# Intraspecific Nuclear DNA Variation in Drosophila

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We have summarized and analyzed all available nuclear DNA sequence polymorphism studies for three species of Drosophila, D. melanogaster (24 loci), D. simulans (12 loci), and D. pseudoobscura (5 loci). Our major findings are: (1) The average nucleotide heterozygosity ranges from about 0.4% to 2% depending upon species and function of the region, i.e., coding or noncoding. (2) Compared to D. simulans and D. pseudoobscura (which are about equally variable), D. melanogaster displays a low degree of DNA polymorphism. (3) Noncoding introns and 3' and 5' flanking DNA shows less polymorphism than silent sites within coding DNA. (4) X-linked genes are less variable than autosomal genes. (5) Transition (Ts) and transversion (Tv) polymorphisms are about equally frequent in noncoding DNA and at fourfold degenerate sites in coding DNA while Ts polymorphisms outnumber Ty polymorphisms by about 2:1 in total coding DNA. The increased Ts polymorphism in coding regions is likely due to the structure of the genetic code: silent changes are more often Ts's than are replacement substitutions. (6) The proportion of *elanogaster* than in *D. simulans.* (7) The level of variation icantly correlated indicating regional effects, most notably m at silent coding sites in *D. melanogaster* is positively posed tests of the neutral theory of DNA polymorphisms test, and the McDonald–Kreitman test. About half of the by one of the tests. We conclude that many variables are rom properties of nucleotides to population history and, xplanation satisfactorily accounts for the data. replacement polymorphisms is significantly higher in D. melanogaster than in D. simulans. (7) The level of variation in coding DNA and the adjacent noncoding DNA is significantly correlated indicating regional effects, most notably recombination. (8) Surprisingly, the level of polymorphism at silent coding sites in D. melanogaster is positively correlated with degree of codon usage bias. (9) Three proposed tests of the neutral theory of DNA polymorphisms have been performed on the data: Tajima's test, the HKA test, and the McDonald-Kreitman test. About half of the loci fail to conform to the expectations of neutral theory by one of the tests. We conclude that many variables are affecting levels of DNA polymorphism in Drosophila, from properties of nucleotides to population history and, perhaps, mating structure. No simple, all encompassing explanation satisfactorily accounts for the data.

# Introduction

The "struggle" to measure genetic variation in populations has a long history, with Drosophila playing a leading role as a model system for study (reviewed in Lewontin 1974; Powell 1994). The ultimate view of genetic variation must be at the level of the DNA sequences, which are now accumulating at a rapid pace. Sufficient numbers of studies have been done on intraspecific nucleotide variation in Drosophila that we can begin to see the patterns emerging. Here we review and analyze the available data to highlight these patterns. Inasmuch as pattern infers process, we also discuss processes or mechanisms of molecular evolution.

## The Data

While many studies using restriction fragment length polymorphisms have been performed on Drosophila, we confine ourselves here to nucleotide sequence data. Likewise we confine ourselves to studies of nuclear genes as relatively few DNA sequencing studies have been done on Drosophila mtDNA. Three species have been studied in sufficient detail to be useful: Drosophila melanogaster, D. simulans, and D. pseudoobscura. The Appendix lists the genes, their base, and references to the original publications. All sequences analyzed were taken from GenBank 86.0, December 1994 or directly from the original references. Gene names and map positions were from "FlyBase (1994)," The Drosophila Genetics Date Base. Because D. pseudoobscura has not been extersively mapped at the DNA level, only chromosomal locations are given for this species.

Tables 1, 2, and 3 summarize the intraspecific varie ation for D. melanogaster, D. simulans, and D. pset doobscura, respectively. Drosophila melanogaster is the best studied species with 24 genes or gene regions which have been characterized for intraspecific DNA sequence polymorphism. Half the number of genes, 12, have been studied in D. simulans. Drosophila pseu doobscura has been studied for only five genes, but the sample sizes are very large for two of the genes.

For most analyses we broke the nucleotide data into 2022 three classes:

Total or total coding: Silent (synonymous) and replacement sites in protein-coding DNA

Because not all genes have been studied for all classes of DNA, the sample size varies from case to case.

Key words: Drosophila, DNA polymorphism, neutral theory, recombination, codon usage bias.

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Mol. Biol. Evol. 13(1):261-277. 1996 © 1996 by the Society for Molecular Biology and Evolution. ISSN: 0737-4038

Silent or silent coding: Only silent substitutions in protein-coding DNA

Noncoding: Introns and 5' and 3' sequences adjacent to coding DNA

#### Table 1 Polymorphisms of Nuclear Genes in D. melanogaster

					Co	DING	REGION	ſ							DING <b>R</b> E		
			_							le Divers			Ро	olymor	-	Nucle	
	ALLELE	Length -	I	Polymorph	nic Sit	es		To	tal	Sil	ent	Length		Sites	s	Dive	rsity <sup>c</sup>
Gene	#ª	(bp)	Ts <sup>b</sup>	$\mathbf{T}\mathbf{v}^{\mathbf{b}}$	Syn	Rep	Total	π	Ô	π	Ô	(bp)	Ts	Tv	Total	π	Ô
ase	6	1,068 <sup>h</sup>	5(1)	1 (0)	3	3	6	2.06	2.46	5.07	5.57						
su(s) <sup>d</sup>	(50)											3,214	3	7	10	1.00	0.70
$su(w^a)^d \ldots$	(50)											1,985	8	9	17	1.75	1.96
pn	8 (6)	1,173 <sup>h</sup>	1 (0)	0 (0)	0	1	1	0.22	0.33	0.00	0.00	345	1	0	1	0.97	1.27
Pgd	13	1,443	3 (2)	1 (0)	3	1	4	1.33	0.89	4.18	2.87	2,900	8	5	13	1.59	1.47
z	6	804 <sup>h</sup>	5(1)	0 (0)	5	0	5	2.09	2.74	10.11	13.27	183	0	0	0	0.00	0.00
per	6	1,682 <sup>h</sup>	14 (6)	6 (5)	19	1	20	4.95	5.21	20.64	21.50	186	5	4	9	17.63	21.54
<i>Yp2</i>	6	1,046 <sup>h</sup>	6(1)	3 (1)	7	2	9	4.46	3.77	15.17	12.94	68	2	0	2	15.92	13.07 Q
Zw	33	1,558 <sup>h</sup>	16 (7)	8 (4)	22	2	24	3.84	3.80	15.81	15.90	147	3	6	9	20.65	17.06
Total (X-lin	ked)		50 (18)	19 (10)	59	10	69						30	31	61		Oac
Average (X			()	()				2.71	2.74	10.14	10.29					7.44	7.13 g
Acp26Aa .	10	792	8 (1)	8 (2)	5	11	16	7.35	7.14	10.71	10.34	584 <sup>i</sup>	7	6	13	8.76	7.98
Acp26Ab .	10	270	4 (0)	1 (0)	3	2	5	8.81	6.55	24.27	20.39						_
Adh	15	768	11 (5)	8 (5)	16	3	19	8.11	7.61	28.51	25.90	1,210	17	42	60 <sup>j</sup>	19.10	17.02
Adhr	11	816	4(1)	2 (1)	3	3	6	1.34	2.51	2.08	5.89	845	6	7	13	4.43	5.46
Lcp1Psi <sup>e</sup> .	10	384	0 (0)	1 (1)	0	1	1	1.22	0.93	0.00	0.00	184	0	1	1	2.67	2.02
Pgi	11	1,674	3 (1)	1 (1)	2	2	4	0.78	0.82	1.62	1.79	889	4	2	6	1.89	2.30
Amy-d	8 (5)	1,482	21 (6)	16 (10)	28	9	37	8.82	9.63	27.29	31.86	503	20	9	29	26.48	27.95
<i>Amy-p</i>	10 (6)	1,482	25 (7)	9 (6)	26	8	34	9.75	8.11	33.51	27.19	437	4	15	19	24.50	20.00
Sod	11	441 <sup>h</sup>	5(1)	2(1)	5	2	7	4.37	5.42	14.99	16.41	969	29	21	52 <sup>j</sup>	17.81	18.83
(CRS) <sup>g</sup>	25	441 <sup>h</sup>	5 (1)	2(1)	5	2	7	3.58	4.20	12.27	12.73	969	29	21	52 <sup>j</sup>	11.56	14.60
Est-6	13 (12)	1,632	32 (11)	13 (4)	28	17	45	7.21	8.89	22.05	25.06	119	1	6	7	17.19	19.48
tra	11	588	1 (1)	0 (0)	1	0	1	0.74	0.58	3.19	2.51	342	0	1	1	0.54	1.01
<i>Rh3</i>	5	1,149	2 (1)	0 (0)	2	0	2	0.70	0.84	2.97	3.57						e/a
boss	5	1,566 <sup>h</sup>	11 (2)	5 (3)	14	2	16	4.86	4.90	19.09	18.88						THC THC
Mlc1	16	314 <sup>h</sup>	0 (0)	0 (0)	0	0	0	0.00	0.00	0.00	0.00	656	10	9	19	11.79	9.00 🗒
<i>ci</i>	10	958 <sup>h</sup>	0 (0)	0 (0)	0	0	0	0.00	0.00	0.00	0.00						9.00 911.53
Total (autos	omal)		127 (37)	65 (33)	133	59	192						98	119	220		120
Average (a	itosomal)							4.43	4.41	13.40	13.29					11.72	11.53
Total (all)			177 (55)	84 (43)	192	69	261						128	150	281		ö
Average (a			()	<b>、</b> -)				4.02	4.03	13.46	13.51					10.82	10.52 g

<sup>a</sup> If numbers of alleles differ between coding and noncoding regions, the number for noncoding region is shown in parentheses.
<sup>b</sup> Transitional and transversional polymorphisms at fourfold degenerate sites are in parentheses.
<sup>c</sup> Nucleotide diversities were multiplied by 10<sup>3</sup>. In/del sites were excluded from estimation ("Length" is the total length of alignment and includes in/del sites get multiple-hit correction was done. The number of silent sites for each coding region was estimated by Nei and Gojobori (1986)'s method.
<sup>d</sup> From direct sequencing and single-strand conformation polymorphism analysis.
<sup>e</sup> Pseudogene, excluded from calculations of "Total" and "Average" of coding regions.
<sup>f</sup> Nucleotide diversity for X-linked genes are multiplied by 4<sup>3</sup> and added to those for autosomal genes.
<sup>g</sup> Constructed Random Sample, which includes 22 Fast alleles and 3 Slow alleles (Hudson et al. 1994).
<sup>h</sup> Partial sequence.
<sup>i</sup> Noncoding regions for both of *Acp26/Ab* are included.
<sup>j</sup> One site includes both of Ts (transition) and Tv (transversion) polymorphisms. No multiple-hit correction was done. The number of silent sites for each coding region was estimated by Nei and Gojobori (1986)'s method.

## Analyses

Measures of Variation

Two measures of nucleotide diversity have been commonly used in the literature (Tajima 1993). The first is designated  $\pi$  (or k), which is the observed average proportion of nucleotide differences between alleles sequenced. This was calculated as:

$$\pi = \sum_{i=1}^{n-1} \sum_{j=i+1}^{n} k_{ij} / \binom{n}{2} \qquad \binom{n}{2} = n(n-1)/2$$

where *n* is the number of alleles sampled, and  $k_{ii}$  is the number of nucleotide differences per site between alleles *i* and *j*. The second measure is designated  $\hat{\theta}$  (or  $S/a_1$ ) and is the average number of nucleotides segregating per site based on the expected distribution of neutral

Table 2						
Polymorphisms	of	Nuclear	Genes	in	D.	simulans

					C	ODING	REGIO	N				-			DING <b>R</b>		
								N	ucleotide	Diversit	cy <sup>c</sup>		Pol	lvmo	rphic	Nucle	eotide
	A	E Length -		Polymor	ohic Si	tes		То	tal	Sil	ent	· · Length		Site			rsity <sup>c</sup>
Gene	#a	(bp)	Ts <sup>b</sup>	Tv <sup>b</sup>	Syn	Rep	Total	π	Ô	π	Ô	(bp)	Ts	Tv	Total	π	Ô
ase	. 6	1,068 <sup>h</sup>	0 (0)	0 (0)	0	0	0	0.00	0.00	0.00	0.00						
pn	. 4	1,170 <sup>h</sup>	9 (2)	5(1)	8	6	14	6.13	6.53	15.00	15.75	367	4	0	4	5.61	6.36
z	. 6	804 <sup>h</sup>	11 (3)	0 (0)	11	0	11	6.09	6.04	29.51	29.38	183	5	2	7	16.09	16.84
per	. 6	1,679 <sup>h</sup>	27 (11)	19 (12)	40	6	46	10.72	12.00	40.48	45.50	193	5	2	7	15.35	16.05
<i>Yp2</i>	. 6	1,046 <sup>h</sup>	1 (0)	1 (1)	2	0	2	0.83	0.84	3.66	3.71	66	0	1	1	5.24	6.95
Zw	. 12	1,558 <sup>h</sup>	9 (5)	4 (4)	13	0	13	3.47	2.76	15.65	12.48	147	2	1	3	13.74	8.42
Total (X-lin	ked).		57 (21)	29 (18)	74	12	86						16	6	22		_
Average (X	-linked	)						4.54	4.70	17.38	17.80					11.21	10.9ഉ
Adh	. 5	768	8 (6)	3 (3)	11	0	11	6.77	6.88	27.24	27.79	206	6	4	11 <sup>j</sup>	27.07	27.25
Pgi	. 6	1,674	11 (7)	7 (5)	16	2	18	3.95	4.71	15.53	18.44	945	9	5	14	5.82	6.6
<i>Est-6</i> <sup>d</sup>	. 4	1.626	41 (14)	21 (13)	52	12	64 <sup>j</sup>	22.24	21.47	79.65	79.45	1,362	27	31	60 <sup>j</sup>	24.98	24.22
(5' far) <sup>d</sup> .			. ,									500	10	15	25	33.81	33.8Ē
Rh3	. 5	1,149	21 (8)	8 (7)	30	0	30 <sup>j</sup>	12.03	12.53	51.55	53.93						
boss	. 5	1,566 <sup>h</sup>	27 (13)	12 (9)	38	2	40 <sup>j</sup>	12.45	12.26	52.13	50.95						ttp
ci	. 9	958 <sup>h</sup>	0 (0)	1 (0)	0	1	1	0.23	0.38	0.00	0.00						https://academ 22.9em
Total (autos	omal)		108 (48)	52 (37)	147	17	164						52	55	110		aca
Average (au				- ( )				9.61	9.71	37.68	38.43		-			22.92	22.9 2
Total (all)			165 (69)	81 (55)	221	29	250						68	61	132		nic
Average (al				- (				7.83	7.99	30.43	31.08					18.93	18.7 <u>2</u>

NOTE.-Footnotes same as table 1 except for d below.

<sup>d</sup> Noncoding region of *Est-6* was divided into "5' far distal segment" and the remainder (Karotam, Boyce, and Oakeshott 1995).

variants in a panmictic population at equilibrium. In practice  $\hat{\theta}$  is estimated as:

$$\hat{\theta} = S / \sum_{i=1}^{n-1} (1/i)$$

where S is the number of segregating sites per site. If all the nucleotide variants are neutral and assuming panmixia and equilibrium, then:  $\pi = \hat{\theta} = 4N_{e}\mu$  for autosomal genes,  $3N_{\nu}\mu$  for sex-linked genes. In all the tables, both  $\pi$  and  $\hat{\theta}$  have been multiplied by 10<sup>3</sup>.

## X-linked Versus Autosomal

In order to test whether X-linked and autosomal genes differ in level of variation we performed t-tests based on the variance estimates of  $\pi$  and  $\hat{\theta}$  as given in Tajima (1993). Tajima provides variance estimates for both the assumption of free recombination and no recombination. We tested all possible combinations: assuming free recombination, assuming no recombination, removing two loci with particularly low recombination (ase and ci) and assuming free recombination for the rest of the genes. We tested whether  $\pi_a - \pi_x$  is significantly different from zero, where  $\pi_a$  and  $\pi_x$  are the mean  $\pi$  for autosomal and X-linked genes respectively.

.com/mbe/art We then multiplied  $\pi_x$  by  $\frac{4}{3}$ , subtracted this from the autosomal diversity, and tested whether this was significantly different from zero. This latter test was to see if X-linked genes conformed to the predicted <sup>3</sup>/<sub>4</sub> the amount of variation predicted if variation was a direct function of population size and there is a 1:1 sex ration We repeated all tests in a like manner with  $\hat{\theta}$ . by gues

#### Codon Usage Bias

Various measures of codon usage bias have been proposed. Here we use ENC, the "effective number of codons," which is based on the evenness of use of alternative codons somewhat analogous to effective number of alleles (Wright 1990). This measure has the  $ad_{r}$ vantage of not requiring a database from which to infer the "optimal" codons as does the codon adaptation index, CAI (Sharp and Li 1987). For the D. melanogaster genes analyzed here, ENC and CAI have a correlation of -0.94 (P < 0.001). A third measure is  $\chi^2/L$  (Shields et al. 1988); this measure has a correlation with ENC of -0.95 (P < 0.001) for the present data set. Thus all three statistics are measuring essentially the same thing, so the use of a single measure, ENC, captures the information in the data. It is important to bear in mind that the level of codon bias is *negatively* correlated to

Table 3	
<b>Polymorphisms of Nuclea</b>	r Genes in D. pseudoobscura

			Coding Region									Noncoding Region (5', Introns, 3')					
								N	ucleotid	e Divers	ity <sup>c</sup>			lymor			eotide
	ALLEL	E Lengtl	h	Polymor	phic S	Sites		T	otal	Sil	ent	Length	r O	Sites	•		rsity <sup>c</sup>
Gene	#a	(bp)	Ts <sup>b</sup>	Tv <sup>b</sup>	Syr	n Rep	p Total	π	Ô	π	Ô	Length - (bp)	Ts	Tv	Total	π	Ô
Adh	. 107	762	26 (10)	13 (13)	38	1	39	4.03	9.76	16.18	38.54	1,280	81	91	187 <sup>j</sup>	20.21	31.49
(N. America)	. 99	762	26 (10)	13 (13)	38	1	39	4.22	9.91	16.92	39.12	1,279	79	91	183 <sup>j</sup>	19.93	31.26
(Bogotá)	. 8	762	3 (1)	0 (0)	3	0	3	1.22	1.52	4.92	6.15	1,213	25	28	54 <sup>j</sup>	14.37	17.89
Adhr	. 107	834	50 (20)	29 (19)	73	10	83 <sup>j</sup>	10.87	18.97	40.88	74.82	737	24	26	55 <sup>j</sup>	10.00	19.82
(N. America) .	. 99	834	50 (20)	28 (18)	71	10	81 <sup>j</sup>	10.71	18.80	40.06	73.87	737	23	27	54i	10.12	19.76
(Bogotá)	. 8	834	6 (2)	10 (7)	13	3	16	8.31	7.40	30.05	26.96	685	9	4	13	7.76	7.56
Amy-1	. (6)											1,058	22	25	49j	20.92	20.28
ry	. 7	4,026	65 (25)	45 (25)	84	30	114 <sup>j</sup>	11.01	11.56	36.52	35.60	1,454	33	28	62 <sup>j</sup>	17.02	
<i>Rh3</i>	. 3	1,081 <sup>h</sup>	3 (1)	4 (3)	7	0	7	4.32	4.32	18.90	18.97						
Total			144 (56)	91 (60)	202	41	243						160	170	353		2
Average								7.56	11.15	28.12	41.98					17.04	22.36
NOTEFootnot	es same	as table	1.														(

ENC. ENC for the D. melanogaster and D. simulans genes is given in the Appendix.

#### **Recombination Rate**

We used the estimates of recombination in D. melanogaster given by Kliman and Hey (1993b; R. Kliman, personal communication, for genes not included in their publication). This method plots the recombination map position against the cumulative amount of DNA along the length of a chromosome. A least-squares polynomial curve-fitting procedures is used to generate a curve. The recombination rate is calculated as the derivative of the curve at the map position of the gene in question. This makes the assumption that recombination rate is approximately constant per length of DNA. This recombination rate is given in the Appendix; we have assumed equal recombination rates for homologous genes in D. simulans.

#### Tests of Neutrality

Three tests of the neutrality of molecular polymorphisms have been proposed for the type of data presented here. Tajima's (1989) test asks the question of whether  $\hat{\theta}$  and  $\pi$  are significantly different. The statistic we present is D, which, under the assumption of a beta distribution, has a mean of 0 and variance of 1; whether D is significantly different from zero (the expectation if  $\hat{\theta} = \pi$ ) was determined from the confidence intervals given in Table 2 of Tajima (1989).

The second test is known as the HKA test (Hudson, Kreitman, and Aguadé 1987). It is based on the prediction of neutral theory that the same forces and dynamics that control polymorphism on the intraspecific level should also control levels of interspecific divergence (Kimura 1983). This test requires polymorphism data from two or more genes or gene regions and an inter $\frac{3}{2}$ specific comparison for these genes. One of the genes or gene regions is used as a reference of the expectation  $\vec{h}$ of neutrality; usually a noncoding region is used, for example Hudson, Kreitman, and Aguadé (1987) used the 5' flanking region of Adh. The sequence tested against this presumed neutral region is usually coding DNA. We used the modifications of Berry, Aijoka, and Kreitman (1991) to take into account differences in sam ple sizes, and those of Begun and Aquadro (1991) to compare autosomal and X-linked genes. The third test is the McDonald and Kreitman (1991) test. This tesk requires polymorphism data for only one locus in two species. The test asks whether the ratio of silent to re $\stackrel{\triangleleft}{<}$ placement intraspecific polymorphisms is the same as the ratio for fixed differences between species. The tes $\beta$ statistic is a  $2 \times 2$  G-test. 16 August 2022

#### Results

## 1. Levels of Variation Species Averages

The overall nucleotide diversity varies among species (table 4). In calculating the overall averages (Xlinked plus autosomal), the X-linked measures were multiplied by  $\frac{4}{3}$ . As will be clear later, genes in regions of particularly low recombination have low levels of nucleotide variation, so in table 4 the overall averages and averages excluding low recombination genes are shown for D. melanogaster and D. simulans. Drosophila pseudoobscura is less well studied in this regard, and as far

Table 4 **Mean Diversity Measures** 

	CODING				
T	otal	Sil	lent	Nonc	ODING
SPECIES T	Û	π	Ô	π	Ô
melanogaster (all) 4.02	4.03	13.46	13.51	10.82	10.52
Minus low rec 4.27	4.24	14.54	14.55	12.17	11.81
simulans (all) 7.83	7.99	30.43	31.08	18.93	18.77
Minus low rec 9.38	9.54	36.52	37.30	18.93	18.77
pseudoobscura 7.56	11.15	28.12	41.98	17.04	22.36

NOTE.—Both  $\pi$  and  $\hat{\theta}$  are multiplied by 10<sup>3</sup>. "Minus low rec" refers to excluding genes in regions of low recombination. For D. melanogaster and D. simulans, coding, this excludes ase and ci; for melanogaster noncoding, it also excludes su(s) and  $su(w^a)$ .

as is known, none of the genes studied are in regions of particularly low recombination. We speculate in the Discussion why there may be differences among species in regard to level of polymorphism.

One pattern evident in table 4 is that noncoding variation is always lower than silent variation in coding regions. This indicates that noncoding regions (here defined as introns and 5' and 3' regions adjacent to coding sequences) have more selective constraints than silent sites within coding regions. This is not surprising given the evidence that these noncoding regions are often involved in gene regulation.

#### Sex-linked Versus Autosomal Genes

If nucleotide polymorphism is entirely or predominantly neutral, then the level of diversity predicted is directly proportional to effective population size. Xlinked genes have an effective population size 34 that of autosomal genes (assuming a 1:1 sex ratio), so sexlinked genes should exhibit 34 the level of neutral variation as for autosomal genes. In D. melanogaster and

Ratio of Nucleotide Diversity of X-linked Gene Regions to Autosomal

Table 5

Region	melanogaster	simulans
Total coding	0.617 (0.589)	0.478 (0.481)
Silent coding	0.766 (0.768)	0.462 (0.462)
Noncoding	0.627 (0.797)	0.482 (0.482)

NOTE.—The mean of the ratio of  $\pi$  and  $\hat{\theta}$  is shown. First number is for all loci; number in parentheses excludes genes in regions of particularly low recombination as identified in footnote to table 4.

D. simulans, enough genes of each type have been studied to make the comparison. In a qualitative sense, the data from both species conform to predictions in that sex-linked genes are less variable on average; this is true for total coding region, silent sites, and for noncoding DNA (table 5). The data for D. melanogaster are close to the predicted 75%, although the *t*-tests (data  $\vec{n}$  ot shown) indicated that the difference between autosomal and X-linked genes in this species is not statistically significant in this species. In D. simulans, X-linked genes average about half the variation of autosonal genes. In this species  $\pi_a - \pi_x$  and  $\pi_a - \frac{4}{3}\pi_x$  are significantly different from zero using total coding sequences and assuming no recombination (t = 4.33, P = 4.33) 0.001; t = 2.70, P < 0.01, for the two cases, respectively); no other tests were significant. These assumptions are surely not realistic, and the lack of statistizal significance with more realistic assumptions emphasizes the large variances associated with these estimates. Nevertheless, there is still good reason to believe that in  $\overline{\mathcal{D}}$ . simulans X-linked genes may be less variable than strict neutral theory predicts. /105550

2. Types of Polymorphisms

## Transitions versus transversions

Table 6 presents the frequencies of transition  $(\overline{\mathfrak{T}}_{S})$ and transversion (Tv) polymorphisms for the three Deo-

est on

Table 6

Summary of Transition (Ts) and Transversion (Tv) Polymorphisms for Different Species and for Different Classes of DNA

_			Cor	DING							Curacular	st 20
	Total			Four-fold			Non-coding			Chi-squared (Fisher's Exact P)		
	Ts	Tv	Tv's	Ts	Tv	Tv's	Ts	Tv	Tv's	T/4f	T/non	4f/non
melanogaster	177	84	32.2%	55	43	43.9%	128	150	54.0%	4.26 (0.05)	25.98 (<0.001)	2.95 (0.10)
simulans	165	81	32.9%	69	55	44.4%	68	61	47.3%	4.63 (0.04)	7.42	0.22 (0.71)
pseudoobscura	144	91	38.7%	56	60	51.7%	160	170	51.5%	5.36 (0.02)	9.04 (0.003)	0.002 (0.99)

NOTE .- "Four-fold" indicates fourfold degenerate, i.e., any nucleotide can be in the third position. Contingency chi-squares are presented for total versus fourfold (T/4f), total versus noncoding (T/non), and fourfold versus noncoding (4f/non). Chi-squared analyses indicate that the species are homogeneous for all three classes.

## Table 7 Silent and Replacement Polymorphisms

	No.	No. Re- place-	% Replace-
Species	Silent	ment	ment ± SD
melanogaster			
Total	192	69	$26.4 \pm 2.7$
In common with simulans	118	33	$21.9 \pm 3.4$
In common with <i>pseudoobscura</i>	21	6	$22.2 \pm 8.0$
simulans	221	29	$11.6 \pm 2.0$
pseudoobscura	202	41	16.9 ± 2.4

NOTE .--- SD assumes a binomial distribution.

sophila species, the sum of the individual genes in tables 1-3. In the total coding region of all three species, Ts's outnumber Tv's about 2:1, exactly the opposite ratio of that predicted by random mutation. This pattern is homogeneous across the three species. However, in the noncoding regions for two of the three species (D. melanogaster and D. pseudoobscura), Tv's outnumber Ts's, but only slightly, not near the 2:1 ratio expected for random changes. In D. simulans the ratio is in favor of Ts's in the noncoding region, although the sample size for this species is smaller than for the other two and is not statistically significantly different from them.

One possible cause of the preponderance of Ts's over Tv's in the coding region is that it is due to the structure of the genetic code; synonymous or silent substitutions are more often Ts's than Tv's. Stronger selection against replacement substitutions than against silent substitutions raises the frequency of Ts's relative to Tv's. For fourfold degenerate codons, selection based on amino acids should be blind. Table 6 presents the frequencies of Ts and Tv polymorphisms at these sites. As predicted, Tv polymorphisms are more common at fourfold degenerate sites for all three species and not significantly different from the ratio for noncoding DNA.

#### Silent Versus Replacement

The ratio of silent to replacement substitutions varies considerably among genes and species (table 7). Overall totals for the species indicate that D. melanogaster tends to have the highest proportion of replacement polymorphisms, 26.4%, and D. simulans the least, only 11.6%; these differences are significant ( $\chi^2$  = 18.13, P < 0.001). However, as we just noted, there is extreme heterogeneity among genes, so a fairer comparison among species would be for the same genes studied in different species. When this is done for D. melanogaster and D. simulans, the proportion of replacement polymorphism in D. melanogaster drops to 21.4%, although still significantly different from D. simulans ( $\chi = 7.09 P < 0.01$ ). Relatively few genes in common with the other species have been studied in D. pseudoobscura, so it is difficult to make any firm comparisons. For genes studied in common, D. melanogaster has a higher proportion of replacement polymorphisms than does D. pseudoobscura, although not statistically significant ( $\chi^2 = 0.489, P > 0.10$ ).

#### 3. Variables Correlated with Nucleotide Diversity

In trying to explain levels of nucleotide polymorphism, especially variation among loci, several factors have been considered. In this section we present correlations for several of these for D. melanogaster and D. simulans, the sample of genes in D. pseudoobscura being too small for any meaningful tests. The variables are listed in the Appendix where the value for each locus is given. In carrying out the correlations, corrections were made. For comparisons of diversity ( $\pi$  and  $\hat{\theta}$ ), X-linked estimates were multiplied by  $\frac{4}{3}$ . Taking into account the lack of recombination in Drosophila males, the recombination rates of X-linked genes were multiplied by 3/3 (<sup>2</sup>/<sub>3</sub> of all X chromosomes are in recombining females, assuming a 1:1 sex ratio) and autosomal recombination rates were multiplied by 1/2 as only half are in females (Begun and Aquadro 1992). oup.com,

#### Coding Versus Noncoding

The first entries in table 8 indicate the correlations in levels of polymorphism in coding and adjacent noncoding DNA. These are the strongest correlations found in these data. For both species the correlation is positive, being highly so for D. melanogaster, with the smaller sample of D. simulans genes precluding statistical significance for this latter species. Thus we can conclude there is good evidence in the best studied species that the level of polymorphism in coding and adjacent noncoding DNA is positively correlated, with similar patterns exhibited by D. simulans. This is evidence for megional factors controlling levels of polymorphism regardless of the functions of the DNA. 16 Augus

## Codon Usage

Genes vary considerably in their degree of codon usage bias, presumably due to variation in some kind of selective constraint on codon usage. If variation in level of nucleotide polymorphism is due to variation in selective constraints, one would predict there to be a negative correlation between codon usage bias and the level of silent polymorphism in a given gene. Correlations of level of nucleotide diversity with codon usage bias present conflicting results (middle part of table 8). For D. melanogaster, the results are opposite that just predicted, namely that the level of nucleotide polymorphism is

		D. melanoga	ster	D. simulans				
VARIABLES	n	π	Û	n	π	Ô		
Total vs. noncoding	17	+0.804**	+0.808**	8	+0.582	+0.595		
Silent vs. noncoding	17	+0.858**	+0.864**	8	+0.689	+0.695		
Total vs. ENC	21	-0.307	-0.257	12	+0.150	+0.136		
Silent vs. ENC	21	-0.491*	-0.450*	12	+0.068	+0.057		
Noncoding vs. ENC	16	-0.600*	-0.530*	9	+0.240	+0.305		
Total vs. recomb.	22	+0.542**	+0.499*	12	+0.539	+0.538		
Silent vs. recomb	22	+0.499*	+0.486*	12	+0.592*	+0.582*		
Noncoding vs. recomb	19	+0.536*	+0.529*	9	+0.118	+0.129		
ENC vs. recomb. all data	20	-0.174		12	-0.319			
Remove two loci	18	-0.476* ·						

Table 8 Summary of Correlations between Variables Relevant to Intraspecific Variation

NOTE.—Numbers shown are the correlation coefficient, r. Significance of this is indicated at the 0.05 and 0.01 level with one and two asterisks. "n" is the number of pairwise comparisons. "Recomb" is estimated recombination rate. In the last entry for D. melanogaster the two anomalous loci, Acp26Aa and Acp26Ab, have been removed. See text for details.

greater in genes with greater codon usage bias! (Recall that codon usage bias is negatively correlated with ENC.) The effect is especially notable in silent site polymorphism, again opposite that predicted by the selective constraint theory. But perhaps most revealing, the noncoding nucleotide diversity is also significantly positively correlated with codon usage bias in adjacent coding regions. There is no reason to think that nonrandom selection of codons in coding regions should have any effect on polymorphism in noncoding adjacent DNA. However, this observation is consistent with that in the first part of table 8 showing a strong correlation between level of nucleotide variation in coding regions and the adjacent noncoding regions. This previous result indicated there was a regional factor(s) at work. The implication here is that whatever the regional factor(s) is, it is simultaneously affecting codon usage bias and level of variation in all classes of DNA, so the correlation of the latter two variables is due to each being correlated to a third variable.

In D. simulans the pattern is exactly opposite that of D. melanogaster and consistent with selective constraints affecting both codon usage and level of polymorphism. ENC is positively correlated with level of nucleotide variation (table 8). But again, if one wants to reach any causal relationship, it need be kept in mind that the highest correlations exist for noncoding DNA. However, none of the correlations between codon bias and nucleotide polymorphisms are statistically significant at the traditional 5% level, so there may be nothing in the D. simulans data that requires "explaining away."

#### Recombination

Recombination is known to affect levels of DNA polymorphisms in Drosophila (e.g., Berry, Ajioka, and

Downloaded from Kreitman 1991; Begun and Aquadro 1992; Aguadé and Langley 1994). Genes residing in regions of the genom exhibiting low levels of recombination have less poly morphism than genes in regions with higher levels of recombination. In D. melanogaster, recombination is low near centromeres and telomeres and is absent in the fourth dot chromosome. The Appendix presents the rep combination rates for the genes studied for polymor phisms using the method of Kliman and Hey (1993ba see Analyses section). Rows 6-8 in table 8 present the correlations of this variable with levels of nucleotide polymorphism. As detected by others, the data show  $\overline{\mathscr{R}}$ positive correlation between recombination rate and  $lev \leq 1$ el of polymorphism. This holds whether one consider total coding, silent, or noncoding polymorphism in  $D \stackrel{\triangleleft}{\preceq}$ melanogaster. Thus, as expected, recombination rate seems to have a regional effect that affects level of poly morphism regardless of the function of the DNA in the region. Perhaps due to a smaller sample of genes, in  $D_{c}^{\Box}$ simulans the statistically significant positive correlation only holds for silent polymorphisms, although all classes of DNA have positive correlations. August

#### Recombination and Codon Usage

Given the correlations of both codon usage and re $\frac{1}{20}$ combination with levels of polymorphism in D. melanogaster, it is of interest to determine if the former two variables are correlated with one another. The bottom of table 8 shows the results. For D. melanogaster, when all data are used, there is a negative correlation between level of codon bias and recombination but it is not significant at the 5% level. There are two data points that appear to be outliers in that they have very high levels of recombination and exhibit very low levels of codon usage bias; these are the closely linked Acp26Aa and

## Table 9

Tajima's (1989) Test for Significant Differences between  $\pi$  and  $\hat{\theta}$ 

		D. melanogaster		D. simulans					
	Co	ding		Со	ding				
Gene	Total	Silent	- Noncoding	Total	Silent	- Noncoding			
ise	-0.93	-0.43		0	0				
su(s)			1.22						
$\mathfrak{su}(w^a)$			-0.35						
n	-1.04	0	-0.93	-0.62	-0.48	1.11**			
Pgd	1.67	1.44	0.34						
	-1.34	-1.33	0	0.06	0.03	-0.260			
per	-0.30	-0.25	-1.08	-0.68	-0.70	-0.25			
/p2	1.10	1.00	1.03	-0.05	-0.07	-0.96			
Zw	0.04	-0.02	0.64	1.09	1.08	2.07*			
Acp26Aa	0.14	0.14	0.45						
Acp26Ab	1.38	0.68							
dh	0.27	0.40	0.53	-0.11	-0.14	-0.04			
dhr	-1.85*	-2.21**	-0.83						
cp1Psi	0.82	0	0.82						
Pgi	-0.15	-0.30	-0.71	-1.01	-0.98	-0.73			
my-d	-0.45	-0.76	-0.39						
му-р	0.97	1.11	1.40						
od (CRS)	-0.46	-0.10	-0.80						
Est-6	-0.84	-0.52	-0.46	0.38	0.03	0.32			
ra	0.67	0.66	-1.13						
Rh3	-0.97	-0.98		-0.30	-0.33				
oss	-0.07	0.08		0.12	0.17				
Міс	0	0	1.24						
<i></i>	0	0		-1.09	0				

Acp26Ab (see Appendix). When these two points are removed, the negative correlation becomes significant. For D. simulans, the correlation is likewise negative, but the small sample size does not allow any strong statistical conclusion, except to note the direction in correlation is the same as for D. melanogaster. We can conclude there is good evidence that recombination and codon usage are correlated, an observation made previously by Kliman and Hey (1993b).

Table 10 Tajima's (1989) Test on D. pseudoobscura Genes

		Coding	CODING REGION					
Gene		Total	Silent	Noncoding				
Adh	All data	-1.82*	-1.79*	-1.19				
	N. America	-1.79*	-1.77*	-1.21				
	Bogotá	-0.81	-0.82	-1.06				
Adhr	All data	-1.39	-1.46	-1.57				
	N. America	-1.41	-1.49	-1.56				
	Bogotá	0.63	-0.58	0.13				
Amy-1				0.20				
ry		-0.28	0.15	-0.27				
<i>Rh3</i>		0	NAª					

<sup>a</sup> NA: Not applicable due to small sample size.

\* P < 0.05.

# 4. Tests of Neutrality Tajima's Test

Tables 9 and 10 present the results of Tajima's tests for all available data for the three species. In the case of D. melanogaster, it can only be concluded that the data are remarkably consistent in indicating no significant difference between  $\pi$  and  $\hat{\theta}$  and thus, by this  $c\bar{\Xi}$ terion, the data are consistent with neutral theory. Only two tests (out of 58) are significant, and both are for the coding region of the Adhr gene and thus the two datasets are not independent. Adhr is the Adh-related gene tightly linked to Adh, sometimes also called Adh(dup). Why this gene stands out is not clear. One might  $p_{0.5}^{\circ}$ tulate it is because it is a duplication of Adh that has not yet reached equilibrium; however, this duplication is present in several other Sophophoran species so it is unlikely to be a young duplication. It may simply be chance that one out of 24 genes deviates at a significance level less than 5%. Similarly for D. simulans, 2 tests out of 30 indicate a significant difference, both occurring in noncoding regions of X-linked genes.

Drosophila pseudoobscura, on the other hand, exhibits a more interesting pattern with regard to Tajima's test (table 10). Adh and Adhr in this species are the best-

Table	11			
HKA	Tests	on D.	melanogaster	Genes

-		Noncoding Reference Locus															
Test Locus	5'	2	3	4	5	6	7	8	10	11	12	13	14	15	16	17	20
1. ase		_	*		_	_	—		_	—	—		_				
	_			—		_	—	—	_	—	—	_		—		—	
2. pn			—	—	*		**	*	*		—	*	*	*	*	—	*
				NA	*	*	**	*	*		—	*	*	*	*		*
3. <i>Pgd</i>	—		_	—			***	*	**	—		**	***	*	***	—	*
	—		_		_		***	*	*			**	***	*	***	_	*
4. z	_			—								—		—			
-	_		*	_	_			—	_	—	—		—	—			—
5. per	—	_	*** ***					—		—		—		—		—	
<				_			_		_			—					
6. <i>Yp2</i>	_	_	****	_	_		—		—		*		—	—		*	
					_						*		—			*	-01
7. Zw			** ****	_	—						—		_		_		-de
o 1 oc.	_		****		_		_			—		_		_	_	*	d t
8. Acp26Aa			_		***		***	*	*		—	***	****	*	***	—	* 0m
0 1 264	—		***	_	_	—			_				*	_		—	- htt
9. Acp26Ab		_	***	*		_	_		_	_	*				—	*	s
10 4 11						_		_	_	_				_	_		//ac
10. Adh	*		**** ****	*	_	_		_		—	*** ***			—		**	aa
	÷	_	****	Ŧ		_		_			***			_		**	-em
11. Adhr		_	—		*		*	_	*	_							— IC. 0
10 0 .			_			_		_	Ť.			*	*		*	_	dne
12. Pgi	_				*		*	*	*			*	*	*	*		co
10 4 7			****			_	4.4.	Ŧ	Ŧ	_		*	**	*	*	—	* n/r
13. <i>Amy-d</i>	_		****	_			—				*	_	<u> </u>			*	nbe
14 4	_	_	****			_		_			*					*	—/ar
14. Amy-p	_		****		_		_	—	_		*	_	_	—	—	*	- ticle
15. Sod			**		_	·					Ŧ			. —	_	*	
15. Soa		_	*	_								—		—		_	-1/1/
16. Est-6			***	_								_					- 26
10. <i>Est-0</i>			***	—	_						_	_	_		_	*	1/10
17. tra	*			—	****	**	****	***	***	*	_	***	****	**			
17. 11		_			*		**	*	*	Ŧ		*	**	*	**** **		***°
18. <i>Rh3</i>	_		_	_	*		*	,		<u></u>				4.	*		, T
16. <i>Rn5</i>	_	_			<b>т</b>	_	*	_	-	_	_	_	*	_	*	_	
19. <i>boss</i>			**			_	•	_		_	_	_	æ	—			ues
17. 0055	_	_	**			_		—		_				—		_	- q
20 Mial	_	_					*						 	_		_	
20. Mlc1	_	_		NA NA			*		*			*	*				- <sup>6</sup> A
21	*	*	_		****	****											Downloaded from https://academic.oup.com/mbe/article/13/1/261/1055501 by guest on 16 August 202
21. ci	*	ጥ		NA NA	****	****	**** ***	***	*** **	** *	*	*** **	**** ***	*** *	**** ***	—	1S[***
				INA		47	~~~	т	ጥጥ	Ť		**	<u>ጥ</u> ጥ ጥ	*	* * *		* N

NOTE.—Upper entry in each cell is for total coding, and lower entry for silent only. The 5' column is for the Adh 5' region, the original region used by Hudson, Kreitman, and Aguadé (1987). Other numbers across the top refer to numbers next to genes in the first column. In some cases when there were no polymorphisms, the test could not be performed; these are indicated by the NA entries. \*P < 0.05, \*\*P < 0.01, \*\*\*P < 0.005, \*\*\*\*P < 0.001.

studied genes for intraspecific nucleotide polymorphism for all *Drosophila*. Adh exhibits a significant difference between  $\hat{\theta}$  and  $\pi$ , the former being larger producing a negative *D*. This is consistent with a pattern of there being too many rare nucleotide polymorphisms with respect to predictions of the neutral theory (see e.g., Braverman et al. 1995). The difference is only evident for North American populations and does not appear to hold for the isolated Bogotá population. The closely linked *Adhr* and the genes on the other chromosomes do not indicate any differences between  $\hat{\theta}$  and  $\pi$ , so it is unlikely that the cause of the deviation for N. American Adh is due to some overall populational factors, which would be expected to affect all genes equally. Furthermore, unlike the case with D. melanogaster and D. simulans, many fewer genes have been studied in D. pseudoobscura, so many fewer tests are possible; therefore the significant deviation in one of the data sets is less likely to be a statistical artifact.

## The HKA Test

This test combines information on interspecific divergence with level of intraspecific polymorphism. Given the relatively large numbers of genes studied for polymorphism and the large number of potential reference sequences against which to test them by the HKA test, the number of possible tests is very large. We have performed about 1,000 HKA tests on the data in tables 1 and 2. Rather than present the full tables of all tests, for many purposes it is sufficient to see the patterns in the results.

First we need to make some comments on the reference loci, the region assumed to be neutral against which one tests other regions. Hudson, Kreitman, and Aguadé (1987) first used the 5' Adh noncoding region; this is the standard reference. We tested all 16 other noncoding regions from D. melanogaster using this standard and, with the single exception of Pgd, none displayed a statistically significant difference with the HKA test (data not shown). For D. simulans, we performed all possible pairwise HKA test for the eight noncoding regions studied in this species; none was significant (data not shown). With the single exception noted (Pgd in D. melanogaster), these results indicate it is reasonable to use the noncoding regions as references for HKA tests; they do seem to be behaving homogeneously as expected if they were all neutral. (However, as we noted in table 4, these noncoding regions are less variable than silent sites in coding regions, so there may be some selective constraints, although they would appear not to be affected sufficiently differently as to be detected by the HKA test.)

The significance of the HKA tests for D. melanogaster are presented in table 11. Because there are more than 700 tests, it is difficult to determine if any one asterisk in a cell has any biological significance. Some patterns, however, are very clear. For example, Pgd stands out as an unusual locus that does not conform to the neutral expectations of the HKA test. Whether used as the reference locus or test locus (i.e., both the column and row for Pgd), there are many significant deviations from neutrality; but note that the coding region for this locus does not fail the test when its own flanking DNA is used as the standard. This result is consistent with the fact that this was the only noncoding region that gave a

Table 12 HKA Test on D. simulans Genes

	NONCODING REFERENCE										
Gene	2	3	4	5	6	7	8	9			
1. ase	*	*	*		*	**		*			
	—	_				*	—				
2. pn	_					_					
			—	'							
3. z	—		—		—	—	_	—			
	—	—	_		—			—			
4. per											
	—	_	—			_					
5. <i>Yp2</i>	—	—		—	—		—	—			
		_	_		_	-	_	_D			
6. Zw	—	_			—	*	—	TWN			
7		_	_			_		wnloaded			
7. Adh			_		_			dec			
9 Dai	_	_	_		_			fro			
8. Pgi	_	_	_	_	_		_	В			
9. Est-6					_	_	_	nttps:/			
<i>J. 131</i> 0	_					_					
10. Rh3	_	_	_	_	_	_		acad			
	_		_	_							
11. boss		_		_	_	_	_	demic.			
	_	_		_		_		-ou			
12. ci	****	****	***		***	****	*	**c			
	***	***	**	*	**	***	*	**/			

NOTE.—Constructed the same as table 11.

nbe/article/ significant HKA test when compared against the stardard 5' Adh noncoding region. Another locus clearly failing the HKA expectations of neutrality is the  $no\hat{\mathbf{r}}$ recombining *ci* locus, the last row (no noncoding sequence is available to use this region as a reference. Other loci that tend to stand out are pn, Acp26Aa, and tra. Adh also tends to show significant deviations as afready detected by Hudson, Kreitman, and Aguade (1987); however, at that time they used only the 5' noncoding Adh region as a reference. Here we can see that Adh deviates significantly from expectations when  $\ge$ other references are used, but not when the remaining 12 are used. Finally we note for this species that the one locus which stood out as significantly deviating from neutral expectations in the Tajima (1989) test, Adhr (see table 9), conforms reasonably well to neutral expectations with the HKA test, although several significant deviations are noted for silent sites for some reference loci.

The results of the HKA test on D. simulans are more clear (table 12). Only two loci stand out and account for almost all the significant deviations from neutral expectations: ci and ase, the first and last rows. Both of these genes are in regions of unusually low recombination. This is almost certainly related to the obser-

Gene S							POLYMOR	PHIC <sup>a</sup>				
	<b>FIXED</b> <sup>a</sup>		melanogaster				simular	ıs	Total			
	Silent	Rep.	Silent	Rep.	G	Silent	Rep.	G	Silent	Rep.	G	
ase	13	11	3	3	0.03	0	0	FE	3	3	0.03	
pn	22	5	0	1	FE	8	6	2.69	8	7	3.65	
z	15	2	5	0	FE	11	0	FE	16	0	FE	
per	25	1	19	1	0.04	40	6	1.83	58 <sup>b</sup>	7	1.28	
<i>Yp2</i>	11	5	8	1	1.40	2	0	FE	10	1	2.03	
Zw	23	25	22	2	15.04***	12	1	9.72**	336	3	19.82***	
Adh	2	2	16	3	1.97	11	0	FE	27	3	3.35	
Pgi	23	1	2	2	5.21*	16	2	0.74	18	4	2.45	
Est-6	19	16	30	15	1.27	50	14	5.95*	78 <sup>b</sup>	27 <sup>b</sup>	4.73*	
<i>Rh3</i>	14	3	2	0	FE	30	0	FE*	32	0		
<i>boss</i>	16	3	14	2	0.08	38	2	1.79	52	4	FE* OWN 1.13 nload FE ad	
ci	23	21	0	0	FE	0	1	FE	0	1	FE a	

# Table 13 Results of McDonald and Kreitman Test for D. melanogaster and D. simulans

NOTE.—A G-test is used except when a zero appears in a cell; those cases are denoted FE for Fisher's exact P tests. \* P < 0.05, \*\* P < 0.05, \*\*\* E0.0001. rom https://ac

<sup>a</sup> Rep. = replacement polymorphisms.

<sup>b</sup> Both species have polymorphisms in the same site.

vations made by Begun and Aquadro (1992) that regions of low recombination show much less intraspecific polymorphism but "normal" degrees of interspecific divergence. However, ase in D. melanogaster does not show any signs of deviation from neutral expectations by the HKA test (table 11).

#### The McDonald-Kreitman Test

Table 13 presents the results of all the McDonald– Kreitman tests. Three loci produce G-tests with associated P values less than 5%. Zw deviates most from neutral expectation as noted by Eanes, Kirchner, and Yoons (1993). Est-6 and Rh3 deviate sufficiently to produce P's just under 5%; Pgi deviates if only D. melanogaster polymorphisms are used. Interestingly, Adh does not significantly deviate when D. melanogaster and D. simulans are the test species, whereas McDonald and Kreitman's (1991) original use of this test was between D. melanogaster and D. yakuba for which this locus did significantly deviate from neutral expectation. It should be noted that D. melanogaster is more closely related to D. simulans than to D. yakuba (Caccone, Amato, and Powell 1988; Lachaise et al. 1988) so problems of saturation are less likely for the two closer related species used for the tests in table 13.

## Discussion

### **Overall Variation**

The overall estimated level of per nucleotide heterozygosity is 0.4%-2% depending upon region and species (table 4); silent site polymorphism can reach 4%. This amount of variation is sufficiently large that Firtually every diploid individual is heterozygous at every locus, with the exception of genes in regions of unusually low recombination and in closely inbred individuals. This high level of variation exceeds that predicted by even the strongest proponents of the "balance" view of populations with the possible exception of B. Wallace who concluded in 1959 that "we feel that the proportion of heterozygosis among gene loci of representative individuals of a population tends toward 100 per cene." Of course whether this degree of variation, or what proportion of it, has any significance with regard to adaptive evolution remains an open question.

It appears from table 4 that, among these three species, D. melanogaster is the least variable and D. pseudoobscura the most variable. This is not unexpected given the history of these species. Drosophila melanogaster and D. simulans are cosmopolitan species associated with human habitats. Almost certainly they originated in sub-Saharan Africa, became adapted to manmade environments, and were spread around the world by Riman transport (David and Capy 1988). Nearly all the populations sampled for genetic diversity in these species have been human-associated and outside Africa. Thus it is likely these populations have some degree of founder effect and have not reached population genetic equilibrium. (For empirical evidence see Begun and Aquadro [1993], who did sample native D. melanogaster populations from Zimbabwe and found them to be more variable on the nucleotide level than those sampled in the New World.) Drosophila pseudoobscura, on the

other hand, is native to oak-pine forests of the western one-third of North America and can still be found only in this region. Thus all the samples of this species came from its native habitat, or very close to it, and such populations would be expected to have little founder effect evident and are likely closer to equilibrium, i.e., they have been stable large populations for a long time. If population size and stability are positively correlated to level of polymorphism (as population genetics theory predicts), then the level of nucleotide diversity difference between D. melanogaster and D. pseudoobscura is understandable. Drosophila simulans is thought to have a history similar to that of D. melanogaster, yet its nucleotide diversity is on a par with that of D. pseudoobscura. This implies D. simulans may have been subject to less severe founder effects and perhaps maintains larger, more stable populations compared to its sibling, D. melanogaster. Others (e.g., Aquadro 1992; Akashi 1995) have reached similar conclusions.

However, there is one anomalous finding at odds with this conclusion. The Tajima test did not detect much difference between  $\pi$  and  $\hat{\theta}$  in *D. melanogaster* populations (table 9). The latter is expected to equal the former only in a panmictic equilibrium population. Populations that recently went through a bottleneck would be expected to have an excess of rare variant, which would produce significantly negative *D*'s by this test (Tajima 1989; Braverman et al. 1995). Negative and positive *D*'s in table 9 are about equally frequent, 30 and 26, respectively.

## Sex-linked Versus Autosomal

Sex-linked genes are less variable than autosomal genes (table 5) although in the case of D. melanogaster, the differences are not statistically significant. In D. simulans the ratio of diversity in sex-linked genes to autosomal genes is even less than the predicted 0.75, being somewhat less than 0.5 for all classes of DNA. This has one important implication for understanding the forces controlling nucleotide variation. One of the major hypotheses to account for the reduction of polymorphism in regions of low recombination is the "background selection" model of Charlesworth, Morgan, and Charlesworth (1993; Charlesworth 1994). This model is based on the selective removal of deleterious recessive alleles along with linked neutral variation; the strength or distance over which such selective elimination will act is dependent upon recombination. Under such a model the effective population becomes essentially the frequency of chromosomes free of deleterious mutations linked sufficiently close to the studied locus as to affect it (Hudson 1994; Stephan 1994). Because of the hemizygosity of X chromosomes in males, one would expect, on average, more efficient selection against deleterious

recessives and thus the fraction of X chromosomes free of deleterious loci to be higher than for autosomal chromosomes. The pattern observed, especially in *D. simulans*, is opposite the prediction of the background selection model.

An alternative model is the positive selective sweep model (Berry, Ajioka, and Kreitman 1991; Begun and Aquadro 1992; Hudson 1994). In this model, an advantageous mutation is hypothesized to sweep to fixation taking with it linked neutral variants. If most new advantageous mutants are recessive, then the positive selection should again be more effective for X-linked genes due to hemizygosity in males. This could account for the reduced heterozygosity for X-linked genes. One argument against the selective sweep model is the opserved frequency distribution of variants; following<sup>¬</sup><sub>□</sub>a selective sweep, most new mutations would be expected to be in low frequency relative to the expected distribution of a population at equilibrium. Braverman et al. (1995) show that the data so far collected do not conform to this expectation.

What then could account for the lower diversity of X-linked genes in D. simulans? One possibility is the mating structure of the species (see, e.g., Charlesworth 1994). Specifically, if males have a higher effective population than females, this could cause the effective population size of X-linked genes to be less than the 75%of autosomal genes predicted from a 1:1 sex ratio. This could come about in Drosophila due to the prevalence of multiple insemination in many species. Males could be inseminating many females, but not all females may find a suitable larval substrate on which to deposit eggs. Because each female is carrying more than one males sperm, her eggs represent contributions to the next geaeration of only a single female but multiple males. On the other hand, it must be noted that in the only direct measurements of sex-specific effective population sizes in laboratory populations of Drosophila, the general finding is that females have a larger effective population size than do males (e.g., Crow and Morton 1955). 6

# Types of Polymorphisms

With regard to Ts's and Tv's, species and genes appear to be quite homogeneous. Generally in noncoding regions Tv's outnumber Ts's by a small amount (table 6), whereas in the coding region Ts's outnumber Tv's by about 2:1. The fact that fourfold degenerate sites have a ratio of Tv:Ts very similar to noncoding DNA indicates that the structure of the genetic code and selection against replacement polymorphisms can account for the preponderance of Ts's in the coding region. This assumes that the Tv:Ts patterns at fourfold degenerate sites and noncoding DNA represent the neutral mutation process. However, there is evidence of more selective constraints on noncoding regions compared to silent sites (table 4); evidently these constraints do not change the ratio of Tv:Ts in these regions.

With regard to silent and replacement polymorphisms, perhaps the most notable aspect of the data is the great variation from locus to locus in their relative occurrence, although this could have been anticipated from allozyme data, which indicated considerable variation among loci with regard to electrophoretically detectable variation that must be due to replacement polymorphisms. While silent polymorphisms generally outnumber replacements (table 7), there are exceptions, e.g., Acp26Aa in D. melanogaster (table 1). Adh in D. pseudoobscura is the alternative extreme case with a single replacement polymorphism detected in more than 85 kb of sequence (107 alleles  $\times$  762 bp/allele; table 3). Whether this variation is due to differences in selective constraints or positive selection for polymorphism is not clear. The one replacement polymorphism in D. melanogaster Adh, which causes the fast/slow allozyme polymorphism, is very likely a case of positive selection (Kreitman 1991).

One surprise in considering the relative frequencies of replacement polymorphism is the difference between D. melanogaster and D. simulans. The former species has a significantly greater proportion of replacement polymorphism even when only genes studied in both species are considered (table 4). This is consistent with allozyme data which indicate D. melanogaster and D. simulans are equally variable (Choudhary and Singh 1987); since D. melanogaster has less overall nucleotide polymorphisms, a greater proportion must be replacements for allozyme variation to be equal. Why D. melanogaster should be more tolerant of replacement polymorphisms than is D. simulans remains unknown. It could be related to the observation that D. simulans is overall more variable at the nucleotide level than is D. melanogaster (table 4). If D. simulans has larger more stable populations than D. melanogaster, then it is expected that natural selection will be more effective in D. simulans. This implies that much of the replacement polymorphism in D. melanogaster is slightly deleterious but that selection is relatively ineffective in eliminating the mutations due to small and unstable populations. Akashi (1995) also detected reduced efficacy of selection against synonymous substitutions in D. melanogaster relative to D. simulans. This is consistent with a general populational cause (such as small size), which would affect all types of substitutions.

## **Recombination Effects**

It is clear from table 8 that there are regional factors operating which similarly affect coding and adjacent noncoding DNA. Recombination rate was explored as possibly being a regional factor involved and there is indication it is positively correlated to level of variation in both *D. melanogaster* and *D. simulans*. Whether this is the only regional factor affecting variation is not clear. The correlations between nucleotide diversity and recombination have r values around 0.5, which means the amount of variance accounted for  $(r^2)$  is about 25%. Either recombination is not the only factor or the error in estimating both diversity and recombination rate account for the lack of a stronger correlation. (Another potential regional effect is mutation; however, there is no evidence that mutation rates or processes vary along *Drosophila* genomes [e.g., Woodruff, Slatko, and Thompson 1983; Moriyama and Hartl 1993].)

It is especially interesting to note the lack of a negative correlation between codon usage bias and leverof nucleotide variation at silent sites; in fact, for D. melanogaster there is a positive correlation (table 8). Again, recombination may be the key in understanding this. The level of codon usage bias is positively correlated with recombination (table 8; see also Kliman and Hey [1993b]) presumably because selection can be more effective at single nucleotide positions when recombination is high, the so-called Hill-Robertson effect (Hill and Robertson 1966). Higher recombination also Increases variation by reducing the hitchhiking effect of selective elimination of linked loci. So recombination positively affects level of variation and codon usage bias. Evidently, the selection for codon usage bias is not strong enough to offset the increase in variation due to reduction in hitchhiking. This is in strong contrast to what is seen in interspecific comparisons: more strongly biased genes show less divergence between species (Sharp and Li 1989; Moriyama and Powell, in preparation) but recombination rate does not correlate with interspecific divergence (Begun and Aquadro 1992). This seemingly contradictory pattern for intraspecific and interspecific nucleotide variation is lacking an adequate explanation.

### Neutrality or Selection?

Are the patterns of DNA polymorphisms in *Decosophila* more consistent with selection playing a major role or does the hypothesis of selective neutrality account for the data? The data are not decisive on this question.

6

Tajima's (1989) test rarely rejects neutrality. However, it may be that this test is sensitive to the sample size (number of alleles) as the test is strongest in rejecting neutrality for the largest data set, Adh from D. pseudoobscura. Perhaps the inability of Tajima's test to detect much if any selection in the data from D. melanogaster and D. simulans is due to the smaller sample sizes: average number of alleles studied per locus is 11 and 6, respectively, in contrast to the 99 alleles of Adh and Adhr for N. American D. pseudoobscura. It is clearly of interest to investigate theoretically the effect of sample size on the sensitivity of the Tajima test. The HKA test more often detects deviation from neutral expectation. For D. melanogaster (table 11), 7/21 loci clearly deviate from neutrality: pn, Pgd, Acp26Aa, Adh, *Pgi, tra,* and *ci*. For these loci too many asterisks appear in their rows than would be expected by chance. For D. simulans (table 12) only 2/12 loci show clear deviations with both loci (ase and ci) in regions of particularly low recombination. However, the genes failing the HKA test in D. melanogaster do not particularly cluster in regions of low recombination and one gene, ase, in such a region seems to conform well to neutral expectation as detected by the HKA test (table 11). The McDonald and Kreitman (1991) test detects deviations from expectation as does the HKA test. One quarter (3/12) of the loci tested in table 13 gave indications of deviation from neutral expectations. Of particular interest is the fact that these three loci, Zw, Est-6, and Rh3, gave no indication of deviations in either the HKA or Tajima tests. The set of loci failing the HKA test is mutually exclusive of the set that fails the McDonald/Kreitman test.

For D. melanogaster then, we can conclude that 11/ 24 loci failed one of the tests for neutrality. Seven failed the HKA test, one failed the Tajima test, and three contributed to the significant deviations in the McDonald-Kreitman test. Drosophila simulans had 5/12 loci fail one test. Can we then conclude that just less than half the genes in these species are evolving neutrally and half are subject to selection? This would be a very naive conclusion to draw from this analysis. The analyses presented in this paper emphasize the complexity of interpreting intraspecific DNA polymorphism data. The evidence for regional effects, at least partly mediated through recombination, is very clear. The fact that the selective constraints on codon usage seem not to affect the level of polymorphism at silent sites is perhaps the best example of how misleading it can be to consider too few variables in understanding these data. The correlation of level of codon bias in a coding region is highest with adjacent noncoding DNA. If we had not considered recombination as another variable, this pattern would have been completely mysterious.

#### Conclusions

We are particularly struck by two patterns in the data analyzed here. First is the clear evidence of regional factors affecting levels of nucleotide polymorphisms. Such forces seem to override other forces such as selection for codon usage. Second, the contrast between D. melanogaster and D. simulans in their patterns of nucleotide diversity is striking. They differ in level of overall diversity, in the proportion of replacement versus silent polymorphisms, and in the ratio of diversity of Xlinked genes to autosomal genes. These two species are very closely related, differing by a single paracentric inversion; furthermore, ecologically the two species are very similar. One would think that any genetic processes such as mutation, recombination, etc., would be very similar in the two species. So explanations for the contrast in nucleotide diversity must be sought at a different level. We have speculated that size and stability of populations and the mating structure of the species could account for the differences. These observations emphasize the fact that in evaluating patterns of nucleorde diversity we need to consider several levels of organization: single nucleotides, codons, chromosomal regions, populations, and mating behavior. No single simple explanation seems adequate. article/13/1/2

#### Acknowledgments

We thank Richard Kliman for supplying the missing recombination data, John Hartigan for statistical advice, and Gail Simmons for supplying details of her data. Brian Charlesworth made useful comments on an early version of this manuscript. This work was supported by NSF grant DEB 9318836.

This article is dedicated to the memory of Motoo Kimura without whom the field of evolutionary genetics would have been much less interesting for the last≥30 gust 2022 years.

						mela	SENCE B nogaste simulan:		
Geneb	Species	Map <sup>b</sup>	ENC⁰	REC. RATE <sup>d</sup>	GenBank Acc#	Reference	Total	Silant	Non- coding
ase	. melanogaster	1-0.0	57.4	0.00		Hilton, Kliman, and Hey 1995			coung
	simulans	1 0.0	57.8	0.00		Hilton, Kliman, and Hey 1995 Hilton, Kliman, and Hey 1995	0.025	0.059	
su(s) <sup>f</sup>	. melanogaster	1-0.0		0.00		Aguadé et al. 1994			
$su(w^a)^{f}\ldots$	. melanogaster	1-0.1		0.00		Aguadé et al. 1994			
pn	. melanogaster	1-[0.5]	54.4	2.02		Simmons et al. 1994	0.026	0.089	0.032
	simulans'		53.5			G. Simmons, personal communicaton			
	Simulans		55.5			Simmons et al. 1994 G. Simmons, personal communicaton			
Pgd	. melanogaster	1-0.6	37.2	1.87		Begun and Aquadro 1994	0.038	0.126	
-	. melanogaster	1-1.0	42.8	2.74	L13043-8	Hey and Kliman 1993	0.038		$0.060 \stackrel{\circ}{_{\sim}}$
	simulans		42.1		L13049–53, 55	Hey and Kliman 1993	0.052	0.142	0.077 noa
per	. melanogaster	1-1.4	37.6	2.94		Kliman and Hey 1993a	0.031	0.129	0.088
	simulans		36.5		L07826, 28-32	Kliman and Hey 1993a	01021	0.125	tion the
<i>Yp2</i>	. melanogaster	1-30	33.7	2.88	L14421-3	Hey and Kliman 1993	0.020	0.061	0.1 <b>21</b> <sup>⊖</sup>
	simulans		33.7		L14426-8	Hey and Kliman 1993			htt
Zw	. melanogaster	1-62.9	32.7	2.31	L13880, 85-90, 95-920	Eanes, Kirchner, and Yoon 1993	0.037	0.096	0.068 ::
	simulans		31.4		L13876–9, 81–4, 91–4	Eanes, Kirchner, and Yoon 1993			/a
-	. melanogaster	2–[20]	61.0	4.97	X70888–97	Aguadé, Miyashita, and Langley 1992	0.140	0.157	0.036 g
-	. melanogaster	2–[20]	52.2	4.97	X70888–97	Aguadé, Miyashita, and Langley 1992	0.030	0.058	O
Adh	. melanogaster	2-50.1	31.4	1.98	M17827, 28, 30–7	Lauric, Bridgham, and Choudhary 1991	0.014	0.047	0.059 D
	simulans		30.3		M19263, X57361-4	McDonald and Kreitman 1991			oup
	pseudoobscura	4			M60979–96, X68159– 66, X62181–238, X64468–89, Y00602	Schaeffer and Miller 1992, 1993			0.com/l
Adhr	. melanogaster	2-50.1	59.6	1 98	A04408-89, 100002	Kreitman and Hudson 1991	0.022	0 122	0.020 =
	pseudoobscura	4	0,10	1.90	M60979–96, X68159– 66, X62181–238, X64468–89, Y00602	Schaeffer and Miller 1992, 1993	0.055	0.132	0.059 0.039 0.039 0.044
Lcp1Psi <sup>g</sup> .	. melanogaster	2–[58]		0.94	U17196-205	Pritchard and Schaefferh			3/1
Pgi	. melanogaster	2–58.6	36.7	0.92	L27539-46, 53-5	McDonald and Kreitman <sup>h</sup>	0.016	0.068	0.044
	simulans		35.2		L27547-52	McDonald and Kreitman <sup>h</sup>			01/
Amy-d	. melanogaster	2–77.9	28.4	3.38	L22717, 18, 20, 24, 28, 30, 32, 34	Inomata et al. 1995	0.032	0.097	0.074
Ату-р	. melanogaster	2–77.9	28.4	3.38	L22716, 19, 21, 25–7, 29, 31, 33	Inomata et al. 1995	0.028		0.046 1 by
	. pseudoobscura	3			U0974657	Popadic and Anderson 1994			0.065 guest
	. melanogaster	3–32.5	36.3			Hudson et al. 1994	0.027	0.106	0.065 🖁
Est-6	. melanogaster	3–35.9		2.72	J04167	Cooke and Oakeshott 1989	0.044	0.129	0.076 0
	simulans		52.9		L34263–5, 70	Karotam, Boyce, and Oakeshott 1995			
tra	. melanogaster	3–45	55.5	1.06	M17478, M19618–20, M19464–70	Walthour and Schaeffer 1994	0.069	0.162	0.054 August 2022
<i>Rh3</i>	. melanogaster	3-[67]	42.0	3.38		Ayala, Chang, and Hartl 1993	0.024	0.093	snɓ
	simulans		42.7			Ayala, Chang, and Hartl 1993			
_	pseudoobscura	2				Ayala, Chang, and Hartl 1993			022
boss	melanogaster	3-90.5	49.2	3.88		Ayala and Hartl 1993	0.027	0.106	
	simulans		48.6			Ayala and Hartl 1993			
	. melanogaster	3-[98]	39.2		L37312-27	Leicht et al. 1995	0.019	0.082	0.041
<i>cı</i>	. melanogaster	4-0.0	50.1	0.00		Berry, Ajioka, and Kreitman 1991	0.046	0.111	
	simulans	2	49.9			Berry, Ajioka, and Kreitman 1991			
<i>y</i>	. pseudoobscura	2				Riley, Kaplan, and Veuille 1992			

<sup>a</sup> Sequences were taken from GenBank 86.0 (December 1994), the daily update, or original references.

<sup>b</sup> Gene names and maps were referred from "FlyBase (1994). The Drosophila Genetic Database. Available from the ftp.bio.indiana.edu network server and Gopher site."

<sup>c</sup> Effective number of codons by Wright (1990).

<sup>d</sup> Recombination rate  $\times 10^{-3}$  (Kliman and Hey [1993b] and personal communication from R. Kliman). For Pgi, the value is the average for the bands 44A-F. e Numbers of nucleotide substitutions per site between D. melanogaster and D. simulans. When there are multiple sequences, one of each sequence is chosen arbitrarily. Numbers of silent substitutions are estimated by Nei and Gojobori's (1986) method without multiple-hit correction.

<sup>f</sup> Noncoding regions only from direct sequencing and single-strand conformation polymorphism analysis.

<sup>8</sup> Pseudogene.

<sup>h</sup> Names of submitters to GenBank, but no publication found.

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Accepted August 18, 1995