

1        **Integrating phase change materials into concrete through microencapsulation using**  
2                                        **cenospheres**

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6        **ABSTRACT**

7        Phase change materials (PCMs) can enhance the building energy efficiency through Thermal  
8 Energy Storage and thermal regulation. Microencapsulated PCMs (MEPCMs) provide a better  
9 utilization of PCMs with building materials. This study proposes a novel method to encapsulate  
10 PCMs into cenospheres which are hollow fly ash particles generated in coal burning power  
11 plants with size ranging from a few micrometers to hundreds of micrometers. The shell of the  
12 cenosphere inherently has some small pores which are sealed by a thin layer of glass-crystalline  
13 film. By removing this film through chemical etching, these holes can be exposed, providing  
14 paths for PCMs moving into the internal void of cenospheres. A thin layer of silica is coated on  
15 the PCM loaded cenospheres to prevent the possible leakage of liquid PCMs. The produced  
16 PCM microcapsules are referred to as CenoPCM, which can be directly added into traditional  
17 construction and building materials such as concrete to produce thermally active concrete.  
18 Prototype thermally active cement mortar integrated with the produced CenoPCM capsules have  
19 also been manufactured and characterized for its mechanical and microstructural properties. The  
20 characterizations showed that there was only minor reduction in strength and the mortar  
21 remained strong enough for building application. From this work, it is found that the produced

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22 CenoPCM capsules have great potential to be added into construction materials for reducing  
23 energy consumptions in buildings.

24 **Keywords:** Thermal energy storage, Phase change materials, Cenospheres, Microencapsulation,  
25 Concrete

## 26 **1. Introduction**

27 It is estimated by the World Business Council for Sustainable Development that buildings are  
28 responsible for at least 40% of energy use in many countries [1]. Energy use is increasing at an  
29 annual rate of more than 3% in the U.S. alone, and is growing rapidly in countries such as China  
30 and India. Worldwide energy consumption by buildings is expected to grow 45% over the next  
31 20 years. To cope with this challenging situation, efforts are needed to improve the energy  
32 efficiency of buildings, which will not only relieve the energy demands, but also reduce the  
33 environmental impacts associated with energy use, e.g. carbon dioxide emission.

34 Integrating phase change materials (PCMs) into building materials has been proposed to  
35 address this need. PCMs can enhance the building energy efficiency through Thermal Energy  
36 Storage (TES) and thermal regulation [2]. PCMs change their phase from solid to liquid and vice  
37 versa at their phase change temperatures with large amounts of energy absorbed or released.  
38 Thermal inertia (mass) of the building can be significantly increased by the integration of PCMs.  
39 PCM-enhanced building envelopes have been studied with the following aspects in mind:  
40 narrowing the gap between the peak and off-peak loads, saving building operation costs under  
41 specific electricity tariffs, reducing diurnal temperature fluctuations concerning the occupants'  
42 thermal comfort, and utilizing the free cooling at night for day peak cooling load shaving, along

43 with others [3–6]. Significant energy savings for buildings can be achieved by PCMs, as  
44 demonstrated by extensive studies [7,8].

45 Directly employing bulk PCMs into building materials suffers a few drawbacks. For example,  
46 special latent heat devices or heat exchange surfaces are needed, which increases the associated  
47 cost and thermal resistance between the PCM and the environment. Therefore, two methods are  
48 commonly used to incorporate PCM into construction materials: microencapsulation of PCMs  
49 and form-stable PCM composites. In the first method, PCMs are encapsulated within a  
50 protection shell with a size between 1 and 300  $\mu\text{m}$  [9]. The produced microencapsulated PCMs  
51 (MEPCMs) can preserve PCMs as long as the shell is intact during heating/cooling cycles.  
52 MEPCMs provide a better utilization of PCMs within building components such as walls, roofs,  
53 and floors, and within the building materials such as concrete, gypsum wallboard, plaster, and  
54 mortar, among others. Compared with traditional PCMs, MEPCMs enjoy many advantages such  
55 as their chemically-inert nature (due to the polymer barrier between the PCM and any other  
56 material), the optimized heat transfer due to a high surface-area-to-volume ratio, and their ability  
57 to be readily mixed into or coated onto other materials [8,10].

58 However, MEPCMs also suffers a few drawbacks preventing their extensive application in  
59 construction materials. First, the protection shell is usually made of polymer, which is of low  
60 mechanical stiffness and strength. As a result, the mechanical stiffness and strength of the  
61 construction materials can be significantly reduced by adding the PCMs microcapsules [11,12].  
62 Because of the low mechanical properties, PCMs microcapsules can also be broken during the  
63 mixing of concrete, leading to the leakage of PCMs after melting. Second, the polymeric shell  
64 also has low chemical and thermal stability, which can be deteriorated by UV light, oxidation,  
65 and other aggressive chemicals. The shell can lose its stability when temperature exceeds its

66 glass transition temperature, and it is flammable, and therefore cannot be adopted by US building  
67 industry. Finally, the thermal conductivity of the polymer shells is very low, making thermal  
68 exchange between PCMs inside the shell and outside environment much more difficult [13,14].

69 In the second method, PCMs are first absorbed into porous materials such as light weight  
70 aggregates and diatomite particles to form stable composites, which are then added into the  
71 construction materials [15–18]. While in absorbing PCM using porous particles, no protective  
72 layers on the surface of the composites are available. As a result, PCMs can still leak from the  
73 porous material during its melting process, leading to the reduction or loss of the claimed thermal  
74 storage capacity.

75 To facilitate more extensive and practical application of PCM in construction materials, the  
76 above barriers must be removed. To this end, this study proposes a novel method to encapsulate  
77 PCMs with cenospheres, which are hollow fly ash particles generated in coal burning power  
78 plants. Cenosphere particles have an aluminosilicate shell with high stiffness, high strength, and  
79 a thickness of a few micrometers. The shell has a porous structure formed by gas inclusion and is  
80 covered by a layer of glass-crystalline nanosize film [19]. The hollow structure of the cenosphere  
81 makes it an ideal material to encapsulate PCM. However, to introduce PCM into a cenosphere,  
82 the glass-crystalline nanosize film on the surface of the cenosphere must be removed. This is  
83 done by acid etching in this study. After removing the thin film, perforated cenospheres with  
84 very small holes penetrating through the shell can be produced. By simply mixing the perforated  
85 cenospheres in liquid PCM, liquid PCM can be loaded into the perforated cenospheres via a  
86 vacuum impregnation process. A thin layer of silica coating is then applied to the PCM loaded  
87 perforated cenospheres to prevent the possible leakage of liquid PCMs. The produced PCM  
88 microcapsules with cenosphere shell are therefore referred to as CenoPCM in this study.

89 With cenospheres, the expensive process to synthesize the polymer shell used in existing  
90 MEPCMs is therefore eliminated. As a result, the cost to produce PCM microcapsules can be  
91 significantly reduced with this new microencapsulation method. In addition, thanks to its hard,  
92 inorganic shell, CenoPCM also enjoys many distinct mechanical, chemical, and thermal  
93 advantages over existing polymer encapsulated PCM: (1) cenospheres exhibits much better  
94 mechanical properties than the polymeric shells used in existing MEPCMs. The crushing  
95 strength of cenospheres are in the ranges from 10-20 MPa [20], which means cenospheres will  
96 not be easily damaged during handling and are able to withstand mechanical loading to some  
97 extent; (2) cenosphere shell has high thermal and chemical stability that can resist chemicals,  
98 lights, and high temperature; (3) since the shell of cenospheres are inorganic composed mainly of  
99 polycrystalline dense mullite, their thermal conductivity is much higher than the polymeric shells  
100 in existing MEPCMs. With all these advantages, encapsulating PCM with cenospheres is  
101 possible to eliminate the major barriers preventing the extensive application of MEPCMs in  
102 traditional building materials.

103 In this work, n-octadecane was selected as PCM to manufacture CenoPCM because of its  
104 good performance of heat energy storage and thermal regulation in an appropriate phase change  
105 range (23-28 °C) which is comfortable for the human body. The morphological-chemical  
106 performance and thermal energy storage properties of produced CenoPCM were characterized by  
107 XRF, SEM, TG, and DSC analysis techniques. A prototype thermally active cement mortar  
108 integrated with CenoPCM was also developed and evaluated for its physical, mechanical, and  
109 microstructural features. These analysis results showed the superior thermal and mechanical  
110 performance of the CenoPCM itself as well as mortar integrating CenoPCM.

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112 **2. Materials and methods**

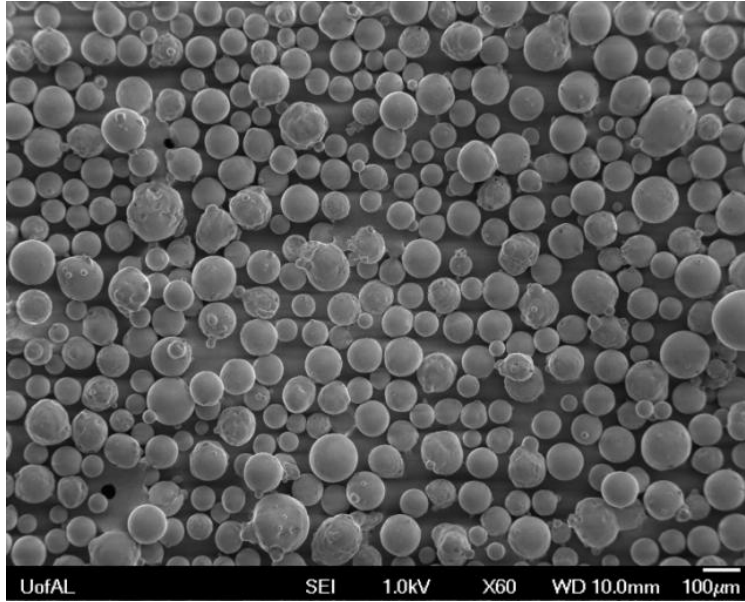
113 *2.1. Materials*

114 Reagent grade n-octadecane (C<sub>18</sub>H<sub>38</sub>), ammonium fluoride (NH<sub>4</sub>F) with a purity of 96%, and  
115 50% silicon dioxide in water (silica sol) were purchased from Alfa Aesar Company, USA.  
116 Hydrochloric acid (HCl) was commercially obtained from VWR International (USA). Calcium  
117 gluconate gel was always present during handling of hydrofluoric based acid solution (1.0 M  
118 NH<sub>4</sub>F-1.2 M HCl-H<sub>2</sub>O).

119 Cenospheres used in this research were obtained from CenoStar Corporation (USA). It has  
120 sizes between 10 and 200 μm with d<sub>10</sub> = 59.4 μm, d<sub>50</sub> = 96.7 μm and d<sub>90</sub> = 137.2 μm, as shown  
121 in Fig. 1. Table 1 shows the chemical composition of cenospheres used, gained by X-ray  
122 fluorescence (XRF) analysis. According to the table, the cenospheres contain up to 57.35 wt%  
123 silicon dioxide, 31.93 wt% aluminum oxide, and lower amounts of iron, calcium, titanium,  
124 magnesium, sodium, potassium, and boron oxides, which range from less than one to three  
125 percent. The composition of Type I Portland cement used for casting mortar is also listed in  
126 Table 1, which was phased from Sakrete (USA).

127 *2.2. Three-step procedure to produce CenoPCM*

128 In this study, an innovative microencapsulation technology of PCM with cenospheres is  
129 developed to remove the technical barriers that prevent extensive applications of MEPCMs in  
130 construction materials. This new method consists of three steps: perforating cenospheres with  
131 acid etching, loading liquid PCM into perforated cenospheres, and sealing the PCM loaded  
132 cenospheres with silica sol.



133

134

**Fig. 1.** As-received cenospheres with typical size from 10 to 200  $\mu\text{m}$ .

135

**Table 1** Chemical composition of cenospheres and Type I Portland cement.

Compound (%)	As-received Cenospheres	Type I Portland Cement
SiO <sub>2</sub>	57.35	22.93
Al <sub>2</sub> O <sub>3</sub>	31.93	4.68
CaO	0.44	64.04
Fe <sub>2</sub> O <sub>3</sub>	2.27	2.41
TiO <sub>2</sub>	1.17	0.20
MgO	0.54	3.38
Na <sub>2</sub> O	0.54	0.23
K <sub>2</sub> O	1.40	0.76
P <sub>2</sub> O <sub>5</sub>	0.06	0.08
MnO	N/A	0.07

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137 *2.2.1. Perforate cenospheres with acid etching*

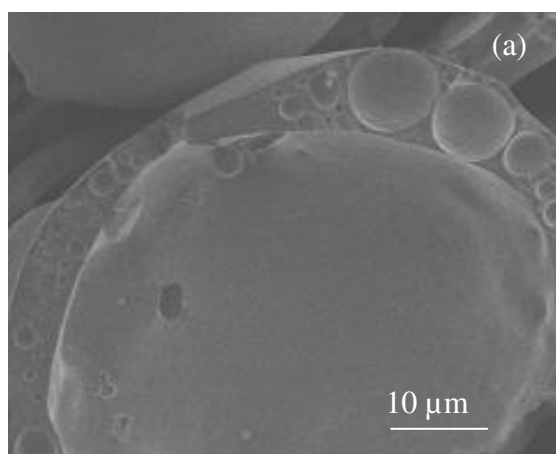
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Cenosphere particle has an aluminosilicate shell with high stiffness and strength and a thickness in a few micrometer (Fig. 2(a)). The shell has a porous structure formed by gas inclusion and is covered by a glass-crystalline nanosize film, which is shown in Fig. 2 (b). The

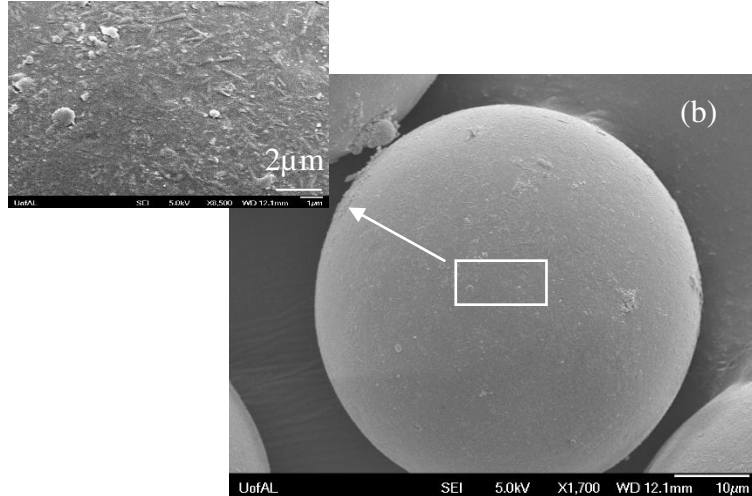
141 hollow structure of cenospheres makes it an ideal material to encapsulate PCM. However, to  
142 introduce PCM into cenospheres, the film covering the surface must be removed. This can be  
143 done by acid etching the cenospheres [21,22]. To this end, approximately 12 g of cenospheres  
144 was soaked into 250 mL of 1.0 M  $\text{NH}_4\text{F}$ -1.2 M  $\text{HCl}$ - $\text{H}_2\text{O}$  solution for 2 hours with occasional  
145 stirring. The specific gravity of cenospheres used is about  $0.75 \text{ g/cm}^3$ , thus 12 g of cenospheres  
146 corresponded to a volume of 16 mL. This made the volume ratio of solid to liquid to 1:15 in this  
147 acid treatment, which was among the range adopted by other researchers [21,22]. Occasional  
148 stirring was applied instead of continuous one to prevent the possible damage of the cenospheres  
149 during stirring. To compensate for this mild stirring process, longer treatment time was used (2  
150 hours). By the end of this treatment, more than 95% of cenosphere sank to the bottom of  
151 container (Fig. 3(b)), which was floating on the surface of acid solution at the beginning (Fig.  
152 3(a)). The settlement of cenospheres indicates the completion of perforation. After etching, the  
153 perforated cenospheres were vacuum filtered and rinsed with 1500 mL of water, followed by  
154 dried in an oven at  $150 \text{ }^\circ\text{C}$ . For this etching treatment, further work will be implemented on  
155 optimizing the etching parameters in the future, including acid concentration, solid to liquid ratio,  
156 and treatment time, among others.



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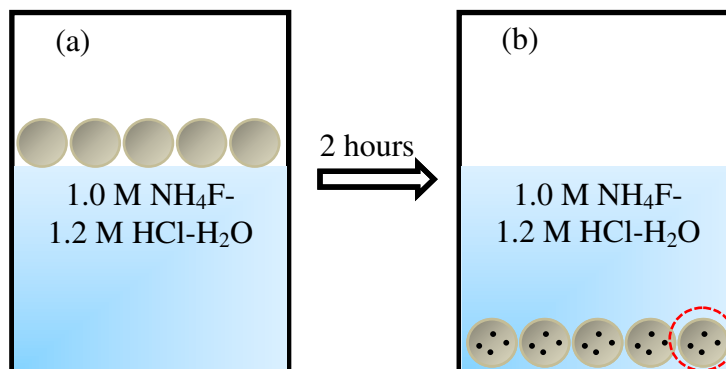


166 **Fig. 2.** Microstructure of cenospheres under SEM observation: (a) porous shell of cenospheres;  
167 and (b) impermeable shell before etching.

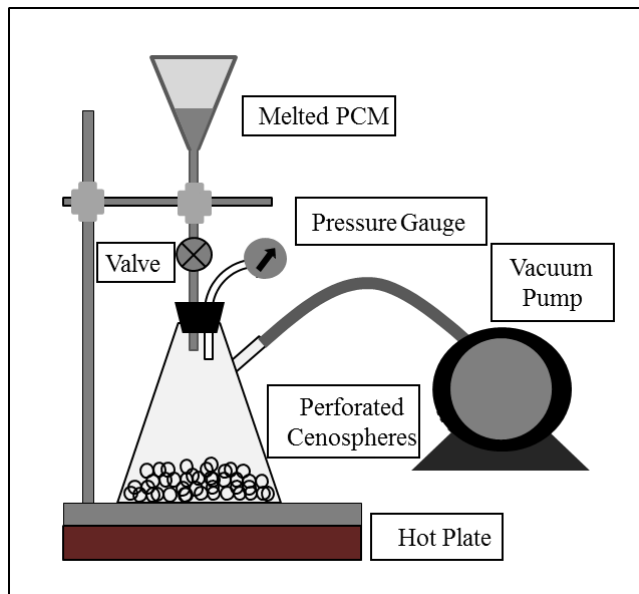
168 *2.2.2. Load PCM into perforated cenospheres*

169 Loading of PCM into perforated cenospheres was implemented via a vacuum impregnation  
170 process. The set up for the loading is shown as in Fig. 4. The dry perforated cenospheres was  
171 weighted and placed into a flask. The flask was exposed to vacuum pressure of approximately  
172 80.5 kPa for ~ 2 hours [23]. Melted n-octadecane with volume as three times as the cenospheres  
173 was then introduced into the flask. Operation of the vacuum was stopped and air was allowed  
174 back into the flask to drive the n-octadecane into the hollow space inside the cenospheres for  
175 about 30 minutes. The completion of loading was achieved when the cenospheres settled down at  
176 the bottom of the flask. Before allowing the air back, all cenospheres were floating on the surface  
177 of liquid n-octadecane. During the whole impregnation process, the flask was heated by a hot  
178 plate with the temperature set at 60 °C. This temperature was high enough to keep the PCM in  
179 liquid status once it was introduced into the flask. This means the cenospheres were soaked in  
180 liquid PCM all the time when they contacted with each other. With completion of loading, flask

181 was quickly removed from the hot plate for cenospheres to be filtered and washed by 60 °C  
182 water. Then the cenospheres were left to be dried in air for about 48 hours.



183  
184 **Fig. 3.** Acid etching of cenospheres: (a) at the beginning, cenospheres floated on the surface of  
185 acid solution; (b) by the end of 2 hours' etching, cenospheres were perforated and sank to the  
186 bottom of the container.



187  
188 **Fig. 4.** Schematic drawing of vacuum loading setup.

189 *2.2.3. Seal PCM loaded cenospheres with silica sol*

190 To prevent the possible leakage of the loaded PCM, it is necessary to seal the pores created  
191 on the shell of perforated cenospheres. In this work, the pore sealing was done by coating a thin

192 layer of silica on the cenosphere shell. This coating will also strengthen the cenospheres.  
193 Additionally, the coated silica layer would serve as a source of silica that can react with calcium  
194 hydroxide formed from cement hydration [24,25]. The reaction will produce C-S-H gel, which is  
195 the main bonding material that provides concrete strength. Thus, with the silica coating,  
196 CenoPCM is possible to achieve a better bonding with the adjacent mortar materials when it is  
197 integrated into mortar. The silica coating was simply done by soaking the PCM loaded  
198 cenospheres into 25% silica sol for about 20 minutes at room temperature. The volume ratio  
199 between cenospheres and silica sol was about 1:3, and during soaking the magnetic stirring with  
200 a speed of 60 rpm was occasionally applied for more homogenous effect. Then the coated  
201 cenospheres were filtered and dried over air. More work will be carried out in the future to find  
202 the optimal coating parameters, including the content of cenospheres and silica sol, stirring speed,  
203 and soaking, among others.

### 204 *2.3. Characterization of CenoPCM*

205 The morphology and microstructure of as-received cenospheres (see Figs. 1 and 2),  
206 perforated cenospheres, PCM loaded cenospheres (unsealed CenoPCM), and sealed CenoPCM  
207 were investigated using a JOEL 7000 FE scanning electron microscope (SEM). The microscope  
208 was operated at low accelerating voltage (1~3 kV) and 10 mm working distance with a spot size  
209 of medium 8. The phase change temperatures and enthalpies of the prepared CenoPCM were  
210 determined using a DSC instrument (Q2000 from TA Instruments) at a scanning rate of 5°C/min  
211 scanning rate. The scanning range was set at 10 to 50 °C. Thermogravimetric analysis (TGA)  
212 was performed to examine the thermal stability of the produced CenoPCM by Q500 (from TA  
213 instruments) at a heating rate of 20 °C/min between room temperature and 700 °C under nitrogen  
214 atmosphere.

215

216 *2.4. Evaluation of mortar with CenoPCM*

217 Both sealed and unsealed CenoPCM was integrated into mortar to evaluate its effects on  
218 mortar's physical, mechanical, and microstructural properties. The mix proportions are listed in  
219 Table 2. There were three mix proportions used, the reference mortar without CenoPCM and the  
220 mortars integrated with 3% PCM by total weight of the mortar through sealed or unsealed  
221 CenoPCM. For all the mixes, the water to cement and sand to cement ratio are 0.55 and 3.0,  
222 respectively. In the reference mortar without CenoPCM, fine sand that passed through No.30  
223 sieve (~600 $\mu$ m) was added in the same volume as occupied by CenoPCM in 3% mortar. The  
224 mean particle size of the fine sand was about 300  $\mu$ m, estimated from the sieve analysis results.  
225 With the known specific gravity of the materials used (3.15 for cement, 2.7 for sand, 0.75 for  
226 cenospheres) and loading capacity of cenospheres with PCM (175.02 wt.% obtained from TGA),  
227 the needed amount of each material can be easily calculated, and the results are shown in Table 2.  
228 It has to be pointed out that no superplasticizer was used in the mix proportions. This is because  
229 the mixes already showed similar flowability and workability during mixing and casting without  
230 superplasticizers. Thus the adjustment of superplasticizer was not required in this study.

231 **Table 2** Mix proportions of mortars.

Constituent	Reference Mortar		3% Mortar	
	Volume (m <sup>3</sup> )	Mass (kg)	Volume (m <sup>3</sup> )	Mass (kg)
Cement	0.15	480	0.15	480
Water	0.26	264	0.26	264
Sand	0.53	1440	0.53	1440
Fine Sand	0.05	135	0	0
Unsealed or Sealed CenoPCM	0	0	0.05	106
Total % PCM in Mortar	0	0	5	3

232 Cement, water, and sand were firstly mixed together according to the ASTM C305 [26].  
233 Then CenPCM or fine sand was introduced to the mixture and mixed for additional 2 minutes at  
234 slow speed to produce homogenous mortar. Thereafter, the mortar was cast into cylindrical  
235 molds with a diameter of 50mm and height of 100 mm, and then compacted using a vibration  
236 table. After being seal cured for 24 hours, the samples were demolded and cured under water at a  
237 controlled temperature of  $23\pm 1^{\circ}\text{C}$  till the designated ages of 3, 7, and 28 days. At each age,  
238 compression test was carried out on three samples according to the ASTM C109 [27]. The  
239 mortar samples were prized into pieces for SEM observation as well.

### 240 **3. Results and discussion**

#### 241 3.1 Microstructure of perforated cenospheres, unsealed CenPCM, and sealed CenPCM

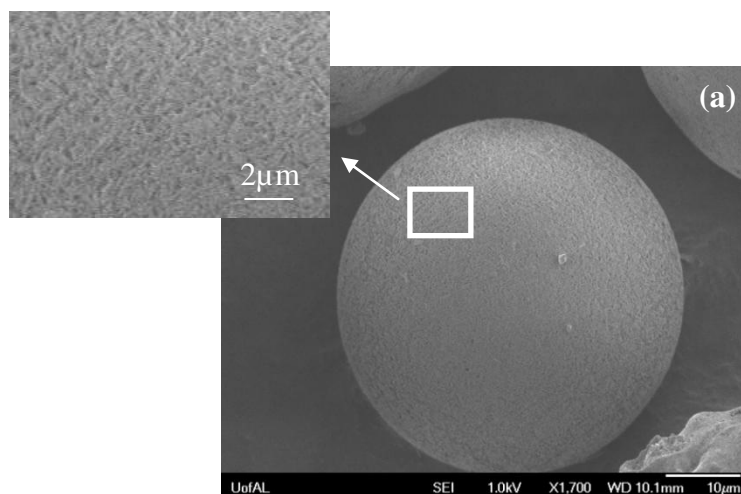
242 The original cenospheres represent a silicate-alumina-iron multiple component system with  
243 the surface covered by glass-crystalline nanosize film. To use cenospheres for  
244 microencapsulation, this layer of film should be removed to make the inner hollow space  
245 accessible for PCM. In current work, this was achieved by etching the cenospheres with a  
246 solution of 1.0 M  $\text{NH}_4\text{F}$ -1.2 M  $\text{HCl}$ - $\text{H}_2\text{O}$  for 2 hours. Table 3 lists the chemical composition of  
247 cenospheres after this acid treatment. Compared to the original composition (Table 1), the  
248 content of alumina was increased significantly from 31.93 to 35.00% in the perforated  
249 cenospheres. This is because alumina was not subjected to the etching action [21], while the  
250 silica and iron deposits on cenospheres were dissolved by the hydrofluoric based acid system.  
251 The dissolution resulted in about 8.77% weight loss of the cenospheres shell. This small amount  
252 of dissolution indicates that the acid etching is able to perforate the shell without compromising  
253 the shell's mechanical strength.

254 Fig. 5 shows the microstructure of the perforated cenospheres. It can be seen clearly that  
 255 compared to the original cenospheres (Fig. 2(b)), the wall structure changes significantly, going  
 256 from a solid to a spongy porous one. This indicates that the glass-crystalline film has been  
 257 removed from the cenosphere surface by the applied acid treatment. From Fig. 5, it is also seen  
 258 that the majority of pores created on cenosphere shell are smaller than 500 nm, with occasional  
 259 pores with micron size ( $< 2\mu\text{m}$ ). These pores, together with the porous structure of cenosphere  
 260 shell formed by gaseous inclusions, perforated the cenospheres, providing paths for loading  
 261 liquid PCM.

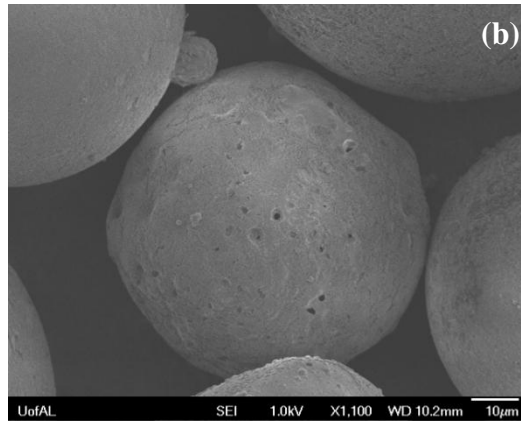
262 **Table 3** Chemical composition of perforated cenospheres produced by acid etching.

Compound (%)	Perforated Cenospheres
SiO <sub>2</sub>	57.81
Al <sub>2</sub> O <sub>3</sub>	35.00
CaO	0.33
Fe <sub>2</sub> O <sub>3</sub>	2.13
TiO <sub>2</sub>	1.15
MgO	0.51
Na <sub>2</sub> O	0.52
K <sub>2</sub> O	1.32
P <sub>2</sub> O <sub>5</sub>	0.05

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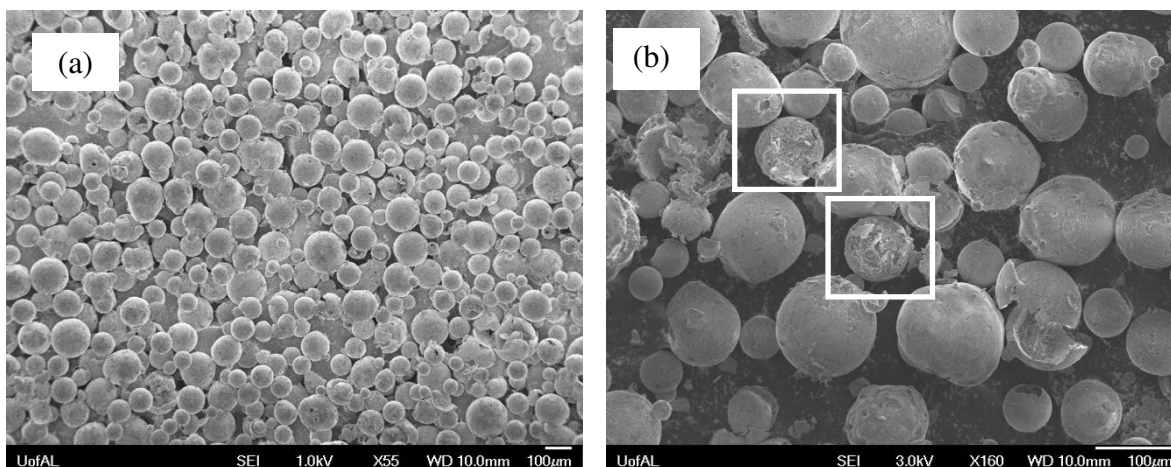


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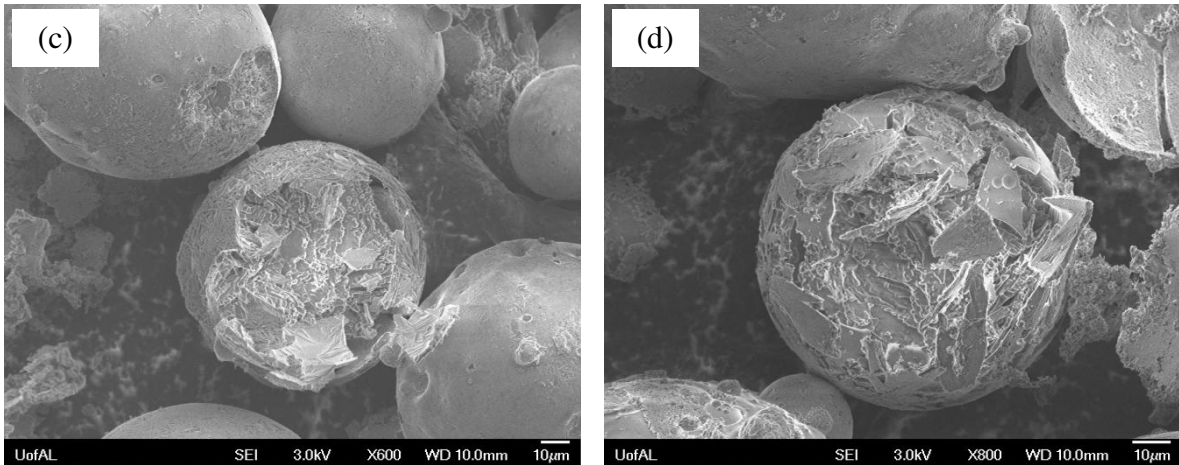
266 **Fig. 5.** SEM microphotographs of the perforated cenospheres after being etched with 1.0 M  
267  $\text{NH}_4\text{F}$ -1.2 M  $\text{HCl}$ - $\text{H}_2\text{O}$  solution for 2 hours.

268 The micrographs of cenospheres with loaded PCM via the vacuum impregnation process are  
269 demonstrated in Fig. 6. From Fig. 6(a), it can be found that almost all the PCM loaded  
270 cenospheres are intact. This means that the vacuum pressure applied (80.5 kPa) for PCM  
271 impregnation did not destroy the shells, suggesting both the applicability of vacuum loading and  
272 high mechanical strength of perforated cenospheres. To expose the internal space of cenospheres,  
273 the spheres were carefully crushed by a small piece of slug. Examination of these purposely  
274 broken cenospheres (Fig. 6(b)) suggests that they all are filled with PCM. For clarity, the ones in  
275 the upper right corner and center of Fig. 6(b) are enlarged and displayed in Figs. 6(c) and (d),  
276 respectively. It is interesting to see from these two figures, especially Fig. 6(d), that the detached  
277 pieces have not yet completely fell down from their original positions in the cenospheres. This  
278 indicates that the particle is damaged during the manual broken process right before SEM  
279 observation, instead of being originally broken before or during impregnation. Otherwise, these  
280 pieces would fully fall apart into surroundings. From the gaps between these broken pieces, it  
281 can be seen that the cenospheres are fully loaded with PCM that has a layered structure.

282 The last step involved in preparing CenoPCM was to seal the perforated cenospheres to  
283 prevent the possible leakage of PCM during its service life. This coating was applied by simply  
284 soaking the PCM loaded cenospheres into silica sol. Fig. 7 shows the cenospheres being coated  
285 with silica sol. Fig. 7(b) displays the same cenosphere particle in the center of Fig. 7(a) but at a  
286 higher magnification. According to Fig. 7(b), the surface texture of the cenosphere has been  
287 coarsened with coating, compared to the ones without coating (Figs. 5 and 6). The silica particles  
288 from the sol connect with each other, forming a layer of coating covering the cenosphere shell.  
289 One may also notice that a few pores with sizes of about 2  $\mu\text{m}$  are still visible on the shell after  
290 cenosphere being coated, which indicates that the coating layer is thin and not thick to the extent  
291 that can cover the micron size pores. Increased thickness of the coating will be facilitated in the  
292 future research by optimizing the coating parameters, including the content of cenospheres and  
293 silica sol, stirring speed, and soaking time, among others.

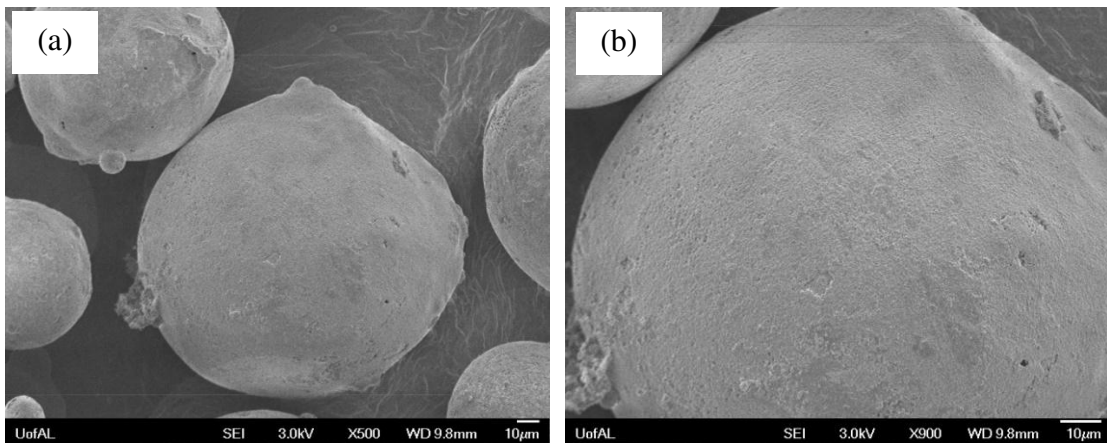






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296 **Fig. 6.** (a) Microstructure of cenospheres loaded with PCM before manual crushing; (b), (c),  
 297 and (d) manually crushed cenospheres whose internal space is fully loaded with PCM.  
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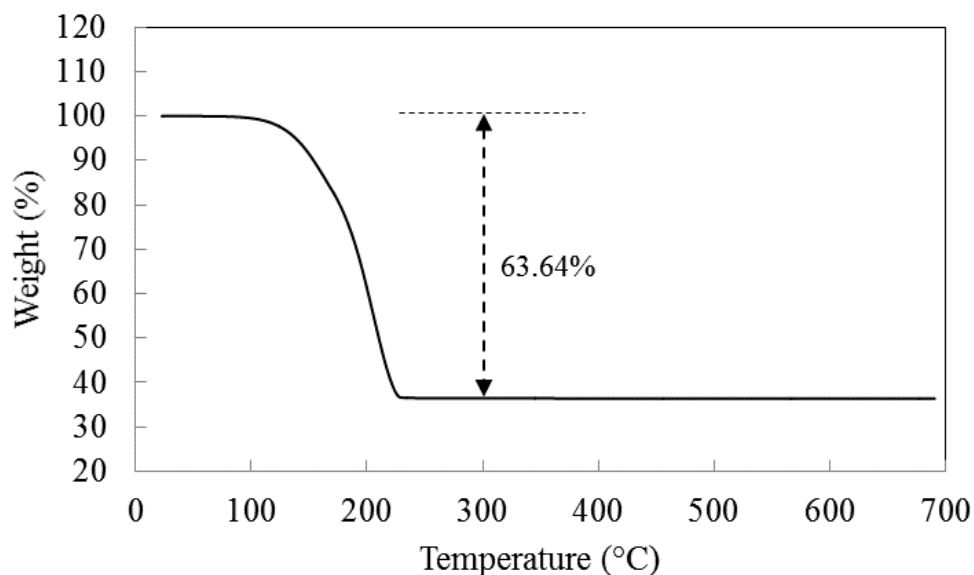
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300 **Fig. 7.** Coating of a silica layer on the surface of perforated cenospheres loaded with PCM.

301 3.2. Thermal stability and phase-change performance of CenoPCM

302 Thermal stability is a significant factor in evaluating CenoPCM for the application of heat  
 303 energy storage and thermal regulation. The thermal stability of unsealed CenoPCM was  
 304 investigated by means of TGA, which presents the mass loss of samples and corresponding  
 305 temperature. The TGA curve is depicted in Fig. 8. A sharp weight loss starts at around 130 °C,  
 306 which was attributed to the decomposition of the microencapsulated PCM (n-octadecane) [28].  
 307 Decomposed PCM vapor was quickly lost from the perforated cenospheres, and this process

308 continued till temperature reached 220 °C. After this temperature, no further weight loss can be  
309 observed for the CenoPCM sample, suggesting that all n-octadecane inside the perforated  
310 cenospheres had been evaporated. The residual weight of the sample is the weight of the  
311 perforated cenosphere shell. The curve shows that the loaded PCM accounts for approximately  
312 63.64% by total weight of the produced CenoPCM, which corresponds to as high as 175.02%  
313 loading capacity of perforated cenospheres with n-octadecane. From the curve, it is also seen that  
314 there is no degradation occurring in the cenosphere shell within the testing temperature range  
315 (700 °C), implying the much higher thermal stability of the cenosphere than polymer shells used  
316 in existing MEPCMs. The polymer based microcapsules would have another obvious weight loss  
317 at the decomposition temperature of the shell materials [28].

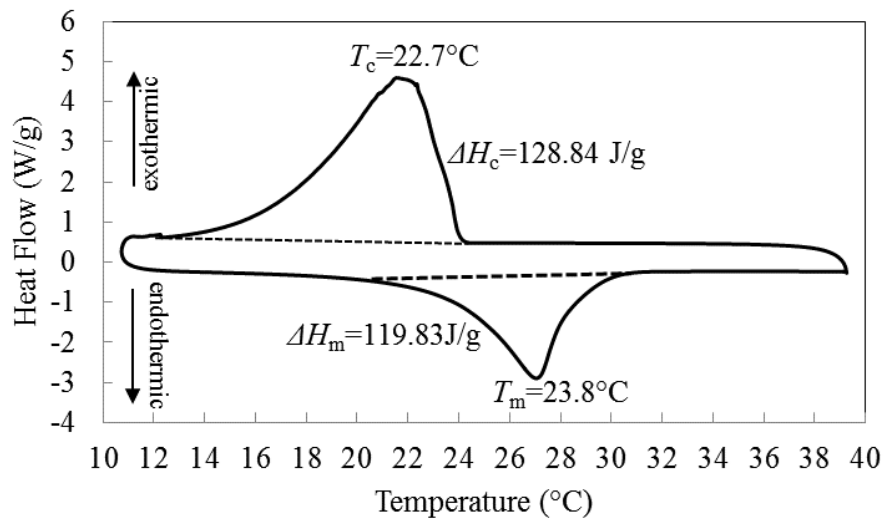


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320

**Fig. 8.** TGA thermogram of the produced CenoPCM.

321 The phase change behaviors of the prepared CenoPCM (unsealed) are evaluated by DSC, and  
322 its thermograph is presented in Fig. 9, where the upward peak indicates the exothermic process  
323 while the downward corresponds to the endothermic one. The phase change temperatures (i.e.  $T_m$

324 and  $T_c$ ) of the CenoPCM obtained from the DSC measurement are also displayed in the figure.  
 325  $T_m$  or  $T_c$  is given by the intersection of the tangent through the point of maximum slope on the  
 326 melting or crystallization front peak and the extrapolated baseline on the DSC heating and  
 327 cooling curves, respectively. The produced CenoPCM has a crystallization peak  $T_c$  at around  
 328 22.7°C, and it is as well observed that the peak is not that sharp. This is because n-octadecane  
 329 has two types of structures that crystallize at slightly different temperatures, generating two  
 330 exothermic peaks that are overlapped together [28]. On the contrary, the n-octadecane shows  
 331 only one single, well-defined endothermic peak on the heating thermogram with  $T_m$  at 23.8 °C.  
 332 The phase-change enthalpies [i.e., the fusion heat ( $\Delta H_m$ ) and crystallization enthalpy ( $\Delta H_c$ )] of  
 333 the CenoPCM are deduced from the DSC measurement and also shown in Fig. 9. The CenoPCM  
 334 has a  $\Delta H_m$  of 119.83 J/g and  $\Delta H_c$  of 128.84 J/g, respectively.



335  
 336  
 337

**Fig. 9.** DSC thermograms of the produced CenoPCM.

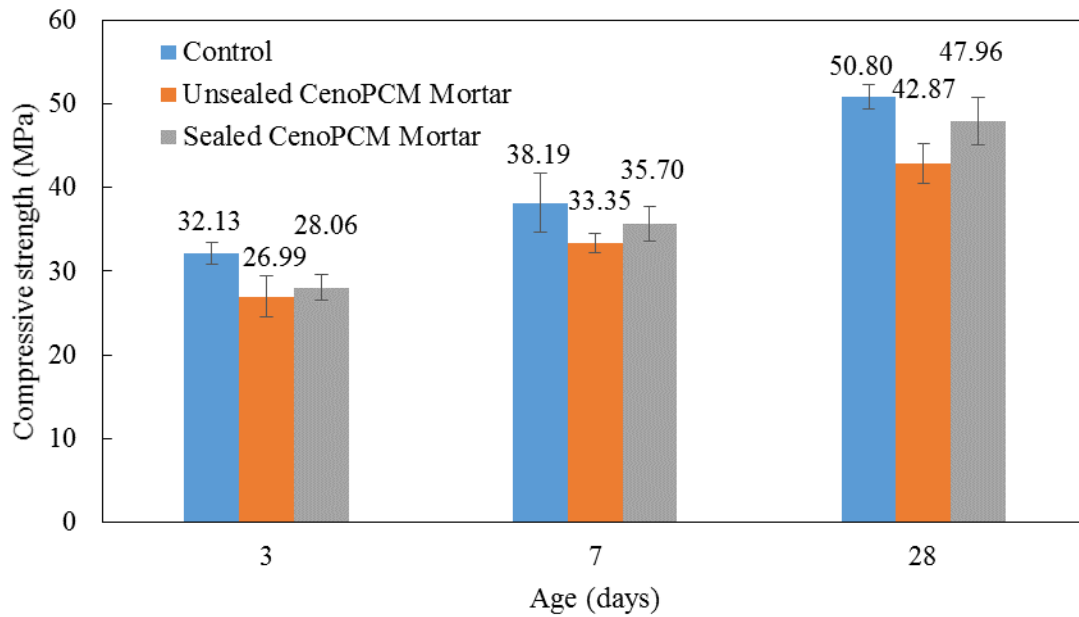
### 338 3.3. Mechanical property of cement mortar incorporating CenoPCM

339 To examine the mechanical performance of CenoPCM in construction materials, the  
 340 compressive strength of mortar with and without CenoPCM is measured and displayed in Fig. 10.  
 341 From this figure, it can be seen that compared to the control mortar, addition of 3.0 wt.% of PCM

342 through either unsealed or sealed CenoPCM (corresponding to ~4.7 wt.% of CenoPCM) resulted  
343 in the strength loss at all the ages studied, which was around 15.6% and 5.6% at 28 days,  
344 respectively. But both mortars remain strong enough for structural application. The lowest  
345 strength in the mortar containing unsealed CenoPCM could be partially attributed to the PCM  
346 absorbed on the shell surface. The PCM may interact or crosslink with unreacted cement  
347 constituents and hydration products, which potentially reduces the strength [11,12]. After the  
348 CenoPCM being coated with a layer of silica sol, the strength has been recovered, which reached  
349 94.4% of the control mortar at 28 days. This could be caused by the fact that the silica sol seals  
350 the perforated pores on the shell, densifying and strengthening the CenoPCM particles. In the  
351 meantime, the coated silica sol may react with calcium hydroxide, producing C-S-H gel that can  
352 enhance the bonding between the CenoPCM microcapsules and surrounding mortar  
353 microstructure. As comparison, after adding 3wt.% of CIBA's and BASF's phase change  
354 materials microcapsules, the strength reduction of mortar was about 44% [11] and 47% [12] at  
355 28 days, respectively. This is because the polymer encapsulated PCMs do not present a  
356 mechanical resistance, their inclusions into concrete weaken the overall strength of the materials  
357 [11,12]. On the contrary, the much lower strength reduction in CenoPCM mortars should be  
358 attributed to the high mechanical strength properties of the cenospheres shell. As given by the  
359 manufacturer, 90% of the cenospheres used in current work can resist compression up to 22.06  
360 MPa.

361 The microstructure of mortar with unsealed CenoPCM was investigated. The samples were  
362 prepared as small fragment from the failed cylindrical mortar specimens after compression tests.  
363 The fragments were mounted on Al stubs with carbon cement, vacuum dried for 24 hours, Pt  
364 sputter coated, and imaged in the high vacuum SEM mode. Evidence of CenoPCM particles was

365 found to be well distributed throughout the hardened microstructure, and it was apparent in the  
 366 form of intact CenopCM particles (labelled as “A” in Fig. 11), hemispherical voids  
 367 (characteristic of CenopCM particle shape and surface texture) from where CenopCM particles  
 368 were present during curing then later pulled out because of compression (labelled as “B” in Fig.  
 369 11), or damaged CenopCM particles still occupying the voids (labelled as “C” in Fig. 11).

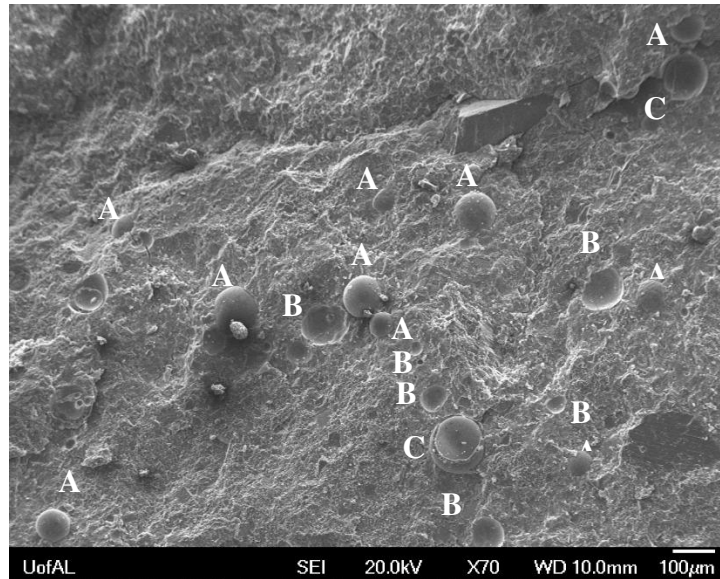


370  
 371 **Fig. 10.** Compressive strength of mortars with and without CenopCM at different ages.

372 Close-ups of typical B and C spots shown in Fig. 11 are shown in Fig. 12 (a) and (b),  
 373 respectively, to illustrate the difference of these two microstructures. From these two images, it  
 374 is clear to see that the spherical voids (Fig. 12 (a)) do not have the characteristic porous wall as  
 375 seen in the damaged cenospheres. But the voids have the typical cenospheres’ spherical shape  
 376 and surface texture, so they were not air void induced during mortar mixing. Instead, they  
 377 appeared to be created by the bursting of cenospheres, which were the result of CenopCM  
 378 particle pre-curing embedding followed by post-loading pull-out. The damaged CenopCM  
 379 remnants (Fig. 12 (b)) are not because that the CenopCM were damaged during mortar mixing or

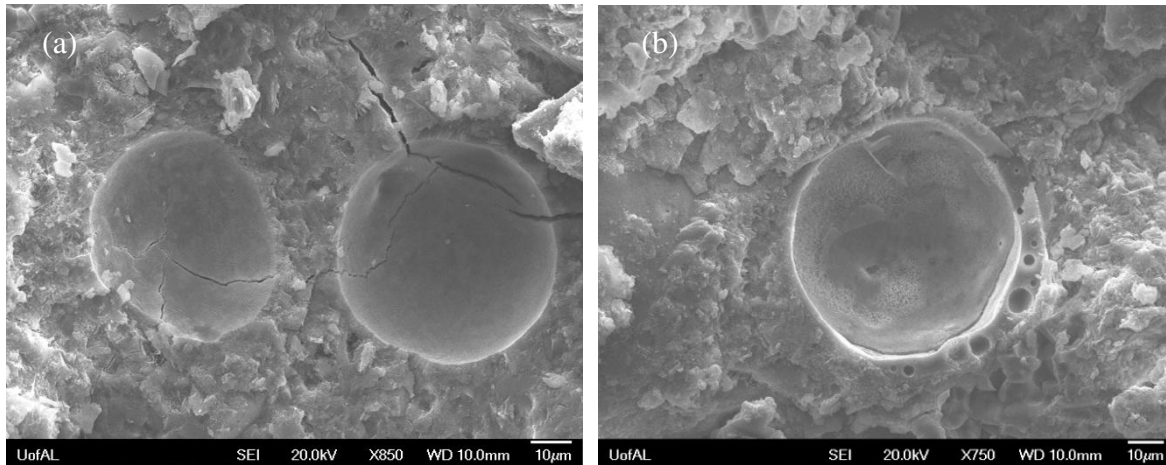
380 compaction, but they are merely a consequence of the compression cutting its way through the  
381 capsules because of their weaker strength when compared to the surrounding matrix. If  
382 CenoPCM was broken during mortar mixing or compaction, at least some of the damaged  
383 CenoPCM particles would be filled with mortar instead of being hollow. But there is little  
384 evidence found for such broken particles. The survival of CenoPCM particles during mortar  
385 manufacturing should be attributed to the high crushing strength of cenospheres (10-20MPa).  
386 Compared to those MEPCMs which were easily damaged [12], CenoPCM is more applicable to  
387 be used in concrete materials for thermal energy storage given to its superior mechanical  
388 performance.

389



390

391 **Fig. 11.** SEM micrograph of CenoPCM (unsealed) mortar fracture surface showing (A) intact  
392 CenoPCM particles, (B) hemispherical voids from where CenoPCM particles were present  
393 during curing then later pulled out because of compression, and (C) damaged, hollow CenoPCM  
394 particles.



395

396 **Fig. 12.** (a) SEM images showing hemispherical voids due to cenosphere pulled out; (b) A  
 397 damaged CenopPCM particle (unsealed) in the mortar at the hydration age of 28 days.

398

#### 399 4. Conclusions

400 A novel technology to encapsulate PCM into cenospheres has been successfully  
 401 demonstrated in this paper. By removing the nanosize glassy-crystalline film covering the  
 402 cenosphere shell using chemical etching, perforated cenospheres can be produced. PCM in liquid  
 403 phase can be easily loaded into the perforated cenospheres to produce PCM microcapsules  
 404 (CenopPCM), which not only can store and release heat at the human comfort zone ( $T_c=21.57\text{ }^\circ\text{C}$   
 405 and  $T_m=23.8\text{ }^\circ\text{C}$ ), but also possesses high thermal storage and release capacities. Integration of  
 406 4.7% unsealed CenopPCM (corresponding to 3.0% pure PCM inclusion) into mortar caused 10-  
 407 15% loss of compressive strength. However, such reduction in strength of mortar can be  
 408 recovered by coating the CenopPCM with a thin layer of silica sol on the surface.

409 The new microencapsulation method developed in this study of PCM using cenospheres  
 410 enjoys many advantages over currently available polymer-based MEPCMs, including low cost,  
 411 high thermal energy storage capacity and stability, and high mechanical properties. This study  
 412 also suggests that CenopPCM can be a promising candidate for adding thermal energy storage to

413 traditional construction materials such as concrete. More work will be carried out to evaluate the  
414 thermal performance, durability, and inflammability of concrete or mortar incorporating  
415 CenoPCM.

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