# Integer Representations towards Efficient Counting in the Bit Probe Model

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Abstract. We consider the problem of representing numbers in close to optimal space and supporting increment, decrement, addition and subtraction operations efficiently. We study the problem in the bit probe model and analyse the number of bits read and written to perform the operations, both in the worst-case and in the average-case. A counter is space-optimal if it represents any number in the range  $[0, \ldots, 2^n - 1]$ using exactly *n* bits. We provide a *space-optimal counter* which supports increment and decrement operations by reading at most n-1 bits and writing at most 3 bits in the worst-case. To the best of our knowledge, this is the first such representation which supports these operations by always reading strictly less than n bits. For *redundant counters* where we only need to represent numbers in the range  $[0, \ldots, L]$  for some integer  $L < 2^n - 1$  using n bits, we define the efficiency of the counter as the ratio between L + 1 and  $2^n$ . We present various representations that achieve different trade-offs between the read and write complexities and the efficiency. We also give another representation of integers that uses  $n + O(\log n)$  bits to represent integers in the range  $[0, \ldots, 2^n - 1]$  that supports efficient addition and subtraction operations, improving the space complexity of an earlier representation by Munro and Rahman [Algorithmica, 2010].

**Keywords:** Data structure. Gray code. Bit probe model. Binary counter. Integer representation.

# 1 Introduction

We propose data structures for integer representation which can perform increment, decrement, addition and subtraction with varying trade-offs between the number of bits read or written and the space needed to represent the number.

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We study the problem in the bit problem model of computation where the complexity measure includes only the bitwise accesses to the data structure and not the resulting computations.

We define a code of dimension n as any cyclic sequence of  $2^n$  distinct binary vectors. For a code of dimension n, we define the operation increment (decrement) as moving the code to its next (previous) code in the cycle. We define a function Val that maps bit sequences to integers, which is used in describing our algorithms. We use  $B_R$  and  $B_W$  to denote the number of bits read and written respectively. The average number of bits read (written) is computed by summing the number of bits read (written) to perform the operations for each code, and dividing this by the number of different codes. Throughout the paper,  $\log n$  denotes  $\lceil \log_2 n \rceil$ ,  $\log^{(0)} n = n$  and  $\log^{(c)}(n) = \log^{(c-1)}(\log n)$  for c > 0.

**Previous work.** The Standard Binary Code (SBC) uses n bits to represent an integer in the range  $[0, \ldots, 2^n - 1]$  where  $b_{n-1}b_{n-2} \ldots b_0$  represents the value  $\sum_{i=0}^{n-1} b_i 2^i$ . An increment or decrement operation using SBC requires n bits to be read and written in the worst-case but the amortized time per operation is constant. A Gray code is any code in which successive binary vectors in the sequence differ in exactly one component. The Binary Reflected Gray Code (BRGC) [3] requires n bits to be read for each increment operation but only 1 bit to write. Bose et al. [1] have developed a different Gray Code called Recursive Partition Gray Code (RPGC) which requires on an average  $O(\log n)$  reads for increment operations. The previous results are summarized in Table 1. For the Gray codes BRGC and RPGC, we define Val(X) as the number of times one needs to increment the code  $0 \dots 0$  to obtain X. The dimension d of a counter refers to the total number of bits used to represent a number and space-efficiency refers to the ratio of number of numbers represented out of all possible bit strings generated  $(2^d)$  given the dimension d. Space-efficiency equal to one implies that all possible strings are generated and the counter is *space-optimal*. There could be more than one representation for a given number when efficiency is less than one and such counters are called *redundant counters*.

**Our results.** For space-optimal counters, we introduce the notion of an (n, r, w)-counter which is a representation of numbers of dimension n where increment and decrement operations can be performed by reading r bits and writing w bits in the worst-case. We obtain a (4,3,2)-counter by exhaustive search and use it to construct an (n, n - 1, 3)-counter which performs an increment or decrement operation by reading at most n - 1 bits whereas all known results for space-optimal counters read n bits in the worst-case. The codes BRGC and RPGC are examples of (n, n, 1)-counters. Fredman has conjectured that for Gray codes of dimension n,  $B_R = n$  [1,2]. If this conjecture is true, this would imply that if there exists a code with the property that all increments can be made by reading less than n bits, then it would need to write at least 2 bits in the worst-case.

Space	Space	Bits read	$(B_R)$	Bits written $(B_W)$	Inc. &			
(d)	efficiency	Average-case	Worst-case	Worst-case	Dec.	Ref.		
		$2-2^{1-n}$		n	Y	Binary		
	1	n	n	1	Y	[3]		
n		$6\log n$		1	Y	[1]		
		$O(\log^{(2c-1)} n)$		с	Ν	[1]		
n+1	1/2	O(1)	$\log n + 4$	4	Y	[4]		
$n + O(t \log n)$	$1 - O(n^{-t})$	$O(\log^{(2c)} n)$	$O(t \log n)$	2c + 1	N	[1]		
Table 1: Summary of provious results								

Table 1: Summary of previous results

For non-space-optimal counters, the read complexity has been shown to be  $\Theta(\log n)$  for a space-efficiency of 1/2 [1,4]. The best known result so far [1] describes a counter with a space-efficiency of  $1 - O(n^{-t})$  to increment a value by reading  $O(t \log n)$  bits and writing 3 bits for t > 0. Our results shown in Table 2 show that we can reduce the number of bits written to 2 using a representation with space-efficiency  $1 - O(2^{-t})$  by reading  $\log n + t + 2$  bits where  $t \in \mathbb{Z}^+$ . By choosing  $t = t' \log n$ , we can achieve a space-efficiency of  $1 - O(n^{-t'})$  by reading  $O(t' \log n)$  bits and writing 2 bits. The question that remains open is if redundant counters efficiently allow a representation with 1 write but less than n reads.

For redundant counters with efficiency 1/2, the best known results were  $\log n + 4$  bit reads and 4 bit writes [4]. We reduce the number of bits read and written to  $\log n + 3$  and 3. Using the one bit read-write trade-off, we can further reduce the number of bits written to 2 by reading  $\log n + 4$  bits.

Space	Space	Average-cas	Worst-cas	Inc. &							
(d)	efficiency	$B_R$	$B_W$	$B_R$	$B_W$	Dec.	Ref.				
4	1	3	1.25	3	2	Y	Th. 1				
n	1	$6\log(n-4) + O(2^{-n})$	$1 + O(2^{-n})$	n-1	3	I	Th. $2$				
	1 1/2	$\frac{O(\log \log n)}{O(\log n)}$	$1 + O(n^{-1})$	$\log n + 2$	3	Ν	Th. 3				
n+1				$\log n + 3$	2	19	Th. 4				
10   1	1/2			$\log n + 3$	3	Y	Th. 6				
				$\log n + 4$	2	1	111. 0				
						$O(\log \log n)$		$\log n + t + 1$		Ν	Th. 5
n	$1 - \frac{1}{1}$	$-\frac{1}{2^{t-1}} \qquad O(\log \log n)$	$1 + O(n^{-1})$	$\log n + t + 2$	2	11	1 11. 0				
10	<sup>1</sup> 2 <sup>t-1</sup>					v	Cor. 1				
		$O(\log n)$		$\log n + t + 3$	2	1	001.1				

Table 2: Summary	of our r	esults
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## 2 Space-optimal counters with increment and decrement

In this section, we describe space-optimal counters which are constructed using a (4,3,2)-counter where X denotes the number to be incremented.

(4,3,2)-counter. Fig. 1 shows our (4,3,2)-counter obtained through brute force search which represents numbers from 0...15. Assuming the number is of the

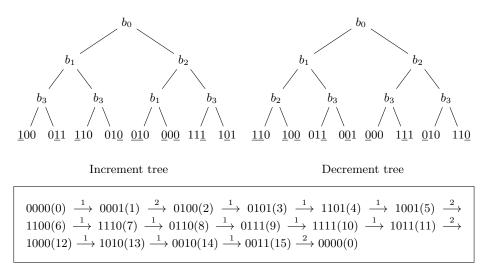


Fig. 1: Sequence generated by the (4,3,2)-counter and increment and decrement trees

form  $b_3b_2b_1b_0$ , the corresponding increment and decrement trees for the (4,3,2)counter are shown in Fig. 1. For any internal node corresponding to bit  $b_t$ , the left edge corresponds to  $b_t = 0$  and the right edge corresponds to  $b_t = 1$ . The leaf nodes contain information about the new values for the bits read and the modified bits are shown underlined in the tree and the text.

As an example, for the fifth leaf node from the left in the increment tree, old  $b_0b_2b_1 = 100$  and new  $b_0b_2b_1 = \underline{010}$ . To increment 9, for example, we take its representation 0111 and go through the path  $b_0b_2b_3 = 110$  in the increment tree to reach the seventh leaf node; so the new values are  $b_0b_2b_3 = 111$  and the new number is  $\underline{1111}$  which represents 10 (ten). To decrement 9, we go through the path  $b_0b_2b_3 = 110$  in the decrement tree to reach the seventh leaf node; so the new tree to reach the seventh leaf node; so the new values are  $b_0b_2b_3 = 110$  in the decrement tree to reach the seventh leaf node; so the new values are  $b_0b_2b_3 = \underline{010}$  and the number is 0110 which represents 8.

**Theorem 1.** There exists a representation of integers of dimension 4 with efficiency 1 that supports increment and decrement operations with  $B_R = 3$  and  $B_W = 2$  in the worst-case. On average, an increment/decrement requires  $B_R = 3$  and  $B_W = 1.25$ .

#### 2.1 Constructing (n, n - 1, 3)-counter using (4, 3, 2)-counter

We can now construct an *n*-bit space-optimal counter for  $n \ge 4$  by dividing the code for a number X into two sections  $X_{(4,3,2)}$  and  $X_{\rm G}$  of length 4 and n-4 respectively where  $X_{(4,3,2)}$  uses the above-mentioned (4,3,2)-counter representation and  $X_{\rm G}$  uses the Gray code [3], that is a (n-4, n-4, 1)-counter. To increment X, we first increment  $X_{\rm G}$  and then check if it represents 0 (which is possible since we read all bits of  $X_{\rm G}$ ). If  $X_{\rm G}$  is 0, then we increment  $X_{\rm G}$  and then write to increment  $X_{\rm G}$  and then

	<b>20</b>	r
r	r	$1 \ 2 \ 3 \ 4 \ 5$
$1 \ 2 \ 3$	$1 \ 2 \ 3 \ 4$	1 + 2 + 1
1 2 3	$1 \perp \perp \perp +^{1}$	
$1 \perp \perp + $	2 + + + 2 + -	$2 \perp\perp\perp$ ( +
$w \ 2   \perp \perp +  $		$w \ 3   \perp \perp   \perp   +^2 +  $
$3 \perp \perp +$	$3 \perp \perp + +$	$4 \perp \perp \perp + +  $
-	$4 \perp \perp + + $	5 1 1 1 1 1
		에ㅗㅗㅗ ㅜ ㅜ

**Fig. 2:** Exhaustive search results for (n, r, w)-counter for n = 3, 4 and 5 respectively

3 reads and 2 writes to increment  $X_{(4,3,2)}$ , providing us with n-1 reads and 3 writes overall.

 $X_{\rm G}$  is represented using RPGC where incrementing or decrementing a code of dimension *n* requires 6 log *n* average number of reads (although [1, Theorem 2] considers only generating the next code, i.e., increment operation, one can verify that the same analysis holds for the decrement operations as well). The worst-case and hence the average number of writes to increment or decrement a number using RPGC is 1. Since the average number of reads and writes for  $X_{(4,3,2)}$  are 3 and 1.25 respectively, and we increment/decrement  $X_{(4,3,2)}$  only in one out of every  $2^{n-4}$  codes, the average number of reads and writes are  $6 \log(n-4) + 3/2^{n-4}$  and  $1 + 1.25/2^{n-4}$  respectively.

**Theorem 2.** There exists a representation of integers of dimension  $n \ge 4$  with efficiency 1 that supports increment and decrement operations with  $B_R = n - 1$  and  $B_W = 3$  in the worst-case. On average, an increment/decrement requires  $B_R = 6 \log(n-4) + O(2^{-n})$  and  $B_W = 1 + O(2^{-n})$ .

To the best of our knowledge, this is the first space-optimal counter with  $B_R$  strictly less than n.

**Exhaustive search results** We used exhaustive search to find (n, r, w)counters for small values of n. The results are shown in Fig. 2 for n = 3, 4and 5 respectively. For a combination of n, r and  $w, a `\bot$ ' shows that no counter exists and a `+' refers to its existence. A superscript of 1 shows that this is a Gray code while 2 refers to Theorem 2. A `?' shows that the existence of counters remains unknown for the corresponding (n, r, w) value. An enclosed value shows that no counters were found by our brute-force search.

## 3 Redundant counters with increment

To reduce the number of bits read exponentially, counters with space-efficiency less than one have been considered [1, 4]. In this section, we discuss redundant counters which show better results and trade-offs for bits read and written and use these in Section 5 to obtain representations that support addition and sub-traction efficiently.

#### 3.1 Counters with one bit redundancy

To represent numbers from  $0 \dots 2^n - 1$ , we select n + 1 bits. A number X represented by  $x_n x_{n-1} \dots x_1 x_0$  consists of a *carry bit*  $S = x_0$ , a lower block  $X_L$ 

Previ	New			
$\ell$	S	$x_p$	S	$x_p$
$= \ell_{\max}$	0	x	1	x
$< \ell_{\rm max}$	0	x	0	x
$< \ell_{\rm max}$	1	0	0	<u>1</u>
$<\ell_{\rm max}$	1	1	1	<u>0</u>

**Table 3:** Transition Table for the increment step where  $\ell = \operatorname{Val}(X_L)$  and  $p = \log n + \ell$ . Underlines show the changed bits and x represents 'don't care' condition

of the log *n* bits  $x_{\log n} \ldots x_1$  and the upper block  $X_H$  of the last  $n - \log n$  bits.  $p = \log n + \ell$  is a location in  $X_H$  where  $\ell$  refers to the value represented by  $X_L$ . This is used to perform a delayed addition of the carry as explained below. We use Gray codes for representing the numbers in  $X_L$  so that increment writes only one bit. The block  $X_H$  is represented using SBC. The value of X is given by  $(\ell + (\operatorname{Val}(X_H) + 2^{\ell} \cdot S) \cdot 2^{|X_L|}) \mod 2^n$ .

We determine the number of bits read and written in the worst-case by finding the maximum values of  $B_R$  and  $B_W$  respectively. The increment step is summarised in Transition Table 3.

**Increment**:  $X_L$  and S are read at every step, therefore  $B_R$  is at least  $\log n + 1$ . S = 1 implies that the carry needs to be propagated and we will read one bit from  $X_H$ , whereas S = 0 implies no carry propagation and we do not need to access  $X_H$ . If  $\ell > n - \log n$ , we reset S to 0. The different cases for increment are described below:

- Case 1. S = 0 and  $X_L$  contains its *largest* value (100...0 in Gray code): This implies that a new incremental increment of  $X_H$  should be initiated. Increment  $X_L$  and set the carry bit S to 1. ( $B_R = \log n + 1, B_W = 2$ )
- Case 2. S = 0 and  $X_L$  is any other value: Increment  $X_L$ .  $(B_R = \log n + 1, B_W = 1)$
- Case 3. S = 1 and  $x_p = 1$ : Propagation of carry. Change  $x_p$  to 0. Increment  $X_L \cdot (B_R = \log n + 2, B_W = 2)$
- Case 4. S = 1 and  $x_p = 0$ : Final bit flip in  $X_H$ . Change  $x_p$  to 1, S to 0 and increment  $X_L$ .  $(B_R = \log n + 2, B_W = 3)$ .

The average number of reads to increment  $X_L$  is  $O(\log \log n)$ . The bit S is read at every step and it is set to 1 on the average 2 out of every n steps. When S = 1, we also need to read  $O(\log n)$  bits to find  $Val(X_L)$ . Thus the average number of bits read is  $O(\log \log n)$ . The average number of writes can be shown to be  $1 + O(n^{-1})$ . Hence we have the following theorem.

**Theorem 3.** There exists a representation of integers of dimension n + 1 with efficiency 1/2 that supports increment operations with  $B_R = \log n + 2$  and  $B_W = 3$ . On average, an increment requires  $B_R = O(\log \log n)$  and  $B_W = 1 + O(n^{-1})$ .

#### 3.2 One bit read-write trade-off

We show how to modify the representations of the previous section (Theorem 3) to reduce  $B_W$  from 3 to 2 by increasing  $B_R$  by 1.

The worst-case of  $B_W$  for increment is given by *Case* 4 where  $B_W = 3$  since S and one bit each in  $X_H$  and  $X_L$  are modified. As it turns out, we can improve

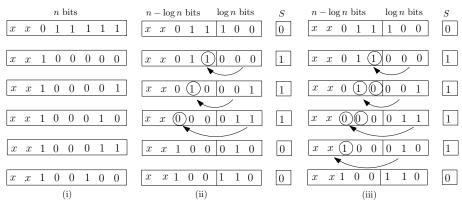
Pr	New				
l	S	$x_p$	$x_{p-1}$	S	$x_p$
$= \ell_{\max}$	0	x	—	1	x
= 0	1	1	_	1	<u>0</u>
= 0	1	0	_	1	1
> 0	1	x	1	0	x
> 0	1	0	0	1	1
> 0	1	1	0	1	0

**Table 4:** Transition Table for the increment step for read-write trade-off where  $\ell = \operatorname{Val}(X_L)$ ,  $\ell_{\max} = 2^{|X_L|} - 1$  and  $p = \log n + \ell + 1$ . Underlines show the changed bits and x represents 'don't care' condition

 $B_W$  further by delaying the resetting of S by one step if we read another bit. Instead of reading just one bit  $x_p$  from  $X_H$  when S = 1, we can read the pair  $(x_p, x_{p-1})$ . If the previously modified bit  $x_{p-1} = 1$ , then the propagation of carry is complete, else we flip the current bit  $x_p$ . The only exception to this case is when  $X_L = 0 \dots 0$  which implies that  $p = \log n + 1$  which is the first position in  $X_H$ . In this case, only one bit  $x_{\log n+1}$  is read and flipped. We modify the increment step as:

Case 3. S = 1 and  $x_{p-1} = 0$ : propagation of carry to continue.  $x_{p-1} = 0$  implies that the previous bit was 1 before getting modified. Therefore, flip  $x_p$  irrespective of its value and increment  $X_L$ .  $(B_R = \log n + 3, B_W = 2)$ .

Case 4. S = 1 and  $x_{p-1} = 1$ : The previous bit was 0 before modification, hence carry has been propagated and  $x_p$  is not read. Reset S to 0 and increment  $X_L$ .  $(B_R = \log n + 2, B_W = 2)$ .



**Fig. 3:** Increment for a 8-bit number using (i) Standard binary counter (ii) One-bit redundant counter with  $B_W = 3$  (iii) with  $B_W = 2$ . log *n* bits are represented using BRGC and *x* represents '*don't care*' condition

**Theorem 4.** There exists a representation of integers of dimension n + 1 with efficiency 1/2 that supports increment operations with  $B_R = \log n + 3$  and  $B_W = 2$ . On average, an increment requires  $B_R = O(\log \log n)$  and  $B_W = 1 + O(n^{-1})$ .

#### 3.3 Forbidden state counter with increment

To increase the space-efficiency of the above proposed representation, we modify the data structure proposed in [1] where a particular value of t bits in a dimension n code is used as a forbidden state. A number  $X = x_n \dots x_1$  consists of  $X_H$  $(x_n \dots x_{\log n+t+1}), X_F(x_{\log n+t} \dots x_{\log n+1})$  and  $X_L(x_{\log n} \dots x_1)$  of  $n - \log n - t$ , t and  $\log n$  bits respectively. Similar to the one-bit redundant counter discussed in Section 3.1,  $X_H$  and  $X_L$  represent the upper and lower blocks in the number while  $X_F$  acts as an alternative to the carry bit S. We use  $\ell$  to refer to the value represented by  $X_L$  and  $F_{\max}$  refers to the value  $2^t - 1$ .

All the states for which  $\operatorname{Val}(X_F) \leq F_{\max} - 1$  are considered as normal states for  $X_F$  and the state where  $\operatorname{Val}(X_F) = F_{\max}$  is used to propagate the carry over  $X_H$  (conceptually  $X_F = F_{\max}$  corresponds to S = 1). This representation will allow us to represent  $1 - 1/2^t$  of the  $2^n$  numbers. The block  $X_H$  is represented using SBC while  $X_F$  and  $X_L$  are each individually represented using RPGC. Using  $X_K$  to represent  $\operatorname{Val}(X_K)$ , we obtain  $\operatorname{Val}(X) = X_L + (X_F + X_H \cdot F_{\max}) \cdot 2^{|X_L|}$  if  $X_F < F_{\max}$  and  $\operatorname{Val}(X) = X_L + (X_H + 2^\ell) \cdot 2^{|X_L|} \cdot F_{\max}$  if  $X_F = F_{\max}$ .

**Increment.** The increment scheme is similar to the one-bit redundant counter of Section 3.1. We first read  $X_L$  and  $X_F$ . If  $X_F \neq F_{\text{max}}$ , we increment  $X_L$ . If  $X_L$ now becomes 0, we also increment  $X_F$ . For the case  $X_F = F_{\text{max}}$ ,  $X_L$  is used to point to a position p in  $X_H$ . If the bit  $x_p$  at position p is equal to 1, it is set to 0 and  $X_L$  is incremented to point to the next position in  $X_H$ . This corresponds to the increment scheme in the one-bit redundant counter when S is set to 1. If  $X_L$  now equals  $n - \log n - t$ , then we increment  $X_F$  (to set  $X_F = 0$  and terminate the propagation of carry). On the other hand, if the value of bit  $x_p$  is 0, then we set  $x_p$  to 1 and  $X_F$  is incremented to the next value (which represents state  $X_F = 0$ . This corresponds to the carry bit S being set to 0 in Section 3.1.

This scheme gives a representation with  $B_R = \log n + t + 1$  and  $B_W = 3$ . Similar to Section 3.2, we can also obtain a representation with  $B_R = \log n + t + 2$ and  $B_W = 2$  by reading  $x_{p-1}$ . The average number of reads and writes to increment the  $\log n$  bits in  $X_L$  are  $O(\log \log n)$  and 1 respectively. The average number of reads and writes to increment  $X_F$  are  $O(\log t)$  and 1 respectively. Since  $X_F$  is incremented once in every n steps, this adds only o(1) to the average number of reads and writes. Similarly, incrementing  $X_H$  also takes o(1) reads and writes on average. In addition, at every step we need to check if  $Val(X_F)$  is equal to either  $F_{\text{max}}$  or  $F_{\text{max}} - 1$  which requires an average of O(1) reads, and finally the cost of reading  $X_L$  to find p on average costs at most  $O(\frac{1}{2^t} \frac{1}{n} \log n)$ . Thus we have the following theorem.

**Theorem 5.** Given two integers n and t such that  $t \leq n - \log n$ , there exists a representation of an integer of dimension n with efficiency  $1 - O(2^{-t})$  that supports increment operations with  $B_R = \log n + t + 1$  and  $B_W = 3$  or  $B_R = \log n + t + 2$  and  $B_W = 2$ . On average, an increment requires  $B_R = O(\log \log n)$ and  $B_W = 1 + O(n^{-1})$ .

## 4 Counters with increment and decrement

To support decrement operations interleaved with increment operations, we modify the representation of a number X described in Section 3.1 as follows: a number  $X = x_n \dots x_1 x_0$  consists of an upper block  $X_H$   $(x_n \dots x_{\log n+2})$ , a lower block  $X_L$   $(x_{\log n+1} \dots x_1)$  and the bit  $S = x_0$  which is used as either a carry bit or a borrow bit. We further split the lower block  $X_L$  into two parts: an indicator bit I which consists of the bit  $x_{\log n+1}$  and a pointer block  $X_P$  consisting of the remaining  $\log n$  bits. When the indicator bit I is set to 0, S is interpreted as a carry bit, and when the indicator bit is 1, then S interpreted as a borrow bit.

The log *n* bits in  $X_P$  are used to point to a location in  $X_H$  to perform a delayed carry or borrow. We use BRGC for representing  $X_L$  so that an increment or decrement writes only one bit. The block  $X_H$  is represented using SBC. Since  $X_L$  is represented using BRGC, when  $\operatorname{Val}(X_L) < 2^{|X_P|}$ , the indicator bit *I* is equal to 0 and *I* is equal to 1 otherwise. When I = 1, incrementing block  $X_L$  corresponds to decrementing the block  $X_P$  (unless  $X_P = 0$ ) due to the reflexive property of BRGC [3]. We use these observations in our algorithms for increment and decrement.

The main ideas behind the representation and the increment/decrement algorithms are as follows: when the carry bit S is not set, we perform the increment/decrement in the normal way by incrementing/decrementing  $X_L$ . When S = 0,  $\operatorname{Val}(X_L) = 2^{\log n+1} - 1$  and we perform an increment, we set the bit Sand reset the block  $X_L$  to  $0 \dots 0$ . Since I is now set to 0, S will be interpreted as a carry bit untill it is reset again. Similarly, when S = 0,  $\operatorname{Val}(X_L) = 0$  and we perform a decrement, we set the bit S and decrement  $X_L$  to  $2^{\log n+1} - 1$ . Since I is now set to 1, S will be interpreted as a borrow bit.

To increment X when the carry bit is set, we perform one step of carry propagation in  $X_H$ , and then increment  $X_L$ . If the propagation finishes in the current step, then we also reset the bit S to 0. To decrement X when the carry bit is set, we first decrement  $X_L$  and "undo" one step of carry propagation (i.e., set the bit  $x_p$  in  $X_H$  to 1). Note that the when performing increments, the carry propagation will finish before we need to change the indicator bit from 0 to 1 (as the length of  $X_H$  is less than  $2^{\log n}$ ). The increment and decrement algorithms when the borrow bit are set are similar.

The increment and decrement algorithms are described in the Transition Table 5. Since we read  $X_L$ , S and at most one bit in  $X_H$ , the read complexity  $B_R = \log n + 3$ . Since we change at most one bit in each of  $X_L$ ,  $X_H$  and S, the write complexity  $B_W = 3$ .

The above scheme requires  $O(\log n)$  average number of reads as  $X_L$  is represented using BRGC and incrementing it requires  $O(\log n)$  reads. To get better average-case bounds, we can represent  $X_P$  using RPGC. This increases the number of worst-case writes by 1 as now I and  $X_P$  are incremented independently. Thus we get a structure with  $B_R = O(\log \log n)$  and  $B_W = 1 + O(n^{-1})$  on the average but in the worst-case  $B_W = 4$ .

	Increment								
Previous New			Nev	v	Comments				
S	l	$I x_p$	$x_{p-1}$	S	Ι	$x_p$	$x_{p-1}$		
0	$=\ell_{\rm max}$	0 x	x	0	1	x	x	Increment $X_L$ (sets $I$ )	
0	$=\ell_{\rm max}$	$1 \ x$	x	1	<u>0</u>	x	x	Increment $X_L$ (resets $X_L$ ), Set S	
0	$<\ell_{\rm max}$	x x	x	0	x	x	x	Only increment $X_P$	
1	$<\ell_{\rm max}$	0 -	x	0	0	-	x	(Position $p$ beyond $n$ ) Reset $S$	
1	$<\ell_{\rm max}$	$0 \ 0$	x	0	0	<u>1</u>	x	(Last step of carry propagation) Reset $S$	
1	$<\ell_{\rm max}$	$0 \ 1$	x	1	0	<u>0</u>	x	(Carry propagation)	
1	$<\ell_{\rm max}$	1 x	1	1		x	<u>0</u>	Undo previous borrow	
1	$<\ell_{\rm max}$	$1 \ x$	0	-	_	_	-	Does not occur	
							Ι	Decrement	
0	= 0	0 x	x	1	1	x	x	Decrement $X_L$ , Set S	
0	= 0	$1 \ x$	x	0	<u>0</u>	x	x	Decrement $X_L$ (Resets I)	
0	> 0	x x	x	0	x	x	x	Only decrement $X_P$	
1	> 0	1 -	x	0	1	-	x	(Position $p$ beyond $n$ ) Reset $S$	
1	> 0	$1 \ 0$	x	1	1	<u>1</u>	x	(Borrow Propagation)	
1	> 0	$1 \ 1$	x	0	1	<u>0</u>	x	(Last step of borrow propagation) Reset $S$	
1	> 0	0 x	0	1	0	x	1	Undo previous carry	
1	> 0	$0 \ x$	1	_		_	-	Does not occur	

**Table 5:** Transition Table for the increment-decrement counter. For increment, new p = p + 1 and for decrement, new p = p - 1. x represents 'don't care' condition and shows that the value does not exist.  $\ell = \operatorname{Val}(X_P), p = \log n + \ell + 1$  and  $\ell_{\max} = 2^{|X_P|} - 1$ . Underlines show the modified values

**Theorem 6.** There exists a representation of integers of dimension n + 1 with efficiency 1/2 that supports increment and decrement operations with  $B_R = \log n + 3$  and  $B_W = 3$ . On average, an increment/decrement requires  $B_R = O(\log \log n)$  and  $B_W = 1 + O(n^{-1})$ .

We can extend the result of Theorem 5 to support decrement operations using an indicator bit as described in Section 4.

**Corollary 1.** Given two integers n and t such that  $t \leq n - \log n$ , there exists a representation of an integer of dimension n with efficiency  $1 - O(2^{-t})$  that supports increment and decrement operations with  $B_R = \log n + t + 2$  and  $B_W =$ 3. On average, an increment/decrement requires  $B_R = O(\log \log n)$  and  $B_W =$  $1 + O(n^{-1})$ .

# 5 Addition and Subtraction

In this section, we give a representation for integers which supports addition and subtraction operations efficiently. A number N is said to have a span n if it can take values in the range  $[0, \ldots, 2^n - 1]$ . Munro and Rahman [4] gave a representation that uses  $n + O(\log^2 n)$  bits to represent a number N of span n, and supports adding/subtracting a M of span m to/from N in  $O(m + \log n)$ time. We improve the space to  $n + O(\log n)$  bits while maintaining the operation time. We describe the data structure and scheme for addition and introduce suitable modifications to support subtaction as well.

We divide the representation of the number into  $k = O(\log n)$  blocks:  $B_1, B_2, \ldots, B_k$  with  $b_1, b_2, \ldots, b_k$  bits respectively, where  $b_1 = 2$  and for  $2 \le i \le k$ ,  $b_i = 2^{i-1}$  (if n is not a power of 2, then the last block has size  $b_k = n - 2^{\lfloor \log n \rfloor}$  instead of  $2^{k-1}$ ). Note that the block sizes satisfy the property that  $\sum_{j=1}^{i} b_j = 2^i = b_{i+1}$ , for  $1 \le i \le k-2$ . Each block  $B_i$  is maintained using the increment counter of Section 3.1 using  $b_i + 1$  bits and a constant number of flag bits as described below. Hence, a number is represented using k blocks of sizes  $b_1, b_2, \ldots, b_k$  bits along with O(k) additional bits. The value of the representation is  $\operatorname{Val}(B_1) + \sum_{i=2}^k \operatorname{Val}(B_i) \cdot 2^{b_i}$ . Thus the overall space used is  $n + O(k) = n + O(\log n)$  bits.

We now describe the modifications to the increment counter described in Theorem 3. Let X be the counter to be incremented. We introduce two additional bits max and  $V_H$ . The bit max indicates whether X represents its maximum value. Assuming  $p = \operatorname{Val}(X_L)$  represents a position in  $X_H$ ,  $V_H$  (verifier for block  $X_H$ ) = 1 if all positions in  $X_H$  from  $0 \dots p$  are 1. By this definition, when p points to any location beyond  $X_H$  and  $V_H = 1$  then  $X_H$  represents its maximum value. In Section 3.1 we used p to point to a location in  $X_H$  only when S = 1 but now we use p as a pointer in all steps. When S = 1, we perform the delayed increment in  $X_H$  and when S = 0, we read the bit  $x_p$  and use it to set/reset  $V_H$ .  $V_H$  is set to 0 if  $x_p = 0$ . If  $V_H = 0$ , then we set it to 1 if S = 1 and  $x_p = 0$ . This case happens when  $X_L = 0 \dots 0$  for a delayed increment. The bit max is set to 1 when  $V_H = 1$  and  $X_L$  represents its maximum value. When X represents its maximum value, max = 1,  $V_H = 1$  and S = 0. Incrementing the maximum value of X sets S = 1, max = 0 and resets  $X_L$  to its minimum value. The bit  $V_H = 1$ is maintained till S is reset to 0, i.e. throughout the delayed increment process.

We represent every block  $B_i$  using the above modified counter. To add M to N, for some  $m \leq n$ , we first find the largest i such that  $\sum_{j=1}^{i-1} b_j < m \leq \sum_{j=1}^{i} b_j$  (i.e.,  $b_i < m \leq b_{i+1}$ ). We add M to the number represented by the first i blocks of N in O(m) time. If any of the first i blocks has a carry bit set, then we first perform the necessary work and reset the carry bit in the block, and if necessary propagate the carry to the next block. If there is a carry from  $B_i$  to  $B_{i+1}$ , we propagate this by modifying the bit max<sub>j</sub> of the successive blocks until we find the first block  $B_j$  such that max<sub>j</sub> is set to 0, and increment  $B_j$ , altogether in  $O(\log n)$  time. The total running time is  $O(m + \log n)$  since incrementing the block and propagation of the carry take  $O(\log n)$  time each.

The read and write complexities of the addition algorithm can be shown to be  $O(m + \log n)$  and O(m) respectively. Since incrementing a counter of span n has a  $\Omega(\log n)$  lower bound for the read complexity, these bounds are optimal.

To support subtraction, we use the increment/decrement counter of Theorem 6 to represent each block, along with additional bits to check for the maximum and minimum values of a number. The details shall be provided in the extended version. M can be subtracted from N in  $O(m + \log n)$  time similarly, since the representation of a block supports both increment and decrement operations in  $O(\log b)$  time, where b is the length of the block.

**Theorem 7.** An integer of span n can be represented by a data structure which uses  $n + O(\log n)$  bits such that adding or subtracting an integer of span m can be performed by reading  $O(m + \log n)$  bits and writing O(m) bits.

### 6 Conclusion

We have shown that a number of dimension n can be incremented and decremented by reading strictly less than n bits in the worst-case. For an integer in the range  $[0, \ldots, 2^n - 1]$  represented using exactly n bits, our (n, n-1, 3)-counter reads n-1 bits and writes 3 bits to perform increment/decrement operations. One open problem is to improve the upper bound of n-1 reads for such spaceoptimal counters. Fredman [2] has shown that performing an increment using BRGC requires n bits to be read in the worst-case but the same is not known for all Gray Codes.

For the case of redundant counters, we have improved the earlier results by implementing increment operations using counters with space-efficiency arbitrarily close to one which write only 2 bits with low read complexity. We have obtained representations which support increment and decrement operations with fewer number of bits read and written in the worst-case and show trade-offs between the number of bits read and written in the worst-case and also between the number of bits read in the average-case and the worst-case. Finally we have also improved the space complexity of integer representations that support addition and subtraction in optimal time.

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