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Integrated assessment of social and environmental sustainability dynamics in the Ganges-Brahmaputra-Meghna delta, Bangladesh

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Title: Integrated assessment of social and environmental sustainability dynamics in the Ganges-Brahmaputra-Meghna delta, Bangladesh

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Abstract: Deltas provide diverse ecosystem services and benefits for their populations. At the same time, deltas are also recognised as one of the most vulnerable coastal environments, with a range of drivers operating at multiple scales, from global climate change and sea-level rise to deltaic-scale subsidence and land cover change. These drivers threaten these ecosystem services, which often provide livelihoods for the poorest communities in these regions. The imperative to maintain ecosystem services presents a development challenge: how to develop deltaic areas in ways that are sustainable and benefit all residents including the most vulnerable. Here we present an integrated framework to analyse changing ecosystem services in deltas and the implications for human well-being, focussing in particular on the provisioning ecosystem services of agriculture, inland and offshore capture fisheries, aquaculture and mangroves that directly support livelihoods. The framework is applied to the world's most populated delta, the Ganges-Brahmaputra-Meghna Delta within Bangladesh. The framework adopts a systemic perspective to represent the principal biophysical and socio-ecological components and their interaction. A range of methods are integrated within a quantitative framework, including biophysical and socio-economic modelling and analyses of governance through scenario development. The approach is iterative, with learning both within the project team and with national policy-making stakeholders. The analysis is used to explore physical and social outcomes for the delta under different scenarios and policy choices. We consider how the approach is transferable to other deltas and potentially other coastal areas.

33 **Abstract**

34 Deltas provide diverse ecosystem services and benefits for their populations. At the same
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37 deltaic-scale subsidence and land cover change. These drivers threaten these ecosystem
38 services, which often provide livelihoods for the poorest communities in these regions. The
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53 coastal areas.

54 **1. Introduction**

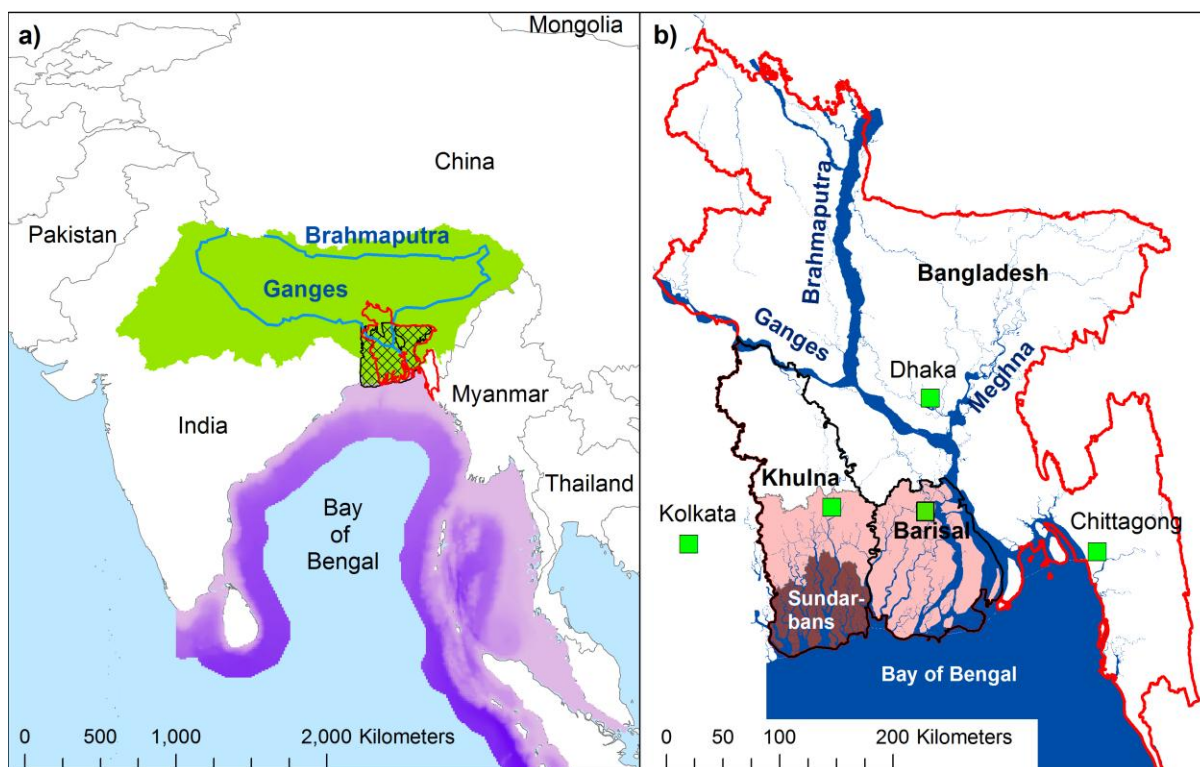
55 Globally, deltas are a major focus for human settlement with a resident population of 500
56 million people (Ericson et al., 2006). A number of large deltas such as the Nile, Ganges-
57 Brahmaputra-Meghna and Mekong have high population densities, reflecting the benefits of a
58 delta location, including the significant provisioning ecosystem services of agriculture and
59 fisheries. Many delta regions have emerged as economic growth poles and sites of urban
60 agglomeration, such as Cairo, Dhaka and Shanghai (e.g. Seto et al., 2011; Szabo et al., 2016;
61 Sebesvari et al., 2016). They are also a major focus for development and land use change
62 such as improving agriculture via polders or promoting aquaculture. Delta ecosystems often
63 have important conservation and biodiversity status due to their extensive wetlands
64 (www.ramsar.org) and hence comprise complex socio-environmental systems.

65 It has long been recognised that deltas are especially vulnerable to sea-level rise (SLR),
66 reflecting their low altitude (Broadus et al., 1986; Milliman et al 1989). However, global SLR
67 is not the only issue of concern. In deltas a range of other drivers are acting on multiple sub-
68 global scales. For example, regional catchment management generally reduces water and
69 sediment input and water extraction, sediment starvation and subsidence operate at the scale
70 of the delta plain (e.g., Woodroffe et al., 2006; Day et al., 2007; Syvitski et al., 2009; Tessler
71 et al., 2015). Hence delta regions globally are experiencing increases in flooding, inundation,
72 salinization and erosion, enhancing hazards and impacting rural livelihoods and food

73 security. Analysis of change therefore requires an integrated or systems analysis of the
74 relevant drivers and their effects, including interactions.

75 The Ecosystem Services for Poverty Alleviation (ESPA) Deltas Project (“Assessing Health,
76 Livelihoods, Ecosystem Services and Poverty Alleviation In Populous Deltas, 2012-2016”)
77 has addressed these issues in the Ganges-Brahmaputra-Meghna delta, Bangladesh (Figure 1).
78 The overall aim is to provide policy makers with the knowledge and tools to enable them to
79 evaluate the effects of policy decisions on ecosystem services and livelihoods by linking
80 science to policy at the landscape scale. In this paper we document the overall integrated
81 method, illustrate its application, and reflect on its efficacy. A large 100-strong
82 multidisciplinary team worked together towards this common goal with integration
83 emphasised from the earliest stages of the project.

84 The project framework includes governance and stakeholder analysis, scenario development,
85 socio-economic analysis, household surveys and biophysical modelling. Integration of these
86 components required developing an integrated assessment model – the Delta Dynamic
87 Integrated Emulator Model (Δ DIEM) – suitable for assessing potential future socio-
88 ecological trajectories on the delta, including the role of different development and adaptation
89 choices. Δ DIEM’s development involved extensive discussion and debate within the research
90 team in terms of formulating ideas on integration. An essential feature of the approach is to
91 ensure the production of timely, useful and coherent results for decision makers. Hence, in
92 addition to a high level of coordination amongst the diverse project partners, the project has
93 an ongoing engagement with national level stakeholders selected to engage with strategic
94 planning. The intra-project interaction ensures that all components follow the same
95 conceptual model and narratives about the future, whereas the external interaction with
96 stakeholders ensures understanding, usefulness and trust of the national decision makers
97 towards the results. As explained in Section 3.7, a learning process iterates between model
98 development/application and structured stakeholder engagement.



99

100

101 **Figure 1:** (a) The Ganges-Brahmaputra-Meghna river basin (shaded green), the Holocene
 102 delta (shown with criss-cross lines, after Woodroffe et al., 2006) and the Bay of Bengal
 103 (shaded purple). (b) The detailed study area (shaded), including the Sundarbans (shaded
 104 brown). Selected urban areas are shown as green squares. Khulna and Barisal Divisions are
 105 indicated. Bangladesh is shown with a red boundary.

106 The paper is structured as follows. Section 2 discusses the overall GBM delta, the study area
 107 and the challenges to the region over the coming decades. Section 3 explains the integrated
 108 assessment, developing a framework of diverse components suitable to analyse the future of
 109 provisioning ecosystem services and rural livelihoods and policy choices. Section 4 discusses
 110 the implications and Section 5 concludes. The details of the components and analysis are
 111 explained elsewhere such as Nicholls et al. (2015), Adams et al. (2016) and Amoako Johnson
 112 et al (2016), as well as in forthcoming papers.

113 **2. The GBM delta, coastal Bangladesh and drivers of change**

114 The Ganges-Brahmaputra-Meghna (GBM) Delta is one of the world's most dynamic and
 115 significant deltas. Geologically, it covers most of Bangladesh and parts of West Bengal in
 116 India, with a total population exceeding 100 million people (Woodroffe et al., 2006; Ericson
 117 et al., 2006). The Ganges and Brahmaputra rivers rise in the Himalayas (collectively with
 118 catchments in five countries: China, Nepal, India, Bhutan, Bangladesh) and ultimately
 119 deposit their sediments in the GBM delta and the Bay of Bengal (Wilson and Goodbred,
 120 2015) (Figure 1). The Meghna is another major river feeding the delta, which has a smaller
 121 catchment in Bangladesh and India. The delta is changing rapidly with a growing urban

122 population, including major cities such as Kolkata, Dhaka, Chittagong and Khulna. At the
123 same time, the delta provides important ecosystem services, especially provisioning services
124 that enhance the well-being of the large population that are dependent on intensive rice paddy
125 and fisheries.

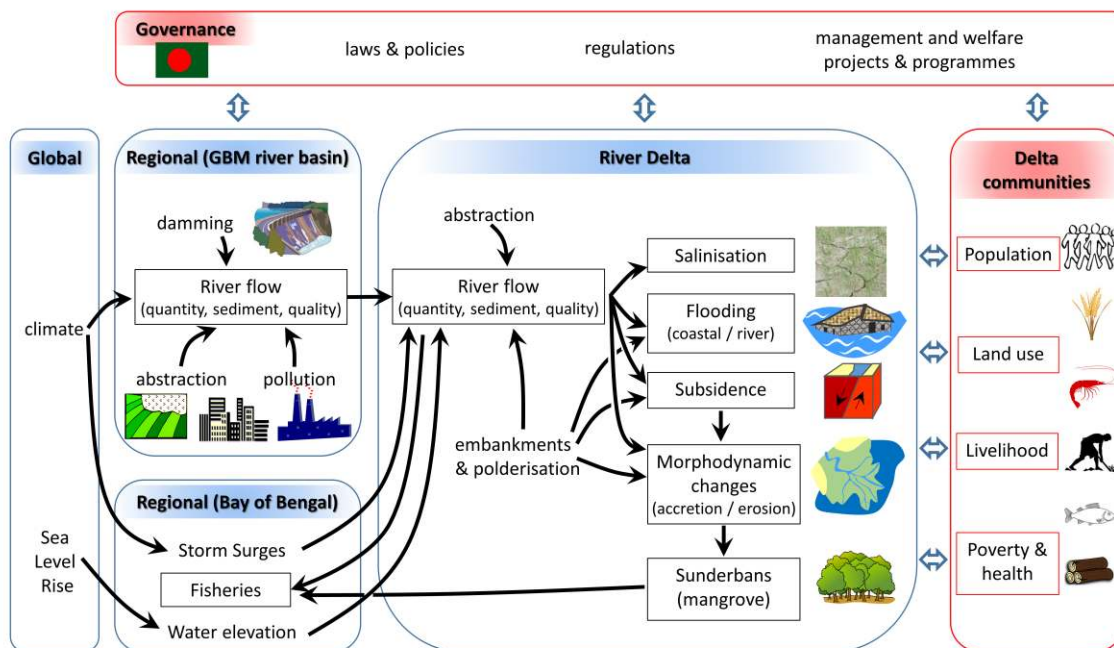
126 The national population of Bangladesh increased fourfold between 1950 and 2013, from 38 to
127 157 million and is projected to exceed 200 million by 2050 with continued urbanisation (UN,
128 2013, Streatfield and Karar, 2008). Despite rapid GDP growth from US\$840 (1996-2000) to
129 US\$1090 per capita (2011-2015) (<http://data.worldbank.org/indicator/NY.GDP.PCAP.CD>),
130 Bangladesh continues to be a low income country in UN classifications (Hunt, 2015).

131 The study area is the seaward part of the delta within Bangladesh, south of Khulna and west
132 of the Meghna to the Indian border (Figure 1). It includes the southernmost Districts of
133 Khulna Division and all Barisal Division. This area comprises one of the world's largest
134 lowlands with an elevation up to three metres – one metre above normal high tides – and it is
135 subject to tidal exchange along the numerous channels. Hence it is the area within
136 Bangladesh most threatened by SLR (e.g., Milliman et al., 1989; Huq et al., 1995; World
137 Bank 2010). The study area population is exposed to a number of hazards, including tidal
138 flooding, riverine flooding, arsenic in local groundwater supplies, salinity in water supplies
139 and in irrigation water, and water logging. However, cyclones and associated storm surge are
140 most damaging. The region remains predominantly rural with extensive agriculture,
141 aquaculture and capture fisheries. There are numerous islands near the Meghna River with
142 isolated resident communities. It also includes the Bangladeshi portion of the Sunderbans, the
143 largest mangrove forest in the world.

144 The study area population was about 14 million in 2011, approximately 10 percent of the
145 national population (BBS 2012). Demographic projections suggest a likely ageing population
146 of 11.5 to 14.0 million by 2050 with out-migration of working age adults and increasing life
147 expectancy (Szabo et al 2015a; 2015b). Out-migration is principally to urban centres and
148 reflects multiple factors, including salinity impacts on agriculture production and risks from
149 natural hazards. Across the seven divisions in Bangladesh, poverty is second highest in
150 Barisal and third highest in Khulna (BBS, 2011; Adams et al. 2013a), showing that the
151 incidence of poverty in the study zone is higher than the national average. Savings or access
152 to finance are limited for most of Bangladesh's population (Mujeri, 2015), making
153 households vulnerable to economic shocks.

154

155



156
157 **Figure 2:** Schematic illustration of the key biophysical factors affecting the study area and
158 their relationship to governance and community/socio-economic factors.

159 The analysis considers three distinct scales: (1) global; (2) regional, including the river basin
160 and Bay of Bengal; and (3) the delta, including the study area (Figures 1 and 2).

161 When considering the biophysical processes operating in the study area (Figure 2), they all
162 affect the available land area within the delta plain and its potential uses (Woodroffe et al.,
163 2006). There is a broad regional subsidence of two to three millimetres a year, and more
164 localised hotspots with higher subsidence (Brown and Nicholls, 2015; Higgins et al., 2014).
165 There is both local loss and gain of land, with a net national gain of land over the last few
166 decades, reflecting the large sediment supply (Bammer, 2014; Wilson and Goodbred, 2015).
167 River floods mainly occur during the wet season monsoon, when a large volume of water is
168 received from the upstream catchments. This results in 20-60 percent inundation of
169 Bangladesh annually (Salehin et al. 2007). Cyclones and storm surges regularly make landfall
170 in Bangladesh (mean >one per year for 20th Century). Cyclones and storm surges lead to
171 extreme sea levels, high winds, and potentially coastal (i.e. saline) flooding, which damage
172 crops and properties, and have significant consequences on health, mortality and livelihood
173 security (Alam and Dominey-Howes, 2015; Lewis et al., 2013; Mutahara et al., 2016).
174 However, improved Disaster Risk Reduction by the growth of flood warnings and cyclone
175 shelters has greatly reduced the death toll during extreme floods and cyclones (Shaw et al.,
176 2013).

177 Coastal Bangladesh has a system of polders built starting in the 1960s where the land is
178 surrounded by embankments with drains to manage water levels and enhance agriculture. In
179 the long-term, polderisation both prevents sedimentation and promotes subsidence due to
180 drainage (Auerbach et al., 2015). This degrades soil quality unless expensive fertilisers are
181 purchased, and makes drainage more difficult and increases potential flood depths when
182 dikes fail. The balance between sea water and freshwater is a critical issue in the study area

183 (Clarke et al., 2015; Lázár et al., 2015). This balance varies seasonally and salt water
184 encroaches further inland during the low river flow period between the annual monsoon rains,
185 and cyclones can also cause saltwater flooding by generating extreme sea levels (Kabir et al.,
186 2015). If the land becomes too saline, traditional agriculture is degraded. If this persists there
187 are limited options: moving to salt-tolerant crops (which are being continuously developed)
188 or converting to brackish shrimp aquaculture which is usually for export and are associated
189 with negative socio-economic outcomes (Ali, 2006; Islam et al., 2015; Amoako Johnson et
190 al., 2016). Upstream dams and water diversion to irrigation and other uses may enhance
191 salinisation. The Sunderbans are an important buffer against cyclones, but are threatened by
192 SLR and other stresses (e.g. pollution) (Anirban et al., 2015; Payo et al., 2016). They provide
193 a range of ecosystem goods which are available to the poorest, as well as tourism based
194 around the Bengal tiger, an endangered species.

195 **3. The ESPA Deltas Approach**

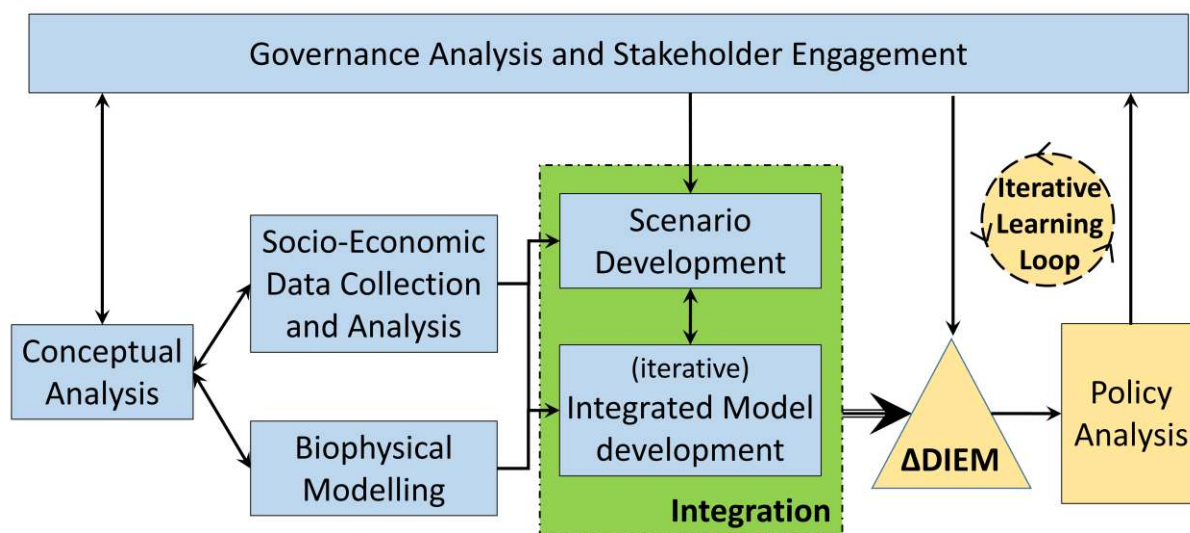
196 Analysing the future of ecosystem services and human livelihoods in coastal Bangladesh
197 includes integrating the social, physical and ecological dynamics of deltas in the
198 identification and measurement of the mechanisms by which the system components interact
199 to produce human well-being. The approach seeks to determine which physical and
200 biological processes affect life, livelihoods, health and mobility. It then analyses these
201 relationships and builds a predictive model to analyse potential future scenarios in
202 collaboration with those stakeholders responsible for action.

203 The analysis builds on key insights from the science of ecosystem services. First, economies
204 and societies depend on ecosystems that produce ecological functions and final goods and
205 services (Fisher et al., 2009). Ecosystem services include provisioning services, services from
206 regulating biological and physical processes and diverse cultural ecosystem services
207 (Millennium Ecosystem Assessment, 2005). In deltas, ecosystem services include the
208 processes that bring freshwater, sediments, productive and biologically diverse wetlands and
209 fisheries, and productive land for agriculture (Barbier et al., 2011). Our focus is on
210 provisioning ecosystem services in agriculture, mangroves and fisheries dominated systems,
211 as well as regulating services such as buffering of storms provided by mangroves. The
212 benefits of these to society are considered as multiple dimensions of well-being including
213 health outcomes, material elements of well-being and perceptions of well-being.

214 The method that we follow to achieve this goal is summarised in Figure 3. Governance
215 analysis and stakeholder engagement occur throughout the project, reflecting its participatory
216 nature. The method develops hypotheses concerning the relationship between ecosystem
217 services and livelihoods; and develops new typologies based on the characteristics of the
218 wider socio-ecological systems in deltas. We analysed population censuses and implemented
219 a household survey to collect data on ecosystem services and livelihoods. In parallel, we
220 analysed a range of biophysical processes in a consistent manner. To apply these results in
221 policy analysis full integration is required. To this end we developed a range of exogenous
222 and endogenous scenarios, including extensive stakeholder participation. We also develop an
223 integration framework and apply this to develop the Delta Dynamic Integrated Emulator

224 Model (Δ DIEM). Δ DIEM couples relevant biophysical processes and a unique household
 225 livelihood module based on the household survey results collected within the project. Figure
 226 3 provides an overview of the approach and each component is addressed in detail below.

227



228

229 **Figure 3.** Components of analysis of ecosystem service processes, societal outcomes and
 230 governance and scenarios in the GBM delta system.

231

3.1 Conceptual Analysis and Framework

232 The focus of the ESPA Deltas project is on deltaic ecosystem services, and especially
 233 provisioning ecosystem services. Hence, we develop a framework that focuses on the
 234 mechanisms that link ecosystem services with social outcomes. These mechanisms are core
 235 to all the following research tasks, including the design of the integrated model (Section 3.6).
 236 This includes exploring hypotheses concerning the specific nature of development, poverty
 237 and environmental trends within the GBM delta.

238 Explaining social outcomes of ecosystem service use within the GBM delta requires
 239 consideration of: (1) the magnitude and mobility of ecosystem services and associated
 240 populations; (2) seasonality and other short-term temporal dynamics of ecosystems; (3) social
 241 structures such as the debt economy, (4) capital accumulation and reciprocity in economic
 242 relations; and (5) the distribution issues associated with ownership and access to land and
 243 resources such as fisheries. These mechanisms are persistent and engrained in social-
 244 ecological systems and their governance. They have been used to explain the continued
 245 presence of poverty, social exclusion and patterns of uneven development in many contexts
 246 (see Hartmann and Boyce, 1983; Bebbington, 1999; Ribot and Peluso, 2003). The social
 247 mechanisms are manifest in measurable outcomes – notably the material well-being and
 248 incomes of populations, their nutritional status and health outcomes, and in so-called
 249 subjective well-being – how people perceive their present and futures (Camfield et al., 2009).

250 A key insight of the approach here is that deltas are a mosaic of diverse social-ecological
251 systems. Various studies on social-ecological systems show that the well-being and health
252 status of populations coming from ecosystem services do not depend on individual elements
253 of ecosystems, but rather on bundles of ecosystems that collectively produce desirable and
254 socially useful outcomes. The people, ecosystems, services and mechanisms used to access
255 these services together combine to create distinct socio-ecological systems, unique to each
256 bundle of services. The characteristics of co-production of ecosystem services at the
257 landscape scale lead, it is suggested, to significant trade-offs between types of ecosystem
258 services (Raudsepp-Hearne et al., 2010). In the GBM delta, such trade-offs are apparent, with
259 Hossain et al. (2016) demonstrating how land use intensification over the past 50 years has
260 significantly increased provisioning ecosystem services per capita, but with a concurrent
261 decline in natural habitats and regulating services.

262 The dynamics of deltaic social-ecological systems are such that trends are not easily
263 identifiable in simple deterministic relationships. In the GBM case, for example, populations
264 in poverty persist despite the presence of diverse, highly productive ecological systems
265 (Adams et al., 2013b). Similarly, land conversion from agriculture to brackish (Bagda)
266 shrimp aquaculture produces high value commercial products, yet has not transformed the
267 economic fortunes of the localities in which it is practiced (as it reduces employment by 90
268 percent and the profits are narrowly distributed). Rather aquaculture is co-located with areas
269 of persistent poverty, with the health and economic well-being of associated populations
270 being negatively affected by salinization (Amoako Johnson et al., 2016).

271 In summary, the conceptual framing of social-ecological systems within the GBM delta
272 explains how social phenomena and environmental drivers combine to constraint well-being,
273 health and pathways of development. The approach incorporates multiple elements of well-
274 being including objective measures of material outcomes such as income and assets; health
275 outcomes; and so-called subjective well-being. The absence of well-being represents multi-
276 dimensional poverty: alleviation of poverty is often stated as a major goal of development
277 policy and hence understanding the contribution ecosystem services make to the well-being
278 of poor populations and their contribution to poverty alleviation has high societal and policy
279 relevance.

280 **3.2 Governance Analysis and Stakeholder Engagement**

281 The incorporation of stakeholder views and developing a detailed understanding of the role
282 and gaps of governance in connecting ecosystem services and poverty alleviation are
283 fundamental to our methodology. A highly structured approach was adopted to ensure that
284 the project was able to respond to stakeholder priorities and knowledge, and that stakeholder
285 expectations were realistic. Understanding the reality of how legal, institutional and policy
286 frameworks can mediate the translation of ecosystem services to benefits that could affect
287 poverty were again stakeholder-driven. In the early stages of the analysis, key issues were
288 identified for further analysis, and these issues also inform the scenario development process
289 (Section 3.5).

290 In Bangladesh, we selected national planning and policy processes as our target: this provided
291 an effective and manageable group of national stakeholders. Representatives from
292 approximately 60 relevant institutions were actively involved, primarily through semi-
293 structured one-to-one interviews, but also through broader workshops, with key stakeholders
294 being identified via an initial mapping process (Marchszak, 1984; Reed et al, 2009; Gooch et
295 al, 2010). These stakeholders comprised: (1) government ministries and international
296 organisations; (2) donor agencies; (3) academics and experts; and (4) representative NGOs.
297 This process was bolstered through enhanced engagement with a small number of super-
298 stakeholders, whose interests aligned most closely with the project's aims and objectives
299 from the perspective of use and uptake, data provision and cross-sectoral relevance.

300 Ecosystems are governed by different legal regimes, often confined within sectoral
301 boundaries (Greibner et al, 2011). Laws and institutions often fail to accommodate cross-
302 cutting issues and are frequently fragmented and incomplete. Weaknesses in government
303 planning structures in Bangladesh, combined with heavy reliance on donors, could result in
304 donor-initiated projects that are not optimally aligned with the achievement of national goals
305 and policies (Rouillard et al, 2014). Our governance analysis focused on around 80 pieces of
306 relevant legislation and policy across multiple sectors relevant to the sources of ecosystem
307 services and to the protection and improvement of livelihoods (including water and land use
308 management, fisheries, environmental protection, human rights and rural development).
309 Preliminary efforts aimed to produce a baseline multi-sector, multi-scale analysis of relevant
310 documentation, from the transboundary scale (i.e. across the whole GBM basin) through the
311 national and sub-basin scales and down to the local, concentrating on those administrative
312 areas where decision-making is of relevance (cf. Figures 1 and 2). This was buttressed by a
313 further analysis of the factors that influence the implementation and achievement of policy
314 objectives, and the extent to which legal and institutional frameworks are capable of
315 supporting policy (Hill et al, 2014). This analysis of barriers was extended to cover informal
316 governance systems where relevant, in order to understand the cogency of local customary
317 systems and more formal frameworks (Greibner et al, 2011).

318 Additional efforts were made to incorporate governance metrics and indicators into the
319 integrated modelling process in order to try to capture the governance situation in future
320 projections, though significant difficulties were encountered with respect to linking these in a
321 meaningful way to biophysical, poverty and health-related indicators. As one approach to
322 overcome these problems, a post-hoc assessment of modelled interventions was performed in
323 the light of the governance findings, highlighting key steps that should be taken from a legal,
324 policy and institutional perspective to facilitate implementation of the specific intervention.

325 **3.3 Socio-economic Data Collection and Analysis**

326 Building on the conceptual framework, a range of socio-economic analyses were conducted
327 (Figure 4) including an analysis of demographic trends and scenarios (Szabo et al., 2015a), an
328 analysis of macro- and national economic trends (Hunt, 2015) an analysis of poverty
329 indicators from the census (Amoako Johnson et al., 2016) and as little empirical data existed,
330 an innovative household survey on ecosystem services in the study area. This survey is
331 explained in detail below. Combined, these data provided an understanding of: baseline

332 conditions and scenarios; empirical linkages between the environment and poverty; and a
333 detailed causal analysis of the links between the environment and poverty and environmental
334 factors, respectively. These all informed the Δ DIEM model (Section 3.6).

335

336 To investigate the relationship between ecosystem services and human well-being across
337 diverse socio-ecological systems a qualitative and a quantitative household survey are
338 conducted. The qualitative survey aimed to conceptualise the socio-ecological system, and
339 the quantitative survey ensured that this information can be integrated with the biophysical
340 models to answer specific questions regarding the ecosystem-poverty relationship. Within the
341 quantitative household survey, approximately 1500 randomly selected households were
342 visited in three seasons, across the socio-ecological systems of the study area. This allowed
343 capturing the temporal and spatial dynamics at multiple scales. The questionnaire collected
344 data on livelihoods, diverse forms of well-being (assets, income, expenditure, food
345 consumption, satisfaction with life, blood pressure, nutritional status) and the characteristics
346 of ecosystem service use. In addition, the survey collected information on the mechanisms
347 that facilitate or hinder well-being from ecosystem services: debt and debt relations; land
348 ownership and access mechanisms; shocks and coping strategies; and mobility.

349

350 The highest level of stratification for sampling was based on the seven most important socio-
351 ecological systems in the region, identified through land cover maps, verified through
352 extensive qualitative fieldwork, and based on dominant land use: (1) irrigated agriculture, (2)
353 rain-fed agriculture, (3) saline aquaculture (4) freshwater aquaculture, (5) mangrove forest
354 dependence, (6) offshore fisheries and (7) locations with riverbank erosion. Stratification was
355 carried out using land use maps generated from satellite imagery. Further stratification was
356 then carried out using administrative districts (Unions), lists of villages (Mouzas) and a
357 household listing in selected villages. Adams et al. (2016) provides full details of the survey
358 design and data collection and the associated data is available at

359 <http://dx.doi.org/10.5255/UKDA-SN-852179>.

360

361 The household survey found livelihoods in the study area to be complex and diversified
362 (Adams et al., 2016). Of the survey households, only 3.5 percent worked exclusively (all
363 three seasons) in agriculture/fisheries, 75.9 percent worked one or two out of three seasons in
364 agriculture/fisheries, and the remainder (20.6 percent) worked exclusively in non-
365 agriculture/fisheries sectors. Similarly, 15.0, 2.4 and 82.6 percent of the surveyed households
366 practiced only one, two or 3 or more livelihood types throughout the year, respectively. The
367 data has been analysed in multiple ways in order to illuminate the relationship between
368 ecosystem services and well-being in the context of diverse socio-ecological systems. The
369 results reinforce the importance of ecosystem services as a safety net for the poorest, since
370 those without ecosystem services are those most likely to be both materially poor and
371 experience low satisfaction with life. They also reveal that poverty-environment linkages
372 differ across the socio-ecological systems. These spatially differentiated effects extend to
373 health-related components of well-being such as nutritional status and blood pressure.

374

375 The objective of the data collection was not only to dissect the present-day ecosystem
376 services – poverty nexus, but also to ensure that the baseline conditions, parameters and
377 behaviour that inform the integrated model are realistic (Section 3.6). The surveyed ~1500
378 households were grouped into 37 household archetypes based on seasonal livelihoods and
379 land ownership and these archetypal households were characterised by utilising this unique
380 dataset: assets, income, expenditure, levels of debt, diversity of and seasonality of livelihoods
381 and associated incomes/costs, food intake (among other factors) in Δ DIEM are all based on
382 this empirical data.

383

384 In addition, to supporting the development of Δ DIEM, the survey data has many other
385 potential applications, and there is potential to repeat the survey to understand inter-annual
386 trends and variability (Adams et al., 2016).

387

388 **3.4 Biophysical Analysis and Models**

389

390 The ecosystem services available in the study area depend in large part on the biophysical
391 environment. A quantitative approach using state-of-the-art models was adopted. While this
392 has a time penalty when setting these up, it allows us to explore and understand coupling and
393 feedbacks between different processes and drivers, as well as consider different policy
394 interventions. Hence, a range of relevant state-of-the-art biophysical process models have
395 been selected, implemented and validated for the GBM delta and/or surrounding region. In
396 general, each of these models had been developed previously, for different locations and
397 applications. After being implemented appropriately for the study area, they were loosely
398 coupled to provide a cascade of information and insight. They have been run for a range of
399 future climate and socio-economic scenarios (Section 3.5) and the outputs have also been
400 used to build the integrated Δ DIEM model as explained in Section 3.6. If further queries arise
401 during the integration, the detailed models are available for further analysis.

402 Quantitative process models are often applied to individual components of a biophysical
403 system in isolation. However, we take the novel and challenging approach of attempting to
404 link a suite of models of different parts of the system and allowing them to interact with each
405 other as illustrated in Figure 4. This allows insight into the complex inter-dependencies and
406 relationships within the biophysical system. Once these models were implemented and
407 validated against historical data, we assume that the underlying physics/biology is unchanged
408 and make future projections based on changing input data and forcing. To a great extent the
409 natural ecology and human utilisation of the delta system is determined by the physical
410 characteristics of the region. Thus, the underpinning nature of the topography and climate of
411 the region is paramount. Human intervention is the next most important driver of change, at a
412 range of time and space scales, from land use to water abstraction and anthropogenic climate
413 change.

414 The model system comprises component models or groups of models to simulate climate,
415 catchment hydrology, water quality and sediment load, delta study area hydrodynamics,

416 morphodynamics and groundwater, the Bay of Bengal, and fisheries, agriculture and
417 mangroves in the study area.

418 For climate, three of the UK Met Office's HadRM3/PRECIS Regional Climate Model
419 simulations (Q_0 , Q_8 , Q_{16}) are used to capture future climate variability under an A1B
420 emissions scenario. Climate projections indicate a consistent trend towards increasing
421 temperatures and precipitation over the region by the end of the 21st century. Heavy rainfall
422 events are projected to become more frequent, with lighter and moderate rainfall becoming
423 less frequent (Caesar et al., 2015). Consistent climate-induced SLR scenarios are available
424 (Church et al., 2013), together with subsidence scenarios (Brown and Nicholls, 2015).

425 For catchment hydrology, the semi-distributed INCA model is applied to the entire GBM
426 river system. This shows that climate change is likely to increase the peak flows into
427 Bangladesh during the monsoon period, but that low flows may be more variable and more
428 extended. There is a major threat to water availability from the water transfer plans for the
429 upstream rivers, which could divert water away from the delta region (Whitehead et al
430 2015b). For water quality and sediment load, simulations with the INCA-N and HydroTrend
431 models are used. The nutrient loads to the delta region from the GBM rivers will vary in the
432 future as climate and socio-economic conditions change. Increased monsoon flows will dilute
433 sources of N and P resulting in reduced concentrations flowing into the delta region. The
434 implementation of the Ganga Management Plan (improved water treatment) will also reduce
435 nutrient loads moving into the delta in the longer term, although increased agricultural
436 development may generate a higher nutrient load depending on the use of fertilisers in
437 upstream catchments. Simulations of sediment flux reveal that the delivery of fluvial
438 sediment to the GBM delta is likely to increase with increasing flows under climate change
439 (Darby et al., 2015; Whitehead et al., 2015a).

440 Hydrodynamics and morphological changes at the delta scale are captured with the FVCOM
441 (Chen et al., 2003) and Delft-3D models (Haque et al., 2016). Water levels in the delta are
442 controlled by a balance between river and tidal flow, acting on different timescales.
443 Throughout the year the situation can change; from tides controlling the water levels in the
444 dry season, to dominance by river flow during the monsoon. The salinity penetration is
445 controlled by sea level and freshwater flow. The MODFLOW groundwater model (Harbaugh,
446 2005) is coupled with the SEAWAT water quality model (Langevin et al., 2007) to
447 approximate the groundwater hydrology and salinity of the coastal zone. The groundwater
448 seawater interface has attained its current position over a period of tens of thousands of years.
449 Hence, the direct impact of SLR on the lateral movement of this seawater interface is
450 minimal over the next 50/100 years. However, the indirect impact of SLR is via the increase
451 in surface river salinity which in turn contributes to groundwater salinity. In addition,
452 another potential driver of groundwater salinity change is increased groundwater abstraction
453 in the areas north of the study area.

454 The GCOMS global framework has been adapted for the Bay of Bengal and simulations to
455 2100 have been completed for three climate and three socio-economic scenarios. These long
456 time series outputs were required to model fisheries, as fisheries are influenced by processes

457 with a time-scale of 10-30 years. It also enabled an assessment of the increased likelihood of
458 extreme sea level events in the study area (Kay et al., 2016). For coastal fisheries, all
459 simulations project decreases in potential catches comparing present conditions and future
460 scenarios. However, while climate change impacts negatively on Bangladeshi fisheries, good
461 management can mitigate these declines (Fernandez et al. 2015).

462 For agriculture, the improved CROPWAT model has been developed and fully coupled in
463 Δ DIEM (Lázár et al. 2015). Thus it is possible to run complex scenarios with Δ DIEM and
464 interpret the results by considering the uncertainties of the crop model. Field trials and the
465 Aquacrop model have been used in parallel (Mondal et al. 2016).

466 Changes in mangrove forest area have been estimated using the Sea Level Affecting Marshes
467 Model (SLAMM) (Payo et al., 2016). By 2100, the net loss was estimated as a maximum of
468 3, 6 and 24 percent of the present mangrove area for SLR of 0.46m, 0.75m and 1.48m,
469 respectively. The higher losses could reduce the buffer protection provided to upstream areas
470 by the Sunderbans against storm surges (Sakib et al., 2015).

471 Land cover/Land (LCLU) of the study area is also required and was measured using Landsat
472 5TM remote sensing images combined with field observations. This classified the study area
473 into nine LCLU categories for three time slices (1991, 2001, 2011): (1) Water, (2) Bagda
474 (saline shrimp farming), (3) Golda (freshwater prawn farming), (4) Agriculture (non-
475 waterlogged), (5) Agriculture (waterlogged), (6) Wetlands and mudflats, (7) Mangrove, (8)
476 Rural settlements, and (9) Major urban areas (see Amoako Johnson et al., 2016). Based on
477 these observations, annual land use scenarios were developed. For the historical period, gaps
478 were filled with linear interpolation. The future LULC scenarios were developed based on
479 stakeholders' scenario narratives for 2050 (e.g. saltwater shrimp area slightly increased due to
480 conversion of natural vegetation under BAU). The narratives were quantified, and after a
481 final stakeholder workshop, where the quantified scenarios were discussed, the 2011 LULC
482 data were projected to 2050. Beyond 2050, no further change in LULC is assumed due to the
483 huge uncertainties.

484

485 **3.5 Scenario Development**

486 The project utilised climate, environmental and socio-economic scenarios. The climate,
487 environmental, land use and demographic scenarios were developed by experts as explained
488 in Sections 3.3 and 3.4. Below the development of endogenous socio-economic scenarios is
489 explained.

490 Adopting a scenario-based narrative of possible (and plausible) futures allows responses to
491 environmental and social changes over time to be explored in a way that addresses the huge
492 levels of uncertainty. It also facilitated the integration of the views of stakeholders with the
493 scientific findings. The approach that was adopted was inspired by the new Shared
494 Socioeconomic reference Pathways (SSPs) approach (Arnell et al, 2011; O'Neill et al., 2014).
495 We developed three future socio-economic scenarios: Less Sustainable (LS); Business As
496 Usual (BAU); and More Sustainable (MS). These scenarios are devices for engaging with

497 stakeholders, and no absolute inferences were made with respect to the actual sustainability
 498 of any of these scenarios: this is assessed with Δ DIEM. BAU is defined as the situation that
 499 might exist if existing policies continue and development trajectories proceed along similar
 500 lines to the previous 30 years. LS and MS are alternatives that are broadly less or more
 501 sustainable than BAU. The scenario approach allowed us to take the stakeholder issues of
 502 concern and project how they might look in 2050, on the basis of the ensemble of downscaled
 503 climate models defined in Section 3.4.

504 As part of the stakeholder engagement process described in Section 3.2, the main issues in
 505 the delta that were of concern to stakeholders were derived through a series of interviews and
 506 local level workshops held over two years (2012 to 2014). Each of the resulting issues –
 507 including salinization, erosion and sedimentation, and shrimp versus agriculture - were
 508 categorized into four issue groups: (1) Natural Resource Management; (2) Food Security; (3)
 509 Poverty / Health / Livelihoods; and (4) Governance. During a workshop held in October
 510 2013, these were broken down by participants into almost 100 separate elements. Within the
 511 limits of a series of rather conservative boundary conditions, attendees ranked the extent of
 512 improvement/deterioration of these elements they expected by 2050 using a six point scale.
 513 Consensus (or at least majority agreement) was achieved, and significant efforts were made
 514 to ensure internal consistency across categories. Stakeholders were also asked to identify,
 515 where possible, the elements of the other issues where the impact of governance would be
 516 significant. The resulting table, roughly quantifying the constituent elements, allowed a
 517 detailed qualitative narrative of the BAU scenario in Bangladesh to be prepared, and
 518 corresponding narratives were developed for the other two scenarios (LS, MS). These were
 519 forensically evaluated by almost 100 experts at a workshop in Dhaka in May 2014, and
 520 revised narratives agreed subsequently.

521 A Qualitative-to-Quantitative process was required so that Δ DIEM could utilise the scenarios
 522 (Sections 3.6 and 3.7). This required the quantification of as many scenario elements as
 523 possible. In order to maximise stakeholder ownership of the scenarios (and subsequent
 524 results), stakeholder experts agreed on values of key model input parameters consistent with
 525 the narratives at a workshop held in November 2014, and through completion of a dedicated
 526 questionnaire. These results were then applied within the iterative learning loop (Section 3.7).
 527 Note that there were limits to the incorporation of a significant proportion of the scenario
 528 elements in the quantitative analysis, especially those related to governance. This is a topic
 529 for further research.

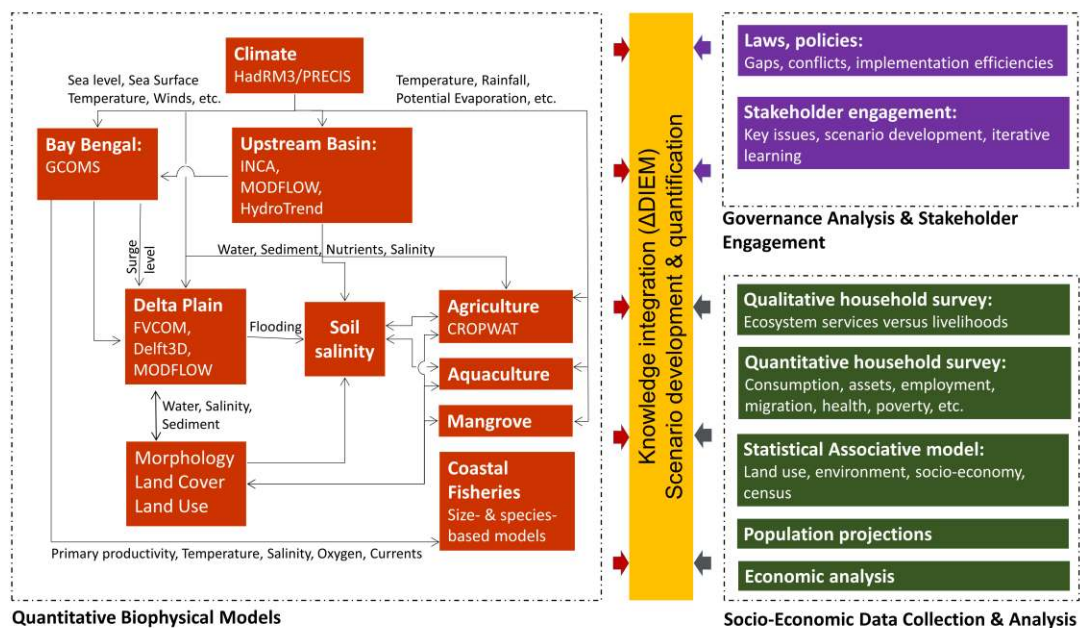
530 These socio-economic scenarios are linked to the expert demographic and land use scenarios.
 531 Hence, the climate and socio-economic scenarios are combined in a three by three matrix,
 532 giving nine plausible sets of scenarios (Q_0 -LS, Q_0 -BAU, Q_0 -MS, Q_8 -LS, etc.). By constantly
 533 considering nine plausible futures, the simulation results immediately indicate the uncertainty
 534 of the results and the robustness of interventions. While these are the scenarios used at
 535 present, the framework is flexible and other scenarios could be utilised as appropriate, as long
 536 as they provide the appropriate parameters for Δ DIEM.

537

538 **3.6 Integrated Model Development (Δ DIEM)**

539 As already noted, integration within the ESPA Deltas project faced multiple challenges: (1)
 540 multiple scientific disciplines, (2) multiple scales of analysis, (3) varying analytical methods,
 541 and (4) different computational power and run time requirements. For example, the Delft-3D
 542 model takes two days to simulate one year for one scenario, whereas the INCA model
 543 simulates all nine scenarios over 100 years within an hour. Thus, the first step of integration
 544 is to build on the earlier components and develop a conceptual diagram of the coupled
 545 biophysical-human system (Figure 4). This includes issues raised by the stakeholders and
 546 identifies the required processes and model elements. At the same time, the spatial and
 547 temporal scales of the biophysical models and all analytical methods are mapped, including
 548 the schematics of the integrative model. The integration aims to develop a rapid assessment
 549 framework which can simulate many future cases, and hence explore policy choices. This
 550 was based on a new meta-model that fully couples the required system elements and
 551 harmonises across the spatial and temporal scales. The current version of the model considers
 552 the upstream river basin and the Bay of Bengal as boundary conditions (although these can be
 553 replaced by dynamic counterparts, if required), because the focus of the analysis is on the
 554 Bangladesh coastal zone as defined in Figure 1 and on the environment – human interaction.
 555 Thus, the boundary conditions are currently represented by look-up tables of scenarios
 556 (climate, upstream hydrology and water quality, Bay of Bengal sea elevation and fisheries),
 557 whereas the coastal system has fully coupled representation.

558



559

560 **Figure 4.** A conceptual diagram showing the flow of information to knowledge integration,
 561 which is encapsulated in Δ DIEM.

562

563 In Δ DIEM the hydrodynamics of the coastal zone was captured by the three-dimensional
 564 Delft-3D, FVCOM and Modflow-SEAWAT models for three time-slices, and sophisticated

565 emulators (cf. Hotelling, 1936; Clark, 1975; Challenor, 2012) were created to represent these
 566 (surface and groundwater) hydrological and water quality processes within Δ DIEM.
 567 Emulation of these complex model results was essential to reduce the computational time and
 568 to interpolate the available simulations. A novel, regional soil salinity component of Δ DIEM
 569 was also developed that fully couples the climatic, hydrological and land management drivers
 570 of soil salinity change and links these with a process-based agriculture model (i.e. the
 571 improved CROPWAT model; Lázár et al 2015). Thus climate change, flooding, salinization,
 572 and land management has a direct impact on crop productivity in the simulations. All these
 573 biophysical calculations are done at the Union level (i.e. the smallest planning unit in
 574 Bangladesh) and at a daily time step (note that there are 653 Unions in the study area).
 575 Annual fish catches estimated by the coastal fisheries model are downscaled to the Union
 576 scale and a monthly time step by utilising a new fish market survey conducted within the
 577 project. Other livelihoods (i.e. small business, small-scale manufacturing, salaried
 578 employment) are less important in rural Bangladesh, and were not studied in detail. Thus in
 579 Δ DIEM, they are represented with observation-based look-up tables.

580 One of the most novel aspects of the approach is the explicit inclusion of poverty and health
 581 in Δ DIEM, rather than as an external piece of analysis. These issues are integrated in two
 582 distinct ways, both building strongly on the biophysical simulations of Δ DIEM. The first
 583 method uses a spatial statistical asset-poverty model (aggregated to the Union Level and on
 584 an annual time step) to directly estimate asset poverty (Amoako Johnson et al., 2016). This is
 585 based on biophysical state indicators and some socio-economic scenarios of employment rate,
 586 access to education and travel time to cities and markets. The second method approximates
 587 household livelihoods, poverty and health from the household survey (Section 3.3) using an
 588 agent-based-type household economy model. Within this process-based calculation, the
 589 simulation follows the virtual lives of 37 household archetypes (union-based, monthly time
 590 step). These archetypes are identified and parametrised using the household survey.
 591 Calculations in the household component are driven not only by the biophysical changes, but
 592 also by the demographic, land cover and economic scenarios (Section 3.5). Incomes and
 593 remittances are matched with direct livelihood costs, affordable household expenditure and
 594 farm labouring opportunities. The output of the calculation is household welfare and food
 595 intake. A range of governance interventions can be tested with this model framework such as:
 596 land use restrictions, subsidies, income taxes, market price policies, new crop varieties,
 597 embankment projects, infrastructure development, etc. Such a detailed household economy
 598 model also produces regional economic indicators (e.g. GDP/capita, GINI coefficient), food
 599 security indicators (e.g. rice production, hunger periods) and national poverty indicators.
 600 These two contrasting methods, the statistical associative model and the household survey
 601 model, allow preliminary consideration of uncertainty in the simulations, robustness of
 602 governance interventions and identify further research areas.

603 **3.7 Policy Analysis and an Iterative Learning Loop**

604

605 Our integrated methodology is built on ongoing stakeholder engagement and iterative
 606 learning through the project (Sections 3.2 and 3.5). This includes involving an innovative

607 learning process where stakeholders (from government to civil societies) are involved in all
608 stages of the research starting from the identification of research questions to developing
609 scenarios and exploring these within the Δ DIEM framework. This ensures stakeholder trust,
610 interest and willingness to participate.

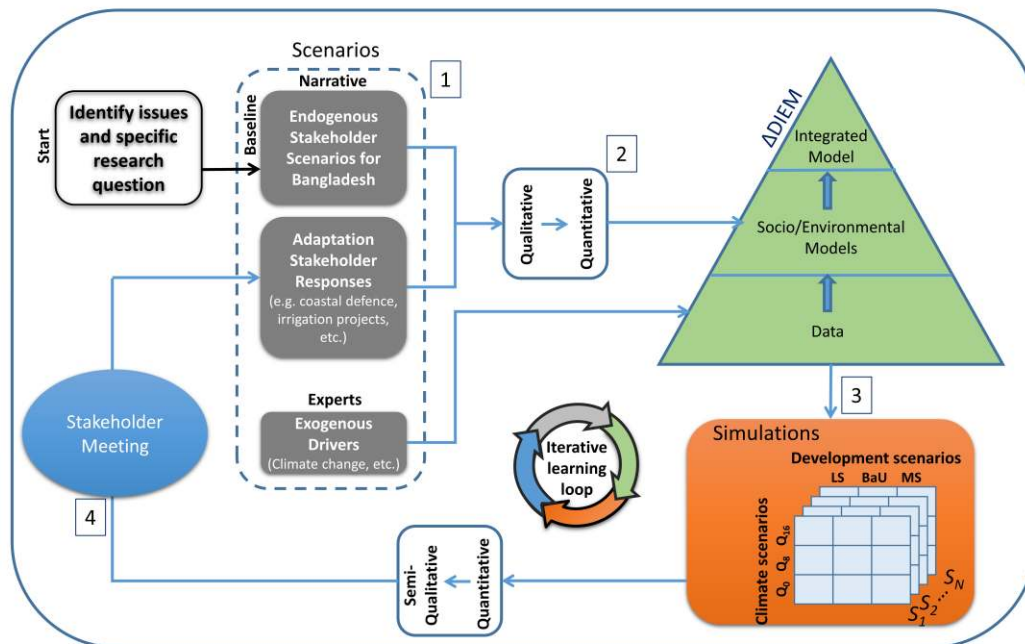
611 While stakeholder engagement and learning was embedded in the whole project, the iterative
612 learning loop in Figure 3 is critical to engaging with the policy process and is expanded in
613 Figure 5. This provides a process for decision makers to engage and adaptively test outcomes
614 from the implementation of individual policies or rafts of policies into the future. The
615 practicalities of this approach involve a series of workshops which initially provide
616 information on the simulated outcomes across a range of scenarios. The process is initiated
617 by the earlier stakeholder engagement and scenario development described in Section 3.5.
618 Stakeholders are informed of Δ DIEM capabilities and formulate inputs to Δ DIEM based
619 upon scenarios already discussed (Step [1] in Figure 5). These inputs are in the form of
620 narrative statements and so the process of Qualitative to Quantitative transformation is
621 required with expert technical input (Step [2] in Figure 5). These inputs are used in Δ DIEM
622 to produce a range of output simulations of future states of the delta study area. (Step [3] in
623 Figure 5). These simulations are then reviewed at a further stakeholder meeting and
624 adaptation responses can be proposed (Step [4] in Figure 5). The loop can then be re-iterated
625 multiple times, allowing investigation of the problems of the GBM delta, and possible
626 solutions including trade-offs.

627 We have worked with stakeholders to define the types of intervention that could be
628 represented in Δ DIEM. A diverse range of socio-environment and socio-agricultural
629 interventions can be addressed and simulated in the Δ DIEM system, ranging from soft policy
630 tools such as natural flood management (forestry and land use management mangrove
631 development, and land use planning and zonation), to harder more substantial engineering
632 interventions such as the development of water management and storage systems (dams,
633 barrages, polders, pumping systems, water treatment). The credibility of a simulation always
634 needs to be considered, and for some measures additional model simulations including the
635 interventions to retrain Δ DIEM emulators may be required.

636 As such Δ DIEM is an iterative learning instrument to explore the impact of a range of
637 climate, social and governance interventions in close collaboration with decision makers. The
638 main focus is up to 2050, as the socio-economic scenarios are most credible over this time
639 frame and there is stronger interest in the next 30 years. However, longer simulations are
640 feasible and desirable from a policy perspective, especially for the biophysical indicators if
641 not for the socio-economic context. For example, the Bangladesh Delta Plan 2100, which is
642 currently being developed to steer strategic development of Bangladesh, has a strong focus on
643 the next few decades but also considers a maximum time frame of 2100 (see
644 <http://www.bangladeshdeltaplan2100.org/>).

645

646



647
 648 **Figure 5.** Concept of the iterative learning loop using Δ DIEM for policy analysis. Reference
 649 numbers describe the loop are referred to in the text: (1) scenario development, including
 650 adaptation responses; (2) qualitative to quantitative translation to Δ DIEM inputs; (3)
 651 simulations using Δ DIEM; and (4) stakeholder review of the simulations.

652 The output simulations can be evaluated in a number of ways. Rather than seeking *optimum*
 653 solutions the notion of *robustness* is favoured by the authors. This explores what
 654 interventions work best across a wide range of plausible futures, as robust interventions are
 655 more likely to be applicable in an uncertain future. This is an important point, as Δ DIEM
 656 does not provide forecasts of future states, but rather allows an exploration of possible
 657 futures, which constitutes appropriate information for a robustness assessment. One question
 658 of interest is testing grey versus green infrastructure approaches, as well as hybrid grey/green
 659 approaches. Given the large amount of output from Δ DIEM, other decision analytic
 660 approaches could be considered.

661 4. Discussion

662 Our analysis started with broad qualitative assessment of the system of interest. It progressed
 663 with a range of socio-economic analysis and surveys and biophysical modelling. These were
 664 developed with integration in mind and also informed scenario development. National-level
 665 stakeholders were consulted throughout this process including within the scenario
 666 development. This has culminated in the Δ DIEM model, which offers a practical assessment
 667 tool for scientific and policy assessment designed with and for stakeholders in a complex
 668 socio-environmental context. The Δ DIEM model is now beginning to be used in analysis of
 669 the development choices for coastal Bangladesh.

670 In terms of the question concerning the physical and biological processes which affect life,
 671 livelihoods, health and mobility, important insights have emerged as outlined below, and will
 672 continue to emerge from this analysis. With respect to the stability of the relationships as

673 regards biophysical process, and hence predictability over time, our assumption that they are
674 unchanging is reasonable and normal. For socio-economic issues this assumption is less
675 justifiable and we have had to review the literature in order to inform our understanding of
676 the stability of the relationships over time. These assumptions are explicit and will be
677 investigated into the future both in Bangladesh and using appropriate analogues elsewhere.
678 However, we recognise that the timeframes at which the socio-economic results are useful is
679 much shorter than for the biophysical results.

680 This hybrid integrated framework has allowed a move away from an ad hoc, external expert
681 or purely indicator-based approach and provided an opportunity to explore the interactions
682 between domains of knowledge as diverse as oceanographic modelling and perception-based
683 assessments of well-being. In this approach, while the analysis is complex, the assumptions
684 are explicit and have been debated, challenged and changed as our knowledge grows and the
685 detailed questions being posed evolve with this understanding. Hence, it provides an explicit
686 analytical framework and forces the user to identify, consider and explore the limits to
687 knowledge.

688 Δ DIEM depends upon systems analysis and simulation modelling. Given the difficulty of
689 predicting change in all of the systems considered here, such simulation modelling could be
690 regarded as being almost naïve. We recognise the limits to what we represent in our models,
691 but we sought to represent all the relevant processes and their interactions. Developing and
692 linking models was a key process within the project team that facilitated development of our
693 conceptual ideas, promoted detailed discussion between different discipline experts, as well
694 as developing the Δ DIEM software. As we gain experience we will continue to explore the
695 complexities, interdependencies and uncertainties of our study area. This includes
696 considering a wide range of possible strategies for development within the context of an
697 uncertain future.

698 Many improvements are possible. This includes provision of better basic data such as
699 bathymetry and elevation or surface water salinity in the short- and long-term. The household
700 survey might be repeated to explore how these factors and relationships change over a
701 number of years, addressing the issue of the stability of relationships/predictability over time.
702 Moreover, the Δ DIEM framework is flexible and can be adapted to analyse additional issues.
703 So, while we have primarily focussed on provisioning ecosystem services in a deltaic
704 environment, the models used could readily be extended to analyse regulating ecosystem
705 services (cf. Hossain et al., 2016).

706 Building these types of co-produced analytical tools represents a significant amount of effort
707 and resource, but we would argue that the new insights, capacity building, scientific and
708 policy applications and understanding generated justify this approach. The model framework
709 structures our diverse knowledge and understanding of the relevant processes, information
710 and data. Indeed, the level of integration accomplished in this research is novel and unusual
711 and possibly unique in its strong quantitative coupling of biophysical changes to household
712 livelihoods related to provisioning ecosystem services. This research has already provided
713 important insights about the socio-ecological processes operating in the study area and in the

714 wider region. The integration provides synergistic insights for national policy processes such
715 as the Bangladesh Delta Plan 2100. This is providing a practical test of the real world
716 application of this approach in a policy context.

717 **5. Conclusion**

718 This research provides a comprehensive approach that utilises a highly diverse range of data,
719 models and treatments intersected with strong and sustained participatory interaction with
720 stakeholders. The approach offers a transparent methodological approach to the analysing the
721 interface between diverse socio-economic and biophysical components – in this case
722 sustainable livelihoods and ecosystem services in deltas – issues that have often proven a
723 stumbling block for integration. One of the strengths of the approach is that it provides a
724 platform for further refinement and development. The models and data are modular and can
725 be easily changed or extended.

726 This research has already come to a number of important conclusions for the GBM delta,
727 such as the spatially-variable drivers of poverty in the study area (Amoako-Johnson et al.,
728 2016), or the likely amplification of the seasonal river cycle due to climate change
729 (Whitehead et al., 2015a). Importantly, we have organised our understanding of the GBM
730 delta, both in terms of recent history and prognosis. This helps to understand how the
731 different drivers are shaping the biophysical landscape and ecosystem services and their
732 implications for the resident's well-being. It also makes the development choices and
733 possible trajectories more explicit and empowers national decision-making. Our preliminary
734 analysis shows that decisions made in Bangladesh will have important implications for these
735 trajectories.

736 Looking to the future, these methods could be applied more widely across other deltas, as
737 many issues are common. Cross-fertilisation with other research efforts in deltas such as the
738 Dutch delta plan (Van Alphen, 2015) and habitat restoration in the Mississippi delta (Coastal
739 Protection and Restoration Authority, 2013) may also be fruitful. As already noted, the
740 methods described are not delta-specific and could be applied in other coastal and non-coastal
741 contexts where strong socio-ecological coupling exists. As such, the spatial domain covered
742 in Bangladesh could be expanded and a national application has been discussed.

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1000 **List of Figures**

1001 **Figure 1:** (a) The Ganges-Brahmaputra-Meghna river basin (shaded green), the Holocene delta
1002 (shown with criss-cross lines, after Woodroffe et al., 2006) and the Bay of Bengal (shaded purple). (b)
1003 The detailed study area (shaded), including the Sundarbans (shaded brown). Selected urban areas are
1004 shown as green squares. Khulna and Barisal Divisions are indicated. Bangladesh is shown with a red
1005 boundary.

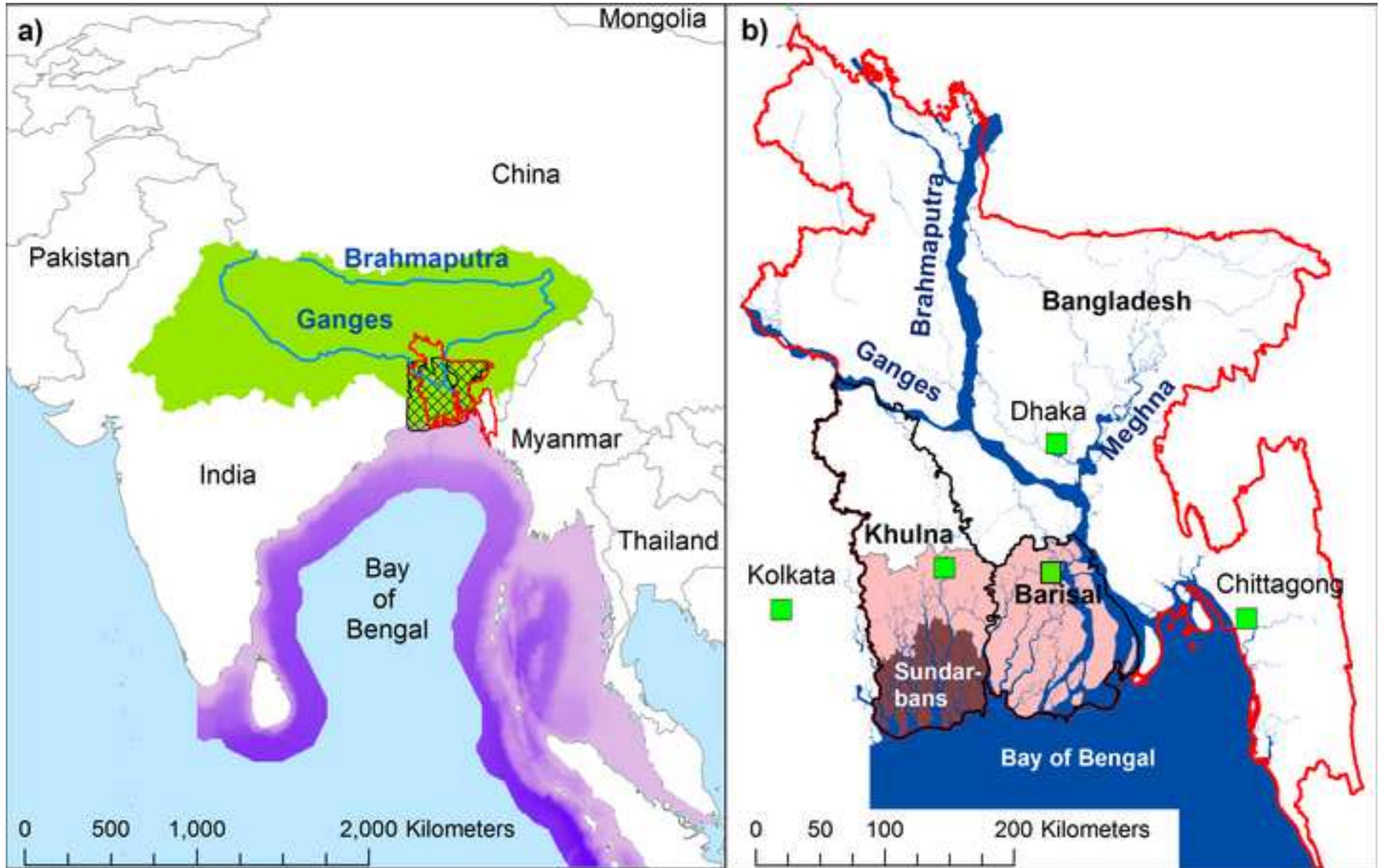
1006 **Figure 2:** Schematic illustration of the key biophysical factors affecting the study area and their
1007 relationship to governance and community/socio-economic factors.

1008 **Figure 3.** Components of analysis of ecosystem service processes, societal outcomes and governance
1009 and scenarios in the GBM delta system.

1010 **Figure 4.** A conceptual diagram showing the flow of information to knowledge integration, which is
1011 encapsulated in Δ DIEM.

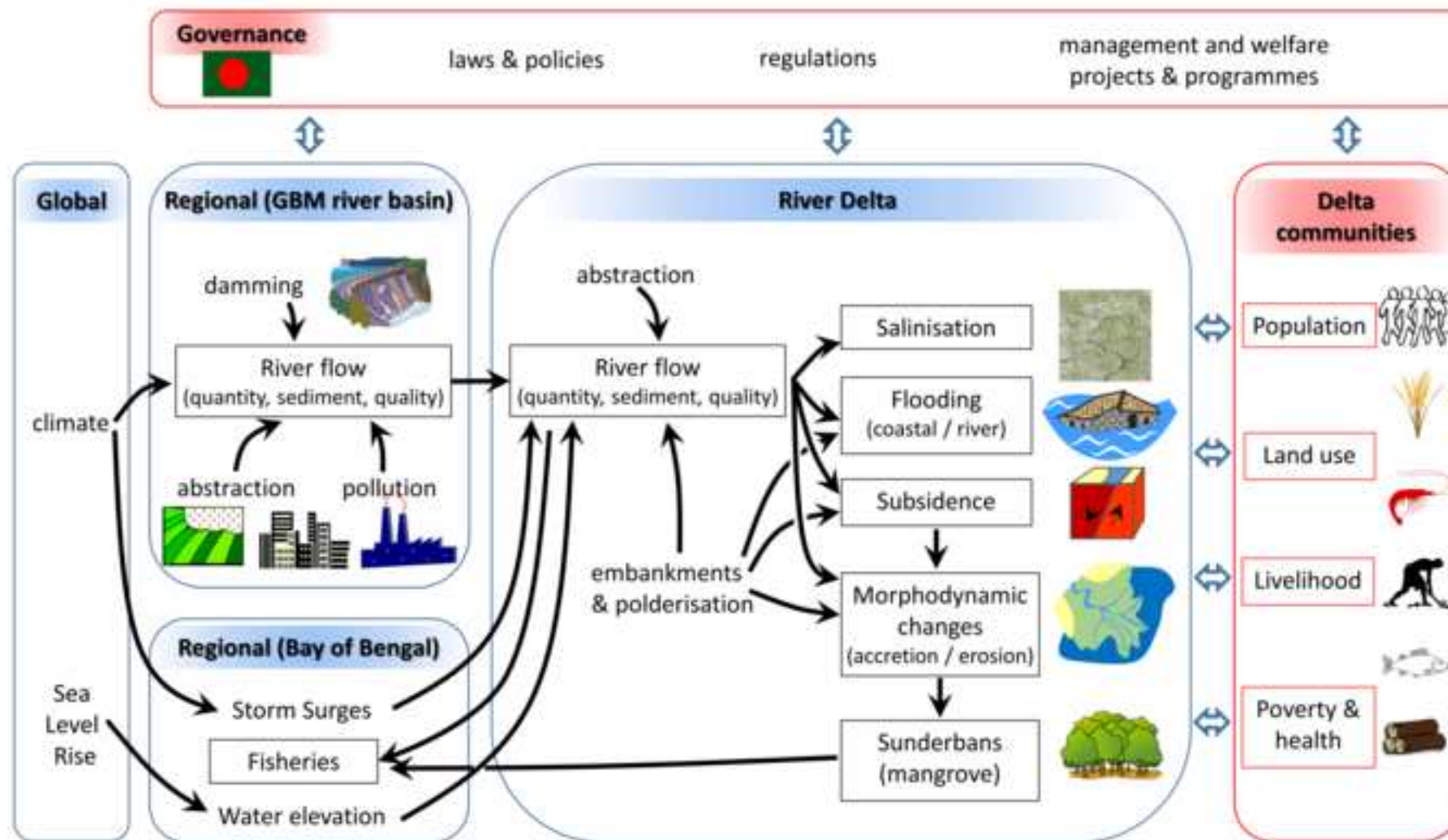
1012 **Figure 5.** Concept of the iterative learning loop using Δ DIEM for policy analysis. Reference numbers
1013 describe the loop are referred to in the text: (1) scenario development, including adaptation responses;
1014 (2) qualitative to quantitative translation to Δ DIEM inputs; (3) simulations using Δ DIEM; and (4)
1015 stakeholder review of the simulations.

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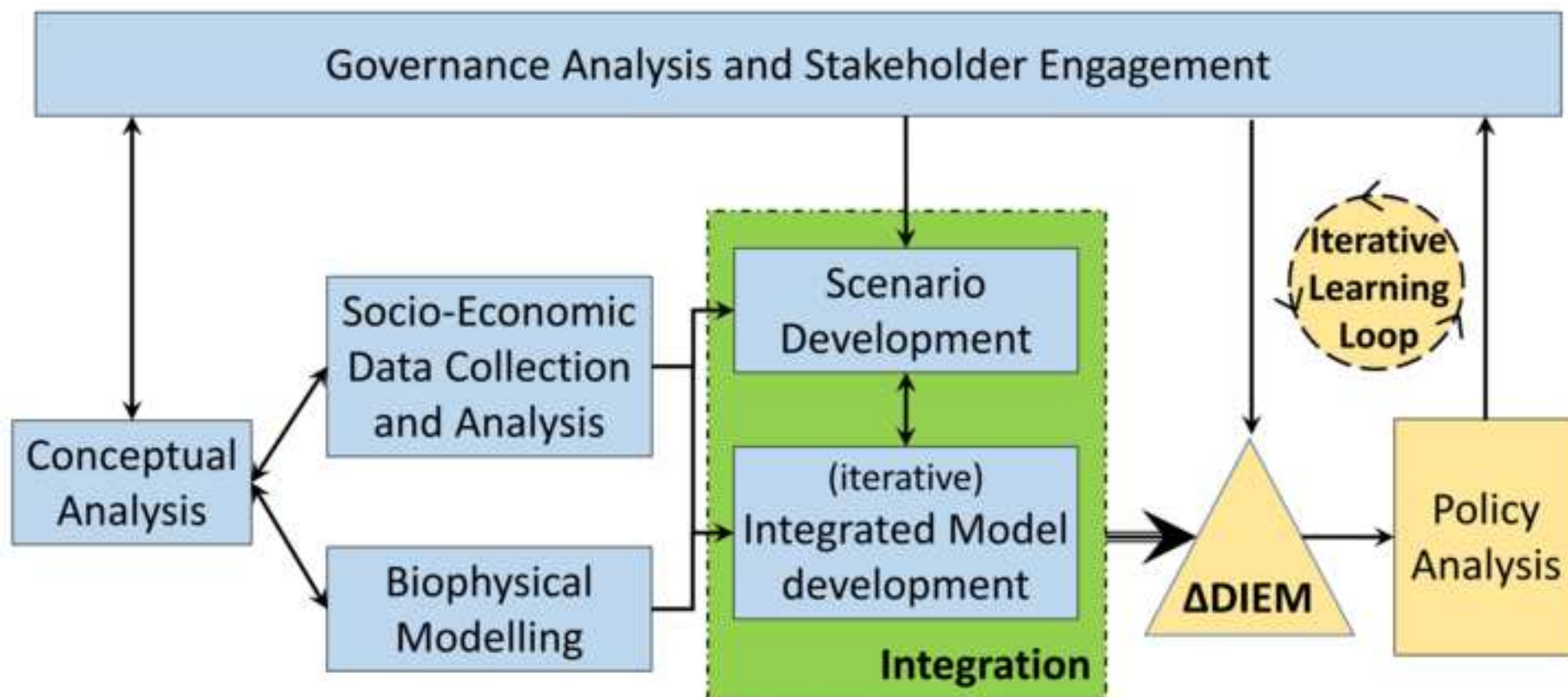


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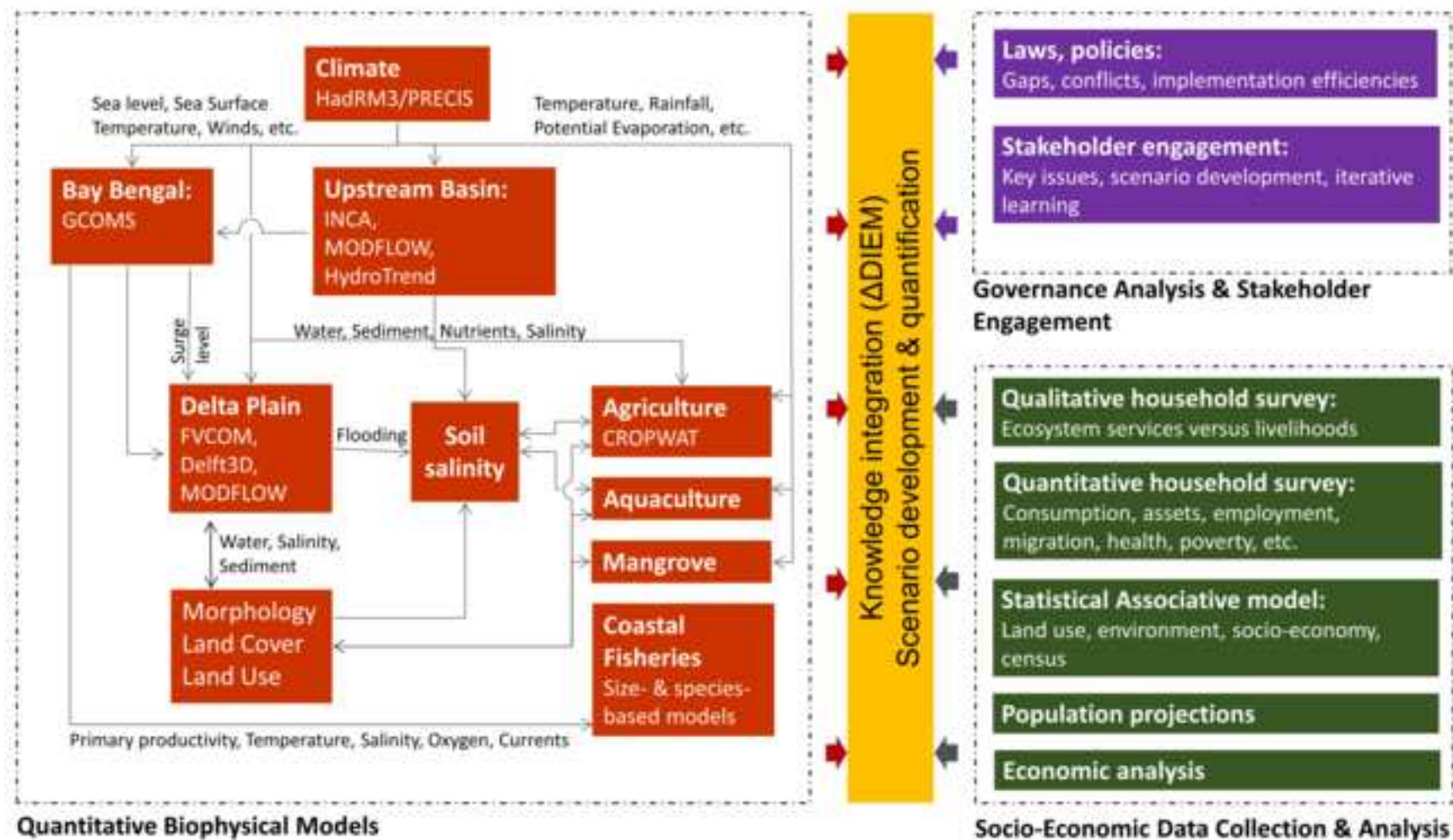


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