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# Integration of genetic, clinical, and INR data to refine warfarin dosing

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# Abstract

**Background**—Well characterized genes affecting warfarin metabolism (*CYP2C9*) and sensitivity (*VKORC1*) explain one-third of the variability in therapeutic dose before the International Normalized Ratio (INR) is measured.

**Methods**—To determine genotypic relevance after INR becomes available, we derived clinical and pharmacogenetic refinement algorithms using INR values on day 4 or 5 of therapy, clinical factors, and genotype.

Conflict of Interest Disclosures The authors have no conflicts of interest.

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Authorship Contributions Drs. Gage and Eby were investigators on a grant from Osmetech that focused on a gene (CYP4F2) not included in this analysis. Dr. Caldwell has applied for a patent for the same (CYP4F2) gene. P. Lenzini and B. Gage designed the research, collected, analyzed, and interpreted data, and wrote the manuscript. M. Wadelius, S. Kimmel, J.L. Anderson, and A.L Jorgensen collected data, and wrote the manuscript. M. Pirmohamed, M.D. Caldwell, N. Limdi, J.K. Burmester, M.B. Dowd, P. Angchaisuksiri, A.R. Bass, J. Chen, N. Eriksson, A. Rane, J.D. Lindh, J.F. Carlquist, B.D. Horne, G. Grice, P.E. Milligan, C. Eby, J. Shin, H. Kim, D. Kurnik, C.M. Stein, G. McMillin, R.C. Pendleton, R.L. Berg, and P. Deloukas, collected data and edited the manuscript.

**Conclusion**—After several days of therapy, a pharmacogenetic algorithm estimates the therapeutic warfarin dose more accurately than one using clinical factors and INR response, alone.

#### **Keywords**

pharmacogenetics; warfarin; dose-refinement

#### Introduction

Warfarin (Coumadin<sup>TM</sup>, Marevan<sup>TM</sup>, and others) is an ideal drug for testing the paradigm of personalized medicine. It is the most commonly prescribed oral anticoagulant in North America and many European and Asian countries (1) and is a leading cause of adverse drug reactions.(2-4) Warfarin has a narrow therapeutic index and large inter-individual variability in dose requirements, with some individuals requiring less than 1 and others more than 20 mg/day to maintain therapeutic International Normalized Ratio (INR) values.(5) Tailoring therapy based on individual INR response often takes weeks, during which the risk of adverse reactions is high.(6,7)

To reduce this risk while maintaining effectiveness, pharmacogenetic algorithms have been developed to estimate the maintenance warfarin dose at the time of warfarin initiation.(8-16) Besides clinical factors, these *initiation* algorithms incorporate common single nucleotide polymorphisms (SNPs) in the cytochrome P450 (CYP) 2C9 system (*CYP2C9\*2* and *CYP2C9\*3*) that are associated with impaired metabolism of warfarin(6,17-19) and SNPs in the gene for vitamin K epoxide reductase complex 1 (*VKORC1*) that correlate with warfarin sensitivity.(8,12,16,20-23) Together these SNPs explain one-third of the variability in therapeutic dose ( $\mathbb{R}^2 \sim 33\%$ ).(8,12,16,20-23)

Pharmacogenetic initiation algorithms use these genes and clinical factors to estimate the therapeutic warfarin dose,(8,10-13,16,23) but they have critical shortcomings. First, they offer no explicit guidance for warfarin dosing once the INR response to therapy is known. This limitation is compounded by the common delay of several days to get genotype results back from an outside laboratory. Some experts have argued that once *VKORC1* and *CYP2C9* genotype are available in practice, they are neither relevant (24) nor cost-effective.(25) Furthermore, with few exceptions,(8) prior initiation algorithms have been developed in small or single-centered studies and their predictive accuracy in broader populations is questionable. Although the US Food and Drug Administration (FDA) has included consideration of *VKORC1* and *CYP2C9* genotyping in the product label of Coumadin<sup>TM</sup>/ warfarin, several professional organizations do not endorse routine testing (American College of Chest Physicians, American College of Medical Genetics).(26-28)

One reason for such reluctance may be the lack of data on the ability to use *VKORC1* and *CYP2C9* genotype to further refine warfarin dose after INRs become available. The recent development of pharmacogenetic *refinement* algorithms looks promising,(29,30) but, as these algorithms are tailored to the orthopedic population, they are not applicable to broad populations. In short, whether genotype can help refine an individual's maintenance dose, after several days of warfarin therapy, remains unclear. (24,31) Therefore, the international Warfarin Dose-Refinement (Warfarin DR) Collaboration, had two goals: (1) to develop and validate a pharmacogenetic refinement algorithm in an international cohort of patients

receiving warfarin for varying indications, and (2) to determine if genotype is predictive of therapeutic dose even when an INR value is available on the  $4^{\text{th}}$  or  $5^{\text{th}}$  day of therapy.

### Results

#### Derivation

In the derivation cohort (N = 969), therapeutic dose was inversely correlated with INR, *VKORC1-1639 A*, *CYP2C9\*2* and *CYP2C9\*3* alleles (P<0.001). Other significant, independent predictors of therapeutic dose were prior warfarin doses, age, BSA, stroke, diabetes, race, target INR, and use of amiodarone or fluvastatin. Other statins, individually and in combination, were not significant predictors of therapeutic dose in this dataset. Significant predictors of the therapeutic dose in the *clinical* refinement algorithm were similar (Tables 2 and 3) except that genotype was not offered into the model and race was not statistically significant.

This clinical refinement algorithm explained 48% of the variation in the derivation cohort and had a median absolute dosing error of 7.0 mg/week (1.0 mg/day). The pharmacogenetic refinement algorithm explained 63% of the variation in the derivation cohort and had a median absolute dosing error of 5.5 mg/week (0.78 mg/day).

#### **Internal Validation**

First, we assessed the performance of the pharmacogenetic refinement algorithm using the 204 patients in the internal validation cohort who had an INR available on the 4th day of therapy. Here,  $R^2$  was 58%, which was significantly (P=0.002) greater than the  $R^2$  of the *clinical* refinement algorithm ( $R^2$ =43%, Table 4). The MAE of the pharmacogenetic refinement algorithm (4.9 mg/week) was less than that of the clinical refinement algorithm (6.1 mg/week) (P=0.020).

When evaluating algorithms in the smaller internal validation set of patients who had an INR measured on the 5th day (N = 105), the results were similar. In this subset,  $R^2$  for the pharmacogenetic algorithm was 60% which was significantly (P=0.009) more accurate than the clinical refinement algorithm (R<sup>2</sup>=44%, Table 4). The MAE of the pharmacogenetic refinement algorithm (6.3 mg/week) was less than that of the clinical refinement algorithm (7.4 mg/week) but this difference was not significant (P=0.16).

#### **Final Algorithms**

After pooling the derivation and internal validation cohorts (N=1213) and re-deriving a final model using the same methods, we found that the pharmacogenetic refinement algorithm was: Maintenance dose (mg/week) =EXP [ $3.10894 - 0.00767 \times Age$ , per year -  $0.51611 \times ln(INR) - 0.23032 \times VKORC1-1639$  G>A -  $0.14745 \times CYP2C9*2 - 0.3077 \times CYP2C9*3 + 0.24597 \times BSA + 0.26729 \times Target$  INR - $0.09644 \times African$  Origin -  $0.2059 \times Stroke - 0.11216 \times Diabetes - 0.1035 \times Amiodarone$  Use -  $0.19275 \times Fluvastatin$  Use +  $0.0169 \times Dose_2 + 0.02018 \times Dose_3 + 0.01065 \times Dose_4$ ].

The clinical refinement algorithm was: Maintenance dose (mg/week) = EXP [2.81602 - 0.76679 × ln(INR) - 0.0059 × Age, per year + 0.27815 × Target INR - 0.16759 × Diabetes + 0.17675 × BSA -0.22844 × Stroke - 0.25487 × Fluvastatin Use + 0.07123 × African Origin - 0.11137 × Amiodarone Use + 0.03471 × Dose\_2 + 0.03047 × Dose\_3 + 0.01929 × Dose\_4].

#### **External validation**

When evaluating the final algorithms in patients who had an INR measured on the 4th day (N = 517) the R<sup>2</sup> was 40% for the final pharmacogenetic algorithm and 28% for the final

clinical refinement algorithm. The MAE of both the final pharmacogenetic refinement algorithm (6.9 mg/week) and final clinical refinement algorithm (6.9 mg/week) was ~1 mg/ day.

When evaluating algorithms in patients who had an INR measured on the 5th day (N = 438) the R<sup>2</sup> was 42% for the final pharmacogenetic algorithm and 26% for the final clinical refinement algorithm. Again, the MAE of the final pharmacogenetic refinement algorithm (6.7 mg/week) and final clinical refinement algorithm (6.4 mg/week) was < 1 mg/day.

To account for the transient increase in the INR after valve replacement, the correction factor using the final pharmacogenetic or clinical algorithm was ~1.21 (i.e., the new predicted dose was 21% greater than predicted by the algorithm).

# Discussion

The public is eager to see a return on its enormous investment in the Human Genome Project. The first payoffs are anticipated in the area of pharmacogenetics, where warfarin has been called the *poster child*.(32) Warfarin is a classic test-case because it has a narrow therapeutic index, is influenced by well-characterized genetic factors, and frequently causes adverse events. Now that pharmacogenetic dosing of warfarin is commercially available and several genotyping platforms are FDA approved, a logistical barrier has become apparent: most medical centers do not have same-day VKORC1 and CYP2C9 genotyping. Even in centers that do have access, the question of how to use genotype once an INR is available remained unanswered. In fact, skeptics have argued that genetic information may be irrelevant after several days of dosing, because INR response may capture warfarin sensitivity.(24,31) In part, the skeptics were right. The clinical refinement algorithm (Table 2), which uses a single INR on day 4 or 5 of therapy, explained 26%-48% of variability in warfarin dose. For comparison, prior pharmacogenetic *initiation* algorithms,(8,10-16,23) explain only slightly more variability in dose (~50%). This similarity indirectly supports the claim that pharmacogenetics may add relatively little to predictive accuracy once INR data are available.

However, to compare how much genotype adds to predictive accuracy, one must compare pharmacogenetic accuracy with clinical accuracy on a particular day of therapy. We found that after 4 or 5 days of therapy, the addition of genetics improves the R<sup>2</sup> by 12-17% (P < 0.002). These figures are averages; patients with uncommon genotypes likely benefit even more. Consider a 70-year-old patient, 5'9" (175 cm) and 200 lbs (91 kg), whose target INR is 2.5. If he presents with an INR of 1.4 after three 5-mg doses of warfarin, his predicted therapeutic dose (using the final pharmacogenetic algorithm) could be as low as 14.6 mg/ week, or as high as 43.0 mg/week, depending on *VKORC1-1639* and *CYP2C9* genotype. For comparison, the clinical algorithm predicts 34.2 mg/week. Thus, genotype is a *critical* factor determining his therapeutic dose, even when INR is monitored after 4 or 5 doses. To assist the reader in performing similar calculations, we have made the final dose-refinement and initiation algorithms freely available on www.WarfarinDosing.org.

Several additional observations warrant discussion. First, *VKORC1* was a more important predictor of therapeutic dose, than in our prior study of 92 orthopedic patients. Previously, we found that *VKORC1* contributed modestly to dose variability once the INR after 3 doses was known.(29) However, all of the orthopedic patients had received pharmacogenetic therapy prospectively, so the initial warfarin doses already reflected *VKORC1* genotype. Second, the effect of incorporating *VKORC1* into the model causes the contribution of INR to  $R^2$  to be blunted from 22.2% in the clinical model to 12.3% in the pharmacogenetic one. Thus, the pharmacogenetic model should be more robust to errors in initial INR

measurements. This robustness may be helpful in patients receiving therapeutic doses of unfractionated or low-molecular-weight heparin, anticoagulants that sometimes inflate initial INR values.(33)

While much of the variance can be explained by INR, prior doses, age, and (in the pharmacogenetic model) genotype, other variables also affect dose. The lower warfarin requirements in patients who have had a stroke is a new finding, and may reflect undernutrition that is common post stroke.(34) Our observation that diabetes is a marker for lower warfarin requirements is consistent with prior literature.(35)

Several limitations also need to be discussed. As with any international collaboration, we are limited in the number of variables universally available for analysis. For example, some medications (e.g. fluconazole, rifampin, and barbiturates) interact with warfarin(36) but were too rare to be incorporated into the model and clinicians will have to account for them (the outliers in figure 1 demonstrate this necessity). The *CYP4F2* V433M genotype was not collected at each site, and incorporation of this genotype might have improved the  $R^2$ .(37) Estimated blood loss was not analyzed here, but can transiently inflate the INR after major surgery.(29,30) Likewise, the algorithms do not account for decompensated heart failure or patient-specific environmental factors (e.g. dietary vitamin K intake), which may affect warfarin requirements.(38) Finally, although the population of participants of African ancestry is relatively large (N = 123), this analysis is still based on a predominantly Caucasian population.

As a reminder of the importance of considering the limitations of any particular algorithm, we look to the external validation of the final algorithm. Many of these participants (N = 139; 20%) were receiving warfarin for valve replacement. Probably because of destruction and loss of functional clotting factors during cardiopulmonary bypass and because of decreased dietary intake around valve replacement surgery, this population has a transient increased sensitivity to warfarin post-operatively.(39-41) This indication, however, was rare in the internal datasets, so the algorithms had a tendency to under predict the therapeutic dosing requirements for all Inje University patients, resulting in a lower  $\mathbb{R}^2$  and a greater MAE in the external validation cohort.

In contrast to traditional warfarin nomograms that rely on fixed initial doses and INR response alone,(42-45) the refinement algorithms developed here also accommodate demographics, warfarin indication, concurrent medications, flexible prior warfarin doses, comorbidities, and genotype. The pharmacogenetic refinement algorithm had a greater R<sup>2</sup> and lower dosing error than previous pharmacogenetic algorithms,(8,10-16,23) with the exception of those tailored to specific, homogenous populations.(29,30) Whether the high accuracy of the new genetic-based dosing algorithms will improve INR control or clinical outcomes is unknown, but is being addressed in three multi-centered, randomized trials in the US (Clarification of Optimal Anticoagulation through Genetics (COAG)), Genetics InFormatices Trial (GIFT) of Warfarin to Prevent DVT, and the Clinical and Economic Implications of Genetic Testing for Warfarin Management, and one in Europe (Pharmacogenetics of Anti-Coagulant Therapy (PACT)).

Personalized medicine will accomplish an important achievement if the pharmacogenetic algorithm developed here improves laboratory or clinical outcomes in ongoing trials. The hypothesized success would not belong to genetic testing, *per se*, but rather to a comprehensive approach whereby many patient-specific factors are accounted for explicitly. If this approach to warfarin management is any indication of what to expect from the investment in the Human Genome Project, genetics will add to, rather than replace, the list of factors that clinicians will need to consider when personalizing therapy.

#### **Methods**

#### Population

After Institutional Review Board approval at the participating sites, we obtained clinical and genetic data on 1213 patients in 3 continents: University of Alabama (N=62), Hospital for Special Surgery (N=11), Kaiser Permanente Colorado (N=30), University of Liverpool (N=149), Marshfield Clinic (N=147), Washington University in St. Louis School of Medicine (N=264), Ramathibodi Hospital, Mahidol University, Bangkok, Thailand (N=29), University of Pennsylvania (N=86), Uppsala University, Uppsala, Sweden (N=2), Intermountain Medical Center (N=155), Karolinska Institutet, Stockholm, Sweden (N=278). Patients were excluded if they did not achieve a therapeutic dose (defined below), if an INR on day 4 or 5 was not available, if their baseline (pre-warfarin) INR was above 1.4, if they were not genotyped for CYP2C9\*2, CYP2C9\*3 or VKORC1, or if they were prescribed fresh frozen plasma or vitamin K prior to their INR measurement. We randomly sampled 80% of the data for derivation, setting aside 20% for internal validation (Table 1). Dosing protocols varied among sites, with some participants (31%) being initiated on warfarin therapy using pharmacogenetic dosing algorithms.(29,30,46) However, stratifying by whether or not sites used a pharmacogenetic dosing protocol did not improve predictive accuracy. After development and internal validation, we studied 584 patients from 4 additional sites to validate the final algorithm (which was derived from combining the derivation and internal validation cohorts): Vanderbilt University (N=132), Inje University College of Medicine, South Korea (N=139), and University of Utah Hospital (N=117). The University of Liverpool also genotyped additional patients for external validation (N=196). Data from these 584 additional patients comprised the external validation cohort. Some of the data in the present analysis, were used for other pharmacogenetic analyses  $(8,9,16,29,^{30},31,51,52,53).$ 

#### Study Outcomes

The outcome variable was the therapeutic (maintenance) warfarin dose, defined as the dose that led to stable therapeutic anticoagulation levels: all sites required therapeutic INR values on at least two consecutive visits. Thus, data from studies that originally required only a single INR to define therapeutic dose (8,29,30), were re-analyzed using the more stringent definition in this analysis.

#### **Statistical Analysis of the Derivation Cohort**

Using stepwise selection, we quantified the relationship between therapeutic doses and genetic and clinical information available on the fourth or fifth day of warfarin therapy (Tables 2 and 3). Variables were allowed to remain in the multivariable linear regression model if they achieved statistical significance ( $P \le 0.05$ ), or were marginally significant ( $0.05 < P \le 0.20$ ) with strong biological plausibility. Because some patients had INRs available on both the fourth and the fifth day of therapy (N=355), we repeated random selection of half of them for whom we would use their 4<sup>th</sup>-day INR and half of them for whom we would use their 5<sup>th</sup>-day INR when deriving the model using a bootstrap procedure with 1000 resamples. Height and weight were combined into body surface area (BSA) using the classic fomula.(47)

We assessed the predictive ability of demographics (gender, race, and ethnicity), warfarin indication (atrial fibrillation, orthopedic surgery, venous thromboembolism, cardiac valve, and stroke), current medications (amiodarone, CYP inducers and statins), comorbidities (diabetes or liver disease), genotype, and INR values. Categorical variables were coded '1' if present and '0' if absent. To preserve linearity we log transformed therapeutic doses and INR. *CYP2C9* inducers included rifampin/rifamycin, or carbamazepine. Fluvastatin,

simvastatin, lovastatin, rosuvastatin, and atorvastatin were tested individually and in combination. Information on other interacting medications was not consistently available from sites. If diabetes status, smoking status, statin use, amiodarone use, or inducer use was not recorded at a particular site (n=232, n=148, n=232, n=135, and n=485 respectively), their probabilities were estimated using a likelihood method. Probabilities were then used in the regression equation instead of missing dummy variables. Missing BSA (n=38) was imputed from height or weight (if available), sex, and presence or absence of diabetes.

We coded *CYP2C9\*2* and *CYP2C9\*3* SNPs as 0 if absent, 1 if heterozygous, and 2 if homozygous. Likewise, *VKORC1*–1639 G>A (rs9923231) was coded 0 (homozygous GG), 1 (heterozygous), or 2 (homozygous AA). If *VKORC1*–1639 G>A genotype (also called *VKORC1* 3673) was missing (N = 241, derivation; N=57, internal validation) we inferred it from *VKORC1* 1173/6484 C>T (rs9934438) or *VKORC1* 1542/6853 G>C (rs8050894), which are in high linkage disequilibrium.(20,48,49)

To accommodate INRs taken on either the fourth or the fifth day of therapy, we defined doses in terms of the number of days they were given before the INR was drawn. For example, for patients with an INR on the 5<sup>th</sup> day of therapy, dose<sub>-2</sub> was the dose given 2 days prior (on the 3<sup>rd</sup> day of therapy); dose<sub>-3</sub> was the dose given on the 2<sup>nd</sup> day of therapy, etc. In this manner, only one INR (taken on either day 4 or day 5) was required for any individual's dose prediction.

We used 1000 bootstraps(50) to compare accuracy ( $R^2$  and median absolute error, MAE) between the pharmacogenetic and clinical refinement models as well as between the refinement algorithms and previously validated pharmacogenetic and clinical initiation algorithms.(8) Because warfarin use after valve replacement was rare in the derivation and internal validation cohorts (N = 37), we calculated a correction factor for these individuals in a post-hoc analysis to quantify the transient warfarin sensitivity after valve replacement (observed previously:(39-41)) after external validation, we regressed the residual from the final model onto a dummy variable indicating whether or not the patient (in any cohort) had this indication, using all data available.

# Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

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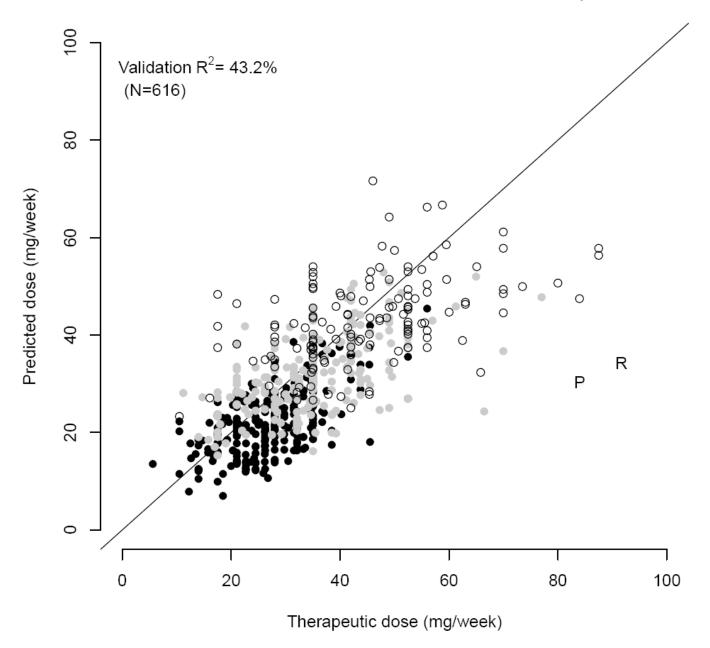
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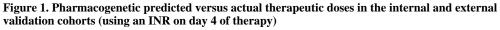
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Open circles represent individuals for whom no variants in *CYP2C9* and *VKORC1* were detected. Grey circles represent individuals who are carrying one variant allele (either *VKORC1*-1639 A or a \*2 or \*3 allele. Black circles represent individuals with at least two variants. The 'P' was an individual taking phenytoin. The 'R' was an individual taking rifampin.

#### Table 1

Demographic and clinical information in the derivation and internal validation cohorts

	Derivation	Internal Validation
Demographic Variables	(N=969)	(N=244)
Male, N (%)	545 (56.2)	144 (59)
African Origin, N (%)	95 (9.8)	28 (11.5)
Caucasian Race, N (%)	818 (84.4)	207 (84.8)
Asian Race, N (%)	28 (2.9)	6 (2.5)
Other/Unknown Race, N (%)	28 (2.9)	3 (1.2)
Allele Frequencies		
VKORC1-1639 G> A	35.2%	39.2%
CYP2C9 <sup>*</sup> 2	10.4%	11.5%
CYP2C9 <sup>*</sup> 3	5.9%	5.5%
Indication		
Atrial Fibrillation/Flutter, N (%)	306 (31.6)	81 (33.2)
Orthopedic Surgery, N (%)	264 (27.2)	61 (25.0)
DVT or PE, N (%)*	251 (25.9)	69 (28.3)
Valve Replacement, N (%)	32 (3.3)	5 (2.0)
Stroke, N (%)	17 (1.8)	6 (2.5)
Other or Missing Indication, N (%)	99 (10.2)	22 (9.0)
Clinical Variables		
Age, mean (SD), years $^{\dagger}$	62 (14.2)	61 (14.5)
Height, mean (SD), $cm^{\neq}$	170 (10.4)	173 (10.9)
Weight, mean (SD), kg§	87.5 (22.4)	86.7 (23.3)
Therapeutic Warfarin Dose, geometric mean (SD), mg/week	32.1 (1.6)	31.7 (1.5)
INR on day 4, geometric mean (SD) $\neq$	1.8 (1.4)	1.9 (1.4)
INR on day 5, geometric mean (SD)	1.9 (1.4)	1.9 (1.3)
Target INR, mean (SD)	2.5 (0.2)	2.5 (0.2)
1st Warfarin Dose, mean (SD), mg	7.7 (3)	7.6 (3)
2nd Warfarin Dose, mean (SD), mg	6.6 (2.8)	6.7 (2.9)
3rd Warfarin Dose, mean (SD), mg	5.1 (2.6)	5.0 (2.4)
Fluvastatin Use, N (%)	7 (0.7)	1 (0.4)
Amiodarone Use, N (%)	31 (3.2)	8 (3.3)
Inducer Use, N (%)	7 (0.7)	1 (0.4)
Current Smoker, N (%)	101 (10.4)	27 (11.1)
Liver Disease, N (%) $^{ m /\!\!/}$	15 (1.5)	4 (1.6)
Diabetes, N (%)	79 (8.2)	21 (8.6)

\* DVT is Deep Venous Thrombosis; PE is Pulmonary Embolism.

 $^{\dagger}$ SD is Standard Deviation.

 $^{\ddagger}$ INR is the International Normalized Ratio.

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Rifampin or carbamazepine.

 $\mathcal{T}_{Liver}$  Disease is hepatic cirrhosis, a two-fold elevation of any liver transaminase, or an albumin < 3.6.

#### Table 2

Clinical Refinement Model in Derivation Cohort (N=969).

Entry into model	variable	Effect on dose <sup>*</sup> (95% CI)	Cumulative Model R <sup>2</sup>	Р
1	ln(INR) $^{\dagger}$	-18% (-20% to -16%)	22.2%	< 0.001
2	Dose₋3, per mg <sup>‡</sup>	+3% (2% to 4%)	35.3%	< 0.001
3	Age, per year	-5% (-7% to -4%)	39.4%	< 0.001
4	Dose <sub>-2</sub> , per mg	+4% (2% to 5%)	42.4%	< 0.001
5	Dose-4, per mg	+2% (1% to 3%)	44.5%	< 0.001
6	Stroke indication	-27% (-38% to -13%)	45.4%	< 0.001
7	Target INR	+8% (4% to 11%)	46.2%	< 0.001
8	Diabetes	-15% (-22% to -8%)	46.9%	< 0.001
9	BSA , per 0.25 $m^2$	+5% (2% to 7%)	47.7%	< 0.001
10	Fluvastatin Use	-24% (-41% to -1%)	48.0%	0.040
11	Amiodarone Use	-12% (-23% to 1%)	48.2%	0.060

\*Effect on the maintenance dose is calculated per 0.25 unit increase in ln(INR) or target INR.

 $^{\dagger}$  In is natural logarithm. INR is International normalized ratio.

 $\overset{\ddagger}{=}$  Dose -i is dose given i days before INR is measured.

BSA is Body Surface Area.

#### Table 3

Pharmacogenetic Refinement Model in Derivation Cohort (N=969).

Entry into model	variable	Effect on dose <sup>*</sup> (95% CI)	Cumulative Model R <sup>2</sup>	Р
1	VKORC1-1639 G>A	-20% (-23% to -17%)	23.2%	< 0.001
2	ln(INR) †	-12% (-14% to -11%)	35.5%	< 0.001
3	Dose₋3, per mg≠	+2% (1% to 3%)	44.1%	< 0.001
4	Age, per year	-7% (-9% to -6%)	49.9%	< 0.001
5	CYP2C9 <sup>*</sup> 3	-28% (-32% to -23%)	55.1%	< 0.001
6	CYP2C9 <sup>*</sup> 2	-15% (-19% to -11%)	57.0%	< 0.001
7	BSA $\ $ , per 0.25 m <sup>2</sup>	+7% (4% to 9%)	58.9%	< 0.001
8	Target INR	+7% (4% to 10%)	59.7%	< 0.001
9	African Origin	-11% (-17% to -5%)	60.4%	0.001
10	Stroke	-23% (-33% to -10%)	61.0%	< 0.001
11	Dose <sub>-4</sub> , per mg	+1% (1% to 2%)	61.5%	< 0.001
12	Dose <sub>-2</sub> , per mg	+2% (1% to 3%)	62.0%	< 0.001
13	Diabetes	-9% (-16% to -3%)	62.3%	0.007
14	Amiodarone Use	-12% (-21% to -1%)	62.5%	0.028
15	Fluvastatin Use	-17% (-33% to 4%)	62.6%	0.106

\*Effect on the estimate of the maintenance dose is calculated per variant allele, and per 0.25 unit increase in ln(INR) or target INR.

 $^{\dagger} ln$  is natural logarithm. INR is International normalized ratio.

 $\ddagger$  Dose -i is dose given i days before INR is measured.

BSA is Body Surface Area.

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# Table 4

Accuracy of clinical and pharmacogenetic dose refinement algorithms in the internal validation cohort (N=244).

Cohort Subset		Clinical	Phar	harmacogenetic	Ρ
	$\mathbf{R}^2$	$\mathbf{MAE}^{*}$	$\mathbf{R}^2$	MAE	$\Delta MAE^{\dagger}$
Subset with Day 4 INR (N=204) 43% 6.1 mg/week 58% 4.9 mg/week 0.020	43%	6.1 mg/week	58%	4.9 mg/week	0.020
Subset with Day 5 INR (N=105) 44% 7.4 mg/week 60% 6.3 mg/week 0.160	44%	7.4 mg/week	%09	6.3 mg/week	0.160

Some participants (N = 65) had an INR (International Normalized Ratio) measured on both days 4 and 5 of therapy.

\* MAE is Median Absolute Error.

 $^{\dagger}\Delta$ MAE is difference in MAE.