s for the HR instruments and 7.5 s for the CToF. Gas phase interferences are further accounted for by subtracting the signal during measurements of particle free sampling air. The Q-ACSM is limited to integer mass resolution and does not have a size resolution module, but provides quantitative mass spectra of the non-refractory aerosol, by alternately measuring the total and the filtered air. ACSM scans were recorded between m/z 10 and 149 at a scan rate of 200 ms amu<sup>-1</sup> and the filter was switched after each scan, resulting in a switching time of 30 s. All data presented derive from open minus closed signals.

Experiments. Investigations were performed using laboratory aerosols generated (a) by nebulization of solutions, and (b) in smog chamber experiments (α-pinene (AP) SOA and NH<sub>4</sub>NO<sub>3</sub>). Analytical grade chemicals (Sigma-Aldrich, purity at least  $\geq$  98%) including NH<sub>4</sub>NO<sub>3</sub>, (NH<sub>4</sub>)<sub>2</sub>SO<sub>4</sub>, NH<sub>4</sub>Cl, sodium nitrate (NaNO<sub>3</sub>), potassium nitrate (KNO<sub>3</sub>), calcium nitrate (Ca(NO<sub>3</sub>)<sub>2</sub>), and isotopically labelled ammonium nitrate (NH<sub>4</sub>N<sup>18</sup>O<sub>3</sub>, 95 atom-% <sup>18</sup>O), were studied. Ultra-pure water (18.2 MΩ cm, total organic carbon < 5 ppb, 25°C) was used. a)

- Laboratory aerosol from high purity salts. The salts were dissolved in ultra-pure water and nebulized in a custom-built nebulizer using synthetic,  $CO_2$ -free air  $(N_2/O_2, 4.6)$  or argon (5.0). The aerosol was dried and either sampled directly by the instruments, or passed through a bipolar charger and size selected with a differential mobility analyzer before sampling. Blank measurements with ultra-pure water were conducted identically.
- b) Smog chamber (SC) experiments. To study the effect of NH<sub>4</sub>NO<sub>3</sub> when mixed with OA, a series of experiments were performed in a 7 m<sup>3</sup> Teflon film SC: (i) pure α-pinene (AP) SOA (experiment HR3-ExptAP-1 to 3, Table S1-2), (ii) AP SOA mixed with NH<sub>4</sub>NO<sub>3</sub> (HR3-ExptAP-AN-1 to 6), and (iii) pure NH<sub>4</sub>NO<sub>3</sub> aerosol (HR3-Expt4/5) were investigated. Prior to each experiment the SC was reduced to a volume of 1 m<sup>3</sup>, cleaned with O<sub>3</sub>, water, and UV lights for 1 h, and thereafter flushed with dry clean air for 12 hours. For the AP SOA experiments, the SC was filled with humid air. Then (i) 30-40 ppb of AP were reacted with 300 ppb O<sub>3</sub>. To study (ii) AP SOA mixed with NH<sub>4</sub>NO<sub>3</sub> 50 - 400 ppb NH<sub>3</sub> (6.0) was injected into the SC after all AP was reacted, followed by subsequent injections of HNO<sub>3</sub> to form internally mixed AP SOA/NH<sub>4</sub>NO<sub>3</sub> particles. The HNO<sub>3</sub> was provided by nebulization of a diluted solution. Two experiments were conducted in which only pure NH<sub>4</sub>NO<sub>3</sub> was formed in situ in the SC (iii). The SC was filled with humid air. Then, 200 ppb NH<sub>3</sub> and nebulized HNO<sub>3</sub>were injected (HR3-Expt4). In the second experiment, HNO<sub>3</sub> was formed in situ by OH oxidation of NO<sub>2</sub> (HR3-Expt5)... 1 ppm NO<sub>2</sub> (1.8) and 300 ppb O<sub>3</sub> were injected. Nitrous acid (HONO) was prepared online according to Taira et al.<sup>24</sup>, continuously flushed into the SC, and UV lights were turned on. Thereafter, 400 ppb NH<sub>3</sub> was injected to form NH<sub>4</sub>NO<sub>3</sub>. Particle phase instruments included an HR-ToF-AMS (HR3), a scanning mobility particle sizer and a condensation particle counter, all placed behind a Nafion dryer (RH behind dryer below 25%). A PTR-ToF-MS<sup>25</sup> was used to measure the concentrations of AP and d9-butanol, the decay of which is used as an OH tracer.<sup>26</sup>

#### **Results and Discussion**

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**Non-particle-bound CO<sub>2</sub><sup>+</sup> from NH<sub>4</sub>NO<sub>3</sub>.** AMS/ACSM aerosol mass spectra of NH<sub>4</sub>NO<sub>3</sub> - the most abundant form of inorganic nitrate in ambient aerosol - show CO<sub>2</sub><sup>+</sup> at *m/z* 44 (exact mass 43.9898), which cannot be explained by the main ions expected from the decomposition and fragmentation of NH<sub>4</sub>NO<sub>3</sub>, i.e. NH<sup>+</sup>, NH<sub>2</sub><sup>+</sup>, NH<sub>3</sub><sup>+</sup>, NO<sup>+</sup>, NO<sub>2</sub><sup>+</sup> and HNO<sub>3</sub><sup>+</sup>(Fig. 1 and SI). A subset of tests conducted in argon to minimize the gas phase nitrogen background at *m/z* 28 reveals that

132 CO<sup>+</sup> is also observed. Additional unexpected ions were not detected above instrument

background.

The observed  $\mathrm{CO_2}^+$  signal is directly proportional to the nitrate signal (denoted NO<sub>3</sub> to distinguish from the NO<sub>3</sub><sup>+</sup> ion (m/z 62) measured by the AMS/ACSM), which refers to the summed signal of all ions attributed to NO<sub>3</sub> following the standard fragmentation assumptions. <sup>12, 13</sup> CO<sub>2</sub><sup>+</sup> increases monotonically with increasing NH<sub>4</sub>NO<sub>3</sub> concentrations (Eq. 1, Fig. S1, CO<sup>+</sup> shows similar trends as  $\mathrm{CO_2}^+$  but is not presented as only a limited number of tests were conducted in argon The magnitude of  $CO_2^+$  relative to the anion signal is described by the slope k in Eq. 1. If a gas phase CO<sub>2</sub> background or any other constant source of CO<sub>2</sub> is not subtracted in this analysis, a non-zero intercept d is observed. However, this does not influence the determined k.

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$$CO_2^+ = k$$
 anion signal + d Eq. 1

To derive k for NH<sub>4</sub>NO<sub>3</sub> the orthogonal distance linear fit is determined from CO<sub>2</sub><sup>+</sup> and NO<sub>3</sub> signals in nitrate equivalent mass (i.e. using a relative ionization efficiency of RIE=1).<sup>8</sup> This is equivalent to deriving k from ion counts in Hz.

 ${\rm CO_2}^+$  related to NH<sub>4</sub>NO<sub>3</sub> sampling on the vaporizer is observed on all the tested instruments (6 HR, 1 CTOF and 1 ACSM), albeit the magnitude of k varies significantly between the tested instruments, and for a given instrument as a function of operation history. Our experiments (n=29 across 8 instruments) indicate a median, i.e.  $50^{th}$  percentile  $P_{50}$  of +3.4% ( $10^{th}$  and  $90^{th}$  percentiles:  $P_{10^-90}$ =+0.4 to +10.2%, Fig. 2a and Fig. S1). While HR4-6 (n=1-2) fall around the  $P_{10}$  estimate, the ACSM (n=1) falls above  $P_{75}$ . A wide spread of k as a function of measurement history is observed on instruments if monitored over a longer time period (HR1-3 as well as CTOF, for which data points spanning several years are available). Critically, these results show that an assumption of a single k for a given instrument or a standard k for all AMS/ACSM instruments is not warranted (further discussed below and in SI).

Carbonaceous species ("organic signal") including CO<sub>2</sub><sup>+</sup> (and CO<sup>+</sup>) are generally detectable in the instrument background (*closed* mass spectra) even in the absence of active aerosol sampling. These signals are due to memory effects<sup>21</sup>, and the slow release and thermal decomposition of semi-refractory residues deposited by particles on the 600 °C heated AMS/ACSM vaporizer and ion chamber walls. These deposited carbonaceous residues may include charred organic carbon (OC), elemental carbon (EC), and semi-refractory carbonates.

It is, in addition to contributing to the background signal, released as a consequence of reactions induced by thermal decomposition products of impinging NH<sub>4</sub>NO<sub>3</sub> particles, leading to the rapid generation of CO<sub>2</sub> presented in the current study. As the instruments are exposed to varying levels and composition of aerosol over time, *k* varies with the instruments' memory. The relatively high *k* for the tested ACSM is thereby not surprising, due to longer sampling intervals on the vaporizer (typically 30 sec) compared to below 5 sec for AMS instruments, which favors memory effects (Fig. 2, Fig. S1, Fig. S2).

A contribution of particle-bound carbon or CO<sub>2</sub> in the aerosol source in our experiments is ruled out by using high purity chemicals, water and gases, along with simultaneous measurements on multiple instruments, and sampling of high purity NH<sub>4</sub>NO<sub>3</sub> synthesized from gas phase precursors in a SC. However, other sources of carbon in the system might be impurities in the vaporizer material (99.9% porous tungsten, SI) itself as well as carbided tungsten filaments.<sup>27</sup>

Oxidation of organic carbonaceous residues to CO and CO<sub>2</sub> by HNO<sub>3</sub>/NO<sub>x</sub> is well known in mass spectrometry research of NO<sub>2</sub> and HNO<sub>3</sub>.<sup>27, 28</sup> Soot oxidation research and the automobile industry make use of carbon oxidation under high NO<sub>x</sub> conditions.<sup>29-32</sup> For instance, high NO<sub>x</sub> levels and enhanced temperatures in the vehicle exhaust are used to facilitate regeneration of diesel particulate filters and to burn off carbonaceous deposits to CO<sub>2</sub>. On the other hand, (elemental) carbon is used as a catalyst for the selective catalytic reduction of NO<sub>x</sub> to N<sub>2</sub>.<sup>33-35</sup> Likely, similar conditions drive the CO and CO<sub>2</sub> formation inside the ion chamber, when NH<sub>4</sub>NO<sub>3</sub> or other nitrate salts decompose to HNO<sub>3</sub>, NO<sub>2</sub> and NO (NO<sub>x</sub>) on the 600 °C heated AMS/ACSM vaporizer. Also the observed CO<sup>+</sup>/CO<sub>2</sub><sup>+</sup> ratio around 1 (note: EI impact CO<sup>+</sup>/CO<sub>2</sub><sup>+</sup> ratio<sup>36</sup>=0.1) is in line with literature reports on soot oxidation with nitrogen oxides (0.2-1).<sup>37</sup> The fact that other small oxygenated fragments are not observed to similar extents is likely a result of induced surface oxidation of larger molecules The linear relationship observed between the CO<sub>2</sub><sup>+</sup> and NO<sub>3</sub> signal, and its evenly distributed fit residuals as a function of NO<sub>3</sub> concentration (Fig. S1f), imply that the CO<sub>2</sub> production follows first order reaction kinetics with respect to NO<sub>3</sub>, where the actual oxidants (HNO<sub>3</sub>/NO<sub>3</sub>) are in turn proportional to NO<sub>3</sub>.

Isotopically labelled ammonium nitrate (NH<sub>4</sub>N<sup>18</sup>O<sub>3</sub>, 95 atom-% <sup>18</sup>O) was used to study the source of oxygen in the observed  $CO_2^+$ . The replacement of the <sup>16</sup>O isotope by an <sup>18</sup>O isotope in the NH<sub>4</sub>NO<sub>3</sub> reduced the  $C^{16}O_2^+$  observed at nominal m/z 44 at least by 40-70% (Fig. 2b). Contributions to  $C^{16}O^{18}O^+$  (m/z 45.9940) and  $C^{18}O_2^+$  (m/z 47.9983) could not be directly estimated, due to peak overlap and thereby potential contributions of N<sup>16</sup>O<sub>2</sub><sup>+</sup> (m/z 45.9929) and

N<sup>16</sup>O<sup>18</sup>O<sup>+</sup> (*m/z* 47.9971). The reduction at *m/z* 44 confirms our hypothesis that a significant part of the CO<sub>2</sub><sup>+</sup> arises from the reactions of HNO<sub>3</sub>/NO<sub>x</sub> with deposited refractory carbonaceous matter (charred OC or genuine EC). The remaining C<sup>16</sup>O<sub>2</sub><sup>+</sup> (30-60%) in these labelled experiments can arise from oxygen in the previously deposited carbonaceous material (e.g. OC and oxidized EC). Alternatively, deposited carbonates may release CO<sub>2</sub> upon reaction with HNO<sub>3</sub>/NO<sub>x</sub>, contributing to C<sup>16</sup>O<sub>2</sub><sup>+</sup> in these experiments, and to CO<sub>2</sub> in normal operation (e.g. CO<sub>2</sub> release from Ca(NO<sub>3</sub>)<sub>2</sub> upon reaction with HNO<sub>3</sub>).<sup>38</sup> Likewise, <sup>16</sup>O impurities in the tungsten vaporizer material could contribute to the remaining C<sup>16</sup>O<sub>2</sub>. Drewnick et al. <sup>16</sup> showed recently that the contribution of gas phase <sup>16</sup>O<sub>2</sub> for vaporizer-oxidation processes is negligible. Oxygen however facilitates carbon oxidation by NO<sub>x</sub><sup>39</sup> and the presence of gaseous O<sub>2</sub> in the instrument background during NH<sub>4</sub>NO<sub>3</sub> sampling might therefore be another contributor to CO<sub>2</sub><sup>+</sup>.

**Non-particle-bound CO<sub>2</sub>**<sup>+</sup> **from other salts.** Non-particle-bound CO<sub>2</sub><sup>+</sup> is also induced by other salts, which decompose and give reactive species upon heating on the AMS/ACSM vaporizer (Fig. 2b). While k of  $CO_2$ <sup>+</sup> vs  $NO_3$  is higher for  $KNO_3$  (×2.5-4),  $Ca(NO_3)_2$  (×4.5) and  $NaNO_3$  (×3.5-11.5) on the tested instruments when compared to k of  $NH_4NO_3$ , k of  $CO_2$ <sup>+</sup> vs  $SO_4$  is 3-10 times lower for  $(NH_4)_2SO_4$  (×0.10-0.30).  $NH_4CI$  did, as expected, not induce  $CO_2$ <sup>+</sup> formation in our experiments. Lower levels of  $CO_2$ <sup>+</sup> from  $(NH_4)_2SO_4$  compared to that from  $NH_4NO_3$  may result from a lower oxidative power of sulfur oxides compared to nitrogen oxides. Decomposition and fragmentation of the salts are presented in SI. Higher k for  $KNO_3$ ,  $Ca(NO_3)_2$  and  $NaNO_3$  compared to that from  $NH_4NO_3$  may result from semi-refractive behavior of those salts at 600 °C and the thereby enhanced interaction of reactive species (i.e.  $NO/NO_2$ , as  $HNO_3$  does not form) when they thermally decompose (see discussion in SI).

Influence of aerosol properties on the interference. As discussed above, we show that k is independent of the NH<sub>4</sub>NO<sub>3</sub> concentration (Fig. S1f). Further, it is independent of particle diameter (Fig. 3a). For mixed particles of AP SOA with NH<sub>4</sub>NO<sub>3</sub>, k, shows a similar magnitude as induced by pure NH<sub>4</sub>NO<sub>3</sub> (Fig. 3b). This indicates that there are no significant additional matrix effects on the production of  $CO_2^+$  for the system looked at (AP SOA/NH<sub>4</sub>NO<sub>3</sub>). We suggest therefore, that k is not affected by simultaneous presence of carbonaceous particles. Note that the intercept in Fig. 3b represents the particulate  $CO_2^+$  contained in AP SOA (at NH<sub>4</sub>NO<sub>3</sub>=0).

Impact on organic aerosol mass and chemical composition measurements. To date, AMS/ACSM analyses have not considered the possibility of non-particle-bound CO<sub>2</sub><sup>+</sup> signal formed on the instrument vaporizer, and have instead classified this as particle-bound organic

- 226 mass. This can result in an overestimation of the organic mass at m/z 44 (Org<sub>44</sub>). Additionally,
- 227  $CO_2^+$ -derived ion intensities and mass at m/z 28  $(CO^+)$ , 18  $(H_2O^+)$ , 17  $(OH^+)$ , 16  $(O^+)$  and the
- associated isotopes are overestimated, when using standard fragmentation assumptions such as
- $229 \qquad Org_{28} = Org_{44}*1, Org_{18} = Org_{44}*0.225, Org_{17} = Org_{44}*0.05625 \text{ and } Org_{16} = Org_{44}*0.009.^{12, 13}$
- As noted above, the NH<sub>4</sub>NO<sub>3</sub> induced non-particle-bound CO<sub>2</sub><sup>+</sup> affects directly Org<sub>44</sub>, and
- indirectly also  $Org_{18}$ ,  $Org_{28}$ , to smaller extent  $Org_{17}$  and  $Org_{16}$ , and the associated isotopes, in
- standard data analysis routines. 12, 13 An example for an impacted data set is illustrated in Fig. 4,
- showing SOA formed from AP, and subsequently mixed with NH<sub>4</sub>NO<sub>3</sub>. SOA is formed from the
- 234 reaction of AP with O<sub>3</sub> and OH radicals. The formed OA mass decays after reaching its peak due
- 235 to particle wall losses. Subsequent injections of NH<sub>3</sub> and HNO<sub>3</sub> lead to mixing of OA with
- NH<sub>4</sub>NO<sub>3</sub>, introducing primarily an increase in the m/z 44 signal due to NH<sub>4</sub>NO<sub>3</sub> induced non-
- particle-bound  $CO_2^+$ . The total OA mass, the mass spectrum and the  $f_{44}$ - $f_{43}$  relationships are
- biased. Enhancements in other fragments, such as m/z 43 in these experiments, are assigned to
- collection efficiency changes due to mixing with NH<sub>4</sub>NO<sub>3</sub> (detailed in the SI).
- 240 The magnitude of the bias introduced in ambient or laboratory data sets depends on multiple
- parameters. The (i) vaporizer's measurement history and (ii) the inorganic salts present (NH<sub>4</sub>NO<sub>3</sub>,
- $(NH_4)_2SO_4$ , etc.) directly affect the relationship k. The (iii) mixing ratio of the inorganic salt to
- OA mass (e.g.  $NO_3/OA$  for  $NH_4NO_3$ ) and (iv) the true  $f_{44}$ , O:C and H:C determine the extent of
- bias that a certain k will cause.
- Fig. 5 presents estimations of the induced bias on (a) O:C and (b) H:C ratios, (c)  $f_{44}$ , and (d) OA
- 246 mass for ambient (covering both summer and winter conditions) and laboratory data sets, using
- 247 the percentiles of k (CO<sub>2</sub><sup>+</sup> vs NO<sub>3</sub>) for NH<sub>4</sub>NO<sub>3</sub> as determined on the investigated instruments
- 248 (Fig. 2a, percentiles:  $P_{10}$ =+0.004,  $P_{25}$ =+0.019,  $P_{50}$ =+0.034,  $P_{75}$ =+0.064,  $P_{90}$ =+0.102). Note that
- these values reflect the specific instruments tested and should be interpreted as case studies rather
- 250 than a statistically significant assessment of AMS/ACSM performance; individual instrument
- performance varies widely.
- We assume three scenarios for the true particle composition in terms of O:C and H:C (see Fig. 5
- 253 caption). The associated f<sub>44</sub> and organic matter (OM) / organic carbon (OC) content are estimated
- based on Canagaratna et al. 14. Equations in SI.
- Ratios of NH<sub>4</sub>NO<sub>3</sub> (and other inorganic salts) to OA vary spatially and with diurnal or seasonal
- 256 changes, as well as with variations in source activities. For example, high NH<sub>4</sub>NO<sub>3</sub> contributions

257 to the total sub-micron aerosol have been reported during winter in Europe with NO<sub>3</sub>/OA ~1.2 vs 0.1 for summer<sup>3 40-44</sup>, and for combustion emission studies, with ratios up to 10. 46, 47 Therefore, 258 259 we span a NO<sub>3</sub>/OA ratio of 0.1 - 10 in Fig. 5. Different ratios may also represent spatial 260 differences between e.g. ammonia (NH<sub>3</sub>) rich and poor regions. 261 Fig. 5 shows that for NO<sub>3</sub>/OA=1 and our determined median NH<sub>4</sub>NO<sub>3</sub> instrument interference 262 (k=3.4%) the measured  $f_{44}$  would be overestimated by +20% at a true  $f_{44}=0.10$ , by +44% for 263  $f_{44}$ =0.05 and by +12% for  $f_{44}$ = 0.14. The median bias in  $f_{44}$  is not large enough to significantly 264 affect the classification of the oxidized organic material as SV- and LV-OOA based on Ng et al. 15, (SV-OOA:  $f_{44}$ =0.07±0.04, O:C=0.35±0.14; LV-OOA:  $f_{44}$ =0.17±0.04, O:C=0.73±0.14). 265 266 However, instruments with k in our  $P_{10-90}$  range can lead to  $f_{44}$  biases from +1.6% to +117%. The 267 higher end can shift the classification of oxidized aerosol from SV- to LV-OOA and also significantly bias O:C values for HR-AMS, and also when estimated from  $f_{44}$  in unit mass 268 resolution AMS/ACSM spectra<sup>12,14</sup>. For the other parameters, the bias observed for a  $P_{50}$  k is 269 270 estimated to be +7.8% ( $P_{10-90}=+0.7-+37\%$ ) for O:C, +6.2% ( $P_{10-90}=+0.8-+18\%$ ) for OA mass, and 271 -3.3% (P<sub>10-90</sub> -0.4 to -10.4%) for H:C. The P<sub>50</sub> biases are mostly within the uncertainties for O:C and H:C estimations by Canagaratna et al. 14 (28% and 13% respectively) and for OA mass by 272 Bahreini et al. (38%)<sup>49</sup>.Combustion emissions yield a complex mix of organic and inorganic 273 274 components in the submicron particle phase. The inorganic material is either emitted directly (ash 275 components in solid fuel combustion), or formed via secondary processes (for instance NH<sub>4</sub>NO<sub>3</sub> 276 and (NH<sub>4</sub>)<sub>2</sub>SO<sub>4</sub>). As example, recent gasoline vehicles are equipped with three-way catalysts, which can emit NH<sub>3</sub>, due to over-reduction of NO<sub>x</sub> in the exhaust. <sup>50, 51</sup> Upon ageing, particulate 277

 $\sim +360\%$ ), along with a  $P_{50}$ =+31% bias for the OA mass ( $P_{10}$ - $_{90}$ =+4.0-+92%), and +34% on the O:C (+4-+133%). This would shift a true O:C=0.50 to O:C=0.67. In fact, unexpectedly high O:C ratios of 0.7 and higher have been previously reported for vehicle emission aging studies. A

NH<sub>4</sub>NO<sub>3</sub> is formed as a secondary compound, and may by far exceed the formation of SOA.

Ratios up to 10 and more NO<sub>3</sub>/OA have been observed when testing gasoline vehicle exhaust in smog chambers. <sup>46, 47</sup> At an NO<sub>3</sub>/OA=5, , a P<sub>50</sub> k results in a bias of +82% on the  $f_{44}$  (P<sub>10</sub>-<sub>90</sub>=+7.8 to

maximum bias on the H:C under these mixing conditions is -37% (P<sub>90</sub>).

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Sulfuric acid and  $(NH_4)_2SO_4$  are formed in emission plumes from coal combustion or marine fuel combustion in ship engines, and may be present at relatively high concentrations under those conditions.  $(NH_4)_2SO_4$  is also frequently used as seed aerosol in smog chamber experiments. An estimated bias on  $f_{44}$  from  $(NH_4)_2SO_4$  interference at relevant  $SO_4/OA$  ratios for ambient

- observations  $(0.5-2)^{3, 52}$  spans from +1.6% and +23% at a P<sub>50</sub> interference for k (CO<sub>2</sub><sup>+</sup> vs
- SO<sub>4</sub>)=0.007. The upper estimation at SO<sub>4</sub>/OA=2 ( $P_{90}$ ) is +63%. The respective  $P_{90}$  bias is +9.2%
- for OA mass, +19% on the O:C and -5.5% on the H:C ratio (Fig. S5).
- During measurements of ambient aerosol, NH<sub>4</sub>NO<sub>3</sub> and (NH<sub>4</sub>)<sub>2</sub>SO<sub>4</sub> are the dominant inorganic
- species detected with AMS/ACSM.<sup>3</sup> Other salts (NaNO<sub>3</sub>, KNO<sub>3</sub>, Ca(NO<sub>3</sub>)<sub>2</sub>) with enhanced
- 294 interference k under standard measurement conditions can introduce significant biases already at
- lower mixing ratios and may be relevant in particular environments. Examples are areas with
- 296 marine aerosols, when NaCl partially or fully reacts with NO<sub>x</sub>/HNO<sub>3</sub> to form NaNO<sub>3</sub>. Such
- 297 particles are mostly in the super micron mode and are sampled very inefficiently by the
- 298 AMS/ACSM.<sup>53</sup> However, the presence of NaNO<sub>3</sub> as well as inorganic dust containing nitrate and
- sulfate salts may become more critical when a PM<sub>2.5</sub> inlet lens<sup>54</sup> is used in combination with the
- 300 AMS. NaNO<sub>3</sub> or similar salts may also be used when studying effects of mixing organic and
- inorganic aerosol mass, and could lead to biases as well in laboratory studies, especially if
- 302 relatively low OA concentrations were used. Ash components from solid fuel combustion may
- include KNO<sub>3</sub> or potassium sulfate (K<sub>2</sub>SO<sub>4</sub>). <sup>55, 56</sup>
- Finally, CO<sup>+</sup> (m/z 28) cannot be detected directly under typical operating conditions due to
- interference from the  $N_2^+$  signal. Therefore, as long as the  $CO^+$  signal is estimated from  $CO_2^+$ , as
- in the standard data analysis routine, additional non-particle-bound CO<sup>+</sup> does not cause an
- 307 additional interference in the mass spectra and derived parameters. In laboratory experiments
- 308 conducted in a different atmosphere (e.g. argon) that allows estimating e.g. CO<sup>+</sup> from the raw
- mass spectrum, however, a potential influence on other signals needs to be accounted for.
- 310 **Implications.** NH<sub>4</sub>NO<sub>3</sub> and other nitrate salts, as well as (NH<sub>4</sub>)<sub>2</sub>SO<sub>4</sub>, can lead to formation of CO
- and CO<sub>2</sub> on the Aerodyne AMS/ACSM vaporizers, as they thermally decompose to reactive
- 312 species that can induce release of (otherwise refractory) carbonaceous material already present in
- 313 the instrument. The observed interference introduces biases in OA mass and chemical
- composition measurements, particularly regarding the aerosol oxygen content ( $f_{44}$ , O:C).
- The bias is a function of the interference k and the particle composition (inorganic fraction and
- 316 oxidation state). Based on the magnitude k of the interference estimated from our tests on 8
- instruments (6 HR, 1 CTOF and 1 ACSM), which yielded a median of +3.4% across all tests, we
- estimate that the bias will be small (less than a few percent) for most ambient data. This is
- supported by the fact that NH<sub>4</sub>NO<sub>3</sub> fractions are typically low compared to OA, and (NH<sub>4</sub>)<sub>2</sub>SO<sub>4</sub>

(globally equal to OA) is less efficient in CO<sub>2</sub> formation. However, the bias can become significant in particular environments (chamber experiments with inorganic seed aerosols or gasoline vehicle exhaust ageing), periods of high inorganic mass fractions (e.g. European winter), specific ambient research questions (e.g. investigating temporal or spatial different aerosol compositions), or for instruments with poor vaporizer conditions. For example a k above our determined median 3.4% at NO<sub>3</sub>/OA=1, or a k of 10.2% at NO<sub>3</sub>/OA = 0.1-0.2 cause biases that exceed limits to impact  $f_{44}$ - $f_{43}$ -derived SV- and LV-OOA splits 15, 48, or the uncertainties for O:C, H:C and OA mass estimations. 14,49 Therefore, the interference need to be calibrated for in the analyses and interpretation of the data.

We suggest including the introduced bias in the error estimation, after careful determination of the relationship k for the relevant salts. For sampling conditions with large bias, data should be corrected by subtracting the interference signal. An example on how to do this by linking k to the inorganic signal using the AMS/ACSM standard data analysis procedures and fragmentation table is provided in SI.

As k is not constant across different instruments and will also vary over measurement time for a given instrument depending on the level of exposure to aerosol and its composition, careful and frequent determination of the relationship k between the  $\mathrm{CO_2}^+$  and anion signal are crucial. This should be done with aerosol generated under clean conditions and with typical instrument settings used for data collection. The standard calibrations regularly performed for the determination of  $\mathrm{NO_3}$  ionization efficiency and  $\mathrm{NH_4}$  and  $\mathrm{SO_4}$  relative ionization efficiency offer such an opportunity.

Previous interpretations of OA oxygen content and related chemical and physical aerosol properties that were made based on (i) simple comparison of  $f_{44}$ -  $f_{43}$  or (ii) AMS/ACSM derived O:C and H:C, as well the (iii) interpretation of the AMS/ACSM m/z 44 signal as organic acid derived, need to be discussed with precaution by taking into account the possible impact of the interference. Calibration mass spectra from each experiment can be used to retrospectively diagnose k for a given instrument and time period.

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### 356 Supporting Information Available

- 357 Supporting Information is available as noted in the text. This information is available free of
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## 575 Figures

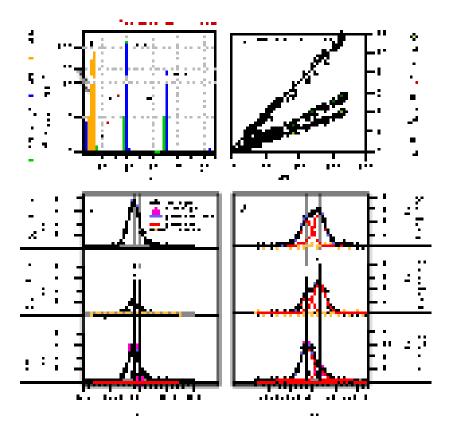


Figure 1. Observation of non-particle-bound  $CO_2^+$  and  $CO^+$  from  $NH_4NO_3$  sampling. (a) HRTOF-AMS mass spectrum of high purity  $NH_4NO_3$  particles formed in a smog chamber from  $HNO_3$  and  $NH_3$  using standard fragmentation assumptions  $^{12, 13}$  (HR3-Expt5): m/z 15 (NH $^+$ ), 16 (NH $_2^+$ ), 17 (NH $_3^+$ ), 30 (NO $^+$ ), 46 (NO $_2^+$ ) and 63 (HNO $_3^+$ ) are the main ions observed from  $NH_4NO_3$  decomposition and fragmentation. Standard fragmentation assumptions are applied, i.e.  $N^+$  (m/z 14) is assigned based on  $NO^+$  and  $NO_2^+$ .  $CO_2^+$  is observed at nominal m/z 44; organic m/z 18 (H $_2O^+$ ) and 28 (CO $^+$ ) are assigned based on  $CO_2^+$ . (12) is a measured EI fragment of  $CO_2^+$ . (b)  $CO_2^+$  (nitrate eq. mass, RIE=1) and  $CO_2^+$  as well as the derived organic mass (standard fragmentation assumptions:  $CO_2^+$  ( $CO_2^+$ ) are applied, RIE=1.4) scale proportionally with the NO $_3^+$  signal (RIE=1). Orthogonal distance linear fits are applied. (c)  $CO_2^+$  signal as seen when particle beam is " $CO_2^+$  and the calculated " $CO_2^+$  difference peak. Data were collected in synthetic air ( $CO_2^+$ ) free of gas phase  $CO_2^+$ 0 on instrument HR3. (d)  $CO_2^+$  signal during  $CO_2^+$ 1 measurements performed in argon on instrument HR1. The  $CO_2^+$ 2 signal arises from residual gas phase  $CO_2^+$ 3 in the instrument background.

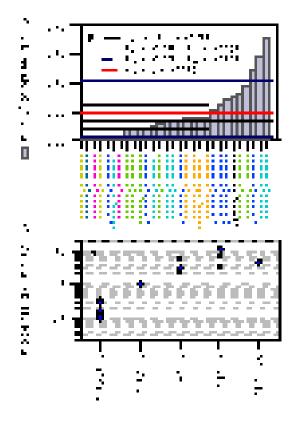


Figure 2. Variability in k. (a) The relationship k of  $\mathrm{CO_2}^+$  or m/z 44 and NO<sub>3</sub> signal (Hz or nitrate eq. mass, RIE=1) from NH<sub>4</sub>NO<sub>3</sub> on 6 HR-ToF-AMS (HR1-6), 1 C-ToF-AMS and 1 Q-ACSM. The respective statistical parameters (median (i.e.  $50^{th}$  percentile, P<sub>50</sub>),  $10^{th}$ ,  $25^{th}$ ,  $75^{th}$ , and  $90^{th}$  percentile and average are given in the legend. The experiment date (YYYY/MM) is indicated on the axis labels. Experiment details and orthogonal distance linear fits are presented in Table S1 and Fig. S1. (b) Enhancement of k of  $\mathrm{CO_2}^+$  at m/z 44 vs the respective anion, i.e. NO<sub>3</sub> signal according to the summed signal of all ions attributed to NO<sub>3</sub> for all nitrate salts, SO<sub>4</sub> signal according to the summed signal of all ions attributed to SO<sub>4</sub> for  $(\mathrm{NH_4})_2\mathrm{SO_4}^{12, 13}$  (RIE(SO<sub>4</sub>)=1, RIE(NO<sub>3</sub>)=1), relative to k from NH<sub>4</sub>NO<sub>3</sub> (experiments performed on HR1-3 and ACSM). Measurements were typically performed in  $\mathrm{CO_2}$ -free synthetic air (N<sub>2</sub>/O<sub>2</sub>). All salts are tested at a vaporizer temperature of 600 °C, which is the typical setting during standard operation. Note, that KNO<sub>3</sub>, NaNO<sub>3</sub> and Ca(NO<sub>3</sub>)<sub>2</sub> do not fully vaporize at 600 °C (see discussion in SI).

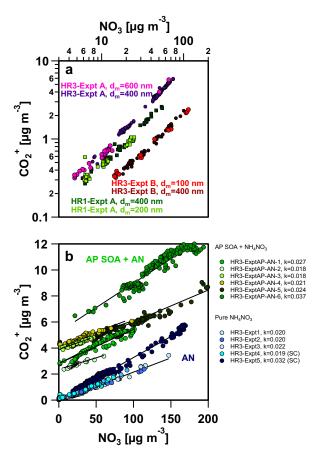


Figure 3. Effect of particle diameter and mixing state. (a)  $CO_2^+$  signal induced at different NH<sub>4</sub>NO<sub>3</sub> particle diameter (HR1-Expt A, d<sub>m</sub>=400 nm vs 100 nm, HR3-Expt A, d<sub>m</sub>=400 vs 200 nm, HR3-Expt B, d<sub>m</sub>=400 vs 600 nm) (b)  $CO_2^+$  signal from pure NH<sub>4</sub>NO<sub>3</sub> particles (average k=0.023±0.005) from nebulized salt solutions (HR3-Expt1,2,3) and generated in-situ in a SC from gas phase precursors (HR3-Expt4 and 5) vs internally mixed particles of α-pinene (AP) SOA and NH<sub>4</sub>NO<sub>3</sub> (average k=0.024±0.007) (mixing state is given in SI) formed in a SC; estimated  $CO_2^+$  enhancements based on changed CE are discussed in SI for mixed particles). Note that the intercept in Fig. 3b represents the particulate  $CO_2^+$  contained in AP SOA (at NH<sub>4</sub>NO<sub>3</sub>=0). The reader is also referred to Fig. 4 for a typical SC experiment yielding the data in Fig. 3b. All data are presented as nitrate eq. mass, i.e. RIE=1.

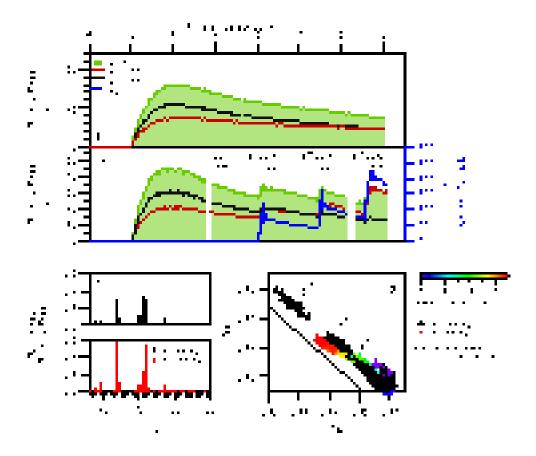
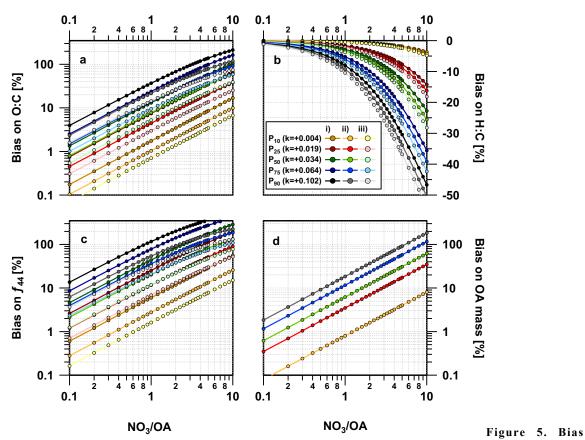


Figure 4. α-pinene SOA at different ratios with NH<sub>4</sub>NO<sub>3</sub> (a) Reference experiment HR3-ExptAP-2 w/o NH<sub>4</sub>NO<sub>3</sub>. (b) Smog chamber experiment HR3-ExptAP-AN-5 (k=0.025) (RIE=1.4 for OA signals Org (organic), Org<sub>44</sub> (organic mass at m/z 44, i.e.  $CO_2^+$ ), Org<sub>43</sub> (organic mass at m/z 43, i.e.  $C_2H_3O^+$ ) and RIE=1.1 for NO<sub>3</sub>). Instrument settings and experiment conditions (AP and oxidant concentrations) are given in Table S1. (c-d) Average mass spectrum with NH<sub>4</sub>NO<sub>3</sub> at t=6h compared to a reference AP SOA experiment w/o NH<sub>4</sub>NO<sub>3</sub> (HR3-ExptAP-2). (e)  $f_{44}$ - $f_{43}$  triangle plots<sup>15</sup> for AP SOA experiments with NH<sub>4</sub>NO<sub>3</sub> at NO<sub>3</sub>/OA ratios of 1.7, 3.3 and 6.7 compared to reference AP SOA experiments w/o NH<sub>4</sub>NO<sub>3</sub> (HR3-ExptAP-2).



estimation for the NH<sub>4</sub>NO<sub>3</sub> induced interference on the (a) Oxygen-to-Carbon (O:C) and (b) Hydrogen-to-Carbon ratio (H:C), (c) fraction of organic m/z 44 to the total organic mass ( $f_{44}$ ), and (d) OA mass. Percentiles (P<sub>10</sub>-90) for k are based on Fig. 2a. The bias estimation assumes three scenarios for the true particle composition: (i) O:C=0.30, (ii) O:C=0.50, (iii) O:C=0.70, with H:C 1.5, and  $f_{44}$  and OM/OC based on Canagaratna et al.  $^{14}$  ( $f_{44}$ =0.051, 0.098, 0.144; OM/OC=1.56, 1.82, 2.07). Standard fragmentation assumptions  $^{12}$ ,  $^{13}$  and RIE=1.4 for OA mass and 1.1 for NO<sub>3</sub> mass are applied when accounting for interferences on m/z 18 (H<sub>2</sub>O<sup>+</sup>) and 28 (CO<sup>+</sup>) as well as m/z 17 (OH<sup>+</sup>) and m/z 16 (O<sup>+</sup>). Isotopes are not taken into account. The mixing ratio of NO<sub>3</sub> from NH<sub>4</sub>NO<sub>3</sub> to OA is 0.1-1 for ambient data including winter times  $^{3}$ ,  $^{40-44}$  and 1-10 for e.g. studies investigating gasoline exhaust ageing  $^{46}$ ,  $^{47}$ .