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3 **Investigation of the Interaction between Nafion Ionomer and Surface**
4 **Functionalized Carbon Black Using Both Ultra-Small Angle X-ray Scattering**
5 **and Cryo-TEM**
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5 **Abstract**
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8 In making a catalyst ink, the interaction between Nafion ionomer and catalyst support
9 are the key factors that directly affect both ionic conductivity and electronic
10 conductivity of the catalyst layer in a membrane electrode assembly (MEA). One of
11 the major aims of this investigation is to understand the behavior of the catalyst
12 support, Vulcan XC-72 (XC-72) aggregates, in the existence of the Nafion ionomer in
13 a catalyst ink to fill the knowledge gap of the interaction of these components. The
14 dispersion of catalyst ink not only depends on the solvent, but also depends on the
15 interaction of Nafion and carbon particles in the ink. The interaction of Nafion
16 ionomer particles and XC-72 catalyst aggregates in liquid media was studied using
17 ultra small angle x-ray scattering (USAXS) and cryogenic TEM techniques. Carbon
18 black (XC-72) and functionalized carbon black systems were introduced to study the
19 interaction behaviors. A multiple curve fitting was used to extract the particle size and
20 size distribution from scattering data. The results suggest that the particle size and size
21 distribution of each system changed significantly in Nafion + XC-72 system, Nafion +
22 NH₂-XC72 system, and Nafion + SO₃H-XC-72 system, which indicates that an
23 interaction among these components (i.e. ionomer particles and XC-72 aggregates)
24 exists. The cryogenic TEM, which allows for the observation the size of particles in a
25 liquid, was used to validate the scattering results and shows excellent agreement.
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56 Key words: Nafion Ionomer, Pt/C Catalyst, Dispersion, Catalyst Ink, Fuel Cell,
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USAXS, Cryo-TEM, X-ray Scattering

1. Introduction

Polymer electrolyte membrane fuel cell (PEMFC) has been considered as one of the strongest candidates for energy conversion with three prominent advantages: zero emissions, higher energy and higher power efficiency (i.e. > 65%)¹⁻³. However, before PEMFCs can be widely used as propulsion systems for electric vehicles, the performance and cost are still major limiting factors for their commercialization⁴. The oxygen reduction reaction (ORR) occurs at the interface of precious metal catalyst nanoparticle and Nafion ionomer layer⁵ in a catalyst layer of a membrane electrode assembly (MEA). Recent study⁶ shows that the ionomer coverage over the catalyst particles directly affects the utilization of the catalyst for ORR and the thickness of the ionomer layer over the catalyst nanoparticles determines the mass transport performance. Hence, the interface of Nafion ionomer and catalyst nanoparticle plays a pivotal role in PEMFC performance. Such an interface can be formed either in liquid phase when both catalyst powder and ionomer particles are dispersed in a liquid or in MEA fabrication process when catalyst ink (a suspension of solvent, Nafion ionomer particles and catalyst powder) is evaporated to form a solid catalyst layer either on membrane or on decal⁷. In general, Nafion ionomer/ Pt interface is easily formed in liquid. In addition the electrochemical reactions of hydrogen oxidation and oxygen reduction involve gaseous reactants, protons, and electrons, which have to reach the interface simultaneously. In addition to the interface, the performance of MEA also strongly depends on the structural properties (i.e. pore volume, pore size, pore distribution, etc.) of the catalyst layer. A typical catalyst layer consists of Pt nanoparticles supported on catalyst support (electron conductor) and a Nafion ionomer network, which binds Pt/C catalyst particles together and provides the proton conduction path (proton transport to reaction sites)⁸. Although each components in a catalyst layer has an impact on the performance of a MEA (catalyst support⁹⁻¹³, interaction between catalyst support and Pt nanoparticles¹⁴, etc.), the essential factors to allow effective gas transport (i.e. H₂ and O₂) and water dissipation are the

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3 ionomer/Pt interface, the uniformly distributed Nafion network in the catalyst layer,
4 and the appropriate pore structure. The structure of the formed solid catalyst layer, to
5 a large extent, depends on the dispersion of the Pt/C aggregates and the ionomer
6 particles in a liquid media, a catalyst ink. Nafion ionomer particles and carbon
7 aggregates are the major components in a catalyst layer^{6, 15-19}, thus the dispersion of
8 these particles in a catalyst ink greatly affects the microstructure of the catalyst layer
9 and, consequently, the performance of the MEA/catalyst layer. Dispersion of catalyst
10 powder and Nafion binder in a liquid is usually called the ink formulation in MEA
11 preparation, which is a critical step for fabricating a good MEA/Catalyst layer. To
12 form a uniformly dispersed catalyst ink, it is crucial to understand how the two
13 components, catalyst powder and Nafion binder, interact with each other in a liquid.
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26 Lee et al.²⁰ investigated the effects of the solubility of Nafion and its resulting
27 interaction with single-wall carbon nanotubes (SWCNTs) on the quality of SWCNT
28 dispersion and the dispersion mechanism of the SWCNTs in a liquid with a 1 to 1
29 water/propanol ratio. It was found that water promoted the interaction between carbon
30 nanotubes (CNTs) and Nafion backbones via a hydrophobic interaction, while alcohol
31 not only solubilized the Nafion backbone but also prohibited Nafion aggregation,
32 which leads to the conformational changes in the backbone of Nafion and enhances its
33 interaction with CNTs (steric effect). Wood et al.²¹ used neutron reflectometry to
34 examine the interactions of polymer electrolyte membrane fuel cell (PEMFC)
35 materials that comprise the triple-phase interface in the catalyst layer. It was found
36 that these structures showed separate hydrophobic and hydrophilic domains formed
37 within the Nafion layer when equilibrated with saturated D₂O vapor. Although we
38 have developed a novel method⁷, which combines ultra-small-angle X-ray scattering
39 (USAXS) with direct observation using cryogenic transmission electron microscopy
40 (cryo-TEM), and we have systematically studied the individual components of the
41 ionomer particles and the Pt/C aggregate dispersion in the solvents in terms of the
42 microgeometry and particle size, the interaction between carbon particles and the
43 Nafion ionomer particles in liquid media (i.e. catalyst inks) is still not clearly
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3 understood. In this work, as the continuation of our work on Pt/C catalyst dispersion
4 in liquid, the interaction between Nafion ionomer particles and carbon
5 black/functionalized carbon black (FCB) aggregates in liquid media was studied using
6 our developed USAXS + cryo-TEM method to determine whether there is such an
7 interaction and, if so, what affects that interaction. Our hypothesis is that the
8 interaction between carbon aggregates and Nafion ionomer particles is essentially
9 electrostatic in nature, namely, either there is a repelling effect if the surface charges
10 on both ionomer and carbon particles are same (e.g. both positive or negative) or an
11 attracting effect if the surface charges are opposite (e.g. positive on carbon and
12 negative on the ionomer). The size of carbon aggregates will change if there is any
13 interaction. It hypothesized that an attracting interaction may result in an increase of
14 carbon aggregates after the ionomer addition, while it may result in no change on the
15 carbon aggregates for a repelling effect. The interaction was studied using USAXS +
16 cryo-TEM by measuring the size of carbon aggregates (carbon system) in liquid
17 media with and without Nafion ionomer. To enhance the interaction, functionalized
18 carbon aggregates with charged groups (i.e. $-\text{SO}_3^-\text{H}^+$, $-\text{NH}_2^-$) (FCB system) were used.
19 In addition, the zeta potentials of different systems were measured and compared to
20 determine the interaction.
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37 38 **2. Experiment**

39 **Synthesis of functionalized carbon black supports**

40 The functionalization of carbon black, Vulcan XC72 (Cabot, Billerica, MA), was
41 performed using the reduction of a diazonium cation²².
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44 P-aminobenzene sulfonic acid as diazonium reagent was used to introduce
45 sulfonate functional groups ($-\text{SO}_3\text{H}$) onto the carbon surface. Sulfanilic acid was
46 mixed with hydrochloric acid (50%) and then mixed with sodium nitrate in DI water.
47 XC72 carbon black powder was placed into a flask, then DI water was added. The
48 mixture of sulfanilic acid and hydrochloric acid was added into the flask. After this,
49 sodium nitrate was added drop-wise to the flask while stirring. In order to have the
50 reaction fully completed, the mixture was stirred for 4h and then heated to 70 °C for
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another 3h. The mixture was collected by filtration and thoroughly washed with DI water.

Phenylenediamine was used to introduce amine functional groups (-NH₂). Carbon black was first dispersed into deionized (DI) water, which was followed by adding the calculated amount of phenylenediamine and concentrated HNO₃. After sonicating for 30 min., an aqueous solution of NaNO₂ was prepared and added slowly into the carbon suspension above. The suspension was then heated at 60-65 °C for 1h. After the reaction was complete, the suspension was washed with DI water and filtered by a 0.025 μm filtration membrane (Millipore Corporation, Bedford, MA).

Ink sample preparation

The different inks were prepared by mixing the carbon supports with a 5wt% Nafion (1100 EW, Ion Power Inc, New Castle, DE) and isoproponal (IPA, Fisher scientific) aqueous solution (volume ratio, water/IPA=1:4). The content of Nafion in the final solids (Nafion content in the catalyst layer) is listed in table 1. The inks were then ultrasonicated for 5 min. The detailed sample information is listed in Table 1.

Table 1. Components of six ink systems

Ink	Abbreviation	XC72/FXC72 (mg)	Solvent (ml)	Nafion Content in Solids (wt.%)
XC72	CB	30	10	N/A
XC72+Nafion	CB_NF	30	10	28
NH ₂ -XC72	NCB	30	10	N/A
NH ₂ -XC72+Nafion	NCB_NF	30	10	28
SO ₃ H-XC72	SCB	30	10	N/A
SO ₃ H-XC72+Nafion	SCB_NF	30	10	28

Cryo-TEM analysis

A 3.5 μl aliquot of the sample was placed on a copper grid (400 mesh) coated with holey carbon film. The excess sample was blotted with filter paper. The grid was then immediately plunged into liquid ethane cooled by liquid N_2 . The sample grid was loaded into the microscope with a Gatan side-entry cryo-holder. Low dose images were collected using CM200 or CM300 cryo-microscope with a field emission gun operating at 200kV or 300kV, respectively.

Zeta potential measurements

Zeta potential measurements were conducted on a Malvern zeta potential transfer standard system (Malvern Instruments Ltd., Worcestershire, UK) with irradiation from a 632.8 nm He-Ne laser. The samples were filled in folded capillary cells and measured using a mixed mode method combining fast-field reversal and slow-field reversal, which eliminates electroosmotic effects. The zeta potential was determined from the measured electrophoretic mobility, μ , using the Smoluchowski approximation

$$\mu = \frac{\xi \epsilon V}{4\pi \eta D},$$

where V is the applied voltage, η is the viscosity of the solution, ϵ is the dielectric constant of the medium, and D is the electrode separation. Depending on the magnitude of the zeta potential, the spherical Smolichowski approximation may overestimate the actual zeta potential by up to 20%²³.

Surface energy measurement

A dynamic vapor sorption (DVS) system was applied to measure the surface energy of carbon blacks with different functionalities (Micrometric Instrument Corporation, Norcross, GA). By comparing the surface energy differences among three different carbons, we can analyze the dispersing behavior and the cause of the differences on the particle size among those carbon blacks.

X-ray photoelectron spectroscopy

The spectra of X-ray photoelectron spectroscopy were collected on an X-ray photoelectron spectrometer (AMICUS, Kratos Analytical Ltd, Manchester, UK) with Mg $K\alpha$ X-rays. The calibration of the instrument was carried out with Cu 2p_{3/2} peak

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3 at 932.66 eV and Cu 3p peak at 75.13 eV. All spectra collected were calibrated with C
4
5 1s peak at 284.6 eV.
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7 **Ultra-small angle x-ray scattering**

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9 USAXS measurements were conducted by the versatile USAXS instrument at
10 the beamline 9ID-C USAXS/SAXS, Advanced Photon Source (APS), Argonne
11 National Laboratory. The USAXS has angular and energy resolutions on the order of
12 10^{-4} , accurate and repeatable x-ray energy tunability over its operational energy range
13 from 8 to 18 keV, a dynamic intensity range of 10^8 to 10^9 , and a scattering vector
14 range from 0.0001 to 1 \AA^{-1} .²⁴⁻²⁵
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22 **3. Methods**

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24 The scattering data are well described by a global unified fit analysis²⁶⁻²⁹. Porod
25 and Guinier scattering regimes are employed in the fitting analysis. The deconvoluted
26 USAXS curves were analyzed by comparing them with scattering model functions
27 implemented in an Igor Pro-based software package, *Irena*. A size-distribution tool,
28 using Maximum entropy (MaxEnt) method, was used to evaluate the size distribution
29 from the USAXS data.
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36 The scattering data were described by a global unified fit analysis employing
37 Porod and Guinier scattering regimes as described here. At large scattering angles,
38 high values of the momentum transfer vector, q , can be obtained, which reflect a
39 small size, d , obtained by Bragg's law:
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$$43 \quad d = \frac{2\pi}{q}. \quad (1)$$

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46 At a high q , $I(q)$ (scattered intensity) follows Porod's law:

$$47 \quad I(q) = Bq^{-4}. \quad (2)$$

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49 Where $B = 2 \pi N (\Delta\rho)^2 S$, and where S represents the average surface area of a
50 particle, N is the number density of primary particles in the measured scattering
51 volume, and $\Delta\rho$ stands for the difference in electron density between the background
52 of the solvent and the particles.
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From a higher q to lower q , the linear power law (Porod) regime, whose slope gives the fractal information, is followed by a knee-like Guinier regime, which reflects the structural size of the primary particles. Eq. 3 is Guinier's law for the knee-like regimes:

$$I(q) = G_i \exp(-q^2 R_{gi})^{2/3},$$

$$\text{where } G_i = N_i (\Delta\rho)^2 v_i^2. \quad (3)$$

Here, G_i is the exponential prefactor, N_i and v_i are the number density and the volume of a primary particle in the Guinier regime, respectively, and i is the number of fitting level. If there are agglomerate particles in the system, i would be higher than 1. Furthermore, the radius of gyration of the primary particles, R_{gi} ($D_{gi}/2$), can be obtained from Eq. 3.

If there are agglomerate particles in the system, there would be several Guinier knees. The slope of the power law between two Guinier knees is directly related to the mass fractal structure of the agglomerates by Eq. 4:

$$I(q) = B_f q^{-D_f} \quad (4)$$

where D_f is the mass fractal dimension and B_f is a power law pre-factor,

$$B_f = (G_f D_f / R_{gf}^{D_f}) \Gamma D_f^{f/2}, \quad (5)$$

G_i is a constant defined by Eq 3, and Γ is the gamma function.

Unified global fitting provides for as many as five independent levels ($n = 5$), including the structure factor^{26, 29} (interparticle interference):

$$I(q) = F_B + \sum_{i=1}^n S_i(Q) (G_i \exp(-Q^2 R_{gi} / 3) + B_i \exp(-Q^2 R_{gefi} / 3) \times \{ [\text{erf}(QR_{gi} / 6^{1/2})]^3 / Q \}^{P_i}) \quad (6)$$

Here F_B is an optional flat background, and B_i is the same as B_f mentioned before (a constant pre-factor), specific to the type of power-law scattering, P_i .

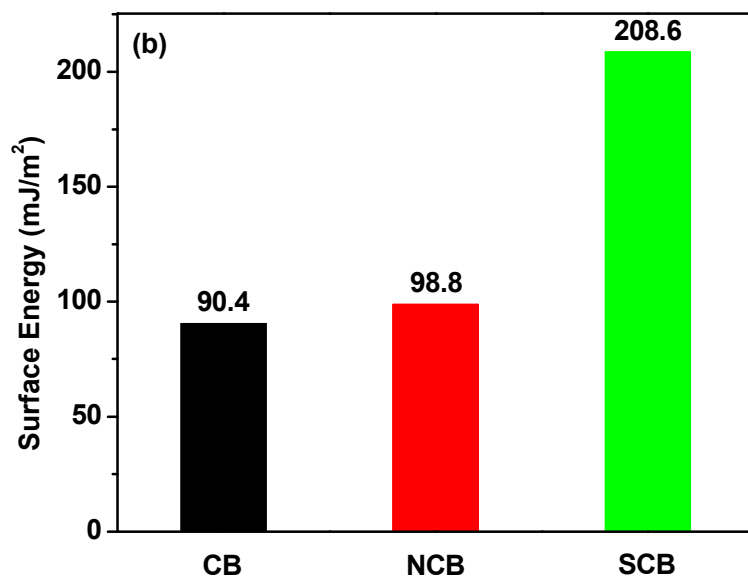
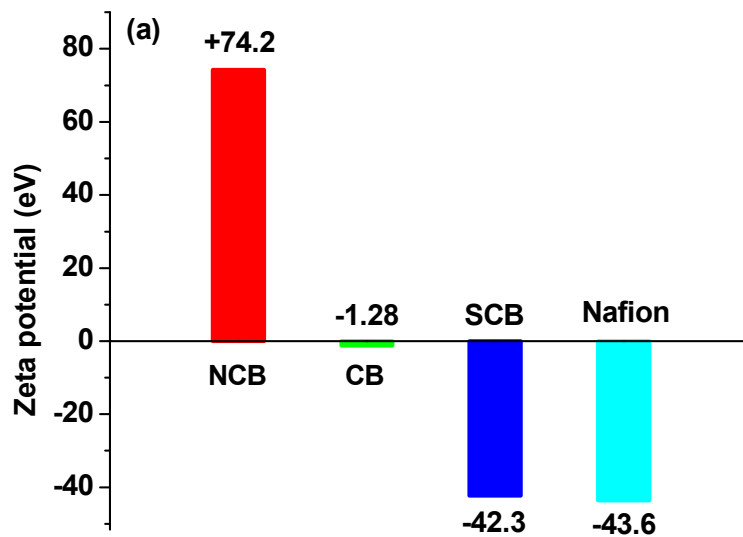
4. Results and discussion

Zeta potential measurements were carried out for Nafion ionomer, NCB, CB and SCB in water alcohol solution. The measuring result is shown in figure 1(a). The results show that the zeta potential of Nafion ionomer and SCB are both negative, -43.6 eV and -42.3 eV. Although the zeta potential value for CB is negative, the

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3 relatively small value (-1.28 eV) is negligible. The zeta potential for NCB is positive,
4 +74.2 eV. Since zeta potential can reflect the surface charges carried by different
5 particles in solvent, particles with opposite zeta potentials may attract each other by
6 electrostatic force. On the contrary, the particles that carry same charges may repel
7 each other just like Nafion ionomer and SCB.
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12 The surface energy of three carbon blacks in water was measured using DVS
13 method and is shown in figure 1(b). With the lowest surface energy (90.4 mJ/m²), CB
14 is the most hydrophobic among all these carbons. On the other hand, NCB and SCB
15 have higher surface energies, 98.8 and 208.6 mJ/m², respectively, which make them
16 more hydrophilic than CB. Since higher surface energy in water indicates higher
17 hydrophilicity, which will result in better ink dispersion, we propose that the smallest
18 carbon aggregates will appear in SCB dispersion, and the largest aggregates will
19 appear in CB dispersion.
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24 The results of XPS measurements are shown in figure 1(c). As can be seen in
25 figure 1(c), C1s and O1s can be found in all carbon blacks. In addition to these
26 characterization peaks, S2s and S2p characterization peaks can be found in SCB
27 sample, which confirms that -SO₃H functional groups were successfully grafted on
28 the surface of carbon black. The successful grafting of -NH₂ functional groups is also
29 confirmed since N1s peak can be found in the wide survey XPS spectrum on NCB
30 sample.
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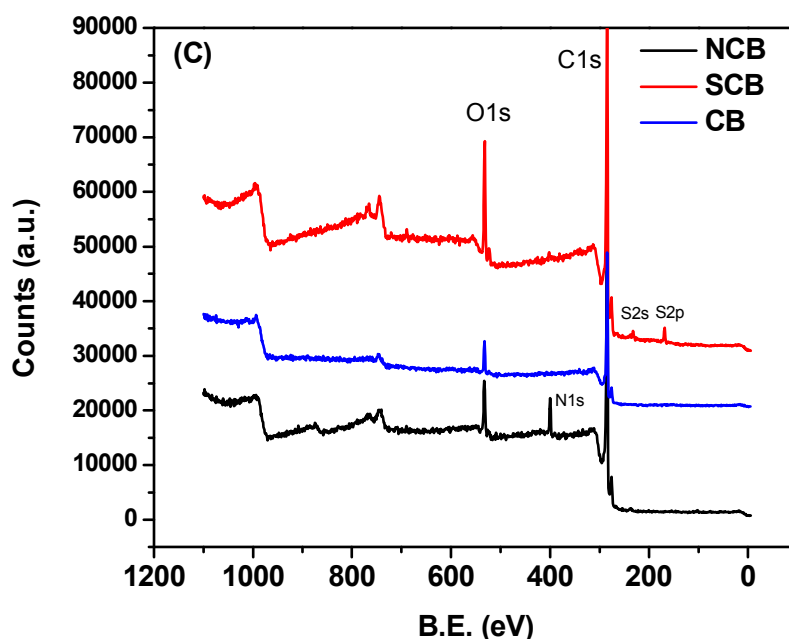


Figure 1. (a) Zeta potentials of carbon black XC72 (CB), amine functionalized carbon black (NCB), sulfonate functionalized carbon black (SCB) in water, and Nafion solution, (b) surface energy of CB, NCB and SCB with water, and (c) wide survey XPS spectra of different carbon blacks

All structural information of the samples on particle size was derived from the USAXS data using the curve fitting. MaxEntropy model³⁰ was applied to get the particle size distribution information from USAXS data. To further validate the results from the USAXS data, all samples were also analyzed using cryogenic TEM to provide a direct observation of particle size. The advantage of cryogenic TEM is that the morphology of the particles in liquid can be directly observed without disturbing them. This can be achieved by the fast vitrification of these samples to lock their original particle structure in the liquid media without altering their distribution. The combination of USAXS and cryogenic TEM measurements can provide the real microstructures of the catalyst and Nafion particles in the liquid media.

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A systematic approach was taken to study the interaction between Nafion ionomer particles and carbon aggregates by the size change of the carbon aggregates before and after the addition of Nafion ionomer. Three sets of samples were used, set one studied the interaction between Nafion and carbon black (CB), set two studied the interaction between Nafion ionomer and $-\text{NH}_2$ functionalized carbon black (NCB) and set three studied the interaction between Nafion ionomer and $-\text{SO}_3\text{H}$ functionalized carbon black (SCB). The idea is that more or less, positive or negative interactions are expected if oppositely charged groups ($-\text{NH}_3^+$ and $-\text{SO}_3^-$) over the carbon surface meet with Nafion ionomer particles (with negative surface charge due to $-\text{SO}_3^-$ functional groups). By comparing the sizes of three sets of samples from USAXS and cryo-TEM, the interaction can be concluded. Each set was systematically studied, step-by-step, starting from the CB dispersed in solvent and adding Nafion.

4.1 CB aggregate system

The dispersion of CB in the isopropanol aqueous solution was studied first, and the typical scattering data obtained from USAXS measurements is shown in Figure 2. According to three different power slopes, three fitting levels are defined in those distinct regions. The corresponding images from Cryo-TEM are inserted in the USAXS figure. The global unified function fitting results show that in the first fitting level ($P = 3.64$), the radius of gyration is 1.02 nm. Such a small R_g could be related to error during the background subtraction or impurities in the ink, which is not the focus of our study. The second fitting level with the power-law slope $P_2 = 3.08$ shows the radius of gyration to be 103.0 nm (206.0 nm in diameter). As can be seen from the cryo-TEM image (Figure 2(b)), which corresponds to this medium q region, some sphere carbon particles form an aggregate with a diameter of around 200 nm, as the one shown in the red dashed circle. The fractal factor of the third level is $P = 2.25$ with an infinity radius of gyration. This scattering level is likely attributed to the system of large agglomerates formed by the CB particles whose radius of gyration is beyond the range of the measurement, as can be seen from the cryo-TEM image (Figure 2(c)).

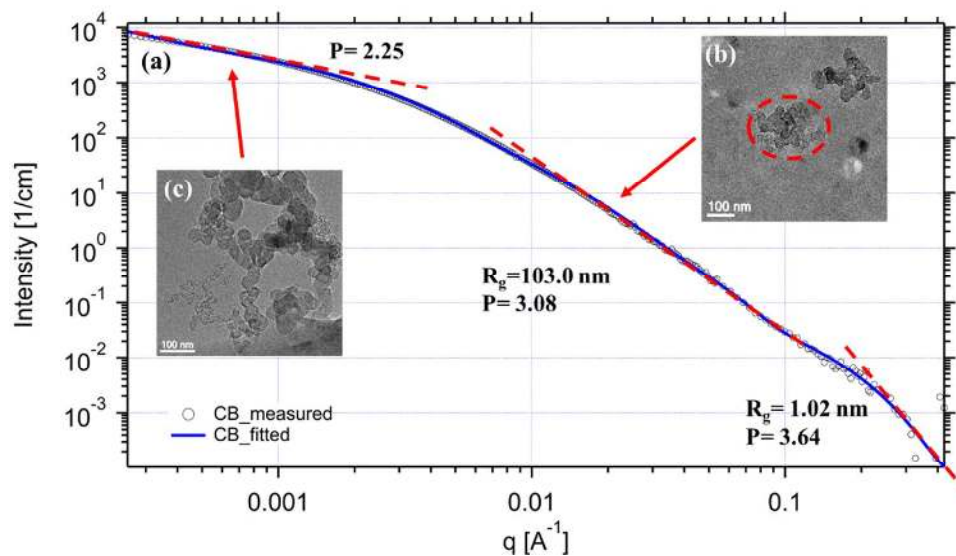


Figure 2. (a) USAXS pattern of CB dispersion; (b) cryo-TEM image of CB aggregates; (c) cryo-TEM image of CB agglomerates. The liquid is the mixture of water and isopropanol (3:1).

Adding Nafion ionomer to the CB system may lead to some changes in the power law slopes and sizes in the new ink system. Still, a three-level fitting has been employed in the global unified function fitting for the scattering data of CB_NF system according to the variation of the slopes in the whole region. As can be seen from Figure 3 (CB_NF system), the region between 0.1 and 0.5 \AA^{-1} was assigned to be the first level, which started with the first Porod fit followed with the first Guinier fit. The fitting result shows that $R_g = 3.44$ nm in this region, which corresponds to the Nafion ionomer stripes in the ink system. Different from CB system, the R_g in the 2nd fitting level, which is in the medium q range, increases from 103.0 nm to 130.5 nm. The zeta potential of CB is negligibly small, which makes the effect of electrostatic force between CB nanoparticles and Nafion ionomer particles minimum. On the other hand, a van der Waals force between nanoparticles is another factor that will cause aggregation. After adding Nafion ionomer, another van der Waals force was introduced into the dispersion between Nafion ionomer particles and CB nanoparticles, which helped more CB particles to be absorbed on the surface of Nafion ionomer

particles. Such a force will make the CB aggregates grow larger. According to the Cryo-TEM image (Figure 3(b)), the size of the carbon aggregate increased as compared to the one in CB system (Figure 2 (b)). The third level fitting was carried out in the low q region, resulting in fractal factor $P_3=3.13$ with an infinite radius of gyration, which corresponds to the large carbon black agglomerates. As seen in the Cryo-TEM image (Figure 2(c)), large carbon agglomerates were formed by carbon black aggregate networks. In the meantime, the Max Entropy fitting also shows that the distribution of carbon black particles shifts towards the large direction after adding Nafion ionomer into the dispersion (Figure 3(d)).

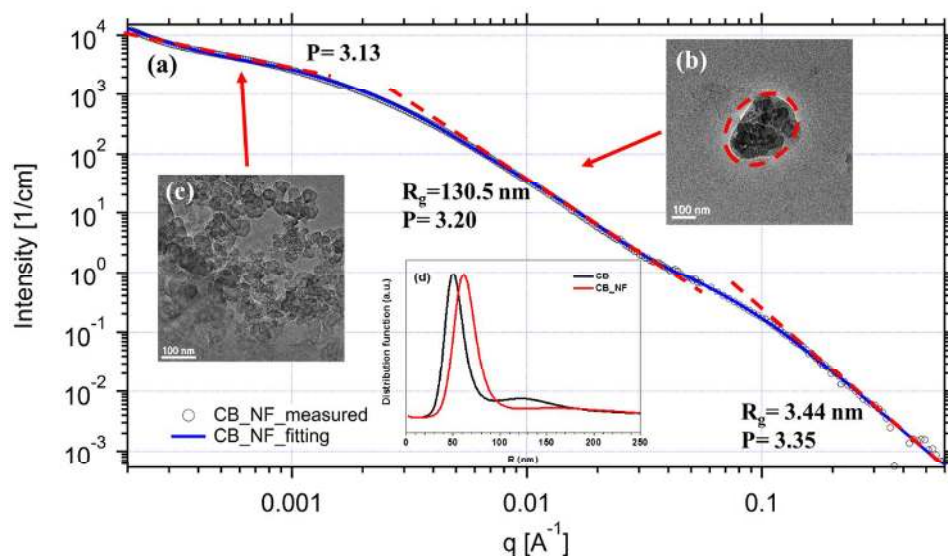


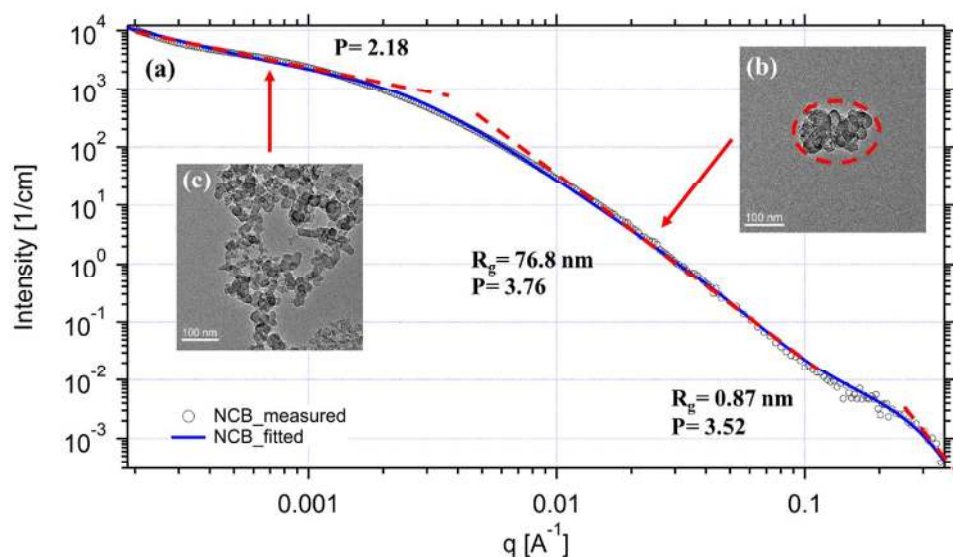
Figure 3. (a) USAXS pattern of CB_NF dispersion. (b) Cryo-TEM image of CB aggregates; (c) Cryo-TEM image of CB agglomerate. (d) Aggregate size distribution from MaxEntropy model. The liquid is the mixture of water and isopropanol (3:1) plus the Nafion solution.

4.2 N-CB aggregate system

To better understand the interaction between Nafion ionomer and functionalized carbon black, USAXS and cryo-TEM characterization of NCB and NCB_NF systems were carried out. The USAXS pattern of NCB aggregate system in an isopropanol aqueous solution is presented in Figure 4. The hydrophilic functional groups $-\text{NH}_2$ fully cover the surfaces of carbon black particles, which could help the dispersion of

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NCB particles in an isopropanol aqueous solution. A three-level analysis was employed in this system as well. As can be seen from Figure 4(a), the high q regime was assigned to the first level, which started with the first Porod fit, followed by the first Guinier fit. The fitting results showed a power-law slope $P_1 = 3.52$ with $R_{g1} = 0.87$ nm. Similar to the CB system, such a small R_g is still either related to the impurities in the ink or due to the background subtraction. The second-level fitting was carried out in the medium q region resulting in power-law slope $P_2 = 3.76$ with $R_{g2} = 76.8$ nm, which is the representation of NCB aggregates. According to the cryo-TEM image (Figure 4b), the aggregated NCB particles have a similar dimension to the SAXS second-level fitting results. In the low q range, smaller than 0.002 \AA^{-1} , the third-level fitting results show that the power-law slope, $P_3 = 2.18$, indicates larger agglomerated particles in the system. The agglomerated particles cannot be observed completely in the corresponding Cryo-TEM image to the third-level fitting (Figure 4(c)), where more than 100 carbon spheres aggregated. The NCB has a smaller size (76.8 nm in figure 4a) than that of CB (103.0 nm in figure 2a) in an isopropanol aqueous solution. This is because NCB is more hydrophilic than CB, which can be confirmed from surface energy measurements in figure 1(b) (98.8 vs. 90.4 mJ/m^2). This will result in a better dispersion of NCB in the solvent, and NCB will form smaller aggregates.



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3 Figure 4. (a) USAXS pattern of NCB dispersion; (b) cryo-TEM image of NCB
4 aggregates; (c) cryo-TEM image of NCB agglomerate. The liquid is the mixture of
5 water and isopropanol (3:1).
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9 To better understand the interaction of Nafion ionomer, NCB_NF system was
10 also studied using the same procedure with USAXS and cryo-TEM. The USAXS
11 pattern and fitted curve are shown in Figure 5(a). A three-level fitting was also
12 applied to fit the NCB_NF system similar to CB_NF system according to different
13 slopes. Similar to CB_NF system, the first-level fitting results, $P_1=4$ and $R_{g1}=3.95$
14 nm, also correspond to the Nafion ionomer. The second-level fitting shows $R_{g2}=121.6$
15 nm with a power slope of 3.45, which corresponds to the NCB aggregates in the ink.
16 Such aggregates can also be found in the cryo-TEM image in Figure 5(b). The radius
17 of gyration of the NCB aggregate level also increased compared to those in NCB
18 system (from 76.8 nm to 121.6 nm in radius). Referring to the zeta potential results in
19 Figure 1(a), the positive zeta potential of NCB surface and the negative zeta potential
20 of ionomer surface lead to an attracting electrostatic force between Nafion ionomer
21 and NCB primary particles. After being bonded together by Nafion ionomer particles,
22 NCB particles tend to be in the form of larger aggregates in NCB_NF system, as
23 compared to those in NCB system. The second-level fitting with a power slope of
24 2.79 and an infinity radius of gyration corresponds to the NCB aggregates, which can
25 also be seen in Figure 5(c). This level also corresponds to the large agglomerates.
26 Figure 5(d) shows the particle size distribution of $-NH_2$ functionalized carbon black
27 aggregates in the dispersion before and after adding Nafion ionomer. Although the
28 absolute value is not exactly the same as the unified fitting radius of gyration due to
29 the application of different models, the trend of the particle size shift before and after
30 the addition of Nafion from the particle size distribution confirms that NCB
31 aggregates become larger after adding Nafion ionomer.
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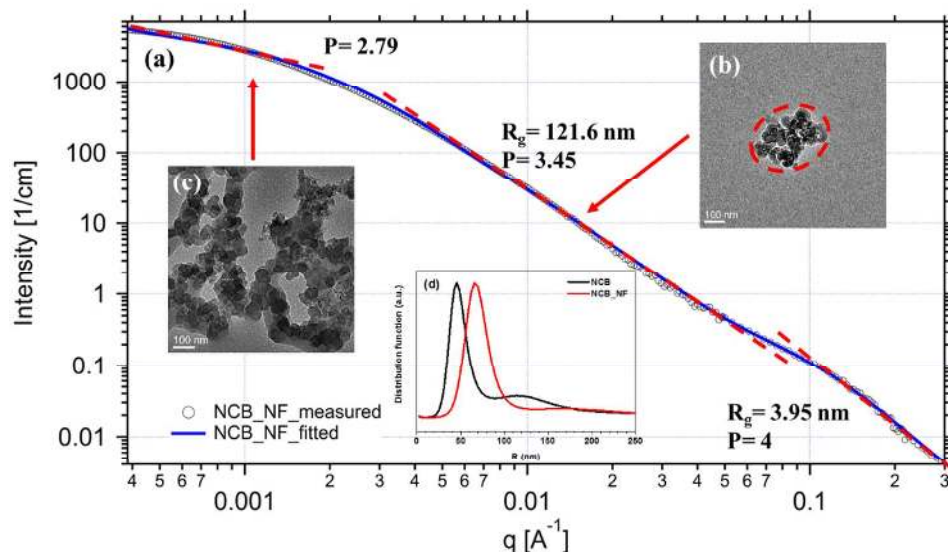


Figure 5. (a) USAXS pattern of NCB_NF dispersion; (b) cryo-TEM image of NCB aggregates; (c) cryo-TEM image of NCB agglomerate; (d) Aggregate size distribution from MaxEntropy model. The liquid is the mixture of water and isopropanol (3:1) plus the Nafion solution.

4.3 S-CB aggregate system

A similar approach was also applied with USAXS and cryo-TEM to better understand the interaction between the Nafion ionomer and carbon black particles with $-\text{SO}_3\text{H}$ functional groups, which carry same charge as Nafion ionomer (both having SO_3^- on the surface). SCB and SCB_NF were used in this study. Similar to the previous analysis, a three-level fitting was applied to fit the SCB system (Figure 6(a)). The result of the first-level fitting shows, again, the small R_{g1} (0.96 nm) is related to the impurity of the ink system. The second-level fitting was carried out in the medium q range, in which we found that the R_g of SCB aggregates is in the range of 45.5 nm with a power slope of $P = 3.83$. The aggregates of SCB particles in this level can be found in the cryo-TEM image shown in Figure 6(b). The smaller radius of gyration of SCB compared with CB and NCB in this level is the result of the increased hydrophilicity; SCB is even more hydrophilic than NCB (208.6 vs. 98.8 mJ/m^2), which results in an even better dispersion than that of NCB system (45.5 vs. 76.8 nm). The third-level fitting corresponds to the SCB agglomerates with a power slope of $P = 1.14$ and an infinite R_g . The cryo-TEM image corresponding to the third-level fitting

of SCB can be found in Figure 6(c), which shows a large network of SCB agglomerate.

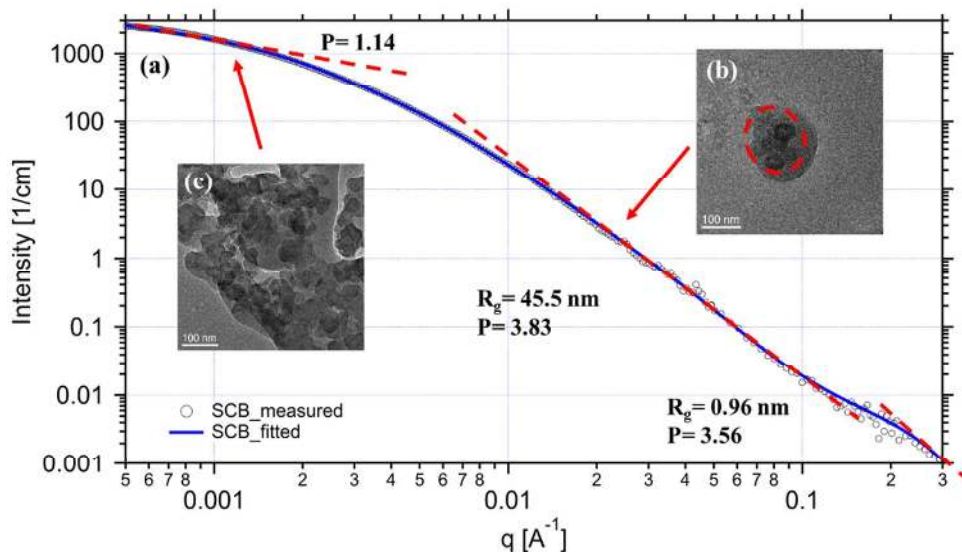


Figure 6. (a) USAXS pattern of SCB dispersion; (b) cryo-TEM image of SCB aggregate; (c) cryo-TEM image of SCB agglomerate. The liquid is the mixture of water and isopropanol (3:1).

The USAXS results and fitting curves of SCB after adding Nafion ionomer are shown in Figure 7(a). SCB_NF system was also fitted by three levels, in which the Nafion ionomer in SCB_NF can be identified as the first level from the USAXS fitting. The second-level fitting shows that the R_g of SCB aggregate particles is in the similar range of 44.8 nm with a similar power slope of $P = 3.62$. In contrast with the results of the two other carbon black systems after adding Nafion ionomer, this system shows that the R_g of SCB aggregate size doesn't change much (from 45.5 nm to 44.8 nm). Considering that the zeta potential of SCB is negative, which results in a repelling force between Nafion ionomer and SCB particles, Nafion ionomer will not absorb over the surface of SCB particles to form larger aggregates. The third level can be attributed to the SCB agglomerates in the ink. The fitting of all levels are confirmed by the cryo-TEM images shown in Figures 7(b) and 7(c). The particle sizes from the cryo-TEM images perfectly agree with the results from USAXS analysis.

The MaxEntropy fitting gives a similar distribution (Figure 7(d)) of SCB aggregates before and after adding Nafion ionomer, which also confirms the unified fitting results of the USAXS data.

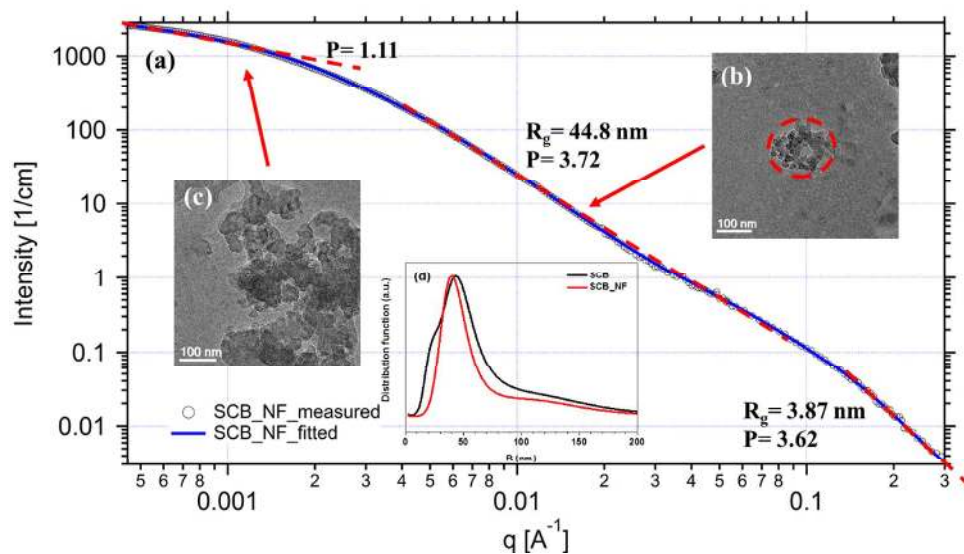
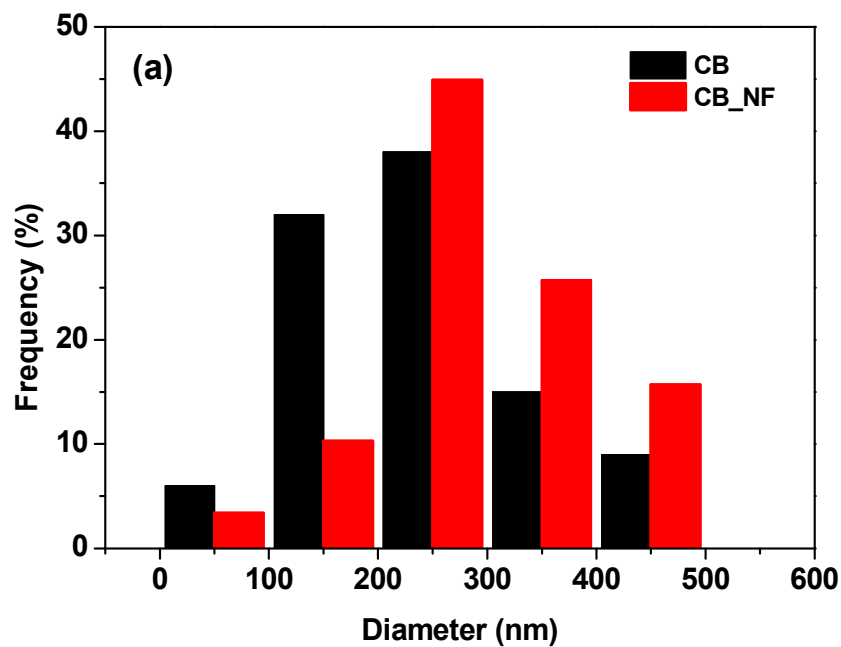


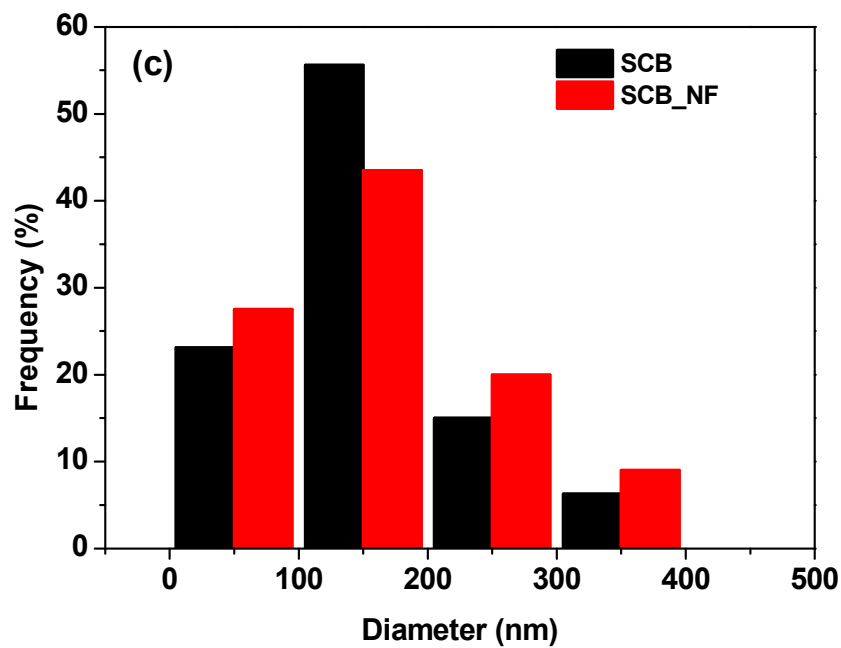
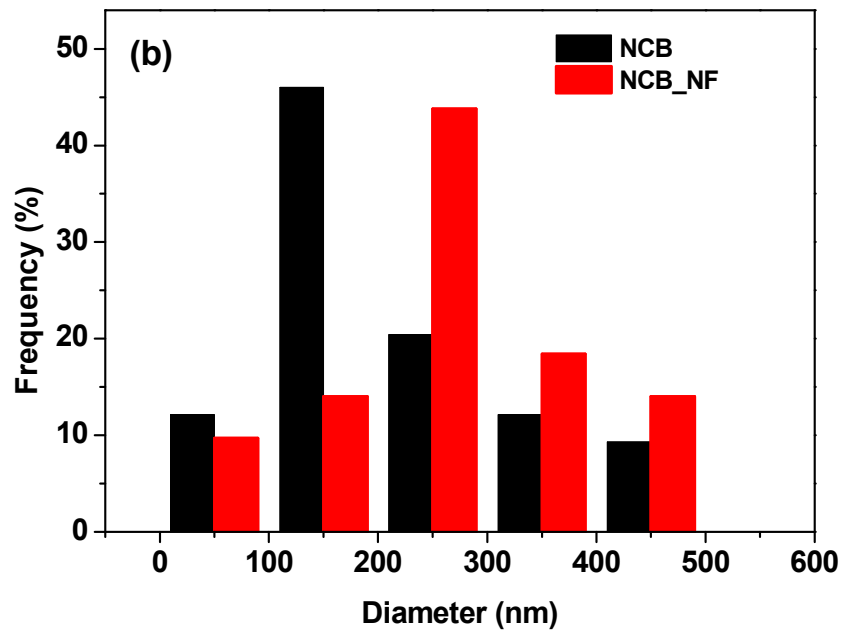
Figure 7. (a) USAXS pattern of SCB_NF dispersion; (b) cryo-TEM image of SCB aggregates; (c) cryo-TEM image of SCB agglomerate; (d) Aggregate size distribution from MaxEntropy model. The liquid is the mixture of water and isopropanol (3:1) plus the Nafion solution.

To clearly compare the behavior of CB, NCB, and SCB after Nafion ionomer, the USAXS results and carbon particle size distribution of all six ink systems are summarized in Table 2. The particle size distributions from cryo-TEM (more than 400 particles counted) is also provided in figure 8.

Table 2. USAXS fitting results of six ink systems.

Sample	Aggregate geometry		Aggregate size change after adding Nafion
	Diameter (nm)	P	
CB	206.0 (± 4.6)	3.08	+26.7%
CB_NF	261.0 (± 3.6)	3.20	
NCB	153.6 (± 0.2)	3.76	+58.3%
NCB_NF	243.2 (± 4.3)	3.45	
SCB	91.0 (± 1.4)	3.83	-1.5%
SCB_NF	89.6 (± 1.2)	3.72	





51 Figure 8. Carbon black particle size distribution calculated from cryo-TEM images
52 and more than 400 particles were counted for (a) CB/CB_NF, (b) NCB/NCB_NF, and
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54 (c) SCB/SCB_NF ink systems
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3 Our major interest for the USAXS measurement of these inks is the size of the
4 carbon aggregates, which is the crucial component in the ink that can be affected by
5 Nafion ionomer. Combining the zeta potential results from Figure 1 and Table 2, an
6 understanding of the interaction between Nafion ionomer and different carbon blacks
7 is made clear.
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12 As can be seen from Table 2, the diameter of CB aggregates increased by 26.7%
13 after the addition of Nafion ionomer. Such behavior after adding Nafion shows that
14 there is an interaction between Nafion ionomer and CB, which is the van der Waals
15 force due to the surface charge of Nafion ionomer in the ink system. Such an
16 interaction will facilitate the contact between Nafion ionomer and carbon black
17 particles, which will increase the ionic conductivity of the catalyst layer. NCB
18 aggregates also increase after adding Nafion ionomer; however, the diameter increase
19 which is 58.3%, is much greater than in CB system. After grafting $-NH_2$ functional
20 groups on the surface of carbon black, the surface of NCB particles will carry positive
21 charges in the ink system. In contrast, Nafion ionomer carries negative charges on the
22 surface, bonding smaller NCB aggregates to form larger aggregates. The electrostatic
23 force between NCB and Nafion ionomer in NCB_NF system is stronger than the van
24 der Waals force between CB and Nafion ionomer in CB_NF system. Thus, the
25 interaction between Nafion ionomer and NCB is more desirable to achieve a better
26 MEA performance because of the better contact of the Nafion ionomer and carbon
27 particles. Significant differences can be found by comparing the USAXS fitting
28 results of NCB_NF and SCB_NF system. The diameters of SCB aggregates in
29 SCB_NF system remain the same, rather than increasing, as compared to the
30 diameters in SCB aggregates in NCB_NF system. Since Nafion ionomer and SCB
31 particles both carry negative surface charges according to Figure 1, there is a repelling
32 electrostatic force between them that will isolate Nafion ionomer and SCB aggregates.
33 Without a close connection between Nafion ionomer and SCB aggregates, the ionic
34 conductivity of the catalyst layer is expected to be reduced, as is the MEA
35 performance of the fuel cell. Additionally, the functionalization of the carbon blacks
36 can effectively change their surface hydrophilicities, leading to different dispersion
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3 behaviors. Charged functional groups, such as -NH₂ and -SO₃H, can lead to
4 significant changes on the surface hydrophilicity and greatly improve their dispersion
5 in an aqueous system.
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11 Similar results can be found from the size distribution of three different carbon
12 blacks in ink systems before and after adding Nafion ionomer (Figure 8). The size
13 distribution of all these systems follows Gaussian distribution. Figure 8 (a) and 8 (b)
14 show that, after adding Nafion, both histograms shift toward the larger size direction,
15 which indicates the particle size increase of the overall particles. Compared to Figure
16 8 (b) and 8 (c), the peak size value of NCB system shifts more than that of SCB
17 system after adding Nafion ionomer, which also confirms our USAXS and cryo-TEM
18 analysis results, that positively charged CB particles will be bonded by negatively
19 charged Nafion ionomer and form larger aggregates in ink systems.
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29 **5. Conclusions**

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33 USAXS and Cryo-TEM have been successfully applied to study the
34 micro-structure of ionomer particles and carbon black aggregates dispersed in
35 iso-propyl alcohol aqueous solvent. By introducing different functional groups, the
36 surface hydrophilicity of corresponding carbon blacks can be altered significantly,
37 which leads to (1) improved dispersion and (2) different charge groups over the
38 surface of carbon blacks. The interactions of Nafion ionomer with different carbon
39 blacks have been systematically studied. It has been found that the interaction
40 between Nafion ionomer and carbon black depends on both van der Waals and
41 electrostatic forces, which are introduced by the different surface functionalities of
42 carbon blacks. Without any functionalities, the van der Waals force between Nafion
43 ionomer and carbon black aggregates is dominant in an isopropanol aqueous solution
44 since the surface charge of CB is negligible, which makes the electrostatic force less
45 dominant. Such attracting forces will make carbon black aggregates grow slightly
46 bigger. On the other hand, by adding Nafion ionomer into NCB dispersion, the size of
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3 the -NH₂ functionalized carbon black aggregates increases significantly. The surfaces
4 of Nafion ionomer and -NH₂ functionalized carbon black aggregates have opposite
5 charges (-NH₃⁺ and -SO₃⁻, respectively), which leads to attracting electrostatic forces
6 between them. With the help of such attracting forces, Nafion ionomer will wrap
7 -NH₂ carbon black aggregates to form much larger aggregates. On the contrary, it is
8 also found that there are repelling forces between Nafion ionomer and SCB due to the
9 functional groups which have the same charges (both -SO₃⁻) on the surface of Nafion
10 ionomer and -SO₃H functionalized carbon black aggregates. Because of these
11 repelling forces, no significant changes on the particle size of -SO₃H functionalized
12 carbon black aggregates were found after adding Nafion ionomer. The validity of the
13 observation above is all examined and shown in the cryo-TEM images.
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26 The results of this study shed light on future work for optimization of the catalyst
27 layer structure. By deliberately introducing positive charges on the surface of carbon
28 black, the coverage of the ionomer could possibly increase dramatically, which,
29 consequently, could lead to increases in the utilization of the Pt catalyst.³¹ The better
30 coverage of Nafion ionomer will also lead to a means to reduce the thickness and
31 aggregation of the Nafion ionomer, which will further reduce the oxygen diffusion
32 resistance in the catalyst.³² With these advantages, our study on the interaction
33 between different functionalized carbon blacks and Nafion ionomer will help to
34 improve the performance catalyst layer in the next generation of fuel cells in the near
35 future.
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TOC

