

1 **Title:** Intergenerational and transgenerational epigenetic inheritance in animals

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12

13 **Abstract:**

14

15 Animals transmit not only DNA but also a diversity of other molecules, such as  
16 RNA, proteins and metabolites, to their progeny via gametes. To what extent  
17 do these molecules convey information between generations and does this  
18 information change according to their physiological state and environment?  
19 Here we review recent work on the molecular mechanisms by which 'epigenetic'  
20 information is transmitted between generations over different timescales and  
21 the importance of this information for development and physiology.

22

23 **Main text:**

24 Introduction

25

26 DNA is a reliable information transfer system because of the accuracy of  
27 DNA replication. Humans, for example, copy 6 billion bits of information to their  
28 offspring with an error rate of approximately 2 bits per 100 million<sup>1</sup>. However,  
29 eggs and sperm contain more than DNA, and it has become increasingly  
30 apparent in recent years that other molecules beyond the genome sequence  
31 transfer information between generations. Moreover, there are mounting  
32 examples in which this information is altered depending upon the physiological  
33 and environmental conditions of previous generations. Multiple mechanisms  
34 have been proposed to underlie non-DNA sequence-based inheritance and  
35 these can be either genome-associated (e.g. covalent modifications of DNA and  
36 histones or transfer of small RNAs complementary to genomic sequences) or  
37 genome-independent (e.g. microbiome transfer). They also vary in their  
38 generational duration, with inheritance spanning one generation to a seemingly  
39 indefinite number.

40 The terms 'intergenerational' and 'transgenerational' are often used to  
41 describe such effects and require clarification. Transgenerational effects refer  
42 exclusively to phenomena that could not be ascribed to direct effects of a  
43 particular trigger on the affected organism. For instance, an environmental  
44 stimulus can directly affect a gestating embryo (and the already-formed oocytes  
45 within a female embryo in mammals<sup>2, 3</sup>). As such, only altered phenotypes  
46 occurring in the second or third generation after a trigger can truly be described  
47 as transgenerational for male and female transmission, respectively. Effects  
48 spanning shorter timescales are described as parental or intergenerational.  
49 Nonetheless, many described intergenerational effects share established  
50 mechanisms with transgenerational ones. Another term that warrants  
51 discussion is epigenetic, whose once broader meanings<sup>4</sup> have narrowed in  
52 recent years, not without objections<sup>5</sup>, to most commonly refer only to genome-  
53 associated mechanisms of non-DNA sequence-based inheritance - chiefly DNA  
54 methylation, histone modifications and inherited RNAs<sup>6</sup>. These specific  
55 'epigenetic mechanisms' underlie some, but not all, characterised examples of

56 intergenerational and transgenerational inheritance.

57 A key difference between DNA sequence-based and other mechanisms  
58 of inheritance is the fidelity of information transfer. Whilst DNA-based  
59 information transfer is extremely high-fidelity, other mechanisms are normally  
60 far less robust. Consequently, the timescales of reliable information transfer by  
61 DNA sequence-based and other mechanisms are usually very different<sup>7</sup>. One  
62 point of confusion concerns two separate distinctions that are often conflated:  
63 firstly, genetic (i.e. DNA-based) vs epigenetic mechanisms of inheritance, and  
64 secondly, environmentally-responsive vs unresponsive phenomena. Inheritance  
65 of environmentally-acquired traits can also be mediated through genetic  
66 inheritance, as occurs in the CRISPR innate immunity system of prokaryotes<sup>8</sup>.  
67 Conversely, stable long-term transcriptional repression is often achieved by an  
68 inherited epigenetic memory, but one that is largely unresponsive to  
69 environment and physiology. It is the question of whether epigenetic  
70 mechanisms can provide a heritable (and potentially adaptive) memory of  
71 ancestral environmental exposure that has proven most controversial<sup>3</sup>.

72 Numerous examples of intergenerational and transgenerational effects in  
73 animals have now been described. Model organisms such as *Caenorhabditis*  
74 *elegans* have emerged as powerful systems in which to study these  
75 phenomena, owing to their short generation times and the ease with which  
76 genomic variation can be controlled. However, before the spectre of Lamarck  
77 rises anew, we would contest that few well-established transgenerational effects  
78 are adaptive, in the sense of preparing future generations for enduring altered  
79 environmental conditions. Indeed, such adaptive changes, conceivable for  
80 rapidly reproducing species such as *C. elegans* with lifecycles that may be short  
81 with respect to environmental fluctuations, would be unlikely for long-lived  
82 animals such as humans. Our aim here will be to give examples of non-DNA  
83 sequence-based inheritance in animals and an overview of how ancestral state  
84 can affect future generations, by which mechanisms this can occur, both  
85 genome-associated and genome-independent, and how the mechanisms  
86 involved change as we look to increasing timescales. Our focus is on  
87 inheritance of acquired information. However, we also discuss some examples  
88 of non-environmentally responsive epigenetic inheritance because they are  
89 often better characterised and, arguably, more important for animal physiology.

90

91 Parental effects

92

93       Examples of parental genotype or environment affecting progeny  
94 phenotype independent of inherited DNA ('parental effects') are numerous.  
95 However, with direct contact between the individuals exposed to a trigger and  
96 their immediate progeny (or their mate), many potential mechanisms can be  
97 involved. To confidently implicate specific mechanisms of inheritance, careful  
98 experimental design and interpretation are required<sup>3</sup>. Particular research effort  
99 has been directed at paternal effects<sup>6</sup>, with the expectation that limiting a male's  
100 interactions with partner and progeny to the act of mating alone will narrow  
101 potential mechanisms down to those transmitted via gametes. Even so,  
102 genome-independent mechanisms may still affect progeny phenotypes (**Figure**  
103 **1**). For example, microbiome transfer from father to mother can rescue the  
104 intergenerational effects of maternal antibiotic use in *Drosophila melanogaster*<sup>9</sup>,  
105 and apparent paternal effects may in fact be cryptic maternal effects, when  
106 paternal condition, such as depression-like states in mice<sup>10</sup>, influences maternal  
107 investment or care.

108       The parental effects of diet and obesity is a well-studied paradigm  
109 (reviewed in <sup>11</sup>), with obvious potential relevance to health given the rise in  
110 obesity rates in Western countries in the past few decades<sup>12</sup>. Intergenerational  
111 effects of parental nutrition have been suggested in humans<sup>13, 14</sup> and  
112 demonstrated in rodents<sup>15-22</sup>, *D. melanogaster*<sup>23</sup> and *C. elegans*<sup>24, 25</sup>. In  
113 mammals, for example, under- or over-nutrition in either parent commonly  
114 impacts offspring glucose metabolism<sup>11</sup>. Counterintuitively, the effects of  
115 maternal and paternal diet are often qualitatively and quantitatively similar<sup>21, 22,</sup>  
116 <sup>26, 27</sup>. However, such effects are often non-monotonic<sup>23, 24</sup> and can be  
117 dependent on the developmental context of parental or grandparental  
118 exposure<sup>13, 15</sup> and on progeny sex<sup>13-15, 17</sup> and diet<sup>23</sup>. For instance, both low- and  
119 high-sugar paternal diets increased offspring adiposity in *D. melanogaster*, but  
120 only when offspring were themselves challenged with a high-sugar diet<sup>23</sup>.

121

122 *Maternal provisioning and metabolism*

123

124 Maternal provisioning to offspring may mediate effects of maternal diet<sup>28</sup>,  
125 <sup>29</sup> or other physiological factors. For example, we recently found that increased  
126 provisioning of a lipoprotein yolk complex to offspring with advancing maternal  
127 age has a major impact on progeny growth rates and starvation resistance in *C.*  
128 *elegans*<sup>30</sup>. Offspring phenotypes may also be affected by provisioning of  
129 specific regulatory products such as mRNAs<sup>31, 32</sup> or essential micronutrients  
130 such as zinc<sup>33</sup>. Physiological alterations in maternally supplied organelles,  
131 particularly mitochondria, could also underlie parental effects of diet, as a  
132 maternal high-fat diet impairs fetal mitochondrial function in mice<sup>21</sup>. Perturbation  
133 of maternal metabolism genetically<sup>34</sup> or by dietary intake of specific metabolites  
134 can influence epigenomic regulation in progeny and even further generations  
135 (reviewed in <sup>35</sup>). For instance, progeny DNA methylation can be influenced by  
136 maternal dietary intake of methyl donors in mice<sup>36</sup> with striking heritable effects  
137 on coat colour. Similar effects have also been suggested in humans, where  
138 seasonal changes in dietary intake of methyl donors around conception in rural  
139 mothers correlate with alterations in DNA methylation in children<sup>37</sup>.

140

#### 141 *Microbiome transfer*

142

143 Non-DNA-based inheritance may also act via transfer of an altered  
144 parental microbiome<sup>9</sup>. Bacterial strains can be inherited maternally in humans<sup>38</sup>,  
145 although the mechanisms- whether by breast milk, birth canal or even placental  
146 transfer - remain unclear<sup>39</sup>. In mice, diet-induced microbiome changes,  
147 specifically a progressive loss of taxonomic diversity due to a Western-style  
148 low-fibre diet, are cumulative over generations and eventually irreversible via  
149 extinction of specific microbiotic subpopulations<sup>40</sup>. This suggests that  
150 multigenerational environmental exposure could cause a stable  
151 transgenerational alteration of progeny physiology via the microbiome.

152

#### 153 *DNA methylation in sperm*

154

155 Methylation of DNA at cytosine residues has been suggested as  
156 mediating parental dietary effects in mammals. Genomic imprinting – the  
157 phenomenon whereby a gene’s expression depends upon whether it is

158 inherited from the male or female germline – is associated with differences in  
159 DNA methylation and demonstrates that DNA methylation states *can* be  
160 transmitted between generations in mammals<sup>41</sup>. The sperm methylome is  
161 reportedly altered by various severe interventions which produce  
162 intergenerational or transgenerational effects, such as *in utero* malnutrition<sup>42, 43</sup>,  
163 early-life overnutrition<sup>44</sup> and diabetes<sup>45</sup> in mice and by obesity in humans<sup>46</sup>.  
164 However, the mechanisms by which sperm methylation could be modified at  
165 specific sites are unclear. Moreover, methylation is largely erased upon  
166 fertilisation<sup>47</sup> and it is not obvious how alterations could affect gene expression  
167 in progeny with high penetrance<sup>11</sup>. It was also reported that sperm methylation  
168 was unaffected by several diets that induce phenotypic effects in progeny<sup>48</sup>.

169 Although cytosine methylation is virtually absent from many organisms  
170 such as *D. melanogaster*<sup>49</sup> and *C. elegans*<sup>50</sup>, it is now apparent that DNA  
171 methylation can also occur at adenosine residues, although the functional  
172 significance of this mark, and whether it carries information across  
173 generations<sup>51</sup>, is unclear<sup>52</sup>.

174

#### 175 *Small noncoding RNAs in sperm*

176

177 Small noncoding RNAs (sncRNAs), particularly tRNA-derived small  
178 RNAs (tsRNAs) and microRNAs (miRNAs), are emerging as possible mediators  
179 of environmental information transmission through sperm in mammals  
180 (reviewed by<sup>53</sup>). Derived from precursor or mature tRNAs, tsRNAs are of  
181 diverse size and biogenesis<sup>54</sup> and have in the last decade been implicated in a  
182 range of cellular processes, including repression of transposable elements<sup>54-56</sup>.  
183 Like miRNAs<sup>57</sup>, tsRNAs can interact with small RNA-binding proteins of the  
184 Argonaute family to induce post-transcriptional gene silencing<sup>54, 58</sup> via sequence  
185 complementarity to the 3'UTRs of target mRNAs<sup>58, 59</sup>.

186 tsRNAs comprise most of the sncRNA pool in mature mammalian sperm  
187<sup>60</sup>, with miRNAs a distant second<sup>55, 61</sup>. Sperm tsRNAs are reportedly altered by  
188 diet<sup>61</sup> or exposure to an endocrine disruptor<sup>62</sup> in rodents and by obesity in  
189 humans<sup>46</sup>, while sperm miRNAs are altered by psychological stress in mice<sup>63, 64</sup>  
190 and men<sup>65</sup>, and by parental genotype<sup>66</sup>, diet<sup>67-69</sup> and environmental  
191 deprivation<sup>70</sup> in mice, all conditions associated with paternally-acquired

192 disorders. Crucially, in several cases zygotic injection of total sperm RNA<sup>64, 66,</sup>  
193 <sup>69, 70</sup>, sncRNA fractions<sup>61, 69</sup> or specific sncRNAs<sup>55, 66, 68, 71</sup> could partially or fully  
194 recapitulate these paternally acquired phenotypes<sup>11</sup>. In mice, inheritance of  
195 sncRNA-mediated phenotypes has been reported to rely on the activity of the  
196 RNA methyltransferase *Dnmt2*<sup>69, 72</sup>, indicating that RNA modifications may  
197 constitute an additional layer of regulation important for transmission of  
198 acquired phenotypes through sperm<sup>61</sup>. In keeping with a role in repressing  
199 transposons, which often use conserved tRNAs as primers for replication<sup>56</sup>, a  
200 specific sperm-borne tsRNA influenced by paternal diet was found to  
201 specifically regulate genes governed by the pluripotency-promoting endogenous  
202 retroviral element MERVL in the mouse zygote<sup>55</sup>. Remarkably, it was shown  
203 that sperm tsRNAs do not originate from sperm tRNAs but rather are acquired  
204 via transfer of extracellular vesicles from the epididymis<sup>55</sup>, offering a tantalising  
205 hint of soma-to-germline transmission of information. Recent results indicate  
206 that sperm miRNAs similarly acquired during epididymal transit could be  
207 essential for embryonic development<sup>73</sup>.

208

### 209 *Histone modifications*

210

211 There is some<sup>21, 23, 25</sup>, but little, evidence for covalent modifications of histones  
212 mediating parental effects. However, histone modifications are certainly  
213 transmitted between generations at some loci in mammals<sup>74</sup>, fish<sup>75</sup> and worms<sup>76</sup>  
214 and they have been implicated in longer-lasting transgenerational phenomena.  
215 It is plausible, therefore, that they could also underlie some parental effects. In  
216 *C. elegans* an epigenetic memory of germline transcription, mediated by  
217 deposition of H3K36me3 on active genes<sup>77, 78</sup> and H3K27me3 on repressed  
218 genes<sup>76</sup>, is passed from each generation to the next and is essential for  
219 germline viability<sup>77, 78</sup>, representing an example of non-environmentally-  
220 responsive epigenetic inheritance that is critical for normal development and  
221 physiology.

222

### 223 Multi-generation epigenetic inheritance

224

225 Documented examples of true transgenerational epigenetic inheritance



226 (TEI) induced by parental genotype, physiology or environment are becoming  
227 increasingly numerous in model invertebrates. In most cases, however, the  
228 effects described have a limited duration, for example typically spanning 3-4  
229 generations in *C. elegans*, before reversion to the baseline phenotype<sup>79-82</sup>.  
230 Characterised mechanisms commonly involve inheritance via gametes of  
231 genome-associated epigenetic information, such as histone modifications or  
232 small RNAs. The likely reasons for the limited lifetime of many transgenerational  
233 effects can be found in the passive and active mechanisms that underlie  
234 changes in small RNA populations and histone modifications from generation to  
235 generation<sup>83</sup>.

236

### 237 *Inheritance of RNAi in C. elegans*

238

239         Although occurring in artificial laboratory conditions, the inheritance of  
240 gene silencing induced by ancestral RNAi interference (RNAi) in *C. elegans* has  
241 provided the most incontrovertible demonstration of TEI and has proven  
242 invaluable in dissecting the mechanisms involved. Worms supplied with  
243 exogenous double-stranded RNA (dsRNA), usually by feeding, employ an  
244 amplification machinery which results in systemic silencing of complementary  
245 genes in almost all tissues, including the germline. dsRNA is processed by  
246 Dicer and accessory proteins to form primary short interfering RNAs (siRNAs).  
247 Primary siRNAs bind to a member of the Argonaute family of small RNA-binding  
248 proteins and guide them to complementary mRNA transcripts. RNA-dependent  
249 RNA polymerases (RdRPs) are then recruited to produce abundant secondary  
250 siRNAs (otherwise known as 22G RNAs for their length and 5' guanosine bias).  
251 RdRP-associated silencing mechanisms are found in diverse taxa, although not  
252 in vertebrates. In turn, these 22G RNAs engage a variety of Argonautes to  
253 destroy complementary mRNAs, inhibit transcription<sup>84</sup> and deposit the  
254 repressive chromatin marks H3K9me3 and H3K27me3 at the target locus<sup>84-86</sup>.

255         Gene silencing induced by dsRNA can be inherited<sup>87, 88</sup>, typically for up  
256 to 3 generations<sup>80</sup> but sometimes as long as 80 generations when selecting for  
257 the resulting phenotype<sup>88</sup>. The nuclear Argonaute *hrde-1* (heritable RNAi  
258 defective) is dispensable for gene silencing in exposed worms but is necessary  
259 for its inheritance in subsequent generations<sup>89</sup>, demonstrating that *C. elegans*

260 possesses cellular machinery dedicated to the information transmission over  
261 generations. The nuclear RNAi pathway, which shuttles 22G RNAs into the  
262 nucleus<sup>90</sup>, is required for the maintenance of inherited silencing in progeny<sup>91</sup>.  
263 The limited typical duration of the silencing response may be due to dilution of  
264 siRNAs over generations<sup>85</sup>. Unlike primary siRNAs, secondary siRNAs rarely  
265 serve as templates for further amplification of the gene silencing response  
266 induced by dsRNA, which is therefore limited<sup>92, 93</sup>. The repressive H3K9me3  
267 and H3K27me3 footprints triggered by secondary siRNAs also persist in the  
268 absence of the dsRNA trigger for at least 2 generations<sup>85, 86</sup>, although H3K9me3  
269 deposition is dispensable for heritable silencing at some loci<sup>94, 95</sup>. Interestingly,  
270 the H3K9 methylase *met-2*, responsible for H3K9me1/2, conversely limits the  
271 generational duration of some dsRNA-induced silencing by altering siRNA  
272 inheritance<sup>96</sup>. Application of additional dsRNA triggers unrelated to the original  
273 target in subsequent generations can extend the duration of inherited silencing,  
274 suggesting that negative feedback by downregulation of the RNAi machinery  
275 may act to limit the duration of a heritable response<sup>97</sup>.

276 Why did *C. elegans* evolve the ability to respond to dsRNA with potent  
277 and systemic targeted silencing? The RNAi machinery is required for some  
278 antiviral responses in *C. elegans*<sup>98-100</sup>, and it has been suggested that  
279 inheritance of parental antiviral small RNAs acts to block the transmission of  
280 virus infection between generations<sup>81, 101</sup>. However, a heritable response was  
281 not observed for the only known natural virus of *C. elegans*<sup>102</sup>.

282

### 283 *Small RNAs and histone modifications in TEI*

284

285 The importance of small RNAs for the inheritance of RNAi-triggered  
286 repression in *C. elegans* underscores mobile RNAs as an attractive candidate  
287 for mediating transgenerational inheritance in multiple species (reviewed in<sup>103</sup>).  
288 dsRNA produced in somatic tissues, including neurons, can be inherited in *C.*  
289 *elegans*<sup>104</sup> and reports indicate transfer of somatic RNAs to gametes in mice<sup>55,</sup>  
290 <sup>73, 105</sup>. The RNAi pathway in *C. elegans* was found to also target endogenous  
291 genes, utilising a similar amplification mechanism as exogenous RNAi<sup>106, 107</sup>.  
292 Indeed, endogenous RNAi is necessary for transgenerationally-inherited gene  
293 regulatory and physiological changes in response to ancestral starvation<sup>108</sup> and

294 heat stress<sup>82</sup>.

295 Histone modifications are important in the inheritance of RNAi in *C.*  
296 *elegans*, and a variety of histone modifications have been implicated in other  
297 cases of transgenerational inheritance, including methylation of H3K4 in mice<sup>109</sup>  
298 and *C. elegans*<sup>51, 79, 110, 111</sup>, H3K9 in *C. elegans*<sup>51, 112-115</sup> and H3K27 in *C.*  
299 *elegans* and *D. melanogaster*<sup>114, 116, 117</sup>. Stress-induced perturbations to histone  
300 modifications may revert slowly over generations<sup>115</sup>, leaving a gradually fading  
301 transgenerational memory. In some cases global levels of histone modifications  
302 remain modified in later generations<sup>115, 116</sup> although in others global levels are  
303 unchanged<sup>25, 79</sup>, implying differential regulation of specific loci<sup>118</sup>. In *C. elegans*,  
304 transgenerational expression of longevity phenotypes caused by ancestral  
305 mutations in the conserved COMPASS H3K4 methylases is dependent on the  
306 corresponding demethylase<sup>79</sup>, demonstrating that alterations in the antagonistic  
307 activity of chromatin-modifying enzymes over generations can induce  
308 transgenerational phenotypes<sup>51</sup>.

309

310 *TEI to pre-adapt progeny to environmental conditions*

311

312 Despite the increasing popularity of research into TEI, the evidence for  
313 adaptive, environmentally-responsive transgenerational inheritance, whereby  
314 ancestral experience equips progeny to better withstand environmental  
315 challenges, remains scant. At the time of writing most documented cases of  
316 inheritance of environmental experience occur in artificial contexts<sup>110, 115</sup>, even  
317 when those experiments attempt to mimic naturally occurring challenges<sup>81</sup>, and  
318 the relationship of ancestral environment to alterations in progeny gene  
319 regulation or physiology in terms of fitness is often far from clear<sup>81, 82, 108, 116</sup>.  
320 Nonetheless, a few reports suggest the possibility of adaptive TEI. A recent  
321 study reports that exposure of *C. elegans* to a heavy metals leads to increased  
322 resistance to the same stresses in future generations, what the authors call  
323 transgenerational hormesis<sup>111</sup>. Likewise, ancestral starvation in *C. elegans*  
324 induces transgenerational resistance to starvation, by unknown mechanisms<sup>119,</sup>  
325 <sup>120</sup>. Despite most described TEI effects occurring in *C. elegans*, the most  
326 striking case of potentially adaptive TEI involving soma-to-germline  
327 communication is found in mice, where a conditioned fear response to a specific

328 odour in male mice can be inherited for two generations<sup>121</sup>. In this case, the  
329 effect was associated with enlargement of neuroanatomical structures in  
330 progeny, and with differential methylation of the locus encoding the  
331 corresponding odour receptor in the sperm of exposed males (though not their  
332 sons). Still, at present it seems that adaptive, environmentally-responsive TEI, if  
333 it exists, is the exception rather than the rule. Nonetheless, it is clear that  
334 epigenetic mechanisms can transfer information about ancestral state between  
335 generations, and although the extent of this transfer is typically limited to a few  
336 generations, some specific cases – arising from a loss of gene repression – can  
337 lead to longer-lasting memories.

338

### 339 Long-lasting TEI

340

341         Despite the meagre evidence for adaptive memory of environmental  
342 conditions, there undoubtedly exists an adaptive transgenerational memory that  
343 serves to distinguish ‘self’ genetic elements from that of potentially harmful,  
344 ‘foreign’ sequences. In many species repetitive genomic regions such as  
345 transposons, are constitutively repressed by heterochromatin. Rather than  
346 becoming re-established *de novo* each generation, it appears that the  
347 heterochromatic state of repetitive regions is often inherited. Environmental  
348 insults disrupting this repression can lead to a quantitative modulation of  
349 expression from heterochromatic regions that takes many generations to  
350 restore.

351         For example, growth at elevated temperature<sup>115</sup> or impaired DNA  
352 replication during embryogenesis<sup>122</sup> can result in a loss of repression of  
353 heterochromatic transgene arrays in *C. elegans* that can take more than 10  
354 generations to fully re-establish (**Figure 1b**). Importantly, expression of a subset  
355 of endogenous repetitive elements repressed by H3K9me3 also heritably  
356 increased at elevated temperature, albeit for fewer generations<sup>115</sup>. Heat can  
357 also derepress pericentromeric heterochromatin in *D. melanogaster*<sup>123</sup>, leading  
358 to a long transgenerational epigenetic memory of ancestral environment. In both  
359 *C. elegans* and *D. melanogaster*, multiple generations of heat exposure and  
360 consequent de-repression were required to maximise the generational duration  
361 of the resulting memory<sup>115, 123</sup>. These results are consistent with the gradual

362 restoration of heterochromatic regions perturbed by stress, the ‘healing’ of an  
363 ‘epigenetic wound’<sup>83</sup>. This memory may therefore result from a limited capacity  
364 to restore disturbed heterochromatin within a single generation, although it is  
365 unclear why this would be so. It is also not clear whether this potential for long-  
366 term memory of environmental information has ever been co-opted for an  
367 adaptive purpose.

368

### 369 *The mortal germline of C. elegans*

370

371 A reciprocal phenomenon to this slow recovery following chromatin  
372 perturbation is found in the mortal germline (Mrt) phenotypes of *C. elegans*  
373 mutants (and some naturally-occurring strains<sup>124</sup>), which display a progressive  
374 reduction in fertility, often temperature-sensitive, that accumulates over  
375 generations and ultimately results in sterility (**Figure 1c**). While Mrt phenotypes  
376 of some mutations result from genetic changes such as telomere loss<sup>125, 126</sup>,  
377 many genes with a mutant Mrt phenotype are involved in histone  
378 modifications<sup>51, 89, 96, 127-130</sup> or small RNA pathways<sup>89, 129, 131, 132</sup> and the  
379 phenotype can be rapidly reverted by returning animals to the permissive  
380 temperature<sup>118, 124, 129</sup>, altering diet<sup>133</sup>, re-introducing functional gene copies<sup>127</sup>  
381 or introducing downstream mutations<sup>96</sup>, demonstrating that these  
382 transgenerational phenotypes are epigenetic in nature. Interestingly, a recent  
383 study found that the Mrt phenotype of *C. elegans* Piwi mutants results not from  
384 a profound loss of germline totipotency but rather from the aberrant (and  
385 reversible) induction of reproductive quiescence, normally induced under stress,  
386 as a consequence of transcriptional dysregulation in the germline<sup>133</sup>. If this  
387 finding is generally applicable it suggests why the reversion of accumulated Mrt  
388 phenotypes can be achieved so rapidly.

389

### 390 Stable TEI: enjoy the silence

391

### 392 *Small-RNA-triggered stable silencing*

393

394 The inherited repression of transposons and foreign DNA is essential for  
395 maintaining the fitness of a lineage. How are these elements recognised and

396 silenced? Single-copy germline-expressed GFP transgenes in *C. elegans*, a  
397 clear example of 'foreign' DNA, can undergo spontaneous silencing, resulting in  
398 fully penetrant, stably inherited silencing for more than 20 generations with no  
399 evidence of reversion<sup>112, 113, 134, 135</sup>. This indefinite silencing is triggered by  
400 endogenous small RNAs called piRNAs and so was christened RNAe (RNA-  
401 induced epigenetic silencing). piRNAs are sncRNAs expressed from genomic  
402 clusters ranging from tens to thousands of individual piRNA sequences<sup>136</sup>.  
403 Although their length and biochemical characteristics vary across species,  
404 piRNAs interact with widely conserved Piwi proteins, part of the Argonaute  
405 family, to effect silencing (reviewed in<sup>137</sup>). Broadly, genomically-encoded  
406 primary piRNAs guide Piwi proteins to complementary transcripts and initiate  
407 amplification of secondary small RNAs, resulting in gene silencing. In zebrafish,  
408 mice and *D. melanogaster*, the destruction of transposon mRNA guided by Piwi-  
409 bound primary piRNAs can be coupled to the production of secondary piRNAs  
410 from the targeted transcript, leading to a feed-forward amplification response  
411 christened the Ping-Pong cycle<sup>137</sup>. In *C. elegans*, transcript targeting by piRNAs  
412 instead leads to the RdRP-catalysed production of 22G RNAs, which effect  
413 heritable silencing through the nuclear RNAi pathway in conjunction with *hrde*-  
414 <sup>112, 113, 134, 135</sup>, a machinery shared with heritable dsRNA-induced silencing.  
415 piRNA-mediated silencing not only represses transposons but also targets  
416 many endogenous transcripts, which can potentially be subject to  
417 transgenerational epigenetic memory<sup>82</sup>.  
418 Recent work in *C. elegans* has elucidated how primary piRNAs provide  
419 surveillance over germline transcription<sup>138-141</sup>. While piRNAs in mammals and *D.*  
420 *melanogaster* exhibit near-perfect complementary base pairing with targets<sup>137</sup>,  
421 *C. elegans* piRNAs, like miRNAs<sup>57</sup>, tolerate significant mismatches outside of a  
422 5' seed region<sup>141</sup>. In this way, thousands of piRNAs can engage the entire  
423 germline mRNA transcriptome<sup>138</sup>. How do the genes necessary for germline  
424 function escape this promiscuous silencing? In *C. elegans*, recognition of 'self'  
425 has been associated with at least three potential mechanisms. Periodic  
426 sequence elements called PATCs, largely intronic, are associated with  
427 germline-expressed genes<sup>142</sup> and protect foreign sequences from becoming  
428 silenced via an unknown mechanism<sup>141, 143</sup>. Another mechanism may involve  
429 as-yet-uncharacterised features intrinsic to the coding sequence which prevent

430 silencing<sup>139</sup>. A third mechanism is associated with the Argonaute CSR-1, whose  
431 bound 22G RNAs display complementarity to almost all germline-expressed  
432 genes<sup>144</sup> and which has been proposed to license gene expression<sup>145, 146</sup> by  
433 protecting mRNAs from piRNA targeting and subsequent siRNA generation<sup>138</sup>.  
434 Interestingly, both CSR-1 and the *C. elegans* Piwi orthologue PRG-1, along with  
435 newly discovered proteins that seem to have a role in transgenerational  
436 epigenetic inheritance<sup>147, 148</sup>, reside in perinuclear phase-separated liquid-like  
437 granules<sup>144, 149</sup> with a defined spatial organisation<sup>147</sup>, suggesting that the  
438 temporal order of transit through this system of granules of mRNAs exiting the  
439 nucleus may be important for RNA-directed silencing and licensing  
440 mechanisms<sup>147, 148</sup>. However, this hypothesis awaits experimental verification.

441

#### 442 *Mechanisms of stable silencing*

443

444 In *C. elegans*, once silencing has been initiated by piRNAs, target  
445 sequences can remain stably repressed for many generations even in the  
446 absence of the triggering piRNA-Piwi complex<sup>112, 113, 150</sup>, although in some  
447 cases Piwi may still act to maintain silencing<sup>139</sup>. The maternal transmission of  
448 tertiary 22G RNAs, downstream of secondary 22G RNAs and the germline  
449 nuclear RNAi pathway including *hrde-1*, is sufficient for inherited piRNA-initiated  
450 silencing, indicating that a feed-forward amplification loop maintains high levels  
451 of siRNAs in the absence of both the trigger and the initially silenced locus<sup>93</sup>.  
452 Mutually reinforcing feedback between small RNAi pathways and repressive  
453 chromatin, such as those demonstrated in *Schizosaccharomyces pombe* and  
454 *Arabidopsis thaliana* (reviewed in<sup>151</sup>), would explain the extraordinary stability  
455 of this silencing<sup>83</sup>. An analogous mechanism has been proposed in *D.*  
456 *melanogaster* (reviewed in<sup>152</sup>), although to date such a feedback has not been  
457 convincingly demonstrated in animals. Nonetheless, it is clear that stable gene  
458 silencing generally involves multiple epigenetic pathways. In *C. elegans*, the  
459 multigenerational stability of piRNA-initiated silencing requires both the RNAi  
460 pathway and chromatin modifiers, especially H3K9 methyltransferases<sup>113, 135</sup>.  
461 Secondary piRNAs also guide DNA methylation at the targeted locus in mice<sup>153</sup>,  
462<sup>154</sup> and the formation of heterochromatin at the targeted locus in *D.*  
463 *melanogaster*<sup>155-158</sup> (Figure 2).

464

465 Conclusions and outlook

466

467 Non-DNA sequence-based inheritance of information occurs in multiple animals  
468 and is important for development and physiology. One of the main purposes of  
469 epigenetic inheritance is the perpetuation of repression of repetitive elements.  
470 However, it may also serve to transmit information about particular gene  
471 expression programs, e.g. the germline program in *C. elegans*. What is more  
472 controversial is the extent to which transmitted epigenetic information is  
473 modulated by the environment and physiology, and whether this is ever  
474 adaptive.

475 We have shown that non-DNA sequence-based inheritance of acquired  
476 information can occur over different timescales, with the set of mechanisms  
477 changing and narrowing as we look to further generations. Parental effects over  
478 a single generation can act via many mechanisms and can have large  
479 phenotypic consequences. However, there is still little evidence for  
480 physiologically consequential multi-generation memory of environmental  
481 change, even though the potential for longer-lasting memories has now been  
482 repeatedly demonstrated and the underlying mechanisms dissected. Epigenetic  
483 inheritance of transcriptional repression can, for example, sometimes be  
484 perturbed by environmental insults, with a gradual restoration over generations  
485 of perturbed repression leading to a transgenerational transfer of information  
486 about ancestral environmental experience. Similarly, on shorter timescales,  
487 inheritance of small RNAs can occur. However, evidence is still lacking for  
488 either of these capacities for information transfer ever being employed to alter  
489 progeny physiology adaptively in the light of ancestral experience. Due to the  
490 long generation time of humans, adaptive epigenetic inheritance seems unlikely  
491 over any generational timescale, although instances of environmental insults  
492 leading to intergenerationally-inherited disorders, as demonstrated in rodents,  
493 could have a medically relevant impact on individual physiology.

494 Regardless of the species, parental experiences are more likely to predict  
495 environmental conditions than those of more distant ancestors. As such,  
496 adaptive effects seem more plausible in the context of intergenerational, rather  
497 than transgenerational, paradigms. The more numerous and often more



498 tractable cases of inheritance over a single generation therefore offer fertile  
499 ground for researchers who wish to probe the mechanisms and adaptive  
500 significance of environmentally-responsive non-DNA sequence-based  
501 inheritance, despite the hype surrounding transgenerational inheritance. For  
502 example, the details of how soma-to-germline information transfer could occur  
503 are still elusive and may be better understood by studying experimentally  
504 tractable intergenerational systems. Indeed, research effort may be better  
505 directed at confirming and expanding the often-scant mechanistic details of  
506 previously described cases of intergenerational and transgenerational  
507 inheritance rather than seeking out novel phenomena. Much work remains to  
508 establish how epigenetic information survives and is propagated between  
509 tissues and across generations, how widespread intergenerational and  
510 transgenerational phenomena are in natural contexts and what the physiological  
511 relevance of naturally-occurring intergenerational and transgenerational  
512 inheritance may be.

513

#### 514 **Conflict of interest statement**

515

516 The authors report no conflict of interest.

517

#### 518 **Figure/Table Legends**

519

520 **Table 1. Examples of intergenerational or transgenerational inheritance**  
521 **over different timescales.** Here we provide illustrative examples of some of  
522 the more compelling and better-characterised reports of inter- and  
523 transgenerational inheritance. These examples are chosen with a view to  
524 providing a diversity of mechanisms and demonstrating which mechanisms are  
525 more typical over different generational timescales. Many other examples are  
526 discussed in the main text.

527 **Figure 1. Mechanisms of transfer of information about ancestral**  
528 **environment or physiology over generations. a)** Many mechanisms of  
529 transmission of information about environmental experience or physiological  
530 state can underlie inheritance over a single generation, from parents to

531 progeny, both genome-associated (e.g. covalent modifications of histones) and  
532 genome-independent (e.g. microbiome transfer). Apparent paternal effects are  
533 not always mediated by gametes but may act via the mother. **b)** Gradual  
534 changes in epigenetic marks might underlie transgenerational memory. A loss  
535 of gene repression caused by an environmental or physiological insult, for  
536 example by perturbation of heterochromatin-mediated transcriptional  
537 repression, can reset gradually over generations, providing a transgenerational  
538 memory of ancestral experience. **c)** Mutations or natural variation in various  
539 epigenetic pathways can lead to mortal germline (Mrt) phenotypes in *C.*  
540 *elegans*, where fertility is lost gradually over generations but can be rapidly  
541 restored by changing conditions. The prevalence of this phenotype in mutants  
542 affecting chromatin modifications and small RNA pathways indicates the  
543 importance of epigenetic pathways in the maintenance of normal development  
544 and physiology.

545 **Figure 2. Small RNA pathways can direct histone/DNA methylation to**  
546 **repress specific loci.** Small RNAs guide proteins of the Argonaute family to  
547 destroy target mRNA transcripts and deposit repressive marks on  
548 corresponding genomic loci. These marks are often heritable and cross-talk  
549 between small RNA and chromatin pathways may be essential for stable gene  
550 silencing.

551

552 **References:**

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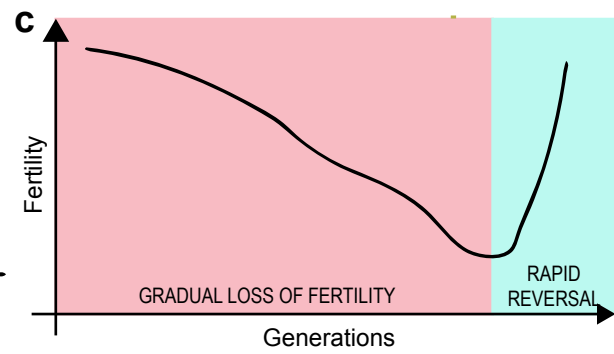
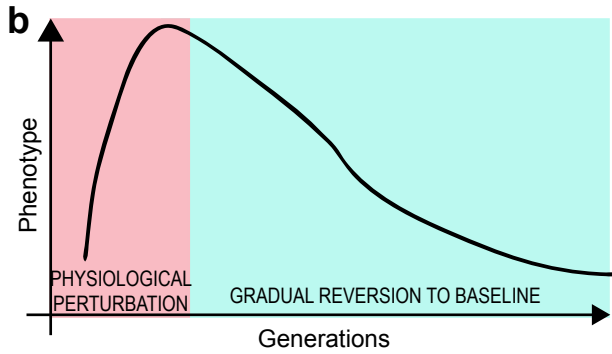
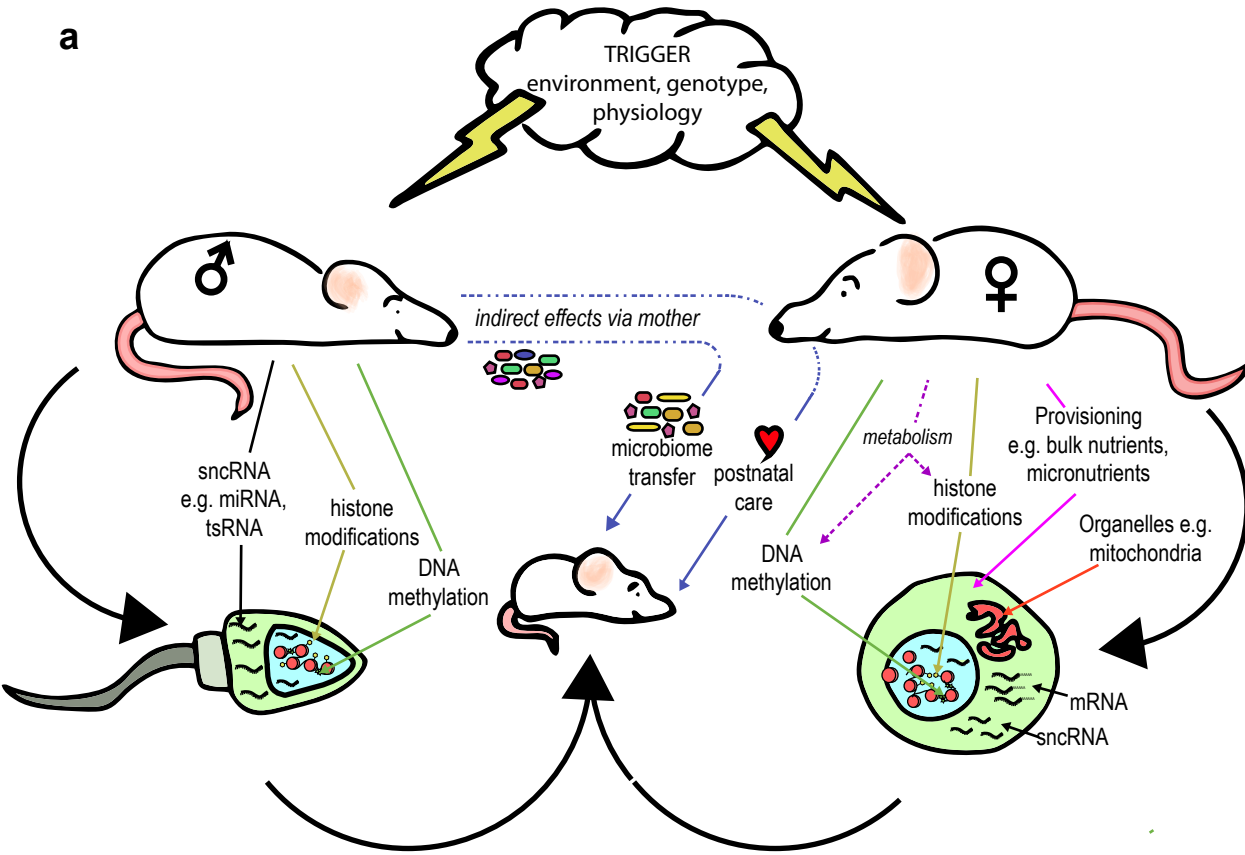
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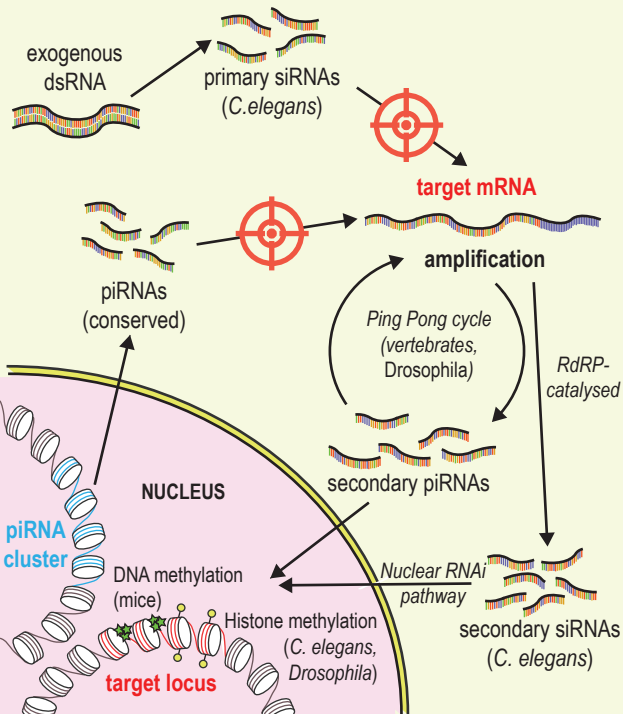


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Duration	Trigger	Species	Effects on progeny	Proposed mechanism of inheritance	Ref
1 generation	Paternal high-sugar diet	<i>D. melanogaster</i>	High triglyceride levels (on high-sugar diet)	Chromatin modifications in sperm (H3K9me3, H3K27me3)	23
1 generation	Young mother	<i>C. elegans</i>	Slow development, reduced resistance to starvation, reduced fecundity	Reduced maternal provisioning of yolk to embryos (for starvation resistance and development)	30
1 generation	Paternal low-protein or high-fat diet	<i>Mus musculus</i> , <i>Rattus norvegicus</i>	Differential gene regulation during embryogenesis, metabolic disorders	Somatic tsRNAs acquired by sperm during epididymal transit	18, 20, 55, 61
1 generation (for developmental phenotype)	Maternal antibiotic exposure	<i>D. melanogaster</i>	Delayed development	Heritable depletion of riboflavin-producing commensal bacteria	9
1-2 generations	Ancestral high glucose diet	<i>C. elegans</i>	Reduced fecundity, resistance to oxidative stress	COMPASS H3K4 methylases required for inheritance of stress resistance	25
2 generations	Maternal dietary supplementation with methyl donors	<i>M. musculus</i>	Alterations in coat colour	Increased DNA methylation at the agouti locus caused by retrotransposon insertion	36
2 generations	Undernourishment during pregnancy	<i>M. musculus</i>	Metabolic alterations	Hypomethylation of specific loci in F1 males	17, 43
2 generations	Paternal odour-conditioned fear response	<i>M. musculus</i>	Inherited fear response to specific odour	Neuroanatomical changes in progeny, locus-specific hypomethylation in sperm	121
2-3 generations	Exposure to various mild stresses	<i>C. elegans</i>	Increased stress resistance and proteostasis	Somatic insulin signaling, COMPASS H3K4 methylases in germline	111
3 generations	Ancestral mutation in COMPASS H3K4 methyltransferases	<i>C. elegans</i>	Increased longevity	Altered histone methylation, longevity phenotypes due to possible alteration in lipid metabolism	79; 159
3 generations	Overexpression of H3K4 demethylase in sperm	<i>M. musculus</i>	Reduced survival, developmental abnormalities	Alterations in sperm-borne RNA	109
3 generations	Ancestral development at elevated temperature	<i>C. elegans</i>	Alterations in gene expression	Disruption of piRNA-initiated repression of endogenous transcripts by the RNAi pathway	82
Up to 3-4 generations (typically)	RNAi triggered by exogenous dsRNA	<i>C. elegans</i>	Inherited gene repression	Secondary siRNAs; histone methylation	80, 88, 89
3 generations	Ancestral starvation during larval stage in wildtype worms	<i>C. elegans</i>	Alterations in gene expression and plasticity; increased stress resistance and lifespan	Inheritance of siRNAs bound to the nuclear Argonaute HRDE-1 (for expression differences)	108, 119, 120

3 generations	Heat shock during embryogenesis (multiple generations)	<i>D. melanogaster</i>	Alterations in eye colour	Disruption of heterochromatin by phosphorylation of ATF-2	123
3-9 generations	Ancestral starvation during larval stage in AMPK mutants	<i>C. elegans</i>	Reduced fecundity	Abnormal methylation of H3K4 by COMPASS histone methylases	110
14 generations	Growth at elevated temperature (multiple generations)	<i>C. elegans</i>	Increased expression from repetitive transgene array	Loss of H3K9me3-mediated repression	115
Indefinite	Spontaneous transgene silencing in the germline	<i>C. elegans</i>	Stable gene silencing with no reversion	piRNA-targeting induced nuclear RNAi guided by secondary siRNAs; histone methylation	112, 113, 134, 135

**Table 1.**