

1 **INTEGRATED INCIDENT DECISION SUPPORT USING TRAFFIC SIMULATION**  
2 **AND DATA-DRIVEN MODELS**

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**1 ABSTRACT:**

2 This paper introduces the framework of an innovative incident management platform with the  
3 main objective to provide decision support and situation awareness for transport management  
4 purposes on a real-time basis. The logic of the platform is to detect and then classify incidents into  
5 two types: recurrent and non-recurrent, based on their frequency and characteristics. Under this  
6 logic, recurrent incidents trigger the data-driven machine learning module which can predict and  
7 analyse the incident impact, in order to facilitate informed decisions for transport management  
8 operators. Non-recurrent incidents activate the simulation module which then evaluates  
9 quantitatively the performance of candidate response plans in parallel. The simulation output is  
10 used for choosing the most appropriate response plan for incident management. The current  
11 platform uses a data processing module to integrate complementary data sets, for the purpose of  
12 improving modelling outputs. Two real-world case studies are presented: 1) for recurrent incident  
13 management using data-driven model, 2) for non-recurrent incident management using traffic  
14 simulation with parallel scenario evaluation. The case studies demonstrate the viability of the  
15 proposed incident management framework which provides an integrated approach for real-time  
16 incident decision-support on large-scale networks.

17

18 *Keywords: Incident management, machine learning, data fusion, transport simulation, cloud-*  
19 *based data platform*

20

## 1. Introduction

An initiative of great value in the era of ‘Big Data’ is to effectively and consistently generate insights and actionable outcomes from multiple data sources. This would typically require a number of data handling processes, including data forwarding, parsing and integration, before data becoming usable by dedicated applications, say machine learning models. These data handling processes were conventionally deployed to enterprise data infrastructure, like the enterprise data warehouse (EDW). With the advent of cloud based infrastructure being epitomized by AWS and Azure, data handling, storage and computing are increasingly being integrated into a cloud data platform. Such a platform offers agility, scalability, and serviceability with substantial financial cost incentives, as compared to EDW solutions. Generic machine learning analytics are also being integrated with cloud data platforms, for the benefit of generating valuable insights on-demand. Examples of these are IBM Watson and Google Cloud Platform.

Of greater complexity from the generic cloud data platform are the domain platforms. The latter would require a considerable degree of knowledge on a particular domain, say urban transportation. Using the incident detection and classification as an example, which is further elaborated in the subsequent sections, a number of data sources would complement each other for improving the reliability and accuracy. Related data sources may include real-time traffic data, real-time incident monitoring data, crowd-sourced data, etc. Without a well-developed mathematical modeling framework that implements a number of fundamental principles, like the decision tree and support vector machines, it is unlikely the generic analytics would arrive at a deterministic and meaningful outcome.

In this paper, we present a part of the Advanced Data Analytics in Transport (ADAIT) platform (*I*). ADAIT is a cloud data platform hosted on AWS (Amazon Web Service) and dedicated to urban transport data analytics. In particular, we address the innovative approach of integrating data analytics with traffic simulation for incident decision-support. This approach exploits the distinction between recurrent and non-recurrent traffic incidents. With an adequate number of observations, information of a recurrent incident can be parsed into a number of prominent features and represented by a feature space. Data-driven models, i.e. machine learning models, could then be built to map the feature space into observed impact. The more observed repetitions of the recurrent incident, the better the data-driven model could explain the variance in the incident impact. On the other hand, for a non-recurrent incident, building a viable data-driven model may be prohibitive due to the lack of data. Instead, the detected incident information could be used to populate a simulation model. The latter can then run multiple scenarios in parallel, faster than real-time, to provide the expected impact of the incident. This paper discusses how the two modeling techniques could be integrated via the ADAIT platform to provide incident decision-support capabilities.

The rest of the paper is organised as follows: Section 2 presents the incident decision-support framework deployed in the ADAIT platform; Section 3 provides technical details for the key modules of the incident decision-support framework; Section 4 contains the case studies on handling recurrent incident and non-recurrent incident respectively; Section 5 summaries the findings of the paper.

## 2. Incident decision-support framework

The work presented in this paper focuses on incident detection and impact analysis on the traffic network and is a part of the ADAIT general framework, currently under development in collaboration with the traffic management center (TMC) of Sydney. FIGURE 1 presents the general flow chart of the incident detection framework, which comprises various types of

1 interconnected modules, from the raw data processing and cleaning to the machine learning  
2 predictive module and traffic simulation core.

3 Incident is one of the main factors that affect urban transport mobility (2). To reduce the  
4 congestion caused by incident, an incident decision support system should have prompt response  
5 time to help operators make timely decision. Various models and methods have focused on signal  
6 priority (3), subnetwork and tunnel segment (4) or incident management system infrastructure (5).  
7 However, scalability still remains an issue. In addition, some simulation-based incident decision  
8 support system can provide quantitative estimation of different traffic operations, but struggles to  
9 provide automated situation awareness (6). The major characteristic of the ADAIT platform is that  
10 it offers a continuous situation awareness by real-time monitoring of the traffic condition, and  
11 triggers the data-driven pattern reconstruction or traffic simulation modules only when reported  
12 incident may appear in the network. If no incident occurred, the platform will continue monitoring  
13 and reporting the traffic state in the network. All outputs of each module are stored in a dedicated  
14 cloud data base and the results are provided for consumption and further use through public APIs.  
15 Amongst the modules of the platform, we detail the following:

16 1. **Incident detection and classification module** (detailed in Section 3.3): which  
17 comprises: a) real-time data fusion from various sources for detecting recent incidents, b) incident  
18 ranking algorithms based on type and severity, and c) incident duration classification based on  
19 available characteristics of the reported accident. The main outcomes of this module is to  
20 determine if the reported incident follows a recurrent pattern or not to support decision making for  
21 operator. It also estimates the incident duration and severity to efficiently trigger the simulation  
22 module for impact analysis and response plan evaluation.

23 2. **Machine learning data-driven predictive module** (see Section 3.4): which is based on  
24 historical observations of both transport and incident log data, and can predict the severity and  
25 duration of the detected incident.

26 3. **Automatic simulation module** (see Section 3.5): which is triggered only if the incident  
27 pattern is identified as non-recurrent. In this case, the incident feature information and candidate  
28 response plans are passed to the simulation module. The module then automatically selects the  
29 subnetwork where the incident takes place based on the incident feature information. It further  
30 generates a traversal demand matrix for the selected sub-network based on the path assignment  
31 obtained from previously running regular macroscopic static traffic assignment on the large-scale  
32 network. This will facilitate the application of mesoscopic or microscopic traffic scenarios at the  
33 subnetwork level for a more detailed simulation of the condition in the affected area while  
34 maintaining computation efficiency. Each response plan is simulated in parallel and the  
35 corresponding outcome will be evaluated according to user-defined performance metrics. Such  
36 parallel simulations can significantly reduce computation time, while providing quantitative  
37 insights on a mesoscopic or microscopic level. It is worth mentioning that this type of simulation  
38 module requires periodic calibration and validation of the simulation outputs by using existing  
39 data sources.

40 4. **Multimodal O-D (Origin-Destination) matrix estimation module** (see Section 3.2): it  
41 estimates the multimodal O-D demand of large-scale network based on historical transport data.  
42 The output of this module is a fundamental input for subsequent analysis and is critical to the  
43 simulation module's performance.

44 5. **Response plan evaluation module**: it chooses the best plan to mitigate the impact of  
45 the incident. The simulated outputs will be compared to the observed traffic data which will  
46 facilitate the transport operators to make informed decisions by various scenario testing and  
47 evaluation.

1 Combining these various modules with different characteristics and inputs/outputs, from  
2 data-driven incident detection/classification/prediction to automatic traffic simulation models,  
3 represents a unique and innovative method to evaluate the impact of incidents in a highly affected  
4 traffic network. The advantages of the proposed platform in the aforementioned perspectives are:

5 1. Integrating and fusing multiple data sources to improve the reliability and accuracy of  
6 results.

7 2. Applying machine learning algorithms to learn from previous incidents and make  
8 predictions.

9 3. Detecting and classifying incidents based on real-time data. The innovation is to only  
10 trigger the simulation model when the impact of a new incident could not be determined.

11 4. Automated simulation to provide quantitative evaluation of incident impact and  
12 response plan performance.

13 The logic and mechanism behind the platform are to provide a viable and practical solution  
14 to incident management. Such incident management system needs a detailed decomposition and  
15 theoretical analysis in terms of processing flow, traffic modeling, predictive analytics and response  
16 plan selection for mitigating the impact of traffic incidents on both normal and public transport  
17 modes. In the following, we will only focus on detailing the major modules for incident impact  
18 analysis and the way the information flow is propagated from one module to another with a major  
19 focus on the incident detection, duration prediction, and response plan evaluation.

### 20 **3. Module details**

21 In this section, we describe each module of the decision-support platform, as well as the  
22 implications and the afferent data sources needed for obtaining accurate insights.

#### 23 **3.1. Data processing module**

24 The data sets used in the platform have a wide variety of formats and specifications, are often  
25 sparse and need constant cleaning and monitoring. Amongst them we cite:

26 a) *Survey data*: such as household travel survey data, census survey data etc., which are  
27 very time-consuming and become available every few years; they can provide insights on the O-  
28 D demand but may also be obsolete. On this platform, survey data is processed and provided to  
29 the multimodal O-D matrix estimation module as prior estimates of O-D matrix.

30 b) *Traffic counts*: are provided by the SCATS (Sydney Coordinated Adaptive Traffic  
31 System) system. The integration of SCATS data into the platform is challenging due to a high  
32 complexity and duplication of streams, which needs supplementary processing, outlier detection,  
33 error elimination, etc.

34 c) *Smart transit card data*: provides tap-on and tap-off information of each user travelling  
35 in the city by public transport modes.

36 d) *Public transit monitoring data*: includes information such as fixed-route schedules,  
37 routes, and bus stop data. The GTFS (General Transit Feed Specification) provides such  
38 information on the Sydney network and is used for the validation of simulation results.

39 e) *Crowd-sourced data*: such as Twitter and Waze data are used on the platform as they  
40 provide textual information on incidents, and is processed directly by the incident detection and  
41 classification module.

42 f) *Real-time incident monitoring data*: provided by the transport management centre  
43 which reports incidents and actions taken on a real-time basis. This data is used by the Incident  
44 detection and classification module.

1 g) *Travel time data*: can be provided by many ITS applications; on the current platform  
 2 the Google Travel Time is used for the validation of the simulation outputs.

### 3 3.2. Multimodal O-D matrix estimation module

4 The origin-destination demand matrix, which represents the number of trips from one urban  
 5 centroid to another, is a fundamental element in many transport models. Having a reliable and  
 6 accurate O-D matrix can significantly enhance the prediction quality (7). In this paper, road traffic  
 7 demand and public transport demand are estimated independently, and data fusion techniques are  
 8 applied in both estimations. Multimodal O-D matrix estimation algorithms accounting for modal  
 9 choice require additional information on behavioural analysis and choice modelling (8) and is not  
 10 adopted here due to computation complexity and data availability. Researchers have proposed  
 11 various methods for data fusion in public transport (9; 10), even the traditional road traffic O-D  
 12 estimation is an example of data fusion- the survey data is used as prior estimates of O-D matrix  
 13 and is calibrated based on traffic counts (11). However, the integration of the multi-modal O-D  
 14 estimation into the automated incident management platform is a novel approach which offers  
 15 valuable input for the simulation module.

### 16 3.3. Incident detection and classification module

#### 17 3.3.1 Incident detection

18 Incident detection is considered an important component of many modern intelligent transport  
 19 systems. Multiple data sources may provide complementary data, and data fusion can produce a  
 20 better understanding of the observed situation by decreasing the uncertainty related to the  
 21 individual (9). Traffic control operator can set a threshold to trigger and clear an incident alert  
 22 using the alert score. The method to estimate the alert score is explained below in Equation (1),  
 23 while the data used in this paper is explained in Section 3.1.

24 By analyzing the historical data sources along with confirmed incident logs, the important  
 25 factor of the individual source is evaluated using attribute ranking algorithms (e.g. information  
 26 gain, principal component analyses) (12).

27 Besides the reliability of individual source, the alert score is dependent on spatial and  
 28 temporal aspects. Furthermore, a recent detection (e.g. within 10 minutes) should have more  
 29 attention than past report (e.g. reported over 30 minutes). As a consequence, the spatial-temporal  
 30 adjusted weight should be considered. The total alert score for an incident over the time is  
 31 calculated as:

32

$$score(t) = \sum_{i=1}^n R_i W_i^j S(r) T(t) \quad (1)$$

33 Where,  $i$  represents data source  $i$ ,  $R_i$  is the reliability score for source  $i^{th}$ ,  $W_i^j$  is the weight  
 34 for subtype of source  $i$ ,  $S(r)$  is the spatial adjustment function which depends on road type  $r$  and  
 35  $T(t)$  is the temporal adjustment which depends on time  $t$ .

#### 36 3.3.2 Incident classification

37 When an incident is detected and confirmed by the system, a classification process is applied based  
 38 on the incident description to divide it into different categories including accident, breakdown,  
 39 delay, etc. Historical incident data is also used to train machine learning incident classification  
 40 model to further classify incidents based on duration and severity.

1 For incident duration classification, our system applies the method proposed by (13) to  
 2 predict the duration of an incident that has just happened by using the available characteristics  
 3 known at the onset, e.g. location, time, type of incident, lanes affected, operator in charge etc.  
 4 Understanding the estimated incident duration is useful to traffic operators when choosing an  
 5 appropriate response plan. Estimated incident duration is also one of the inputs for the traffic  
 6 simulation module. Although several machine learning detection methods (14; 15) have been  
 7 applied to detect an incident, few of them combined advanced machine learning, active learning  
 8 and outlier detection techniques and achieves approximately 90% accuracy in predicting incident  
 9 severity (16). This severity classification approach is integrated into our proposed system.

10 Finally, the detailed incident impact on the road network is then predicted by machine  
 11 learning approaches (for recurrent incidents/congestions) or simulation modules (for unseen  
 12 incidents).

### 13 3.4. Machine learning data-driven predictive module

14 When incidents occur in an urban traffic network, they are likely to affect the traffic flows of  
 15 surrounding areas, especially to all the traffic leading to the congested roads. Some of the causal  
 16 congestions follow the same patterns or sequences over the time. Therefore, it is useful to discover  
 17 frequent patterns (if any) of congestion propagations by reviewing historical data in the traffic  
 18 networks.

19 This section reviews an algorithm that finds congestion propagation pattern by looking at  
 20 the relationships of congestions from the earliest data record through the latest one (17). The main  
 21 insight is that congestion  $C_1$  is a parent of congestion  $C_2$  if  $C_1$  occurred before  $C_2$  in time and they  
 22 are spatially connected.

23 A frequent tree represents expected congestion propagation pattern when an incident  
 24 happens on a root segment. A root of a congestion tree is defined as a segment where traffic from  
 25 other segments are flowing into it and causing congestion.

26 A Dynamic Bayesian Network (DBN) approach is used to model the spatial-temporal  
 27 characteristics of a recurrent congestion propagation. Using this method, the probability of a  
 28 recurrent incident's impact can be estimated. A DBN is usually referred as a 2-Time slice Bayesian  
 29 Network (2TBN) because at any given time  $T$ , the value of a variable is computed from the internal  
 30 regressors and the immediate prior value (time  $T-1$ ) (18). Therefore, DBN is reasonably close to  
 31 the real-world phenomenon of traffic congestion where the status of a segment at a specific time  
 32 can be determined by its previous condition and previous conditions of connecting segments.  
 33 However, this assumption depends on the length of the time interval and traffic segment. If either  
 34 the time interval or traffic segment is too long or too short, the dependency may not be applicable.  
 35 In our experiment, due to the availability of dataset, the time interval was 5 minutes and segment  
 36 lengths were pre-defined by data supplier.

37 To build the DBN traffic network, the road segments are presented by a set of  $N_h$  random  
 38 variables,  $O_t^{(i)} \in [0,1]$ , where  $i$  represents a congested segment at time  $t$ . Snapshot  $t$  is a storage of  
 39 the traffic condition from all segments in the network at time  $t$ .

40 In a DBN, the transition (denoted as  $B-$ ) and observation model  $P(Z_t|Z_{t-1})$  is then defined  
 41 as a product of the conditional probability distribution (CPD) in the 2TBN:

$$P(Z_t|Z_{t-1}) = \prod_{i=1}^N P(Z_t^{(i)}|Pa(Z_t^{(i)})) \quad (2)$$

42 Where  $Z_t^{(i)}$  is the  $i^{\text{th}}$  node in snapshot  $t$  and  $Pa(Z_t^{(i)})$  are the parents of  $Z_t^{(i)}$ . The

1 unconditional initial state distribution  $P(Z_1^{1:N})$  is presented by a standard Bayesian Network,  
 2 namely  $B_I$ . Together,  $B_I$  and  $B_{\rightarrow}$  define the DBN.

3 Suppose we have a simple traffic network which comprises of three segments: EB and GB  
 4 are connected to BA. As EB and GB both lead to BA, when BA is congested, it becomes the  
 5 potential cause for congestions at EB and GB in the next time frame. The corresponding DBN  
 6 network is presented in FIGURE 2.

7 When the propagation pattern is generated, the joint distribution for a known-structure tree  
 8 which includes  $T$  consecutive snapshots (slices) can be obtained by “unrolling” the network until  
 9 we have  $T$  slices, and then multiplying together all of the conditional probability distribution.

$$P(Z_{1:T}^{(1:N)}) = \prod_{i=1}^N P_{B_1}(Z_1^{(i)} | Pa(Z_t^{(i)})) \times \prod_{t=2}^T \prod_{i=1}^N P_{B_{\rightarrow}}(Z_1^{(i)} | Pa(Z_t^{(i)})) \quad (3)$$

10 In case the detected incident belongs to the root of congestion propagation tree and the  
 11 probability to form a propagation pattern is higher than a predefined threshold, the traffic controller  
 12 may decide to rely on this impact pattern to control the traffic rather than executing simulation  
 13 which is more time-consuming. If there is no propagation that exceeded the predefined threshold,  
 14 the simulation technique is applied to test the impact of an incident on the road network. When the  
 15 system is implemented, real-world threshold will be suggested by TMC to decide when it is reliable  
 16 to use the predicted patterns.

### 17 3.5. Simulation module

18 In the real world, the location, type, and severity of an incident may vary significantly and hence  
 19 a number of incidents may not be accurately and reliably predicted by the machine learning data  
 20 driven predictive module. In this case, the simulation module needs to be activated to evaluate the  
 21 impact of the incident quantitatively. However, simulation of large-scale networks in a reasonable  
 22 time can hardly be viable due to the computational complexity. Some real-time traffic simulation  
 23 models have opted for simulation at a corridor/motorway level (19-21) but few offer automatic  
 24 sub-network selection and real-time incident simulation based on duration prediction.

25 Therefore, we have proposed an automated parallel simulation module to address these  
 26 issues. In this module, a large-scale traffic simulation model is constructed for the city of Sydney,  
 27 Australia, and a macroscopic assignment process is implemented every day based on the new  
 28 incoming data received from the data processing module. Macroscopic assignment models have  
 29 been well studied by many researchers and many existing models are available (22), in the case  
 30 study, the algorithm by Florian is used (23).

31 To achieve a reasonable computation time, the large-scale network is sub-divided into  
 32 small subnetworks. For example, the Bureau of Transport Statistics in New South Wales, Australia,  
 33 applies a geometrical zoning configuration which is open to the public (24). In addition, some  
 34 research papers also provide methods for automatic zoning when zoning information is not  
 35 available (25). Based on the incident information (location, severity, lanes affected), the module  
 36 automatically selects the afferent subnetwork, where further analysis and prediction are applied.  
 37 Then, the traversal demand matrix is generated for the selected sub-network. Here, the traversal  
 38 demand matrix represents the travelers that travel through, in and out of the subnetwork. To further  
 39 reduce the computation time, each response plan is simulated simultaneously by the parallel  
 40 simulation module. This is because transport operators can have multiple candidate response plans,  
 41 and the simulation module can facilitate them to choose the most suitable plan. On this platform,  
 42 the mesoscopic simulation has been adopted because it is computationally more efficient than



1 microscopic simulation, less data-demanding while capturing the essentials of the traffic dynamics.  
 2 Note that all the aforementioned simulation processes are automated from the beginning, which is  
 3 also critical to reducing the computation time to satisfy the strict requirement on response time for  
 4 incident management purposes.

5 The reliability of this decision-making process relies on a simulation model that  
 6 represents the system's behavior closely enough (26), and hence the simulated outputs are  
 7 validated with several data sources: travel time data (e.g. probe vehicle data, taxi data or Google  
 8 travel time data), traffic counts (e.g. loop detector data) and public transport data (e.g. smart card  
 9 data and public transport monitoring data). If the results do not closely approximate the  
 10 observations, further calibration is conducted to fine tune the parameters such as sub-network  
 11 traversal demand, mesoscopic reaction time and so forth until the result quality is satisfactory.

### 12 **3.6. Response plan evaluation module**

13 As each city or traffic management centre has its own unique characteristics and preferences, it is  
 14 important that the best response is chosen based on bespoke metrics, so that the ramification of  
 15 incident is mitigated in a user-defined way. For example, the average travel time per kilometre can  
 16 be used to evaluate performance:  
 17

$$18 \quad TT_{network} = \frac{\sum_{i=1}^{N_{network}} TT_i}{N_{network}} \quad (4)$$

19 Where,  $TT_i$  is the average travel time per kilometre of vehicle  $i$ ,  $N_{network}$  is the number of  
 20 vehicles in the network. When travel time reliability or other factors are considered important, they  
 21 may also be used to choose the most effective response plan. Such a user-defined metric embodies  
 the platform's flexibility and customizability.

## 22 **4. Case study**

23 In this section, we present a case study of the Sydney large-scale network consisting of more than  
 24 70000 links and 2000 centroids. This case study focuses mainly on the incident detection and  
 25 classification, recurrent incident impact prediction and non-recurrent incident simulation. The data  
 26 sources used on this platform have been explained in Section 3.1.

### 27 **4.1. Recurrent incident: congestion propagation and impact prediction**

28 When an incident is detected, the incident detection and classification module will also analyze  
 29 and determine whether the incident is recurrent or not. In this subsection, an example of recurrent  
 30 incident is presented, to demonstrate that the machine learning data-driven predictive module can  
 31 predict the congestion propagation, incident impact for transport operators to make informative  
 32 decisions.

33 As illustrated in FIGURE 3, at 8:30 am on a weekday, an incident was detected at George  
 34 St near Campbell St in the Sydney CBD. Given that the incident first happened at the root segment  
 35 BA, using congestion discovery algorithm and DBN (Section 3.4), a 5-segment congestion  
 36 propagation pattern was detected with a joint distribution probability estimated at 74%. This  
 37 probability is higher than the predefined threshold hence this predicted impact can be used by the  
 38 operator to manage the incident.

39 The detected congestion pattern was then validated using the real-time traffic data  
 40 collected from SCATS. The congestion was initially detected at segment BA. Five minutes later,  
 41 both segments DB and CB also became congested. Until 8:45 am which is 15 minutes after the

1 incident happened, similar congestion propagation patterns were detected on all five segments.  
2 The case study shows the efficiency and capability of the machine learning module in decision  
3 support and impact prediction for recurrent incidents.

#### 4 **4.2. Non-recurrent incident: parallel simulation and performance evaluation**

5 Although the machine learning data-driven predictive module shows its efficiency in predicting  
6 incident impact, a number of incidents may not be predicted correctly when little historical  
7 information is available. The simulation module needs to be triggered to assist transport operators  
8 when such non-recurrent incidents are detected. As previously explained, simulation of the whole  
9 Sydney network on a Mesoscopic or Microscopic level is extremely time-consuming, which  
10 cannot satisfy the computational time requirements for transport management purposes. Therefore,  
11 the Sydney network has been sub-divided into many sub-networks beforehand using the Statistical  
12 Area definition in (24), so that the simulation module can select a sub-network promptly for  
13 simulation. The transport simulation model of the Sydney network is implemented in AIMSUN,  
14 which is regularly calibrated using periodically aggregated SCATS traffic counts and smart card  
15 data. The AIMSUN network simulation model uses the multimodal O-D matrix previously  
16 estimated (see Section 3.2) and runs a macroscopic multimodal traffic assignment for the Sydney  
17 network. The output will be saved for later use in generating a traversal demand for the chosen  
18 sub-network where the incident happens.

19 The non-recurrent incident presented here is reported by the incident detection and  
20 classification module with the following information:

- 21 a. Location (including x and y coordinates): Pyrmont bridge road, Pyrmont.
- 22 b. Estimated duration: 30 minutes.
- 23 c. Severity: major accident affecting all lanes in both directions.
- 24 d. Start time: 07:15 a.m.
- 25 e. Incident pattern: non-recurrent.

26 The non-recurrent incident pattern triggers the simulation module. To demonstrate the  
27 network state and performance before and after the incident, a 2-hour simulation period is chosen,  
28 from 7:00 a.m. to 9:00 a.m. Using the incident location, a subnetwork has been automatically  
29 selected from the list of available subnetworks in the city, which is identified as -Pyrmont. Pyrmont  
30 is a suburb adjacent to Sydney CBD and the majority of its area is zoned for commercial purposes.  
31 See FIGURE 4:

32 After automatically selecting the sub-network area in which the incident has occurred, the  
33 traversal demand matrix for Pyrmont is generated and calibrated for the morning rush hour (7:00  
34 a.m. to 9:00 a.m.). The model is also validated by comparing the average travel time obtained from  
35 simulation (STT) on each road section with the average travel time from Google (GTT) or the  
36 average travel time obtained from the SCATS data in Pyrmont (SCATSTT). FIGURE 5a) presents  
37 an example of comparison between the average STT and GTT on the road section 2839\_2840 from  
38 Pyrmont, on a Wednesday morning from 7 to 9 AM. The plot of travel time every 15 minutes  
39 indicates that the simulation provides good results of the TT on this section as it falls between the  
40 5<sup>th</sup> and 95<sup>th</sup> percentile of the GTT. This finding is validated once more on a different section  
41 (5\_2839), where the STT is compared to GTT SCATSTT which is available for computation  
42 (FIGURE 5b).

43 Based on the received incident information, assume the operators choose the following  
44 incident response plans (RPs) for evaluation:

- 1           1) RP1- Do nothing.
- 2           2) RP2- Redirect all traffic in intersections 1 and 2 (marked as red rectangular in
- 3           FIGURE 4 towards adjacent intersections.
- 4           3) RP3: Combined actions: Activate the VMS to redirect all off-ramp flow from the
- 5           bridge towards Little Mount St. (see FIGURE 4), and redirect all traffic in intersection 1 towards
- 6           surrounding intersections.
- 7           4) RP4: Activate the VMS to redirect all off-ramp flow from the bridge towards Little
- 8           Mount St.

9           RP1 is intended to keep monitoring the network but take no action, in order to evaluate the  
10 true impact of the incident if no action is taken. RP2 redirects all traffic in intersections 1 and 2  
11 towards adjacent intersections in order to prevent vehicles from queuing and eventually blocking  
12 the intersection. RP3 has the role to activate the VMS (Variable message sign), which will inform  
13 drivers to make a left turn before reaching intersection 1. Also, traffic will be redirected at  
14 intersection 1 to prevent queuing. RP3 aims to let the major traffic from the bridge (Western  
15 distributor) bypass intersection 1. RP4 simplifies RP3 by keeping only the VMS activation action.  
16 Note that the parameters for driver behaviour in the microsimulation (such as acceleration and  
17 reaction time) will remain unchanged due to the difficulty in collecting sufficient data.

18           These response plans are then simulated in parallel on a microscopic level by the  
19 microsimulation engine in AIMSUN. FIGURE 5c) presents the average travel time per kilometre  
20 (including bus and private vehicles) obtained after applying each of the 4 response plans. Plan 1 is  
21 the baseline and demonstrates that the average travel time reaches a high point at 8:00 a.m., when  
22 the incident has already ended. This is due to the accumulation of queue and the increase in traffic  
23 and public transport demand. Plan 4 shows a very marginal improvement over plan 1, the average  
24 travel time over the 2 hours is also quite close to plan 1. Although plan 2 mitigates the congestion  
25 during 7:45 a.m. to 8:15 a.m., the travel time increases after 8:15 a.m. gradually, making the  
26 eventual average travel time over the 2 hours very similar to response plan 1. Overall, plan 3  
27 performs the best, it smooths the travel time after the incident happens, while having a 7%  
28 reduction in average travel time over the 2 hours simulation period.

29           The finding indicates that the best response plan for mitigating congestion produced by a  
30 non-recurrent incident is actually a combination of various actions which complement each other  
31 and help to reduce the incident clearance time. Therefore a possible extension of this work is to  
32 automatically recommend the best combination of response plans to apply for efficiently easing  
33 congestion.

## 34 **5. Conclusion**

35           In this paper, we introduced the general framework of the ADAIT platform and explained the main  
36 function of each module. The platform can detect and then classify incidents into recurrent and  
37 non-recurrent pattern, the former one triggers the machine learning data-driven predictive module  
38 which predicts the incident duration and impact, so transport management operators can decide if  
39 the simulation module needs to be activated. Non-recurrent incident is directly passed to the  
40 simulation module, the performance of candidate response plans is evaluated quantitatively, and  
41 then operators can opt for the best plan to mitigate the negative impact of an incident. Case studies  
42 demonstrate that the impact of recurrent incident, such as congestion propagation, can be predicted  
43 by the machine learning module, and the simulation module can help choose the best response  
44 plan to mitigate the negative incident impact. In short, data-driven incident detection/classification,  
45 machine learning analytics for incident prediction and automatic traffic simulation models are  
46 integrated into the cloud-based platform, which represents a unique and innovative method to

1 evaluate the impact of incidents in real-time for large-scale networks.

2       There are various possible opportunities to further extend the platform: The response plans  
3 can be generated automatically by advanced machine learning techniques based on the information  
4 of a detected incident (such as location, duration, severity etc.), and hence save time on manually  
5 input response plans. Also, the platform's modularity allows integration of advanced transport  
6 algorithms in each module, which can enhance the platform's applicability. Because DBN model  
7 depends on the length of the time interval and traffic segment, in our future work, time interval  
8 between two continuous snapshots will be considered as an additional parameter. Furthermore, the  
9 algorithm will be tested on different traffic network settings which different average segment  
10 lengths to evaluate the effect.

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## 16 **AUTHOR CONTRIBUTION STATEMENT**

17       The authors confirm contribution to the paper as follows: study conception and design: Tao  
18 Wen; data collection: Tao Wen, Hoang Nguyen, Adriana-Simona Mihăiță; analysis and  
19 interpretation of results Tao Wen, Hoang Nguyen, Adriana-Simona Mihăiță; draft manuscript  
20 preparation: all authors. All authors reviewed the results and approved the final version of the  
21 manuscript.  
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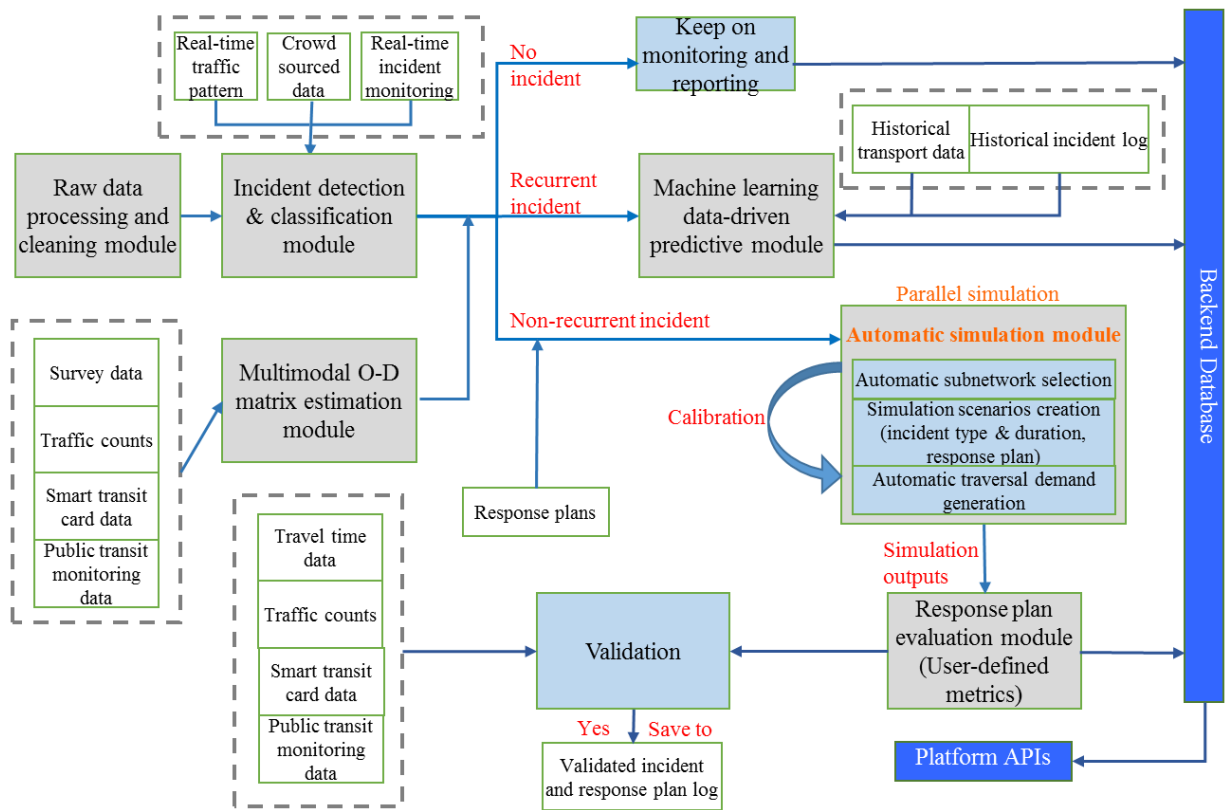
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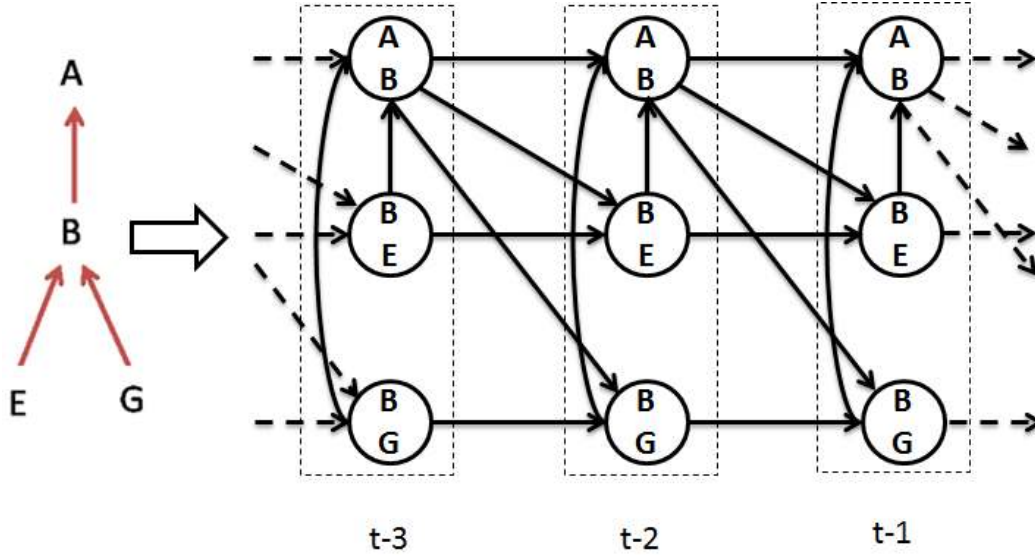
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**FIGURE 1 Incident detection and impact analysis framework.**

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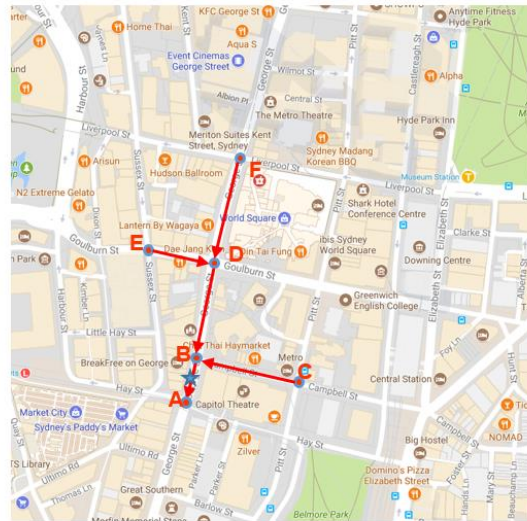
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**FIGURE 2** Modelling congestion propagation of a 3-segment traffic network by DBN.

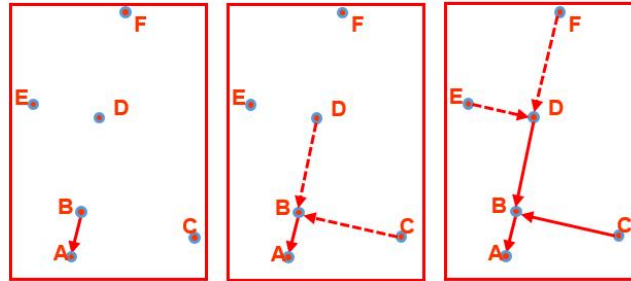




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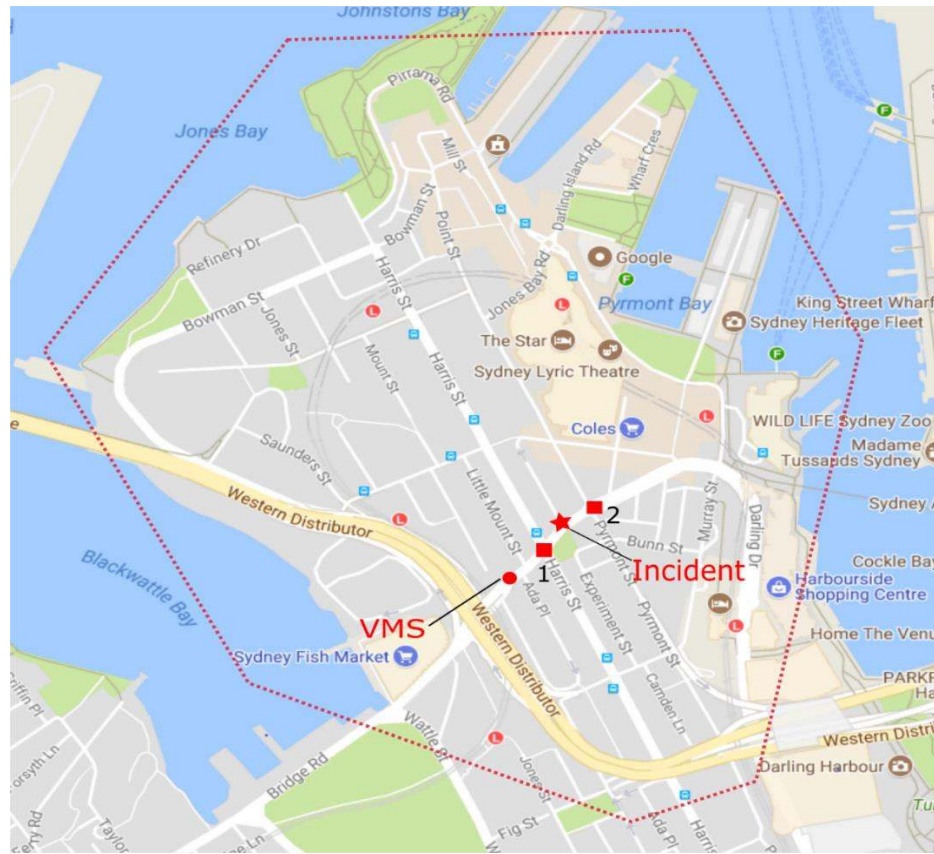
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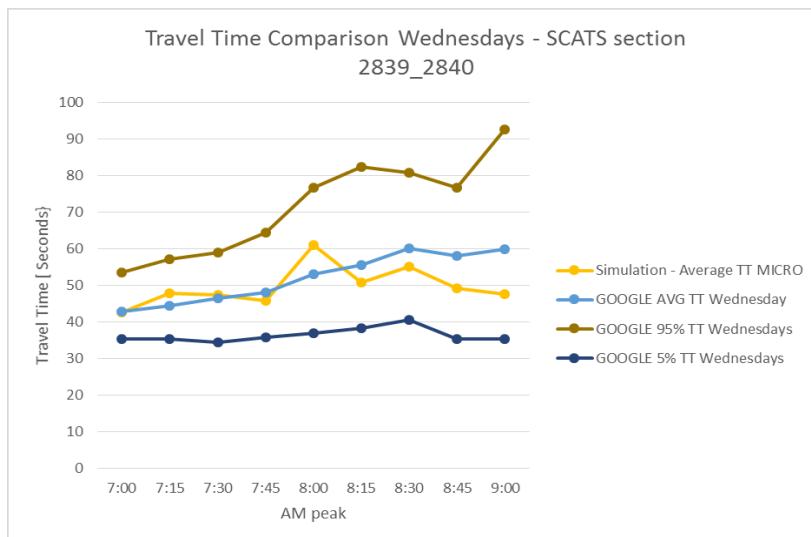
**FIGURE 3. The frequent congestion propagation pattern in the Sydney CBD.**



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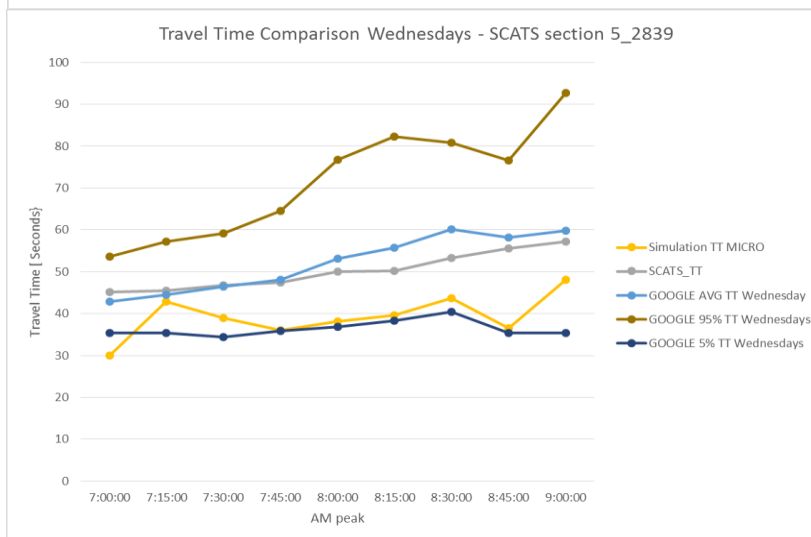
**FIGURE 4** The selected sub-network (Pymont, New South Wales).

(a)



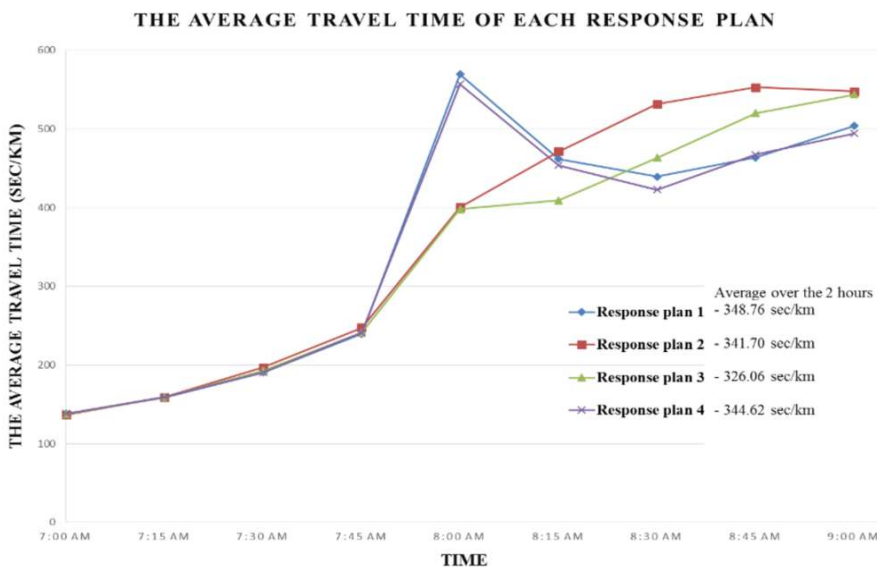
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(c)



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4 **FIGURE 5. Average travel-time comparison between: a) simulation and Google Travel**  
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