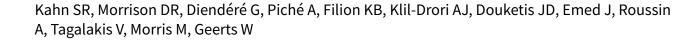


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Interventions for implementation of thromboprophylaxis in hospitalized patients at risk for venous thromboembolism (Review)



Kahn SR, Morrison DR, Diendéré G, Piché A, Filion KB, Klil-Drori AJ, Douketis JD, Emed J, Roussin A, Tagalakis V, Morris M, Geerts W.

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[Intervention Review]

Interventions for implementation of thromboprophylaxis in hospitalized patients at risk for venous thromboembolism

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ABSTRACT

Background

Venous thromboembolism (VTE) is a leading cause of morbidity and mortality in hospitalized patients. While numerous randomized controlled trials (RCTs) have shown that the appropriate use of thromboprophylaxis in hospitalized patients at risk for VTE is safe, effective, and cost-effective, thromboprophylaxis remains underused or inappropriately used. Our previous review suggested that system-wide interventions, such as education, alerts, and multifaceted interventions were more effective at improving the prescribing of thromboprophylaxis than relying on individual providers' behaviors. However, 47 of the 55 included studies in our previous review were observational in design. Thus, an update to our systematic review, focused on the higher level of evidence of RCTs only, was warranted.

Objectives

To assess the effects of system-wide interventions designed to increase the implementation of thromboprophylaxis and decrease the incidence of VTE in hospitalized adult medical and surgical patients at risk for VTE, focusing on RCTs only.

Search methods

Our research librarian conducted a systematic literature search of MEDLINE Ovid, and subsequently translated it to CENTRAL, PubMed, Embase Ovid, BIOSIS Previews Ovid, CINAHL, Web of Science, the Database of Abstracts of Reviews of Effects (DARE; in the Cochrane Library), NHS Economic Evaluation Database (EED; in the Cochrane Library), LILACS, and clinicaltrials.gov from inception to 7 January 2017. We also screened reference lists of relevant review articles. We identified 12,920 potentially relevant records.

Selection criteria

We included all types of RCTs, with random or quasi-random methods of allocation of interventions, which either randomized individuals (e.g. parallel group, cross-over, or factorial design RCTs), or groups of individuals (cluster RCTs (CRTs)), which aimed to increase the use of prophylaxis or appropriate prophylaxis, or decrease the occurrence of VTE in hospitalized adult patients. We excluded observational



studies, studies in which the intervention was simply distribution of published guidelines, and studies whose interventions were not clearly described. Studies could be in any language.

Data collection and analysis

We collected data on the following outcomes: the number of participants who received prophylaxis or appropriate prophylaxis (as defined by study authors), the occurrence of any VTE (symptomatic or asymptomatic), mortality, and safety outcomes, such as bleeding. We categorized the interventions into alerts (computer or human alerts), multifaceted interventions (combination of interventions that could include an alert component), educational interventions (e.g. grand rounds, courses), and preprinted orders (written predefined orders completed by the physician on paper or electronically). We meta-analyzed data across RCTs using a random-effects model. For CRTs, we pooled effect estimates (risk difference (RD) and risk ratio (RR), with 95% confidence interval (CI), adjusted for clustering, when possible. We pooled results if three or more trials were available for a particular intervention. We assessed the certainty of the evidence according to the GRADE approach.

Main results

From the 12,920 records identified by our search, we included 13 RCTs (N = 35,997 participants) in our qualitative analysis and 11 RCTs (N = 33,207 participants) in our meta-analyses.

Primary outcome: Alerts were associated with an increase in the proportion of participants who received prophylaxis (RD 21%, 95% CI 15% to 27%; three studies; 5057 participants; $I^2 = 75\%$; low-certainty evidence). The substantial statistical heterogeneity may be in part explained by patient types, type of hospital, and type of alert. Subgroup analyses were not feasible due to the small number of studies included in the meta-analysis.

Multifaceted interventions were associated with a small increase in the proportion of participants who received prophylaxis (cluster-adjusted RD 4%, 95% CI 2% to 6%; five studies; 9198 participants; $I^2 = 0\%$; moderate-certainty evidence). Multifaceted interventions with an alert component were found to be more effective than multifaceted interventions that did not include an alert, although there were not enough studies to conduct a pooled analysis.

Secondary outcomes: Alerts were associated with an increase in the proportion of participants who received appropriate prophylaxis (RD 16%, 95% CI 12% to 20%; three studies; 1820 participants; $I^2 = 0$; moderate-certainty evidence). Alerts were also associated with a reduction in the rate of symptomatic VTE at three months (RR 64%, 95% CI 47% to 86%; three studies; 5353 participants; $I^2 = 15\%$; low-certainty evidence). Computer alerts were associated with a reduction in the rate of symptomatic VTE, although there were not enough studies to pool computer alerts and human alerts results separately.

Authors' conclusions

We reviewed RCTs that implemented a variety of system-wide strategies aimed at improving thromboprophylaxis in hospitalized patients. We found increased prescription of prophylaxis associated with alerts and multifaceted interventions, and increased prescription of appropriate prophylaxis associated with alerts. While multifaceted interventions were found to be less effective than alerts, a multifaceted intervention with an alert was more effective than one without an alert. Alerts, particularly computer alerts, were associated with a reduction in symptomatic VTE at three months, although there were not enough studies to pool computer alerts and human alerts results separately.

Our analysis was underpowered to assess the effect on mortality and safety outcomes, such as bleeding.

The incomplete reporting of relevant study design features did not allow complete assessment of the certainty of the evidence. However, the certainty of the evidence for improvement in outcomes was judged to be better than for our previous review (low-to moderate-certainty evidence, compared to very low-certainty evidence for most outcomes). The results of our updated review will help physicians, hospital administrators, and policy makers make practical decisions about adopting specific system-wide measures to improve prescription of thromboprophylaxis, and ultimately prevent VTE in hospitalized patients.

PLAIN LANGUAGE SUMMARY

Interventions to increase the use of measures to prevent the development of blood clots in hospitalized medical and surgical patients

What is the aim of this review?

The aim of this Cochrane review was to find out if system-wide interventions increased the use of measures to prevent blood clots (thromboprophylaxis), and decreased the incidence of blood clots (venous thromboembolism) in hospitalized adult medical and surgical patients at risk for this problem.

Key messages



Providing system-wide interventions, particularly alerts, to doctors and other healthcare professionals probably improves the use of thromboprophylaxis or appropriate thromboprophylaxis, and decreases the number of symptomatic blood clots (clots showing symptoms) at three months. However, the certainty of the evidence was rated as moderate or low, thus more high-quality studies examining the effectiveness of system-wide interventions are needed to confirm the findings of this review.

What was studied in this review?

Blood clots that occur in the leg veins (deep vein thrombosis) or in the lung circulation (pulmonary embolism) are together known as venous thromboembolism (VTE). VTE is a potential complication for patients who have been hospitalized for medical or surgical reasons. These complications lengthen hospital stay and are a leading cause of death and long-term disability. Risk factors for VTE include hospitalization for surgical or medical illness, cancer, trauma or immobilization, medications, such as oral contraceptives or hormone replacement therapy, and pregnancy or postpartum. Other risk factors are older age, obesity, previous blood clots, and family history of blood clots.

Thromboprophylaxis involves the administration of small doses of anticoagulant (i.e. blood thinning) medications, such as heparin, low molecular weight heparin, or oral blood thinners, or the application of physical measures, such as graduated compression stockings or sequential compression devices. In the USA, thromboprophylaxis has been ranked as the number one strategy to improve patient safety in hospitals, and interventions to improve the implementation of thromboprophylaxis were recently ranked as a top-10 patient safety strategy that demanded action.

While thromboprophylaxis is safe and can prevent VTE in various patient groups at risk for these complications, it remains underused or inappropriately used. We looked at two different ways to measure thromboprophylaxis use: received prophylaxis (did the patient receive any thromboprophylaxis?), and received appropriate prophylaxis (did the patient receive prophylaxis that was appropriate for them?). We considered prophylaxis to be appropriate if the study authors did.

What are the main results of this review?

We did a systematic review of randomized controlled trials (trials in which people are randomly put into one of two or more treatment groups) that tested various system-wide interventions, which aimed to increase the use of thromboprophylaxis in hospitalized patients. Our search found 13 relevant studies; two could not be pooled with the others because they did not report data in which we were interested. We included 11 studies, with a total of 33,207 participants, in our analyses. Our review showed that interventions using alerts seemed to be the most reliable way to increase the use of thromboprophylaxis.

Combined data showed that:

- Computer or human alerts increased the number of participants who received thromboprophylaxis by 21% (three studies, 5057 participants, low-certainty evidence).
- Alerts increased the number of participants who received appropriate thromboprophylaxis by 16% (three studies, 1820 participants, moderate-certainty evidence).
- Alerts decreased the relative rate of symptomatic VTE at three months by 36% (three studies, 5353 participants, low-certainty evidence).
- Multifaceted interventions were associated with only a modest 4% increase in the prescription of thromboprophylaxis (five studies, 9198 participants, moderate-certainty evidence).
- While not directly compared to each other, alerts, whether computer or human alerts, appeared to be more effective than multifaceted interventions
- While not directly compared to each other, computer alerts may have been more effective than human alerts for increasing appropriate thromboprophylaxis and reducing symptomatic VTE.

How up to date is the review?

We searched for studies that had been published up to 7 January 2017.



Summary of findings for the main comparison. Computer or human alerts interventions versus standard care

Computer or human alerts compared with standard care for VTE prophylaxis.

Patient or population: adult medical and surgical patients at risk for VTE

Settings: hospital

Intervention: automatic reminder systems, such as computer alerts or human alerts, designed to increase the implementation of thromboprophylaxis and/or decrease the incidence of symptomatic or asymptomatic VTE

Comparison: standard care (no intervention)

Outcomes			Measures of ef- fect (RD, RR)	No of Partici- pants	Quality of the evidence	Comments
	Assumed risk*	Corresponding risk	(95% CI; I ²)	(studies)	(GRADE)	
	Control group	Intervention group				
Received prophy- laxis**			RD 0.21 (0.15 to 0.27; 75%)	5057	⊕⊕⊝⊝ •1	
(Follow-up: 3 months)	178 per 1000	390 per 1000 (335 to 454)	0.21, 13%)	(3 studies)	Low ¹	
	Low risk population					
	145 per 1000	318 per 1000 (273 to 370)				
	High risk population					
	357 per 1000	782 per 1000 (671 to 910)				
Received appropriate prophylaxis** (Follow-up: 36	Study population		RD 0.16 (0.12 to	1820 (3 studios)	⊕⊕⊕⊝ ••••••	
	305 per 1000	460 per 1000 (305 to 616)	- 0.20; 0%)	(3 studies)	Moderate ²	
hours to 18 months)	Low risk population					
	175 per 1000	249 per 1000				

nformed decision 3etter health.

		(175 to 354)			
	High risk population				
	663 per 1000	941 per 1000 (663 to 1000)			
Symptomatic VTE	Study population		RR 0.64 (0.47 to 0.86; 15%)	5353 (3 studies)	⊕⊕⊝⊝ Low ³
(Follow-up: 3 months)	56 per 1000	36 per 1000 (26 to 48)	- 0.50, 1370)	(3 studies)	LOW
	Low risk population				
	29 per 1000	19 per 1000 (14 to 25)			
	High risk population				
	82 per 1000	52 per 1000 (39 to 71)			

^{*} Control risk was used as **assumed risk** (baseline risk), due to lack of well-designed observational studies that measure this in detail to be presented as baseline risk for the population. The **corresponding risk** (and its 95% confidence interval) is based on the assumed risk in the comparison group and the **relative effect** of the intervention (and its 95% CI).

CI: confidence interval; I²: statistical index of heterogeneity; RD: risk difference; RR: risk ratio; VTE: venous thromboembolism

GRADE Working Group grades of evidence

High quality: Further research is very unlikely to change our confidence in the estimate of effect.

Moderate quality: Further research is likely to have an important impact on our confidence in the estimate of effect and may change the estimate.

Low quality: Further research is very likely to have an important impact on our confidence in the estimate of effect and is likely to change the estimate.

Very low quality: We are very uncertain about the estimate.

¹ We downgraded the level of certainty of evidence from high to low based on the following reasons: serious study limitations (quasi-random sequence generation in 1/3 RCTs, no blinding of outcome assessment in 1/3 RCTs, selective reporting of safety outcomes in 1/3 RCTs. Random sequence generation, allocation concealment, blinding of participants and personnel, and other potential biases were unclear in most studies). No indirectness of evidence; some inconsistency of pooled results; no imprecision of pooled results; and undetected publication bias.

² We downgraded the level of certainty of evidence from high to moderate based on the following reasons: serious study limitations (no blinding of participants and personnel in 2/3 RCTs, incorrect analysis that did not account for the clustered nature of the data in 1/3 RCTs. Random sequence generation, allocation concealment, blinding of outcome assessment, incomplete outcome data, selective reporting, and other potential biases were unclear in most studies). No indirectness of evidence; no inconsistency and imprecision of pooled RD results; and undetected publication bias.

^{**} Clustered trials did not provide sufficient data (intraclass correlation (ICC) or adjusted confidence intervals) for us to pool cluster adjusted estimates.

³ We downgraded the level of certainty of evidence from high to low based on the following reasons: serious study limitations (quasi-random sequence generation in 1/3 RCTs, selective reporting of safety outcomes in 1/3 RCTs. Random sequence generation, allocation concealment, blinding of participants and personnel, and other potential biases were unclear in most studies). No indirectness of evidence, no inconsistency of pooled RR results, some imprecision of pooled results related to the small number of events, and undetected publication bias.

Summary of findings 2. Multifaceted interventions versus standard care or another intervention

Multifaceted interventions compared with standard care or another type of intervention for VTE prophylaxis.

Patient or population: adult medical and surgical patients at risk for VTE

Settings: hospital

Intervention: multifaceted interventions (combination of interventions that may include education, audit and feedback, and alert), designed to trigger need for thromboprophylaxis

Comparison: standard care (no intervention) or another type of intervention*

Outcomes	Illustrative com CI)	parative risks** (95%	Absolute effect (RD) (95% CI; I ²)	No of Partici- pants (studies)		Comments	
	Assumed risk**	Corresponding risk	- /	(common)			
	Control group	Intervention group					
Received pro- phylaxis	Study population	on	RD 0.03 (0.00 to 0.05; 64%)	26,330 (5 studies)	⊕⊕⊕⊝ Moderate ¹	Clustered trials did not provide sufficient data (intraclass correlation (ICC) or adjusted confidence	
(Unadjusted;	526 per 1000	558 per 1000	, , , , , ,	(* * * * * * * * * * * * * * * * * * *	Moderate	intervals) for us to pool cluster-adjusted estimates	
Follow-up: 2 to	(526 to 594)		_			Length of follow-up was not specified in one study	
4 months)	Low risk population					(Labarere 2007)	
	299 per 1000	317 per 1000 (299 to 338)					
	High risk popul	ation					
	803 per 1000	851 per 1000 (803 to 907)					
Received pro- phylaxis	Study population	on	RD 0.04 (0.02 to 0.06; 0%)	9198 (5 studies)	⊕⊕⊕⊝ Moderate ¹	ICCs were available for 4/5 (Cavalcanti 2016 = 0.13, Labarere 2007 = 0.24, Pai 2013 = 0.022, Roy 2016 =	
piiyuxis	478 per 1000	507 per 1000	- 0.00, 070)	(5 statics)	Mouel ate-	0.002) trials included in this meta-analysis. ICC's	

(Adjusted; Follow-up: 2 to 4 months)		(488 to 531)		
	Low risk population			
	297 per 1000	315 per 1000 (303 to 330)		
	High risk population			
	804 per 1000	852 per 1000 (820 to 892)		

were not available for Anderson 1994. Adjustment for the cluster design effect was performed via reported ICCs, no ICC was applied to the one trial that did not report an ICC (Anderson 1994)

Total patients are lower because cluster design effect applied to the numbers of events and participants.

Length of follow-up was not specified in one study (Labarere 2007)

CI: confidence interval; I²: Statistical index of heterogeneity; ICC: intraclass correlation; RCT: randomized controlled trial; RD: risk difference; VTE: venous thromboembolism

GRADE Working Group grades of evidence

High quality: Further research is very unlikely to change our confidence in the estimate of effect.

Moderate quality: Further research is likely to have an important impact on our confidence in the estimate of effect and may change the estimate.

Low quality: Further research is very likely to have an important impact on our confidence in the estimate of effect and is likely to change the estimate.

Very low quality: We are very uncertain about the estimate.



^{* &#}x27;another type of intervention' was a multifaceted intervention targeted at different types of healthcare professionals (intervention targeted physicians and nurses; control targeted physicians only).

^{**} Control risk was used as assumed risk (baseline risk), due to lack of well-designed observational studies that measure this in detail to be presented as baseline risk for the population. The corresponding risk (and its 95% confidence interval) is based on the assumed risk in the comparison group and the relative effect of the intervention (and its 95% CI).

¹ We downgraded the level of certainty of evidence from high to moderate based on the following reasons: serious study limitations (no blinding of participants and personnel in 4/5 RCTs, no blinding of outcome assessment in 2/5 RCTs, incomplete outcome data in 1/5 RCTs, selective reporting in 1/5 RCTs, baseline imbalances and incorrect analysis in 1/5 RCTs, and loss of clusters in 1/5 RCTs. Allocation concealment and selective reporting were unclear in most studies. No indirectness of evidence; no inconsistency and imprecision of pooled results; and undetected publication bias.



BACKGROUND

Venous thromboembolism (VTE), which includes deep venous thrombosis (DVT) and pulmonary embolism (PE), is a frequent complication in hospitalized patients, a leading cause of increased costs and length of stay in hospitalized patients, and the leading cause of preventable death in hospital (Fernandez 2015; Heit 2016; Lancet Haematology 2015; Raskob 2014; Wendelboe 2016). The overall annual incidence of VTE is similar in Western Europe, North America, Australia, and southern Latin America, with annual rates ranging from 0.75 to 2.69 per 1000 individuals (Raskob 2014).

Postoperative VTE is a common complication, and a leading cause of mortality and morbidity in hospitalized surgical patients (Heit 2015; Jacobs 2017; Kim 2015). Indeed, among more than seven million patients discharged from 944 acute care hospitals in the USA, postoperative VTE was the second most common complication, the second most common cause of excess length of stay, and the third most common cause of excess mortality and costs (Zhan 2003). PE and DVT are recognized as the most frequent preventable causes of hospital death and disability in low-, middle-, and high-income countries combined (Jha 2013), and preventing VTE has been ranked as number one of 79 strategies aimed to improve patient safety in hospitals (Shojania 2001).

Hospital-acquired VTE, occurring during hospitalization or within the three months after hospitalization, has been shown to underlie more than 50% of all cases of the population burden of VTE (Anderson 2007; Heit 2001; Heit 2002; Noboa 2006; Raskob 2016; Spencer 2007). A USA population-based study reported that hospital-acquired DVT occurs in 1.3% of hospital admissions, and PE occurs in 0.4% of hospital admissions (Stein 2005). About 60% of all VTE events occur as a result of a current or recent hospital admission, mainly for surgery (24%), or medical illness (22%; Heit 2002). Risk factors for hospital-acquired VTE are well-characterized and include surgery, acute medical illness, cancer and cancer therapy, trauma, immobilization, central venous catheters, previous history of VTE, older age, and obesity (Anderson 2003; Barbar 2017; Dobromirski 2012). Almost all hospitalized patients have at least one risk factor for VTE, and approximately 40% have three or more risk factors (Anderson 2003; Kucher 2005a; NICE 2015).

There is irrefutable evidence from numerous randomized clinical trials, conducted over the past three decades, that the appropriate use of primary thromboprophylaxis in target groups of hospitalized medical and surgical patients at elevated risk for VTE is safe, effective, and cost-effective in reducing DVT and PE (Bozarth 2013; Bozzato 2012; Geerts 2008; Hansrani 2017; Shirvanian 2015; Streiff 2012). Since 1986, many clinical practice guidelines have systematically reviewed and synthesized the evidence from these trials, and strongly recommended the use of thromboprophylaxis in hospitalized patients at risk for VTE (Falck-Ytter 2012; Farge 2016; Geerts 2008; Gould 2012; Jacobs 2012; Kahn 2012; Liew 2017; NICE 2015; Nicolaides 2013; Qaseem 2011). Some have explicitly recommended that hospitals should develop a formal strategy that addresses VTE prevention, ideally in the form of a written, active hospital-wide thromboprophylaxis policy (Beckman 2016; Geerts 2008; Geerts 2009; Maynard 2016).

Notwithstanding the publication of more than 20 practice guidelines since 1986 recommending the use of thromboprophylaxis, audits conducted in numerous countries,

in various groups of hospitalized patients, show that thromboprophylaxis continues to be underutilized or utilized inappropriately (Adamali 2013; Akinbobuyi 2016; Al-Hameed 2014; Dobesh 2010; Farfan 2016; Geahchan 2016; Golian 2016; Hibbert 2016; Kahn 2007; Kakkar 2010; Kerbauy 2013; Khoury 2011; Kim 2016; Randelli 2016; Schleyer 2011; Stein 2011; Vazquez 2014). Furthermore, population-based data have not shown a reduction in the overall incidence of VTE over time (Alotaibi 2016; Heit 2016; Raskob 2014), nor have they consistently shown an important reduction in the number of deaths from PE in hospitalized patients diagnosed with PE (Bikdeli 2016; de Miguel-Díez 2014; Jiménez 2016; Minges 2015; Stein 2012; Tsai 2012). The increasing number of patients diagnosed with PE may also artificially offset recent reports of reduced PE mortality (Konstantinides 2016). Hence, it is clear that a gap exists between the available evidence and the systematic implementation of this evidence into clinical practice.

In the last few years, in an effort to reduce preventable mortality and morbidity in hospital settings, there has been an increased focus on the best ways to systematically improve compliance with VTE prophylaxis recommendations (e.g. National Institute for Health and Clinical Excellence, UK; The Joint Commission and National Quality Forum, USA; Canadian Patient Safety Institute, Canada). As a consequence, reducing the rates of VTE in hospitalized patients has been identified as an urgent public health priority, and researchers have begun to address this issue from a healthcare provider systems perspective. In 2013, in a critical review of the evidence supporting various strategies to improve patient safety, interventions to improve prophylaxis for VTE were classified as strongly encouraged patient safety practices that were ready for adoption (Shekelle 2013a; Shekelle 2013b). Various types of system-wide interventions have been proposed in an attempt to improve the prescription of thromboprophylaxis in hospitalized patients (Amin 2009; Lau 2014; Maynard 2016; Schünemann 2004; Tooher 2005). Examples of system-wide interventions that have been evaluated to date include: passive strategies, such as simple distribution of guidelines, audit and feedback (e.g. review of performance), and the use of passive reminders, such as preprinted orders (e.g. written, predefined orders, which can be completed by the physician on paper or electronically); active strategies, such as the use of automatic reminder systems that include alerts (e.g. human alerts, by a trained nurse, pharmacist, or staff member; or computer, electronic alerts); multifaceted approaches that combine different types of interventions (e.g. combination of education, audit and feedback, and alerts); educational approaches, which focus on the teaching and learning process by organizing educational events (e.g. grand rounds, selfadministered courses).

Description of the condition

Venous thromboembolism (VTE) is a condition in which a blood clot forms in a vein. It most commonly occurs in the deep veins of the legs; this is called deep venous thrombosis (DVT). The blood clot may dislodge from its site of origin and travel to the lungs; this phenomenon is called pulmonary embolism (PE).

Description of the intervention

Various pharmacologic (e.g. anticoagulant or 'blood thinner' medications) and mechanical (e.g. compression devices) interventions are used for primary prophylaxis (prevention) of VTE. System-wide interventions are those that attempt to reach one or



more components of the healthcare provider system as a whole. These can include alerts (e.g. computer alerts or human alerts), multifaceted interventions (e.g. combination of education, audit and feedback, and alerts), educational interventions (e.g. grand rounds, self-administered courses), and preprinted orders (e.g. written predefined orders that can be completed by the physician on paper or electronically).

How the intervention might work

These system-wide interventions, by reaching one or more components of the healthcare provider system as a whole, might help to improve the prescription of thromboprophylaxis (e.g. pharmacologic or mechanical modalities, or both) in hospitalized medical and surgical patients at risk of VTE.

Why it is important to do this review

In our previous Cochrane review, we assessed the effectiveness of various system-wide interventions, designed to increase the implementation of thromboprophylaxis in hospitalized medical and surgical patients at risk for VTE (Kahn 2013). However, as 47 of the 55 included studies were observational in design, the risk of bias was substantial and the certainty of evidence for improvement in outcomes was very low. Since 2013, many new trials, but no relevant systematic reviews with meta-analyses have been published; therefore, an update of our systematic review focused solely on randomized controlled trials (RCTs) was warranted.

This updated review of data from RCTs included new studies, and addressed the effectiveness of various system-wide interventions, designed to increase the use of thromboprophylaxis, and decrease the risk of VTE in hospitalized medical and surgical patients at risk for VTE.

This review aimed to help identify the most effective systemwide interventions for thromboprophylaxis. These interventions could be implemented to help clinicians and other healthcare professionals improve the use of appropriate thromboprophylaxis in hospitalized medical and surgical patients at risk of VTE, and thereby reduce morbidity and mortality from this preventable complication of hospitalization.

OBJECTIVES

The objective of this review was to assess the effects of system-wide interventions, designed to increase the implementation of thromboprophylaxis, decrease the incidence of VTE in hospitalized adult medical and surgical patients at risk for VTE, or both, focusing on randomized controlled trials (RCTs) only.

We assessed effectiveness in terms of:

- 1. Increase in the proportion of participants who received prophylaxis (RP)
- 2. Increase in the proportion of participants who received appropriate prophylaxis (RAP)
- Decrease in the proportion of participants who developed any VTE (i.e. all, symptomatic, asymptomatic VTE; proximal, distal, or any DVT; PE, or fatal PE)
- Decrease in the proportion of participants who developed symptomatic VTE (i.e. all VTE; proximal, distal, or any DVT; PE, or fatal PE)

- 5. Decrease in the proportion of participants who develop asymptomatic VTE (detected by systematic screening of participants who did not have symptoms of DVT or PE)
- 6. Decrease in the number of deaths (all-cause mortality, sudden death)
- Safety of the intervention (e.g. frequency of bleeding or other complications)

METHODS

Criteria for considering studies for this review

Types of studies

We considered all RCTs that included a control group, and evaluated the effectiveness of one or more system-wide interventions designed to increase the implementation of thromboprophylaxis in hospitalized adult medical or surgical patients at risk for VTE. Thus, we considered parallel group RCTs, cross-over RCTs, factorial design RCTs, cluster-randomized controlled trials (CRTs), and quasi-RCTs (QRCTs, e.g. those using pseudo-randomization methods, such as even or odd date of birth). We accepted control group comparisons such as no intervention, an existing policy, or another type of intervention. We considered studies and abstracts in any language.

Types of participants

Depending on the study design, participants could include hospitalized adult medical or surgical inpatients, their physicians, residents, or nurses, or in the case of CRTs, the cluster unit (e.g. ward, hospital, physician practice).

Types of interventions

Interventions included any strategy targeted at individuals or clustered units that aimed to increase the use of thromboprophylaxis in hospitalized patients at risk for VTE, decrease the rate of symptomatic or asymptomatic VTE, or both. Examples of interventions included alerts, multifaceted interventions, educational interventions, and preprinted paper or electronic orders.

We excluded studies in which the intervention was a simple distribution of published guidelines, and studies in which the intervention was not clearly described.

Types of outcome measures

Primary outcomes

 Increase in the proportion of participants who received prophylaxis (RP). Prophylaxis could be either pharmacologic or mechanical

Secondary outcomes

- Increase in the proportion of participants who received appropriate prophylaxis (RAP). The definition of appropriate prophylaxis was that used by the respective study authors
- Decrease in the proportion of participants who developed any VTE (i.e. all, symptomatic, asymptomatic; any, proximal, distal, DVT; PE, fatal PE)
- Decrease in the proportion of participants who developed symptomatic VTE (all VTE; any, proximal, distal DVT; PE, fatal PE)



- Decrease in the proportion of participants who developed asymptomatic VTE (detected by systematic screening of participants who did not have symptoms of DVT or PE)
- Decrease in the number of deaths (all-cause mortality, sudden death)
- Safety of the intervention, e.g. frequency of clinically relevant bleeding (major hemorrhage, minor hemorrhage), or other complications

We included studies if the study design, population, and intervention were clearly described, if data were provided separately by intervention group and for VTE outcomes, and if VTE was diagnosed using objective, accepted diagnostic criteria.

Search methods for identification of studies

Electronic searches

A research librarian (author MM), trained in systematic review searching, created a comprehensive, systematic search strategy to identify RCTs that assessed interventions designed to increase the use of thromboprophylaxis, decrease the incidence of VTE in hospitalized patients, or both. We developed the strategy for MEDLINE Ovid, and subsequently translated it to the Cochrane Central Register of Controlled Trials (CENTRAL; 2017, Issue 1) in the Cochrane Library, PubMed, Embase Ovid, BIOSIS Previews Ovid, CINAHL (Cumulative Index to Nursing and Allied Health Literature), Web of Science, the Database of Abstracts of Reviews of Effects (DARE; 2017, Issue 1) in the Cochrane Library, the NHS Economic Evaluation Database (EED; 2017, Issue 1) in the Cochrane Library, LILACS (Latin American and Caribbean Health Sciences Literature), and clinicaltrials.gov. We have set out the search strategies in Appendix 1; Appendix 2; Appendix 3; Appendix 4; Appendix 5; Appendix 6; Appendix 7; Appendix 8. They comprised a combination of Medical Subject Headings (MeSH) or their equivalent (where available), keywords, truncations, and Boolean operators. We searched the databases from inception to 28 July 2015. We updated the searches monthly until 7 January 2017.

We applied no language restrictions.

Searching other resources

We handsearched the reference lists of relevant retrieved studies, including narrative and systematic reviews, to find additional potentially relevant studies.

Data collection and analysis

Selection of studies

Two review authors independently reviewed titles, abstracts, and full-texts of each study, and indicated on a Study Eligibility Form if the study should be included, excluded, or if they were undecided. Disagreements regarding study inclusion were resolved by discussion between the two review authors, and if necessary, by involving a third independent review author. All studies marked 'undecided' by one review author were discussed further between the two review authors, and then deemed included or excluded.

Data extraction and management

Two review authors independently extracted data from the included articles. The data obtained for each study were entered in duplicate into two identical databases, which were designed

by Information Management Services of the Lady Davis Institute in Montréal, Canada. They compared the two databases for inaccuracies, and corrected any data entry errors. If agreement on the data entered for a given data field could not be reached between the two extractors, they consulted a third extractor. They populated a third, final database, with the final adjudicated data. They extracted the following information on participants, intervention, comparator, outcome, setting (i.e. PICOS) from each study (if available), using a standardized data extraction form (one form per study), based on the Cochrane EPOC data collection template.

- 1. RCT design: parallel group, cross-over, cluster, or factorial design
- 2. Randomization procedure, unit of randomization and analysis
- 3. Study period, years of enrolment, year of publication, duration and completeness of follow-up
- 4. Cluster unit, intracluster correlation (ICC) if applicable
- Study setting (hospital, or center characteristics): number of centers, university-affiliated hospital, community hospital, physician practice, type of healthcare system (public versus private), departments included
- 6. Physician characteristics: number of physicians, physician specialties
- 7. Patient characteristics: patient types (medical, surgical, trauma, other), inclusion and exclusion criteria, number of patients screened and included, average age, percent male, comorbidities and individual VTE risk profile; (e.g. age, sex, cancer patient, cardiac patient)
- 8. Description of intervention (active and control arms): type of intervention (alerts, multifaceted interventions, educational interventions, preprinted orders, other), intervention components (alert, no alert), type of alert (computer alert, human alert)
- Control group characteristics: control intervention, timing of control intervention (before or concurrent with intervention group)
- 10.VTE prophylaxis used in the study: pharmacologic (type, dose), mechanical
- 11. Was appropriateness of prophylaxis assessed? How was appropriateness of prophylaxis defined?
- 12. Method of VTE screening, diagnosis, or both



- 13. Outcomes, raw data, effect estimates
 - a. Number, proportion of participants who received prophylaxis (RP)
 - b. Number, proportion of participants who received appropriate prophylaxis (RAP)
 - c. Number, proportion of participants who developed any VTE (all, symptomatic, asymptomatic VTE; any, proximal, distal, DVT; PE, fatal PE)
 - d. Number, proportion of participants who developed symptomatic VTE (all VTE; any, proximal, distal DVT; PE, fatal PE)
 - e. Number, proportion of participants who developed asymptomatic VTE (all VTE; any, proximal, distal DVT; PE, fatal PE)
 - f. Number, proportion of deaths (e.g. all-cause mortality, sudden death)
 - g. Number, proportion of participants who developed complications possibly related to the intervention (e.g. major bleeding, minor bleeding, thrombocytopenia)
 - h. Effect estimate and variance estimates for these outcomes where raw data were unavailable
- 14.Risk of bias: we also extracted information on methodological quality and potential biases for each study, as described in the following section. We constructed tables of characteristics that described study data and methodological quality (i.e. 'Risk of bias' tables) for each study.

Assessment of risk of bias in included studies

Two review authors independently assessed the risk of bias of each study, using a component approach rather than summarizing internal study quality in an overall score. We used Cochrane's tool to assess the risk of bias (Higgins 2011). We assessed all items listed as other potential sources of bias (section 8.15.1), including the design-specific risks of bias for CRTs (section 16.3.2) and multiple intervention studies (section 16.5.3; Higgins 2011). We resolved disagreements by discussion among co-authors. We assessed the following potential sources of bias and rated them as high risk, low risk, or unclear risk of bias (ROB): allocation (selection bias), blinding (performance bias and detection bias), incomplete outcome data (attrition bias), selective reporting (reporting bias), and other potential sources of bias.

For all studies:

- 1. Was there selection bias (biased allocation to interventions) due to inadequate generation of a randomized sequence?
- 2. Was there selection bias (biased allocation to interventions) due to inadequate concealment of allocations prior to assignment?
- 3. Was there performance bias due to knowledge of the allocated interventions by participants and personnel during the study?
- 4. Was there detection bias due to knowledge of the allocated interventions by outcome assessors?
- 5. Was there attrition bias due to amount, nature, or handling of incomplete outcome data?
- 6. Was there reporting bias due to selective outcome reporting?

- 7. Were there other biases due to problems not covered previously in the risk of bias selection?
 - a. If CRT, was there recruitment bias?
 - b. If CRT, was there baseline imbalance?
 - c. If CRT, was there loss of clusters?
 - d. If CRT, was there incorrect analysis?
 - e. If CRT, was there lack of comparability with individually randomized trials?
 - f. If multi-intervention study, were data presented for each of the groups to which participants were randomized?
 - g. If multi-intervention study, were reports of the study free of suggestion of selective reporting of comparisons of intervention arms for some outcomes?
 - h. Any other potential biases

We assessed and incorporated the overall ROB for each of the included studies in our analysis. Although the use of summative scores was not encouraged in the *Cochrane Handbook of Systematic Reviews for Interventions* (section 8.7), we elected to assign quantitative scores for ROB for all included studies using a simple system (Higgins 2011). For each of the seven ROB domains, a negative score (-1) was assigned for each high ROB response, a score of zero was assigned for each unclear ROB response, and a positive score was assigned for each low ROB response. Summary scores of less than -1 were considered as high ROB, summary scores of 0 were considered as unclear ROB, and summary scores of greater than +1 were considered low ROB (Table 1).

We removed studies that were considered at high overall ROB as part of a sensitivity analysis (see sensitivity analysis), as we considered that these studies might be associated with an under-or overestimation of intervention effect, although the magnitude of this bias was difficult to quantify.

Measures of treatment effect

We grouped and analyzed studies by type of intervention, type of study design, and type of outcome. For the primary outcome (RP) and the secondary outcome (RAP), we summarized the effects of the intervention using the risk difference (RD), which provides an absolute measure of effect. We also summarized the relative effects using the risk ratio (RR). We examined I² values for both absolute and relative effect measures, and found that using absolute measures did not systematically introduce statistical heterogeneity. For the secondary outcomes VTE, mortality, and safety outcomes (which had a much lower rate of occurrence, and for which absolute rates varied widely depending on the population studied and definition used), we summarized the relative effects using the risk ratio (RR). For CRTs, we preferentially used effect estimates for which the variance had been adjusted, to account for the clustered nature of the data, either by including appropriate cluster-adjusted estimates reported in the individual studies, or by conducting an approximate adjustment for the cluster design effect, as advised in the Cochrane Handbook of Systematic Reviews for Interventions (section 16.3; Higgins 2011). Where this was not possible, we used unadjusted effect estimates. It should be noted that the variance for these unadjusted effect estimates may in fact be greater, and therefore these results should be interpreted with some caution. We presented the treatment effects with 95% confidence intervals (CIs)



Unit of analysis issues

For studies evaluating more than one intervention, we followed the recommendations in the Cochrane Handbook of Systematic Reviews for Interventions (section 16.5.4; Higgins 2011). One of the included studies had two separate intervention groups, which fell into two different intervention categories, with a common control group (Anderson 1994). We compared each intervention group to the control group, and performed meta-analysis with the control group and each intervention group. Four of the 10 CRTs reported an ICC for the cluster design effect in their analyses (Cavalcanti 2016; Labarere 2007; Pai 2013; Roy 2016). For the six CRTs that did not, we estimated an ICC for each trial based on the ICCs (average, minimum, maximum) of the four trials that did, and performed sensitivity analysis as recommended in the Cochrane Handbook of Systematic Reviews for Interventions (section 16.3; Higgins 2011). Adjustment for the clustered design was only feasible for the metaanalysis of multifaceted interventions.

Dealing with missing data

We contacted three original investigators to request missing data (Chapman 2011; Dexter 2001; Hinchey 2010). One investigator provided additional data (Dexter 2001), and two either did not respond, or were unable to provide the requested data (Chapman 2011; Hinchey 2010). We were able to calculate the missing data for Chapman 2011, but were unable to do so for Hinchey 2010, thus, we decided to exclude the data from this trial in the quantitative analysis. We did not use statistical methods to impute missing values or model missing data.

Assessment of heterogeneity

We estimated the amount of statistical heterogeneity that was present using the I² statistic, and had planned to investigate clinical and methodological sources of heterogeneity via subgroup analysis and meta-regression. The I² statistic determines the percentage of the variability in the effect estimate that is above and beyond what is expected through sampling error (i.e. chance). We considered values greater than 50% to suggest important statistical heterogeneity. We also performed the Chi² test for heterogeneity to assess whether the observed differences in between-study results were compatible with chance alone, whereby a P < 0.05 to < 0.1 suggested significant heterogeneity, with the recognition that this is a low-power test (Chi² results are derived from the I² statistic, and are shown in forest plots).

Assessment of reporting biases

We graphed and visually examined funnel plots centered around the pooled studies effect (either RD or RR) to assess the potential for publication bias. However, we only presented these in the Appendices as we believed that these could be misleading: given the small number of trials that could be meta-analyzed in this review, we were underpowered (less than 10 studies) to distinguish chance from real asymmetry (Higgins 2011). Indeed, many other factors can contribute to asymmetry of the funnel plot, such as selective outcome reporting, differences in methodological quality among studies, poor methodological quality leading to spuriously inflated effects in smaller studies, true heterogeneity, artefact, and chance (Egger 1997; Higgins 2011). Cumulative meta-analyses were also planned but not performed, due to the small number of included trials.

Data synthesis

Where there were sufficient data (≥ 3 studies), we calculated a summary statistic for each intervention category (alerts, multifaceted interventions, educational interventions, and preprinted orders) and associated outcome, using a random-effects model to pool RD (outcomes RP and RAP) or RR (outcomes VTE, mortality, and safety). When we could not pool studies, we tabulated them and presented them descriptively in the summary results tables (which also included the corresponding meta-analyses).

'Summary of findings' table

We summarized the main findings of our review in two 'Summary of findings' tables, and drew conclusions about the certainty of the evidence for each intervention category (alerts and multifaceted interventions), and each outcome (received prophylaxis, received appropriate prophylaxis, and VTE) that we were able to metaanalyze (Summary of findings for the main comparison; Summary of findings 2). Two review authors independently assessed the certainty of the evidence using the GRADE approach and GRADEpro GDT software (GRADEpro GDT; Guyatt 2011). We used the five GRADE considerations (risk of bias, indirectness of evidence, inconsistency of results, imprecision of results, and publication bias) to assess the evidence according to the methods and recommendations in the Cochrane Handbook of Systematic Reviews for Interventions (sections 8.5, 11.5, and 12.2), and rated the certainty of the evidence as high, moderate, low, or very low (Higgins 2011). We provided detailed explanations in the footnotes of the 'Summary of findings' tables, and made comments to help summarize our decisions during the GRADE assessment where necessary. We also used plain language statements to report these findings throughout the review.

Subgroup analysis and investigation of heterogeneity

We had planned subgroup analyses on patient types (medical versus surgical, type of surgery), patient characteristics (VTE risk profile, e.g. sex, age, cancer, comorbidities), physician specialty, and type of healthcare system (public versus private, university-affiliated versus community hospitals). We also had planned to address heterogeneity in each meta-analysis by considering clinical and methodological sources of heterogeneity across studies, such as variation in study design (e.g. CRTs versus non-CRTs), patient characteristics, type of intervention, intervention components (alert versus no alert), type of alert (computer alert versus human alert), control group, definition of study outcome, differing duration and completeness of study follow-up, and type of analysis (e.g. measure of effect such as RD, RR). However, due to the small number of trials in each meta-analysis, we were unable to perform subgroup analysis or meta-regression.

Sensitivity analysis

We performed an influence analysis, in which we assessed the degree to which excluding single studies, one by one, influenced the magnitude, precision, and direction of the summary results.

Ten of the included trials in this review were CRTs. Where possible, we used the ICC reported by the authors to account for the clustered nature of the data (Fiero 2016). In the analysis of trials that evaluated multifaceted interventions, all of which were CRTs, four of five trials reported an ICC (Cavalcanti 2016; Labarere 2007; Pai



2013; Roy 2016). As a sensitivity analysis, we applied the lowest, the mean, and the highest ICC among these four trials to the one trial that did not report an ICC (Anderson 1994).

To investigate whether methodological quality impacted our results, as a sensitivity analysis, we removed the high ROB trial (see Assessment of risk of bias in included studies, above) from the meta-analysis of trials that evaluated an alerts intervention (Garcia 2009).

Finally, to assess the robustness of our results to our choice of metaanalytic model, we conducted sensitivity analyses using a fixedeffect approach.

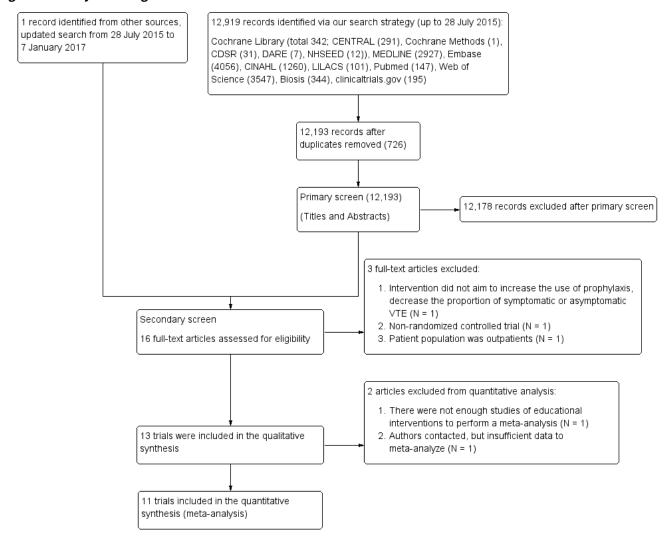
RESULTS

Description of studies

Results of the search

Figure 1 displays the flow diagram of study selection. All the articles identified were in English.

Figure 1. Study flow diagram.



Included studies

We included 13 randomized controlled trials (RCTs) in our systematic review (Anderson 1994; Cavalcanti 2016; Chapman 2011; Dexter 2001; Fontaine 2006; Garcia 2009; Hinchey 2010; Kucher 2005; Labarere 2007; Overhage 1996; Pai 2013; Piazza 2009; Roy 2016; see Characteristics of included studies), three of which were quasi-randomized controlled trials (QRCTs; Garcia 2009; Hinchey 2010; Kucher 2005). We included 11 trials in the meta-analysis. We did not included one trial in the meta-analysis due to missing outcome data (Hinchey 2010). We did not include

another trial in the meta-analysis because there were no other studies assessing a similar intervention (educational interventions alone; Fontaine 2006).

Ten included studies were cluster-randomized controlled trials (CRTs; Anderson 1994; Cavalcanti 2016; Dexter 2001; Fontaine 2006; Garcia 2009; Hinchey 2010; Labarere 2007; Overhage 1996; Pai 2013; Roy 2016), one of which was a study with more than one independent intervention group (Anderson 1994). There were no cross-over or factorial design RCTs, or RCTs that compared multiple dependent intervention arms to a common reference



group (e.g. study with intervention groups, and hence participants, in common, leading to correlated comparisons).

Six studies evaluated an alerts intervention, which used automatic reminder systems to support health care providers' decisions (Chapman 2011; Dexter 2001; Garcia 2009; Kucher 2005; Overhage 1996; Piazza 2009). Of these, three evaluated a computer alert as a reminder (Dexter 2001; Kucher 2005; Overhage 1996), and three evaluated a human alert, using a person, such as a trained nurse, pharmacist, or staff member, to provide a reminder (Chapman 2011; Garcia 2009; Piazza 2009). Six studies evaluated multifaceted interventions that combined different types of system-wide interventions, such as education, audit and feedback, and alert (Anderson 1994; Cavalcanti 2016; Hinchey 2010; Labarere 2007; Pai 2013; Roy 2016), one of which included an alert component (Roy 2016). One study evaluated an educational intervention that used a hospital-administered course with self-assessment examinations (Anderson 1994), and one study evaluated a preprinted orders intervention using predefined anticoagulant prescription forms as a passive reminder (Fontaine 2006).

Excluded studies

We excluded three RCTs that failed to meet our eligibility criteria (Marini 2014; Nendaz 2010; Piazza 2013; see Characteristics of excluded studies). One was excluded because the aim of the study was not to increase the use of prophylaxis, decrease the proportion of symptomatic venous thromboembolism (VTE), or decrease the proportion of asymptomatic VTE (Marini 2014), one study was a non-randomized controlled trial (controlled before-and-after study design; Nendaz 2010), and one study was excluded because the intervention was directed to outpatients (Piazza 2013).

Details of studies excluded in the previous version of the review and details of non-randomized studies previously classed as included studies can be found in Kahn 2013.

Risk of bias in included studies

The 'Risk of bias' tables for each study are shown in the 'Characteristics of included studies' table. Figure 2 and Figure 3 show a summary of the risks of bias in the included studies, as judged by the review authors.

Figure 2. Summary of risk of bias: review authors' judgements about each 'Risk of bias' item presented as percentages across all included studies

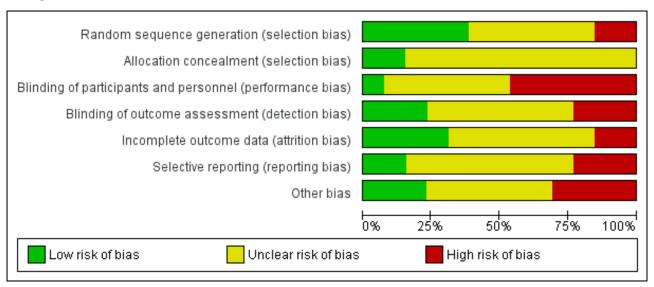




Figure 3. Summary of risk of bias: review authors' judgements about each 'Risk of bias' item for each included study

	Random sequence generation (selection bias)	Allocation concealment (selection bias)	Blinding of participants and personnel (performance bias)	Blinding of outcome assessment (detection bias)	Incomplete outcome data (attrition bias)	Selective reporting (reporting bias)	Other bias
Anderson 1994	?	?	?	?	?	?	
Cavalcanti 2016	•	•		$\color{red} \bullet$?	?	•
Chapman 2011	?	?	?	?	?	?	?
Dexter 2001	•	?	•	?	?	?	?
Fontaine 2006	?	?	?	?	?	?	?
Garcia 2009	?	?		?	?	?	
Garcia 2009 Hinchey 2010	?	?	?	?	?	?	•
	?		?		? •	?	•
Hinchey 2010	? •	?	?	?	•	? •	•
Hinchey 2010 Kucher 2005	•	?	? •	?	•	•	•
Hinchey 2010 Kucher 2005 Labarere 2007	•	?	? •	?	•	•	•
Hinchey 2010 Kucher 2005 Labarere 2007 Overhage 1996	•	?	? • • ?	?	•	•	?



Allocation

In this updated review, the extent of allocation concealment was clearly reported in two studies (Cavalcanti 2016; Labarere 2007), and unclear in 11 studies (Anderson 1994; Chapman 2011; Dexter 2001; Fontaine 2006; Garcia 2009; Hinchey 2010; Kucher 2005; Overhage 1996; Pai 2013; Piazza 2009; Roy 2016). Sequence generation was clearly reported in five studies (Cavalcanti 2016; Dexter 2001; Labarere 2007; Pai 2013; Roy 2016), unclear in six studies (Anderson 1994; Chapman 2011; Fontaine 2006; Garcia 2009; Overhage 1996; Piazza 2009), and inadequate in two studies (Hinchey 2010; Kucher 2005). While sequence generation was clear in Labarere 2007, some unblinding may have occurred during the course of the study as knowledge of the allocated interventions may not have been adequately prevented.

Blinding

For most studies, there was an unclear or high risk of performance and detection bias as a result of lack of, or inadequate blinding of study participants or outcome assessors. Only one study demonstrated adequate blinding of participants and assessors (Kucher 2005).

Incomplete outcome data

Of the 13 studies included in our review, two were judged to have a high risk of bias due to incomplete outcome data (Hinchey 2010; Labarere 2007), seven had an unclear risk of bias due to incomplete outcome data (Anderson 1994; Cavalcanti 2016; Chapman 2011; Dexter 2001; Fontaine 2006; Garcia 2009; Overhage 1996), and four had a low risk of bias related to completeness of outcome data (Kucher 2005; Pai 2013; Piazza 2009; Roy 2016).

Selective reporting

In two studies, there was a low risk of bias related to completeness of outcome reporting (Labarere 2007; Piazza 2009), and in eight studies, there was uncertainty regarding selective outcome reporting (Anderson 1994; Cavalcanti 2016; Chapman 2011; Dexter 2001; Fontaine 2006; Garcia 2009; Overhage 1996; Pai 2013). We judged three studies to have a high risk of bias due to selective outcome reporting for the following reasons: 1) not reporting on safety outcomes (bleeding, heparin induced thrombocytopenia, etc.) at all time points related to outcome assessment, when this was stated as a study objective (Kucher 2005); 2) not reporting on all types of thromboprophylaxis (e.g. mechanical) when increasing the rate of thromboprophylaxis was stated as a study objective (Roy 2016); and 3) not clearly reporting rates or raw data for primary and secondary analysis when improving adherence rates for deep vein thrombosis (DVT) prophylaxis was stated as a study objective (Hinchey 2010).

Other potential sources of bias

In three studies, there was a low risk of bias due to other potential sources of bias (Cavalcanti 2016; Kucher 2005; Pai 2013), while six

were judged to have an unclear risk of bias (Chapman 2011; Dexter 2001; Fontaine 2006; Overhage 1996; Piazza 2009; Roy 2016). Four studies were judged to have a high risk of bias, mainly for not accounting for the clustered study design in the analysis (Anderson 1994; Garcia 2009; Hinchey 2010; Labarere 2007).

Effects of interventions

See: Summary of findings for the main comparison Computer or human alerts interventions versus standard care; Summary of findings 2 Multifaceted interventions versus standard care or another intervention

Studies were grouped for meta-analysis based on intervention type (alerts and multifaceted interventions) and outcome (received prophylaxis, received appropriate prophylaxis, and symptomatic venous thromboembolism (VTE)).

For the interventions educational interventions and preprinted orders, and the outcomes mortality and safety outcomes, there were not enough studies to pool data across trials. Summary of findings for the main comparison summarizes the results from the meta-analyses conducted for alerts for the primary outcome, received prophylaxis and the secondary outcomes, received appropriate prophylaxis and symptomatic VTE and corresponds to the forest plots described below. Summary of findings 2 summarizes the results from the meta-analyses conducted for the multifaceted interventions, for the primary outcome, received prophylaxis. Table 2 presents a sensitivity analysis that attempted to account for the clustered designs of the trials included in the meta-analysis for multifaceted interventions. Additional tables Table 3; Table 4; Table 5; Table 6; Table 7; Table 8 summarize the results for each outcome by intervention and show the results of meta-analyses if there were ≥ 3 studies or the results of individual studies if there were fewer than 3 studies. All funnel plots are shown in Appendix 9.

Alerts versus standard care

Primary outcome

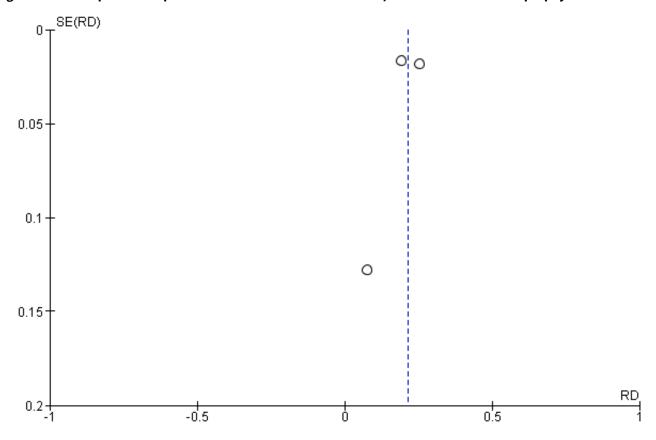
Received prophylaxis

We were able to pool three studies that examined alerts interventions (Kucher 2005; Overhage 1996; Piazza 2009). Among the studies pooled, 451/2534 (17.8%) participants randomized to the control groups, which received standard care, and 1003/2523 (39.7%) participants randomized to the intervention groups, received prophylaxis.

The meta-analysis showed that more participants received prophylaxis in the intervention groups than in the control groups (risk difference (RD) 0.21; 95% confidence interval (CI) 0.15 to 0.27; 3 studies; 5057 participants; Analysis 1.1; Figure 4; low-certainty evidence).



Figure 4. Funnel plot of comparison: 1 Alerts versus standard care, outcome: 1.1 Received prophylaxis.



There was important heterogeneity between studies (I² = 75%). The influence analysis showed that Kucher 2005 was highly influential on the confidence interval, and its omission resulted in an increased variance (Appendix 10). There may have been additional variance due to the clustered design of Overhage 1996. The confidence interval of Overhage 1996 overlapped one (i.e. the null) in Analysis 1.1. While the point estimate was not affected by its omission in the influence analysis, the confidence interval in the main analysis might have been wider if clustering had been adequately accounted for in the analysis. Unfortunately, this trial did not provide sufficient data (ICC or adjusted CIs) for us to pool cluster-adjusted estimates.

Secondary outcomes

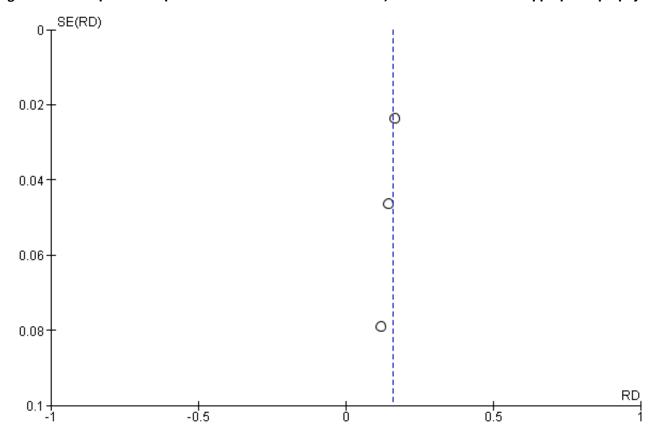
Received appropriate prophylaxis

We were able to assess the number of participants who received appropriate prophylaxis in three of the trials that examined alerts (Chapman 2011; Dexter 2001; Garcia 2009). Among the studies pooled, 279/914 (30.5%) participants in the standard care (control) groups and 419/906 (46.2%) participants in the intervention groups received appropriate prophylaxis.

The meta-analysis showed that alerts were associated with more participants who received appropriate prophylaxis (RD 0.16, 95% CI 0.12 to 0.20; 3 studies; 1820 participants; Analysis 1.2; Figure 5; moderate-certainty evidence). There was no heterogeneity between studies ($I^2 = 0\%$).



Figure 5. Funnel plot of comparison: 1 Alerts versus standard care, outcome: 1.2 Received appropriate prophylaxis.



The influence analysis showed that none of the studies had a substantial influence on the pooled effect. A sensitivity analysis removing the trial with a high risk of bias did not substantially impact the point estimate (Garcia 2009; Appendix 10). There may have been additional variance contributed to the main analysis by the clustered designs of Dexter 2001 and Garcia 2009); unfortunately, these trials did not provide sufficient data (ICC or adjusted confidence intervals) for us to pool cluster-adjusted estimates.

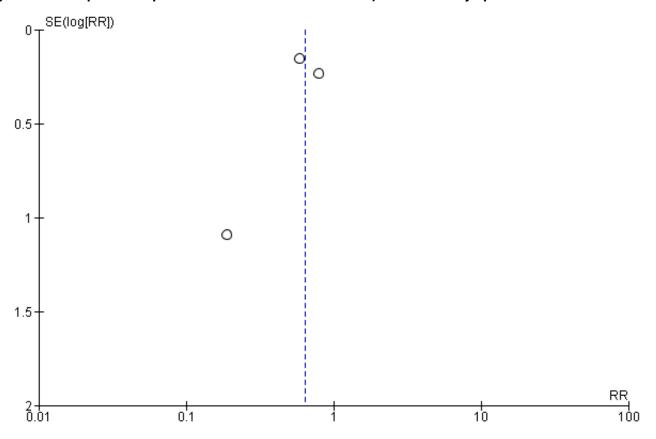
Venous thromboembolism (VTE)

Three alerts studies reported on the presence of symptomatic VTE at 90 days post-intervention (Chapman 2011; Kucher 2005; Piazza 2009). Among the studies pooled, there were 149/2678 (5.6%) participants in the control (standard care) groups and 94/2675 (3.5%) participants in the intervention groups who developed VTE.

The meta-analysis showed that alerts were associated with a decrease in the risk of VTE (risk ratio (RR) 0.64, 95% CI 0.47 to 0.86; three studies; 5353 participants; Analysis 1.3; Figure 6; low-certainty evidence).



Figure 6. Funnel plot of comparison: 1 Alerts versus standard care, outcome: 1.3 Symptomatic VTE.



There was low heterogeneity between studies ($I^2 = 15\%$). The influence analysis showed that omitting Kucher 2005 from the analysis increased the variance and moved the point estimate towards the null (Appendix 10).

Any VTE, asymptomatic VTE and their components DVT and PE were not reported by the included studies.

Mortality and safety outcomes

Two studies reported mortality and safety outcomes (all-cause mortality, major bleeding) related to the use of alerts interventions (Kucher 2005; Piazza 2009). However, there was insufficient evidence to show that there were any clear differences between groups with regards to these outcomes (Table 3). Kucher 2005 also reported on the safety outcome of minor bleeding, which did not appear to differ in frequency between the intervention and control groups.

Results according to the type of alert

Computer alerts

See Table 4

Two studies found that more participants in the computer alerts groups received prophylaxis, over those who received standard care (Kucher 2005; Overhage 1996); however, only the Kucher 2005 study showed a clear difference between the computer alerts and standard care groups. Overhage 1996 did not report an ICC for the cluster design effect, thus, the effect estimate was not adjusted for the clustered nature of the data.

One computer alerts study reported the outcome received appropriate prophylaxis and showed that more participants received appropriate prophylaxis in the group that received computer alerts over those who received standard care (Dexter 2001).

One computer alerts study reported the outcome VTE and showed a decrease in the risk of developing VTE in the group that received computer alerts over those who received standard care (Kucher 2005). Mortality and safety outcomes are reported in Table 4.

Human alerts

See Table 5

One human alerts study reported the outcome received prophylaxis and showed that more participants received prophylaxis in the group that received human alerts, over those who received standard care (Piazza 2009). Two studies reported that there were more participants who received appropriate prophylaxis in the group that received human alerts than in the standard care group (Chapman 2011; Garcia 2009); however, only the Chapman 2011 study showed a clear difference between the human alerts and standard care groups (Table 5). Garcia 2009 did not report an ICC for the cluster design effect, thus the effect estimate was not adjusted for the clustered nature of the data.

The two studies that examined human alerts found there was no clear difference between the human alerts and standard care groups in the number of participants who developed VTE



(Chapman 2011; Piazza 2009; Table 5). Mortality and safety outcomes are reported in Table 5.

Multifaceted interventions versus standard care or another intervention

Primary outcome

Received prophylaxis

Across the five cluster-randomized trials (CRTs), 6690/12722 (52.6%) participants randomized to the control groups (standard care or another intervention) and 7493/13608 (55.1%) participants randomized to the intervention groups (multifaceted

interventions) received prophylaxis (Anderson 1994; Cavalcanti 2016; Labarere 2007; Pai 2013; Roy 2016).

Without adjusting for clustering, the meta-analysis showed a small increase in the proportion of participants who received prophylaxis in the intervention groups (RD 0.03, 95% CI 0.00 to 0.05; 5 studies; 26,330 participants; Analysis 2.1; Figure 7; moderate-certainty evidence). There was significant heterogeneity between studies (I² = 64%) when we did not account for clustering. When we adjusted for the cluster design effect with reported ICCs, the point estimate moved slightly away from the null, and remained significant (RD 0.04, 95% CI 0.02 to 0.06; 5 studies; 9198 participants; Analysis 2.2; Figure 8; moderate-certainty evidence), and the I² decreased to 0%.

Figure 7. Funnel plot of comparison: 2 Multifaceted interventions versus standard care or another intervention, outcome: 2.1 Received prophylaxis (unadjusted).

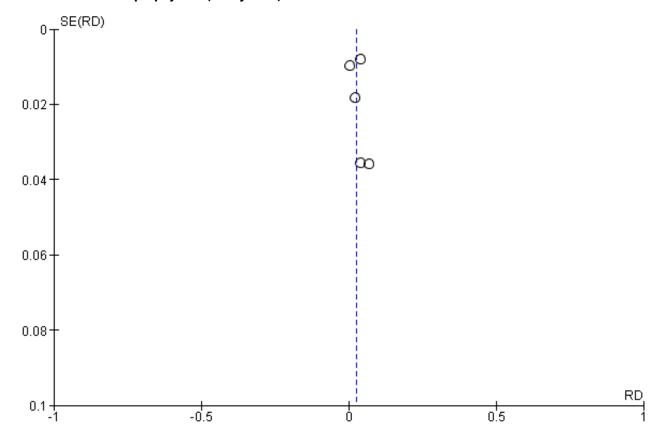
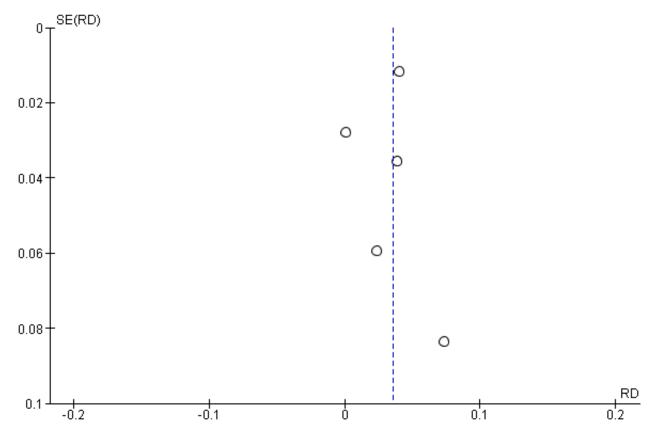




Figure 8. Funnel plot of comparison: 2 Multifaceted interventions versus standard care or another intervention, outcome: 2.2 Received prophylaxis (adjusted).



In the analysis of studies with multifaceted interventions, four of five trials reported an ICC. As a sensitivity analysis, we applied the lowest (Analysis 2.3), the mean (Analysis 2.4), and the highest ICC (Analysis 2.5) among these four trials to the one trial that did not report an ICC (Anderson 1994). All of these analyses showed similar point estimates and similar variance (Table 2).

The influence analysis showed that omitting the Roy 2016 trial from the adjusted analysis, the one multifaceted interventions study that included an alert component, was associated with a decrease in the pooled RD to 0.02 (95% CI -0.02 to 0.06), with the result no longer significant (Appendix 10).

The study by Labarere 2007 was different from the other studies, in that it used an intervention (combination of educational session, dissemination of educational material, audit and feedback) as the control group. Therefore, the effect of multifaceted interventions may have been greater than what was found in our meta-analysis. However, when we removed Labarere 2007 from the adjusted analysis, as part of a sensitivity analysis, the point estimate of the main analysis was not affected Appendix 10).

Secondary outcomes

Received appropriate prophylaxis

Two studies of multifaceted interventions reported on the outcome received appropriate prophylaxis (Pai 2013; Roy 2016; Table 6). Pai 2013 showed a 3% increase in the intervention group for those who received appropriate prophylaxis (95% CI -0% to 6%), while Roy

2016 showed a 2% increase in the intervention group for received appropriate prophylaxis (95% CI 1% to 3%).

Venous thromboembolism (VTE)

Two studies of multifaceted interventions also reported one or more VTE outcomes (symptomatic VTE, symptomatic DVT, symptomatic PE, and asymptomatic DVT), however, we found no clear differences between the multifaceted interventions and control groups in these studies (Labarere 2007; Roy 2016; Table 6).

Mortality and safety outcomes

Three studies of multifaceted interventions reported one or more mortality and safety outcomes (all-cause mortality, sudden death, major bleeding, and thrombocytopenia; Cavalcanti 2016; Labarere 2007; Roy 2016) (Table 6); we found no clear differences between multifaceted interventions and control groups in these studies.

Multifaceted interventions components

All multifaceted intervention studies evaluated an educational intervention combined with other types of interventions (distribution of guidelines, audit and feedback, preprinted orders, and reminders). One multifaceted interventions study included an alert component. Roy 2016 evaluated a computer alert (computer-based clinical decision support system and computerized reminders) along with educational lectures, posters, and pocket cards, and showed a 4% increase in participants who received prophylaxis and a 2% increase in participants who



received appropriate prophylaxis (Table 6). However, the computer alert component of the intervention was implemented in only two of the 14 intervention group centers. Thus, the overall effect of this multifaceted intervention might have been smaller than expected.

Among the four multifaceted trials that did not involve an alert component, all reported the number of participants who received prophylaxis, and we found no clear difference between groups (Anderson 1994; Cavalcanti 2016; Labarere 2007; Pai 2013; Analysis 2.1; Analysis 2.2; Figure 7; Figure 8). Cavalcanti 2016 evaluated a multifaceted intervention that included a reminder via SMS messages, sent one to three times a week, to ensure follow-through with daily checklist adherence and goals of care, along with daily multidisciplinary rounds. We found that this reminder component was not similar to the other alerts in our analysis, in that the timing of the alert was not at the point of prescription, but rather a general reminder to complete checklists that targeted a broad spectrum of care processes, including thromboprophylaxis.

Educational interventions

Anderson 1994 studied two types of interventions, an educational (continuing medical education) program and a multifaceted intervention (continuing medical education in association with a quality assurance program), and compared each to a control group. They reported a 4% increase (95% CI -3% to 11%) in the number of participants who received prophylaxis in the multifaceted intervention group, and a 2% decrease (95% CI -9% to 5%) in the number of participants who received prophylaxis in the educational intervention group (Table 7).

Preprinted orders

One study evaluated the use of preprinted orders, based on written thromboprophylaxis prescription aids, and reported a 5% decrease (95% CI -12% to 2%) in the number of participants who received prophylaxis, compared to the group whose caregivers did not use preprinted orders (Fontaine 2006; Table 8). Due to the passive form of dissemination of this intervention, we did not consider it to be an alert intervention.

DISCUSSION

Summary of main results

Venous thromboembolism (VTE) is a leading, potentially preventable, cause of morbidity and mortality in hospitalized patients. We included 13 RCTs, which implemented a variety of system-wide interventions aimed at improving thromboprophylaxis rates in many settings and patient populations. Among the 11 RCTs (N = 33,207 participants) that we included in our quantitative analyses, we found improvements in the prescription of thromboprophylaxis associated with alerts (received prophylaxis: 3 studies; 5057 participants; lowcertainty evidence; received appropriate prophylaxis: 3 studies; 1820 participants; moderate-certainty evidence), and multifaceted interventions (5 studies; 9198 participants; moderate-certainty evidence). However, multifaceted interventions appeared to be less effective overall than alerts. Due to a lack of studies, we were unable to assess if multifaceted interventions that included an alert component were more effective than those that did not include an alert. We also found that in studies that reported the development of symptomatic VTE, the risk of symptomatic VTE was reduced with the use of alerts, particularly with computer alerts (3 studies; 5353 participants; low-certainty evidence). However, there were not enough studies to pool results for computer alerts and human alerts separately.

Strengths of our review were that we considered studies, published in any language, with randomized designs (randomized, cluster-randomized, and quasi-randomized), which studied various interventions, settings, and patient populations. Our review was based on a peer reviewed protocol and followed current Cochrane methodological standards: we screened the reference lists of all included studies as well as narrative and systematic reviews identified by the literature searches in an attempt to identify additional studies; two review authors independently made decisions about study eligibility, assessed for risk of bias, extracted data, and conducted the analyses.

Overall completeness and applicability of evidence

Eight studies did not report on the appropriateness of prophylaxis as an outcome. Seven studies did not report relevant clinical outcomes, such as VTE and bleeding.

Quality of the evidence

Our systematic approach to searching the literature, study selection, and data extraction followed the guidance of the Cochrane Handbook for Systematic Reviews of Interventions (Higgins 2011). The risk of bias of the included studies was variable (Figure 2; Figure 3). Moderate- or low-certainty evidence supported the effectiveness of alerts interventions to increase thromboprophylaxis and decrease the incidence of symptomatic or asymptomatic VTE in hospitalized adult medical and surgical patients at risk for VTE, according to the GRADE approach (Summary of findings for the main comparison). We downgraded the certainty of the evidence from high to low for the outcome 'received prophylaxis' because of serious risk of bias in the RCTs, and inconsistency in the pooled results (unexplained statistical heterogeneity). We downgraded the certainty of the evidence from high to moderate for the outcome 'received appropriate prophylaxis' because of serious risk of bias in the included RCTs. We downgraded the certainty of the evidence from high to low for the outcome 'symptomatic VTE' because of serious risk of bias in included RCTs, and imprecision of results, related to the small number of VTE events (less than 300). We could not assess the presence of publication bias because we had fewer than 10 included studies. However, despite the fact that all analyses were underpowered to distinguish chance from real asymmetry, there was nearly a symmetrical distribution of individuals trials around the pooled estimate of effect in each meta-analysis, particularly for the outcome received appropriate prophylaxis.

Moderate-certainty evidence supported the effectiveness of multifaceted interventions to increase thromboprophylaxis and decrease the incidence of symptomatic or asymptomatic VTE in hospitalized adult medical and surgical patients at risk for VTE, according to the GRADE approach (Summary of findings 2). We downgraded the certainty of the evidence from high to moderate for the outcome 'received prophylaxis', because of serious risk of bias in included RCTs. We could not assess the presence of publication bias, because we had fewer than 10 included studies. However, despite the fact that the analysis was underpowered to distinguish chance from real asymmetry, there was a symmetrical



distribution of individuals trials around the pooled estimate of effect.

The incomplete reporting of many included studies did not allow complete assessment of relevant study design features, such as sequence generation, allocation concealment, blinding of participants and personnel, blinding of outcome assessment, incomplete outcome data, selective reporting, and other potential sources of bias. Thus, the risk of bias of included studies was high. Ten of the included trials were of cluster design. While we were able to account for clustering using the reported ICC where available, in many cases, the ICC was not provided in the study report, leading to CIs that may have been narrower than if clustering had been adequately accounted for.

Potential biases in the review process

Search strategy

Our full literature search was last performed 7 January 2017. We searched databases from inception to 28 July 2015. Our research librarian updated the same search monthly 7 January 2017, when we closed our database. Any studies published subsequent to this date will be considered in future updates of this review. Despite the efforts made to conduct the most rigorous, sensitive and comprehensive search possible, it is nonetheless possible that we may have missed some studies.

Screening

We believe that there was low potential for bias in our screening process. Our process was very inclusive and we only eliminated studies that were not RCTs, or RCTs that did not evaluate interventions that aimed to increase the use of thromboprophylaxis, or appropriate thromboprophylaxis, or decrease the rate of VTE. Furthermore, screening of abstracts and article records was done in duplicate and any study identified as being potentially relevant by either review author was included in the full-text stage of study selection.

Data extraction

The data extraction form used in this review was designed to capture data on a variety of outcomes, and all data were extracted independently by two review authors. Therefore it is unlikely that we missed any data if they were reported in the article itself. We contacted the authors of four studies to obtain missing data, but were able to obtain additional data for only two of these (Cavalcanti 2016; Dexter 2001). The 'Risk of bias' assessment presented in this review was based solely on the data that were presented in the individual articles and data received through correspondence with the two authors.

Analysis

For our meta-analysis, we used between-group risk differences (alerts interventions studies), unadjusted for clustering, because ICCs were only provided for 4/10 CRTs. For the analysis of multifaceted interventions studies, we adjusted the risk differences using the ICCs reported, according to the methods in the *Cochrane Handbook of Systematic Reviews of Interventions* (Higgins 2011). Six of the cluster design trials in our review did not report ICCs; as a result, there is a potential of artificially narrow confidence intervals around the risk differences in the pooled unadjusted estimates.

Finally, the outcome 'received prophylaxis' may be an imperfect surrogate for 'received appropriate prophylaxis'.

To assess the robustness of our results using a random-effects model, we conducted sensitivity analyses using a fixed-effect approach (results not shown).

Agreements and disagreements with other studies or reviews

In our previous Cochrane Review that included 47 observational studies and eight RCTs, we found improvements in the prescription of thromboprophylaxis or appropriate thromboprophylaxis associated with the use of alerts, multifaceted interventions, and educational interventions (Kahn 2013). Multifaceted interventions with an alert component were found to be more effective than alerts alone or education alone. We did not find any clear difference in the pooled effect of these interventions on VTE, mortality, or safety outcomes. However, in that review, the overall magnitude of effects was small, precision was low, heterogeneity across studies was considerable, the risk of bias in included studies was substantial, and the overall certainty of evidence was very low.

This updated review improved upon prior meta-analyses conducted in this area, as it was restricted to RCTs, found less widely differing estimates (i.e. heterogeneity) across studies, and more precision of the estimates of effect (i.e. narrower confidence intervals), due to reduced between-study variance. We showed lower risk of bias in included studies, and higher certainty of evidence for improvement in outcomes.

There have been seven other previous systematic reviews of this topic, which were less methodologically rigorous than our review, and are now outdated. Tooher's systematic review of 30 studies published between 1996 and 2003, concluded that passive dissemination of guidelines was unlikely to improve VTE prophylaxis prescribing practice, and that multiple active strategies used together, which incorporated methods to remind clinicians to assess patients for DVT risk, and aided with the selection of appropriate prophylaxis, were likely to achieve better outcomes (Tooher 2005). Three subsequent, less comprehensive narrative reviews that searched the literature up to 2006 drew similar conclusions (Amin 2009; Amin 2012; Michota 2007). A systematic review of articles published between 1996 and 2008, on methods to improve prophylaxis and decrease VTE events in hospitalized patients came to similar conclusions, namely, that interventions that were active, rather than passive, appeared to be more effective (Mahan 2010).

In Adams's narrative review of 23 studies published up to 2010, which investigated the effectiveness of computer-based clinical decision-support systems to improve rates of thromboprophylaxis, computerized systems were associated with substantial improvements in the prescribing of appropriate prophylaxis and reductions in VTE events, particularly in hospitalized medical patients (Adams 2012). Lau 2014 was a narrative review that included 16 studies, published between 2001 and 2012, of different types of interventions, aimed to improve prescription of VTE prophylaxis in hospitalized patients. They too found that passive mandatory tools and education alone were less effective at improving the use of VTE prophylaxis than active strategies, like information technology tools, such as alerts and computer-based clinical decision-support systems. A subsequent



overview review of 60 systematic reviews of interventions aimed at improving patient safety in hospitals and published up to 2015, concluded that alerts, education, real-time audit and feedback, and multifaceted interventions improved appropriate administration of thromboprophylaxis, but did not effectively reduce VTE events in hospital (Zegers 2016). This review included two of the previously noted systematic and narrative reviews (Kahn 2013; Lau 2014).

The most recent systematic review of the effectiveness of computer-based clinical decision-support systems to increase adherence to international (American College of Chest Physicians guidelines) and local thromboprophylaxis guidelines, and to decrease the rate of VTE events in hospitalized surgical patients, included 11 studies published between 1991 and 2016 (Borab 2017). This review, which included only observational studies, concluded that the use of computer-based clinical decision-support systems was associated with an increased rate of ordering appropriate thromboprophylaxis, and a reduced rate of postoperative VTE.

AUTHORS' CONCLUSIONS

Implications for practice

The findings of this review provided low- to moderate-certainty evidence to support the use of specific system-wide interventions to improve the prescription of thromboprophylaxis, and decrease the incidence of symptomatic VTE in hospitalized adult medical and surgical patients at risk for VTE. From 11 RCTs with data available for meta-analysis, we found improvements in rates of prescription of prophylaxis associated with alerts (computer alerts or human alerts) and multifaceted interventions (e.g. combination of education, audit and feedback, and alert); and improvements in the rates of appropriate prescription of prophylaxis and reductions in the rate of symptomatic VTE at three months associated with alerts. Multifaceted interventions appeared to be less effective (as suggested by the smaller pooled risk differences) than alerts.

Based on risk differences and risk ratios, computer alerts may be more effective than human alerts, for appropriate prescription of prophylaxis and symptomatic VTE at three months. However, due to a lack of studies, we were unable to provide pooled estimates for the direct comparison of computer alerts versus human alerts.

Our analysis was underpowered to assess the effect on mortality and safety outcomes, such as bleeding.

Our findings provide support for the tenet that system-wide interventions to improve the use of VTE prophylaxis are effective, particularly computer and human alerts that are well integrated into the clinical workflow. We hope that the results of our review will help physicians and other healthcare practitioners, hospital administrators, and policy makers make practical decisions tailored to their own settings about adoption of specific system-

wide measures to improve prevention of VTE in hospitalized patients. VTE prevention quality initiative programs could also benefit from our findings.

Implications for research

Several questions remained unresolved regarding the effectiveness of system-wide interventions to increase the implementation of thromboprophylaxis in hospitalized medical and surgical patients at risk for VTE, as well as how best to implement them. While most of the interventions we reviewed were effective at increasing rates of prophylaxis or appropriate prophylaxis, research is needed to understand why such interventions do not have a larger effect on the prescribing of prophylaxis. More studies should report the more clinically relevant outcome of appropriate prophylaxis. Research is also needed to better understand how these interventions affect clinical outcomes such as VTE, mortality, and safety outcomes such as bleeding.

Even within a specific type of intervention (e.g. computer alerts; human alerts), there was variability in the design of the intervention, leaving uncertainty about which design may be optimal. Further research into the targeting of patients and clinicians for VTE prophylaxis education upon admission is also needed. As one-third of the studies in our review were performed at single centers, which can limit the generalizability of results, we believe that additional multi-centre randomized controlled trials are justified in an area like this, where there is currently a void in high quality research, and where the potential impact on both health and future cost savings could be great. Direct evaluations of costs of the implementation of computer-based clinical decisionsupport systems are still lacking, as are studies of comparative cost-effectiveness of various system-wide interventions. In one study, use of a computer-based clinical decision support system reduced proxy measures of cost for inpatient VTE (Fillmore 2013), and in another, use of an electronic alert system at a hospital in Spain reduced the incidence of VTE and led to net cost savings (Lecumberri 2011).

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CHARACTERISTICS OF STUDIES

Characteristics of included studies [ordered by study ID]

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Anderson 1994

Methods

- RCT
- Cluster
- · Unit of randomization: groups of 5 hospitals
- Unit of analysis: patient
- Study period: 1985 to 1989

Participants

- USA
- 15 centers
- Departments not reported
- Medical, surgical, and trauma/ER patients
- Inclusion criteria: randomly selected patients thought to be at increased risk for VTE: ≥ 50, hospitalized
 at least 6 days, primary discharge diagnosis associated with VTE or any age hospitalized at least 6 days
 for major trauma, or hip or leg fracture
- Exclusion criteria: specialists unlikely to be involved in the decision to provide prophylaxis for venous thromboembolism were excluded, e.g. radiologists, pathologists, anesthesiologists, pediatricians, and oral surgeons
- N included: phase 1 pre intervention phase (466 (group 1), 679 (group 2), 702 (group 3)); phase 2 post intervention phase (342 (group 1), 513 (group 2), 456 (group 3))
- Age (mean): 70 (group 1), 70 (group 2), 68 (group 3)
- Male %: 47 (group 1), 48 (group 2), 49 (group 3)
- Control group: observed for 1 year and 6 months before the intervention, with a 1-year and 6-month
 gap inbetween; same types of patients (department, hospital) as the intervention group

Interventions

- Aimed at doctors
- · Use of two interventions: educational and multifaceted intervention
- Educational component: exam component + hospital-administered course
- · Distribution of guidelines
- · Audit and feedback



Anderson 1994 (Continued)	 Multiple intervention study: 1 control group (group 1), 1 continuing medical education group (CME; group 2), 1 CME + quality assurance group (QA; group 3) Comparator: no intervention vs. CME only vs. CME + QA
Outcomes	 Appropriateness of prophylaxis not assessed Received prophylaxis: 51% (group 1), 49% (group 2), 55% (group 3) PE/DVT occurrence not assessed
Notes	Funding sources: Quote: "This research was supported by grant HL 35862 from the National Heart, Lung, and Blood Institute."
Risk of bias	

Bias	Authors' judgement	Support for judgement
Random sequence generation (selection bias)	Unclear risk	Quote: "Hospitals were assigned to one of three groups, each consisting of five hospitals. Group assignments were made by means of lists of physicians' admitting privileges at each hospital so as to minimize the probability that individual physicians who practice in multiple hospitals would practice in more than one intervention group." (Page 670, column 1, paragraph 2)
		Not adequately described.
Allocation concealment (selection bias)	Unclear risk	There was no mention of allocation concealment.
Blinding of participants and personnel (perfor- mance bias)	Unclear risk	Quote: "Although some physicians may have become aware that this study was being undertaken, we made no general announcements to the medical staff of our presence or purpose." (Page 670, column 1, paragraph 3)
All outcomes		There was no mention of blinding of participants and personnel.
Blinding of outcome assessment (detection bias) All outcomes	Unclear risk	There was no mention of blinding of outcome assessment.
Incomplete outcome data (attrition bias) All outcomes	Unclear risk	There was no mention of incomplete outcome data.
Selective reporting (reporting bias)	Unclear risk	No clinical or safety outcomes reported.
Other bias	High risk	Data were presented for each of the groups to which participants were randomized, and reports were free of suggestion of selective reporting of comparisons of intervention arms.
		Unclear risk for recruitment bias: Quote: "Group assignments were made by means of lists of physicians' admitting privileges at each hospital so as to minimize the probability that individual physicians who practiced in multiple hospitals would practice in more than one intervention group." (Page 670, column 1, paragraph 2)
		High risk for baseline imbalances: major imbalances in Table 2/3. Quote: "The sociodemographic and hospital-related characteristics of the study patients were similar both before and after the educational interventions as well as among patients in the three intervention groups (Table 2). Risk factors for venous thromboembolism in this selected population, shown in Table 3, were generally similar among study groups." (Page 672, column 1, paragraph 2)



Anderson 1994 (Continued)

High risk for incorrect analysis: possible clustering of participants within the same hospitals was not taken into account in the analysis. Also, there was no mention of sample size calculation. Quote: "Differences in the use of adequate methods of prophylaxis in hospitals with and without interventions were analysed by means of logistic regression modelling with adjustment for relevant risk factors, selected patient characteristics, and the dual sampling rates employed in large and small hospitals." (Page 671, column 2, paragraph 5)

Low risk of bias for other items listed as potential sources of bias.

Cavalcanti 2016

Methods

- RCT
- Cluster
- Unit of randomization: intensive care units (ICU)
- Unit of analysis: patients/patient-days
- Study period: 2013 to 2014

Participants

- Brazil
- Number of centers not reported
- 118 intensive care units
- ER patients
- Inclusion criteria: patients from adult ICUs from all Brazilian regions
- Exclusion criteria: pediatric and cardiac ICUs, step-down units, ICUs that already used checklists, ICUs
 whose leadership did not or would not implement multidisciplinary daily rounds, patients with a high
 probability of an anticipated early death (between the 48th and 72nd hours of ICU stay), patients with
 a suspected or confirmed diagnosis of brain death or those receiving exclusive palliative care
- N included: 6877 (phase 1, observational study), 6761 (phase 2, cluster RCT): 3434 (control), 3327 (intervention)
- Age (mean (SD)): control 60 (18.8), intervention 59.1 (19.2)
- Male %: 53.6 (control), 54.8 (intervention)
- Control group: concurrent with intervention group; observed for months; same type of patients (ICU/hospital) as intervention group

Interventions

- · Aimed at team
- · Use of multifaceted intervention
- Daily multidisciplinary rounds to include the use of a checklist and discussion of goals of care, reminder via SMS messages one to three times a week to ensure follow-through with checklist adherence and goals of care that targeted a broad spectrum of care processes including thromboprophylaxis
- The checklist was developed based on the clinical practice guideline development cycle
- Comparator: routine care and no pre-intervention training vs. intervention

Outcomes

- Appropriateness of prophylaxis not assessed
- Received prophylaxis: 75% (control), 74.8% (intervention)
- All-cause mortality (within 60 days): 34.8% (control), 32.9% (intervention)
- PE/DVT occurrence not assessed

Notes

Additional data were obtained from original investigators.

Funding sources: Quote: "This study was conducted as part of the Program to Support Institutional Development of Universal Health System (PROADI) from the Brazilian Ministry of Health. It was funded



Cavalcanti 2016 (Continued)

mainly by the Brazilian Health Surveillance Agency (ANVISA), PROADI, and Brazilian Development Bank (BNDES). D'Or Institute for Research and Education also contributed with additional funding."

Risi		

Bias	Authors' judgement	Support for judgement
Random sequence generation (selection bias)	Low risk	Quote: "A statistician from Research Institute HCor generated the randomization list with random permuted blocks of 4 units using an appropriate statistical package. Stratification was performed according to the median in-hospital mortality determined in the observational phase." (Page 1481, column 2, paragraph 3)
		Quote: "To avoid selection bias, written consent was obtained at the cluster level from the director of each institution. The funders had no role in the analysis or publication decision. Nevertheless, the funders and ICU leaders requested an intervention period not longer than 6 months so that ICUs randomized to the control group would also receive the intervention in a timely fashion." (Page 1481, column 1, paragraph 4)
Allocation concealment (selection bias)	Low risk	Quote: "To ensure allocation concealment, the statistician who prepared the list received only the identification code of the unit and was not aware of the identity of the ICU. The allocation list was then sent to the research manager, who informed the ICUs about their randomization status in January 2014." (Page 1481, column 2, paragraph 3)
Blinding of participants and personnel (perfor- mance bias) All outcomes	High risk	Quote: "Caregivers were not blinded to group assignment. Research coordinators and the statistician who analyzed the data were also not blinded because data regarding adherence to checklist and clinician prompting were collected exclusively for the intervention group ICUs." (Page 1481, column 2, paragraph 4)
Blinding of outcome assessment (detection bias) All outcomes	High risk	Quote: "Caregivers were not blinded to group assignment. Research coordinators and the statistician who analyzed the data were also not blinded because data regarding adherence to checklist and clinician prompting were collected exclusively for the intervention group ICUs." (Page 1481, column 2, paragraph 4)
Incomplete outcome data (attrition bias) All outcomes	Unclear risk	Quote: "The primary outcome was available for 6877 patients (100%) in the observational phase and 6758 patients (99.9%) in the randomized phase, although all patients were included in the primary outcome analyses. Responses to the SAQ were obtained from 6656 (85.3%) staff members in the observational phase and 6375 (78.8%) in the randomized phase, with response rates 75% or greater for 99.2% and 92.4% of ICUs, respectively, in both phases." (Page 1484, column 2, paragraph 1)
Selective reporting (reporting bias)	Unclear risk	They did not assess all clinical and safety outcomes (occurrence of VTE and bleeding).
Other bias	Low risk	Low risk for baseline imbalances: Quote: "The units of randomization were the ICUs. A statistician from Research Institute HCor generated the randomization list with random permuted blocks of 4 units using an appropriate statistical package. Stratification was performed according to the median in-hospital mortality determined in the observational phase. To ensure allocation concealment, the statistician who prepared the list received only the identification code of the unit and was not aware of the identity of the ICU. The allocation list was then sent to the research manager, who informed the ICUs about their randomization status in January 2014." (Page 1481, column 2, paragraph 3). Characteristics of the ICUs were mostly similar between groups.



Cavalcanti 2016 (Continued)

Low risk for incorrect analysis: clustering was taken into account in analysis and in sample size calculation. Quote: "According to the sample size calculation, with 102 ICUs and an average of 50 patients per unit, the study had 90% power with a type I error rate of 5% to detect an absolute reduction in in-hospital mortality of 6% (from 30% in the control group to 24% in the intervention group), considering a coefficient of variation K of 0.25." (Page 1483, column 1, paragraph 4). Quote: "Because ICUs were randomized rather than patients and we measured outcomes at the patient level, the analyses were adjusted for clustering of the data." (Page 1483, column 1, paragraph 5)

Low risk of bias for other items listed as potential sources of bias.

Chapman 2011

Methods

- RCT
- Non-cluster
- Unit of randomization: participants
- Unit of analysis: participants
- Study period not reported

Participants

- Australia
- · Number of centers not reported
- Depatments were not clearly reported (medical admissions)
- Medical patients
- Inclusion criteria: not clearly reported. Daily medical admissions were screened in order and consent was sought until work load saturation was reached
- Exclusion criteria: participants were excluded if they refused consent; if they were unable to consent and if their next-of-kin were unavailable.
- N included: 359 participants
- N analyzed (estimation): 172 (control), 182 (intervention)
- Age: not reported
- Male %: not reported
- Control group: not described. Not known if the control group was concurrent with the intervention
 group; if participants were observed for the same number of months; or if they included the same type
 of participants as intervention group

Interventions

- · Did not report who the intervention was aimed at
- Use of reminders: human alerts
- A trained nurse assessed participants and if necessary requested prophylaxis or ceased prophylaxis
 to reflect the guidelines. The type of guidelines (local, consensus, international) was not stated
- · Comparator: standard care vs. intervention

Outcomes

- Appropriateness of prophylaxis assessed but not described (prophylaxis consistent with guidelines).
 Modality of prophylaxis and types of guidelines not reported
- Received appropriate prophylaxis: 66% (control), 81% (intervention)
- Symptomatic VTE (timing of assessment = 3 months): control = 5 (2.9%, 95% CI, 1.05 to 6.73) and intervention = 1 (0.55%, 95% CI 0.0 to 3.38)
- Method of VTE diagnosis not reported

Notes

This study was a conference abstract and was not published in a full manuscript. Original investigators were contacted, but they were unable to provide the requested data. However, we were able to extrapolate missing data.



Chapman 2011 (Continued)

Funding sources: Quote: "This investigator initiated trial was supported with a research and education grant awarded by Sanofi-Aventis Australia Pty Ltd."

Risk	of	bia	s
KISK	u	DIU:)

Bias	Authors' judgement	Support for judgement
Random sequence generation (selection bias)	Unclear risk	Quote: "Subjects were randomised to either standard care or intervention: a trained nurse for VTE and bleeding risk assessed patients and if necessary requested prophylaxis or ceased prophylaxis to reflect the guidelines." (Abstract - Paragraph 2)
Allocation concealment (selection bias)	Unclear risk	There was no mention of allocation concealment.
Blinding of participants and personnel (perfor- mance bias) All outcomes	Unclear risk	There was no mention of blinding of participants and personnel.
Blinding of outcome as- sessment (detection bias) All outcomes	Unclear risk	There was no mention of blinding of outcome assessment.
Incomplete outcome data (attrition bias) All outcomes	Unclear risk	There was no mention of incomplete outcome data.
Selective reporting (reporting bias)	Unclear risk	No safety outcomes reported
Other bias	Unclear risk	The study has not been published in a full manuscript form yet; some information was missing. The occurrence of symptomatic VTE was assessed, but the diagnostic modality was not stated. The objective was to assess the feasibility of a RCT to validate the guidelines, and probably to increase conformation with current VTE prophylaxis guidelines, but the actual modality (pharmacologic vs. mechanical) of prophylaxis was not stated. The sample size and analysis were not described.

Dexter 2001

Methods	 RCT Cluster Unit of randomization: medical teams Unit of analysis: patient Study period: 1997 to 1998
Participants	 USA 1 center Department: general medicine service Medical patients Inclusion criteria: all patients admitted to the general medicine service of Wishard Memorial Hospital Exclusion criteria: physicians who were in both the intervention group and the control group during the course of the study



Dexter 2001 (Continued)

- N included: 5070 hospitalizations (control), 4995 hospitalizations (intervention): 664 participants (intervention), 662 participants (control)
- Age (mean): 53.2 (all). No description per group
- Male %: 50 (all). No description per group
- Control group: concurrent with intervention group, observed for 1 year and 6 months; same types of participants (department/hospital) as intervention group; pre-existing intervention: alerts after admission

Interventions

- · Aimed at doctors and medical students
- Use of reminders: electronic alert
- Reminder generated when patient's electronic medical recorder included at least 1 indication for one
 of the selective preventative therapies, no evidence of contraindications to therapies, and no active
 orders for the therapy. Physicians could accept or reject reminders to one or two by stroke on the
 computer
- Comparator: no intervention (computer does not display the reminder) vs. intervention

Outcomes

- Appropriateness of prophylaxis not defined but assessed (received prophylaxis for eligible participants), reminders recommended therapy and were generated only when the patient's electronic medical record included: 1) at least one indication for one of the selected preventive therapies, 2) no evident contraindication to the therapy, and 3) no active orders for the therapy).
- Received appropriate prophylaxis: 17.5% (control), 34.3% (intervention)
- DVT/PE occurrence not assessed

Notes

Additional data were obtained from original investigators.

Funding sources: Quote: "Supported by a grant (HS07719) from the Agency for Healthcare Research and Quality and a grant (N01-LM-6-3546) from the National Library of Medicine."

Bias	Authors' judgement	Support for judgement
Random sequence generation (selection bias)	Low risk	Quote: "Using a blinded system of coin randomization, one of the investigators randomly designated four of the general medicine teams as intervention teams and four as control teams." (Page 965, column 2, paragraph 4)
		The sequence was as required
Allocation concealment (selection bias)	Unclear risk	Quote: "Using a blinded system of coin randomization, one of the investigators randomly designated four of the general medicine teams as intervention teams and four as control teams." (Page 965, column 2, paragraph 4)
Blinding of participants and personnel (perfor- mance bias) All outcomes	High risk	Quote: "All physicians, medical students, and patients associated with a team were assigned that team's intervention status. The same investigator also randomly assigned physicians to teams insofar as practical constraints allowed (e.g., avoiding assignments that might lead to two consecutive nights of overnight on-call duty). When physicians returned for multiple rotations during the study period, we attempted to maintain their original intervention status by assigning them to teams with the same status; most medical students had only one rotation at the hospital during the study period." (Page 966, column 1, paragraph 1)
Blinding of outcome assessment (detection bias) All outcomes	Unclear risk	No mention of blinding outcome assessors. However, primary outcomes were rates at which the various therapies were ordered making bias unlikely. Quote: "The primary outcomes of interest were the rates at which the various preventative therapies were ordered. These rates were obtained from routinely stored data." (Page 966, column 1, paragraph 2)



Dexter 2001 (Continued)		
Incomplete outcome data (attrition bias) All outcomes	Unclear risk	There was no mention of the completeness of follow-up or of missing outcome data.
Selective reporting (reporting bias)	Unclear risk	No clinical or safety outcomes reported
Other bias	Unclear risk	The physicians and students who rotated from intervention to control or vice versa might have diluted the effect of the intervention: Quote: "The organization of the teams of the general-medicine ward has been described previously; at present there are eight independent teams whose staff members (physicians and students) rotate approximately monthly." (Page 965, column 2, paragraph 3)

Unclear risk for recruitment bias: Quote: "All physicians, medical students, and patients associated with a team were assigned that team's intervention status. The same investigator also randomly assigned physicians to teams insofar as practical constraints allowed (e.g. avoiding assignments that might lead to two consecutive nights of overnight on-call duty). When physicians returned for multiple rotations during the study period, we attempted to maintain their original intervention status by assigning them to teams with the same status; most medical students had only one rotation at the hospital during the study period." (Page 966, column 1, paragraph 1). Quote: "During the 18 months of the study, a total of 202 physicians rotated an average of 2.1 times onto the inpatient service: 96 of these physicians (47.5 percent) were assigned only to intervention teams, 78 (38.6 percent) were assigned only to control teams, and 28 (13.9 percent) were assigned at different times to intervention teams and control teams." (Page 967, column 1, paragraph 2). While there was some risk for recruitment bias there was an attempt to mitigate this issue. Due to the nature of the intervention, it was unlikely that physicians were aware of an ongoing study. The risk of bias was unclear.

Unclear risk for baseline imbalances: There was no descriptive comparison of patient characteristics between clusters. Clusters were Internal Medicine teams at the same hospital, so likely the baseline characteristics are similar. Patients were admitted to the general medicine wards with the use of a system that distributed admissions equally among the teams, solely on the basis of the order in which patients required hospitalization. Patients automatically assumed the intervention status of the team to which they were assigned on admission and retained that status for the duration of their hospitalization. Previous studies involving inpatients have shown no material differences in clinical status among patients assigned to different teams

Unclear risk for incorrect analysis: not clearly stated that the clustering was taken into account in analysis. Quote: "The unit of analysis for all models was the individual hospital admission. We used a generalized-estimating equation method for all estimates of effect. We used a compound symmetry structure, which assumes that patients are independent of each other and that hospitalizations for a particular patient have a fixed correlation that does not vary over time." (Page 966, column 2, paragraph 4)

Low risk of bias for other items listed as potential sources of bias.

Fontaine 2006

Methods

- RCT
- Cluster
- Unit of randomization: internal medical ward



Fontaine 2006 (Continued)

- Unit of analysis: patients (by physicians)
- · Study period not reported

Participants

- France
- 30 centers
- Departments: internal medicine
- Medical patients
- Inclusion criteria: all inpatients on internal medical ward
- Exclusion criteria: admitted or discharged on the day of survey and those receiving curative anti-coagulant treatment
- N screened: 939 (baseline survey); 502 (group 1), 437 (group 2); 863 (postintervention survey): 445 (group 3), 418 (group 4)
- N included: 397 (group 1), 353 (group 2), 359 (group 3), 360 (group 4)
- Age (median): 75 (group 1), 71 (group 2), 74 (group 3), 70 (group 4)
- Male %: 51 (group 1), 49 (group 2), 52 (group 3), 51 (group 4)
- Control group: controlled before-after study; control group concurrent with intervention group; observed for 1 day; same types of participants as intervention group

Interventions

- Aimed at doctors
- Use of reminders: preprinted orders
- All physicians in intervention group were required to use specific anticoagulant prescription forms featuring the recommended prescription criteria
- 4 groups: baseline control (group 1), baseline intervention (group 2), post-intervention control (group 3), post-intervention intervention (group 4).
- In January, baseline survey was performed. Intervention was implemented over the next 3 months, and the post-intervention survey was carried out in April.
- Comparator: no intervention (usual practices) vs. intervention; baseline vs. post-intervention

Outcomes

- Appropriateness of prophylaxis not defined (but described as 'under treatment' and 'over treatment')
- Received prophylaxis: 38% (group 1), 40% (group 2), 36% (group 3), 32% (group 4)
- PE/DVT occurrence not assessed

Notes

Funding sources: Quote: "This study was endorsed as a collective project by the Collegial Federation of Internal Medicine departments and supported by the Department of Evaluation of Assistance Publique-Hopitaux de Paris."

Bias	Authors' judgement	Support for judgement
Random sequence generation (selection bias)	Unclear risk	Quote: "The study took place during one rotation period of residents, and included three parts: (i) in January, a 1-day baseline survey was performed in 30 Internal Medicine departments of Assistance Publique-Hôpitaux de Paris; (ii) over the following 3-month period Internal Medicine departments were randomized into two groups: all practitioners in wards allocated to the intervention group were required to systematically use specific anticoagulant prescription forms that featured the recommended prescription criteria, whilst doctors in the control group continued prescribing according to their usual practices and (iii) a 1-day postintervention survey was repeated in April." (page 370, column 2, paragraph 2)
Allocation concealment (selection bias)	Unclear risk	There was no mention of allocation concealment.



Unclear risk	There was no mention of blinding of participants and personnel.
Unclear risk	There was no mention of blinding of outcome assessment.
Unclear risk	There was no mention of attrition or missing outcome data.
Unclear risk	No clinical or safety outcomes reported
Unclear risk	Unclear risk for baseline imbalances: very limited analysis of baseline characteristics in each cluster group. See Table 1.
	Unclear risk for incorrect analysis: Quote: "Data were analysed with Stata 8.0, using appropriate multilevel survey analysis procedures to take into account possible associations between prescription decisions for patients treated by the same practitioner." (page 371, column 1, paragraph 1). No description of the analysis procedures and mention of the sample size calculation. Low risk of bias for other items listed as potential sources of bias.
	Unclear risk Unclear risk Unclear risk

Garcia 2009

Methods	Quasi-RCT
	• Cluster
	 Randomization method: two of the teams were randomly assigned to be in the intervention group (the other two teams served as the control group)
	Unit of randomization: teams (internal medicine)
	Unit of analysis: participants
	Study period: 2006
Participants	• USA
	• 1 center
	Departments: general internal medicine service
	Medical patients
	• Inclusion criteria: patients admitted to the general internal medicine teams, Monday to Friday, at risk for VTE (score greater than or equal to 4)
	Exclusion criteria: patients on anticoagulant a/c already
	N screened: 216 (control), 160 (intervention)
	N included: 80 (control), 60 (intervention)
	 Age (range, mean): control = 20 to 95 (58), intervention = 28 to 97 (61)
	Male %: 46 (control), 57 (intervention)
	 Control group: concurrent with intervention group; observed for 4 months; same types of participants (department/hospital) as intervention group
Interventions	Aimed at doctors
	Use of reminders: human alerts



Garcia 2009 (Continued)	 Pharmacist used history and physical exam available to determine VTE risk score. Pharmacist determined if at-risk patient had been ordered VTE prophylaxis. Pharmacist notified admitting physician Comparator: no intervention (usual care) vs. intervention
Outcomes	 Appropriateness of prophylaxis assessed (If one of the following strategies was initiated within 36 hours of hospital admission: 5000 U heparin twice a day, enoxaparin 40 mg/d, fondaparinux 2.5 mg or SCDs) Received appropriate prophylaxis: 61% (control), 73% (intervention) PE/DVT occurrence not assessed
Notes	This study was classified as a quasi-RCT for the following reasons: 1) method of sequence generation was not stated; and 2) it might be difficult to randomly assigned a small number of participants (4 teams) into 2 groups. Funding sources: no mention of funding source

Bias	Authors' judgement	Support for judgement
Random sequence generation (selection bias)	Unclear risk	Quote: "Two of the teams were randomly assigned to be in the intervention group (the other two teams served as the control group) for the duration of the study." (Page 542, column 1, paragraph 3)
Allocation concealment (selection bias)	Unclear risk	There was no mention of the allocation concealment.
Blinding of participants and personnel (perfor- mance bias) All outcomes	High risk	Quote: "Once an at-risk patient was identified, the pharmacist determined if VTE prophylaxis had been ordered. For each such patient, the pharmacist notified the admitting physician on the team about the patient's VTE risk and read a standardized script informing the doctor of the patients' VTE risk, but no specific therapy was recommended." (Page 542, column 2, paragraph 1)
		Quote: "For the patients admitted to the two teams designated as the control groups, a record of names and medical record numbers was collected by the pharmacists (using the same method as described above), but no attempt was made to alter the usual care received by these patients." (Page 542, column 2, paragraph 2)
		There could not have been blinding of all participants and personnel because live pharmacists spoke to the physicians.
Blinding of outcome assessment (detection bias) All outcomes	Unclear risk	There was no mention of blinding of outcome assessment.
Incomplete outcome data (attrition bias) All outcomes	Unclear risk	There was no mention of attrition or missing outcome data.
Selective reporting (reporting bias)	Unclear risk	No clinical or safety outcomes reported
Other bias	High risk	Unclear risk for baseline imbalances: Very limited analysis of baseline characteristics and some differences between each cluster group. See Table 1.
		High risk for incorrect analysis: Quote: "We estimated that 300 patients would be needed to have power of 90% (two-sided alpha of 5%) to detect an increase in appropriate VTE prophylaxis from 40% in the control group to 60% in the intervention group." (Page 542, column 2, paragraph 4)
nterventions for implementati	on of thrombonronbulavic	in hospitalized patients at risk for venous thromboembolism (Review) 40



Garcia 2009 (Continued)

Quote: "Our comparison between intervention and control groups was done by the t-test for continuous outcomes, and by the Fisher's exact test for binary/categorical variables." (Page 543, column 1, paragraph 1). Clustering was not taken into account in the analysis and in sample size calculation.

Low risk of bias for other items listed as potential sources of bias.

Hinchey 2010

Methods

- Quasi-RCT
- Cluster
- Randomization method: hospitals were randomized to either control or intervention group. The study
 was designed to be a group-randomized controlled trial but because of randomization difficulties it
 was a quasi-experimental study.
- · Unit of randomization: site (hospital)
- Unit of analysis: site (hospital)
- Study period: 2001 to 2004

Participants

- USA
- 16 centers (sites)
- · Departments: neurology
- · Inhospital stroke care patients
- Inclusion criteria: acute ischemic stroke patients, over 18 years old, seen by neurology, and not hospital-to-hospital transfers, patients transferred from the emergency room of another hospital and those transferred from adult homes, nursing homes, rehab units, etc.
- Exclusion criteria: patients with a discharge diagnosis of transient ischemic attack (TIA) and those admitted to an acute care unit outside hospital and then transferred
- N included: 7 sites (control), 6 sites (intervention)
- Age (mean): 71 (control), 69 (intervention)
- Male %: 49.4 (control), 50.7 (intervention)
- Control group: concurrent with intervention group; observed for months; same type of sites as intervention group

Interventions

- · Aimed at doctors
- Use of multifaceted interventions
- Reminders (standard orders, pathways, protocols, standardized dysphagia screens, atrial fibrillation reminder stickers), written information, face-to-face interview, audit and feedback
- Comparator: control group (audit, feedback, and benchmark information) vs. intervention group (audit, feedback, and benchmark information plus a multifaceted intervention)

Outcomes

- · Appropriateness of prophylaxis not assessed
- · Received prophylaxis: raw data not available
- · PE/DVT occurrence not assessed

Notes

Due to missing outcome raw data, this study was not include in our quantitative analysis.

Funding sources: Quote: "Supported by grant number National Institute of Health K23NS002163, the American Academy of Neurology (AAN), the American Heart Association/American Stroke Association (AHA/ASA), and an unrestricted educational grant from Boehringer Ingelheim Pharmaceuticals Inc, Ridgefield, Connecticut. The content is solely the responsibility of the authors and does not represent any official views of the NIH, AAN, AHA/ASA, or Boehringer Ingelheim."



Hinchey 2010 (Continued)

Bias	Authors' judgement	Support for judgement
Random sequence generation (selection bias)	High risk	Quote: "This was designed to be a group-randomized controlled trial but because of randomization difficulties this is a quasi-experimental study. The site is the unit of intervention and analysis. To control for potential sources of bias such as differential maturation, selection, and contamination, hospitals were paired on baseline dysphagia measure adherence rates and the stage of their QI infrastructure and then randomized to either a control group that received audit, feedback, and benchmark information only or to an intervention group that received audit, feedback, and benchmark information plus a multifaceted intervention designed specifically for each site." (Page 131, column 2, paragraph 6)
		Quote: "Of the 7 remaining site PIs in the intervention group, one had a conflict with the scheduled intervention meeting and was switched to the control group. Thus, the site from the control group with the most similar dysphagia adherence rate and QI infrastructure stage to this PI's site was switched to the experimental group. These changes, which occurred before the intervention phase of the study, resulted in group assignments not being randomized." (Page 132, column 2, paragraph 1)
		Randomization was violated and there were some imbalances between groups.
Allocation concealment (selection bias)	Unclear risk	There was no mention of allocation concealment.
Blinding of participants and personnel (perfor- mance bias) All outcomes	Unclear risk	There was no mention of blinding of outcome assessment.
Blinding of outcome assessment (detection bias) All outcomes	Unclear risk	There was no mention of blinding of outcome assessment.
Incomplete outcome data (attrition bias) All outcomes	High risk	Quote: "Two hospitals led by one principal investigator (PI) and randomized to the intervention group dropped out of the project just after randomization because of financial constraints in ongoing data collection. They had collected a total of 150 cases or 6.5% of the total baseline data, which were excluded from the analysis." (Page 132, column 1, paragraph 3). Two hospitals out of 13 (15.38%) dropped out of the study; this rate is high and there was no mention of other missing outcome data.
Selective reporting (reporting bias)	High risk	Raw data were not available in primary and secondary analysis. All 6/6 intervention sites reported outcomes in post hoc analysis, whereas only 6/7 control sites did; and there was no explanation.
		No clinical or safety outcomes reported
Other bias	High risk	The pairing for randomization based on dysphagia measure adherence might not necessarily be concordant with VTE prophylaxis: Quote: "We chose to pair hospitals by their dysphagia adherence because the measure had the most variation in adherence and room for improvement among the sites. This may be able to control for other, immeasurable hospital variations, which could affect the results. All control hospitals had access to the same data analyses given to the intervention group." (Page 132, column 1, paragraph 1)
		Unclear risk for baseline imbalances: Quote: "Baseline differences (intervention v control) for patient and hospital characteristics of the 13 sites are shown



Hinchey 2010 (Continued)

in Table 2. There were no significant hospital characteristic differences between the intervention and control sites. For patient characteristic data, patients admitted to the control hospitals were on average older, and more likely to be white and discharged to a skilled nursing facility." (Page 132, column 1, paragraph 1)

High risk for loss of clusters: Quote: "Two hospitals led by one principal investigator (PI) and randomized to the intervention group dropped out of the project just after randomization because of financial constraints in ongoing data collection." (Page 132, column 1, paragraph 3)

Low risk for incorrect analysis: clustering was taken into account in sample size calculation and in analysis: Quote: "To determine sample size based on a group-randomized design, we used the actual variation associated with patient counts per hospital, and the variability in the hospital adherence rates across hospitals, based on 5 months of data." (Page 132, column 2, paragraph 3)

Quote: "Because patients cluster within a hospital, we controlled for clustering within sites using the generalized linear mixed model incorporating correlations using the Glimmix procedure for each PM." (Page 133, column 1, paragraph 2)

Low risk of bias for other items listed as potential sources of bias

Kucher 2005

Methods

- · Quasi-RCT
- Non-cluster
- Randomization method: patients with even medical number assigned to intervention group and patients with odd number assigned to control group
- · Unit of randomization: individual participants
- Unit of analysis: participants
- Study period: 2000 to 2004

Participants

- USA
- 1 cente
- Departments: medical and surgical services excluding neurology, newborn, and neonatal ICU
- Medical, surgical and ER/trauma patients
- Inclusion criteria: 18 years or older, increased risk of VTE, from medical and surgical services
- Exclusion criteria: patients from department of neurology, newborn service, and neonatal ICU, patient receiving mechanical or pharmacologic prophylaxis
- N included: 1251 (control), 1255 (intervention)
- Age (range, median): 18 to 97, 62 (control), 18 to 99, 63 (intervention)
- Male %: 47.7 (control), 46.5 (intervention)
- Control group: concurrent with intervention; control group observed for 3 years and 5 months; same types of participants (department/hospital) as intervention group; pre-existing intervention: distribution of guidelines

Interventions

- Aimed at doctors
- Use of reminders: electronic alert
- Computer program that identified patients at risk for VTE, if patient at risk then computer reviews
 orders to identify current medications and then alerts responsible physician to patient's risk of DVT.
 MD required to acknowledge the alteration then withheld or ordered prophylaxis



Kucher 2005 (Continued)

• Comparator: no intervention (no specific prompt was provided to use guidelines for the prevention of venous thromboembolism) vs. intervention (computer alert)

Outcomes

- · Appropriateness of prophylaxis not assessed
- Received prophylaxis: 14.5% (control), 33.5% (intervention)
- Symptomatic VTE (timing of assessment (TOA) = 90 days): 8.2% (control), 4.9% (intervention)
- Symptomatic VTE (TOA = 30 days): 5.7% (control), 3.3% (intervention)
- Symptomatic DVT (TOA = 90 days): 2.8% (control), 1.2% (intervention)
- Symptomatic DVT (TOA = 30 days): 2% (control), 0.8% (intervention)
- Symptomatic proximal DVT (TOA = 90 days): 1.8% (control), 0.8% (intervention)
- Symptomatic proximal DVT (TOA = 30 days): 1.4% (control), 0.6% (intervention)
- Symptomatic distal DVT (TOA = 90 days): 1% (control), 0.4% (intervention)
- Symptomatic distal DVT (TOA = 30 days): 0.6% (control), 0.2% (intervention)
- Symptomatic PE (TOA = 90 days): 2.8% (control), 1.1% (intervention)
- Symptomatic PE (TOA = 30 days): 1.7% (control), 0.8% (intervention)
- Symptomatic upper limb DVT (TOA = 90 days): 2.6% (control), 2.5% (intervention)
- Symptomatic upper limb DVT (TOA = 30 days): 2% (control), 1.6% (intervention)
- Major bleeding (TOA = 30 days): 1.5% (control), 1.5% (intervention)
- Minor bleeding (TOA = 30 days): 7% (control), 6.5% (intervention)
- All-cause mortality (TOA = 90 days): 22.3% (control), 22.5% (intervention)
- All-cause mortality (TOA = 30 days): 12.5% (control), 13.9% (intervention)
- · Method of VTE diagnosis: venography

Notes

This study was classified as a quasi-RCT because of the non-random component in the sequence generation method.

Funding sources: No clear mention of sources of funding but Dr Goldhaber reported having received clinical research support from Bristol-Myers Squibb and GlaxoSmithKline and having served as a consultant for Sanofi-Aventis, Pfizer, and AstraZeneca.

Bias	Authors' judgement	Support for judgement
Random sequence generation (selection bias)	High risk	Quote: "Patients with even medical-record numbers were assigned to the intervention group, and those with odd medical-record numbers were assigned to the control group, without further stratification." (Page 971, column 1, paragraph 4)
Allocation concealment (selection bias)	Unclear risk	Quote: "Patients with even medical record numbers were assigned to the intervention group, and those with odd medical-record numbers were assigned to the control group, without further stratification." (Page 971, column 1, paragraph 4)
		There was no mention of allocation concealment.
		Quote: "Physicians responsible for patients in the control group were not aware that these patients were being followed for clinical events." (Page 971, column 2, paragraph 1)
		Unclear, considering the fact that physicians for the most part were responsible for participants in both groups.
Blinding of participants and personnel (perfor- mance bias) All outcomes	Low risk	Quote: "We conducted a 90-day follow-up of all study patients, reviewing their medical records in the patient database of Brigham and Women's Hospital. Clinical events were identified with the use of information from the index hospitalization, subsequent hospitalizations, and office visits, including discharge



Kucher 2005 (Continued)		
		summaries, physician's notes, blood-test results, vascular-laboratory reports, nuclear-medicine reports, and radiology reports. In addition, the Social Security Death Index was used to identify patients who died during the 90-day period." (Page 971, column 2, paragraph 3).
		Follow-up data were collected from routine medical data.
Blinding of outcome assessment (detection bias)	Low risk	Quote: "Three investigators who were unaware of patients' group assignments adjudicated all endpoints." (Page 972, column 1, paragraph 2)
All outcomes		There was no description of the blinding of outcome assessment.
Incomplete outcome data (attrition bias) All outcomes	Low risk	Quote: "Overall, 2361 patients (94.2 percent) had follow-up data beyond the index hospitalization, and 145 patients (5.8 percent) were lost to follow-up. Of the patients lost to follow-up, 78 were in the intervention group and 67 were in the control group (P=0.39)." (Page 971, column 2, paragraph 4)
		The rate of incomplete outcome data was low.
Selective reporting (reporting bias)	High risk	Quote: "Safety endpoints included total mortality at 30 days and the rate of hemorrhagic events at 90 days." (Page 972, column 1, paragraph 1)
		All clinical and safety outcomes were assessed, but the rate of hemorrhagic events at 90 days was not presented as stated.
Other bias	Low risk	There were no other sources of bias for items listed as potential other sources of bias.

Labarere 2007

Methods	 RCT Cluster Unit of randomization: acute care departments Unit of analysis: participants Study period: 2003 to 2004
Participants	 France 50 centers Departments: post-acute care departments (medical) Medical patients Inclusion criteria: 65 years or older, present Exclusion criteria: positive diagnosis of DVT or PE at admission or if required, long-term anticoagulation therapy with heparin or vitamin K antagonist for reasons other than VTE prophylaxis N screened: 659 (group 1), 475 (group 2) N included: 497 (group 1), 315 (group 2) Age (median): 83 (group 1), 81 (group 2) Male %: 31.6 (control), 38.4 (intervention) Control group: concurrent with intervention, control group observed for 1 day; control groups were from other hospitals; pre-existing interventions: development and distribution of guidelines, education (aimed at physicians only)
Interventions	 Aimed at doctors and nurses Use of multifaceted intervention Educational component: 1 hour on-site educational session re: prophylaxis against VTE, pocket size card of guidelines, posters, mailed data re: prophylaxis use in the department



Labarere 2007 (Continued)

- · Development and distribution of guidelines
- Audit and feedback
- Comparator: group 1 = intervention targeted at physicians only vs. group 2 = intervention targeted at physicians and nurses

Outcomes

- Appropriateness of prophylaxis not assessed
- Received prophylaxis: 48% (group 1), 55% (group 2)
- Asymptomatic DVT: 13% (group 1), 15% (group 2)
- Asymptomatic proximal DVT: 4% (group 1), 4% (group 2)
- Asymptomatic distal DVT: 9% (group 1), 1% (group 2)
- Bleeding complications: 1% (group 1), 1% (group 2)
- Thrombocytopaenia: 0.2% (group 1), 0% (group 2)
- · Method of VTE diagnosis: Doppler ultrasound

Notes

Timing of outcome assessment unclear

Funding sources: Quote: "This research was funded by a grant from the French Ministry of Health (Programme Hospitalier de Recherche Clinique). Ultrasound systems were provided by Sonosite France. The funding sources had no role in the study design; the collection, analysis or interpretation of the data; or the decision to submit the manuscript for publication."

Bias	Authors' judgement	Support for judgement			
Random sequence generation (selection bias)	Low risk	Quote: "Departments were randomly assigned to a guideline implementation intervention that targeted both physicians and nurses, or physicians only. Randomization was not stratified and was performed by our statistician using a computer generated sequence with a one-to-one allocation ratio in order to obtain comparison groups of equal sample sizes." (Page 302, column 2, paragraph 2)			
Allocation concealment (selection bias)	Low risk	Quote: "Allocation sequence was concealed until interventions were assigned." (Page 302, column 2, paragraph 2)			
Blinding of participants and personnel (perfor- mance bias) All outcomes	High risk	Quote: "Given the nature of the intervention, physicians and nurses could not be blinded to intervention." (Page 302, column 2, paragraph 4)			
Blinding of outcome assessment (detection bias) All outcomes	Unclear risk	Quote: "Trained physicians, who were members of the research team, collected data on risk factors for deep vein thrombosis and prophylaxis using a standardized data abstract form." (Page 303, column 1, paragraph 2)			
		Quote: "Compression ultrasonography was performed by registered vascular medicine physicians who were unaware of both risk factors and thromboprophylaxis." (Page 303, column 1, paragraph 3)			
		There was no description of the blinding of outcome assessment other than compression ultrasonography.			
Incomplete outcome data (attrition bias) All outcomes	High risk	Quote: "Eleven patients were excluded from multivariable analysis because of missing values." (Table 3, page 307)			
Alloutcomes		There was no description of the degree of completeness of the study and the missing outcomes.			



Laba	arere	2007	(Continued)
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Selective reporting (reporting bias)

Low risk

No major selective reporting noticed: bleeding complications were reported. However, major and minor bleeding complications rates were not reported separately. Timing of outcome assessment was not clearly reported.

Other bias

High risk

Unclear risk of recruitment bias: There do not seem to be any individuals recruited after randomization. However, it was possible that doctors worked in more than one unit and were in both the control and intervention populations. This was not addressed.

Low risk for baseline imbalances: Quote: "The patients allocated to the intervention targeted at physicians only tended to be older and were more likely to be female and to have chronic heart failure and inflammatory disorders, while relatively more patients allocated to the intervention targeted at both physicians and nurses had recent major surgery (Table 2)." . (Page 304, column 1, paragraph 1). These differences were adjusted for in the analysis.

High risk for loss of clusters: Quote: "Of 53 facilities approached, three declined to participate and 50 were randomized (Fig. 1). Of these, eight facilities dropped out of the study before intervention implementation and data collection, all citing nursing staff shortage. They were withdrawn from the study and the analysis was based on 24 facilities allocated to the intervention targeted at physicians only and 18 facilities allocated to the intervention targeted at both physicians and nurses (Table 1)." (Page 303, column 2, paragraph 4)

Low risk for incorrect analysis: clustering was taken into account in the sample size calculation and in analysis. Quote: "Based on the findings of a previous study, we assumed an intra cluster correlation of r=0.20, a mean number of 20 patients enrolled per department, and a 30% rate of physical prophylactic measures for the intervention that targeted physicians only. Under these assumptions, we anticipated a power of 80% to detect a difference of 20% in rates between the two groups with a=0.05 with 25 departments for each intervention group." (Page 303, column 2, paragraph 1)

Quote: "To account for patient clustering within departments, we used random intercept logistic regression with the two levels defined by patient and department". (Page 303, column 2, paragraph 3)

Low risk of bias for other items listed as potential sources of bias

Overhage 1996

Methods

- RCT
- Cluster
- · Unit of randomization: services
- · Unit of analysis: participants
- Study period: 1992 to 1993

Participants

- USA
- 1 center
- Departments: medicine services
- Medical patients
- Inclusion criteria: patients admitted to general medicine ward during 6-month study period for whom
 the computer generated at least 1 preventative care recommendation
- Exclusion criteria: patient was admitted before study began, patient was discharged after study ended, patient had already been hospitalized once during the study, patient remained in the hospital less than 18 hours
- N included: 801 (control), 821 (intervention)



Overhage 1996 (Continued)

- Age (mean (SD)): control = 51 (18), intervention = 51 (18)
- Male %: 50 (control), 50 (intervention)
- Control group: concurrent with intervention group. Control group observed for 6 months; same types
 of participants (department/hospital) as intervention group

Interventions

- · Aimed at doctors
- Use of reminders: electronic alert
- Computer reminder program analyzed electronic medical records, reminders appeared on printed daily reports and at work station when entering order, suggestions for orders provided
- Comparator: physicians who received the intervention (electronic alert) vs. controls (reminders were not printed or displayed)

Outcomes

- · Appropriateness of prophylaxis not assessed
- Received prophylaxis: 35.7% (control), 43.3% (intervention)
- PE/DVT occurrence not assessed

Notes

Funding sources: Quote: "This work was supported by grants HS 05626 and HS 07719-013 from the Agency for Health Care Policy and Research, Rockville, Md."

Bias	Authors' judgement	Support for judgement		
Random sequence generation (selection bias)	Unclear risk	Quote: "Before each 6-week rotation, the chief medical resident constructed teams of faculty, house staff and students bases on scheduling issues, clinical skills, and personalities. The study biostatistician then randomly assigned the teams to services. Patients were assigned intervention or control status based on the service to which they were admitted." (Page 1552, column 2, paragraph 2)		
		They did not provide details on how the 6 services were randomized.		
Allocation concealment (selection bias)	Unclear risk	There was no mention of allocation concealment in the text.		
Blinding of participants and personnel (perfor- mance bias) All outcomes	Unclear risk	Quote: "In addition, to structuring call schedules to maintain 'blinding', the computer would not print or display reminders for control physicians at any time." (Page 1553, column 1, paragraph 5). There was no clear mention of blinding of all participants and personnel.		
Blinding of outcome assessment (detection bias) All outcomes	High risk	Quote: "The study biostatistician then randomly assigned the teams to services. Patients were assigned intervention or control status based on the service to which they were admitted." (Page 1552, column 2, paragraph 2)		
Incomplete outcome data (attrition bias) All outcomes	Unclear risk	There was no mention of the completeness of follow-up or of incomplete outcome data.		
Selective reporting (reporting bias)	Unclear risk	No clinical or safety outcomes reported		
Other bias	Unclear risk	Low risk for baseline imbalances: Quote: "No differences were seen between the intervention and control patients for demographic characteristics or the most common primary admitting diagnoses (Table 2)." (Page 1552, column 1, paragraph 4)		
		Unclear risk for incorrect analysis: clustering was taken into account in the analysis. But, there was no mention of sample size calculation. Quote: "Be-		



Overhage 1996 (Continued)

cause compliance rates for different preventive care measures were correlated within each physician team, we analyzed the results for all preventive care measures combined and then for each measure separately using the Kleinman beta binomial model. For all comparisons, we defined a 2-tailed P value of .05 or less as significant." (Page 1553, column 2, paragraph 4)

Low risk of bias for other items listed as potential sources of bias

Pai 2013

Methods

- RCT
- Cluster
- Unit of randomization: hospitals
- Unit of analysis: participants
- Study period: 2009

Participants

- Canada
- 6 centers
- Departments: medical wards excluding cardiology, intensive care, and other medical subspecialties
- Medical patients
- Inclusion criteria: patients ≥18 years of age and admitted to the service of general internal medicine during the study period
- Exclusion criteria: patients who were receiving therapeutic anticoagulation or having a length of stay less than 24 hours, patients admitted under cardiology, intensive care, or other medical subspecialties services
- N included: 1457 (control), 1154 (intervention)
- Age (range, median): 18 to 102, 72 (control), 18 to 100, 72 (intervention)
- Male %: 47 (control), 46 (intervention)
- Control group: concurrent with intervention group; observed for months; same type of participants/departments as intervention group

Interventions

- Aimed at medical wards
- Use of multifaceted intervention
- Education sessions, standardized risk assessment algorithm and physicians' orders, audit, and feedback
- Comparator: no intervention (no active or passive knowledge-translation strategies to improve thromboprophylaxis) vs. intervention

Outcomes

- Appropriateness of prophylaxis assessed (If a physician's order for the correct type and dose of thromboprophylaxis prescribed within the first 24 hours of admission, taking into account the individual patient's thrombosis and bleeding risks)
- Received prophylaxis: 30% (control), 32% (intervention)
- Received appropriate prophylaxis: 20% (control), 23% (intervention)
- PE/DVT occurrence not assessed

Notes

Funding sources: Quote: "This study was supported by a Collaborative Research Grant from the Canadian Medical Protective Association awarded to MP, as well as a Team Grant awarded to Dr. Jeffrey Weitz from the Canadian Institutes of Health Research (CIHR). JDD is leading a component of the Team Grant aimed at promoting knowledge translation of research related to venous thromboembolism (CTP-79846). MP received funding from the Thrombosis Interest Group of Canada, and a McMaster University Department of Medicine Internal Career Award. FAS holds a Career Investigator Award from the Heart and Stroke Foundation. LT is a clinical trials mentor for the Canadian Institutes of Health Research. DJC holds a Research Chair with the Canadian Institutes of Health Research."



Pai 2013 (Continued)

Bias	Authors' judgement	Support for judgement			
Random sequence generation (selection bias)	Low risk	Quote: "To ensure balance between the intervention and control groups, we used a stratified randomization strategy, based on the Ontario Ministry of Health and Longterm Care's Group A/B/C classification of hospitals. Each group included one hospital from Group A (academic hospitals), one hospital from Group B (community hospitals having greater than 100 beds), and one hospital from Group C (community hospitals having fewer than 100 beds). Both Group A hospitals had a consultative thromboembolism service. None of the hospitals used medical patient admission order sets. Randomization of clusters, using a random number table, was concealed, with a 1:1 allocation sequence within strata. The allocation sequence was generated by the study statistician." (Page 2, column 2, paragraph 3)			
Allocation concealment (selection bias)	Unclear risk	Quote: "Hospitals were the unit of randomization, and each hospital represented a cluster." (Page 2, column 2, paragraph 3)			
		Quote: "Randomization of clusters, using a random number table, was concealed, with a 1:1 allocation sequence within strata. The allocation sequence was generated by the study statistician." (Page 2, column 2, paragraph 3)			
		There was no description of the concealment.			
Blinding of participants	High risk	Quote: "This study was not blinded." (Page 4, column 1, paragraph 2)			
and personnel (perfor- mance bias) All outcomes		Results might have been influenced by the lack of blinding.			
Blinding of outcome as-	High risk	Quote: "This study was not blinded." (Page 4, column 1, paragraph 2)			
sessment (detection bias) All outcomes		Outcome assessment might have been influenced by the lack of blinding.			
Incomplete outcome data (attrition bias) All outcomes	Low risk	No incomplete data			
Selective reporting (reporting bias)	Unclear risk	No clinical or safety outcomes reported			
Other bias	Low risk	Low risk for recruitment bias: Quote: "For patients admitted more than once, only the first admission was included." (Page 3, column 2, paragraph 1)			
		Low risk for baseline imbalances: Quote: "The intervention and control groups were comparable. However, some baseline differences were noted. Specifically, there was a higher prevalence of acute respiratory disease (16% versus 9%) and sepsis (13% versus 8%) in the intervention group, and a higher prevalence of cancer in the control group (12% versus 8%). There was a higher prevalence of patients at risk for bleeding in the intervention group (10% versus 6%), as well as a higher prevalence of patients at risk for VTE without risk of bleeding (38% versus 32%). Clusters were comparable for baseline characteristics. Table 4 outlines the prophylaxis options prescribed in the intervention and control group hospitals." (Page 6, column 1, paragraph 1)			
		Quote: "The VTE risk profiles of patients at intervention and control hospitals were comparable (see Tables 2, 3)." (Page 6, column 1, paragraph 2)			
		Low risk for incorrect analysis: Quote: "As this was a pilot trial, the goal was to generate crude estimates of feasibility and data to calculate an intraclass cor-			

RCT



Pai 2013 (Continued)

relation coefficient (ICC) for use in future studies. Thus, the sample size in SEN-TRY was not adjusted for clustering." (Page 5, column 1, paragraph 1). Quote: "A logistic model using the generalized estimating equation (GEE) method was used to account for this clustering effect, incorporating both within-hospital and between-hospital variations. An ICC and variance inflation factor (VIF) were also calculated to assess the impact of the clustering effect." (Page 5, column 2, paragraph 1). Clustering was taken into account in the analysis, but not in sample size calculation.

Low risk of bias for other items listed as potential sources of bias

Piazza 2009 Methods

Non-cluster Unit of randomization: participant Unit of analysis: participant Study period: 2006 to 2007 **Participants** USA 25 centers Departments: medical and surgical services excluding neurology, newborn, neonatal ICU, and rehabilitation units Medical and surgical patients Inclusion criteria: at least 18 years old, medical or surgical services, high risk of VTE, not receiving any VTE prophylaxis Exclusion criteria: patients on neurology services, newborn service, neonatal ICU, rehabilitation units, patients not at high risk of developing VTE N included: 1255 (control), 1238 (intervention) Age (range, mean (SD)): control = 19 to 103, 68.28 (14.99), intervention = 20 to 100, 69.3 (15.46) Male %: 53.4 (control), 54.5 (intervention) Control group: concurrent with intervention group, observed over the same time as intervention group; same types of participants (department/hospital) as intervention group Interventions · Aimed at doctors Use of reminders: human alert

Outcomes

- Appropriateness of prophylaxis not assessed
- Received prophylaxis: 20.6% (control), 46% (intervention)

• Comparator: doctors were either alerted or not alerted

- Symptomatic VTE (within 90 days): 3.4% (control), 2.7% (intervention)
- Symptomatic DVT (within 90 days): 2% (control), 1.6% (intervention)
- Symptomatic proximal DVT (within 90 days): 1% (control), 0.3% (intervention)
- Symptomatic PE (within 90 days): 0.7% (control), 0.4% (intervention)
- Symptomatic DVT + PE (within 90 days): 0.6% (control), 0.6% (intervention)
- Major bleeding (within 30 days): 2.3% (control), 2.1% (intervention)
- All-cause mortality (within 90 days): 16.9% (control), 17.6% (intervention)
- Method of VTE diagnosis: Doppler ultrasound, venography, ventilation-perfusion scan

Responsible physicians alerted by another staff member if his or her patient was at high risk for VTE,

and that VTE prophylaxis was recommended, based on point scale of DVT risk factors



Piazza 2009 (Continued)

Notes

Funding sources: Quote: "This investigator-initiated study was funded in part by an unrestricted research grant from Sanofi-Aventis, and Dr Piazza is supported by a Research Career Development Award (K12 HL083786) from the National Heart, Lung, and Blood Institute (NHLBI)."

Risk of bias

Bias	Authors' judgement	Support for judgement			
Random sequence generation (selection bias)	Unclear risk	Quote: "Randomization envelopes containing the statement 'alert' (intervention group) or 'no alert' (control group) were provided by the Harvard Clinical Research Institute to randomize eligible patients." (Page 2197, column 2, paragraph 4)			
Allocation concealment (selection bias)	Unclear risk	No information on whether the envelopes were sealed or opaque, etc.			
Blinding of participants and personnel (perfor-	Unclear risk	Quote: "All end points were adjudicated by investigators who were unaware of the patients' group assignments." (Page 2198, column 1, paragraph 2)			
mance bias) All outcomes		There was no mention of the blinding of all participants and personnel.			
Blinding of outcome assessment (detection bias) All outcomes	Low risk	Quote: "All endpoints were adjudicated by investigators who were unaware of the patients' group assignments." (Page 2198, column 1, paragraph 2)			
Incomplete outcome data (attrition bias) All outcomes	Low risk	Quote: "Overall, 2493 (100%) had follow-up data beyond the index hospitalization." (Page 2197, column 2, paragraph 6)			
Selective reporting (reporting bias)	Low risk	All relevant outcomes (clinical and safety outcomes) were assessed. (Page 2198, Table 1-2; page 2199, Table 3)			
Other bias	Unclear risk	Quote: "Because most physicians treated both intervention and control patients, it is possible that receiving a physician alert for patients in the intervention group also affected the use of prophylaxis in the control group." (Page 2200, column 2, paragraph 2). There was a possible dependence between physicians in the intervention and the control group.			
		Quote: "The intervention and control groups were similar with regard to baseline characteristics, except that patients randomized to a physician alert were more likely to be > 75 years (42.5% versus 37.8%; P=0.02; Table 1)." (Page 2198, column 2, paragraph 2)			
		Quote: "One study center that enrolled 178 patients violated the study protocol and paged house officers rather than the attending physicians." (Page 2197, column 2, paragraph 4)			
		Low risk of bias for other items listed as potential sources of bias			

Roy 2016

Methods

- RCT
- Cluster
- Unit of randomization: centers (hospitals)
- Unit of analysis: participants
- Study period: 2009 to 2010



Roy 2016 (Continued)

Participants

- France
- 27 centers
- · Departments: emergency
- ER patients
- Inclusion criteria: patients over 40 years old, presenting to the emergency department for acute medical illness and requiring hospitalization in a medical ward
- Exclusion criteria: patients who were hospitalized for less than 48 hours; if VTE or PE was diagnosed
 within 48 hours following admission, and if they received a therapeutic dosage of anticoagulants for
 another reason than an acute VTE
- N included: 1686 (observational period), 18691 (intervention period): 6992 (control), 8359 (intervention)
- Age (range, median): 58 to 82, 73 (control), 59 to 83, 74 (intervention)
- Male %: 50.6 (control), 49.5 (intervention)
- Control group: concurrent with intervention group; same type of participants as intervention group (hospital/ER)

Interventions

- Aimed at doctors and residents
- Use of multifaceted intervention that included an alert component
- Educational lectures, posters and pocket cards, computerized clinical decision support systems and computerized reminders
- Comparator: no intervention vs. intervention

Outcomes

- Appropriateness of prophylaxis assessed (thromboprophylaxis was considered adequate if: i) for highrisk patients with thromboprophylaxis recommended as per the guidelines, antithrombotic treatment was initiated before Day 5 and administered at the correct dosage for at least 5 days, or ii) for low-risk patients with no indication or those with contra-indication for anticoagulant treatment, antithrombotic treatment was not administered)
- Received prophylaxis: 44.1% (control), 48.2% (intervention)
- Received appropriate prophylaxis: 15.6% (control), 17.6% (intervention)
- Symptomatic VTE (within 3 months): 1.9% (control), 1.9% (intervention)
- Symptomatic DVT (within 3 months): 0.5% (control), 0.6% (intervention)
- Symptomatic proximal DVT (within 3 months): 0.3% (control), 0.3% (intervention)
- Symptomatic distal DVT (within 3 months): 0.2% (control), 0.3% (intervention)
 Symptomatic PE (within 3 months): 0.5% (control), 0.4% (intervention)
- Symptomatic fatal PE (within 3 months): 0.07% (control), 0.04% (intervention)
- Major bleeding (within 3 months): 1.3% (control), 1.2% (intervention)
- All-cause mortality (within 3 months): 11.1% (control), 11.3% (intervention)
- Unexplained sudden death (within 3 months): 0.9% (control), 0.9% (intervention)
- Method of VTE diagnosis: venography, ultrasonography, pulmonary angiography, computed tomography, and ventilation-perfusion scan

Notes

Funding sources: Quote: "The study was supported by two grants from the French Ministry of Health (PHRC 2008 and 2011: 21-09), and received further financial aid from Bayer HealthCare SAS, France and Sanofi SAS, France. The funders had no role in study design, data collection and analysis, decision to publish, or preparation of the manuscript."

Bias	Authors' judgement	Support for judgement		
Random sequence generation (selection bias)	Low risk	Quote: "A random number table was used to assign hospitals to either the intervention or standard practice group (control group). Randomization was stratified in order to include the same number of academic hospitals and centers using a computerized medical file in each group." (Page 3, paragraph 6)		



Allocation concealment (selection bias)	Unclear risk	Quote: "No intervention was performed in the centers allocated to the control group. The principal investigators were instructed to continue their practice as
Blinding of participants and personnel (perfor- mance bias) All outcomes	High risk	usual." (Page 4, paragraph 5) Quote: "Physicians were aware of an ongoing study of thromboprophylaxis and may have improved their baseline practice (Hawthorne effect)." (Page 11, paragraph 5)
Blinding of outcome assessment (detection bias) All outcomes	Low risk	Quote: "An independent adjudication committee, blinded to the group allocation, performed a chart review and analyzed all suspected outcome events." (Page 5, paragraph 2)
		Quote: "The statistician was blinded to the randomization group and center names." (Page 5, paragraph 8)
Incomplete outcome data (attrition bias) All outcomes	Low risk	Accounted for all missing data. See figure 1. (Page 7, paragraph 1)
Selective reporting (re- porting bias)	High risk	Quote: "In patients for whom prophylaxis was recommended but with contraindication to antithrombotic treatment, a mechanical prophylaxis method was recommended, namely by means of compression stockings or intermittent pneumatic compression devices." (Page 3, paragraph 8)
		Quote: "Secondly, we focused our analysis on antithrombotic treatment and did not assess mechanical thromboprophylaxis." (Page 11, paragraph 5)
		Analysis did not account for mechanical prophylaxis in analysis as stated, but patients who could not receive regular prophylaxis were put on mechanical prophylaxis.
Other bias	Unclear risk	Unclear risk for recruitment bias: There did not seem to be any individuals recruited after randomization. However, it was possible that MDs worked in more than one unit and were in both the control and intervention populations This was not addressed.
		Low risk for baseline imbalances: Quote: "All data regarding demographic characteristics, past medical history and co-morbidities, medication on admission, main acute medical condition (principal reason for hospitalization), procedures performed during hospitalization, and length of hospital stay has been provided in Table 2 and S1 Table" (Page 6, paragraph 8)
		Low risk for incorrect analysis: clustering was taken into account in analysis and in sample size calculation. Quote: "With the study design assuming 30 participating centers divided into two groups of 15, an intra-cluster correlation of 0.01, a 5% combined rate of VTE or major bleedings in the control group, a total of 16,170 patients with 8085 in each group were required to detect a 1.5% absolute difference between the two groups, with 3.5% in the intervention group, at a power of 80% and significance level of 5%." (Page 5, paragraph 6). Quote: "We estimated the odds ratio in mixed-effects logistic regression, adjusting for significant patient- and center-level confounders and taking into account the dependence between patients from the same hospital, also known as the clustering effect." (Page 5, paragraph 8)
		Low risk of bias for other items listed as potential sources of bias.

a/c: anticoagulant CI: confidence interval

CME: continuing medical education



DVT: deep vein thrombosis ER: emergency room

GEE: generalized estimating equation

ICC: intraclass correlation ICU: intensive care unit MD: medical doctor N: number

PE: pulmonary embolism PI: principal investigator QA: quality assurance QI: quality improvement

QRT: quasi-randomized controlled trial RCT: randomized controlled trial SAQ: Safety Attitudes Questionnaire SCD: Sequential compression device

SD: standard deviation TIA: transient ischemic attack TOA: timing of assessment

U: unit

VIF: variance inflation factor VTE: venous thromboembolism

Characteristics of excluded studies [ordered by study ID]

Study	Reason for exclusion
Marini 2014	The intervention did not aim to increase the use of prophylaxis, decrease the proportion of symptomatic VTE, or decrease the proportion of asymptomatic VTE.
Nendaz 2010	Non-randomized controlled trial (controlled before-and-after study design).
Piazza 2013	The intervention was directed to outpatients.

VTE: venous thromboembolism

DATA AND ANALYSES

Comparison 1. Alerts versus standard care

Outcome or subgroup title	No. of studies	No. of partici- pants	Statistical method	Effect size
1 Received prophylaxis	3	5057	Risk Difference (M-H, Random, 95% CI)	0.21 [0.15, 0.27]
2 Received appropriate prophylaxis	3	1820	Risk Difference (M-H, Random, 95% CI)	0.16 [0.12, 0.20]
3 Symptomatic VTE	3	5353	Risk Ratio (M-H, Random, 95% CI)	0.64 [0.47, 0.86]



Analysis 1.1. Comparison 1 Alerts versus standard care, Outcome 1 Received prophylaxis.

Study or subgroup	alerts	standard care	F	Risk Difference	Weight	Risk Difference
	n/N	n/N	М-Н	, Random, 95% CI		M-H, Random, 95% CI
Kucher 2005	421/1255	182/1251		•	47.97%	0.19[0.16,0.22]
Overhage 1996	13/30	10/28			5.32%	0.08[-0.17,0.33]
Piazza 2009	569/1238	259/1255		•	46.71%	0.25[0.22,0.29]
Total (95% CI)	2523	2534		•	100%	0.21[0.15,0.27]
Total events: 1003 (alerts), 451						
Heterogeneity: Tau ² =0; Chi ² =7.8	35, df=2(P=0.02); I ² =74.52 ⁶	%				
Test for overall effect: Z=6.88(P-	<0.0001)					
	Favours standard care				1 Favours alerts	

Analysis 1.2. Comparison 1 Alerts versus standard care, Outcome 2 Received appropriate prophylaxis.

Study or subgroup	alerts	standard care		Risk Difference	Weight	Risk Difference
	n/N	n/N	M-	H, Random, 95% CI		M-H, Random, 95% CI
Chapman 2011	147/182	114/172		-	19.21%	0.14[0.05,0.24]
Dexter 2001	228/664	116/662		-	74.14%	0.17[0.12,0.21]
Garcia 2009	44/60	49/80		+	6.64%	0.12[-0.03,0.28]
Total (95% CI)	906	914		•	100%	0.16[0.12,0.2]
Total events: 419 (alerts), 279 (st	tandard care)					
Heterogeneity: Tau ² =0; Chi ² =0.4	7, df=2(P=0.79); I ² =0%					
Test for overall effect: Z=7.89(P<	(0.0001)					
	Fav	ours standard care	-1 -0.5	0 0.5	1 Favours alerts	

Analysis 1.3. Comparison 1 Alerts versus standard care, Outcome 3 Symptomatic VTE.

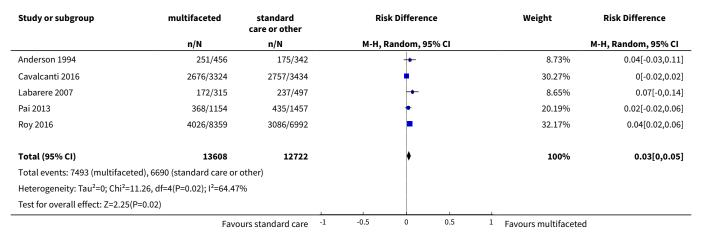
Study or subgroup	alerts	standard care		Risk Ra	tio		Weight	Risk Ratio
	n/N	n/N		M-H, Randon	ı, 95% CI			M-H, Random, 95% CI
Chapman 2011	1/182	5/172	_	-			1.97%	0.19[0.02,1.6]
Kucher 2005	61/1255	103/1251		-			62.84%	0.59[0.43,0.8]
Piazza 2009	32/1238	41/1255		-			35.19%	0.79[0.5,1.25]
Total (95% CI)	2675	2678		•			100%	0.64[0.47,0.86]
Total events: 94 (alerts), 149 (sta	andard care)							
Heterogeneity: Tau ² =0.01; Chi ² =	2.35, df=2(P=0.31); I ² =14.	91%						
Test for overall effect: Z=2.9(P=0))				1			
		Favours alert	0.01	0.1 1	10	100	Favours standard care	2



Comparison 2. Multifaceted interventions versus standard care or another intervention

Outcome or subgroup title	No. of studies	No. of partici- pants	Statistical method	Effect size
1 Received prophylaxis (unadjusted)	5	26330	Risk Difference (M-H, Random, 95% CI)	0.03 [0.00, 0.05]
2 Received prophylaxis (adjusted)	5	9198	Risk Difference (M-H, Random, 95% CI)	0.04 [0.02, 0.06]
3 Received prophylaxis (adjusted) sensitivity analysis: applied lowest ICC from other trials	5	9089	Risk Difference (M-H, Random, 95% CI)	0.04 [0.02, 0.06]
4 Received prophylaxis (adjusted) sensitivity analysis: applied mean ICC from other trials	5	8491	Risk Difference (M-H, Random, 95% CI)	0.03 [0.01, 0.06]
5 Received prophylaxis (adjusted) sensitivity analysis: applied highest ICC from trials	5	8440	Risk Difference (M-H, Random, 95% CI)	0.04 [0.01, 0.06]

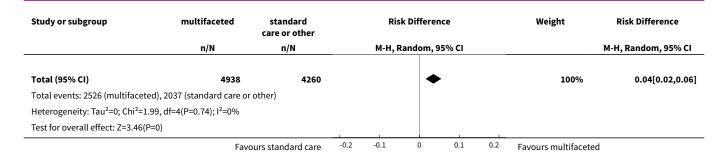
Analysis 2.1. Comparison 2 Multifaceted interventions versus standard care or another intervention, Outcome 1 Received prophylaxis (unadjusted).



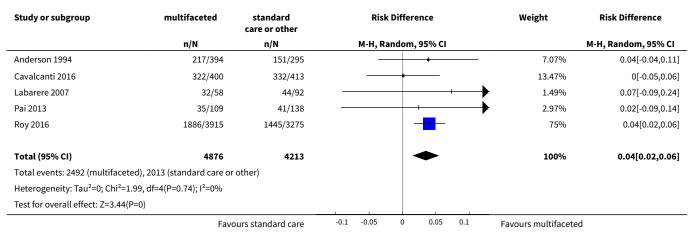
Analysis 2.2. Comparison 2 Multifaceted interventions versus standard care or another intervention, Outcome 2 Received prophylaxis (adjusted).

Study or subgroup	multifaceted	standard care or other		Ris	k Differer	ice		Weight	Risk Difference
	n/N	n/N		M-H, R	andom, 9	5% CI			M-H, Random, 95% CI
Anderson 1994	251/456	175/342			+			8.1%	0.04[-0.03,0.11]
Cavalcanti 2016	322/400	332/413		-	\rightarrow	-		13.32%	0[-0.05,0.06]
Labarere 2007	32/58	44/92				-	\longrightarrow	1.48%	0.07[-0.09,0.24]
Pai 2013	35/109	41/138						2.94%	0.02[-0.09,0.14]
Roy 2016	1886/3915	1445/3275			-	-		74.17%	0.04[0.02,0.06]
	Favo	urs standard care	-0.2	-0.1	0	0.1	0.2	Favours multifaceted	

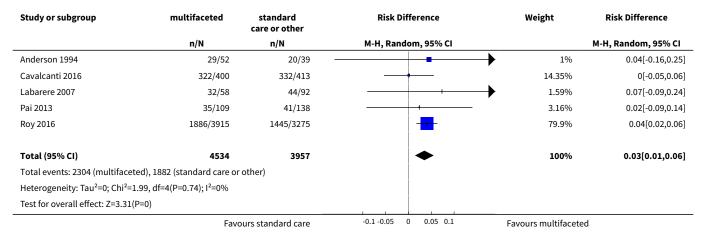




Analysis 2.3. Comparison 2 Multifaceted interventions versus standard care or another intervention, Outcome 3 Received prophylaxis (adjusted) sensitivity analysis: applied lowest ICC from other trials.

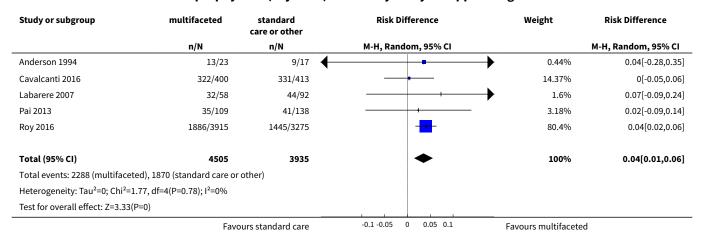


Analysis 2.4. Comparison 2 Multifaceted interventions versus standard care or another intervention, Outcome 4 Received prophylaxis (adjusted) sensitivity analysis: applied mean ICC from other trials.





Analysis 2.5. Comparison 2 Multifaceted interventions versus standard care or another intervention, Outcome 5 Received prophylaxis (adjusted) sensitivity analysis: applied highest ICC from trials.



ADDITIONAL TABLES

Table 1. Quantitative risk of bias score for sensitivity analysis

Quantitative rick of hise score for consitivity analysis

Quantitative risk of bias score for sensitivi	ty analysis	
Trial	Summary ROB Score	Overall ROB
Anderson 1994	-1	Unclear
Cavalcanti 2016	+1	Unclear
Chapman 2011	0	Unclear
Dexter 2001	0	Unclear
Fontaine 2006	0	Unclear
Garcia 2009	-2	High
Hinchey 2010	-4	High
Kucher 2005	+2	Low
Labarere 2007	0	Unclear
Overhage 1996	-1	Unclear
Pai 2013	+1	Unclear
Piazza 2009	+3	Low
Roy 2016	+1	Unclear

ROB: risk of bias



Table 2. Primary outcome - unadjusted/adjusted meta-analysis and sensitivity analysis

Intervention	Outcome	Risk Difference (RD) (95% CI)	I ² Statistic for RD	Relative Risk (RR) (95% CI)
Multifaceted unadjusted	Received prophylaxis	0.03 (0.00 to 0.05)	64%	1.07 (1.00 to 1.14)
Multifaceted adjusted	Received prophylaxis	0.04 (0.02 to 0.06)	0%	1.06 (1.02 to 1.11)
Multifaceted lowest ICC	Received prophylaxis	0.04 (0.02 to 0.06)	0%	1.06 (1.02 to 1.11)
Multifaceted mean ICC	Received prophylaxis	0.04 (0.01 to 0.06)	0%	1.06 (1.01 to 1.11)
Multifaceted highest ICC	Received prophylaxis	0.04 (0.01 to 0.06)	0%	1.06 (1.01 to 1.11)

ICCs were available for 4/5 (Cavalcanti 2016 = 0.13, Labarere 2007 = 0.24, Pai 2013 = 0.022, Roy 2016 = 0.002) trials included in this meta-analysis. ICC's were not available for Anderson 1994.

In this table adjustment for the cluster design effect was performed via reported ICCs. No ICC was applied to the one trial that did not report an ICC (Anderson 1994). We performed a sensitivity analysis using the lowest reported ICC (0.002), the mean reported ICC (0.0985), and the highest reported ICC (0.24) for the trial that did not report an ICC (Anderson 1994). All trials in the meta-analysis were clustered designs.

ICC: intracluster correlation

Anderson 1994; Cavalcanti 2016; Labarere 2007; Pai 2013; Roy 2016

Table 3. Alerts

Outcomes	Number of studies	Risk Differ- ence (RD)	95% Confidence interval	Events, inter- vention	Events, con- trol
Received prophylaxis	3	0.21	(0.15 to 0.27)	1003/2523	451/2534
Received appropriate prophylaxis	3	0.16	(0.12 to 0.20)	419/906	279/914
Venous thromboembolism outcomes	Number of studies	Risk Ratio (RR)	95% Confidence interval	Events, intervention	Events, con- trol
Symptomatic VTE	3	0.64	(0.47 to 0.86)	94/2675	149/2678
Symptomatic DVT	2	0.43 ^a	(0.23 to 0.78)	15/1255	35/1251
		0.80b	(0.44 to 1.46)	19/1238	24/1255
Symptomatic PE	2	0.40a	(0.22 to 0.74)	14/1255	35/1251
		0.63b	(0.21 to 1.93)	5/1238	8/1255
Asymptomatic VTE	-	-	-	-	-
Asymptomatic DVT	-	-	-	=	-
Asymptomatic PE	-	-	-	-	-



Tab	e 3.	Alerts	(Continued)

Mortality	Number of studies	Risk Ratio (RR)	95% Confidence interval	Events, inter- vention	Events, con- trol
All-cause mortality	2	1.01 ^a	(0.87 to 1.17)	282/1255	279/1251
		1.04 ^b	(0.88 to 1.24)	215/1238	209/1255
Sudden death	-	-	-	-	-
Safety outcomes	Number of studies	Risk Ratio (RR)	95% Confidence interval	Events, inter- vention	Events, con- trol
Major bleeding	2	1.00 ^a	(0.53 to 1.87)	19/1255	19/1251
		0.91 ^b	(0.53 to 1.54)	25/1238	28/1255
Minor bleeding	1	0.92 ^a	(0.69 to 1.23)	81/1255	88/1251
Thrombocytopenia	-	-	-	-	-
^a Kucher 2005, ^b Piazza 2009					

DVT: deep vein thrombosis PE: pulmonary embolism VTE: venous thromboembolism

Chapman 2011; Dexter 2001; Garcia 2009; Kucher 2005; Piazza 2009; Overhage 1996

Table 4. Computer alerts

Outcomes	Number of studies	Risk Differ- ence (RD)	95% Confidence inter- val	Events, inter- vention	Events, con- trol
Received prophylaxis	2	0.19 ^a	(0.16 to 0.22)	421/1255	182/1251
		0.08 ^b	(-0.17 to 0.33)	13/30	10/28
Received appropriate prophylaxis	1	0.17 ^c	(0.12 to 0.21)	228/664	116/662
Venous thromboembolism outcomes	Number of studies	Risk Ratio (RR)	95% Confidence inter- val	Events, inter- vention	Events, con- trol
Symptomatic VTE	1	0.59 ^a	(0.43 to 0.80)	61/1255	103/1251
Symptomatic DVT	1	0.43 ^a	(0.23 to 0.78)	15/1255	35/1251
Symptomatic PE	1	0.40 ^a	(0.22 to 0.74)	14/1255	35/1251
Asymptomatic VTE	-	-	-	-	-
Asymptomatic DVT	-	-	-	-	-
Asymptomatic PE	-	-	-	-	-



Table 4. Computer alerts (Continued)

Mortality	Number of studies	Risk Ratio (RR)	95% Confidence inter- val	Events, inter- vention	Events, con- trol
All-cause mortality	1	1.01 ^a	(0.87 to 1.17)	282/1255	279/1251
Sudden death	-	-	-	-	-
Safety outcomes	Number of studies	Risk Ratio (RR)	95% Confidence inter- val	Events, inter- vention	Events, con- trol
Major bleeding	1	1.00 ^a	(0.53 to 1.87)	19/1255	19/1251
Minor bleeding	1	0.92a	(0.69 to 1.23)	81/1255	88/1251
Thrombocytopenia	-	-	-	-	-
^a Kucher 2005; ^b Overhage 19	996; CDexter 2001				

DVT: deep vein thrombosis PE: pulmonary embolism VTE: venous thromboembolism

Dexter 2001; Kucher 2005; Overhage 1996

Table 5. Human alerts

Outcomes	Number of studies	Risk Differ- ence (RD)	95% Confidence interval	Events, intervention	Events, con- trol
Received prophylaxis	1	0.25 ^a	(0.22 to 0.29)	569/1238	259/1255
Received appropriate prophylaxis	2	0.14 ^b	(0.05 to 0.24)	147/182	114/172
13		0.12 ^c	(-0.03 to 0.28)	44/60	49/80
Venous thromboembolism out- comes	Number of studies	Risk Ratio (RR)	95% Confidence inter- val	Events, inter- vention	Events, con- trol
Symptomatic VTE	2	0.79 ^a	(0.50 to 1.25)	32/1238	41/1255
		0.19 ^b	(0.02 to 1.60)	1/182	5/172
Symptomatic DVT	1	0.80 ^a	(0.44 to 1.46)	19/1238	24/1255
Symptomatic PE	1	0.63 ^a	(0.21 to 1.93)	5/1238	8/1255
Asymptomatic VTE	-	-	-	-	-
Asymptomatic DVT	-	-	-	-	-
Asymptomatic PE	-	-	-	-	-
Mortality	Number of studies	Risk Ratio (RR)	95% Confidence interval	Events, intervention	Events, con- trol



Table 5.	Human	alerts	(Continued)
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All-cause mortality	1	1.04 ^a	(0.88 to 1.24)	215/1238	209/1255
Sudden death	-	-	-	-	-
Safety outcomes	Number of studies	Risk Ratio (RR)	95% Confidence inter- val	Events, inter- vention	Events, con- trol
Major bleeding	1	0.91 ^a	(0.53 to 1.54)	25/1238	28/1255
Minor bleeding	-	-	-	-	-
Thrombocytopenia	-	-	-	-	-

^aPiazza 2009; ^bChapman 2011; ^cGarcia 2009

DVT: deep vein thrombosis PE: pulmonary embolism VTE: venous thromboembolism

Chapman 2011; Garcia 2009; Piazza 2009

Table 6. Multifaceted interventions

Outcomes	Number of studies	Risk Differ- ence (RD)	95% Confidence interval	Events, inter- vention	Events, con- trol
Received prophylaxis	5	0.03	(0.00 to 0.05)	7306/13611	6509/12722
Received appropriate prophylaxis	2	0.03a	(-0.00 to 0.06)	263/1154	290/1457
phytoxis		0.02 ^b	(0.01 to 0.03)	1474/8359	1094/6992
Venous thromboembolism outcomes	Number of studies	Risk Ratio (RR)	95% Confidence interval	Events, intervention	Events, con- trol
Symptomatic VTE	1	0.97 ^b	(0.77 to 1.23)	150/8068	128/6692
Symptomatic DVT	1	1.17 ^b	(0.76 to 1.81)	48/8068	34/6692
Symptomatic PE	1	0.71 ^b	(0.44 to 1.15)	31/8068	36/6692
Asymptomatic VTE	-	-	-	-	-
Asymptomatic DVT	1	1.21 ^c	(0.86 to 1.70)	49/315	64/497
Asymptomatic PE	-	-	-	-	-
Mortality	Number of studies	Risk Ratio (RR)	95% Confidence interval	Events, inter- vention	Events, con- trol
All-cause mortality	2	1.02 ^b	(0.93 to 1.12)	940/8298	764/6884
		0.95 ^d	(0.88 to 1.01)	1096/3327	1196/3434
Sudden death	1	1.01 ^b	(0.72 to 1.43)	72/8298	59/6884



Table 6. Multifaceted interventions (Continued)

Safety outcomes	Number of studies	Risk Ratio (RR)	95% Confidence interval	Events, inter- vention	Events, con- trol
Major bleeding	1	0.96 ^b	(0.72 to 1.28)	100/8068	86/6692
Minor bleeding	-	-	-	-	-
Thrombocytopenia	1	0.53 ^c	(0.02 to 12.86)	0/315	1/497

^aPai 2013; ^bRoy 2016; ^cLabarere 2007; ^dCavalcanti 2016

DVT: deep vein thrombosis PE: pulmonary embolism VTE: venous thromboembolism

Anderson 1994 CME + QA Group; Cavalcanti 2016; Hinchey 2010; Labarere 2007; Pai 2013; Roy 2016

Table 7. Educational interventions

Outcomes	Number of studies	Risk Differ- ence (RD)	95% Confidence interval	Events, intervention	Events, con- trol
Received prophylaxis	1	-0.02	(-0.09 to 0.05)	252/513	175/342
Received appropriate prophylaxis	-	-	-	-	-
Venous thromboembolism outcomes	Number of studies	Risk Ratio (RR)	95% Confidence interval	Events, inter- vention	Events, con- trol
Symptomatic VTE	-	-	-	-	-
Symptomatic DVT	-	-	-	-	-
Symptomatic PE	-	-	-	-	-
Asymptomatic DVT	-	-	-	-	-
Asymptomatic PE	-	-	-	-	-
Mortality	Number of studies	Risk Ratio (RR)	95% Confidence interval	Events, intervention	Events, con- trol
All-cause mortality	-	-	-	-	-
Sudden death	-	-	-	-	-
Safety outcomes	Number of studies	Risk Ratio (RR)	95% Confidence interval	Events, inter- vention	Events, con- trol
Major bleeding	-	-	-	-	-
Minor bleeding	-	-	-	-	-
Thrombocytopenia	-	-	-	-	-
Anderson 1994 CME group					



DVT: deep vein thrombosis PE: pulmonary embolism VTE: venous thromboembolism

Table 8. Preprinted orders

Outcomes	Number of studies	Risk Differ- ence (RD)	95% Confidence interval	Events, inter- vention	Events, con- trol
Received prophylaxis	1	-0.05	(-0.12 to 0.02)	115/360	133/359
Received appropriate prophylaxis	-	-	-	-	-
Venous thromboembolism outcomes	Number of studies	Risk Ratio (RR)	95% Confidence interval	Events, intervention	Events, con- trol
Symptomatic VTE	-	-	-	-	-
Symptomatic DVT	-	-	-	-	-
Symptomatic PE	-	-	-	-	-
Asymptomatic VTE	-	-	-	-	-
Asymptomatic DVT	-	-	-	-	-
Asymptomatic PE	-	-	-	-	-
Mortality	Number of studies	Risk Ratio (RR)	95% Confidence interval	Events, intervention	Events, con-
All-cause mortality	-	-	-	-	-
Sudden death	-	-	-	-	-
Safety outcomes	Number of studies	Risk Ratio (RR)	95% Confidence interval	Events, intervention	Events, con-
Major bleeding	-	-	-	-	-
Minor bleeding	-	-	-	-	-
Thrombocytopenia	-	-	-	-	-
Fontaine 2006					

DVT: deep vein thrombosis PE: pulmonary embolism VTE: venous thromboembolism

APPENDICES

Appendix 1. MEDLINE Ovid and Cochrane search strategy

- 1. exp Thrombosis/pc
- 2. exp Embolism/pc
- ${\it 3.} \ (thrombosis\ or\ thrombotic\ or\ thrombus\ or\ thrombo\ or\ thrombo\ embol {\it *}).tw.$



- 4. (emboli* or embolus).tw.
- 6. clot?.tw.
- 7. (DVT or VTE or PE).tw.
- 8. or/1-7
- 9. exp Anticoagulants/
- 10. anticoagulant*.tw.
- 11. (hydroxycoumarins or acenocoumarol or acenocoumar* or minisintrom or nicoumalone or s?nc?umar or sintrom or s?nthrom* or ancrod or ancrod or arvin or venacil or agkistrodon or arwinor or (blood adj3 coagulat* adj3 inhibit*) or "citric acid" or uralyt or dalteparin or tedelparin or fr-860 or fr860 or dalteparin or kabi2165 or kabi-2165 or fragmin* or "dermatan sulfate" or chondroitin or dextran or dextrans or hemodex or promit or macrodex or saviosol or rheodextran or polyglucin or hyskon or rheomacrodex or infukoll or rheopolyglucin or rheoisodex or rondex or dic?umarol or dicoumarin or bishydroxycoumarin or edetic or tetracemate or calcitetracemate or edta or ethylenedinitrilotetraacetic or edetate or (calcium adj3 tetacine) or versenate or coprin or edathamil or versene or dinitrilotetraacetate or "chelaton 3" or enoxaparin* or pk10169 or "pk 10169" or emt-967 or emt96* or clexane or lovenox or emt-966 or (ethyl adj3 biscoumacetate) or ethyldicoumarol or pelentan or tromexan or carbethoxydicoumarol or foy or gabexate or heparin* or at?eroid* or liquaemin or nadroparin* or fraxiparin* or cy-216 or cy216 or "pentosan sulfuric polyester" or "pentosan sulphuric polyester" or ((polysulfate or polysulphate) adj sodium adj pentosan*) or ((sulfuric or sulphuric) adj polyester adj pentosan*) or fibrocid or ((hoe or bay or hoe-bay) adj "946") or ((pentosan* or polypentose or xylan) adj (sulphate or sp54 or sp-54 or polysulfate* or polysulphate*)) or pz68 or pz-68 or elmiron or hemoclar or phenindione or pindione or phenyline or fenilin or phenylindanedione or dindevan or phenprocouman or falithrom or phenprogramma or phenprocoumalol or marcumar or phenylpropylhydroxycumarinum or phenprocoumarol or liquamar or marcoumar or "protein c" or "protein s" or warfarin marevan or coumadin* or warfant or aldocumar or tedicumar or "beta 2-glycoprotein i" or apo-h or anticardiolipin or "apoliprotein h" or ec-vmfa or "endothelial cell viability maintaining factor" or "beta(2)gpi").tw.
- 12. exp Stockings, Compression/
- 13. exp Intermittent Pneumatic Compression Devices/
- 14. ((compression* or thromboembolism-deterrent or anti-embolism or TED) adj3 (stocking* or hose or hosiery or device*)).tw.
- 15. (prophylaxis or prophylactic).tw.
- 16. pc.fs.
- 17. (prevent* or reduce or reduction or diminish or decrease* or inhibit*).tw.
- 18. or/9-17
- 19. exp Medical Order Entry Systems/
- 20. exp Reminder Systems/
- 21. exp Drug Therapy, Computer-Assisted/
- 22. (("computeri?ed physician" or system) adj5 "order entry").tw.
- 23. CPOE.tw
- 24. ((computeri?ed or automat* or medicat* or electronic*) adj5 (alert* or reminder*)).tw.
- 25. sticker?.tw.
- 26. prescription aid?.tw.
- 27. exp Decision Support Systems, Clinical/
- 28. decision support.tw.
- 29. CDS.tw.
- 30. e-iatrogenesis.tw.
- 31. alert fatigue.tw.
- 32. electronic tool?.tw.
- 33. exp Guideline/
- 34. exp Guidelines as Topic/
- 35. exp Guideline Adherence/
- 36. exp Clinical Protocols/
- 37. protocol*.tw.
- 38. guideline*.tw.
- 39. adhere*.tw.
- 40. (comply or compliance).tw.
- 41. or/19-40
- 42. exp Inpatients/ or exp Hospitalization/ or exp Hospitals/
- 43. (inpatient* or "in?patient*").tw.
- 44. exp Adolescent, Hospitalized/ or exp Child, Hospitalized/
- 45. (hospitali?e* or hospitali?ation).tw.
- 46. (admitted adj3 (hospital or patient*)).tw.
- 47. ("high risk" or "at risk").tw.
- 48. or/42-47
- 49. thromboprophyla*.mp.
- 50. 8 and 18 and 41 and 48
- 51. 48 and 49
- 52. 50 or 51



53. limit 52 to yr="1980 -Current"

Appendix 2. Embase Ovid search strategy

- 1. exp thrombosis prevention/
- 2. exp embolism prevention/
- 3. (thrombosis or thrombotic or thrombus or thrombi or thromboembol*).tw.
- 4. (emboli* or embolus).tw.
- 5. (phlebothrombo* or phlebitis).tw.
- 6. exp blood clotting/
- 7. clot.tw.
- 8. (DVT or VTE or PE).ti,ab.
- 9. or/1-8
- 10. exp *anticoagulant agent/
- 11. anticoagulant*.tw.
- 12. (hydroxycoumarins or acenocoumarol or acenocoumar* or minisintrom or nicoumalone or s?nc?umar or sintrom or s?nthrom* or ancrod or ancrod or arvin or venacil or agkistrodon or arwinor or (blood adj3 coagulat* adj3 inhibit*) or "citric acid" or uralyt or dalteparin or tedelparin or fr-860 or fr860 or dalteparin or kabi2165 or kabi-2165 or fragmin* or "dermatan sulfate" or chondroitin or dextran or dextrans or hemodex or promit or macrodex or saviosol or rheodextran or polyglucin or hyskon or rheomacrodex or infukoll or rheopolyglucin or rheoisodex or rondex or dic?umarol or dicoumarin or bishydroxycoumarin or edetic or tetracemate or calcitetracemate or edta or ethylenedinitrilotetraacetic or edetate or (calcium adj3 tetacine) or versenate or coprin or edathamil or versene or dinitrilotetraacetate or "chelaton 3" or enoxaparin* or pk10169 or "pk 10169" or emt-967 or emt96* or clexane or lovenox or emt-966 or (ethyl adj3 biscoumacetate) or ethyldicoumarol or pelentan or tromexan or carbethoxydicoumarol or foy or gabexate or heparin* or at?eroid* or liquaemin or nadroparin* or fraxiparin* or cy-216 or cy216 or "pentosan sulfuric polyester" or "pentosan sulphuric polyester" or ((polysulfate or polysulphate) adj sodium adj pentosan*) or ((sulfuric or sulphuric) adj polyester adj pentosan*) or fibrocid or ((hoe or bay or hoe-bay) adj "946") or ((pentosan* or polypentose or xylan) adj (sulphate or sulfate or sp54 or sp-54 or polysulfate* or polysulphate*)) or pz68 or pz-68 or elmiron or hemoclar or phenindione or pindione or phenyline or fenilin or phenylindanedione or dindevan or phenprocouman or falithrom or phenprogramma or phenprocoumalol or marcumar or phenylpropylhydroxycumarinum or phenprocoumarol or liquamar or marcoumar or "protein c" or "protein s" or warfarin marevan or coumadin* or warfant or aldocumar or tedicumar or "beta 2-glycoprotein i" or apo-h or anticardiolipin or "apoliprotein h" or ec-vmfa or "endothelial cell viability maintaining factor" or "beta(2)gpi").tw.
- 13. exp compression stocking/
- 14. ((compression* or thromboembolism-deterrent or anti-embolism or TED) adj3 (stocking* or hose or hosiery)).tw.
- 15. (prophylaxis or prophylactic).tw.
- 16. pc.fs.
- 17. (prevent* or reduce or reduction or diminish or decrease* or inhibit*).tw.
- 18. or/10-17
- 19. exp hospital information system/
- 20. exp reminder system/
- 21. exp computer assisted drug therapy/
- 22. (("computeri?ed physician" or system) adj5 "order entry").tw.
- 23. CPOE.tw.
- 24. ((computeri?ed or automat* or medicat* or electronic*) adj5 (alert* or reminder*)).tw.
- 25. sticker*.tw.
- 26. prescription aid*.tw.
- 27. exp decision support system/
- 28. "decision support".tw.
- 29. CDS.tw.
- 30. e-iatrogenesis.tw.
- 31. alert fatigue.tw.
- 32. electronic tool*.tw.
- 33. exp practice guideline/
- 34. exp clinical protocol/
- 35. (protocol* or guideline* or adhere*).tw.
- 36. (comply or compliance).tw.
- 37. or/19-36
- 38. exp hospital patient/ or exp hospitalization/ or (*exp * hospital/ and exp patient/)
- 39. (inpatient* or "in?patient").tw.
- 40. (hospitali?e* or hospitali?ation).tw.
- 41. (admitted adj3 (hospital or patient*)).tw.
- 42. ("high risk" or "at risk").tw.
- 43. or/38-42
- 44. thromboprophyla*.mp.



45. 9 and 18 and 37 and 43

46. 43 and 44

47. 45 or 46

48. limit 47 to yr="1980 -Current"

Appendix 3. BIOSIS previews Ovid search strategy

- 1. (thrombosis or thrombotic or thrombus or thrombi or thromboembol*).mp.
- 2. (emboli* or embolus).mp.
- 3. (phlebothrombo* or phlebitis).mp.
- 4. clot*.mp.
- 5. (DVT or VTE or PE).tw.
- 6. or/1-5
- 7. anticoagulant*.mp.

8. (hydroxycoumarins or acenocoumarol or acenocoumar* or minisintrom or nicoumalone or s?nc?umar or sintrom or s?nthrom* or ancrod or ancrod or arvin or venacil or agkistrodon or arwinor or (blood adj3 coagulat* adj3 inhibit*) or "citric acid" or uralyt or dalteparin or tedelparin or fr-860 or fr860 or dalteparin or kabi2165 or kabi-2165 or fragmin* or "dermatan sulfate" or chondroitin or dextran or dextrans or hemodex or promit or macrodex or saviosol or rheodextran or polyglucin or hyskon or rheomacrodex or infukoll or rheopolyglucin or rheoisodex or rondex or dic?umarol or dicoumarin or bishydroxycoumarin or edetic or tetracemate or calcitetracemate or edta or ethylenedinitrilotetraacetic or edetate or (calcium adj3 tetacine) or versenate or coprin or edathamil or versene or dinitrilotetraacetate or "chelaton 3" or enoxaparin* or pk10169 or "pk 10169" or emt-967 or emt96* or clexane or lovenox or emt-966 or (ethyl adj3 biscoumacetate) or ethyldicoumarol or pelentan or tromexan or carbethoxydicoumarol or foy or gabexate or heparin* or at?eroid* or liquaemin or nadroparin* or fraxiparin* or cy-216 or cy216 or "pentosan sulfuric polyester" or "pentosan sulphuric polyester" or ((polysulfate or polysulphate) adj sodium adj pentosan*) or ((sulfuric or sulphuric) adj polyester adj pentosan*) or fibrocid or ((hoe or bay or hoe-bay) adj "946") or ((pentosan* or polypentose or xylan) adj (sulphate or sulfate or sp54 or sp-54 or polysulfate* or polysulphate*)) or pz68 or pz-68 or elmiron or hemoclar or phenindione or pindione or phenyline or fenilin or phenylindanedione or dindevan or phenprocoumanol or liquamar or marcoumar or "protein c" or "protein s" or warfarin marevan or coumadin* or warfant or aldocumar or tedicumar or "beta 2-glycoprotein i" or apo-h or anticardiolipin or "apoliprotein h" or ec-vmfa or "endothelial cell viability maintaining factor" or "beta(2)gpi").tw.

- 9. ((compression* or thromboembolism-deterrent or anti-embolism or TED) adj3 (stocking* or hose or hosiery)).mp.
- 10. (prophylaxis or prophylactic).mp.
- 11. (prevent* or reduce or reduction or diminish or decrease* or inhibit*).mp.
- 12 or/7-11
- 13. (("computeri?ed physician" or system) adj5 "order entry").tw.
- 14. CPOE.tw.
- 15. ((computeri?ed or automat* or medicat* or electronic*) adj5 (alert* or reminder*)).tw.
- 16. sticker*.tw.
- 17. prescription aid*.tw.
- 18. "decision support".tw.
- 19. CDS.tw.
- 20. e-iatrogenesis.tw.
- 21. alert fatigue.tw.
- 22. electronic tool*.tw.
- 23. (guideline* or protocol* or adhere*).tw.
- 24. (comply or compliance).tw.
- 25. or/13-24
- 26. (inpatient* or "in?patient").tw.
- 27. (hospitali?e* or hospitali?ation).tw.
- 28. (admit* adj3 (hospital or patient*)).tw.
- 29. ("high risk" or "at risk").tw.
- 30. or/26-29
- 31. thromboprophyla*.mp.
- 32. 6 and 12 and 25 and 30
- 33. 30 and 31
- 34. 32 or 33

Appendix 4. CINAHL search strategy

S46 S44 OR S45

S45 S42 AND S43

S44 S8 AND S15 AND S32 AND S42

S43TI thromboprophyla* OR AB thromboprophyla*

S42 S33 OR S34 OR S35 OR S36 OR S37 OR S38 OR S39 OR S40 OR S41



S41TI ("high risk" OR "at risk") OR AB ("high risk" OR "at risk")

S40TI (admitted N3 (hospital or patient*)) OR AB (admitted N3 (hospital or patient*))

S39TI (hospitali?e* OR hospitali?ation) OR AB (hospitali?e* OR hospitali?ation)

S38(MH "Child, Hospitalized")

S37(MH "Adolescent, Hospitalized")

S36TI (inpatient* OR in?patient*) OR AB (inpatient* OR in?patient*)

S35(MH "Hospitals+")

S34(MH "Hospitalization+")

S33(MH "Inpatients")

S32 S16 OR S17 OR S18 OR S19 OR S20 OR S21 OR S22 OR S23 OR S24 OR S25 OR S26 OR S27 OR S28 OR S29 OR S30 OR S31

S31TI (protocol* or guideline* OR adhere*) OR AB (protocol* or guideline* OR adhere*)

S30(MH "Practice Guidelines")

S29TI electronic tool* OR AB electronic tool*

S28TI alert fatigue OR AB alert fatigue

S27TI e-iatrogenesis OR AB e-iatrogenesis

S26TI CDS OR AB CDS

S25TI decision support* OR AB decision support*

S24(MH "Decision Support Systems, Clinical")

S23TI prescription aid* OR AB prescription aid*

S22TI sticker* OR AB sticker*

S21TI ((computeri?ed or automat* or medicat* or electronic*) N5 (alert* or reminder*)) OR AB ((computeri?ed or automat* or medicat* or electronic*) N5 (alert* or reminder*))

S20TI CPOE OR AB CPOE

S19TI (("computeri?ed physician" or system) N5 "order entry") OR AB (("computeri?ed physician" or system) N5 "order entry")

S18(MH "Drug Therapy, Computer Assisted")

S17(MH "Reminder Systems")

S16(MH "Electronic Order Entry")

S15 S9 OR S10 OR S11 OR S12 OR S13 OR S14

S14TI (prevent* or reduce or reduction or diminish or decrease* or inhibit*) OR AB (prevent* or reduce or reduction or diminish or decrease* or inhibit*)

S13TI (prophylaxis or prophylactic) OR AB (prophylaxis or prophylactic)

S12TI ((compression* or thromboembolism-deterrent or anti-embolism or TED) N3 (stocking* or hose or hosiery or device*)) OR AB ((compression* or thromboembolism-deterrent or anti-embolism or TED) N3 (stocking* or hose or hosiery or device*))

S11(MH "Compression Garments")

S10TI anticoagulant* OR AB anticoagulant*

S9(MH "Anticoagulants+")

S8 S1 OR S2 OR S3 OR S4 OR S5 OR S6 OR S7

S7TX (DVT OR VTE OR PE) OR AB (DVT OR VTE OR PE)

S6TX (clot or clots) OR AB (clot or clots)

S5TX (phlebothrombo* or phlebitis) OR AB (phlebothrombo* or phlebitis)

S4TX (emboli* OR embolus) OR AB (emboli* or embolus)

S3TX (thrombosis or thrombotic or thrombus or thrombi or thromboembol*) OR AB (thrombosis or thrombotic or thrombus or thrombi or thromboembol*)

S2(MH "Embolism+/PC")

S1(MH "Thrombosis+/PC")

Appendix 5. WEB OF SCIENCE search strategy

#1 TS=(thrombosis or thrombotic or thrombus or thrombi or thromboembol* OR emboli* OR embolus OR phlebothrombo* or phlebitis OR clot OR DVT OR VTE OR PE)

#2 TS=(anticoagulant* OR hydroxycoumarins or acenocoumarol or acenocoumar* or minisintrom or nicoumalone or s?nc?umar or sintrom or s?nthrom* or ancrod or arvin or venacil or agkistrodon or arwinor or (blood NEAR/3 coagulat* NEAR/3 inhibit*) or "citric acid" or uralyt or dalteparin or tedelparin or fr-860 or fr860 or dalteparin or kabi2165 or kabi-2165 or fragmin* or "dermatan sulfate" or chondroitin or dextran or dextrans or hemodex or promit or macrodex or saviosol or rheodextran or polyglucin or hyskon or rheomacrodex or infukoll or rheopolyglucin or rheoisodex or rondex or dic?umarol or dicoumarin or bishydroxycoumarin or edetic or tetracemate or calcitetracemate or edta or ethylenedinitrilotetraacetic or edetate or (calcium NEAR/3 tetacine) or versenate or coprin or edathamil or versene or dinitrilotetraacetate or "chelaton 3" or enoxaparin* or pk10169 or "pk 10169" or emt-967 or emt96* or clexane or lovenox or emt-966 or (ethyl NEAR/3 biscoumacetate) or ethyldicoumarol or pelentan or tromexan or carbethoxydicoumarol or foy or gabexate or heparin* or at?eroid* or liquaemin or nadroparin* or fraxiparin* or cy-216 or cy216 or "pentosan sulfuric polyester" or "pentosan sulphuric polyester" or ((polysulfate or polysulphate) NEAR/1 sodium NEAR/1 pentosan*) or ((sulfuric or sulphuric) NEAR/1 polyester NEAR/1 pentosan*) or fibrocid or ((hoe or bay or hoe-bay) NEAR/1 "946") or ((pentosan* or polypentose or xylan) NEAR/1 (sulphate or sulfate or sp54 or sp-54 or polysulfate*)) or polysulphate*)) or pz68 or pz-68 or elmiron or hemoclar or phenindione or pindione or phenyline



or fenilin or phenylindanedione or dindevan or phenprocoumon or falithrom or phenprogramma or phenprocoumalol or marcumar or phenylpropylhydroxycumarinum or phenprocoumarol or liquamar or marcoumar or "protein c" or "protein s" or warfarin marevan or coumadin* or warfant or aldocumar or tedicumar or "beta 2-glycoprotein i" or apo-h or anticardiolipin or "apoliprotein h" or ec-vmfa or "endothelial cell viability maintaining factor" or "beta(2)gpi" OR ((compression* or thromboembolism-deterrent or anti-embolism or TED) NEAR/3 (stocking* or hose or hosiery)) OR prophylaxis or prophylactic or prevent* or reduce or reduction or diminish or decrease* or inhibit*)

#3 TS=((("computeri?ed physician" or system) NEAR/5 "order entry") OR CPOE OR ((computeri?ed or automat* or medicat* or electronic*) NEAR/5 (alert* or reminder*)) or sticker* OR "prescription aid*" OR "decision support" OR CDS OR e-iatrogenesis OR "alert fatigue" OR "electronic tool*" OR guideline* or protocol* OR adhere* OR comply or compliance)

#4 TS=(inpatient* OR "in-patient*" or hospitali?e* or hospitali?ation or (admitted NEAR/3 (hospital* or patient*)) OR "high risk" or "at risk") #5 TS=(thromboprophyla*)

#6 #4 AND #3 AND #2 AND #1

#7 #5 AND #4

#8 #7 OR #6

Appendix 6. LILACS Search Strategy

((thrombosis or thrombotic or thrombus or thrombi or thromboembol* or phlebothrombo* or phlebitis or clot* or DVT or VTE) AND (prophylaxis or prophylactic or prevent* or reduce or reduction or diminish or decrease* or inhibit*)) OR thromboprophyla*

Appendix 7. PubMed search strategy

```
#65,"Search #64 NOT medline[sb]"
#64,"Search #62 OR #63"
#63,"Search #60 AND #61"
#62,"Search #15 AND #27 AND #52 AND #60"
#61,"Search thromboprophyla*[tw]"
#60,"Search #52 OR #53 OR #54 OR #55 OR #56 OR #58 OR #59"
#59,"Search high risk[tw] or at risk[tw]"
#58,"Search admitted[tw] AND (hospital[tw] or patient[tw] or patients[tw])"
#56,"Search hospitalise*[tw] or hospitalisation[tw] or hospitalize*[tw] or hospitalization[tw]"
#55,"Search Adolescent, Hospitalized[Mesh] or Child, Hospitalized[Mesh]"
#54,"Search inpatient[tw] or inpatients[tw] or in-patient[tw] or in-patients[tw]"
#53,"Search Inpatients[Mesh] or Hospitalization[Mesh] or Hospitals[Mesh]"
#52,"Search #29 OR #30 OR #31 OR #32 OR #33 OR #34 OR #35 OR #36 OR #37 OR #38 OR #39 OR #40 OR #41 OR #42 OR #43 OR #44 OR
#45 OR #46 OR #47 OR #48 OR #49 OR #50 OR #51"
#51,"Search comply[tw] or compliance[tw]"
#50,"Search adhere*[tw]"
#49,"Search guideline*[tw]"
#48,"Search protocol*[tw]"
#47, "Search Clinical Protocols [Mesh]"
#46,"Search Guideline Adherence[Mesh]"
#45,"Search Guidelines as Topic[Mesh]"
#44,"Search Guideine[Mesh] Schema: all"
#43,"Search Guideine[Mesh]"
#42,"Search electronic tool*[tw]"
#41,"Search alert fatigue[tw]"
#40,"Search e-iatrogenesis[tw]"
#39,"Search CDS[tw]"
#38,"Search decision support[tw]"
#37, "Search ""Decision Support Systems, Clinical"" [Mesh]"
#36,"Search prescription aid*[tw]"
#35,"Search sticker*[tw]"
#34,"Search ((computerised or computerized or automat* or medicat* or electronic*) AND (alert* or reminder*))[tw]"
#33,"Search CPOE[tw]"
#32."Search ((""computerised physician"" or ""computerized physician"" or system) AND ""order entry"")[tw]"
#31,"Search ""Drug Therapy, Computer-Assisted""[Mesh]"
#30,"Search ""Reminder Systems""[Mesh]"
#29,"Search ""Medical Order Entry Systems""[Mesh]"
#27,"Search #16 OR #17 OR #19 OR #21 OR #23 OR #24 OR #25 OR #26"
#26, "Search prevent*[tw] or reduce[tw] or reduction[tw] or diminish[tw] or decrease*[tw] or inhibit*[tw]"
#25,"Search prophylaxis[tw] or prophylactic[tw]"
#24,"Search ((compression* or thromboembolism-deterrent or anti-embolism or TED) AND (stocking* or hose or hosiery or device*))[tw]"
```



#23,"Search ""Intermittent Pneumatic Compression Devices""[Mesh]" #21,"Search ""Stockings, Compression""[Mesh]"

#19,"Search hydroxycoumarins[tw] or acenocoumarol[tw] or acenocoumar*[tw] or minisintrom[tw] or nicoumalone[tw] or syncumar[tw] or sintrom[tw] or sinthrom*[tw] or synthrom*[tw] or ancrod[tw] or arvin[tw] or venacil[tw] or agkistrodon[tw] or arwinor[tw] or blood coagulation inhibitor[tw] or blood coagulation inhibitors[tw] or citric acid[tw] or uralyt[tw] or dalteparin[tw] or tedelparin[tw] or fr-860[tw] or fr860[tw] or dalteparin[tw] or kabi2165[tw] or kabi-2165[tw] or fragmin*[tw] or ""dermatan sulfate""[tw] or chondroitin[tw] or dextran[tw] or dextrans[tw] or hemodex[tw] or promit[tw] or macrodex[tw] or saviosol[tw] or rheodextran[tw] or polyglucin[tw] or hyskon[tw] or rheomacrodex[tw] or infukoll[tw] or rheopolyglucin[tw] or rheoisodex[tw] or rondex[tw] or dicumarol[tw] or dicoumarol[tw] or dicoumarin[tw] or bishydroxycoumarin[tw] or edetic[tw] or tetracemate[tw] or calcitetracemate[tw] or edta[tw] or ethylenedinitrilotetraacetic[tw] or edetate[tw] or (calcium AND tetacine)[tw] or versenate[tw] or coprin[tw] or edathamil[tw] or versene[tw] or dinitrilotetraacetate[tw] or ""chelaton 3""[tw] or enoxaparin*[tw] or pk10169[tw] or ""pk 10169""[tw] or emt-967[tw] or emt96*[tw] or clexane[tw] or lovenox[tw] or emt-966[tw] or ""ethyl biscoumacetate""[tw] or ethyldicoumarol[tw] or pelentan[tw] or tromexan[tw] or carbethoxydicoumarol[tw] or foy[tw] or gabexate[tw] or heparin*[tw] or ateroid*[tw] or atheroid*[tw] or liquaemin[tw] or nadroparin*[tw] or fraxiparin*[tw] or cy-216[tw] or cy216[tw] or ""pentosan sulfuric polyester""[tw] or ""pentosan sulphuric polyester""[tw] or ((polysulfate or polysulphate) AND sodium AND pentosan*)[tw] or ((sulfuric or sulphuric) AND polyester AND pentosan*) [tw] or fibrocid[tw] or ((hoe or bay or hoe-bay) AND ""946"")[tw] or ((pentosan* or polypentose or xylan)[tw] AND (sulphate or sulfate or sp54 or sp-54 or polysulfate* or polysulphate*))[tw] or pz68[tw] or pz-68[tw] or elmiron[tw] or hemoclar[tw] or phenindione[tw] or pindione[tw] or phenyline[tw] or fenilin[tw] or phenylindanedione[tw] or dindevan[tw] or phenprocoumon[tw] or falithrom[tw] or phenprogramma[tw] or phenprocoumalol[tw] or marcumar[tw] or phenylpropylhydroxycumarinum[tw] or phenprocoumarol[tw] or liquamar[tw] or marcoumar[tw] or ""protein c""[tw] or ""protein s""[tw] or ""warfarin marevan""[tw] or coumadin*[tw] or warfant[tw] or aldocumar[tw] or tedicumar[tw] or ""beta 2-glycoprotein i""[tw] or apo-h[tw] or anticardiolipin[tw] or ""apoliprotein h""[tw] or ecvmfa[tw] or ""endothelial cell viability maintaining factor""[tw] or ""beta(2)gpi""[tw]"

#17,"Search anticoagulant*[tw]"
#16,"Search ""Anticoagulants""[Mesh]"

#15,"Search #7 OR #8 OR #9 OR #10 OR #11 OR #12 OR #14"

#14,"Search DVT[tiab] OR VTE[tiab] OR PE[tiab]"

#12,"Search clot[tw]

#11,"Search phlebothrombo*[tw] or phlebitis[tw]"

#10,"Search emboli[tw] or embolus[tw]"

#9,"Search thrombosis[tw] or thrombotic[tw] or thrombus[tw] or thrombis[tw] or thromboembol*[tw]"

#8,"Search ""Embolism/prevention and control""[Mesh]"

#7,"Search ""Thrombosis/prevention and control""[Mesh]"

Appendix 8. Clinicaltrials.gov search strategy

thrombosis or thrombotic or thrombus or thrombi or thromboembol* or emboli* or embolus or phlebothrombo* or phlebitis or clot or clots or DVT or VTE or PE

Appendix 9. Funnel Plots

Figure 4; Figure 5; Figure 6; Figure 7; Figure 8

Appendix 10. Influence analysis

Influence analyses Alerts - Received prophylaxis 95% Confidence interval Study omitted RD estimate without study Kucher 2005 0.21 0.06 0.36 Overhage 1996 0.22 0.16 0.28 Piazza 2009 0.19 0.16 0.22 Combined 0.21 0.15 0.27



(Continued)

Alerts - Received appropriate prop

Study omitted	RD estimate without study	95% Confider	nce interval
Chapman 2011	0.16	0.12	0.21
Dexter 2001	0.14	0.06	0.22
Garcia 2009	0.16	0.12	0.20
Combined	0.16	0.12	0.20

Alerts - Symptomatic VTE

Study omitted	RR estimate without study	95% Confidence inte	rval
Chapman 2011	0.65	0.50	0.85
Kucher 2005	0.57	0.18	1.86
Piazza 2009	0.56	0.34	0.92
Combined	0.64	0.47	0.86

Multifaceted interventions - Received prophylaxis (adjusted)

RD estimate without study	95% Confidence inte	rval
0.04	0.01	0.06
0.04	0.02	0.06
0.03	0.01	0.06
0.04	0.02	0.06
0.02	-0.02	0.06
0.04	0.02	0.06
	0.04 0.04 0.03 0.04 0.02	0.04 0.01 0.04 0.02 0.03 0.01 0.04 0.02 0.02 -0.02

VTE: venous thromboembolism

Appendix 11. Abbreviations

CDSR: Cochrane Database of Systematic Rviews

CENTRAL: Cochrane Central Register of Controlled Trials

CI: confidence interval

CINAHL: Cumulative Index to Nursing and Allied Health Literature

CME: continuous medical education

Cochrane EPOC: Cochrane Effective Practice and Organisation of Care



CRT: cluster randomized controlled trial

DARE: Database of Abstracts of Reviews of Effects

DVT: deep vein thrombosis

GRADE: Grading of Recommendations Assessment, Development and Evaluation

I²: statistical index of heterogeneity

ICC: intraclass correlation

LILACS: Latin American and Caribbean health sciences Literature

MeSH: Medical Subject Headings

NHS EED: NHS Economic Evaluation Database

PE: pulmonary embolism

PICOS: patients, intervention, comparator, outcome, setting

QA: quality assurance

QRT: quasi-randomized controlled trial RAP: received appropriate prophylaxis RCT: randomized controlled trial

RD: risk difference ROB: risk of bias

RP: received prophylaxis

RR: risk ratio

VTE: venous thromboembolism

WHAT'S NEW

Date	Event	Description
11 August 2017	New citation required but conclusions have not changed	focus of review changed from RCTs plus NRS to RCTs only; review updated according to Cochrane current criteria, new authors joined review team, no change to conclusions
7 January 2017	New search has been performed	searches rerun, five new studies included, three new studies excluded

CONTRIBUTIONS OF AUTHORS

- 1. Article reviewers: David Morrison (DM), Gisèle Diendéré (GD), Dr Susan Kahn (SK), Dr Adi J. Klil-Drori (AJK)
- 2. Resolving disputes: DM, GD, SK, AJK
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- 4. Content expertise: SK, KF, Dr Vicky Tagalakis (VT), Jessica Emed (JE), Dr André Roussin (AR), Dr William Geerts (WG)
- 5. Administrative coordination: DM
- 6. Literature searches: Martin Morris (MM), AJK, DM
- 7. Drafting the manuscript: DM, GD, SK
- 8. Revising the manuscript: DM, GD, SK, KBF, VT, JE, AR, AP, AJK, Dr James Douketis, (JD), MM, WG

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SK has received grant support from public granting agencies (CIHR) for research on the treatment of venous thrombosis. She participated in industry-sponsored advisory board meetings (Boehringer-Ingelheim, Servier Canada, one meeting for each entity), on the treatment of venous thrombosis and provided expert testimony for the Canadian Medical Protective Association. SK also reports that Sanofi Aventis has partnered with her institution to help create a center of excellence in thrombosis and anticoagulation.

DM: none known GD: none known AP: none known KBF: none known

AJK: AJK's institution has received funds from the Young Investigator Award from the American Society of Clinical Oncology Conquer Cancer Foundation. AJK reports receiving payments from Bristol Myers Squibb for lectures.



JD: JD reports receiving funds from board memberships of Bayer, Boehringer-Ingelheim, Bristol-Myers-Squibb, Daiichi-Sankyo, Pfizer, and Sanofi; consultancy fees from Actelion, Janssen Research and Development; funds for speaking at educational activities; royalties from the Merck Manual, Up-to-Date; JD's institution has received a grant from Boehringer-Ingelheim.

JE: JE received an honorarium for participation in a single meeting (focus group) with LEO Pharma for work unrelated to the submitted review.

AR: AR reports board membership and consultancy activities for BMS, BI, Pfizer, and Bayer, and received payment for lectures from BMS, BI, Bayer, and Pfizer not related to this review. AR also reports that his institution has received a CIHR grant for AIDS vascular research, and payment for development of educational presentations from BI, Bayer, BMS, and Pfizer for the preparation of university-accredited symposiums and slide kits.

VT: VT has received, and currently holds grant support from the CIHR for research in venous thrombosis; has engaged in lectures sponsored by companies that manufacture anticoagulants (Leo Pharma, Bristol Myer Squibb, and Pfizer); has received a grant from a manufacturer of an anticoagulant (Sanofi Aventis).

MM: reports receiving funds from American Academy of Clinical Toxicology for creation of search strategies for systematic reviews, and from International Team for Implantology for peer reviewing of search strategy.

WG: WG reports board membership (Canadian Patient Safety Institute (Safer Health Care Now) National lead for venous thromboembolism prevention), consultancy (Bayer Healthcare, Pfizer, Sanofi) and payment for lectures (Bayer Healthcare, Leo Pharma, Sanofi) and development of educational presentations (Bayer Healthcare, Leo Pharma). Other support has been received by his institution from Sanofi for clinical and quality of care outreach programs. WG reports that these relationships in no way impact on his involvement with this Cochrane review.

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DIFFERENCES BETWEEN PROTOCOL AND REVIEW

Our previous review included both randomized trials and non-randomized studies (Kahn 2013). In this updated review, we decided to focus solely on randomized trials, as the risk of bias in the non-randomized studies included in our last review was high. Details of the non-randomized studies previously classed as included studies can be found in Kahn 2013. We had intended to address heterogeneity via subgroup analyses, but there were not enough trials in the meta-analysis in question to examine subgroup effects. We also had intended to assess publication bias via funnel plots and cumulative meta-analysis but there were not enough trials in each meta-analysis to meaningfully interpret these analyses. However, we presented the funnel plots for all analyses except the sensitivity analyses in Appendix 9, for the sake of completeness.

We split the primary outcome 'Increase in the proportion of participants who received prophylaxis or received appropriate prophylaxis' into a primary outcome (Increase in the proportion of participants who received prophylaxis) and secondary outcome (Increase in the proportion of participants who received appropriate prophylaxis). We added the secondary outcomes 'decrease in the proportion of participants who developed any (i.e. symptomatic or asymptomatic) VTE (all VTE, any, proximal, or distal DVT, PE, fatal PE)' and decrease in the number of deaths (all-cause mortality, sudden death)'. We assessed the certainty of the evidence according to the GRADE approach.

INDEX TERMS

Medical Subject Headings (MeSH)

*Hospitalization; Anticoagulants [therapeutic use]; Australia; Europe; Hospitals; North America; Postoperative Complications [epidemiology] [prevention & control]; Pulmonary Embolism [epidemiology] [prevention & control]; Randomized Controlled Trials as Topic; Venous Thromboembolism [epidemiology] [*prevention & control]; Venous Thromboembolism [epidemiology] [prevention & control]

MeSH check words

Adult; Humans