

Iron-chrome-aluminum alloy cladding for increasing safety in nuclear power plants

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Abstract. After a tsunami caused plant black out at Fukushima, followed by hydrogen explosions, the US Department of Energy partnered with fuel vendors to study safer alternatives to the current UO₂-zirconium alloy system. This accident tolerant fuel alternative should better tolerate loss of cooling in the core for a considerably longer time while maintaining or improving the fuel performance during normal operation conditions. General electric, Oak ridge national laboratory, and their partners are proposing to replace zirconium alloy cladding in current commercial light water power reactors with an iron-chromium-aluminum (FeCrAl) cladding such as APMT or C26M. Extensive testing and evaluation is being conducted to determine the suitability of FeCrAl under normal operation conditions and under severe accident conditions. Results show that FeCrAl has excellent corrosion resistance under normal operation conditions and FeCrAl is several orders of magnitude more resistant than zirconium alloys to degradation by superheated steam under accident conditions, generating less heat of oxidation and lower amount of combustible hydrogen gas. Higher neutron absorption and tritium release effects can be minimized by design changes. The implementation of FeCrAl cladding is a near term solution to enhance the safety of the current fleet of commercial light water power reactors.

1 Introduction

Nuclear power plants are one of the most reliable and cleaner ways of producing electricity. Approximately 450 commercial nuclear power plants are used in 30 countries to produce low cost electricity [1]. At least 13 countries use nuclear power to supply about a quarter of their electricity [2]. In the USA alone, the use of nuclear power prevented in 2015 the release of 564 million metric tons of carbon dioxide to the environment [2]. Commercial nuclear power plants (NPP) are designed to be operated without significant effect on the public health and safety and effect on the environment [3]. The operation of NPP energy facilities do not emit greenhouse gases [2]. The main risk of operating a nuclear power plant is the release of radioactive elements into the environment, and for that reason, several barriers are constructed between the fuel containing the radioactive elements and the environment. The first barrier to protect the fuel is the hermetically sealed metallic cladding which envelops the pellets of uranium oxide. That is, maintaining the integrity of the cladding is the first crucial containment for the radioactive material. Further barriers include the

reactor pressure vessel, the concrete building structure containing the pressure vessel and abundant amounts of water that remove the heat from the nuclear reaction [3].

The Nuclear regulatory commission of the USA uses probabilistic risk assessment methods to assess the likelihood and consequences of severe reactor accidents in accordance with the code of federal regulations 10 CFR 50.109 [3]. The Risk R is defined as a function of scenarios S_i that can go wrong, of how likely the scenario will happen (frequency f_i), and of the consequence C_i of the scenario, S_i (Eq. (1)) [4].

$$R = \{S_i, f_i, C_i\}. \quad (1)$$

The notion of risk includes both opportunities and threats. The basis of managing risk is to build multiple barriers between the threats that can lead to an adverse event of, for example, an operating a nuclear reactor. In the case of the Fukushima disaster of March 2011, the low frequency and high consequence event of the tsunami caused the destruction of the diesel generators that provided the emergency power to pump the water to cool the fuel rods in the reactor and in the cooling pools. Consequently, water and steam reacted rapidly with the zirconium material of the fuel cladding above 400°C producing large amounts of heat and hydrogen (Eq. (2)) that were vehicles for the release of some radioactivity into the environment.

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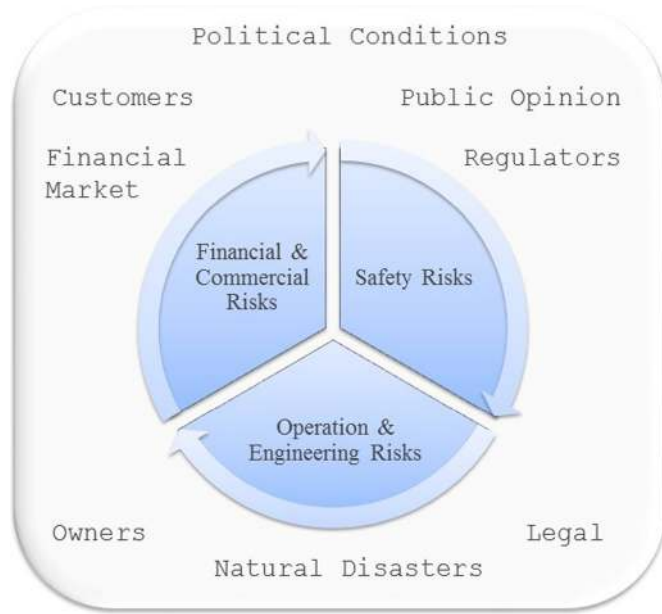
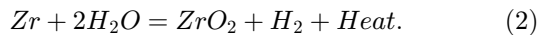


Fig. 1. Risk management environment model for a nuclear power plant operator. The aim of the GE-ORNL team is to minimize engineering risks by using FeCrAl cladding.



Once the zirconium metal cladding was consumed by steam, the radioactive fuel was released inside the second barrier, the thick-walled steel reactor pressure vessel. That is, the effect of the tsunami in Fukushima was to destroy the first barrier or the metallic zirconium cladding containing the radioactive elements. To minimize the risk of failure of the operating nuclear power plant, a stronger first barrier should be constructed between the fuel and the second barrier, and eventually from the environment.

2 Risk management in a nuclear power plant environment

Benefits from risk management in a nuclear power plant do not only include safety scenarios but also production (operational or engineering) and economics (financial) scenarios [5] (Fig. 1). Each one of these risk disciplines will incorporate their own frequencies and consequences. Another discipline or scenario that can be added is the strategic one, which covers things like type of government in the country, nationalization or expropriations, public perception, regulatory and legal framework, etc. (represented as the larger square in Fig. 1). It is important to identify all the consequences of an event (e.g. tsunami) to be able to minimize adversarial outcomes and to maximize public response and commercial gains in a cost-efficient manner [5]. The risk management framework is an iterative process in which first the possible risks are identified (together with potential consequences and relative impact of each consequence), then the techniques to manage the risk are identified (e.g. risk reduction or risk transfer), and finally the chosen strategies or techniques are imple-

mented. This process is followed by monitoring and feedback to determine the effectiveness of the solutions and, if necessary, repeat the process with other improved measures. For example, risk reduction can be accomplished by engineering changes, organizational changes, staff training, etc. and risk transfer can be implemented by contracts with suppliers, insurance, regulation, etc.

Following the example from the Fukushima incident, one way of reducing risk in plant operation would be the engineering replacement of zirconium alloys from the nuclear fuel of the power plant with FeCrAl alloys. This is an obvious technical change that would greatly reduce the consequence of the explosion that considerably affected the public perception of safe operation of nuclear power plants. That is, the use of FeCrAl alloys can only produce opportunities to reduce the engineering risk identified in Figure 1. The FeCrAl alloy is the first barrier between the radioactive elements and the biosphere surrounding the NPP. By improving on the performance of the first barrier (cladding of the fuel), the consequence of combustible hydrogen explosion or release of radioactive elements outside the NPP is greatly minimized.

3 Accident tolerant fuels (ATF)

Because of the Fukushima accident of March 2011, the US Department of Energy (DOE) has a mandate from US Congress to develop accident tolerant fuels under cost sharing programs with the nuclear fuel vendors [6–8]. Today many prefer to call the Accident tolerant fuel (ATF) as Advanced technology fuel (ATF). A fuel may be defined as having enhanced accident tolerance if, in comparison with the current UO₂-zirconium alloy system, it can tolerate loss of active light water cooling in the reactor core for a considerably longer time (called coping time) while maintaining or improving fuel performance during normal operations and operational transients, as well as in design basis and beyond design-basis events. The enhanced fuel material should have

- improved reaction kinetics with steam;
- slower hydrogen production rate;
- improved cladding and fuel properties;
- enhanced retention of fission products.

The DOE provided a five-step guideline or metrics to assess the behavior of the ATF concept (Fig. 2) [9]. That is, the concept for accident tolerant fuel rods must be able to perform as well as the current system under normal operation conditions in the order of 300–400 °C cladding temperature (Step 1). This includes low corrosion rates in both boiling water reactors (BWR) and pressurized water reactor (PWR) environments, no environmental assisted cracking, no shadow corrosion, no hydriding that will render the rod brittle, no fretting or debris damage, etc. (Step 1). Also in Step 1, it needs to be demonstrated that the new fuel will be compatible with the thermal and hydraulic flow inside of the reactor. Step 2 requires that the ATF fuel rod would be better than the current zirconium – uranium dioxide system under design basis accidents including the temperature range between 400 and 1200 °C

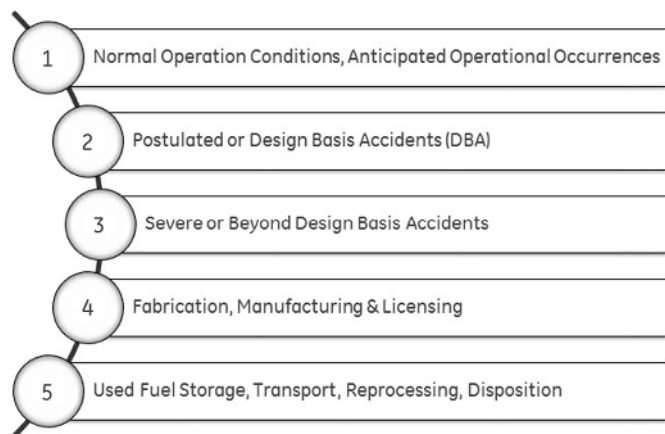


Fig. 2. Five metric Areas Provided by DOE to Evaluate ATF [9].

of the cladding temperature in contact with the coolant. Step 3 requires that under severe accident conditions ($T > 1200^\circ\text{C}$), the cladding would be superior to the current system, for example by tolerating reaction with steam to produce lower amounts of heat and explosive hydrogen gas [10]. Step 4 requires that the new ATF fuel rod can be manufactured easily using economical and standard procedures such as tube fabrication and hermetic welding or sealing. Moreover, Step 4 covers the changes that are required in the regulators or licensing specifications (e.g. Nuclear regulatory commission in the US) that would allow for the new ATF rod to be deployed into a commercial light water reactor. Step 5 is concerned about the condition of the fuel rods after their useful life in the reactor, if the bundles can be safely and integrally removed from the reactor to be securely stored in cooling pools for a period of 5 years or more, and how the rods will perform under dry cask storage for periods in the order of 100 years, before final disposition in a nuclear waste repository or reprocessing of the used fuel [9].

The objective of the GE project is to develop an iron-chromium-aluminum (FeCrAl) fuel cladding for current design light water power reactors. The idea of using FeCrAl alloys as cladding for current UO_2 fuel is also supported by Oak ridge national laboratory (ORNL), who developed the alloy C26M. Besides Fe, Cr, and Al, the cladding may contain other elements such as molybdenum, yttrium, hafnium, zirconium, etc. The composition of choice is Fe + (10–22) Cr + (4–6) Al + (2–3) Mo + traces of Y, Hf, Zr, etc. The FeCrAl cladding concept is a near term solution for providing enhanced safety to the current fleet of light water reactors. The main reason FeCrAl has been selected is because it has superior oxidation resistance in the event of a severe accident. Figure 3 shows the process of how this alloy resists attack by superheated steam. Under normal operation conditions and up to 1000°C the protection to the alloy is given by the formation of a chromium rich oxide on the surface. However, as the temperature increases beyond 1000°C , an aluminum oxide layer (alumina) forms between the metal and the chromium oxide layer. Eventually, in the presence of steam, the chromium oxide layer volatilizes and the

alumina layer remains on the surface protecting the alloy from further oxidation up to its melting point ($\sim 1500^\circ\text{C}$). Figure 4 shows the presence of a one micron thick layer of alumina on the surface of APMT coupon after exposure for 2 h at 1200°C in 100% steam.

FeCrAl has excellent environmental resistance characteristics under normal operation both for boiling and pressurized water reactors (BWR and PWR) coolants. There is no need to change the water chemistry of the BWR and PWR light water coolants since FeCrAl is compatible with the existing water chemistries. The use of FeCrAl would eliminate common/current fuel failure mechanisms such as fretting and shadow corrosion. There is no change in fuel type since the GE FeCrAl concept utilizes the present UO_2 fuel. The current FeCrAl alloy candidates are APMT and C26M, the latter being an optimization alloy composition with lower Cr to avoid embrittlement under irradiation. Fabrication studies continue at ORNL and GE. ORNL and GE have been conducting research in the five areas listed in Figure 2 since 2012. The aim of this document is to describe the maturity of the FeCrAl concept and the overall feasibility on the use of ferritic FeCrAl alloys as cladding for nuclear fuel in commercial light water reactors. GE and ORNL are following a methodical approach to evaluate metrics or performance attributes outlined by Bragg-Sitton et al. [9]. Many other countries such as China, Japan, Korea, Belgium, etc. are also developing ATF fuel based on FeCrAl.

It is noted that austenitic stainless steel (SS) materials were used for fuel rod cladding in the past both for US commercial plants and overseas NPP [11]. Preliminary studies on FeCrAl alloy materials indicate sufficient strength and ductility to perform acceptably as cladding alloy, like past use of austenitic SS cladding. FeCrAl alloys do not contain nickel, which is a more expensive and a higher neutron absorption element than Fe, Cr or Al. However, compared to the negative experience with austenitic SS cladding, extensive crack propagation studies in high temperature water showed that ferritic FeCrAl was several orders of magnitude more resistance to environmentally-assisted cracking than modern type 304 SS [7]. Because of its ferritic or bcc structure, FeCrAl alloys are also more resistant to irradiation degradation than prior versions of austenitic SS cladding materials. Proton irradiation studies performed at the U. of Michigan showed that FeCrAl materials may be resistant to proton irradiation induced cracking providing additional confirmation of the potential acceptability of FeCrAl materials for fuel rod cladding [12]. Although there may be nominal changes in fuel rod geometry (e.g. clad OD and thickness) for lead rod assembly designs and in fuel assembly designs (e.g. fuel channels design) to accommodate differences in material performance in future fuel designs, such changes are expected to be incremental to existing fuel rod and assembly designs, significantly leveraging the knowledge base for current fuel designs for the new concept. Simulation studies performed at Brookhaven National Laboratory showed that there is little or no impact on the thermal-hydraulic properties of the system by using a fuel rod clad with a FeCrAl alloy [13]. It is expected that a FeCrAl alloy clad fuel rod can be designed with minimal

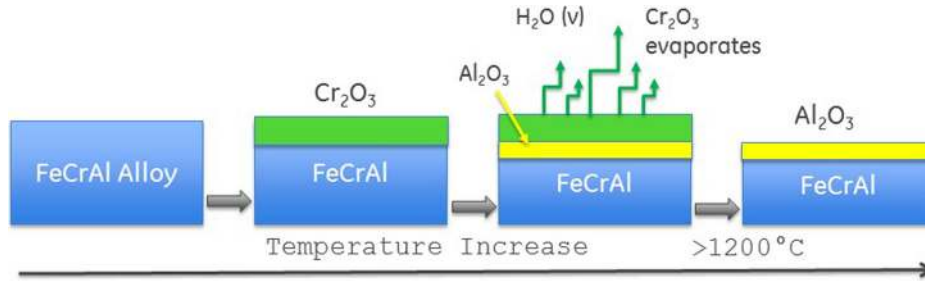


Fig. 3. Oxidation Behavior of FeCrAl in Super-Heated Steam.

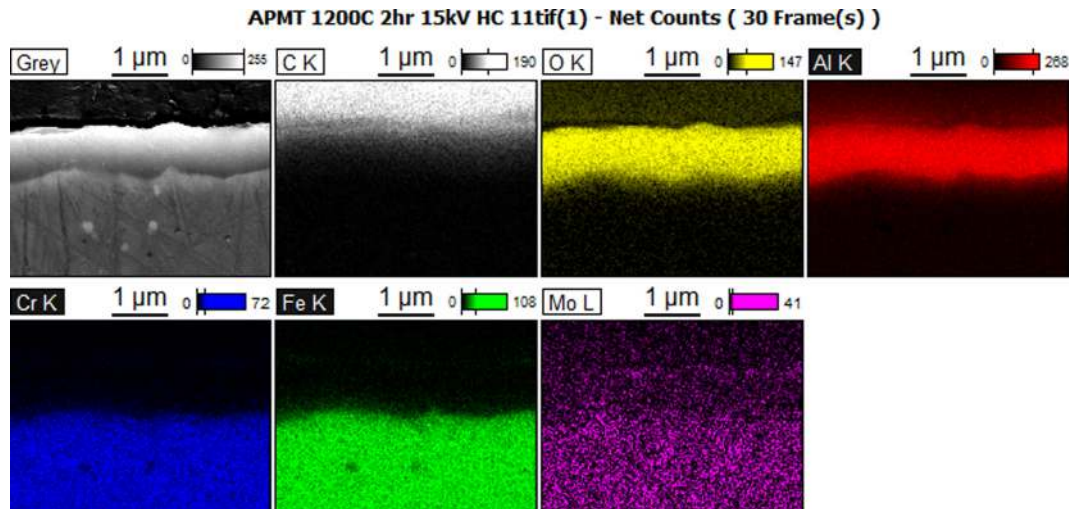


Fig. 4. Coupon of APMT exposed to 100% steam for 2 h at 1200°C. A 1 μm-thick alumina layer is observed on its surface.

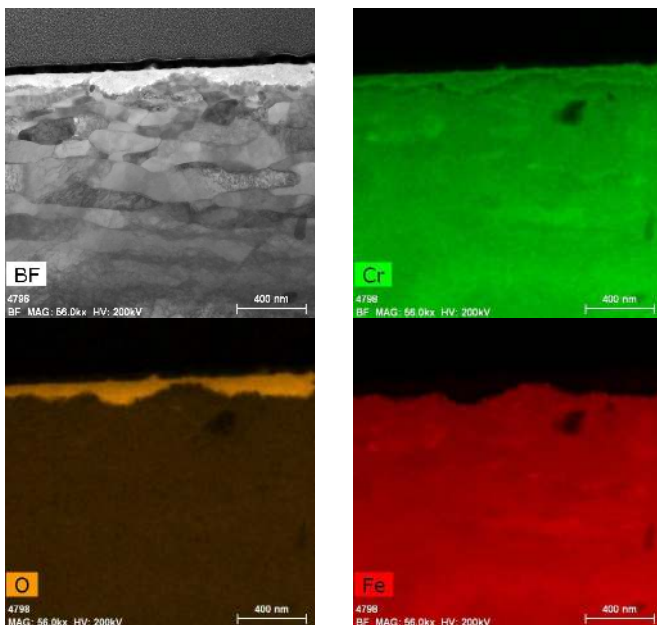


Fig. 5. Coupon of APMT exposed to PWR type water pure water + 3.75 ppm hydrogen at 330°C for one year. A ~150 nm oxide layer rich in Cr is observed on its surface.

thermal-hydraulic design changes. FeCrAl alloy cladding is completely compatible with the current coolant chemistries used in either BWR or PWR reactors, that is, significant coolant chemistry changes are not expected because of FeCrAl implementation. Extensive immersion studies with chemistries typically observed in both BWR and PWR reactors showed excellent corrosion resistance of the FeCrAl alloys both under hydrogen and oxygen atmospheres [14,15]. Figure 5 shows a protective Cr rich layer protecting the surface of APMT while exposed for a year in PWR type environments containing dissolved hydrogen. This is the same behavior observed for other current structural reactor internal materials such as type 316 SS [16,17].

Electrochemical studies in high temperature water showed that FeCrAl have a behavior like traditional reactor alloys such as type 304 SS and nickel based alloy X-750. Electrochemical studies performed at GE Global Research showed that FeCrAl rods in contact with a separator grid of alloy X-750 would not experience galvanic corrosion under irradiation conditions [18], allowing utilization of current existing grid/spacer designs.

Japan and other countries are also participating in the development of FeCrAl alloys for fuel cladding [19,20].

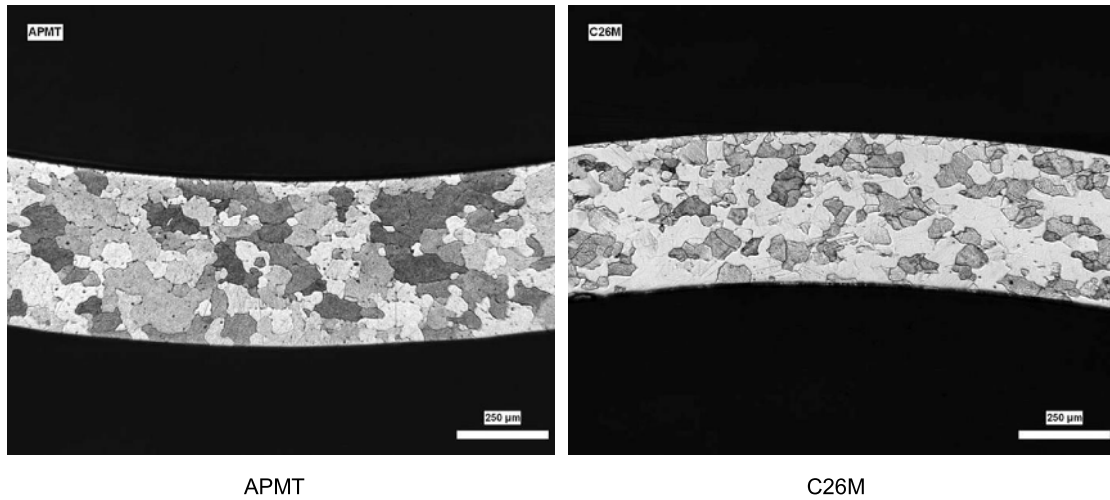


Fig. 6. Thin walled tubes of APMT and C26M fabricated using industrial practices. APMT is $\text{Fe} + 21\text{Cr} + 5\text{Al} + 3\text{Mo}$ and C26M is $\text{Fe} + 12\text{Cr} + 6\text{Al} + 2\text{Mo}$.

4 Fabrication, manufacturing and licensing

The FeCrAl/ UO_2 fuel rod is compatible with current large-scale production technology. Uranium dioxide (UO_2) pellet fabrication would remain the same as in the current process. Currently, tube fabrication trials are being conducted to demonstrate that FeCrAl alloys can be produced as long, thin walled tubes for fuel rod assemblies. Although the cladding fabrication process is yet untested for large scale production, there does not appear to be a significant barrier for production quantities of the cladding. Preliminary studies demonstrated FeCrAl compatibility with existing welding, manufacturing, and quality practices used with current Zircaloy based rod assembly systems. The fabrication processes for the FeCrAl/ UO_2 system will be similar to the current LWR fuel fabrication processes (pilgering/extruding, heat treatments, welding, NDE techniques, etc.) which are mature and well understood. Figure 6 shows etched metallographic cross sections of APMT and C26M tubes made following industrial practices. Figure 7 shows initial welding trials at the industrial fuel plant of APMT thin wall tubes to the APMT end caps. No issues were encountered complying with current nuclear industry quality and performance standards.

FeCrAl/ UO_2 fuel rod systems will have minimal or no impact in the handling of the fuel, shipping requirements and/or plant operations. It is expected that standard analyses techniques applied to zirconium alloy systems may be used substituting FeCrAl-specific properties to demonstrate acceptable performance under shipping and handling conditions, although licensing for shipping of the LFR/LFAs will need to be completed as well as in-core licensing.

Originally the deadline for insertion of a LFA into a commercial reactor given by DOE was 2022 [9] but the GE team working with the US Nuclear regulatory commission and Southern nuclear is planning to have a first FeCrAl installation in a commercial nuclear reactor in the Spring

of 2018 [21]. For this first installation, tube segments of APMT (a powder metallurgy alloy) and C26M (a traditionally melted experimental alloy) will be used. The main differences between these two alloys is their Cr content and the method of fabrication.

5 Mitigation measures to neutron absorption and tritium release

By its own nature, FeCrAl alloys offer a larger parasitic neutron absorption compared to zirconium alloys [6,7,22]. Because FeCrAl alloys such as APMT and C26M are stronger than zirconium alloys at near 400°C , the FeCrAl material for the cladding can be made approximately half the thickness of the current zirconium alloys (Figs. 6–8). The thinning of the wall will increase the volume of the uranium dioxide pellet inside the rod.

Additional design changes (such as the fuel channel), may be required to meet bundle design requirements, further impacting fuel cycle economics. However, potential mitigation strategies have been identified that may partially or fully offset these neutron penalties. Such mitigation strategies include alternate materials (e.g. silicon carbide composite channel materials), higher allowable heat generation rates, as well as relaxation of regulatory requirements due to much improved fuel cladding performance under normal/off-normal, design basis and beyond design basis accident conditions, which in turn will result in improved economics of plant operation.

A second issue that requires resolution is the potential to increase release of tritium into the coolant. EPRI reported that when austenitic stainless steel cladding was used for power generation the amount of tritium in the coolant water was approximately 10 times higher than when zirconium cladding was used [23]. Also since FeCrAl are ferritic (bcc) in nature, it can be inferred that the diffusion of tritium through the cladding wall into the

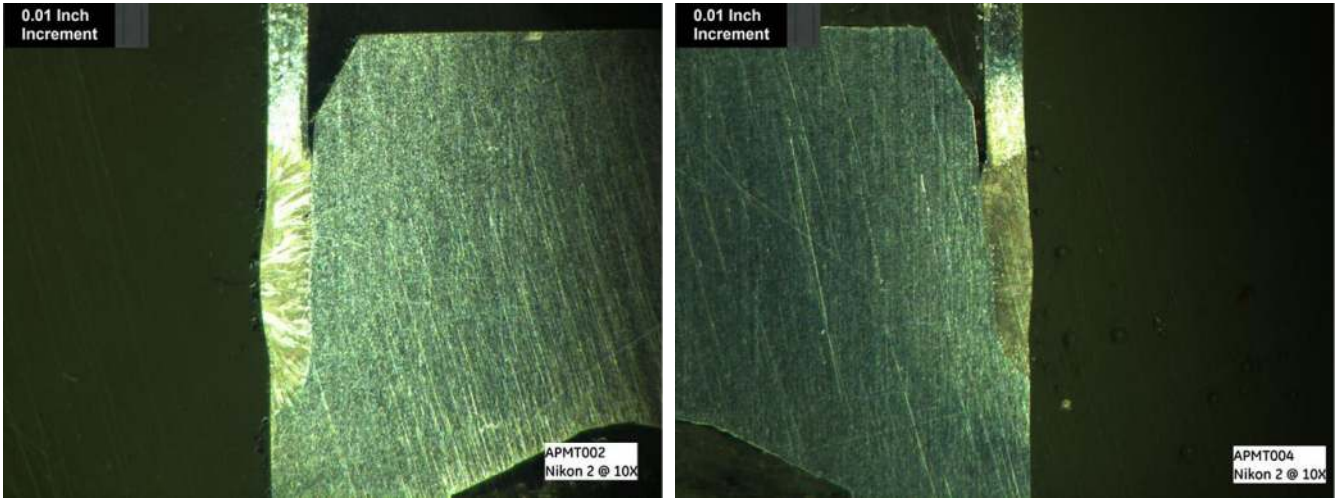


Fig. 7. Thin walled tubes of APMT welded to APMT end caps using industrial production setting.

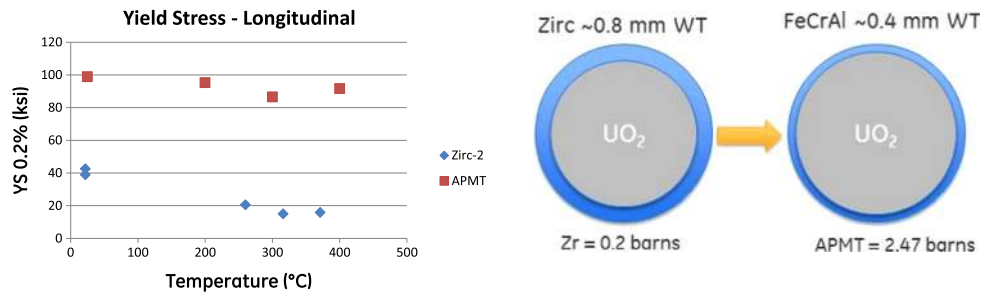


Fig. 8. Mechanical and neutron absorption properties of APMT and Zircaloy-2.

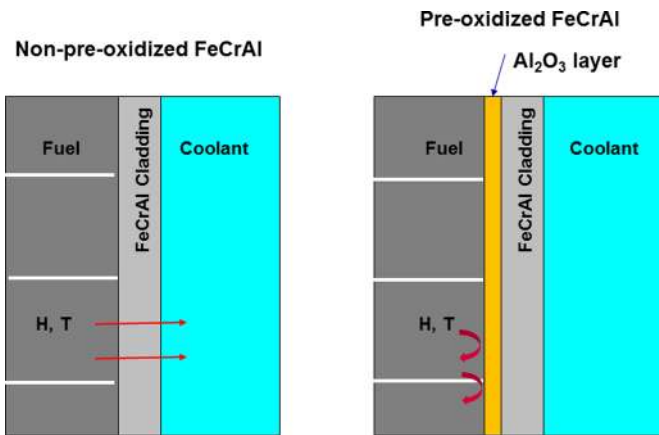


Fig. 9. Schematic representation how an alumina layer will impede the diffusion of tritium from the fuel to the coolant.

coolant could be even higher than when the austenitic (fcc) material was used. One potential mitigation strategy, currently under investigation, is the formation of an alumina layer (or other type of permeation barrier) in the ID and/or OD of the cladding [24]. A thin alumina layer (Figs. 4 and 9) in the ID of the cladding will significantly reduce the hydrogen permeation from the fuel to the coolant [25]. It has been shown that not only alumina would

reduce permeation of tritium from the fuel to the coolant, other oxides will also reduce hydrogen or tritium permeation [26,27].

6 Final remarks

Worldwide, there are several proposed concepts of ATF to make power reactors safer to operate. [28] One of the evolutionary and more near term for implementation concepts is to use FeCrAl for the cladding of UO₂ fuel [28]. As mentioned before, the positive attributes of FeCrAl is its versatility regarding the corrosion resistance under both normal operation conditions (~300 °C water) and accident conditions in superheated steam ($T > 1100$ °C). Figure 10 illustrates the versatility of FeCrAl and its ability to react to the environment using the right oxide for protection. Aluminum does not participate in the protection of FeCrAl under normal operation conditions, only chromium is necessary if an accident never happens. This is the same as the protection mechanism of type 304SS or Inconel 600. Aluminum is sine qua non for the alloy only in the case of an accident. For most reactors, aluminum would just ride along and will never be needed. If a loss of coolant accident happens, as the temperature of the cladding increases over 1100 °C, the chromium oxide would volatilize and alumina will form on its place protecting the alloy until the melting point of FeCrAl. If quenching of the reactor is allowed

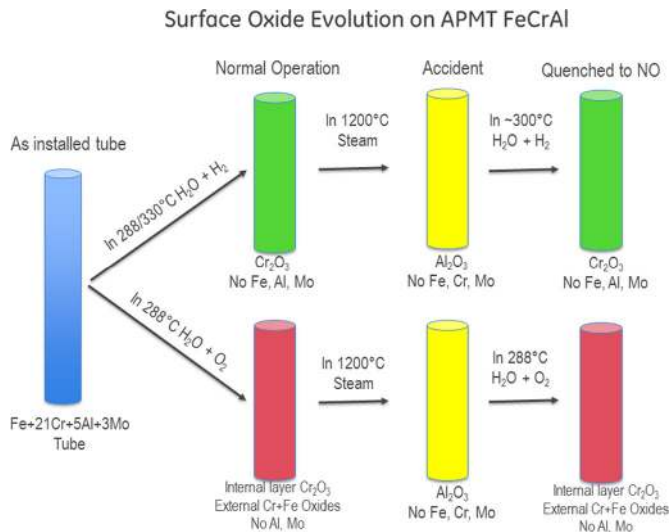


Fig. 10. Schematic representation how aluminum and chromium in FeCrAl react to normal operation conditions and accident conditions. Cr is beneficial only for normal operation conditions and Al only for superheated steam accident conditions.

below the melting point, the alumina on the surface of the alloy eventually will dissolve in the 300 °C water and a chromium oxide will form in its place protecting the alloy for years to come. The evolution process between chromia and alumina on the surface of FeCrAl is reversible, from chromia to alumina and from alumina to chromia [29].

7 Conclusions

- The proposed accident tolerant fuel (ATF) design concept utilizes a FeCrAl alloy material such as APMT or C26M as fuel rod cladding in combination with uranium dioxide (UO₂) fuel pellets, resulting in a fuel assembly that leverages the performance of existing present LWR fuel assembly designs and infrastructure with improved accident tolerance.
- The use of FeCrAl will greatly improve the safety of plant operation by putting a tougher primary barrier between the radioactive elements in the fuel and the coolant.
- Under accident conditions, FeCrAl alloys are orders of magnitude more resistant to reaction with superheated steam than zirconium, generating less combustible hydrogen and lower heat of reaction.
- FeCrAl alloys are less transparent to neutrons than zirconium alloys, therefore the cladding wall needs to be in the order of 400 μm. Tritium release into the coolant can be minimized by the presence of oxides on the surface of the tubes.

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