

Internet of Things Enabled Data Fusion Method for Sleep Healthcare Applications

Fan Yang, Qilu Wu, Xiping Hu, Jiancong Ye, Yuting Yang, Haocong Rao, Rong Ma, and Bin Hu

Abstract—The Internet of Medical Things (IoMT) aims to exploit the Internet of Things (IoT) techniques to provide better medical treatment scheme for patients with smart, automatic, timely, and emotion-aware clinical services. One of the IoMT instances is applying IoT techniques to sleep-aware smartphones or wearable devices' applications to provide better sleep healthcare services. As we all know, sleep is vital to our daily health. What's more, studies have shown a strong relationship between sleep difficulties and various diseases like COVID-19. Therefore, leveraging IoT techniques to develop a longer lifetime sleep healthcare IoMT system, with a trade-off between data transferring/processing speed and battery energy efficiency, to provide longer time services for bad sleep condition persons, especially the COVID-19 patients or survivors, is a meaningful research topic. In this study, we propose an IoT enabled Sleep Data Fusion Networks (SDFN) module with a star topology Bluetooth network to fuse data of sleep-aware applications. A machine learning model is built to detect sleep events through an audio signal. We design two data reprocessing mechanisms running on our IoT devices to alleviate the data jam problem and save the IoT devices' battery energy. Experiments manifest that the presented module and mechanisms can save the energy of the system and alleviate the data jam problem of the device.

Index Terms—Sleep Healthcare, Bluetooth, Sleep-aware Mobile Application, Data Fusion, COVID-19, Internet of Medical Things (IoMT).

I. INTRODUCTION

The quality of people's life is increasingly improved and the demand for medical resources is increasing day by day, which stand out the prominent disadvantages of the traditional medical pattern. At present, the main contradiction of traditional pattern focuses on the following aspects: intensive medical resources, escalating conflicts between doctors and patients, unequal distribution of medical resources, etc. The Internet

of Medical Things (IoMT) emerges with the constant renewal and the development of a large number of portable sensors and integrated circuit processing units [1]. It provides a new way for the healthcare industry and eases the problems, such as the intensive medical resources and unequal distribution of medical resources. Besides, the IoMT dramatically reduces the impact of artificial errors and medical errors on patients, according to a study at Johns Hopkins University [2]. Therefore, the research on IoMT is rather significant.

Defined as S. Vishnu et al [3], IoMT platform is an intelligent system, mainly obtaining the biomedical signal's sensor and the electronic circuits of patients, dealing with the processing units of biomedical signal, and storing the units through the network devices which transfer the biochemical data through the Internet. It is also convenient for doctors to make decisions according to the specific conditions of patients as an artificially intelligent visualization platform. Following are its four main applications:

- **Diagnosis:** The IoMT devices track a growing number of physical indicators that can indicate some medical conditions such as diabetes and atrial fibrillation, etc. Besides, it can be used to detect early signs of diseases or activities to discover possible diseases in time [4].

- **Convalescence:** Postoperative recovery time is an important part of the operation cost, while minimizing the operation time is an important factor to reduce the cost. The sensor can track various key indicators and remind the nursing staff to react timely. Combining with the remote medical system makes it easier to accelerate the recovery [5].

- **Long-term nurse:** With the development of blood pressure, glucose levels, sweat and even tear analysis, sensors that track body parameters are becoming more and more sophisticated. Therefore, in the process of the chronic nurse, adverse outcomes and prolonged recovery period can be avoided by ideally applying the measurement and monitor for Internet of Things (IoT) devices to it [6].

- **Precaution:** Let patients take the initiative to use IoMT equipment when participating in guided exercises, to avoid physical health problems caused by bad habits [7].

To sum up, IoMT is a product that combines the IoT and healthcare field [8]. It can be used to track key medical parameters such as blood chemistry, blood pressure, brain activity, and pain levels, etc. It can also help detect the early signs of some diseases or activities to further improve the body conditions [9].

According to the definition of health from the World Health

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Organization (WHO), owning a good sleep quality is one of the most important signs of a healthy body. The total sleep time accounts for about a third of one's life, so high quality sleep is very important to maintain one's best health condition. Another survey from the WHO said, 27% people in the world have sleep problems. So it is necessary to study how to monitor and evaluate the sleep quality and improve sleep problems.

COVID-19 disease [10], whose virus name is Severe Respiratory Syndrome Coronavirus 2 (SARS-COV-2), is now ravaging greatly. Relevant studies have shown that one of the common sequelae of COVID-19 is the sleep difficulties [11], [12].

Many non-invasive sleep monitoring devices equipped with sleep-aware applications, such as smartphones or wearable devices, are beginning to emerge. These non-invasive sleep monitoring devices may provide possible help for COVID-19 sequelae patients with potential sleep problems in the future.

Sleep-aware applications like EAST [13] and Smart Alarm [14] use the accelerometer sensor and audio recorder in the smartphone to sample the acceleration and voice data of sleep users in a bedroom.

Sampled data will be sent to remote servers via IEEE 802.11 WLAN [15], for further analysis and services providing. However, Wi-Fi's working nominal range is about 100 meters [16], which is unnecessary since the smartphones are in the same bedroom. In contrast, IEEE 802.15 [17], that is Bluetooth, has 10 meters working nominal range [16] and is more battery energy efficient [18] than Wi-Fi [16] in our situation. What's more, wireless devices like smartphones or wearable devices bear the battery energy exhaustion problem in multiple situations. Therefore these devices can hardly afford the high battery energy cost of most sleep-aware applications.

To address the above problems, we propose an IoT enabled Sleep Data Fusion Networks (SDFN) module with a star topology Bluetooth network to fuse data of sleep-aware applications based on our devised application protocol. In the star topology network, a center Bluetooth IoT device fuses sleep data generated from every IoT device node in a room. The center will send the fused data to the remote server through Ethernet cable. We design two data preprocessing mechanisms, SPL-Based Audio Data Reducing Mechanism and Signal-Power-Based Audio Data Selection Mechanism, running on our IoT devices to alleviate the data jam problem and save the IoT devices' battery energy. Proposed methods and mechanisms can help to save the energy of sleep monitoring devices, therefore it can provide longer sleep healthcare services for people with sleep problems especially the COVID-19 patients or survivors. Our six contributions are summarized as follow:

- We propose a new model called Data Fusion Enabled Multi-modal Sleep-Data Analysis System (DF-MSAS). Basing on iSmile platform, this new model can analyse sleep data and provide sleep services in a more battery energy efficient way.
- A new module called Sleep Data Fusion Networks (SDFN) is designed to replace the original Wi-Fi wireless communication way with Bluetooth way for battery energy efficiency.
- We build a machine learning model, called SleepDetCNN, to detect the sleep event like snoring and coughing through the

audio data. This model runs on the center and provides higher-level information that serves our proposed data preprocessing mechanism.

- Although the Sleep Data Fusion Networks (SDFN) module can save node' energy, this approach may reduce the data transfer speed which may cause serious data jam. In order to deal with this problem, we design a SPL-Based Audio Data Reducing Mechanism.

- Internet network condition is hard to control. A bad network condition may cause data jam at the star center device. Therefore, we design a Signal-Power-Based Audio Data Selection Mechanism to solve this problem, releasing the center device's data uploading burden.

- In the experiment part, we study the relation between Received Signal Strength Indication ($RSSI$) and Energy Consuming Speed and find the region of $RSSI$ in which the Bluetooth one is more battery energy efficient than the Wi-Fi one. What's more, we exhibit our proposed data preprocessing mechanisms' effects on devices' energy saving and data jam alleviating.

The remained parts of this paper are organized as follows. Section II concludes related research studies about IoMT, sleep healthcare, and COVID-19. Section III proposes our new system model DF-MSAS as well as our new module SDFN and describes their primary functions. Section IV describes several techniques and algorithms to implement our model. Section V describes our sleep event detection model's architecture. Section VI proposes several data preprocessing mechanisms to alleviate the data jam problem and further improve the devices' battery energy efficiency. Section VII conducts experiments to show that our model is more battery energy efficient than the original one and exhibit 2 mechanisms' effects on our model. Finally, experiment results are concluded and analysed in section VIII.

II. RELATED WORK

IoMT has experienced several stages. Initially, IoMT is the information management system to meet daily operation of medical institutions. Then IoMT extends to the medical management and monitoring field [19], such as combining the bar code technology with the medical management system, realizing the medical equipment's dynamic management, further optimizing the scientific allocation of medical resources [20]. The problems of equipment maintenance caused by the traditional monitoring system also can be avoided by IoMT. Applying IoMT technology and developing the lightweight, ultra-low energy consumption sensor equipment alleviate the discomfort to patients caused by traditional wearable monitoring equipment and avoids battery replacement and charging problems from happening.

A. Internet of Medical Things

1) *Medical Cloud Architecture*: In recent years, the feasibility of the combination of the cloud computing platform and intelligent medical system has been widely discussed [21]. Medical cloud architecture initially formed, and centralized

medical cloud storage has much more mature solutions. However, medical imaging resources storage systems still rely on local data center, and investment in a complex local platform will significantly increase medical care costs [22]. To solve these limitations, the medical cloud platform is born. But at present, most of the cloud platforms are unit architectures. When the platform encounters a resource bottleneck, medical institutions can only build a professional cloud platform or use online storage services to store medical data. However, these methods not only fail to effectively solve the problem of efficient storage, but also create other security problems. Therefore, Cao et al. [23] proposed an extensible multi-stream storage architecture based on the medical cloud and designed a system called Tri-SFRS to improve the traditional IoMT architecture. The system can adapt to different storage environments and different access modes of medical data. It provides the fault recovery service of medical storage resources with the minimum communication costs and monitors medical resources changes in real-time. At the same time, it is of higher security and stability and reduces the processing delay of the resource request.

2) *IoMT Security System*: Improving the IoMT system's security is an important research topic. Every IoMT system's inspector faces various risks, from the theft of private data to life-threatening security vulnerabilities [24]. Therefore, it is very important to identify and solve these security problems in time. At present, there is still a lack of architecture analysis in IoT planning research. Therefore, Julia Rauscher et al. [25] developed a security analysis approach to identify security vulnerabilities in the IoMT architecture, which consists of a standardized meta model and an IoT security framework. This security analysis approach bridges the gaps between the relevant sensors and IoT, further improving the security of IoMT security.

3) *Specific applications of IoMT*: This section summarizes the application of IoMT on vocal cord diseases and sleep problems.

- **Vocal Cord Diseases:**

According to a survey, the prevalence of vocal cord disease among teachers in their life time in the United States is 57.7 percent, while other professions which is only 28.8 percent [26]. There are lots of intelligent systems developed to detect vocal cord disease. These systems can only determine whether vocal cord disease exists or not without identifying the type of the disease [27]. Zulfiqar Ali et al. [28] proposed a vocal cord detection medical system based on band-pass filters to simulate the human hearing mechanism. Then deployed the system into the IoT-based smart cities and smart homes to detect and classify various kinds of vocal cord diseases. According to the experimental results, the system is accurate and reliable in assessing vocal cord diseases. The accuracy of classifying the types of vocal cord diseases is higher than 95%.

- **Sleep Healthcare:**

Except for the applications in vocal cord diseases, IoMT technology has many applications in monitoring, evaluating, and improving sleep quality. For example, Yangjie Cao et al. [29] proposed a contactless body movement recognition (CBMR) method to collect the channel state information data

of body movement. CBMR method utilizes two types of IoT devices, which act as the Wi-Fi signal source and receiver's roles, respectively. By sliding a window to segment the channel state information data, and then use Recurrent Neural Network (RNN) [30], [31] to learn the context information of segmented channel state information data. Finally, use Softmax function [32] to classify the types of human body movements that occurred during sleep. This method can effectively reduce the time consuming caused by data preprocessing and manual extraction of features and has an average classifying accuracy of over 93.5% on the complex human movement dataset. Therefore, compared with other traditional methods, it can better identify human movement types during sleep and achieve higher accuracy in evaluating sleep quality.

B. Sleep-aware Applications

There are lots of studies on the sleep pattern in order to better analyse and evaluate the quality of sleep. Based on these researches, various kinds of sleep assisting applications [13], [14], [33], [34] for smartphones were developed. The application iSleep [34] makes use of the smartphone's built-in microphone to record the sound of the user during their sleep. Then non-noise frames' features will be extracted and sent to a lightweight decision-tree-based algorithm to classify the events that are closely related to sleep quality, such as body movement, cough and snore, and infers quantitative measures of sleep quality according to the Pittsburgh Sleep Quality Index (PSQI) based questionnaire [35]. Based on iSmile Platform [33], the application EAST [13] extracts the sound features and classify the corresponding events into cough, snore and sleep talk as iSleep does. What's more, it records the 3D accelerator data of the user by accelerometer sensor. After noise elimination and sleep features extraction, a multivariate neural random forest model is used to predict the valence-arousal value [36] of the user for sleep tips recommendation. In the Smart Alarm application [14], a k-NN based method is designed to receive a vector of user context model (UCM) as input and predict the corresponding arousal-valence values. According to the predicted arousal-valence values of UCM, the closet alarm sound in the arousal-valence plane is regard as the recommended one.

III. SYSTEM MODEL

A. Data Fusion Enabled Multi-modal Sleep-Data Analysis System

Our works base on iSmile Platform [33] and add a new module called SDFN obtaining a new model called DF-MSAS whose framework is shown in the Fig.1. The DF-MSAS makes use of built-in audio recorder and accelerometer sensor of the smartphone to sample users' sleep data then sleep data will be sent to the remote server directly from sampling smartphones for further function services. The main three functions of this application are sleep tips recommendation, smart alarm recommendation and sleep quality scoring. We now explain how we model, process and analyse raw sleep data to enable these functions.

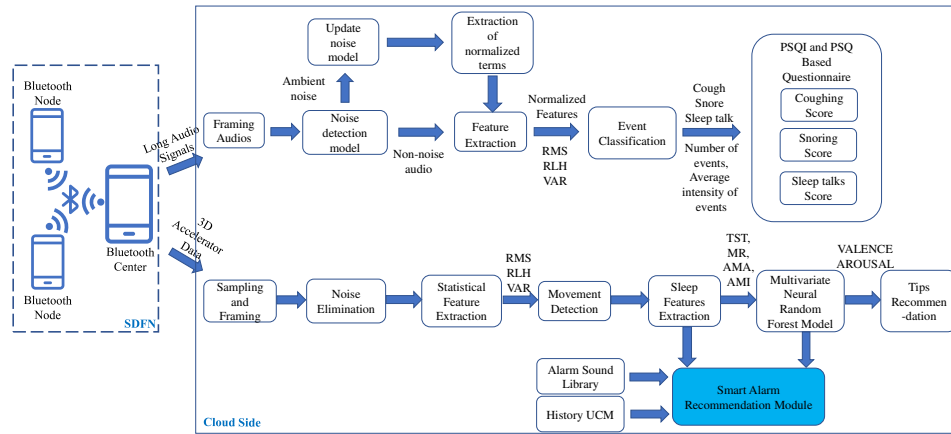


Fig. 1. DF-MSAS's framework.

1) *Mood Prediction and Tips Recommendation*: The accelerometer sensor in the smartphone samples data in 3 directions x, y, z of Cartesian coordinates for detecting tiny vibration of bed during users' sleep time. The whole night acceleration data will be sent to remote server for moods prediction and tips recommendation. Data will be firstly segmented into frames for sleep features extraction. Then the algorithm will separate the movement events from the noise according to the noise threshold. After that, the algorithm will extract the statistical features of acceleration data like root mean square (rms), variance (var), and mean (avg) and send these features to a lower-pass filter to detect the movement events. The algorithm computes sleep features of movement events like total sleep time (TST), movement rate (MR), average movement amplitude (AMA), and average movement interval (AMI) which can be used to measure the quality of sleep through the night. A multivariate neural random forest model which takes sleep features as inputs is built to predict the moods in the form of arousal and valence coordinates of the arousal-valence model. Finally, we generate suggestion tips for users to have a better sleep quality according to sleep features and moods.

2) *Alarm Recommendation*: Fig.2 shows an overview of the smart alarm sound recommendation system. We now briefly describe the alarms recommendation approach used in the DF-MSAS. Every alarm sound is represented in a 6-dimensional feature space with a well-defined similarity function defined by formula 1. The components of this feature space are zero-crossing rate (ZCR), tonal type (TT), tempo (TP), low energy rate (LER), spectral centroid (SC) and unit power (UP) which can well describe the properties of the alarm sounds [37]. Therefore every sound can be represented as a vector $AFV = (ZCR, TT, TP, LER, SC, UP)$.

$$\begin{aligned} \text{sim}(AFV_i, AFV_j) = & 1 - \frac{|TT_i - TT_j|}{6 \max(TT_i, TT_j)} - \frac{|TP_i - TP_j|}{6 \max(TP_i, TP_j)} \\ & - \frac{|ZCR_i - ZCR_j|}{6 \max(ZCR_i, ZCR_j)} - \frac{|LER_i - LER_j|}{6 \max(LER_i, LER_j)} \\ & - \frac{|SC_i - SC_j|}{6 \max(SC_i, SC_j)} - \frac{|UP_i - UP_j|}{6 \max(UP_i, UP_j)} \end{aligned} \quad (1)$$

We first manually map four alarm sounds to the Arousal-Valence model space by assign arousal-valence values, then the algorithm will map other alarm sounds in the alarm sound library according to the similarity of the feature space among all the already mapped alarm sounds.

We define the User context model (UCM), which combines Feature Vector (FV), Context Vector (CV) and Social Vector (SV) to represent different users in different situations. In our situation, the Feature Vector is set to be the sleep features of users. The Context vector contains users' emotional states that are the arousal-valence values, and the realtime weather. And the Social vector mainly considers the users' social information like Age, Occupation and Academic Degree.

$$\begin{aligned} \text{sim}(UCM_i, UCM_j) = & W_f \times \text{sim}(FV_i, FV_j) + W_c \times \text{sim}(CV_i, CV_j) + \\ & W_s \times \text{sim}(SV_i, SV_j) \end{aligned} \quad (2)$$

$$\begin{aligned} \text{sim}(FV_i, FV_j) = & 1 - \sqrt{\sum_s (FV_{i_s} - FV_{j_s})(FV_{i_s} - FV_{j_s})} \end{aligned} \quad (3)$$

$$\begin{aligned} \text{sim}(CV_i, CV_j) = & 1 - \sqrt{\sum_s (CV_{i_s} - CV_{j_s})(CV_{i_s} - CV_{j_s})} \end{aligned} \quad (4)$$

$$\begin{aligned} \text{sim}(SV_i, SV_j) = & W_{age} \times \left(1 - \frac{|age_i - age_j|}{\max(age_i, age_j)}\right) \\ & + W_{degree} \times \left(1 - \frac{|degree_i - degree_j|}{\max(degree_i, degree_j)}\right) \\ & + W_{nationality} \times \text{sim}(Nationality_i, Nationality_j) \\ & + W_{gender} \times (1 - (gender_i \oplus gender_j)) \\ & + W_{occupation} \times \text{sim}(Occupation_i, Occupation_j) \end{aligned} \quad (5)$$

The similarity function between 2 UCMS are described by formula 2-5 where W_{age} , W_{degree} , $W_{nationality}$, W_{gender} and $W_{occupation}$ can be defined by surveys or initial experiments for different situations. The only constraint here is $W_{age} + W_{degree} + W_{nationality} + W_{gender} + W_{occupation} = 1$.

Now we can compute every UCM's corresponding arousal-valence values according to the predicted alarm sounds' arousal-valence values of top k similar users' history UCM. In other words, we map every UCM to the Arousal-Valence model space according to the history of UCM.

During the alarm sound prediction phase, the algorithm regards the alarm sound with the closest arousal-valence values to the current user's UCM's arousal-valence values as the predict recommendation result for the user.

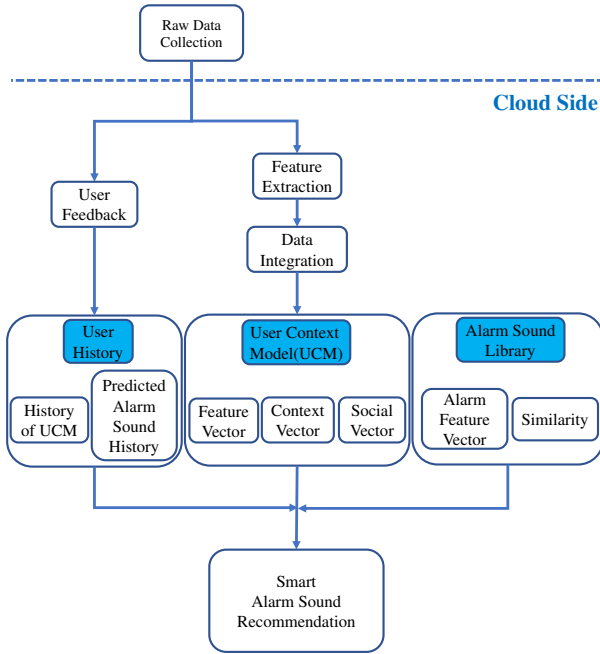


Fig. 2. Smart Alarm sound recommendation module.

3) *Sleep Audio Scoring*: This function takes sleep audio as input and detects as well as scores the sleep talks, snoring and coughing during night time. Same as the process of dealing with the accelerator data, we first frame data and separate events from noise then extract events' features to predict its classification. Finally, the algorithm counts each kind of event's appear times as well as computes their intensity to score each event type and gives the total score of sleep basing the PSQI.

B. Sleep Data Fusion Networks's Framework

As mentioned in section III-A, after sampling the sleep data, the smartphone will directly send the data to the remote server through the Wi-Fi. However, compared to the Wi-Fi, Bluetooth is much more battery energy efficient. In order to improve the life time of every sampling smartphone, we first use a Bluetooth device center, which is connected to the power supply, to fuse the sleep data sampled by every sampling smartphone, then this device center will send fused data to the remote server. Our modification part in the iSmile framework, that is SDFN, is shown in Fig.1. The abridged general view of devices layout is shown in Fig.3, nodes in a star topology network are placed in the same bedroom for sampling sleep data of users via sleep application. The center

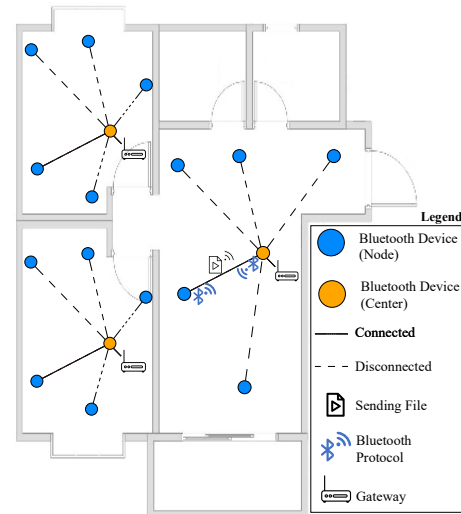


Fig. 3. Topology of Bluetooth network.

actively connects to every node one by one through Bluetooth. Once build connection, the node will send sampled data to

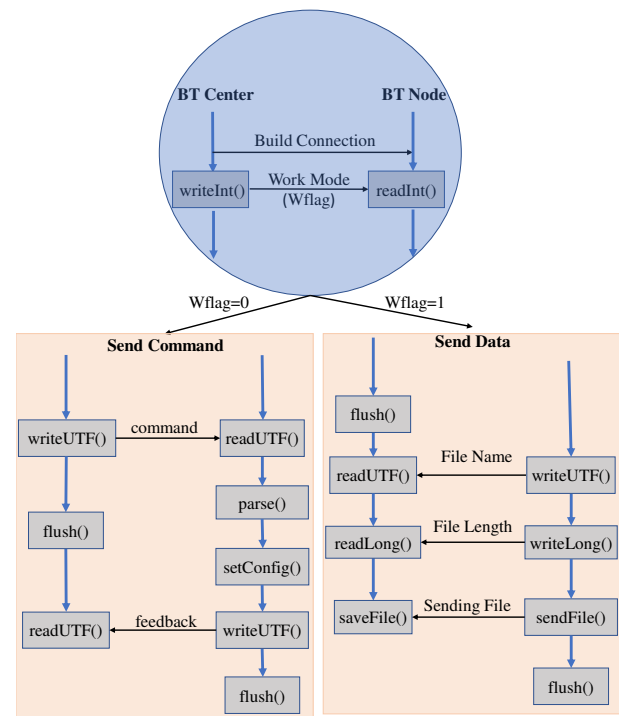


Fig. 4. Application layer protocol.

center or receive commands for setting configures as well as operating node basing on the protocol shown in Fig.4. If $wflag = 1$, the center calls readUTF() function which blocks the center thread until node calls function writeUTF() to send filename string to center. Same as how center obtains filename string, center blocks until it has received file length. Then the center receives the file itself and saves the file to disk according to filename string. Finally, node calls flush() to write the data to center from buffer. Shown as Fig.3, the center connects to Internet through cable linking to gateway.

Fused data in the center will be sent to the remote server via the Http protocol. If $wflag = 0$, the center will send the command string to the node using writeUTF(), and the node will parse the command string and set configures subsequently. After setting configures, the node will call writeUTF() to send the feedback string to the center.

IV. DATA FUSION APPROACH

A. Bluetooth Center

This section introduces the center's algorithms. As Algorithm 1 shows, center verifies every Bluetooth device in Device List L , which is obtained by Bluetooth Discovery Android API, whether it is target device or not by Algorithm 2. If it is the target device, then connect this node and asks for data or sends command to node for setting configures as well as operating node basing on the protocol in III. In the protocol, there is a $wflag$ to differentiate between the data sending mode and command sending mode. The $wflag$ is set by another GUI thread. When finish scanning L , the center will sleep T seconds before the next turn.

Algorithm 1 Data Fusing

Input: Bluetooth Devices List L
 Device token string S
 Fusing time interval T seconds

- 1: **loop**
- 2: $tmpFlag = wflag$
- 3: $wflag = 1$
- 4: **for** node **in** L **do**
- 5: **if** TargetDev($S, node.name$) **then** {Only consider target device.}
- 6: $node.connect()$ {Connect Bluetooth device.}
- 7: **while not** $node.isConnected()$ **do**
- 8: {Wait until connection is built.}
- 9: **end while**
- 10: **if** $tmpFlag == 1$ **then**
- 11: SaveFile() {Block until finishing receiving file.}
- 12: **else**
- 13: SendCMD()
- 14: **end if**
- 15: **end if**
- 16: **end for**
- 17: $sleep(T)$ {Block thread T seconds before next turn.}
- 18: **end loop**

Algorithm 2 tells how to verify whether a device is a target device or not. Firstly, decrypt the Bluetooth device's name string by swap the adjacent odd position and even position character. If the device token string S , which is set manually by developers in advance, is the substring of decrypted name string, it is the target device.

B. Bluetooth Node

This section introduces the working flow of three sub-threads in Bluetooth node. As shown in Fig. 5, Saving thread notifies the sampling thread to start sensors' sampling then blocks for Δ seconds before stops the Sampling thread.

Algorithm 2 TargetDev(S, N)

Input: Device token string S
 Device name N

Output: Target Device Boolean Flag

- 1: **for** $i = 0$ **to** $length(N)$ **do** {Decrypt device name.}
- 2: **if** i is odd **then**
- 3: $swap(N[i - 1], N[i])$ {Swap adjacent odd position character and even position character in string N }
- 4: **end if**
- 5: **end for**
- 6: **if** isSubstring(S, N) **then** {Target device's real name string must contain S substring.}
- 7: **return true**
- 8: **else**
- 9: **return false**
- 10: **end if**

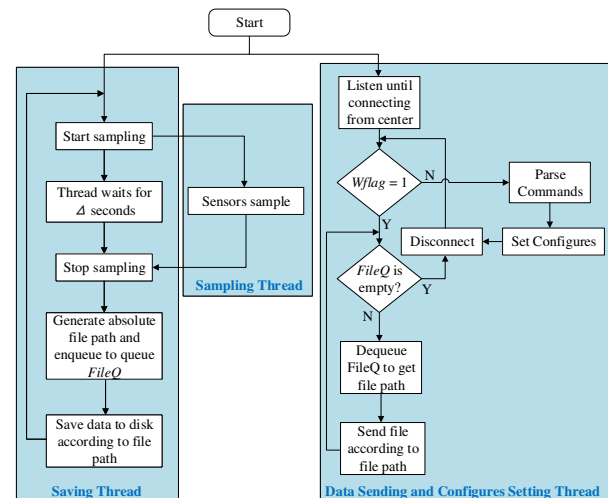


Fig. 5. Node's flow chart.

This way generates Δ seconds of sensor data. Saving thread generates filename according to MAC address of node as well as sampling time and enqueue this filename with absolute folder path into a queue termed $FileQ$. Finally, saving thread saves sleep data as file with the generated filename to disk according to the absolute folder path.

Algorithm 3 Parse(S)

Input: Command String S

Output: Command List L

- 1: $tmp = S.split("/")$ {Split the string to a list by character "/"}
- 2: $L = \{\}$ {Initialize an empty list.}
- 3: **for** $i = 0$ **to** $length(tmp)$ **do** {Generate Command List L .}
- 4: $L.append(tmp[i].split("#"))$
- 5: **end for**
- 6: **return** L

The Data Sending and Configures Setting thread will firstly judge the $wflag$. If $wflag = 1$, dequeue $FileQ$ obtaining the filename from $FileQ$. After that, the thread will send

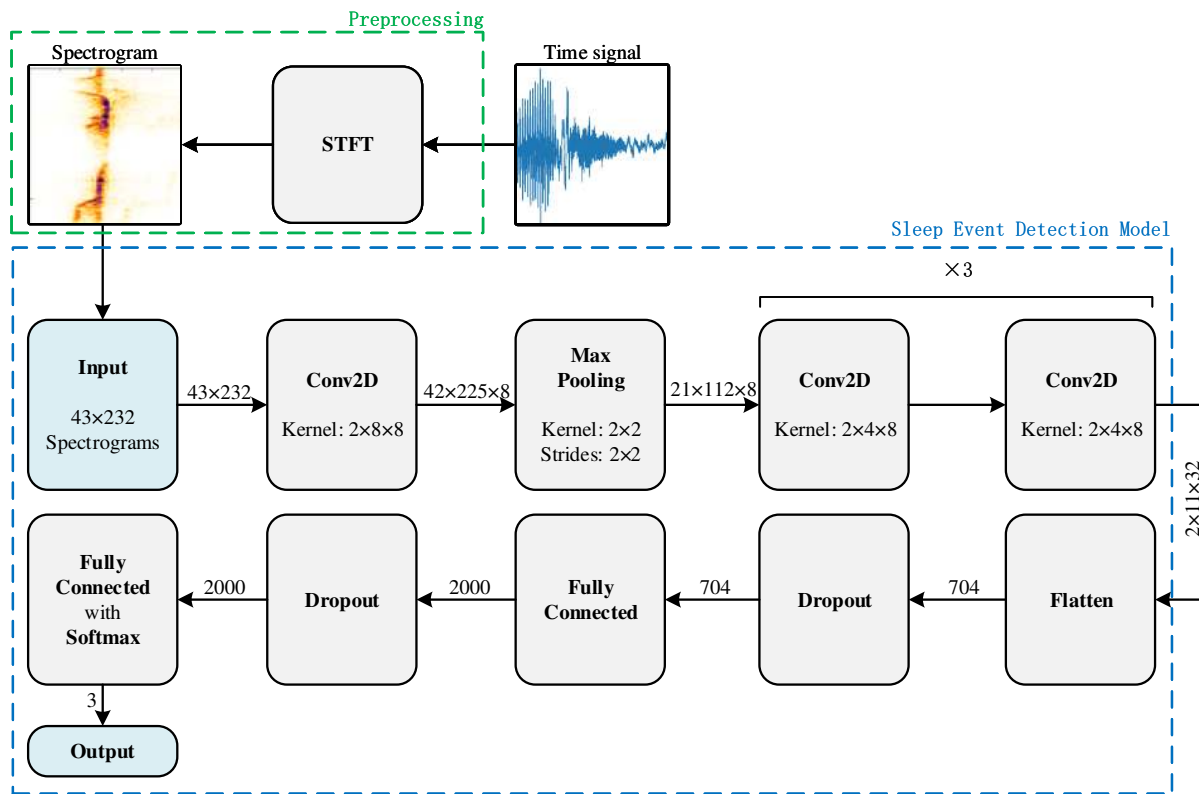


Fig. 6. Architecture of Sleep Event Detection Model

the corresponding file in the disk to the center basing on the protocol mentioned beforehand. Finally, the file will be deleted from the disk when the center has received it. If $wflag = 0$, the thread will parse commands with following coding form by Algorithm 3:

"/command1#paramter1#paramter2/command2#paramter1..."

V. CONVOLUTIONAL NEURAL NETWORKS FOR SLEEP EVENTS DETECTION

We utilize a convolutional neural network (CNN) [32] based sleep events detection model, called SleepDetCNN, to classify every time frame audio signal into three classes, *Snoring*, *Coughing*, and *Other*. SleepDetCNN can provide higher than feature-level information [38] for advanced data preprocessing in subsection VI-B.

Fig. 6 is the architecture of SleepDetCNN. In the inference phase of SleepDetCNN, a one second audio signal is firstly converted to spectrogram by using Short-time Fourier Transform (STFT) [39].

The STFT is a Fourier-related transform applied to a windowed time signal $x(n)$, $n \in [-\infty, \infty]$. The formula 6 defines the STFT, where $W(n)$ is the window function, commonly a Hann window or Gaussian window centered around zero.

$$\text{STFT}\{x(n)\}(t, \omega) = \sum_{n=-\infty}^{\infty} x(n)W(n-t)e^{-j\omega n} \quad (6)$$

The spectrogram of signal $x(n)$ is the magnitude squared of the signal's STFT. Because the spectrogram is a order 2

tensor representation of the signal, it can be directly fed into a 2-dimensional CNN model consisting of a 2-dimensional convolutional layer, max-pooling layer, fully connected layer, and dropout layer [32].

The last fully connected layer's output will send to the Softmax function, which is defined by formula 7, to generate a 3-dimensional probability distribution vector of three classes. The first, second, and third vector components refer to *Other*, *Snoring*, and *Coughing*, respectively. The class whose related vector component has the highest probability is the final classification result.

$$\text{Softmax}(\mathbf{z})_i = \frac{e^{z_i}}{\sum_{j=1}^K e^{z_j}} \quad (7)$$

for $i = 1, \dots, K$
and $\mathbf{z} = (z_1, \dots, z_K) \in \mathbb{R}^K$

In the CNN training phase, we prepare 798 audio files, each audio file with a one-second duration, and each class has 266 audios. Most of the audios are extracted from the videos in Audio Set [40]. All audios' spectrograms, together with the classification label, generate our dataset.

The training target function is the cross-entropy loss function. We use the Adam optimizer [41] with 200 batch size each iteration to minimize the target function.

The minimum validation loss is found to determine the best training epoch, that is 72, and the related validation accuracy is 79.32%.

We use the Keras deep learning framework to implement the spectrogram calculation process and the CNN model and

combine them to generate a whole model. In the SleepDetCNN model deployment phase, the combined model is converted to the Tensorflow Lite model for android device deployment.

The SleepDetCNN model is running on the center, and whenever the center receives an audio file from the node, it will firstly pad the audio time duration to an integer by the node microphone's noise and then separate the whole audio into several one-second audio files. After separation of the padded audio, every audio segment will be fed into the model to obtain the probability distribution vector. The average probability distribution vector over all vectors is regarded as the final result of the SleepDetCNN over the original whole audio.

VI. DATA PREPROCESSING MECHANISMS OF THE IOT DEVICES

The computing capability and storage capacity of IoT devices are critical resources in our system. How to combine the resources in the IoT devices with that of the remote servers to reduce the overall battery energy consumption of the IoT devices, and to alleviate the data jam problem, and as a result, to provide better sleep health monitoring as well as evaluation services, is an important research topic [42].

In this section, we propose two mechanisms, the SPL-Based Audio Data Reducing Mechanism and Signal-Power-Based Audio Data Selecting Mechanism, to utilize the IoT devices' resources to preprocess the sleep data. The mechanisms can dynamically fit the Bluetooth network's status and the Internet condition and keep the data transferring path's unimpeded.

A. SPL-Based Audio Data Reducing Mechanism

The aforementioned proposed approach uses a star topology Bluetooth network to fuse and upload sleep data generated from nodes in a room, instead of directly uploading the data via Wi-Fi. This approach may save every node's battery energy, but slows down the data transferring speed, which would cause data jam. Therefore, we propose the SPL-based Audio Data Reducing Mechanism to alleviate this problem.

1) *Audio Data Compare Function*: When the number of nodes in a room increases, the sleep data amount will largely increase, which will bring an enormous burden to the star topology Bluetooth network. In order to increase every center's capability of holding the nodes, it is necessary to design mechanisms to reduce the data amount generated from every node, especially the amount of audio data. As we all known, not all the time moment will the room has voices during night time. Therefore, we can design a mechanism to determine whether to record the audio data or not according to the Sound Pressure Level (*SPL*) [43] defined by formula 8:

$$SPL(t) = 20 \log_{10} \left(\frac{p(t)}{p_{\text{ref}}} \right) \quad (8)$$

where $p(t)$ refers to the actual sound pressure (in Pa) [43] at time t and p_{ref} refers to the reference sound pressure which is $20\mu\text{Pa}$ [43].

We denote the digital output value of the microphone in the node device with i ID as $A^i(t)$. According to the sensor theory,

the sound pressure $p(t)$ has a relation to $A^i(t)$ as formula 9 shown [44].

$$p^i(t) = k^i \times A^i(t) \quad (9)$$

, where k^i is a parameter larger than 0 and varies with the hardware device. Different devices will have different microphones, with different sensitivities and different audio hardware preamplifier. What's more, they may apply different input gain, equalizer, and automatic gain control (AGC) and noise reduction algorithms, explaining the variation of k^i .

Therefore, we have the formula 10 to compute *SPL* of node i at time t in the android programs.

$$\begin{aligned} SPL^i(t) &= 20 \log_{10} \left(\frac{p^i(t)}{p_{\text{ref}}} \right) \\ &= 20 \log_{10} \left[\frac{k^i \times A^i(t)}{p_{\text{ref}}} \right] \\ &= 20 [\log_{10} k^i + \log_{10} A^i(t) - \log_{10} p_{\text{ref}}] \end{aligned} \quad (10)$$

An audio data segment of a discrete time interval T_n from node i can be defined as a set of *SPL* at every time moment in T_n , that is $\{SPL^i(t)|t \in T_n\}$. For convenience, we denote $\{SPL^i(t)|t \in T_n\}$ as $W_{T_n}^i$.

Then we can define formula 11 to compare the *SPL* of two different audio data segments $W_{T_n}^i$ and $W_{T_m}^j$ at time interval T_n , T_m and from node i , node j , respectively.

$$\begin{aligned} &Compare(W_{T_n}^i, W_{T_m}^j) \\ &= \max(\{SPL^i(t)|t \in T_n\}) - \max(\{SPL^j(t)|t \in T_m\}) \\ &= 20 [\log_{10} k^i + \max(\{A^i(t)|t \in T_n\}) - \log_{10} p_{\text{ref}}] \\ &\quad - 20 [\log_{10} k^j + \max(\{A^j(t)|t \in T_m\}) - \log_{10} p_{\text{ref}}] \\ &= \max(\{A^i(t)|t \in T_n\}) - \max(\{A^j(t)|t \in T_m\}) \\ &\quad + \log_{10} k^i/k^j \end{aligned} \quad (11)$$

Especially, when node ID $i = j$, we have formula 12, which only relates to the digital output value $A^i(t)$.

$$\begin{aligned} &Compare(W_{T_n}^i, W_{T_m}^i) \\ &= \max(\{A^i(t)|t \in T_n\}) - \max(\{A^i(t)|t \in T_m\}) \end{aligned} \quad (12)$$

2) *Audio Data Reducing Mechanism*: In the previous subsection, we define a *Compare* ($W_{T_n}^i, W_{T_m}^j$) function that can judge which audio data segment contains a louder voice. With this function's help, we can design a mechanism for recording the audio, only when there are voices in the environment.

Before the node starts sampling the sleep data, we first place the center and node into an environment with a slight white noise [45]. Then use the center to set node's *SPL* threshold W_0^i by sending the *set threshold* command from center to node i .

After setting the threshold W_0^i of node i , when start sampling, node i will record the audio data only when *Compare* ($W_{T_n}^i, W_0^i$) > 0 .

If *Compare* ($W_{T_n}^i, W_0^i$) ≤ 0 , then the node i will record the $\max(\{A^i(t)|t \in T_n\})$ value by saving it to a text file.

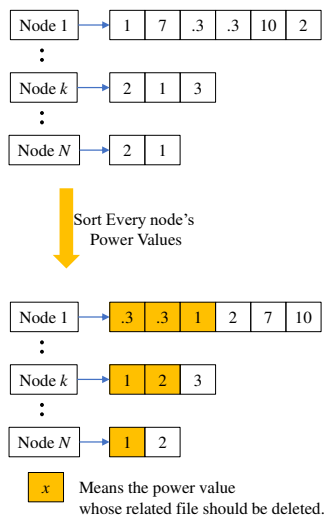


Fig. 7. Data selection mechanism instance.

B. Signal-Power-Based Audio Data Selection Mechanism

During the uploading of fused sleep data from the center to the remote server, network problems like network congestion [46], etc., may occur because a large amount of data will be uploaded at the same time from the centers in different geographic regions. When network problems occur, the sleep data will jam at the center. After the network problems are solved, and the network recovers to the normal condition, the center may already accumulate a large amount of sleep data, so it's difficult for the center to upload all accumulated sleep data at once. What's more, the center device may be a storage capacity restricted hardware. If the sleep data size exceeds the center device's maximum storage capacity, the center may crash, which is a hazard to our whole system. Therefore, it is necessary to design a selection mechanism that can retain more important data in the meaning of the defined indicator and discard less important data.

In this section, we first define an audio signal's information measurement in a finite discrete time interval and then describe how our proposed Signal-Power-Based Audio Data Selection Mechanism works in detail.

1) *Indicator of Data Selection Mechanism*: This subsection describes the indicator used to measure an audio signal's information quantity over a finite discrete time interval. An indicator consists of two components, the sleep event class number i^* and the Relative Average Power p .

Denote the SleepDetCNN computed probability distribution vector of the audio file as $\vec{v} = (\vec{v}_1, \vec{v}_2, \vec{v}_3)$, and the sleep event class number i^* of the audio file is defined by formula 13

$$i^* = \underset{i}{\operatorname{argmax}} \vec{v}_i, i = 1, 2, 3 \quad (13)$$

According to the signal processing theory [47], the average power over a time interval of a finite length discrete digital time signal can be defined by formula 14.

$$\frac{1}{N} \sum_{n=0}^N |x(n)|^2 \quad (14)$$

In our case $x(n)$ means the audio signal recorded by microphone, that is the sound pressure $p(t)$. Therefore, we have formula 15 to compute the energy of an audio signal set of discrete time interval T_n from node i . where mathematical operation $\operatorname{card}()$ means the cardinality of a set.

$$\begin{aligned} \text{AveragePower}(\{p(t)|t \in T_n\}) &= \frac{1}{\operatorname{card}(T_n)} \sum_{t \in T_n} |p(t)|^2 \\ &= \frac{1}{\operatorname{card}(T_n)} \sum_{t \in T_n} [k^i \times A^i(t)]^2 \end{aligned} \quad (15)$$

Suppose we only compare the audio data from the same node. In that case, we can directly set the parameter k^i to 1 in formula 15, and now we obtain our second indicator component Relative Average Power p .

The tuple of two components (i^*, p) is regarded as the indicator which fuses the event-level information i^* and feature-level information p for measuring an audio signal's information quantity.

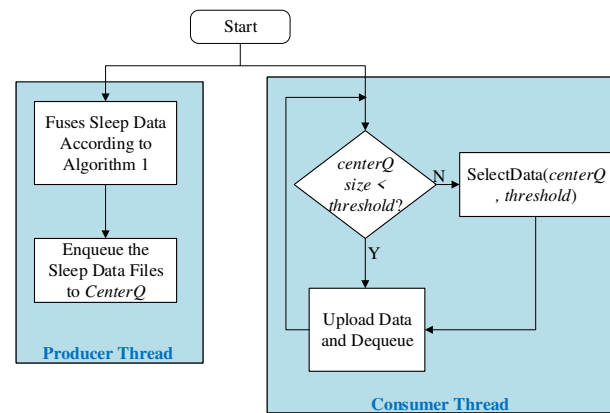


Fig. 8. Center's flow chart.

2) *Data Selection Mechanism*: The center's program implementation uses a producer-consumer multi-threads model [48]. According to the algorithm 1, the producer thread fuses the data generated from nodes in a room via the star topology Bluetooth network, then as the flow chart 8 describes, enqueues saved files' path strings to a center file queue, denoted as $\text{center}Q$. On the other hand, the consumer thread plays the role of an HTTP uploader as well as the data selection mechanism executor. Fig. 8 shows the center's flow chart. The consumer thread first judges whether the $\text{center}Q$'s size is smaller than the predetermined threshold. If true, the consumer thread uploads all data in the $\text{center}Q$ and dequeue as well as delete successfully uploaded data files. If false, the consumer thread calls the SelectData function defined by the algorithm 4 to enable the data selection mechanism.

The algorithm 4 describes our Signal-Power-Based Audio Data Selection Mechanism and Fig.7 shows an example of how the selection mechanism works.

In order to create a dictionary which associates every node's ID to every node's number of files to be deleted, we need to first compute the reducing proportion Q of the $\text{center}Q$ according to formula 16. Then every node's number of files

Algorithm 4 SelectData(*centerQ*, *T*)

Input: files queue on center *centerQ*
 predetermined queue size threshold *T*

- 1: Create empty array *L* and empty queue *P*.
- 2: **for** $i = 0$ **to** length(*centerQ*) **do** {Compute every audio files' average power, and save the power value as well as it's index in *centerQ* to the *L*.}
- 3: **if** *centerQ*[*i*] is audio file **then**
- 4: $p = \text{RelativeAveragePower}(\text{centerQ}[i])$ {Compute average power of current audio file.}
- 5: $i^* = \text{SleepDetCNN}(\text{centerQ}[i])$
- 6: $i^* = \text{argmax}_j i_j^*$ {Compute the sleep event class number of current audio file.}
- 7: $L.append((i, i^*, p))$
- 8: **end if**
- 9: **end for**
- 10: Sort(*L*, "ascendingly") {Sort the *L* ascendingly according to every element's class number i^* , then the elements with the same class number will be further sorted ascendingly by the *p*.}
- 11: Create a dictionary *D* according to *T*, which associates every node's ID to every node's number of files to be deleted.
- 12: **for** $i = 0$ **to** length(*L*) **do** {Delete less important audio files in *centerQ*.}
- 13: $j = L[i][0]$
- 14: **if** *centerQ*[*j*] is audio file **then**
- 15: $ID = \text{NodeID}(\text{centerQ}[j])$ {Get the node ID that the file belongs to.}
- 16: **if** $D[ID] > 0$ **then**
- 17: $D[ID] = D[ID] - 1$
- 18: DeleteFile(*centerQ*[*j*])
- 19: **else**
- 20: $P.append(\text{centerQ}[j])$
- 21: **end if**
- 22: **else**
- 23: $P.append(\text{centerQ}[j])$
- 24: **end if**
- 25: **end for**
- 26: *centerQ* = *P*

in the *centerQ* times the proportion *Q*, we obtain every node's number of files to be deleted.

$$Q = \begin{cases} \frac{\text{centerQSize} - \text{threshold}}{\text{centerQSize}} & \text{centerQSize} > \text{threshold} \\ 0 & \text{centerQSize} \leq \text{threshold} \end{cases} \quad (16)$$

VII. EXPERIMENT

A. Experiment for Proposed Module SDFN

In this subsection, we aim at answering the following questions by conducting experiments.

- 1) What's the relation between power-consuming speed and Received Signal Strength Indication (*RSSI*)?
- 2) When is the Bluetooth version app's power-consuming speed lower than that of the Wi-Fi one?

We denote the remaining battery percentage of time *t* as *R*(*t*), then the power-consuming speed *K* can be defined by formula (17). Where $\hat{R}(t)$ means the least square regression line of *R*(*t*).

$$K = \left| \frac{d\hat{R}(t)}{dt} \right| \quad (17)$$

To find the relation between *K* and *RSSI*, we ran our application in Meizu Note 5 and recorded the remaining battery percentage changing through time in different *RSSI* with the unit of *dBm*. The tools to measure the *RSSI* of Wi-Fi and Bluetooth are Ce Wang Su¹ and Bluetooth *RSSI* App android applications, respectively. Then we draw the results of the Wi-Fi version and Bluetooth version in Fig.9 and Fig.10, respectively.

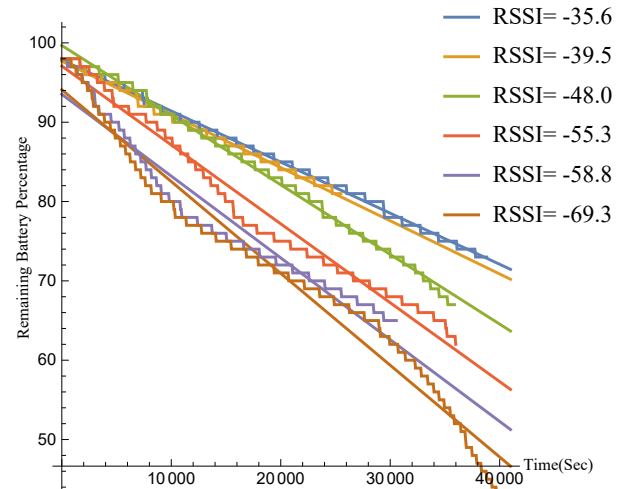


Fig. 9. Wi-Fi one's remaining battery percentage-time curve with different *RSSI*.

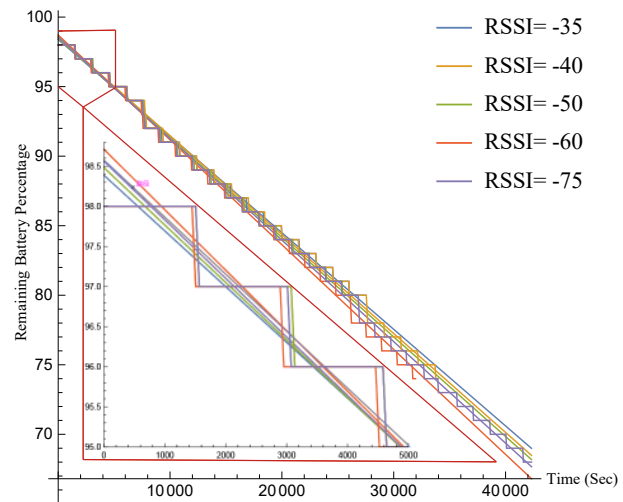


Fig. 10. Bluetooth one's remaining battery percentage-time curve with different *RSSI*.

As we can see from the Fig.9 and Fig.10, the power-consuming speed of the Wi-Fi one is much more sensitive

¹A Chinese android Wi-Fi *RSSI* measuring application, whose name is Measure Network Speed in English.

to the $RSSI$ than that of Bluetooth one, which means that there must be a critical point K_0 , which discriminates the regions where $K_{Bluetooth} > K_{Wi-Fi}$ as well as $K_{Bluetooth} < K_{Wi-Fi}$.

To find the K_0 , we compute the regression line of $K_{Bluetooth}$ and K_{Wi-Fi} with respect to $RSSI$ and obtain Fig.11. We find that $K_0 = -39.45(dBm)$, which means that when $RSSI < -39.45(dBm)$, Bluetooth version is more battery energy efficient than Wi-Fi version.

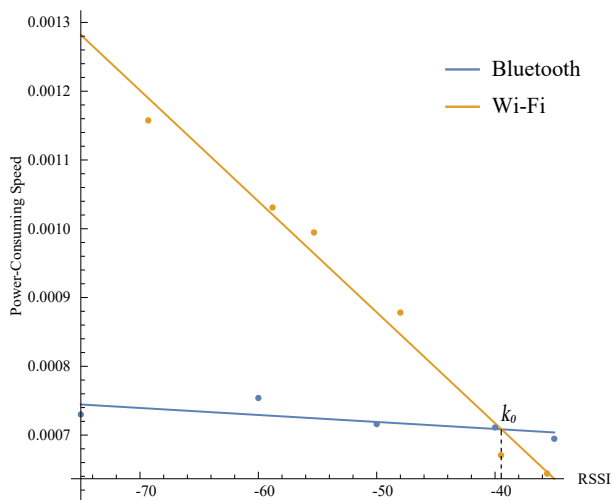


Fig. 11. Relation between power-consuming speed and $RSSI$.

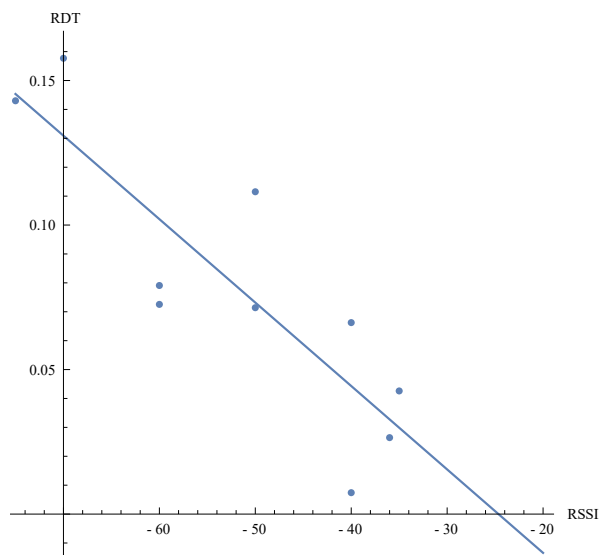


Fig. 12. Relationship between RDT and $RSSI$.

However, the smaller the Bluetooth's $RSSI$ is, the lower the transport speed the Bluetooth has. If the transfer speed is lower than sleep data generating speed, there will be a time gap between the latest generated data and the latest transferred data. We term this time gap divided by total data sampling time as the relative delay time (RDT). The quantitative relationship between RDT and $RSSI$, which described as a linear regression line, is shown in Fig.12. We set the RDT threshold to 0.1, which means that supposing the

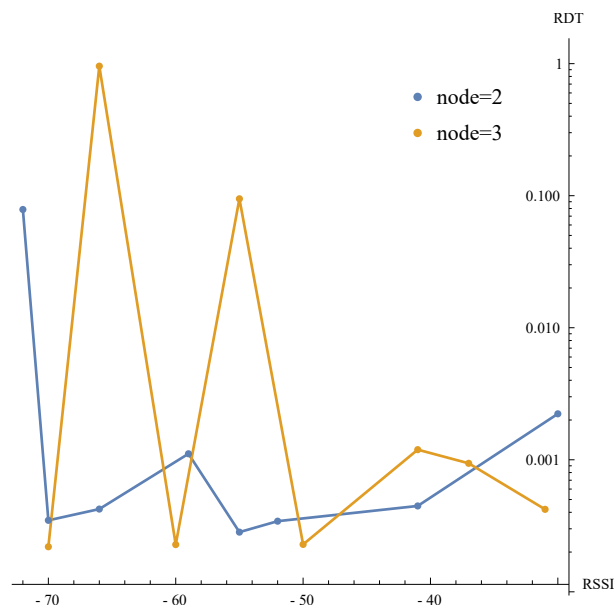


Fig. 13. $RDT - RSSI$ curves in different node number.

total sampling time is 10 hours, then the maximum acceptable time gap is 1 hour. According to the relation between RDT and $RSSI$, we have a minimum of $RSSI = -59.29$.

Finally, we obtain an $RSSI$ interval $[-59.29, -39.45]$. When the Bluetooth $RSSI$ is in this interval, our new module SDFN can make our system more battery energy efficient without exceeding the delay time threshold.

B. Experiment for Proposed Data Preprocessing Mechanisms

In subsection VII-A, the experimental object, smartphone Meizu Note 5, has both a Wi-Fi module and a Bluetooth module as the data communication module. In this subsection, we use our android IoT device instead. The IoT device, which plays the node's role, only has a Bluetooth module as the data communication module for battery energy efficiency.

This subsection aims to show our proposed mechanisms' effects on our android IoT devices' battery energy saving and data consuming speed. We conduct an experiment to show the effect on reducing audio data in AAC file format when apply the SPL-Based Data Reducing Mechanism to the SDFN module. The experiment results are shown in Fig. 13. According to the figure, almost all RDT results are under 0.010 in different $RSSI$ and different node number settings. In contrast, as Fig. 12 shows, without applying the SPL-Based Data Reducing Mechanism, the RDT will larger than 0.10 when $RSSI$ is less than about $-60dBm$. Therefore, this mechanism can decrease the RDT . In other words, it can alleviate the data jam problem during the data transferring via Bluetooth network.

What's more, the SPL-Based Data Reducing Mechanism can help further saving the node's battery energy. As illustrated in Fig. 14, the battery consuming speed is faster when center's sleep time interval T becomes shorter. Especially, when close Bluetooth data transferring function, that's $T = \infty$, the IoT device has the longest battery life time. Therefore, the

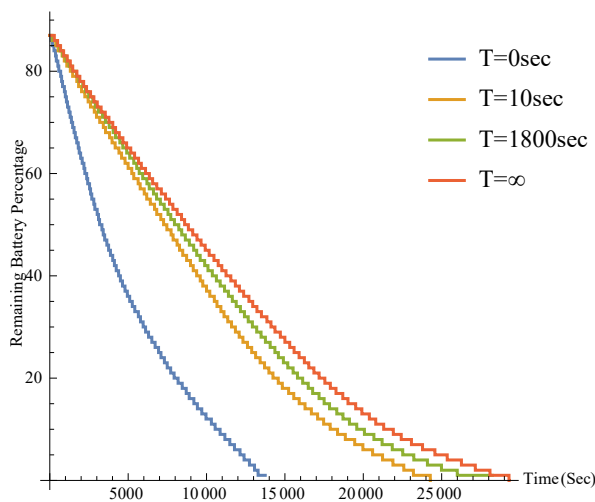


Fig. 14. Battery Consuming Speed Comparison Experiment with or without Bluetooth Data Transferring.

less Bluetooth data transferring time the node has during the data sampling process, the slower the battery consuming speed is, which means it's more battery energy efficient. The mechanism can significantly reduce the total data size, which means less data transferring time when the transferring speed is the same. As the result, this mechanism can save the battery energy of the node.

VIII. CONCLUSIONS AND FUTURE WORK

In this paper, we summarize the implementation methods of iSmile Platform based android sleep-aware applications EAST and Smart Alarm, then propose a module called SDFN, which uses the Bluetooth protocol instead of Wi-Fi to fuse sleep data basing our designed application protocol. Combining this new module with EAST and Smart Alarm, we obtain our new model DF-MSAS. A CNN based sleep event detection model, SleepDetNet, is built which makes use of the spectrogram of audio signal and the machine learning technique to classify sleep event of every one second audio signal. We propose the SPL-Based Audio Data Reducing Mechanism and the Signal-Power-Based Audio Data Selection Mechanism to alleviate the data jam problem and further save the IoT devices' battery energy. We experiment and find that when $RSSI \in [-59.29, -39.45]$, DF-MSAS is more battery energy efficient with bearable data delay time. What's more, further experiments show that the proposed two mechanisms can alleviate the data jam problem and further save the IoT devices' battery energy.

In the future, we plan to design an abstract conception model and several interfaces to fit different IoT projects and make use of edge computing techniques and artificial intelligence algorithms to further reduce data delay time and improve the IoT device's battery energy efficiency.

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