# Investigating the Electronic Structure of Prospective Water-splitting Oxide BaCe ${ }_{0.25} \mathbf{M n}_{0.75} \mathbf{O}_{3-\delta}$ Before and After Thermal Reduction 

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#### Abstract

$\mathrm{BaCe}_{0.25} \mathrm{Mn}_{0.75} \mathrm{O}_{3-\delta}$ ( BCM ), a non-stoichiometric oxide closely resembling a perovskite crystal structure, has recently emerged as a prospective contender for application in renewable energy harvesting by solar thermochemical hydrogen generation. Using solar energy, oxygen-vacancies can be created in BCM and the reduced crystal so obtained can, in turn, produce $\mathrm{H}_{2}$ by stripping oxygen from $\mathrm{H}_{2} \mathrm{O}$. Therefore, a first step toward understanding the working mechanism and optimizing the performance of $B C M$, is a thorough and comparative analysis of the electronic structure of the pristine and the reduced material. In this paper, we probe the electronic structure of BCM using the combined effort of first-principles calculations and experimental O $K$-edge $x$-ray absorption spectroscopy (XAS). The computed projected density-of-states (PDOS) and orbital-plots are used to propose a simplified model for orbital-mixing between the oxygen and the ligand atoms. With the help of state-of-the-art simulations, we are able to find the origins of the XAS peaks and to categorize them on the basis of contribution from Ce and Mn . For


the reduced crystal, the calculations show that, as a consequence of dielectric screening, the change in electron-density resulting from the reduction is strongly localized around the oxygen vacancy. Our experimental studies reveal a marked lowering of the first $\mathrm{O} K$-edge peak in the reduced crystal which is shown to result from a diminished $0-2 p$ contribution to the frontier unoccupied orbitals, in accordance with the tight-binding scheme. Our study paves the way for investigation of the working-mechanism of BCM and for computational and experimental efforts aimed at design and discovery of efficient water-splitting oxides.

## Introduction:

The quest for developing advanced mechanisms capable of harvesting energy in a renewable, sustainable and environment-friendly manner is one of the grand challenges of modern technological research. One such example is the production of solar fuel by splitting $\mathrm{H}_{2} \mathrm{O}$ or $\mathrm{CO}_{2}$ through a reversible two-step cycle involving reduction and re-oxidation of metal oxides. In particular, in one of its most promising approaches, the process of Solar Thermochemical Hydrogen generation (STCH) ${ }^{1-3}$ entails, as a first step, the removal of oxygen atoms from a metal oxide at a high temperature generated using solar irradiation under inert atmosphere. This is then followed by a re-oxidation of the oxide by exposing it to $\mathrm{H}_{2} \mathrm{O}$, resulting in the production of hydrogen. For efficient water-splitting, the oxide must be structurally stable to withstand a large number of redox cycles. Additionally, it must be reducible at relatively low temperature and undergo re-oxidation in spite of the presence of $\mathrm{H}_{2}$ in the steam feed. The efficacy of an oxide in the STCH operation is governed by its chemical properties, which, in turn are primarily dictated by its electronic structure. Being able to decipher and manipulate the electronic structure of prospective water-splitting oxides with dedicated theoretical and experimental investigation is, therefore, of paramount importance in gaining a deeper understanding of their working-mechanism as well as in the discovery, design and performance-optimization of such materials.

Owing to their stability against decomposition and phase-change under the extreme environment of a thermochemical reactor, non-stoichiometric oxides have recently received substantial attention in this regard ${ }^{4}$. In particular, non-
stoichiometric ceria $\left(\mathrm{CeO}_{2}\right)$ is generally recognized as the frontrunner among the prospective candidates ${ }^{5,6}$ since, besides possessing other beneficial attributes, it is capable of stripping oxygen from $\mathrm{H}_{2} \mathrm{O}$ even under an extremely unfavorable $\mathrm{H}_{2} \mathrm{O}: \mathrm{H}_{2}$ ratio ${ }^{4}$. However, contrary to the ease of the re-oxidation step, the reduction step for ceria poses a major disadvantage since it requires a temperature above $1500^{\circ} \mathrm{C}^{7,8}$, which necessitates non-standard materials and becomes prohibitively expensive under standard industrial conditions.

As a consequence, the last few years have witnessed the search for alternative oxides that can undergo reduction at a lower temperature, with significant attention being devoted toward perovskite-based structures ${ }^{9-15}$. Recently, the nonstoichiometric perovskite compound $\mathrm{BaCe}_{0.25} \mathrm{Mn}_{0.75} \mathrm{O}_{3-8}(\mathrm{BCM})$ has been proposed as a contender for the STCH application ${ }^{16}$. In addition to high chemical stability and tunability of the point-defect thermodynamics, BCM is shown to offer nearly a three-fold improvement over ceria in hydrogen production at a reduction temperature below $1400^{\circ} \mathrm{C}$. Additionally, like ceria, BCM is also capable of operating under unfavorable $\mathrm{H}_{2} \mathrm{O}: \mathrm{H}_{2}$ ratio. Therefore, as a first step toward gaining a detailed understanding of the factors responsible for its performance, a thorough exploration of the electronic structure of BCM is necessary. In particular, it is imperative that such a study addresses the change in the electronic structure induced by the reversible removal of oxygen atom from the compound.

On the experimental front, investigation of the electronic structure of materials is performed most commonly using core-level spectroscopy. For example, x-ray absorption spectroscopy (XAS) produces an element-specific spectrum of the absorption intensity plotted as a function of photon-energy. However, in order to decipher the details of electronic structure from such a plot, the experimental efforts must often be complemented with theoretical studies. The aim of such theoretical calculations is then to be able to gain insight into the origin of the spectral features by assigning electronic processes and orbitals to them. For several decades, ab initio computation of electronic properties has been performed most commonly with the help of density-functional theory (DFT), thanks to its convenient balance between accuracy and expense. However, in addition to such first-principles simulations, simplified quantum-mechanical models can be extremely helpful in elucidating the electronic properties of most materials.

With these considerations, in this paper we present a detailed combined theoretical and experimental investigation of the electronic structure of BCM. The information obtained with first-principles DFT calculations are presented mostly in the form of partial density-of-states (PDOS) and real-space representation of single-electron energy eigenstates, referred to as electronic orbitals hereafter. The computational studies are then complemented with crystal-field theory (CFT)based models of orbital mixing which corroborate the first-principles results qualitatively. Experimental $\mathrm{O} K$-edge XAS is performed to probe the electronic excitations before and after oxygen-removal from the compound. The absorption spectra so obtained are compared with their simulated counterparts obtained using an accurate many-body method. In particular, prominent changes in the experimental spectrum upon oxygen removal are replicated by the computational results which, in turn, are shown to follow from simplified models.

## Computational Formalism:

Experimental and computational details, along with the crystal structure, are included in the Supplementary Materials. However, since a major portion of the paper deals with the analysis of $x$-ray absorption spectra, in order to facilitate the discussion, here we provide a brief outline of the computational framework employed in the spectroscopic simulations. The O $K$-edge spectra have been simulated using the Many-Body X-ray Absorption Spectroscopy (MBXAS) ${ }^{17,18}$ formalism which, for an electronic excitation from the initial ground state (GS) $\left|\Psi_{G S}\right\rangle$ to some final core-excited state $\left|\Psi_{f}\right\rangle$, evaluates the transition amplitude $A_{G S \rightarrow f}$ as

$$
A_{G S \rightarrow f}=\left\langle\Psi_{f}\right| \hat{O}\left|\Psi_{G S}\right\rangle,
$$

where $\hat{O}$ is the many-body transition operator, which, in these calculations, is approximated by the dipole term. $\left|\Psi_{G S}\right\rangle$ is approximated by a Slater determinant (SD) composed of the occupied Kohn-Sham (KS) orbitals of the DFT GS. The SD for $\left|\Psi_{f}\right\rangle$, on the other hand, is constructed by populating KS orbitals of a different selfconsistent field - that of a core-ionized state with an empty core orbital on a specific atom, which we refer to as the full core-hole ${ }^{1}$ (FCH) KS system. A specific final state, $\left|\Psi_{f}\right\rangle$, refers to a SD comprising the valence occupied orbitals of the FCH system together with the specific (previously unoccupied) orbital indexed by $f$ (within the so-called $f^{(1)}$ approximation).

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## Results and Discussions:

(1) Structure and Configuration


Fig. 1 The structure of a pristine 12R-BCM crystal. The atoms labeled A, B, C (also $\left.C^{\prime}\right), D$ and $E$ are representatives of a $M n_{M}, O_{M}, M n_{S}, O_{S}$ and Ce atom, respectively. Atoms $C^{\prime}, A$ and $C$ collectively constitute a representative triple of Mn atoms, as mentioned in the text. Each triple of Mn atom contains two $\mathrm{Mn}_{s}$ atoms of identical magnetic moment (C and C', for this particular triple) and an $\mathrm{Mn}_{\mathrm{M}}$ atom which has a magnetic moment in the opposite direction ( $A$, for this particular triple).

As shown in Fig. 1, 12R-BCM has a rhombohedral crystal structure ${ }^{2}$ (R-3m, \#166), with each of $\mathrm{Ba}, \mathrm{Mn}$, and O occupying the two unique Wyckoff sites and Ce a single Wyckoff site. Within the standard perovskite notation (not to be confused with the notation used in Fig. 1), the Ba atoms occupy the ' $A$ ' sites while Mn and Ce share the ' B ' sites. Both Mn and Ce atoms exhibit six-fold coordination to neighboring O atoms. O atoms are present in two inequivalent coordinations each with two-fold coordination: $\mathrm{O}_{\mathrm{M}}$ refers to a "Middle" O atom, which is coordinated by two Mn atoms while $\mathrm{O}_{\mathrm{s}}$ refers to a "Side" O atom which is coordinated by a single Mn and a single Ce atom. Similarly, the Mn atoms exhibit two inequivalent coordinations in the lattice: those with a Ce atom as a second nearest-neighbor are hereafter labeled $\mathrm{Mn}_{\mathrm{s}}$, while those with two other Mn atoms as second nearest neighbors are labeled $\mathrm{Mn}_{\mathrm{M}}$. The $\mathrm{Mn}-\mathrm{O}$ coordination environment is not perfectly octahedral because of the unequal $\mathrm{Mn}-\mathrm{O}$ angles and bond lengths: $1.89 \AA \AA$ for $\mathrm{Mn}_{s}-\mathrm{O}_{s}$ and 1.99 $\AA$ ( $1.93 \AA$ ) for $\mathrm{Mn}_{S}-\mathrm{O}_{M}\left(\mathrm{Mn}_{M}-\mathrm{O}_{M}\right)$. Ce-O bonds are arranged in a perfect octahedral symmetry with a Ce-O bond length ( $2.23 \AA$ ) larger than the $\mathrm{Mn}-\mathrm{O}$ bond length. Notably, the difference in the $\mathrm{Mn}-\mathrm{O}_{s}$ and the $\mathrm{Ce}-\mathrm{O}_{s}$ bond lengths ( $2.23 \AA-1.89 \AA$, i.e., $0.34 \AA$ ) is exactly equal to the difference in the ionic radius of $\mathrm{Ce}^{4+}(1.01 \AA)^{3}$ and $\mathrm{Mn}^{4+}(0.67 \AA)^{4}$.

The Mn atoms, which are in the $\mathrm{Mn}^{4+}$ oxidation state, have strong net magnetic moments due to the $3 d^{3}$ valence occupancy and are arranged in triples (groups of three neighbors). In our DFT calculations, we simulate the lowest energy antiferromagnetic spin configuration of Mn atoms in the rhombohedral structure such that, in terms of the magnetic moment, the Mn atoms follow an alternating arrangement. Within every triple of Mn atoms, if the $\mathrm{Mn}_{\mathrm{M}}$ site has a net up (down) magnetic moment, then both of the $\mathrm{Mn}_{s}$ sites will have the opposite down (up) magnetic moment, resulting, for each triple, in a net magnetic moment with the direction dictated by the 2:1 majority of $\mathrm{Mn}_{s}$ constituents. In the particular antiferromagnetic arrangement in our chosen supercells, all triples within a given layer are spin-polarized along the same direction while triples belonging to neighboring layers have opposite spin-polarizations. The calculated ground-state total energy

[^1]of such a polarization-arrangement is seen to be lower than that of others: e.g., the ferromagnetic arrangement where all Mn atoms are polarized along the same direction or the anti-ferromagnetic one in which neighboring triples differ in their net spin-polarization but within a given triple, all Mn atoms are polarized along the same direction.

## (2) Electronic structure of the pristine crystal



Fig. 2 The total and partial DOS of the pristine BCM crystal. The energy of the VBM is set at zero.

Fig. 2 shows the density of states (DOS) of the pristine BCM crystal, along with the projected DOS (PDOS) contributions from the different species. The small contribution of $\mathrm{Ce}-4 f$ in the occupied PDOS indicates that these orbitals do not partake significantly in mixing with $\mathrm{O}-2 p$ orbitals. The Ce- $4 f$ states are mostly
unoccupied, with significant peaks appearing above the Fermi level, and highly localized in energy; confined within 1.5 eV on the energy axis with peak value around 0.75 eV above the CBM. In fact, the PDOS at the LUMO energy shows comparable contributions from $\mathrm{O}-p, \mathrm{Mn}-3 d$ and $\mathrm{Ce}-4 f$ orbitals. The energy of the $\mathrm{Ce}-4 f$ band is relatively similar across the two spin channels because Ce in the $\mathrm{Ce}^{4+}$ charge state has an empty valence $4 f$ orbital.


Fig. 3 PDOS plot of an $\mathrm{Mn}_{M}$ and an $\mathrm{Mn}_{s}$ atom belonging to the same triple. In this representative triple, the $\mathrm{Mn}_{\mathrm{M}}$ and Mns atoms have a net up and down spinpolarization, respectively [see, for example, the triple consisting of the atoms $C^{\prime}, A$ and $C$ in Fig. 1]. On the energy axis, 0 corresponds to the VBM.

The magnitude of Lowdin polarization is seen to be lower ( $\pm 3.13$ ) for $\mathrm{Mn}_{\mathrm{M}}$ than it is for $\mathrm{Mn}_{s}( \pm 3.19)$, as reported in Tab. 1. This can be associated with the exchange interaction of $\mathrm{Mn}_{\mathrm{M}}$ with electrons from the second nearest-neighbors, both of which are $\mathrm{Mn}_{s}$ atoms with magnetic moments in the direction opposite to that of the $\mathrm{Mn}_{\mathrm{M}}$ atom. Note from Fig. 3, which shows the $3-d$ PDOS of a $\mathrm{Mn}_{M}$ and a $\mathrm{Mn}_{s}$ atom (which are constituents of the same triple and therefore, are spin-polarized
along opposite directions) that, in their respective majority spin channels, the CBM in the PDOS of $\mathrm{Mn}_{\mathrm{M}}$ is at a higher energy compared to that of $\mathrm{Mn}_{\mathrm{s}}$. This trend, however, is reversed for the lowest unoccupied peaks of their respective minority channels. Noting that a larger polarization typically corresponds to a larger exchange-splitting, which, in turn, would result, in accordance with the tightbinding formalism, in a larger energy-difference between the unoccupied levels of the two spin-channels, we can attribute the aforementioned property of the PDOS to the relatively higher (lower) local magnetic moment of $\mathrm{Mn}_{\mathrm{M}}\left(\mathrm{Mn}_{\mathrm{s}}\right)$.

We can begin to understand the electronic structure by making some initial simplifications. If we assumed a local octahedral coordination, the $\mathrm{Mn} 3 d$-orbitals for each spin channel would split into $\mathrm{e}_{g}$ and $\mathrm{t}_{2 g}$ orbitals. The former would occupy a higher energy subspace as a consequence of increased electronic repulsion resulting from the alignment along the octahedral $\mathrm{Mn}-\mathrm{O}$ bonding-axes, while the latter would be oriented between the bonding-axes and consequently, would have lower energy.
(i) In our attempt at deciphering the electronic structure, as a first approximation, we can neglect all orbital-mixing between the Mn and the O atoms. Since the Mn atoms have a $3 d^{3}$ configuration, under such a hypothetical, fully-ionic $\mathrm{Mn}^{4+}$ scenario, then, in the majority spin channel, we would expect each $\mathrm{t}_{2 \mathrm{~g}}$ orbital to be occupied with parallel spins (according to Hund's rule) with the $\mathrm{e}_{\mathrm{g}}$ orbitals remaining unoccupied. In the minority spin channel, the $\mathrm{Mn} 3 d$ orbitals would have higher energy due to exchange splitting and therefore all the minority $d$-orbitals would be completely empty, giving rise to a net absolute atomic magnetic moment of $3 \mu_{B}$ for each Mn atom.
(ii) Introducing a further layer of complexity, in accordance with the concepts of ligand-field theory within the solid state ${ }^{19}$, we can take into account the mixing between the $\mathrm{Mn}-\mathrm{e}_{\mathrm{g}}$ and the $\mathrm{O}-2 p$ orbitals, while retaining the non-bonding nature of the $\mathrm{Mnt}_{2 g}$ orbitals since the latter are not oriented along the bonding axes. The mixed ( $\mathrm{Mn}-\mathrm{e}_{\mathrm{g}}+\mathrm{O}-2 p$ ) orbitals would then split into occupied bonding and unoccupied anti-bonding orbitals. Thus, in the majority spin-channel, the occupied orbitals would exhibit either a bonding ( $\mathrm{Mn}-\mathrm{e}_{\mathrm{g}}+\mathrm{O}-2 p$ ) nature or a non-bonding $\mathrm{Mn}-\mathrm{t}_{2 \mathrm{~g}}$ nature, with the VBM consisting predominantly of the former. The unoccupied orbitals can
be expected to show ( $\mathrm{Mn}-\mathrm{e}_{\mathrm{g}}+\mathrm{O}-2 p$ ) nature. In the minority spin channel, all occupied orbitals would show exclusively ( $\mathrm{Mn}-\mathrm{e}_{\mathrm{g}}+\mathrm{O}-2 p$ ) bonding character while in the unoccupied subspace, there would be anti-bonding ( $\mathrm{Mn}-\mathrm{e}_{\mathrm{g}}+\mathrm{O}-2 p$ ) and non-bonding $\mathrm{Mn}-\mathrm{t}_{2 \mathrm{~g}}$ orbitals.


Fig. 4 The PDOS of an $\mathrm{Mn}_{s}$ and a neighboring $\mathrm{O}_{s}$ atom, showing their $3 d$ and $2 p$ contributions, respectively. As in Fig. 3, the $\mathrm{Mn}_{s}$ atom has a majority of down spin electrons. Certain peaks in the up (U) and down (D) spin channels of the PDOS are labeled for further analysi of the corresponding orbitalss, as shown with isovalue plots in Fig. 5 and Fig. 6. In the labels, the superscript $t$ and $e$, refers to an orbital showing appreciable mixing of $O-2 p$ with $M n-t_{2 g}$ and $M n-e_{g}$, respectively, while the superscript $p$ refers to an orbital having predominantly $0-2 p$ character.

In order to test the validity of two orbital mixing approximation, we analyze the $2 p$ orbital PDOS of an $\mathrm{O}_{\mathrm{s}}$ atom and the $3 d$ orbital PDOS of the adjacent $\mathrm{Mn}_{s}$ atom in

Fig. 4. Note that, in contrast with $\mathrm{O}_{\mathrm{M}}, \mathrm{O}_{\mathrm{s}}$ is coordinated with atoms of two separate species: an $\mathrm{Mn}_{s}$ and a Ce, the latter of which shows much weaker mixing with $\mathrm{O} p$ orbitals in comparison with the $\mathrm{Mn}_{\mathrm{s}}$ (as evident from the low contribution of $\mathrm{Ce} 4 f$ in the occupied PDOS shown in Fig. 2), making the PDOS analysis of $\mathrm{O}_{\mathrm{s}}-2 p$ comparatively simpler. A low numerical broadening ( 2.86 meV ) has been used to facilitate the assignment of electronic orbitals to the PDOS features in Fig. 4. Fig. 5 and Fig. 6, present the isovalue plots of the KS orbitals contributing to various salient peaks of the PDOS for the up (U) and the down (D) spin channels, respectively. Notice, for example, that, in contrast with the aforementioned prediction of ligand-field theory, in the majority, i.e., down spin-channel, the PDOS around the VBM is dominated by orbitals exhibiting mixed $\left(\mathrm{Mn}_{\mathrm{s}}-\mathrm{t}_{2 \mathrm{~g}}+\mathrm{O}_{\mathrm{s}}-2 p\right.$ ) character [e.g. ${ }^{t} D_{7},{ }^{t} D_{8}$ ] while orbitals at much lower energy ( -4 eV ) show distinct $\left(\mathrm{Mn}_{\mathrm{s}}-\mathrm{e}_{\mathrm{g}}+\mathrm{O}_{\mathrm{s}}-2 p\right)$ mixing [e.g. ${ }^{\mathrm{E}} \mathrm{D}_{3},{ }^{e} \mathrm{D}_{4}$ ]. Additionally, in the minority, i.e., up spinchannel, the occupied orbitals ${ }^{t} U_{1},{ }^{t} U_{2}$ show noticeable $\left(\mathrm{Mn}_{\mathrm{s}}-\mathrm{t}_{2 \mathrm{~g}}+\mathrm{O}_{\mathrm{s}}-2 p\right.$ ) character. In order to explain such anomalies,
(iii) we propose in Fig. 7, an alternative mixing scheme consistent with the orbital-characteristics observed in Fig. 5 and Fig. 6 which accounts for the possibility of mixing between the $\mathrm{Mn}_{s}-\mathrm{t}_{2 g}$ and the $\mathrm{O}_{\mathrm{s}}-2 p$ orbitals, which is possible, especially due to the fact that the $\mathrm{Mn}-\mathrm{O}$ coordination environment is not perfectly octahedral. This additional mixing leads to splitting of the $\mathrm{Mn}_{\mathrm{s}}-\mathrm{t}_{2 \mathrm{~g}}$ manifold into: a lower energy band of what would be called non-bonding orbitals under the mixing scheme (ii) outlined above, but which here exhibit some mixing with $\mathrm{O}_{\mathrm{s}}-2 p$; and a higher energy band, the anti-bonding counterpart, which, depending on the spin channel, may be occupied (down spin, majority) or not (up spin, minority).

Fig. 5 also shows significant $\mathrm{O}_{\mathrm{s}}-2 p$ (non-bonding) character near the up-spin VBM $\left[{ }^{\mathrm{p}} \mathrm{U}_{5}\right.$ and ${ }^{\mathrm{p}} \mathrm{U}_{6}$ ]. Additionally, from Fig. 6, we can notice substantial presence of $\mathrm{Ce}-4 f$ in the KS orbitals ${ }^{e} \mathrm{D}_{10}$ and ${ }^{\mathrm{e}} \mathrm{D}_{11}$, which is consistent with the PDOS plot shown in Fig. 2. Overall, the schematic picture in Fig. 7 provides a consistent view of the ultimate electronic structure observed in our simulations.

In summary, we have seen that the Ba orbitals have very little presence in the frontier PDOS. The Ce- $4 f$ orbitals, which show weak mixing with $0-2 p$, are predominantly localized near the conduction band edge. The Mn-3d orbitals, on
the other hand, mix substantially with $0-2 p$, generating bonding and antibonding levels across a wide energy range, as shown schematically in Fig. 7.


Fig. 5 Isovalue plots of up spin KS orbitals having significant contributions to the peaks labeled in Fig. 4. The relevant $O_{s}$ atom is marked with a " + " symbol.


Fig. 6 Isovalue plots of down spin KS orbitals having significant contributions to the peaks labeled in Fig. 4. The relevant $O_{s}$ atom is marked with a "+" symbol.


Fig. 7 PDOS of $\mathrm{Mn}_{s^{-}} 3 d$ and $\mathrm{O}_{s^{-}} 2 p$, along with a schematic detailing the bonding scheme in accordance with the LCAO formalism. Note that the down (up) spin channel corresponds to majority (minority) spins.
(3) O K-edge XAS of the Pristine Crystal


Fig. 8 Top left panel shows the $O$ K-edge XAS of the pristine BCM crystal. Panel (a) shows the experimental spectrum while the panel (b) shows the resultant simulated spectrum, along with the individual contributions from $O_{S}$ and $O_{M}$. In order to facilitate comparison, the spectra have been divided into four regions along the energy axis and the salient crests and troughs have been labeled. Panel (c) shows, for both of the oxygen atoms, the contribution of the up and the down spin channels to the net spectrum. The rest of the panels show isovalue plots of orbitals of the promoted electron in the excited-states resulting from core-excitation of an $O_{M}$ (panels (d)-(g)) or an Os atom (panels (h)-(m)). The core-excited atom is marked by a " + " symbol. The XAS peak marked by circle of a given color in panel (c) has
substantial contributions from a final (core-excited) state in which the excited electron resides in the orbital labeled by the same color in the isovalue-plots.

One of the most efficacious avenues for experimental investigation of electronic structure is the element-specific $X$-ray spectroscopy. In the following, we present a detailed experimental and theoretical account of the $\mathrm{O} K$-edge X-ray absorption spectra (XAS) associated with exciting a 1s core electron of an $O$ atom. Since the $B C M$ unit cell contains an equal number of $\mathrm{O}_{\mathrm{M}}$ and $\mathrm{O}_{\mathrm{S}}$ atoms, the final spectrum will be the resultant of contributions from both of these atoms. Notably, the final state created by the process of X-ray absorption differs from the GS in that it has a missing 1s electron (a hole) localized on a specific oxygen atom. In addition, the core-hole creation results in a significant modification of electron-density in the vicinity of the excited atom $\mathrm{O}^{(\mathrm{x})}$, resulting, in accordance with the tight-binding framework, in an enhanced contribution to the occupied PDOS and a downward shift in the energy of those orbitals, due to strong, attractive Coulomb interactions with the core hole. Concomitantly, the contributions of the excited atom to the unoccupied PDOS, due to orbitals of mixed character with neighboring atomic sites may be reduced. A comparison of the PDOS of individual atoms corresponding to the ground and the FCH state is presented in Fig.S1. The FCH state is defined above and is the foundational self-consistent field from which the core-excited KohnSham orbitals are obtained.

The experimental $O K$-edge spectrum of BCM is presented in Fig. 8(a). As mentioned in the introduction, the goal then, is to extract meaningful electronic-structure information from the spectrum by associating electronic excitations to the salient spectral features. As a first step to this end, in Fig. 8(b) we show the simulated resultant $\mathrm{O} K$-edge spectrum, as well as the individual contributions from $\mathrm{O}_{\mathrm{M}}$ and $\mathrm{O}_{\mathrm{s}}$. As expected, $\mathrm{O}_{\mathrm{M}}$, which is coordinated by two Mn atoms with opposite magnetic moments of comparable magnitude, produces relatively symmetric contributions to the absorption spectrum for the two spin channels (Fig. 8(c)). On the other hand, the spectral contributions of $\mathrm{O}_{\mathrm{s}}$, which is coordinated by a Mn and a Ce atom having significant and negligible magnetic moments respectively, differ considerably between the spin channels. This asymmetry leads to a lower intensity of the first

XAS peak in the resultant spectrum of $\mathrm{O}_{\mathrm{s}}$. From Table 2, $\mathrm{O}_{\mathrm{s}}$ can be seen to have a slightly higher Lowdin magnetic moment, indicating a stronger spin-polarization, than $\mathrm{O}_{\mathrm{M}}$. The exchange interaction arising from this higher spin-polarization lowers the conduction orbitals of the majority spin channel associated with $\mathrm{O}_{\mathrm{s}}$, as evident from the slightly lower energy of the first XAS peak of $\mathrm{O}_{\mathrm{S}}\left(\right.$ compared to $\mathrm{O}_{\mathrm{M}}$ ) in the down spin channel. It is important to note that the appearance of the simulated spectrum is heavily dependent on the broadening used for generating it. A spectral peak which is well-separated in energy from the rest of the features will typically experience a substantial lowering of intensity with increment in broadening. In Fig. 8 (b)-(c), a uniform broadening of 0.2 eV is used, while the spectra simulated with higher broadening energies are shown in Fig. S 2 in the Supplementary Materials. In particular, Fig. S2 reveals that the relative intensities of the net simulated spectrum depend on the broadening. For higher broadening values, the relative intensity of the intense peak $A$ diminishes in comparison with the others. Additionally, the features at 528.9 eV and 529.7 eV , which are present between $A$ and $B$ in in the simulated spectrum (black line in Fig. 8(b)), but not visible in the experimental counterpart (Fig. 8(a)), vanish for higher values of broadening.

| Peak | O atom | Ligand atom | Note |
| :---: | :---: | :---: | :---: |
| $\mathrm{A}^{\prime}$ | $\mathrm{O}_{\text {s }}$ | $\mathrm{Mn}_{\text {s }}$ | ( $\mathrm{O}_{\mathrm{s}}-2 \mathrm{p}+\mathrm{Mn}_{\mathrm{s}}-3 \mathrm{~d}$ ) orbital in majority spin-channel |
| A | $\mathrm{O}_{\text {M }}$ | Mn | ( $\mathrm{O}_{\mathrm{M}}-2 \mathrm{p}+\mathrm{Mn}-3 \mathrm{~d}$ ) orbital in majority spin-channel. Both up and down orbitals contribute, since $\mathrm{O}_{\mathrm{M}}$ has two neighboring Mn atoms with opposite spin-polarization. |
| B | 0 | Mn | $\left(\mathrm{O}_{\mathrm{s}}-2 \mathrm{p}+\mathrm{Mn}_{\mathrm{s}}-3 \mathrm{~d}\right)$ orbital in the minority channel. $\quad\left(\mathrm{O}_{\mathrm{m}}\right.$ $2 \mathrm{p}+\mathrm{Mn}-3 \mathrm{~d}$ ) orbital in both spin channels. |
| D | O s | $\mathrm{Ce}, \mathrm{Mn}$ | $\mathrm{O}_{s}-2 \mathrm{p}$ orbital mixed with $\mathrm{Ce}-4 \mathrm{f}$ and Mn -3d |
| E | 0 | Ce | Highly delocalized orbital showing mostly ( $\mathrm{O}-2 \mathrm{p}+\mathrm{Ce}-4 \mathrm{f}$ ) character. |

Table 1 The characteristics of the excited-electron giving rise to the salient peaks in the experimental O K-edge spectrum. $\mathrm{Mn} / \mathrm{O}$ atom without a subscript indicates substantial contribution from both "middle" (M) and "side" (S) atoms.

The utility of computation in the analysis of $x$-ray spectroscopy can be appreciated from Table 1 which maps the low-energy spectral peaks to the orbitals of the excited electron. The peaks in region I of the spectrum (see Fig. 8(a)) arise mostly from mixing of $\mathrm{O}-2 p$ with $\mathrm{Mn}-3 d$ orbitals while the contribution of $\mathrm{Ce}-4 f$ is noticed in region II.

A more detailed visual description of the associated orbitals is provided by the relevant isovalue plots of Fig. 8(d)-(m). The orbital plot in panel (d) of Fig. 8 reveals that, in accordance with our theoretical model, the first peak of the $\mathrm{O}_{\mathrm{M}} \mathrm{K}$-edge (contributing to peak A) in the up spin channel originates from a final state where the excited electron resides in an orbital with substantial contribution from the 3d orbital of $\mathrm{Mn}_{\mathrm{M}}$, which has a net positive spin-polarization. Panel (e) shows that the down spin counterpart essentially involves the $3 d$ orbital of the neighboring $\mathrm{Mn}_{s}$ atom, which has a net negative spin-polarization. Similar to the intense first peak, for $\mathrm{O}_{\mathrm{M}}$, the feature at $\sim 530.5 \mathrm{eV}$ (contributing to peak B ) can be associated with an orbital with mixed $\mathrm{O}-2 p$ and $\mathrm{Mn}-3 d$ character (panel (f)) which, for brevity, has been plotted for the up-spin channel only. However, unlike the orbital associated with the first peak (i.e., panel (d)), this orbital corresponds to the minority spin channel of the relevant Mn atom and consequently has a higher energy, more delocalization and lower intensity. Note that, while both panel(d) and (f) correspond to peaks in the up-spin spectrum, the contributing Mn atom for panel (d) is the $\mathrm{Mn}_{\mathrm{M}}$, which has a positive spin-polarization while that for panel (f) is the $\mathrm{Mn}_{\mathrm{s}}$, which has a negative spin-polarization. The orbital associated with the higher energy peak at $\sim 532.2 \mathrm{eV}$ (panel (g), peak E), which shows even more delocalization, can be seen to contain appreciable contribution from the $\mathrm{Ce}-4 f$ orbitals.

Similar to the $\mathrm{O}_{\mathrm{M}}$ spectrum, the first peak of the $\mathrm{O}_{\mathrm{s}}$ spectrum (contributing to peak $A^{\prime}$ ) in the down spin channel originates from mixing of $0-2 p$ with the $3 d$ orbital of the neighboring $\mathrm{Mns}_{s}$ atom, which has a negative spin-polarization (panel (h) in Fig. 8). By contrast, for the up spin contributions in the $\mathrm{O}_{s}$ spectrum, as already shown in Fig. 3 and Fig. 7, the lack of exchange interaction pushes the minority (up) spin $\mathrm{Mns}_{\mathrm{s}}-3 d$ orbitals to higher energy, and the first $\mathrm{O}_{\mathrm{s}} \mathrm{K}$-edge peak in this spin-channel (at $\sim 529 \mathrm{eV}$, between A and B), in fact, arises primarily from an unoccupied orbital with heavy contributions from $\mathrm{Ce}-4 f$, as evident from panel (i) in Fig. 8. This explains
the relatively higher energy and lower intensity of this peak, in comparison with the down-spin counterpart. The up-spin peak at $\sim 530.4 \mathrm{eV}$ originates from an orbital similar in characteristics to the one responsible for the peak at $\sim 530.5 \mathrm{eV}$ for the $\mathrm{O}_{\mathrm{M}}$ spectrum, as can be seen by noting the similarity between panels ( f ) and (j).

The higher-energy resultant peak at $\sim 531.8 \mathrm{eV}$ (peak D) contains appreciable contribution from both spin channels of the $\mathrm{O}_{\mathrm{S}} \mathrm{XAS}$ spectrum. The comparability of the energy and the intensity of these two peaks corresponding to different spin channels can be attributed to the fact that they are associated with unoccupied orbitals containing contributions mostly of $4 f$ orbitals of the neighboring Ce atom, which has negligible magnetic moment (panels (k) and (I)).

Panels (d), (e) and (h) reveal that all of the intense low-energy XAS peaks contain substantial contribution from a $3 d$ orbital of a Mn atom coordinated with the coreexcited oxygen $\mathrm{O}^{(x)}$ [note that in panels (d) and (e), this is an $\mathrm{O}_{\mathrm{m}}$ atom while in panel $(\mathrm{h})$, this is an $\mathrm{O}_{s}$ atom]. However, the $2 p$ contribution of $\mathrm{O}^{(x)}$ is noticeably lower than that of other (i.e., not core-excited) O atoms coordinated with the aforementioned Mn . This result is somewhat counterintuitive, since we might expect the core-hole to attract the promoted electron mostly to the $\mathrm{O}^{(x)}$ atom. However, as a purely quantum-mechanical effect, the presence of a core-hole would lower the onsite energy of $O^{(x)}$, resulting in a reduction of its relative contribution to the antibonding orbitals responsible for these spectral peaks. By contrast, we see the largest $2 p$ contribution for the O atom on the opposite side of the Mn atom from the core-excited $O^{(x)}$, due to its farther distance from the core hole [evident in panels (d), (e) and (h)]. Notably, the MBXAS method captures the contributions of both the excited and occupied valence orbitals to these spectral features and leads to strong XAS intensities which would be underestimated with single-particle corehole approaches.

In short, the O $K$-edge spectrum of the pristine BCM crystal is generated from a non-trivial combination of contribution from the two different spin-channels of the two inequivalent O sites: $\mathrm{O}_{\mathrm{m}}$ and $\mathrm{O}_{\mathrm{s}}$. As summarized in Table 1, the low-energy features result mostly from promoting the O 1 s electron to orbitals of mixed $\mathrm{O}-2 p$ and $\mathrm{Mn}-3 d$ character, while the presence of $\mathrm{Ce}-4 f$ is noticed at relatively higher energies.

## (4) The Reduced BCM Crystal

As mentioned in the introduction, for the STCH operation, BCM is exposed to high-temperature in an inert atmosphere, resulting in removal of oxygen atoms and it is this reduced phase that takes part in $\mathrm{H}_{2}$ generation by splitting the $\mathrm{H}_{2} \mathrm{O}$ molecule. This prompts us to investigate the electronic structure of the thermallyreduced BCM crystal. In particular, owing to the high electronegativity of the O atom, bonding between O and metallic ligands typically involves concentration of electronic population around the former. It is then instructive to probe how the excess electronic population available in the system as a result of the oxygenremoval, arranges itself. On the experimental front, as shown in Fig. 13, O $K$-edge XAS reveals a marked reduction in the first-peak intensity in the reduced crystal in comparison with the pristine counterpart. A detailed theoretical analysis is required to shed light on the origin of such reduction.

For a vacancy at the $\mathrm{O}_{\mathrm{M}}$ site, the formation energy ( 2.7 eV ) is seen to be lower than that for a vacancy at the $\mathrm{O}_{\mathrm{s}}$ site ( 3.3 eV ), which indicates a higher probability for oxygen-removal from the $\mathrm{O}_{\mathrm{M}}$ site. This conclusion is also supported by experimental XAS studies presented in ref. ${ }^{20}$, which reports substantial change in the Mn L-nearedge spectrum as a function of temperature, implying a progressive reduction of the Mn atom. On the contrary, no major energy-shift is noted in the Ce $M$-edge spectrum. Therefore, in the rest of the paper, the theoretical treatment of electronic structure and XAS will be limited to a reduced structure with a vacancy at the $\mathrm{O}_{\mathrm{M}}$ site.


Fig. 9 Top left panel shows a diagrammatic representation of the reduced crystal structure with the oxygen-vacancy at an $O_{\mathrm{M}}$ site indicated by a dashed circle. The Mn atoms affected most drastically by the removal of $\mathrm{O}_{\mathrm{M}}$ are marked by ' $e^{-1}$, indicating the presence of excess electronic population (i.e., they are nominally reduced to $\mathrm{Mn}^{3+}$ ). The bottom left panel shows, for clarity, the relative positions of atoms close to the vacancy. Various atoms, which are subjected to further analysis, have been marked by colored arrows with labels in the right-hand-side panel. In the labels, the superscript red., which is short for "reduced" (dist., which is short for "distant") denotes an atom located near (far from) the $O_{\mathrm{M}}$ vacancy.

The structure of the reduced crystal (provided in the Supplementary Materials) is obtained by relaxing the ionic coordinates in presence of the oxygen vacancy. From Table 2 and Figure 9, it can be seen that the electronic population and the spinpolarization of the atoms nearest the oxygen vacancy (both Mn and O ) are noticeably larger than their counterparts in the pristine crystal. We label the
corresponding Mn atoms as $\mathrm{Mn}_{s}{ }^{(\text {red. })}$ and $\mathrm{Mn}_{\mathrm{M}}{ }^{(\text {red. })}$. Due to the vacancy, they are coordinated with 5 (as opposed to 6) oxygen atoms. We would expect that the removal of an O atom would leave behind two electrons on Mn sites. In fact, it is these neighboring Mn atoms, as well as the O atoms coordinated with them which gain electron density (Table 2), and so, we label them as reduced (red.). On the other hand, the more distant (dist.) atoms in the supercell show much smaller deviations in their electron populations. Thus, the excess electronic population available in the system as a result of oxygen-removal, remains local to the site of reduction. A combination of localized orbitals and dielectric screening leads to the effect of the oxygen-vacancy diminishing with increasing distance.

| Atom | Total Population | Spin Up | Spin Down | Polarization |
| :---: | :---: | :---: | :---: | :---: |
| Pristine |  |  |  |  |
| $\mathrm{Mn}_{\mathrm{S}}[\mathrm{C}]$ | 13.4731 | 5.1401 | 8.3330 | -3.1929 |
| $\mathrm{Mn}_{\mathrm{M}}[\mathrm{A}]$ | 13.5225 | 8.3287 | 5.1938 | 3.1350 |
| $\mathrm{O}_{\mathrm{S}}$ [D] | 6.5212 | 3.2817 | 3.2394 | 0.0423 |
| $\mathrm{O}_{\mathrm{M}}[\mathrm{B}]$ | 6.5680 | 3.2969 | 3.2711 | 0.0258 |
| Reduced |  |  |  |  |
| $\mathrm{Mn}_{S}{ }^{\text {(dist.) }}$ | 13.4734 | 5.1408 | 8.3325 | -3.1917 |
| $\mathrm{Mn} \mathrm{M}^{\text {(dist.) }}$ | 13.5217 | 8.3308 | 5.1909 | 3.1398 |
| $\mathrm{O}_{S}{ }^{\text {(dist.) }}$ | 6.5165 | 3.2822 | 3.2343 | 0.0479 |
| $\mathrm{O}_{\mathrm{M}}{ }^{\text {dist.) }}$ | 6.5658 | 3.2948 | 3.2710 | 0.0237 |
| $\mathrm{Mns}^{\text {(red.) }}$ | 13.5232 | 4.8637 | 8.6595 | -3.7958 |
| $\mathrm{Mn}_{\mathrm{M}}{ }^{\text {(red.) }}$ | 13.5875 | 8.6647 | 4.9228 | 3.7419 |
| $\mathrm{OS}_{S}{ }^{\text {(red.) }}$ | 6.5559 | 3.2970 | 3.2589 | 0.0381 |
| ${ }^{[1]} \mathrm{O}_{\mathrm{M}}$ (red.) | 6.6015 | 3.3209 | 3.2806 | 0.0403 |
| ${ }^{[2]} \mathrm{O}_{\mathrm{M}}{ }^{\text {(red.) }}$ | 6.6192 | 3.3203 | 3.2988 | 0.0215 |

Table 2 For various atoms of the pristine and the reduced crystal (column 1), the total electron population (column 2), spin-up population (column 3), spin-down population (column 3) and polarization (column 4) of valence electrons, calculated using Lowdin's scheme of population analysis. For the pristine crystal, the atoms
are labeled (A-D) in accordance with Fig. 1 while for the reduced structure, the color-convention used in Fig. 11 is maintained.


Fig. 10 Mn -3d PDOS plots contrasting contributions of atoms from the reduced crystal to those of atoms from the pristine one. The top (bottom) panel corresponds to the middle, i.e. M (side, i.e. S) site. The VBM is set to zero.

Fig. 10 showcases a comparison of the $3 d$ PDOS of different Mn atoms: $\mathrm{Mn}_{M}{ }^{\text {(red.) }}$ and $\mathrm{Mn}_{5}{ }^{\text {(red.) }}\left(\mathrm{Mn}_{\mathrm{M}}{ }^{\text {(dist.) }}\right.$ and $\mathrm{Mn}_{s}{ }^{\text {(dist.) }}$ ) present at the site of reduction (distant from the site of reduction). As expected, the PDOS of the distant atoms, $\mathrm{Mn}_{M}{ }^{\text {(dist.) }}$ and $\mathrm{Mns}_{s}{ }^{\text {(dist.) }}$, bear noticeable resemblance with their counterparts from the pristine structure, while those of the reduced atoms, $\mathrm{Mn}_{\mathrm{M}}{ }^{\text {(red.) }}$ and $\mathrm{Mn}^{(r e d .)}$, differ appreciably. From Table 2, it can be noted that, owing to their coordination with
fewer O atoms, the reduced Mn atoms have higher valence electronic population. This enhanced occupation elevates the unoccupied orbitals to higher energy and shifts their weight off the reduced Mn sites, as evident from the higher energy and lower intensity of the relevant Mn PDOS-peaks, respectively. For the pristine crystal, Fig. 3 reveals that for both of the Mn sites (i.e., $\mathrm{Mn}_{\mathrm{M}}$ and $\mathrm{Mn}_{\mathrm{s}}$ ), the unoccupied edge of the PDOS corresponds to the respective majority spin channels. Therefore, after the thermal reduction, the excess electron available to $\mathrm{Mn}_{\mathrm{M}}{ }^{(\text {red. })}$ and $\mathrm{Mns}_{s}{ }^{\text {(red.) }}$ can be expected to go to the majority spin channel. This is consistent with Table 2, which shows that the absolute values of the polarization of the reduced Mn atoms are higher than those of the pristine counterparts. In Fig. 10, this can be associated with the isolated PDOS contribution in the majority spin channel at the Fermi level ( 0 eV ).

## (5) OK-edge XAS of the reduced structure



Fig. 110 K-edge XAS of different oxygen sites of the reduced crystal. The left (right) panels correspond to $O_{M}\left(O_{S}\right)$ sites. In each panel, the shaded plots show the up and down spin contributions of the relevant $O$ atom, the solid black line shows the spinsummed spectrum, while the broken blue line represents the spectrum of an $\mathrm{O}_{\mathrm{M}} / \mathrm{O}_{S}$ atom from the pristine crystal.

O K-edge X-ray absorption spectra corresponding to core-excitation of different inequivalent oxygen sites is displayed in Fig. 11, along with counterparts from the pristine crystal, to facilitate comparison. Once again, the spectra of the distant atoms are very similar to those from the pristine crystal while those of O atoms close to the vacancy-site differ substantially. For ${ }^{[2]} \mathrm{O}_{\mathrm{M}}{ }^{\text {(red.) })}$, the up and down-spin spectra do not display any drastic asymmetry since both of its neighboring Mn atoms are 5 -fold coordinated due to the neighboring vacancy (i.e., they are $\mathrm{Mns}_{s}{ }^{\text {(red.) }}$ and $\mathrm{Mn}_{\mathrm{M}}{ }^{(\text {red. })}$ ). However, the increased electron population on these reduced Mn atoms raises the energy of their 3d orbitals, leading to a higher energy-difference between the corresponding $\mathrm{Mn}-3 d$ and the $0-2 p$ levels, effectively making the bonding more ionic (due to decreased orbital mixing). In accordance with the tightbinding formalism, this results in a reduced $\mathrm{O}-2 p$ contribution to the anti-bonding orbitals (see schematic in Fig. 12). Therefore, the intensity of the resultant ${ }^{[2]} \mathrm{O}_{\mathrm{m}}{ }^{(\text {red.) }}$ spectrum is lower than the $\mathrm{O}_{\mathrm{M}}$ counterpart from the pristine crystal. On the other hand, the ${ }^{[1]} \mathrm{O}_{\mathrm{M}}{ }^{\text {(red.) }}$ atom is coordinated with two Mn atoms among which, only $\mathrm{Mn}_{\mathrm{M}}{ }^{(\text {red. })}$, which has a positive spin-polarization, experiences a major enhancement in electron population. Mixing between ${ }^{[1]} \mathrm{O}_{\mathrm{M}}^{(\text {red. })}-2 p$ and $\mathrm{Mn}_{M}{ }^{\text {(red.) }}{ }^{-} 3 d$ orbitals is primarily responsible for the up-spin spectrum of ${ }^{[1]} \mathrm{O}_{M}{ }^{\text {red. })}$. Therefore, for ${ }^{[1]} \mathrm{O}_{M}{ }^{(\text {red. })}$, the first peak of the up-spin spectrum exhibits a noticeably higher energy and lower intensity compared to the down-spin counterpart. The energy-shift between the first peaks corresponding to these two spin-channels leads to a substantial reduction in the intensity of the resultant peak for ${ }^{[1]} \mathrm{O}_{\mathrm{M}}{ }^{\text {(red. })}$, as can be seen by comparing the panels (a) and (b) of Fig. 11. The peak intensity for $\mathrm{Os}_{s}^{(r e d .)}$ is also lowered in comparison with that of pristine $\mathrm{O}_{\mathrm{s}}$, although this lowering is less pronounced since, in the pristine as well as in the reduced crystal, only the first peak originates from mixing with Mn orbitals. The second peak, which corresponds to a different spin channel and is much lower in intensity, results mostly from mixing with Ce orbitals and therefore, does not experience any major change upon reduction. The up-spin peak of ${ }^{[1]} \mathrm{O}_{M}{ }^{\text {(red.) })}$, the down-spin peak of $\mathrm{O}_{s}{ }^{\text {(red. }), ~ a n d ~ b o t h ~}$ peaks of ${ }^{[2]} \mathrm{O}_{\mathrm{M}}{ }^{\text {(red.) }}$ are associated with orbitals resulting from the mixing of $0-2 p$ with the $3 d$ orbital of the reduced (i.e., 5 fold coordinated) Mn atom(s). The blueshift of these peaks, with respect to their counterparts in the pristine spectrum is consistent with previous reports ${ }^{21}$ and expected from the tight-binding consideration.

As discussed above, for all three O atoms near the site of reduction, i.e., for atoms ${ }^{[1]} \mathrm{O}_{\mathrm{M}}{ }^{(\text {red. }),},{ }^{[2]} \mathrm{O}_{\mathrm{M}}{ }^{\text {red. })}$ and $\mathrm{O}_{\mathrm{s}}{ }^{\text {red.) })}$, the first peak of the spectrum shows a reduction in
intensity. Therefore, the resultant O K-edge spectrum of the reduced crystal should exhibit lowering of the first peak intensity, although the exact ratio can be expected to depend on the percentage of oxygen-vacancies formed in the crystal. However, as shown in Fig. 13, at least in qualitative terms, the experimental O $K$-edge spectrum of reduced BCM corroborates the aforementioned conclusion of intensity-lowering.


Fig 12 Schematic diagram showing a reduction in the $0-p$ contribution to the antibonding orbital resulting from a higher population of Mn. To facilitate comparison, the O-p level is kept at the same energy. This effect is further exaggerated by the core-excited state, which draws down the $O 2 p$ orbital energies and enhances the ionicity, further reducing $O$ character in the antibonding orbital.


Fig 13 Experimental O K-edge absorption spectra of pristine and reduced BCM.

As a consequence of the reduction, in general the electronic population increases in the vicinity of the site of reduction. From the consideration of classical Coulombic interaction, this can be expected to result in a diminished contribution from the local DOS of these atoms to the low-energy conduction orbitals of the system. However, as we have seen in the case of the pristine crystal, quantum mechanical effects associated with orbital-mixing (within the tight-binding framework) can play a crucial role in deciding the character of the final orbital. In order to investigate further how the interplay of the $\mathrm{O}-2 p$ and $\mathrm{Mn}-3 d$ orbitals results in a reduction in the peak intensities for the $O^{(\text {red. })}$ atoms, we compare, for the reduced crystal,
A. the PDOS of $\mathrm{Mn}_{S}{ }^{\text {(dist.) }}$ - 3 d and $\mathrm{O}_{S}{ }^{\text {(dist.) }}-2 \mathrm{p}$ as a consequence of coreexciting the $\mathrm{O}_{\mathrm{s}}{ }^{\text {(dist.) }}$ atom (note that Fig. 11 indicates that this should be relatively similar to the pristine counterpart).
B. the PDOS of $\mathrm{Mns}_{s}{ }^{(\text {red. })}-3 \mathrm{~d}$ and $\mathrm{O}_{s}{ }^{(\text {red. })}-2 \mathrm{p}$ as a consequence of coreexcitation of the $\mathrm{Os}^{(\text {red. })}$ atom, against

For the final core-excited state corresponding to case [A], (case[B]), let us denote the KS orbital responsible for generating the peak at $9.94 \mathrm{eV}(10.34 \mathrm{eV})$ as $\phi^{A}\left(\phi^{B}\right)$. Further, let ${ }^{[A]} \mathrm{C}[\mathrm{Mn}]$ and ${ }^{[A]} \mathrm{C}[\mathrm{O})\left({ }^{[B]} \mathrm{C}[\mathrm{Mn}]\right.$ and $\left.{ }^{[B]} \mathrm{C}[\mathrm{O}]\right)$ denote, respectively, the contribution from the Lowdin-orthogonalized $\mathrm{Mn}_{s}{ }^{\text {(red.) }}-3 d$ and $\left.\mathrm{O}_{s}{ }^{\text {(red.) }}\right) 2 p$ ( $\mathrm{Mn}_{s}{ }^{\text {(dist.).) }}$ $3 d$ and $\left.\mathrm{O}_{s}{ }^{\text {dist.) }}\right) 2 p$ ) atomic-orbitals to $\phi^{A}\left(\phi^{B}\right)$. Note that the $\mathrm{O}_{s}{ }^{\text {(red.) })} 2 p$ and the $\mathrm{O}_{\mathrm{s}}{ }^{\text {ddist.) }}-2 p$ orbitals are responsible for the corresponding first-peaks in the absorption spectra shown in Fig. 11. The computed values of the aforementioned contributions reveal that
$\frac{{ }^{[A]} C[M n]}{{ }^{[B]} C[M n]}=0.86$ while $\frac{{ }^{[A]} C[0]}{{ }^{[B]} C[O]}=2.3$.
In other words, creation of the oxygen-vacancy results in a lowering of the contribution of the $2 p$ orbital of the core-excited $\mathrm{O}_{\mathrm{s}}$ atom at the conduction bandedge. Conversely, the contribution of the $\mathrm{Mn}_{s} 3 d$ orbital is enhanced. We note here that the difference in the Lowdin population of the $\mathrm{Mn}_{5}{ }^{\text {(red.) }}$ atom in case [B] (i.e., after core-excitation of $\mathrm{O}_{s}{ }^{\text {ddist.) }}$ ) and the $\mathrm{Mn}_{s}{ }^{\text {(dist.) }}$ atom in case [A] (i.e., after coreexcitation of $\mathrm{O}_{s}{ }^{(\text {red. })}$ ) is 0.06 while that between the corresponding core-excited oxygens is 0.01 . The higher contribution of the $2 p$ orbital of the excited oxygen far from the site of oxygen-vacancy essentially points to the fact that, owing to the higher electronic population of $\mathrm{Mn}^{\text {(red.) }}$ [see Tab. 2], the difference in the onsite energy between $\left.\mathrm{Mn}_{s}{ }^{(\text {red. })}\right)_{-} 3 \mathrm{~d}$ and core-excited $\mathrm{O}_{s}{ }^{\text {(red.) })}-2 \mathrm{p}$ in case $[\mathrm{A}]$ is higher than that between $\mathrm{Mn}_{S}{ }^{\text {(dist.) }}$ - 3 d and core-excited $\mathrm{O}_{\mathrm{S}}{ }^{\text {(dist.) }}-2 \mathrm{p}$ in case $[\mathrm{B}]$. Therefore, in accordance with the tight-binding prescription, in an anti-bonding orbital with mixed $\mathrm{Mn}_{s}-3 d$ and $\mathrm{O}_{s}-2 p$ character, the $\mathrm{O}_{s}-2 p$ contribution would be relatively lower in case [A]. This effect is demonstrated schematically in Fig. 12. The lower $\mathrm{O}_{S^{-}}$ $2 p$ contribution in case [A] would, in turn, result in a reduction in the intensity of the corresponding XAS peak.

To summarize, a qualitative theoretical analysis combining first-principles calculations and a tight-binding model reveals that the lowering of the first-peak intensity in the reduced crystal originates from a combination of two effects:

1. Lifting of degeneracy between the up and the down spin peaks for ${ }^{[1]} \mathrm{O}_{\mathrm{M}}{ }^{(r e d .)}$, as shown in Fig. 11, and
2. Reduction in the $0-2 p$ contribution to the unoccupied orbitals, as illustrated schematically in Fig. 12.


Fig. 14 Top panel shows the experimental O K-edge spectra of the pristine and the reduced crystal (same as Fig. 13). Bottom panel shows the simulated spectrum of the pristine crystal in black solid line (same as that shown in black line in Fig. 8(b). The broken blue line in the bottom panel shows a model spectrum calculated approximately for the reduced triple. Further details are provided in the text

Fig. 14 shows, along with the experimental counterparts, the simulated O $K$-edge spectrum for pristine BCM and a model spectrum for the reduced crystal. In the
latter, we have accounted, in an approximate manner, only for the O atoms coordinating the reduced triple (see Fig. 9 in the main manuscript). More
 $\left.)+2 \times I\left({ }^{[2]} \mathrm{O}_{\mathrm{M}}{ }^{(\text {red. })}\right)\right]$, where $\mathrm{I}\left(\mathrm{O}_{\mathrm{m}}\right)$ denotes the spectrum of the $\mathrm{O}_{\mathrm{m}}$ atom. Note that the actual spectrum of the reduced crystal will contain contributions from all 0 atoms present in the crystal, not just the O atoms coordinating the reduced triple. The contributions coming from all O atoms occupying inequivalent sites in the reduced crystal will, in principle, be different. Therefore, the final spectrum will depend, in a complicated manner, not only on the density but also on the distribution of the defects. If the thermal reduction results in removal of two oxygens coordinating the same triple, the spectra would likely be significantly different. Note, for example, that the first-peak of the experimental absorption spectra for the pristine and the reduced crystal are coincident on the energy-axis. On the other hand, in the $O K$-edge EELS spectra reported in Ref. ${ }^{20}$, a noticeable blueshift is reported with increasing thermal reduction, especially at $700^{\circ} \mathrm{C}$. As discussed in section (5), such a blueshift is expected in the spectral contribution of the $O^{(\text {red. })}$ atoms. Similarly, owing to the change in the anti-bonding orbital as well as spin-splitting, the $O^{(r e d .)} K$-edge spectra will experience a reduction in the intensity of the first peak. The degree of prominence of such features in the net experimental spectrum will, however, be dictated by the density and distribution of the oxygen vacancies and by the probe-depth and resolution of the experiment. Notably, the measured overall red-shift in the spectral features at and above 536 eV is reproduced in our simulations.

## Conclusion

In conclusion, with a combination of theoretical and experimental studies, in this paper we present a detailed description of the electronic structure of the $\mathrm{BaCe}_{0.25} \mathrm{Mn}_{0.75} \mathrm{O}_{3-\delta}$ crystal in its pristine and reduced form. The electronic structure is probed experimentally with the help of O K-edge XAS, which shows a substantial reduction in the first-peak intensity as a result of oxygen-removal. On the theory front, DFT based first-principles calculations are performed to obtain the PDOS and to plot, in real-space, the occupied and the unoccupied orbitals. On the basis of these ab initio results, we propose a simple scheme of orbital-mixing between the $O$ and the ligand orbitals consistent with the tight-binding model and the crystal field theory. Furthermore, using state-of-the-art spectroscopic simulations using
the MBXAS method, we provide an in-depth analysis of the O K-edge spectrum by associating the spectral peaks with the relevant unoccupied electronic orbitals. We show that the low-energy peaks can mostly be attributed to $\mathrm{O}-2 p$ and $\mathrm{Mn}-3 d$ mixing, while the presence of $\mathrm{Ce}-4 f$ orbitals are observed only at relatively higher energy. For the reduced crystal, our calculations reveal that, owing to dielectric screening, the change in electron-density is heavily localized around the site of reduction. At atomic sites distant from the oxygen-vacancy, the density is practically identical to that of the pristine counterpart. Finally, the simulated spectra replicate the qualitative lowering of the $K$-edge intensity for O atoms close to the vacancy-site. Within the tight-binding formalism, this can be explained in terms of the reduction in the relative contribution of these O atoms to the frontier unoccupied orbitals.
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# Supplementary Materials for "Investigating the Electronic Structure of Prospective Water-splitting Oxide BaCe ${ }_{0.25} \mathrm{Mn}_{0.75} \mathrm{O}_{3-\delta}$ Before and After Thermal Reduction" 

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## Details of XAS Experiment:

X-Ray absorption spectroscopy data was acquired at the Stanford Synchrotron Radiation Lightsource (SSRL). For analysis of the oxygen K-edge, collected on beam line 10-1, a thin layer of BCM powder was spread on carbon tape. Total fluorescence yield spectra were obtained with a silicon diode AXUV100. An oxygen reference spectrum was collected simultaneously with data collection at the oxygen edge for both (reduced and oxidized) samples. The sample data was put on an absolute energy grid for direct comparison by aligning the refence spectra and shifting the sample data correspondingly. Specific to this beamline, an agglomerate of chemical species resides permanently within the beam path for reference collection. Two spectra at three different spots were collected and averaged for each sample. Alignment and averaging were carried out in Athena ${ }^{1}$. Normalization was carried out with Igor Pro (Wavemetrics, Lake Oswego, OR, USA). O1s spectra were base line corrected by subtracting the minimum pre-edge value to bring preedge to 0 and then were divided by the average of the region after the main
features (after 547 eV ). In-situ heating experiments at the Mn K-edge and Ce Ledges were carried out on beamline 4-1 using an in-situ chamber fabricated at Sandia National Laboratory. All data was collected in fluorescence using a Ge array fluorescence detector. Three spectra were collected, normalized, aligned, and then averaged for each sample. For normalization, the pre-edge was fit to a line and the extended region fit to a 3 -term quadratic polynomial. Reference foils were collected simultaneously with sample data acquisition to enable accurate alignment of all spectra (to place all spectra on an absolute energy grid). Data normalization, alignment, and averaging was carried out in Athena ${ }^{1}$.

## Computational Details:

DFT based first-principles calculations of electronic structure are performed with the Quantum Espresso software package. Plane-wave energy cutoff of 35 eV and 280 eV are used for wavefunction and energy, respectively. PBE exchangecorrelation functional and Ultrasoft pseudopotentials have been used throughout. In calculations of the x-ray excited states, the core-excited oxygen atom is represented by a modified pseudopotential which encodes the effects of the corehole. Hubbard U values of 3.9 eV and 5.4 eV are used for the $\mathrm{Mn}-3 d$ orbitals and the Ce- $4 f$ orbitals, respectively. In order to mitigate the effects of the interaction between the periodic images of the core-excited atom, we use a large supercell in our XAS calculations (parameters provided below). Therefore, the self-consistent field calculations are performed at the $k=0$ point only. A uniform (i.e., independent of the incident frequency) broadening of 0.2 eV is used to generate the spectra. Results obtained using other energies for broadening are shown in Fig. S2. The relative alignment of the spectra of different O atoms is determined with the help of the total energies of the FCH states and the energies of the lowest unoccupied orbitals. If, for the FCH state corresponding to core-excitation of the $i$-th ( $j$-th) O atom, $E_{i}\left(\mathrm{E}_{j}\right)$ is the sum of the total energy and the KS energy of the lowest unoccupied orbital, then the onset-energy for the spectrum of the $i$-th O atom will be higher than that of the $j$-th O atom by ( $\left.\mathrm{E}_{\mathrm{i}}-\mathrm{E}_{\mathrm{j}}\right)$.


Fig. S1 Figure showing the PDOS of Mn and O atoms before and after the X -ray absorption process. Panel (a) and panel (b) show, respectively, the GS $2 p$ PDOS of an $O_{M}$ and an $O_{s}$ atom along with the 3d PDOS of the neighboring atoms. Panel (c) (panel(d)) shows, for the FCH state obtained by core-exciting an $\mathrm{O}_{\mathrm{M}}\left(\mathrm{O}_{S}\right)$ atom, the $2 p$ PDOS of the core-excited atom $O_{M}^{(x)}\left(O_{S}^{(x)}\right)$, along with the 3d PDOS of the neighboring Mn atoms.


Fig. S2 O K-edge spectrum of the pristine BCM crystal using different energies for broadening.


Fig. S3 The green and orange lines show, at the conduction band edge, the PDOS, respectively, of $\mathrm{Mns}_{s}{ }^{\text {(red.) }}$ ) $3 d$ and $\mathrm{O}_{s^{(r e d .)}}{ }^{\text {( }} 2 p$ corresponding to the SCF resulting from core-excitation of the $\mathrm{Os}_{s}{ }^{\text {(red.) }}$ atom. The brown and the red lines show the conduction
 excitation of the $O_{s}{ }^{\text {dist.) }}$ atom. The $O_{s}{ }^{\text {(red. })}-2 p$ orbital generates the first-peak in the $O_{s}{ }^{\text {(red.) }} K$-edge spectrum shown in Fig. 11 (bottom right panel) while the $O_{s}{ }^{\text {(dist.) }} 2 p$ orbital is responsible for the $\mathrm{Os}^{\text {(dists.) }}$ K-edge first-peak in Fig. 11 (top tight panel).

## Structure of the Crystal

## Superell Parameters

Lattice Parameter, $\mathrm{a}_{0}: 38.5438$ a.u.
Crystal axes in units of a $a_{0}$

$$
a(1)=(0.500000-0.866025-0.000065)
$$

$$
\begin{aligned}
& a(2)=\left(\begin{array}{lll}
0.500041 & 0.288699 & 0.000000
\end{array}\right) \\
& a(3)=\left(\begin{array}{lll}
-0.166698 & 0.288729 & 0.953047
\end{array}\right)
\end{aligned}
$$

Ionic coordinates of the pristine crystal in Angstrom ( Mn 1 and Mn 2 atoms are spinpolarized along opposite directions) :

| Ba | 3.3992982800040 | -5.8877571473726 | 5.9030879974125 |
| :--- | ---: | :---: | ---: |
| Ba | 1.6992753014839 | -2.9432306153339 | 15.6224917664166 |
| Ba | 8.4988409553240 | -2.9435347977983 | 5.9030879992436 |
| Ba | 6.7988179768038 | 0.0009917342405 | 15.6224917682477 |
| Ba | 8.4984193486792 | -14.7196939519691 | 5.9024215079341 |
| Ba | 6.7983963701591 | -11.7751674199303 | 15.6218252769381 |
| Ba | 13.5979620239991 | -11.7754716023947 | 5.9024215097652 |
| Ba | 11.8979390454790 | -8.8309450703559 | 15.6218252787692 |
| Ba | 3.3995090833264 | 0.0003224297127 | 5.9034212430673 |
| Ba | 1.6994861048063 | 2.9448489617515 | 15.6228250120713 |
| Ba | 8.4990517586464 | 2.9445447792871 | 5.9034212448984 |
| Ba | 6.7990287801262 | 5.8890713113259 | 15.6228250139024 |
| Ba | 8.4986301520016 | -8.8316143748837 | 5.9027547535889 |
| Ba | 6.7986071734815 | -5.8870878428449 | 15.6221585225929 |
| Ba | 13.5981728273215 | -5.8873920253093 | 5.9027547554200 |
| Ba | 11.8981498488014 | -2.9428654932706 | 15.6221585244240 |
| Ba | 5.0991316821485 | -2.9435103526960 | 3.8153160382894 |
| Ba | 3.3991087036284 | 0.0010161793427 | 13.5347198072935 |
| Ba | 10.1986743574684 | 0.0007119968783 | 3.8153160401205 |
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| Ba | 10.1982527508237 | -11.7754471572924 | 3.8146495488110 |
| Ba | 8.4982297723035 | -8.8309206252537 | 13.5340533178150 |
| Ba | 15.2977954261436 | -8.8312248077181 | 3.8146495506421 |
| Ba | 13.5977724476235 | -5.886982756793 | 13.5340533196461 |
| Ba | 5.0993424854709 | 2.9445692243894 | 3.8156492839442 |
| Ba | 3.3993195069508 | 5.8890957564281 | 13.5350530529482 |
| Ba | 10.1988851607908 | 5.8887915739637 | 3.8156492857753 |
| Ba | 8.4988621822707 | 8.8333181060025 | 13.5350530547793 |
| Ba | 10.1984635541461 | -5.8873675802071 | 3.8149827944658 |
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| Ba | 15.2980062294660 | -2.9431452306327 | 3.8149827962969 |
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| Ba | 6.8222463513704 | -5.8744341515775 | 11.2420076766913 |
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[^0]:    ${ }^{1}$ Lowest energy state of the system corresponding to core-ionization of the relevant atom.

[^1]:    ${ }^{2}$ The crystal structure is provided in the supplementary materials.
    ${ }^{3}$ https://www.webelements.com/cerium/atom sizes.html
    ${ }^{4}$ https://www.webelements.com/manganese/atom sizes.html

