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Article



### **Investigation of the Dynamic Buckling of Spherical Shell Structures Due to Subsea Collisions**

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**Abstract:** This paper is the first to present the dynamic buckling behavior of spherical shell structures colliding with an obstacle block under the sea. The effect of deep water has been considered as a uniform external pressure by simplifying the effect of fluid–structure interaction. The calibrated numerical simulations were carried out via the explicit finite element package LS-DYNA using different parameters, including thickness, elastic modulus, external pressure, added mass, and velocity. The closed-form analytical formula of the static buckling criteria, including point load and external pressure, has been firstly established and verified. In addition, unprecedented parametric analyses of collision show that the dynamic buckling force (peak force), mean force, and dynamic force redistribution (skewness) during collisions are proportional to the velocity, thickness, elastic modulus, and added mass of the spherical shell structure. These linear relationships are independent of other parameters. Furthermore, it can be found that the max force during the collision is about 2.1 times that of the static buckling force calculated from the analytical formula. These novel insights can help structural engineers and designers determine whether buckling will happen in the application of submarines, subsea exploration, underwater domes, etc.

**Keywords:** dynamic buckling; hydrostatic pressure; collision; spherical shell; robustness; dynamic stability; impact mechanics

#### 1. Introduction

Deep sea submarines have been used widely in many applications such as investigation, the exploration of oceanographic resources, military action, and so on [1–5]. In reality, all subsea structures are subjected to significant hydrostatic pressure [6,7]. Therefore, the pressure hull is the most important component of any deep submersible. It cannot only offer the capability for resisting the external load, it can also provide more workspace for captains or scientists. The shape of the hull is usually designed as a spherical shape [8,9]. In practice, the exploration vehicle usually works at a sea depth of 1.5–8.5 km under the mean sea level with a low speed [10–13]. During operations, a collision could occur between the submarine and any obstacle such as a rock wall, iceberg, cliff, or another submarine, as shown in Figure 1. The collisions can induce the damage of the submarine nose structure through the failure of components, and the unstable deformation or buckling of the spherical nose. The buckling behavior and buckling criterion of spherical shell structures subjected to collisions have attracted more research attention over recent years [14–16].

Many previous studies have been carried out that investigate spherical shells under a uniform pressure [17–20]. The first and most preeminent researcher who established the buckling theory is Timoshenko [21]. His classical conclusion was derived under the elastic material and small deformation assumption. Afterwards, many studies considering large deformation and more complicated loads

have been investigated [22–24]. Smith [25] evaluated the buckling of a multi-segment underwater hull. In his study, it was revealed that the collapse pressures from numerical analyses agreed well with all five experimental results obtained from the collapse test of a laboratory-scale mild steel structure. Zhang and Wang [26] studied the effect of thickness on the buckling strength of egg-shaped pressure hulls. The results showed that the egg-shaped pressure hulls seem to be applicable to deep sea manned/unmanned submersibles, especially to full ocean depth ones (11,000 m). Khakina [27] and Yu [28] studied the buckling load using the energy method for subsea collisions. However, they studied the static problem without dynamic effect.



**Figure 1.** The collision of exploration under water. (**a**) Exploration vehicle with ship; (**b**) Exploration vehicle under the sea.

It was reported that the deep pressure hull could be buckled without exceeding the limited strength under collision [29,30], which can be strongly affected by the shell thickness, collision velocity, and properties of materials [31,32]. Nevertheless, spherical pressure hulls are the most efficient and popular type for the deep-sea pressure hull structures [33–35], as the spherical hull has the lowest buoyancy factor (weight-to-buoyancy ratio) [36,37]. Liang and Shieh [38] reported the results of a numerical study on the optimal design of a multi-segment spherical hull, which contained details regarding the sensitivity analysis of the design variables, including the thickness and the inner radius of the rib-ring. Furthermore, Xu bai et al. [39] analyzed the capacity of the ring-stiffened cylindrical shell via the experimental method. The paper exhibited the influence on the stability of the cylindrical shell, but had little analytical discussion based on the derivations of the buckling loads of the cylindrical shell. Mackay et al. [40] studied the stability of submarines with and without artificial failure. Several numerical models to evaluate stability were established, but whether or not the results could be extended to the other applications was not discussed. Bich et al. [41] analyzed the nonlinear static and buckling of spherical shells under external pressure, incorporating the effects of temperature. They took von Karman-Donnell geometrical nonlinearity and the external pressure load without a concentrated load into account.

Based on the critical literature review, it appears that very few studies exist for the spherical shells that consider both external pressure and collision [42–44]. Therefore, this present paper is the first to reveal the dynamic buckling behavior for spherical shell structures experiencing the hydrostatic pressure and the concentrated dynamic force induced by subsea collision. This study employs ANSYS18.0 and LS-DYNA to establish the static and dynamic models, respectively. Parametric analysis has been carried out to determine and validate an explicit formulation for static critical buckling load. To obtain the relationship between dynamic buckling force and the static buckling force, the max and mean dynamic force over the duration of the collision are analyzed. The effects of the material and geometrical properties, external pressure, and added mass of the spherical shells are then investigated and discussed.

#### 2. Static Buckling Criteria

#### 2.1. Static Buckling Criteria

From the introduction above, the buckling critical pressure of the spherical shell under a uniform external pressure load based only on the elastic material can be written as Equation (1) [45]:

$$q_c = \frac{2E}{\sqrt{3(1-v^2)}} \left(\frac{t}{R}\right)^2,$$
(1)

where *E*, *v* are the elastic modulus and the Poisson ratio of the materials, respectively. *t* and *R* are the thickness and the radius of the shell, respectively. However, surprisingly, it is important to note that there is no buckling critical force for the spherical shell under concentrated load only.

$$p^* = p \cdot R / Et^3 \tag{2}$$

By defining  $p^*$  in Equation (2), where p is the concentrated load, it can be found [45] that the slope of reaction force–deflection curve changed rapidly at around  $p^* = 2.0$ . Thus, there is no analytical buckling critical load for the spherical shell under both external pressure and concentrated load [46–49]. From the report [45] mentioned above, the critical force  $p_c$  as a critical point load situation can be defined by  $p^* = 2.2$ . Clearly, the critical point load varies with different pressure, so that the buckling criteria is the function of both point load p and external uniform pressure q. In this paper, the critical formulation can be expressed as Equation (3), satisfying two limitations:

$$F(p,q) = 0, \ p|_{q->0} = N, \ q|_{p->0} = q_c \tag{3}$$

Considering that there is no buckling when only the shell is subjected to the point load, it should be noted that the *N* should be a very large or infinite value. The function can be determined by experimental or numerical simulation data via regression analysis [50,51].

#### 2.2. Verification of FEM Model

The evaluation result of the spherical shell under concentrated load is shown in Figure 2, which can be verified by the FEM model. The model is based on a perfect hemispherical shell with a radius of 5 m and a thickness of 0.1 m. The displacement has been applied at the apex of the shell, with the bottom of the shell fixed in all of the X-Y-Z directions, as shown in Figure 2a. The ideal elastic–plastic model has been adopted as the constitutive model in which the elastic modulus is 210 GPa, the yield stress is 750 MPa, and the Poisson ratio is 0.23, as shown in Figure 2b. The Shell181 element in ANSYS 18.0 is used with the large displacement option on. Figure 2c shows a comparison plot of the reaction force of the apex versus the deflection ratio, where the deflection ratio was defined as  $\omega/t$ , and  $p^*$  was defined as shown in Equation (2).

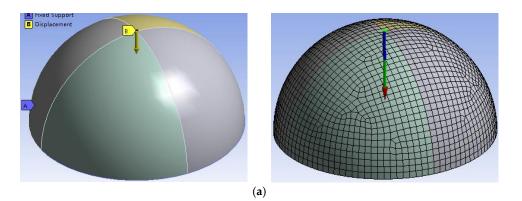
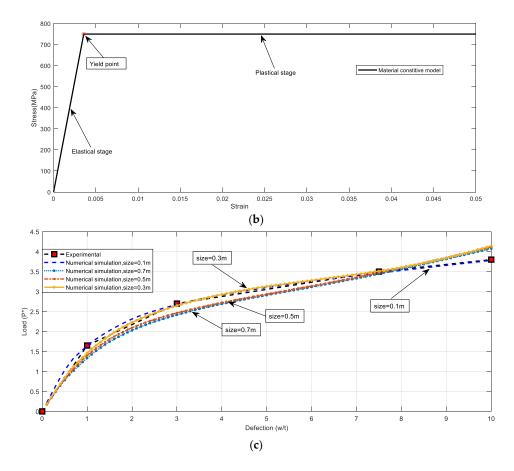


Figure 2. Cont.



**Figure 2.** The verification of the model and the result. (a) The geometry and finite element model (FEM) of structural shell for analysis; (b) The material constitutive model for FEM simulation; (c) The plot of reaction load vs. defection (0.3 m).

Figure 2c shows the comparison of the reaction force at the apex point versus the corresponding displacement between the numerical data and experimental results from Sabir [49]. In order to demonstrate the influence of the discretization, the numerical result with different meshing size is displayed. Since the results of size = 0.3 m and size = 0.1 m are the same, the size 0.3 m has thus been adopted in this paper. It can be concluded that the results agree very well, and the result trend is not linear or bilinear, but rather is irregular. At the beginning, the slope is steep, and after p' at around 2.2, the slope becomes very mild. Thus, the value of  $p_c$  can be defined by  $p^* = 2.2$ .

#### 2.3. Static Simulations

#### 2.3.1. Model

Several numerical simulations have been conducted to validate the precise expression of buckling formulation and the relevant parameters by regression analysis. The models have simulated identical parameters to the initial studies with thicknesses of 0.05 m and 0.10 m, respectively. In order to obtain the critical load data, including pressure and point load, the different uniform pressure and displacement have been applied 1.0 m at the apex [52–54]. The bottom of the shell is set to be fixed, as shown in Figure 2a.

Figure 3 shows the typical results of reaction force versus the corresponding displacement. When the displacement is at 0.10 m, the reaction force reaches its maximum value. Then, it decreases with the increment of displacement, implying that the shell has been buckled. Note that the point load has to be in the inverse direction (negative value) in order to enable the large deformation. The buckling load

can be obtained as p = 9.8 MN with q = 16 MPa. In addition, the von Mises stress at the apex versus the displacement is depicted in the same figure. The maximum stress is about 415 MPa, which is less than the yield stress, considering that the structure may be failed for either exceeding the limited strength or buckling without exceeding the limited strength, so that the material behaves as an elastic model before buckling.

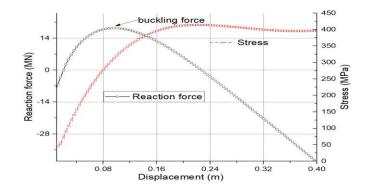


Figure 3. The typical plot of reaction force vs. displacement.

#### 2.3.2. Results

According to the model described above, the buckling forces with corresponding pressures in accordance with the different parameters can be obtained. All of these simulation results with different uniform pressure and concentrated load at the beginning of buckling are shown in Tables 1 and 2.

Case 1	$p_c/q_c$	<i>p</i> (MN)	q (MPa)	Case 2	p <sub>c</sub> /q <sub>c</sub>	<i>p</i> (MN)	q (MPa)
		3.5	7.8			78	8.0
		5.0	5.2			53	14
t = 0.05  m	n = 11.0	8.9	2.0	t = 0.10  m	n <u> </u>	39	24
<i>E</i> = 210 GPa	$p_c = 11.0$ $q_c = 11.5$	12	1.6	<i>E</i> = 210 GPa	$p_c = 88$ $q_c = 48$	31	26
$\nu = 0.3$	$q_c = 11.5$	15	1.35	$\nu = 0.3$	$q_c = 40$	26	32
		21	1.05			22	35
		26	0.72			18	42

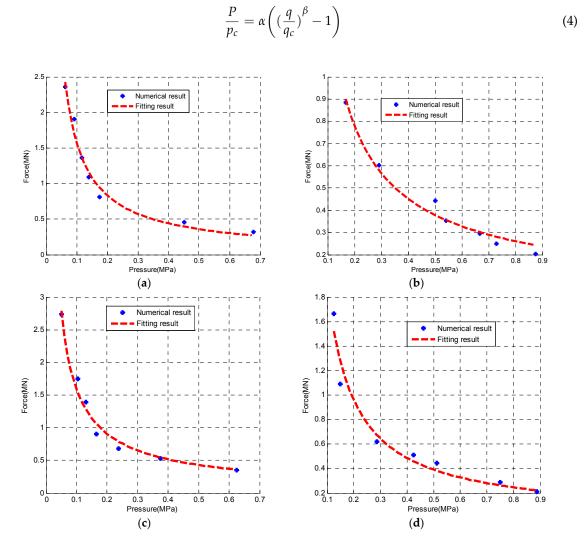
**Table 1.** The result of criteria force with R = 5.0 m.

**Table 2.** The result of criteria force with R = 3.0 m.

Case 3	$p_c/q_c$	<i>p</i> (MN)	q (MPa)	Case 4	$p_c/q_c$	<i>p</i> (MN)	q (MPa)
		49.2	1.6			75	10
		31.5	3.3			49	12
t = 0.05  m	$\eta_{a} \equiv 10$	25.1	4.2	t = 0.05  m E = 500  GPa	$p_c = 45$ $q_c = 80$	28	23
<i>E</i> = 200 GPa		16.2	5.3			23	34
$\nu = 0.23$	$q_c = 32$	12.3	7.6	$\nu = 0.23$	$q_c = 80$	20	41
		9.6	12			13	60
		6.3	20			9.6	71

#### 2.3.3. Regression Analysis

Figure 4a–d shows the relationship of reaction force versus pressure, according to the data of Tables 1 and 2. In order to obtain the precise function, the results are fitted based on the same function by using the regression analysis method. It can be obtained that the function of Equation (3) can be written as Equation (4) with two parameters of  $\alpha$ ,  $\beta$ , which satisfy the limitation of Equation (3) totally when  $\beta < 0$ ,



**Figure 4.** The plot of reaction force vs. pressure with fitting function. (**a**) Case 1; (**b**) Case 2; (**c**) Case 3; (**d**) Case 4.

#### 2.4. Buckling Criteria Analysis

All of the results of regression analyses are shown in Table 3. The average values of  $\alpha$  and  $\beta$  are 0.208 and 0.875. For convenience in application, the parameters of Equation (5) can be admitted as  $\alpha = 0.2$  and  $\beta = -0.99$ .

Table 3. Fitting results of Equation (4).

Case	1	2	3	4
α <b>β</b>	$0.190 \\ -0.919$	$0.219 \\ -0.789$	0.226 -0.811	$0.198 \\ -0.981$

#### 2.5. Discussion on Static Buckling

According to the results of Table 3, Equation (4) can be re-written as Equation (5). It should be noted that when the force p and pressure q satisfy the formula in Equation (5), the static buckling will incur. The pressure p tends to be an infinite value, as the pressure q tends to be zero. In such a case,

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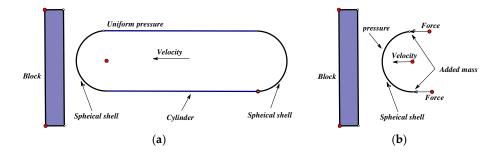
the buckling force does not exist if the pressure is very small. Similarly, Sabir [49] also showed that when q' < 0.05, the buckling would not be induced.

$$p' = p/p_c, q' = q/q_c, p' = 0.2(q'^{-0.9} - 1)$$
(5)

#### 3. Dynamic Buckling Criteria

#### 3.1. Model

The dynamic model has been established by using two segments, including a spherical shell and a cylinder, as depicted as Figure 5a. The nose structure of the submarine can be simplified as a model of a spherical shell with added mass, as illustrated as Figure 5b. The obstacle block in both models has been simulated by solid elements. The shell structure of the submarine nose is modeled using shell elements in LS-DYNA [55]. The material for the obstacle block is set to be the rigid material with *E* = 35 GPa and a density of 2400 kg/m<sup>3</sup>. For the cylinder, it is usually reinforced by ring bars, a stiffener, or other measures. It is also considered as a rigid body with a thickness of 0.04 m and a density of 7800 kg/m<sup>3</sup>. The spherical shell in both models is assumed to behave as an elastic material with *E* = 210 GPa and a density of 7800 kg/m<sup>3</sup>. The added mass is set to 35,800 kg, which can be calculated from the total mass of the cylinder model. In addition, the effect of the strain rate was neglected in this study on the basis of 0.03 m and an external pressure of 20 MPa, which implies that the submarine is considered to be in operations at the depth of 2.0 km under the sea.

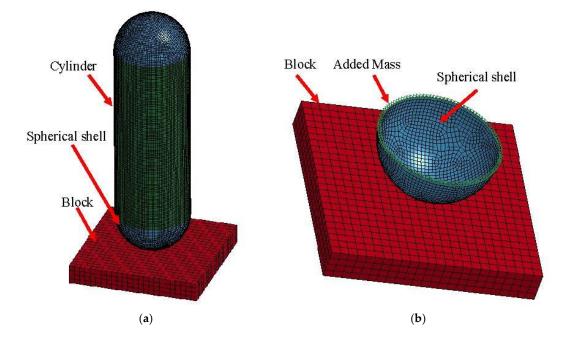


**Figure 5.** The geometry and mesh model for the cylinder and spherical models. (**a**) The plan view of the cylinder model; (**b**) The plan view of the spherical model.

The external pressure can be calculated by Equation (6):

$$q = \rho g \cdot \mathbf{h},\tag{6}$$

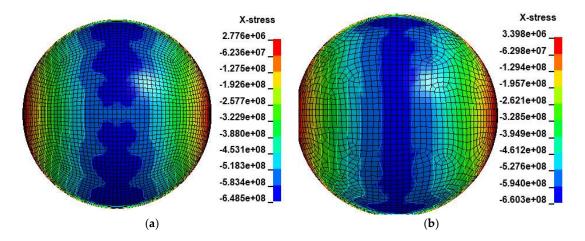
where  $\rho$ , g, and h are the density of water and gravity acceleration and the height under the sea, respectively. The pressure is then applied to the surface of the shell, as illustrated in Figure 5a,b. The initial velocity was set to be in the Z direction, which is normal to the rigid block. Since the shell structure is not a closure surface, there should be a concentrated force applied together with the added mass to resist the external pressure. The total force value was  $q\pi R^2$ . Figure 6a,b shows the details of the meshes for both models. There are 7935 shell elements and 2600 solid elements after optimal meshing. Since the pressure load exists at all times, the static analysis under the pressure will only be carried out as the precursor prior to the dynamic analysis in LS-DYNA [56–58].



**Figure 6.** The geometry and mesh model for the cylinder and spherical models. (**a**) The mesh of the cylinder model; (**b**) The mesh of the spherical model.

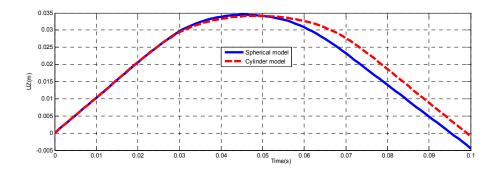
#### 3.2. Comparison of Two Models

Figure 7 shows the initial state of X-stress, which is caused by the static pressure only without the time effect. Figure 7a shows the X-stress of the cylinder model, while Figure 7b shows that of the spherical shell model. It can be found that the maximum stress for each model is -648 MPa and -660 MPa (the negative sign implies that the member is in a compression state), respectively, which only has a 2.0% discrepancy. In addition, the X-stress at the apex will be qR/2t = 650 MPa. The result agrees very well.



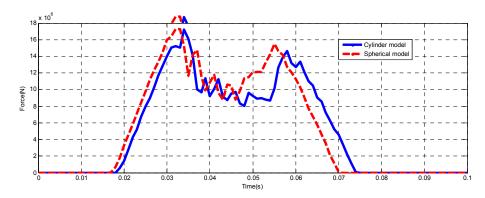
**Figure 7.** The initial state of X-stress (Pa) with R = 2.0 m, t = 0.03 m, q = 20 MPa, v = 4 m/s. (a) Cylinder shell model; (b) Spherical model.

Figure 8 illustrates the displacement in the velocity direction versus time in the cases of both the cylinder and spherical shell, respectively. This figure depicts that the displacements are identical before contact (t < 0.02 s), and almost the same during the contact time (0.02 s < t < 0.06 s); lastly, it has very small discrepancy after contact (t > 0.06 s).



**Figure 8.** The plot of displacement vs. time with R = 2.0 m, t = 0.03 m, q = 20 MPa, and v = 4 m/s.

Figure 9 presents the time history of contact forces during collision or impact. The max forces are 17.3 MN and 17.1 MN, and the mean forces are and 9.93 MN and 9.89 MN, respectively. Therefore, it can be observed that the contact forces can be considered identical values.



**Figure 9.** The plot of contact force vs. time with R = 2.0 m, t = 0.03 m, and q = 20 MPa.

Figure 10a depicts the time history of the kinetic energy. It shows that the kinetic energy is almost the same for both cases up until the half of the contact time (t < 0.045 s); then, there is some time delay (phase difference) between the cases of the cylinder model and the spherical model. After the impulse contact, the values of kinetic energy remain mostly the same (t > 0.08). Figure 10b depicts the internal energy and total energy time history of both models. It could be noticed that the internal energy of the cylinder is two times of that of the spherical shell model before collision (t < 0.02). Note that the cylinder is set to be the rigid material, so that it cannot absorb energy [59]. Nevertheless, the changing trends of the internal energy are the same.

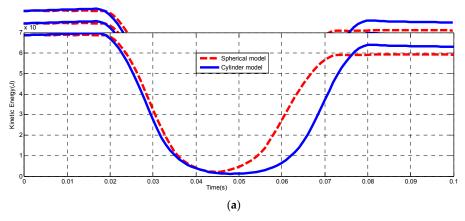
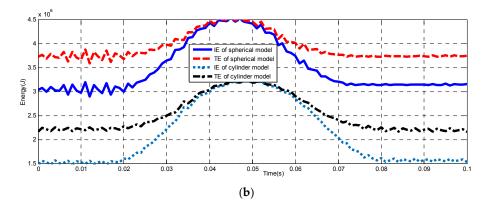


Figure 10. Cont.



**Figure 10.** The plot of energy vs. time with R = 2.0 m, t = 0.03 m, q = 20 MPa, and v = 4 m/s. (a) Kinetic energy; (b) Internal energy and total energy.

According to the discussion above, it can be seen that all of the physical quantities of force, stress, displacement, and energy of both models could be the same. Thus, it is necessary to consider the spherical model instead of a simplified cylinder model in order to determine the dynamic buckling of the structure subjected to the collision impacts.

#### 3.3. Relationship between Static Force and Dynamic Force

From Section 2 above, the static buckling force, including point load and pressure load, can be calculated by Equation (6). To identify the buckling under the dynamic force of collision impacts, the relationship between the static force and dynamics force should be established.

#### 3.3.1. Methodology

In this section, the relationship between the static and dynamic force is investigated. Figure 11 illustrates the schematic plot of the calculation for the impulse, which is referred to as Im, the average force, which is referred to as  $f_m$ , and the max force, which is referred to as  $f_p$ . The average force and impulse can be calculated by Equation (7) as follows:

$$\operatorname{Im} = \int_{t_1}^{t_2} f(t) dt, f_m = \operatorname{Im}/\Delta t$$
(7)

where  $t_1$  and  $t_2$  are the beginning and end of the collision procedure,  $\Delta t = t_2 - t_1$ , and f(t) is the contact force, which is the variable with respect to time during the contact. The skewness of the contact force is measured by  $k_s = f_p/f_m$ . The ratio between the max and mean force represents the dynamic contact load redistribution or the skewness of the dynamic collision force during the impact.

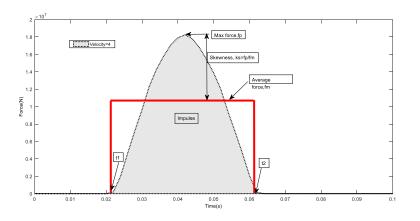


Figure 11. The typical plot of force vs. time.

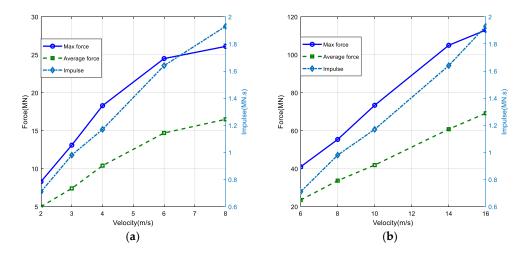
#### 3.3.2. Parametric Effect Due to Velocity

Table 4 presents the statistical data of the dynamic forces under different velocities. The results of  $\Delta t$ , the maximum force, and the average force are calculated as described above.

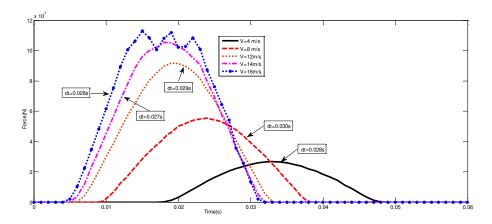
Case	Vel	$\Delta t$	fp	fm	$k_s$	Im	Case	Vel	$\Delta t$	f <sub>p</sub>	$f_m$	$k_s$	Im
<i>R</i> = 2.0	2	0.044	8.3	5.0	1.67	0.22	<i>R</i> = 2.0	6	0.030	41.0	23.5	1.74	0.71
	3	0.044	13.1	7.4	1.74	0.33		8	0.029	55.4	33.7	1.64	0.98
E = 210	4	0.042	18.3	10.4	1.75	0.44	E = 210	10	0.028	73.4	41.9	1.75	1.17
$ \nu = 0.15 $ t = 0.04	6	0.044	24.5	14.7	1.67	0.65	$\nu = 0.15$	14	0.027	105	60.8	1.73	1.64
t = 0.04	8	0.052	26.1	16.5	1.59	0.86	t = 0.06	16	0.028	113	69.2	1.63	1.93

Table 4. The statistic of the contact forces under different cases (unit: MN, GPa, meters, s).

Figure 12 shows the relationships between forces and velocity simulated under various cases of thickness at 0.04 m and 0.06 m. Figure 13 shows the time history of forces for the cases of impact velocities of 12 m/s, 14 m/s, and 16 m/s, respectively. It should be noted that when the velocity is larger than 14, the shell structure can be considered to be buckled already. According to Figure 13 and Table 4, the duration and impulse of collision contact is almost the same before buckling, and the skewness ratio of  $k_s$  is almost the same as well, which implies that the contact forces have the same shape functions under a variety of impact velocities.



**Figure 12.** Effect of velocity on forces and impulse, with t = 0.04 m and 0.06 m. (a) t = 0.04 m; (b) t = 0.06 m.



**Figure 13.** Collision force vs. time with velocities of 12 m/s, 14 m/s, and 16 m/s, and t = 0.06 m.

In addition, it can be observed that the maximum force and the mean force during collision are proportional to the velocity before dynamic buckling incurs, and the impulse is also proportional to the velocity. To identify the skewness ratio, both the maximum force and the mean force can be written in the same function as Equation (8) with different coefficients:

$$f_p = k_v \cdot v, f_m = km_v \cdot v \tag{8}$$

where  $k_v$  and  $km_v$  are the proportional ratio. The results of regression analysis are shown in Table 5. The skewness can be descripted by the ratio of  $k_s = k_v/km_v$ , which can be considered as a constant value of 1.70. On this ground, it can be concluded that the maximum force and the average force comply with the same rules, and the ratio is dependent on the thickness. Moreover, the ratios of  $k_v$  and  $km_v$  in different cases of t = 0.06 m and 0.04 m are 1.5 and 1.6, respectively, which are almost the same as the identical ratio over the corresponding thickness. It is important to note that Equation (8) is independent of the other parameters.

Table 5. The result of regression analysis.

Thickness	$k_v$	$km_v$	k <sub>s</sub>
0.06 m	7.0	4.2	1.67
0.04 m	4.6	2.6	1.73

#### 3.3.3. Parametric Effect Due to Thickness

The simulations are carried out using the identical parameters with the radius of 2.0 m, the elastic modulus of 210 GPa, a Poisson ratio of 0.15, the external pressure of 15 MPa, and the different thickness parameters of 0.03 m, 0.04 m, 0.05 m, and 0.06 m, respectively. For the case of a small thickness of 0.03 m, the impact velocities are set to be 1.0 m/s and 2.0 m/s, whilst in the other cases, the velocities are set to be 4.0 m/s and 8.0 m/s.

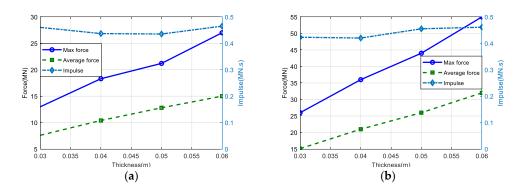
Table 6 presents the relationship between the contact force and the thickness. It should be noted that the impact duration is different in each case of thickness, since the shell thickness can change the contact stiffness. To compare the effect of the shell thickness, the contact forces due to an impact velocity of 1.0 m/s are visualized over the data derived from an impact velocity of 4.0 m/s, as illustrated by Equation (6) and Table 4 (previously discussed in Section 3.3.2).

Table 6. The statistics of the contact forces in different thickness cases (unit: MN, GPa, m).

Case	t/v	$\Delta t$	fp	fm	Im	Case	t/v	$\Delta t$	fp	fm	Im
<i>R</i> = 2.0	0.03/1	0.063	3.07	1.91	0.12	R = 2.0	0.03/2	0.062	5.7	3.5	0.22
E = 210	0.04/4	0.042	18.3	10.4	0.44	E = 210	0.04/4	0.042	18	10	0.42
$\nu = 0.15$	0.05/4	0.034	21.2	12.8	0.44	$\nu = 0.15$	0.05/8	0.035	44	26	0.91
q = 15	0.06/4	0.031	27.0	15.0	0.47	q = 15	0.06/8	0.029	55	32	0.93

Figure 14a shows the relationship between force versus impulse and the shell thickness considering the velocity of 4.0 m/s. Figure 14b shows the same for the velocity of 8.0 m/s. It can be seen that the impulse is almost the same, despite the differences in impact duration. It can be found that the relationship can be expressed as a linear relation as written in Equation (9), considering the results from the various conditions shown in Table 7, where,  $k_t$  and  $km_t$  are the coefficients of maximum force and mean force, and  $C_t$  and  $Cm_t$  are a constant. When the impulses are almost identical, it is noted that the higher the max force, the shorter the time duration.

$$f_p = k_t \cdot t + C_t, f_m = km_t \cdot t + Cm_t \tag{9}$$



**Figure 14.** Effect of shell thickness on forces and impulse at impact velocities of 4.0 m/s and 8.0 m/s. (a) 4.0 m/s; (b) 8.0 m/s.

Table 7. The regression analysis into the effect of shell thickness.

Velocity	$k_t$	$C_t$	Km <sub>t</sub>	$Cm_t$	$k_t/km_t$	$C_t/Cm_t$
4 m/s 8 m/s	449 950	-0.33 -2.5	265 556	$-0.38 \\ -1.5$	1.69 1.67	1.69 1.72
0 111/ 5	950	-2.5	550	-1.5	1.07	1.72

According to the results shown in Table 7, it can be found that the ratio of  $k_t/km_t$  to  $C_t/Cm_t$  can be considered as a fixed value at 1.70, which is the average value of 1.72, 1.69, 1.67 and 1.72; thereby, the maximum force and the mean force can be expressed using an identical formulation,  $f_p = k_s \cdot f_m$ . Furthermore, it can be established that the contact forces during collisions have an identical shape function, despite the differences in shell thickness. The ratios of  $k_t$  to  $km_t$  under different cases of v = 4.0 and 8.0 m/s are 0.47 and 0.48, respectively, which are almost identical to the ratio of the corresponding velocity. Thus, it can be evident that Equation (9) is independent of the other parameters.

#### 3.3.4. Effect of Elastic Modulus and External Pressure

Numerical simulations have been carried out for evaluating the effects of elastic modulus and external pressure. The shell models have adopted the radius of 2.0 m, a Poisson ratio of 0.15, the external pressure of 15 MPa, the velocity of 3.0 m/s, and thicknesses of 0.04 m and 0.05 m, with a variety of elastic moduli and different pressures, respectively. Note that *e* is the elastic modulus ratio, which is defined as e = E/210.

Table 8 presents the relationship between the contact forces and elastic modulus ratios together with external pressures. It should be noted that the duration time of impulse contact decreases with the incremental increase of elastic modulus (or contact stiffness), but the duration time remains the same over a variety of external pressure values.

_												
_	Case	е	$\Delta t$	fp	fm	Im	Case	q	$\Delta t$	fp	fm	Im
	<i>R</i> = 2.0	0.7	0.055	6.8	4.1	0.23	<i>R</i> = 2.0	1.0	0.043	8.4	5.2	0.22
	t = 0.04	1.0	0.046	8.2	5.0	0.23	t = 0.06	1.5	0.046	8.2	5.0	0.23
	q = 15	1.5	0.036	10.5	6.4	0.23	e = 1.0	2.0	0.047	8.0	4.9	0.23
	v = 3.0	2.0	0.033	12.2	7.2	0.24	v = 3.0	2.5	0.048	7.8	4.8	0.23

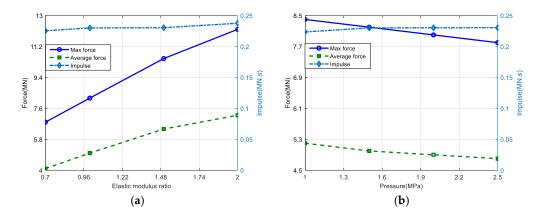
Table 8. Results with different elastic ratios and external pressures (GPa, MN, meters).

Figure 15a illustrates the relationships between force and elastic modulus ratios, while Figure 15b illustrates the relationships between forces and external pressures. Based on the results obtained, the contact forces comply with Equation (10), while the impulses remain the same over different

cases. These results imply that the exchange of impact momentum for every case under various elastic modulus ratios or different external pressures is identical.

$$f_p = k_e \cdot e + C_e, f_m = km_e \cdot e + Cm_e \tag{10}$$

where  $k_e$  and  $km_e$  are the proportional coefficients. The results of regression analysis are shown in Table 9. It is apparent that the ratio of  $k_e/km_e$  and  $C_e/Cm_e$  can be considered as a constant value of 1.70, and the slope of the force over the pressure relationship is relatively very small. It can be evident that the dynamic forces are constant, regardless of the changes in external pressure. The maximum force and the mean force can be expressed in accordance with the analytical formulation,  $f_p = k_s \cdot f_m$ , where  $k_s$  is 1.70 without the effect of the elastic modulus ratio. It is also clear that the contact forces during collision impacts have an identical shape function for all of the cases of the various elastic modulus ratios.



**Figure 15.** Effect of elastic modulus and external hydraulic pressure on forces and impulse. (**a**) Force vs. elastic modulus ratio; (**b**) Force vs. external pressure.

Table 9. The regression analysis into the effect of elastic moduli and external pressure.

Thickness	k <sub>e</sub>	Ce	Km <sub>e</sub>	Cm <sub>e</sub>	$k_e/km_e$	$C_e/Cm_e$	Remark
0.04 m	4.2	4.0	2.4	2.4	1.75	1.67	Elastic
0.06 m	-0.4	8.8	-0.26	5.4	1.67	1.72	Pressure

#### 3.3.5. Parametric Effect of Added Mass

The simulations have been carried out using the shell radius of 2.0 m, a Poisson ratio of 0.15, the external pressure of 15 MPa, the velocity of 3.0 m/s, and thicknesses of 0.04 m and 0.06 m with different added mass ratios. It should be noticed that the mass ratio can be calculated as Equation (11), where *M* is the added mass, and  $M_0 = 35,800$  kg. The different mass ratio can simulate the different weights of the submarine.

$$m = M/M_0 \tag{11}$$

Table 10 presents the relationship between forces and mass ratios. It should be noticed that the duration time of contact increases with the incremental increase of the mass ratio. From the regression analysis, it can be obtained that the maximum force and the mean force can be expressed in Equation (12), where the  $k_M$ ,  $C_M$ ,  $km_M$ , and  $Cm_M$  values are the proportional coefficients respective to the mass ratios.

$$f_p = k_M \cdot m + C_M, f_m = km_M \cdot m + Cm_M \tag{12}$$

Case

t = 0.04R = 2.0

E = 210

other parameters.

т 0.5

1.0

1.5

0.042

0.050

18.3

21.4

10.4

12.5

<b>He 10.</b> 116	e statisti		le in uni	erent cases (	unn. wi	IN, GI a, II	leters).		
$\Delta t$	f <sub>p</sub>	$f_m$	Im	Case	т	$\Delta t$	f <sub>p</sub>	$f_m$	Im
0.033	13.1	7.8	0.26	t = 0.06	0.5	0.027	20.3	12.2	0.33

R = 2.0

E = 210

1.0

1.5

0.031

0.034

26.7

32.2

15.2

19.4

0.47

0.67

Table 10. The statistic of force in different cases (unit: MN, GPa, meters)

0.44

0.63

	v = 4.0	2.0	0.057	24.4	14.2	0.81	v = 4.0	2.0	0.039	36.4	21.6	0.84
-												
	Figure 16	a illust	rates the	e relati	onship	betwe	en the may	kimum	force ar	nd the	mean	force over
a va	ariety of m	ass rat	ios, and	Figure	e <mark>16</mark> b il	lustrat	es the relat	ionship	of both	n forces	s versu	s external
pre	ssures. It i	s appa	rent that	t both	the ma	iximun	n force and	the m	ean forc	e com	ply wit	h a linear
fun	ction, as ex	pressec	l by Equ	ation (	12). In	additio	n, the ratio	s of $k_M$	$/km_M$ at	nd $C_M$	$Cm_M$	are almost
the	same value	of 1.70	. It can b	e obsei	rved th	at in th	e cases of di	ifferent	added n	nasses,	the con	tact forces
hav	e an identic	al skev	vness rat	io. Fur	thermo	re, the	ratios of $k_M$	and kn	$n_M$ in dif	fferent	thickne	ss cases of
t = 0	0.04 meters	and $t =$	= 0.06 me	ters are	e 0.6 an	d 0.66 1	respectively	, which	are almo	ost ider	ntical to	the ratios
of t	he correspo	nding	thicknes	ses. Th	nus, it c	an be c	oncluded t	hat Equ	ation (1	2) is in	depend	lent of the

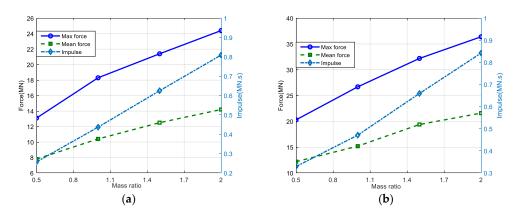


Figure 16. Effect of structural mass on forces and impulse. (a) Thickness 0.06; (b) Thickness 0.04.

#### 3.3.6. Contact Force Principle

Based on the results obtained earlier, the average force during the collision contact is proportional to the thickness and velocity, and it is linear to the elastic modulus and added mass based on the elastic material. Thus, the force can be written as Equation (13):

$$f_{p} = (k_{M}m + C_{M})(k_{t}t)(k_{v}v)(k_{E}e + c_{e})$$
(13)

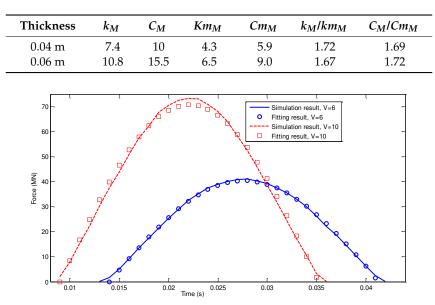
Combining equations (8) and (12), and taking into account the data in Tables 4–11, the formula can be established precisely, and it can be used to solve for a variable when knowing the other parameters. Simultaneously, the collision contact forces have the almost same skewness ratio during collision. Thus, the mean force can be calculated by Equation (14):

$$f_p = 1.70 f_m \tag{14}$$

$$f(t) = f_p \sin(\frac{\pi}{\Delta t}t) \tag{15}$$

In addition, since the contact forces in various cases using different impact velocities have the same shape function, the contact forces can thereby be descripted as Equation (15). Figure 17 shows the comparison of contact forces between the fitting results derived from Equation (15) and the numerical data. Excellent agreement can be established. Besides, according to Equation (15), the skewness ratio

can be derived theoretically as  $k_s = \pi/2 = 1.57$ , which has a 7.6% discrepancy compared with the numerical value 1.70.



**Table 11.** The result of regression analysis on Equation (12).

**Figure 17.** Collision contact force vs. time with fitting result, R = 2.0 m, t = 0.06 m, q = 15 MPa, and E = 210 GPa.

According to Equation (15), the dynamics equation can be written in Equation (16) correspondingly, where K is the stiffness of the spherical shell. The relationship depends on the thickness, elastic modulus, and radius of the shell:

$$Ms'' + Ks' = f_p \sin(\frac{\pi}{\Lambda t}t) \tag{16}$$

It can be evident that the function of displacement versus time is a harmonic function as well, and the duration time of collision depends on the thickness, elastic modulus, and density of the shell, but has little effect due to the radius and velocity of the spherical shell. This conclusion can be verified by the extensive data in Table 4, Table 5, Table 6, Table 7, Table 8, Table 9, Table 10.

#### 3.4. Dynamic Buckling Criteria

The dynamic buckling problem can be solved if the relationship between the static buckling force and maximum force is established. In this section, the relationships between the maximum forces of collision and static buckling forces according to Equation (3) are investigated.

Figure 18 shows the X-stress of the shell under the external pressure of 15 MPa. At the beginning, the initial state is the same between the cases of velocities of 2.8 m/s and 2.7 m/s when the pressure load and configuration of the shell are the same.

Figure 19a–h shows the mechanisms of collision for the cases with impact velocities of 2.8 m/s and 2.7 m/s. It demonstrates that in the velocity case of 2.7 m/s, the deformation of the spherical shell can be restored elastically after the collisions. However, when the velocity is larger than 2.7 m/s (even at 2.8 m/s), the deformation of the spherical shell cannot be restored after the collisions, which became larger and larger under the load of pressure and contact force. In that case, the shell can be considered to have buckled under the dynamic collisions.

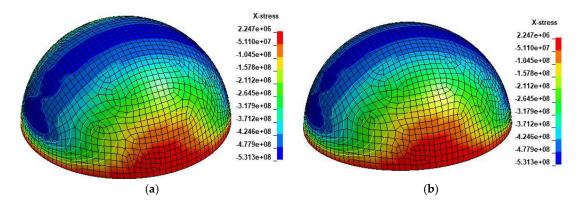


Figure 18. The initial state of shell in the X-stress (Pa). (a) 2.8 m/s; (b) 2.7 m/s.

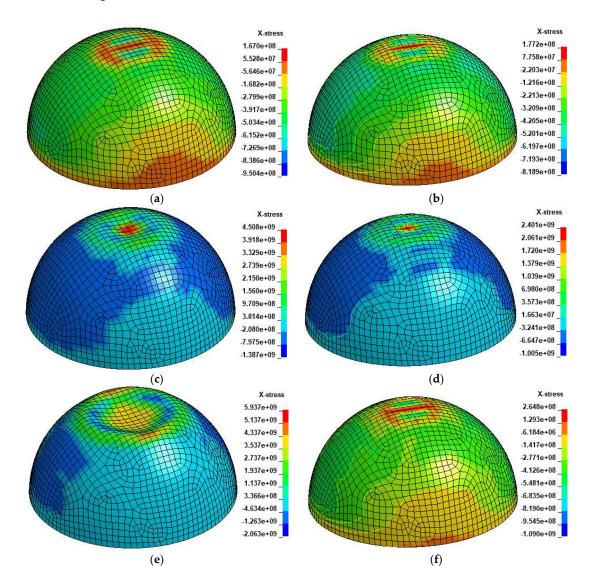
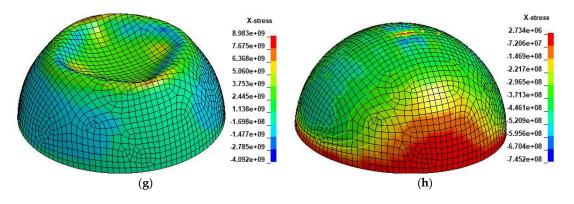
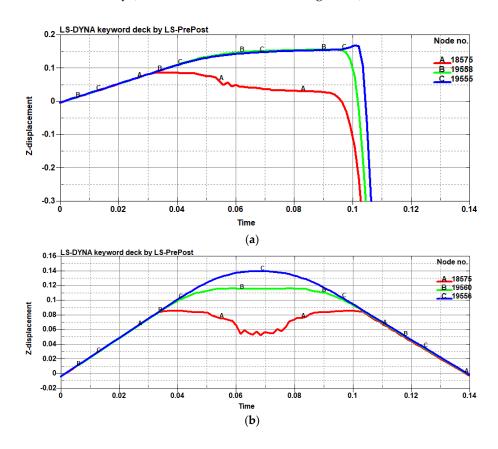


Figure 19. Cont.



**Figure 19.** The X-stress during contact procedure (Pa). (a) 2.8 m/s at t = 0.04 s; (b) 2.7 m/s at t = 0.04 s; (c) 2.8 m/s at t = 0.05 s; (d) 2.7 m/s at t = 0.05 s; (e) 2.8 m/s at t = 0.06 s; (f) 2.7 m/s at t = 0.06 s; (g) 2.8 m/s at t = 0.07 s; (h) 2.7 m/s at t = 0.07 s.

Figure 20 illustrates the Z displacement of three nodes, as previously shown in Figure 19h, which are marked as yellow spots with velocities of 2.8 m/s and 2.7 m/s, respectively. It can be found that the displacements are the same before collision contact, but they can be very different from the beginning of contact. In the velocity case of 2.7 m/s, the displacement will return back symmetrically, whilst in the case of 2.8 m/s, the displacement will return back in a steep slope (non-elastic), which implies that the shell had buckled already (the same conclusion as with Figure 19).



**Figure 20.** The X displacement time history at velocities of 2.8 m/s and 2.7 m/s. (**a**) The case of 2.8 m/s velocity; (**b**) The case of 2.7 m/s velocity.

#### 3.5. Dynamic Buckling Force

On this ground, the critical impact velocity  $V_{cr}$  can be determined to be 2.7 m/s, and according to the criteria shown above, some more critical velocities in different cases can be obtained in Table 12. It should be noted that for the application of submarines, an exploration is usually among 1.0 km to 4.5 km deep, and the external pressure is correspondingly set to be within 10 MPa to 45 MPa.

Case	q	V <sub>cr</sub>	Max Force	Case	q	V <sub>cr</sub>	Max force	Case	q	V <sub>cr</sub>	Max Force
t = 0.04 R = 2.0 E = 210	10 15 20 25	16 9.8 6.7 5.1	45/3.0 26/1.7 20/1.3 17/1.0	t = 0.04 R = 1.5 E = 210	30 45 20 25	9.3 6.9 15 10	30/1.5 20/1.0 40/2.0 34/1.7	t = 0.04 R = 2.5 E = 210	10 15 20 25	7.1 4.2 3.3 2.2	23/2.0 16/1.3 11/1.0 7.0/0.6

Table 12. The criteria velocity statistic (MPa, MN, meters, m/s).

According to Table 12, the critical load in the collision can be converted into the normalized results tabulated in Table 13. Note that the labels p' and q' show the normalized values of pressure and maximum force respectively, according to Equation (6). The  $p^e$  shows the static buckling force calculated from Equation (6) as well. The ratio is deducted by p/p', which demonstrates the multiple of the maximum force over to the static buckling force from Equation (6).

Table 13. The ratio of criteria in the random parameters (unit: meters, GPa).

Case	q	p'/p <sup>e</sup>	Ratio	Case	ģ	p <sup>'</sup> /p <sup>e</sup>	Ratio	Case	q	p <sup>'</sup> Ip <sup>e</sup>	Ratio
t = 0.04 R = 2.0 E = 210	0.10 0.15 0.20 0.25	3.0/1.40 1.7/0.90 1.3/0.65 1.0/0.50	2.12 1.97 1.99 2.03	t = 0.04 R = 1.5 E = 210	0.18 0.26 0.12 0.14	1.0/0.47 2.0/1.15	2.12 2.22 1.74 2.12	t = 0.04 R = 2.5 E = 210	0.16 0.23 0.31 0.39	1.9/0.84 1.3/0.55 0.9/0.37 0.6/0.27	2.26 2.36 2.43 2.22

It can be found that the maximum critical force is about 2.1 times that of the static force, inducing the dynamic buckling during the collision impacts. In summary, the critical dynamic force over the static buckling force ratios can be illustrated in Figure 21. It can also be concluded that the analytical prediction fits with the numerical simulation result very well.

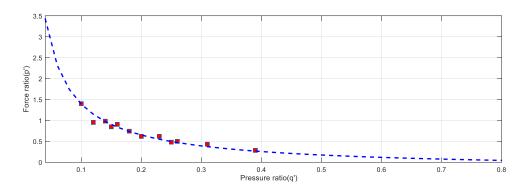


Figure 21. The comparison of prediction and numerical simulation.

#### 4. Discussion

According to Equation (5), when the pressure tends to be zero, which means that there is no external pressure under the shell, the buckling forces can increase without boundary. Physically speaking, if the pressure ratio is less than 0.05, the force ratio would be larger than 2.8. In such a case, it can be considered that the structural buckling of the shell cannot occur.

During the collision impacts, the maximum force can be considered to be 1.70 times the average force derived from Equation (7). The impulse of collision is linear to the velocity and added mass, but has a negligible change due to the thickness and elastic modulus. In addition, the quasi-static buckling force deduced by Equation (5) is about only 0.48 times that of the maximum force during the collision. It should be noted that Equation (5) is based on the elastic material, as the buckling usually occurs before the plastic stage. The effect of strain rate is neglected for the low velocity analysis in this study. It is noted that the spherical shell could reach up to the plastic stage or over the limited stress under the collision before buckling. In that case, the structure will be damaged or failed for the lack of strength, so it is suggested that the static analysis should be analyzed before the buckling analysis.

For applications from the outcome of this study, the critical force under a single point load and a single uniform pressure load, which are named  $p_c$  and  $q_c$ , respectively, can be calculated firstly by Equation (1) and Equation (2) relevant to the property of the material and the parameters of the geometry. Then, the external pressure q can be determined by the operation depth under the sea; therefore, Equation (5) can be used by the designer to determine the quasi-static buckling force. After obtaining the normalized force ratio of p', it can be used to identify the critical velocity, which may induce the dynamic buckling by Equation (13). By the mechanism described above, the dynamic buckling of the spherical shell under the collision impacts and external pressure can be evaluated.

#### 5. Conclusions

This paper has studied the buckling of a spherical shell structure subjected to both external pressure and point load in order to establish criteria to predict the dynamic buckling of a spherical shell structure under collision impacts. In the present study, the standard for static buckling, including external pressure and concentrated load, was established as Equation (5). Furthermore, the relationship between static force and dynamic force under subsea collisions has been studied based on the theory that the static buckling criteria can be used to reflect the dynamic collision problem. By extensive parametric analyses, a precise formulation has been formed and validated using multiple regression analyses. During the collisions, the maximum and mean contact forces are proportional to the velocity and thickness of the shell, and are also linear to the total mass and elastic modulus. For the collision impulses, they are proportional to the velocity and added mass, but the elastic modulus and shell thickness do not affect their momentum change. In addition, the same skewness ratio of the contact forces during different parameters can be considered the same value: 1.70. In that case, the contact force during collision can be descripted as a sine function with respect to time.

Overall, this study has established a fundamental framework and novel theory to simulate, design, and validate the dynamic buckling for a spherical shell structure under collision impacts and external pressure. The precise formulations shown in the present paper enable the prediction of the dynamic buckling of spherical shell structures subjected to subsea collisions. Such insights and outcomes will benefit the potential applications involving submarines and deep sea exploration, etc.

**Author Contributions:** L.P., S.K. and Z.D.C. developed and initialized the concept and methods; L.P. developed model and carried out analyses; S.K. provided analysis tools and validated the model; Z.D.C. and S.S.W. provided industry guidance and verified results with national standards; L.P., S.K., Z.D.C. and S.S.W. wrote and reviewed the paper; L.P. and S.S.W sought funding.

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