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JCR70: A Low-Complexity Millimeter-Wave Proof-of-Concept Platform for a Fully-Digital SIMO Joint Communication-Radar

PREETI KUMARI^{®1,2} (Member, IEEE), AMINE MEZGHANI^{®3} (Member, IEEE), AND ROBERT W. HEATH, JR.^{®1,4} (Fellow, IEEE)

> ¹Electrical and Computer Engr., The University of Texas at Austin, Austin, TX 78712 USA ²Qualcomm Technologies, Inc., San Diego, CA 92121 USA ³University of Manitoba, Winnipeg R3T 5V6, Canada ⁴North Carolina State University, Raleigh, NC 27606 USA

CORRESPONDING AUTHOR: AMINE MEZGHANI (e-mail: Mezghani@nws.ei.tum.de)

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ABSTRACT A fully-digital wideband joint communication-radar (JCR) with a single-input-multiple-output (SIMO) architecture at the millimeter-wave (mmWave) band will enable high joint communication and radar performance with enhanced design flexibility. A quantized receiver with few-bit analog-to-digital converters (ADCs) will enable a practical JCR solution with reduced power consumption for futuristic portable devices and autonomous vehicles. In this paper, we present a joint communication-radar proof-of-concept platform, named JCR70, to evaluate and demonstrate the performance of these JCR systems using real channel measurements in the 71–76 GHz band. We develop this platform by extending a mmWave communication set-up with an additional full-duplex radar receiver and by capturing the SIMO JCR channel using a moving antenna on a sliding rail. To characterize the JCR performance of our developed testbed, we conduct several indoor and outdoor experiments and apply traditional as well as advanced processing algorithms on the measured data. The experimental results show that the quantized receiver with 2–4 b ADCs generally performs quite close to the high-resolution ADC for a signal-to-noise ratio of up to 5 dB. Additionally, we compare the performance of our JCR70 platform with the INRAS Radarbook, which is a state-of-the-art automotive radar evaluation platform at 77 GHz.

INDEX TERMS Antenna arrays, fully-digital radio implementation, low -resolution ADCs, millimeter-wave communication, millimeter-wave radar, sparse estimation.

I. INTRODUCTION

Millimeter-wave (mmWave) communication and radar are key technologies for many demanding applications, such as automated driving [1] and smart connected devices [2]. MmWave radars enable high-resolution sensing with a wide field of view, while mmWave communications provide a high data rate. The combination of these two technologies into a single joint communication-radar (JCR) [3], also commonly known as RadCom [4] or dual function radar-communications [5], enables hardware reuse and a common signaling waveform. This leads to significant benefits in cost, power consumption, latency, spectrum efficiency, and market penetrability as compared to communications and radars separately.

In this paper, we present a fully-digital single-inputmultiple-output (SIMO) JCR platform, named JCR70, at 71– 76 GHz band. Due to the fully-digital SIMO functionality and a software-defined architecture, JCR70 provides enhanced communication and radar performance with increased waveform and beamforming design flexibility. Unfortunately, a naive design of a fully-digital SIMO systems at the mmWave band will result in high cost, hardware complexity, and power consumption due to large bandwidths and high dimensions. To mitigate these issues, we synthesize a fully-digital SIMO



testbed by moving an antenna connected with a radio frequency (RF) chain and a high-speed, high-resolution analogto-digital converter (ADC) on a slider. Further improvements can be achieved by using a low quantized receiver, as proposed in our theoretical paper [6]. Therefore, we also perform a proof-of-concept evaluation for a fully-digital JCR with lowand medium- resolution ADCs by emulating quantization effect on the data collected from JCR70 testbed. This will enable a practical fully-digital SIMO JCR solution for futuristic radio systems.

Because of hardware limitations, mmWave JCR prototyping using communication testbeds have been difficult [7]. Recently, [8] investigated the applicability of the IEEE 802.11ad technology at 60 GHz for communications on a vehicular testbed using the Tensorcom 802.11ad module. In [7], the feasibility of IEEE 802.11ad-based radar [9], [10] was performed indoors in the range domain using a Dell laptop with IEEE 802.11ad functionality at 60 GHz. The IEEE 802.11ad testbeds developed in [7], [8], however, used analog beamforming and was not fully programmable. Additionally, the strong atmospheric absorption at 60 GHz makes it difficult for future outdoor-to-indoor communications, when compared to 71-76 GHz [11]. The existing mmWave testbeds with software-defined radio architecture and fully digital waveform generation/processing have demonstrated gigabits-per-second communication data rate at 71-76 GHz band [12], [13]. These mmWave communication prototypes, however, used analog processing in the angular domain and were not leveraged for simultaneous radar operations.

To realize a practical fully-digital radio system using lowresolution ADCs with a high sampling rate, there is some theoretical work on MIMO communications [14]–[16]. In [15], an iterative channel estimation method using Expectation-Maximization (EM) was proposed for the ultra-high frequency band. To leverage the sparsity in the mmWave channels, approximate message passing-based channel estimation algorithms were proposed and numerically analyzed in [16]. Prior work, though, did not consider the self-interference effect that occurs in a full-duplex radar operation [14]-[16]. There is limited work on low-resolution ADCs for a full-duplex radar that transmits and receives simultaneously. In [17], time-varying thresholds and ℓ_1 -norm minimization was proposed for a single-input-single-output radar with 1-bit ADC. To realize a fully-digital wideband mmWave SIMO JCR, [6], [18] proposed the use of high-speed, low-resolution ADCs receivers. The Cramér Rao bounds demonstrated that the radars with 1-bit ADC perform closely to the infinite-bit ADC for a single-target scenario at low signal-to-noise ratio (SNR) [6], [18]. A fully-digital mmWave SIMO JCR proofof-concept platform with high-speed, low-resolution ADCs, however, is unavailable.

In this paper, we present a low-complexity mmWave proofof-concept platform for a fully-digital SIMO JCR using high-, medium-, and low-resolution ADCs at 71–76 GHz band and 2 GHz bandwidth. To perform mmWave JCR characterization of the communication-centric JCR testbed, we conduct several experiments in a static indoor and outdoor setting with multiple scatters in the range and angle domain. The main contributions of the proposed research are summarized as follows:

- We present a fully-digital mmWave SIMO platform for demonstrating and evaluating the performance of a wideband JCR system. We develop this testbed by first extending the National Instruments (NI) mmWave platform for 5 G communications [12], [19] to a singleinput-single-output (SISO) JCR mmWave testbed with a full-duplex radar receiver. Then, we synthesize a singleinput-multiple-output (SIMO) testbed with simultaneous communication and radar functionality by moving the transmit (TX) antenna element on a slider. To our knowledge, this will be the first software-defined radio prototype for wideband SIMO joint communication and radar with a fully digital waveform generation/processing at the mmWave band.
- We conduct measurement campaigns to collect mmWave JCR data using trihedral corner reflectors for a singleand a two-target scenarios. We also perform experiments for extended target scenarios using a bike in the indoor setting and using a car in the outdoor setting.
- To estimate the JCR channel from the collected data using our testbed, we apply traditional fast Fourier transform (FFT)-based linear processing as well as advanced Bernoulli Gaussian (BG)-Generalized Approximate Message Passing (GAMP) and Gaussian mixture (GM)-GAMP algorithms with sparsity constraints. Additionally, we use the EM technique to optimize the hyperparameters of the BG or GM prior. The GAMP processing provides an enhanced channel estimate with reduced sidelobes and noise at the cost of higher computational complexity than the traditional FFT-based technique. To the best of our knowledge, applying GAMP to real-word quantized data has not been considered in prior work particularly at these frequencies.
- To demonstrate and evaluate the radar performance of • our communication-based JCR testbed, we compare the radar channel estimates obtained using our fully-digital JCR70 testbed against that from a state-of-the-art automotive radar. Here, we would like to clarify that the objective of our communication-based JCR testbed is not to beat the state-of-the-art radar solutions (if mmWave communication will not be required), but instead to merge communication and radar into a single mmWave system to enable hardware/spectrum reuse. We use the Radarbook by INRAS [20], which is a leading automotive radar evaluation platform for rapid prototyping at 77 GHz band with 1 GHz bandwidth. The Radarbook platform uses frequency-modulated continuous-wave (FMCW) waveforms, employs analog pre-processing in the time domain, and supports timedivision multiplexing-MIMO with 12-bit ADCs. Our experimental results demonstrate that our JCR70 testbed estimates the radar channel with higher resolution than the Radarbook due to wider bandwidth and larger

emulated antenna aperture. Besides the radar functionality, our JCR70 testbed with a software-defined architecture enables communication capability.

- For evaluating the performance of low- and mediumresolution ADCs, we collect measurements at the fullduplex radar receiver using 12-bit ADCs and emulate the quantization effect on the collected data, such as 1-bit ADC can be emulated by just keeping the most significant bit. We mitigate the self-interference from the JCR transmitter to the radar receiver by using directive TX antenna as well as by separating the TX and receive (RX) antennas. To our knowledge, this is the first experimental evaluation of a wideband fully-digital mmWave SIMO JCR with high-speed, few-bit resolution ADCs.
- We analyze the performance of emulated *b*-bit ADC data using the normalized mean square error (NMSE) metric for radar channel estimate in the single-target, two-target, and extended target scenarios. Additionally, we also compare the communication and radar performances of the joint system with NMSE for both 1-bit ADC and with the infinite-resolution ADC for the proposed system model. Our experimental results show that low-resolution ADCs perform close to the high-resolution ADCs. The performance gap reduces with decreasing SNR and increasing channel sparsity.

This paper is a significant extension of our submitted conference papers [21], [22]. In addition to the detailed exposition, we have included multiple point target and extended target results, outdoor testing, JCR performance using *b*-bit ADCs, and comparison with traditional radars to demonstrate and evaluate the performance of our testbed.

The rest of this paper is organized as follows. We formulate a system model for our proposed JCR system in Section II. In Section III, we describe our developed fully-digital SIMO hardware testbed with 2 GHz bandwidth at 71–76 GHz band. Then, we outline the software platform for our testbed with traditional as well as advanced receive processing algorithms in Section IV. In Section V, we describe the experimental and numerical results. Finally, we conclude our work and provide direction for future work in Section VI.

Notation: We use the following notation throughout the paper: The notation $\mathcal{N}(\mu, \sigma^2)$ is used for a complex Gaussian random variable with mean μ and variance σ^2 . The operator $|| \cdot ||$ represent the square norm of a vector. The notation $(\cdot)^T$, $(\cdot)^*$, and $(\cdot)^c$ stand for transpose, Hermitian transpose, and conjugate of a matrix/vector, while $(\cdot)^{-1}$ represent the inverse of a square full-rank matrix. Additionally, vec (\cdot) vectorizes a matrix to a long vector, while \circ represent Khatri-Rao product of matrices. The *b*-bit quantization function $\mathcal{Q}_b(\cdot)$ is applied component-wise and separately to the real and imaginary parts.

II. SYSTEM MODEL

In this section, we present the system model and assumptions pertaining to the development of radar digital signal processing (DSP) receiver algorithms for our JCR70 testbed with a

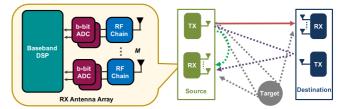


FIGURE 1. A full-duplex joint communication-radar scenario, where first a source vehicle sends preamble to the communicating target vehicle, while simultaneously receiving its radar echoes in the presence of self-interference. Then, both vehicles start communicating data in a full-duplex mode. The JCR receivers use low-resolution ADCs per receive RF chain to reduce the hardware complexity.

fully-digital communication-centric waveform, SIMO architecture, and the use of high-speed ADCs. Although we did not incorporate some hardware impairments in the system model, like phase noise, and power amplifier non-linearity, they will be taken into account in our experimental proof-of-concept evaluation. As a first step to investigate the feasibility of a mmWave JCR system with low-resolution ADCs, we assume a static indoor setting. The proof-of-concept evaluation for dynamic scenarios is a subject of future work.

We consider a mmWave JCR system, where a full-duplex source transmits the JCR waveform at a carrier wavelength λ with a signaling bandwidth B to a destination receiver at a distance $d_{\rm c}$, while simultaneously receiving echoes from the surrounding targets, as shown in Fig. 1. The receiver employs an M element uniform linear array (ULA) with a b-bit ADC per antenna with an inter-element spacing of $d_0 \leq \frac{\hbar}{2}$. Nonetheless, the proposed technique can be employed to other arrays by using *b*-bit ADC for each antenna. The TX and RX antennas are assumed to be closely separated by a distance of d_{si} m to reduce the self-interference due to the full-duplex operation, while making sure that the observed range and direction of a target is the same. The single directional TX antenna is assumed to have sufficient attenuation in its antenna pattern aligned with the end-fire direction, allowing further suppression of direct self-interference due to full-duplex operation. The residual direct signal leakage of the TX signal to the RX antennas is incorporated in the system model. The analysis in this paper can be extended to TX antenna array with b-bit digital-to-analog converters (DACs), while maintaining a separate radio-frequency chain per antenna.

In a *T* second coherent processing interval, we consider a single carrier physical layer TX JCR waveform with δ fraction of preamble symbols and $1 - \delta$ fraction of communication data symbols. We assume that the training sequences possess good correlation properties for quantized channel estimation and has a low peak-to-average power ratio [16]. The mmWave WLAN standard [23] with Golay complementary sequences or 5 G communications [24] using Zadoff-Chu (ZC) sequences can realize this JCR frame structure. Similar to [9], we exploit the training sequences used in the preamble with good properties for radar sensing. The preamble is denoted by an *N*-element vector $\mathbf{t} \in \mathbb{C}^{N \times 1}$. The complex baseband JCR

signal model at the source transmitter with T_s symbol period is

$$s_{\mathrm{TX}}(t) = \sum_{n=-\infty}^{\infty} s[n]g_{\mathrm{TX}}(t - nT_s), \qquad (1)$$

where s[n] is the transmitted symbol with $\mathbb{E}[|s[n]]|^2 = \mathcal{E}_s$, and $g_{TX}(t)$ is the unit energy pulse-shaping filter. The transmitted symbol s[n] could either correspond to the data part or the training sequence of the JCR waveform.

We represent the mmWave communication channel using a geometric wideband channel model with N_c sparse clusters. Each n^{th} cluster is further assumed to consist of $P_c[n]$ rays/paths between the source transmitter and the destination receiver. Each p^{th} ray in the n^{th} cluster is characterized by its complex channel power $G_c[n, p]$ that includes path loss and antenna gain, physical angle-of-arrival (AoA) relative to broadside $\phi_c[n, p] \in [-\pi/2, \pi/2]$, spatial AoA $\theta_c[n, p] = \frac{d_0}{\lambda} \sin(\phi_c[n, p])$, and delay $\tau_c[n, p]$. For the *M*-element RX ULA, the array response vector $\mathbf{a}(\theta) \in \mathbb{C}^{M \times 1}$ is

$$\mathbf{a}(\theta) = \frac{1}{\sqrt{M}} \left[1, e^{-j2\pi\theta}, \dots, e^{-j(M-1)2\pi\theta} \right]^{\mathrm{T}}.$$
 (2)

Denoting $G_{\text{RX}}(\theta_c[n, p])$ as the RX antenna element gain, u_c as the path loss exponent for communication channel, L_c as the communication loss factor that includes various losses like cable loss, impedance mismatch, the channel power $G_c[n, p]$ using the free space reference distance path loss model is expressed as [25]

$$G_{\rm c}[n, p] = \frac{\lambda^2 G_{\rm RX}(\theta_{\rm c}[n, p])}{(4\pi)^2 (d_{\rm c}[n, p])^{u_{\rm c}} L_{\rm c}},\tag{3}$$

where u_c is close to 2 for the mmWave line-of-sight (LoS) communications in outdoor urban [25] and rural scenarios [26]. Under this model, the communication channel $\mathbf{h}_c(\tau) \in \mathbb{C}^{M \times 1}$ corresponding to delay τ with $g_{\text{RX}}(t)$ as the RX pulse shaping function is expressed as

$$\mathbf{h}_{c}(\tau) = \sum_{n=0}^{N_{c}-1} \sum_{p=0}^{P_{c}[n]-1} \sqrt{G_{c}[n,p]} \mathbf{a}(\theta_{c}[n,p]) g_{RX}(\tau - \tau_{c}[n,p]).$$
(4)

The radar channel is assumed to consist of N_r clusters, which includes a small-delay cluster of residual selfinterference due to the simultaneous TX and RX operation in radars, along with the other $N_r - 1$ clusters corresponding to the reflections from multiple surrounding objects. The maximum delay spread of the radar channel is assumed to be τ_{max} , which is generally much smaller than the communication delay spread. Each of the p^{th} ray in the n^{th} cluster is characterized by its complex channel power $G_r[n, p]$ that includes path loss, antenna gain, and radar cross-section (RCS) $\sigma_{RCS}[n, p]$, physical angle-of-arrival (AoA) $\phi_r[n, p]$, spatial AoA $\theta_r[n, p] = \frac{d_0}{\lambda} \sin(\phi_r[n, p])$, and delay $\tau_r[n, p]$. The radar targets in this paper are either single/multiple point reflectors or extended targets. The two-way radar channel power, $G_r[n, p]$, corresponding to the p^{th} path in the n^{th} LoS cluster with L_r as the radar loss factor, which includes various losses like cable loss, is given as

$$G_{\rm r}[n,p] = \frac{\lambda^2 G_{\rm RX}(\theta_{\rm r}[n,p])\sigma_{\rm RCS}[n,p]}{64\pi^3 (d_{\rm r}^2[n,p])^{u_{\rm r}} L_{\rm r}}.$$
 (5)

We have experimentally estimated the path loss exponent $u_r = 2$ for mmWave radar channels at 73 GHz using our set-up, as described in Section V. We choose the cluster with n = 0 to represent the residual self-interference effect. Under this model, the radar channel with residual self-interference $\mathbf{h}_{si}(\tau)$ is given as

$$\mathbf{h}_{\mathrm{r}}(\tau) = \sum_{n=1}^{N_{\mathrm{r}}-1} \sum_{p=0}^{P_{\mathrm{r}}[n]-1} \sqrt{G_{\mathrm{r}}[n,p]} \mathbf{a}(\theta_{\mathrm{r}}[n,p]) g_{\mathrm{RX}}(\tau - \tau_{\mathrm{r}}[n,p]) + \mathbf{h}_{\mathrm{si}}(\tau),$$
(6)

where $\mathbf{h}_{si}(\tau) = \sum_{p=0}^{P_r[0]-1} \sqrt{G_r[0, p]} \mathbf{a}(\theta_r[0, p]) g_{RX}(\tau - \tau_r[0, p]).$

While the physical radar channel vector in (6) is accurate, it is difficult to estimate because of the non-linear dependence on the unknown parameters, such as channel powers, delays, and AoAs. Due to the finite waveform bandwidth, however, the radar channel model can be represented by a discretized channel vector $\mathbf{h}_d[k]$ by uniformly sampling the delay domain at the Nyquist rate $\Delta \tau = 1/B$ with the aid of Fourier series expansion. Denoting $K = [B\tau_{\text{max}} + 1]$ range bins of delay resolution $\Delta \tau$, the frequency domain representation $\tilde{\mathbf{h}}_r(f)$ of $\mathbf{h}_r(\tau)$ is given by

$$\tilde{\mathbf{h}}_{\mathrm{r}}(f) = \sum_{k=0}^{K-1} \mathbf{h}_{\mathrm{d}}[k] e^{j2\pi \frac{k}{B}f}.$$
(7)

Similarly, due to the finite RX antenna aperture, the channel at the k^{th} range bin can be represented by a discretized channel vector \mathbf{x}_k with M discrete angle bins of spatial angle resolution $\Delta \theta = 1/M$, such that they are related using the Fourier series expansion in the angle domain, and is given by

$$\mathbf{h}_{\mathrm{d}}[k] = \sum_{m=0}^{M-1} x_k[m] \mathbf{a}\left(\frac{m}{M}\right) = \mathbf{A}_{\mathrm{M}} \mathbf{x}_k, \qquad (8)$$

where $\mathbf{a}(m/M)$ is the m^{th} column of the RX beamforming matrix $\mathbf{A}_{\mathbf{M}} \in \mathbb{C}^{M \times M}$. Further details on the discretized channel vector \mathbf{x}_k expression can be found in [27].

Using the discretized channel matrix $\mathbf{X}_{\mathbf{r}} = [\mathbf{x}_0 \cdots \mathbf{x}_{K-1}]$ in the range-angle domain, we can express the unquantized RX signal model in a linear equation format. Therefore, the unquantized RX radar signal corresponding to the training sequence vector \mathbf{t} with $\mathbf{D} \in \mathbb{C}^{K \times N}$ as the circulant-shift matrix of \mathbf{t} , where the k^{th} row of \mathbf{D} is obtained by circularly shifting \mathbf{t}^T by k, and the additive Gaussian noise matrix $\mathbf{W}_{\mathbf{r}} \in \mathbb{C}^{M \times N}$ with zero mean and variance σ_u^2 is given as

$$\mathbf{Y}_{\mathrm{r}} = \mathbf{A}_{\mathrm{M}} \mathbf{X}_{\mathrm{r}} \mathbf{D} + \mathbf{W}_{\mathrm{r}}.$$
 (9)

We define the radar SNR as $\mathbb{E}[||\mathbf{z}_r||^2]/\mathbb{E}[||\mathbf{w}_r||^2]$ with the unquantized noiseless signal vector $\mathbf{z}_r \triangleq \text{vec}(\mathbf{A}_M \mathbf{X}_r \mathbf{D})$ and the noise vector $\mathbf{w}_r \triangleq \text{vec}(\mathbf{W}_r)$.

The quantized RX radar signal using *b*-bit ADC is $\mathbf{Q}_r = \mathcal{Q}_b(\mathbf{Y}_r)$. The quantization operation introduces distortion due to the granularity of the quantizer and due to the clipping effects. These distortions cause the quantized RX signal to be non-linearly related to the radar channel matrix \mathbf{X}_r unlike the unquantized case. Denoting the discretized radar channel vector as $\mathbf{x}_r \triangleq \operatorname{vec}(\mathbf{X}_r)$, the quantized complex-baseband RX radar signal vector defined as $\mathbf{q}_r \triangleq \operatorname{vec}(\mathbf{Q}_r)$ is represented as

$$\mathbf{q}_{\mathbf{r}} = \mathcal{Q}_b \left((\mathbf{D}^{\mathrm{T}} \otimes \mathbf{A}_{\mathrm{M}}) \mathbf{x}_{\mathrm{r}} + \mathbf{w}_{\mathrm{r}} \right).$$
(10)

The unquantized RX communication signal \mathbf{q}_c can similarly be expressed using the discretized communication channel vector \mathbf{x}_c in the range-angle domain as in (10). To estimate the discretized JCR channel matrix in the range-angle domain using the quantized received JCR signal, we can either use the traditional method with a correlation-based time-domain processing algorithm and a FFT-based angle-domain technique [9], or using advanced GAMP algorithms. These algorithms are described in detail in Section IV.

For radar performance evaluation, we consider 1-bit to 8-bit ADC and compare it with 12-bit ADC available in our JCR testbed. The main advantage of the low-resolution architecture is that it can be implemented with low power consumption and reduced the overall complexity of the circuit [28].

III. EXPERIMENTAL JCR70 HARDWARE PLATFORM

This section describes the hardware for our mmWave wideband JCR70 platform. Our JCR70 platform is developed for the use case where a source JCR transmitter sends a signal to a communication RX and uses the echoes from surrounding targets and clutter to derive target range and AoA estimates at the source radar receiver. First, we developed a full-duplex SISO JCR set-up with one JCR transmitter, one communication receiver, and one radar receiver. Then, we extended this set-up for a SIMO mmWave JCR system by moving TX antenna on a slider using a stepper motor to collect RX signals with multiple TX-RX inter-spacing simultaneously for communication and radar receivers.

A. SISO JCR TESTBED

The mmWave JCR testbed in a SISO set-up with 2 GHz bandwidth is shown in Fig. 2. This set-up extends the mmWave communication testbed developed by NI [19] for JCR functionality in a full-duplex configuration. We developed the JCR testbed using two NI PXIe-1085 express chassis. One of the chassis acts as the source JCR that consists of a communication transmitter and radar receiver and the other chassis acts as the destination receiver for the communication receiver. Each chassis houses NI PXIe-8135 controller, NI PXIe 7902 FPGA for baseband TX/RX processing, NI PXIe 3610 DAC module, NI PXIe 3630 ADC module, and NI PXIe 3620 for intermediate frequency (IF) up-/down-conversion. The IFlocal oscillator (LO) module is connected to mmWave TX/RX head(s) for up-/down-conversion to 71–76 GHz band and then 222

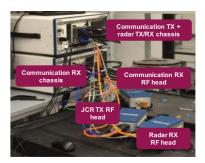


FIGURE 2. The mmWave joint communication and radar set-up with mono-static radar and bi-static communication in a SISO configuration. The radar and communication share a common fully-digital waveform to enable hardware/spectrum reuse. The radar is in a full-duplex mode and the interference between the radar TX and RX depends on the distance between them or the isolation provided by the objects between them.

these mmWave heads are connected to the horn antennas for over-the-air JCR transmission. The two chassis can be synchronized using Rubidium clock. The block diagram of this set-up is shown in Fig. 3 and the specifications for these modules are given in Table 1.

This test-bed can be used for both real-time JCR prototype mode and real-time JCR channel sounding mode. To characterize the signal processing performance of the wideband mmWave JCR, we explore the JCR channel sounding mode that is more flexible and easy to use as compared to the realtime JCR prototype. The JCR channel sounding mode transmits repetitions of 2048 length ZC sequences and acquires the raw signals at both radar and communication receivers simultaneously. We then further process this acquired RX signals in MATLAB for JCR performance evaluations as described in Section IV.

B. SIMO JCR TESTBED

We have also emulated a SIMO testbed by moving the TX mmWave head on a slider using a stepper motor to collect RX signals with multiple TX-RX inter-spacing for communication and radar receivers simultaneously, as shown in Fig. 4. We have not attached a horn antenna at the transmitter to increase the field of view. The TX and RX antenna is separated by around 5 cm due to the size limitation of NI frontend and a metallic sheet is added in between them to suppress the direct self-interference due to the full-duplex operation. The inter-distance between two TX locations is kept less than or equal to half of the carrier wavelength to avoid any grating lobes. The number of locations of the TX on the slider dictates the aperture length of the synthetic antenna, its angular resolution, and the far-field distance. Due to the time-domain channel reciprocity, the channel obtained using multiple TX locations and a fixed RX location will equivalently represent the channel that could have been obtained using multiple RX antenna locations with a fixed TX antenna location. Therefore, moving the JCR transmitter to several locations with fixed RX antennas for radar and communication, we equivalently obtain the SIMO channel for both radar and communication simultaneously.



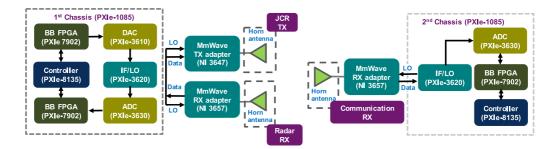


FIGURE 3. The block diagram of a SISO joint communication and radar testbed. The PXIe numbers correspond to parts from NI.

TABLE 1. Hardware Specifications for Our JCR70 Testbed

Hardware	Description/Specifications	Value(s)
NI PXIe-7902 Baseband	FPGA	Virtex-7 485T
NI PXIe 3610 DAC	Resolution	14 bit
	Sampling rate	3.072GS/s
	Bandwidth	2 GHz
NI PXIe 3620 IF-LO	IF tuning range	8.5 -13.5 GHz
NI PXIe 3630 ADC	Resolution	12 bit
	Sampling rate	3.072GS/s
	Bandwidth	2 GHz
NI 3647 RX mmWave head	Frequency band	71-76 GHz
	Quantities	2
NI 3657 TX mmWave head	Frequency band	71-76 GHz
	Quantities	1
Horn antennas	Gains	23 dBi and 10 dBi
	Beamwidth	10 and 50 degrees
Trihedral corner reflectors antennas	Edge length	4.3 and 3.2 inches
	Radar cross-section	5 and 8 dBsm at 73 GHz
Sliding rail	Emulated TX antenna inter-spacing	1.69 mm
	TX antenna location error	<0.1 mm
	Length	21 cm

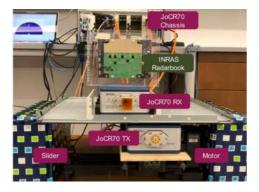


FIGURE 4. The SIMO JCR70 hardware platform, where the sliding motor is used to synthesize multiple digital RF chains.

Due to wide bandwidth of 2 GHz and large number of synthesized antennas using slider of 21 cm, our JCR testbed at 73 GHz band can achieve cm-level range resolution and upto around one degree angular resolution. For initial results, maximum communication and radar channel estimation range tested is 10 m with 50 degrees receive beamwidth. It, however, can be extended to 100 m for car testing. Due to the high resolution performance of our testbed, the maximum number of point targets that can be detected using our testbed is several thousands. Additionally, our JCR70 platform extends the NI 5 G mmWave communication testbed, which can achieve

gigabits-per-second communication data rate [12], [13], to simultaneously perform high-resolution full-duplex radar sensing.

In this paper, we use the developed JCR70 testbed for the proof-of-concept of mmWave SIMO JCR in a static joint communication and radar testing. Our fully-digital mmWave SIMO JCR testbed with a software-defined radio architecture provides a flexible proof-of-concept platform to study different alternatives so that the eventual product can incorporate JCR principles. The velocity estimation in dynamic scenarios is not possible using our JCR tested with NI platform at this point due to the slow mechanical emulation of the fully-digital SIMO antenna array. The development of a prototype with a real antenna array and parallel RF chains for dynamic testing with velocity estimation is a subject of future work. Fully digital mm-wave beamforming is an open research area and there is already some progress [29], [30].

We benchmark our proposed JCR system against a stateof-the-art automotive radar. We use the Radarbook by IN-RAS [20], which is a leading automotive radar evaluation platform for rapid prototyping at 77 GHz band with 1 GHz bandwidth using an Infineon chipset. We mount the Radarbook on top of the NI radar RX adapter module, as shown in Fig. 4. The Radarbook uses FMCW waveforms, includes 4 TX and 8 RX antennas, and supports time-division multiplexing (TDM)-MIMO with 12-bit ADCs and maximum sampling

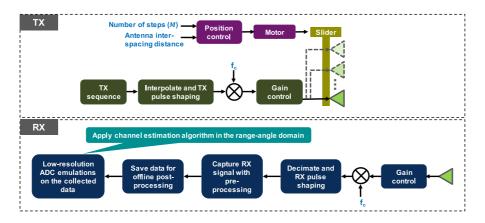


FIGURE 5. Software block diagram for our testbed.

rate of 80 MSps. It employs analog pre-processing in the time-domain to perform deramping that reduces the ADC sampling requirement. In the Radarbook, the software support is provided for basic functionality to control front end using MATLAB along with the direct access to the raw deramped complex radar outputs. The accessibility of the raw radar data is a main advantage of using the Radarbook versus a commercial radar that only provides access to the final estimated radar parameters [31].

In the Radarbook, there are 8 RX antenna elements and 4 TX antenna elements. The RX antenna element spacing is 1.948 mm, whereas the TX antenna element spacing is seven times the RX element spacing. By applying the TDM-MIMO technique on this TX-RX antenna configuration [20], a virtual ULA is synthesized with 3 overlapping virtual elements and M = 32 - 3 = 29 number of unique virtual element positions. After the deramping in the analog domain and the virtual ULA construction in the digital domain, the radar output can be mathematically expressed similar to (10) with **D** as a *K*-point FFT matrix. The RX processing techniques to estimate the radar channel using the raw deramped radar output from the 29 virtual antenna elements is described in Section IV.

IV. EXPERIMENTAL JCR70 SOFTWARE PLATFORM

In this section, we outline the software platform for our JCR70 testbed, as shown in Fig. 5. First, we will describe the realtime TX signal generation and offline RX emulations for *b*-bit ADCs in presence of the self-interference effects using our JCR70 testbed. Then, we will describe the real-time and offline RX processing algorithms. The real-time algorithms are implemented in LabVIEW and LabVIEW FPGA, whereas the offline emulations and processing are implemented in MATLAB. Additionally, we will explain the RX processing techniques for the Radarbook.

A. TRANSMIT AND RECEIVE SIGNALS

Zadoff-Chu training sequences of length 2048 are used as the training sequence. Multiple ZC sequences are sent in each scan, several scans are carried out at each TX antenna location, and the transmitter is moved on the slider for multiple ²²⁴

steps using a motor to emulate our SIMO testbed. For each scan at a particular TX location, the transmitter sends several repetitions of the training sequences and then wait to RX echoes for a predefined time interval of around 1 s, which is large enough to avoid any target range ambiguity. The JCR70 testbed employs around 10 seconds wait time before moving to the next TX location. At the receive side, we perform averaging over multiple repetitions of training sequences to increase the received SNR for each scan. Then, we choose the averaged received signal corresponding to the the middle scan to get the stable output from the emulated SIMO testbed, which is obtained by moving the TX mmWave head on the slider.

To emulate the received signal using medium- and lowresolution ADCs on the collected data, JCR70 performs uniform mid-rise quantization. Additionally, an artificial additive white Gaussian noise is added to the collected data at a much higher SNR to emulate the received signal with low- and medium-resolution ADC at low SNR. For a scalar complexvalued y, the received quantized signal $q = Q_b(y)$ is defined as

$$q = \operatorname{sign}(\operatorname{Re}(y)) \left(\min\left(\left\lceil \frac{\operatorname{Re}(y)}{\Delta_{\operatorname{Re}}} \right\rceil, 2^{b-1} \right) - \frac{1}{2} \right) \Delta_{\operatorname{Re}} + j \operatorname{sign}(\operatorname{Im}(y)) \left(\min\left(\left\lceil \frac{\operatorname{Im}(y)}{\Delta_{\operatorname{Im}}} \right\rceil, 2^{b-1} \right) - \frac{1}{2} \right) \Delta_{\operatorname{Im}},$$
(11)

where $\Delta_{\text{Re}} = (\mathbb{E}[|\text{Re}(y)|^2])^{0.5} \Delta_b$ and $\Delta_{\text{Im}} = (\mathbb{E}[|\text{Im}(y)|^2])^{0.5} \Delta_b$. The quantization stepsize Δ_b is chosen to minimize the quantization distortion mean square error assuming a Gaussian input. The values of Δ_b can be found in [32], [33]. At low SNR, the channel estimation performance is limited by the received signal power and low-resolution ADCs can perform reasonably. As the SNR increases, the channel estimation performance starts getting limited by the quantization noise and medium-resolution ADCs might be needed to provide desirable performance. In [15], [16], it was shown theoretically that 4-bit resolution can perform very close to the ideal performance at medium SNR. We will experimentally evaluate the performance of low- and VOLUME 2, 2021

Algorithm 1: EM-GM-GAMP Algorithm.		
1:	Input: Observation vector $\mathbf{q}, \mathbf{B} = \mathbf{D}^{\mathrm{T}} \otimes \mathbf{A}_{\mathrm{M}}$	
2:	Initialize: $\mathbf{r}^0 = 0, \mathbf{x}^0 = 0, \boldsymbol{\psi}^0 = \text{const}, \ell \leftarrow 0$	
3:	repeat	
4:	$\ell \leftarrow \ell + 1$	
5:	Output Step:	
	$\mathbf{r}^{\ell} \leftarrow g_{\ell}(-\mathbf{B}\hat{\mathbf{x}}^{\ell-1} + \boldsymbol{\psi}^{\ell-1} \circ \mathbf{r}^{\ell-1}, \mathbf{q}, \boldsymbol{\psi}^{\ell-1})$	
	$\boldsymbol{\xi}^{\ell} \leftarrow (\mathbf{B} \circ \mathbf{B}^{*})^{\mathrm{T}} g_{\ell}' (-\mathbf{B} \hat{\mathbf{x}}^{\ell-1} + \boldsymbol{\psi}^{\ell-1} \circ \mathbf{r}^{\ell-1},$	
	$\mathbf{q}, \boldsymbol{\psi}^{\ell-1})$	
6:	Input Step:	
	$\mathbf{x}^{\ell} \leftarrow f_{\ell}(\mathbf{B}^{\mathrm{H}}\mathbf{r}^{\ell} + \boldsymbol{\xi}^{\ell} \circ \hat{\mathbf{x}}^{\ell-1}, \boldsymbol{\xi}^{\ell})$	
	$ \begin{aligned} \mathbf{x}^{\ell} &\leftarrow f_{\ell}(\mathbf{\hat{B}}^{\mathrm{H}}\mathbf{r}^{\ell} + \boldsymbol{\xi}^{\ell} \circ \hat{\mathbf{x}}^{\ell-1}, \boldsymbol{\xi}^{\ell}) \\ \boldsymbol{\psi}^{\ell} &\leftarrow (\mathbf{B} \circ \mathbf{B}^{*}) f_{\ell}'(\mathbf{B}^{\mathrm{H}}\mathbf{r}^{\ell} + \boldsymbol{\xi}^{\ell} \circ \hat{\mathbf{x}}^{\ell-1}, \boldsymbol{\xi}^{\ell}) \end{aligned} $	
7:	update the parameters $oldsymbol{\Omega}$ using EM algorithm	
8:	until the estimate x^ℓ does not change significantly or	
	a maximum iteration count has been reached	
9:	return \mathbf{x}^{ℓ} .	

medium-resolution ADCs at different SNRs for ranges upto 10 m in Section V. The experimental demonstration and performance evaluation for few-bit ADCs in larger communication and radar ranges are left for future work.

B. RECEIVE PROCESSING

The raw communication or radar signal \mathbf{q}_c or \mathbf{q}_r in (10) received from the real-time JCR sounding testbed is used for estimating the JCR channel \mathbf{x}_c or \mathbf{x}_r in the range-angle domain. We benchmark the radar channel estimate obtained using JCR70 against that from the Radarbook. The radar output obtained from the JCR70 or the Radarbook is processed either by using a traditional FFT-based linear processing or by using an advanced non-linear GAMP technique.

In the traditional FFT-based linear processing, first the range processing is performed for each antenna location and then the FFT is applied in the angle domain for each range bin to estimate the channel in the range-angle domain. In our JCR70 testbed, the range processing involves matched filtering of the raw received data with the known training sequence for each antenna location [9]. In the Radarbook, the range processing involves applying FFT on the raw deramped output obtained from each virtual MIMO antenna element.

We also perform advanced sparse reconstruction using the high-performing non-linear GAMP technique in Algorithm 1 to estimate the mmWave channel in the range-angle domain from the received signal obtained using our JCR70 testbed or the Radarbook [34]. To leverage sparsity in the mmWave channels, we assume the channel coefficients x_i of the mmWave channel in the range-angle domain, \mathbf{x}_{r} or \mathbf{x}_{c} , are drawn from the BG or GM model with unknown parameters Ω , having marginal probability distribution function

$$p_X(x_i; \mathbf{\Omega}) = \eta_0 \delta(x_i) + \sum_{i=1}^{V-1} \eta_i \mathcal{N}(x_i; \mu_i, \nu_i), \qquad (12)$$

where η_0 is the probability of $x_i = 0$ thereby enforcing sparsity, $\delta(\cdot)$ is the Dirac distribution, and the unknown parameters VOLUME 2, 2021

 $\mathbf{\Omega} = [\{\eta_i, \mu_i, \nu_i\}_{i=1}^{V-1}, V]$ with η_i as the weight, μ_i as the mean and v_i as the variance of the Gaussian mixture with V components. By definition, $\sum_{i=0}^{V-1} \eta_i = 1$. For the BG model, V = 1and we use η to represent η_1 for simplicity with $\eta_0 = (1 - \eta)$. Since GM-GAMP uses larger V than BG, the GM-GAMP algorithm estimates the channel a bit better than BG-GAMP due to an enhanced fit to the true radar and communication channel distribution. The complexity of GM-GAMP, however, is higher than BG-GAMP since more parameters have to be estimated.

The GAMP algorithm generally performs channel estimation better than the traditional processing in sparse environments. The GAMP algorithm, however, has more computational complexity than the traditional FFT-based linear processing. Therefore, a two-stage processing with traditional processing on the collected data with the second stage of GAMP processing on a smaller part of the channel estimate to improve the resolution would improve the resolution without increasing the complexity much.

In Algorithm 1, we provide the pseudo-code of GAMP algorithm [34] to estimate the channel estimate \mathbf{x}_r or \mathbf{x}_c with noiseless received signal \mathbf{z}_r or \mathbf{z}_c based on the quantized observation \mathbf{q}_{r} or \mathbf{q}_{c} in (11). The recursive approach breaks apart the entire estimation problem into smaller scalar estimations described by the input denoising function $f_{\ell}(v, \xi^{\ell})$ and the output (residual) function $g_{\ell}(-u, q, \psi^{\ell-1})$. The minimum mean square error (MMSE) denoiser function $f_{\ell}(v, \xi^{\ell})$ for estimating x using BG-GAMP is calculated based on the posterior mean obtained from the Bernoulli-Gaussian prior $x \sim BG(\eta, 0, \nu)$ along with the noisy observation $v|x \sim$ $\mathcal{N}(\xi^{\ell}x,\xi^{\ell})$:

$$f_{\ell}(v,\xi^{\ell}) = \mathbb{E}\left[x|v\right] = \zeta \frac{\nu}{\xi^{\ell}\nu + 1}v, \qquad (13)$$

where

$$\zeta = \frac{\eta \mathcal{N}\left(v/\xi^{\ell}; 0, v+1/\xi^{\ell}\right)}{(1-\eta)\mathcal{N}\left(v/\xi^{\ell}; 0, 1/\xi^{\ell}\right) + \eta \mathcal{N}\left(v/\xi^{\ell}; 0, v+1/\xi^{\ell}\right)}.$$
(14)

The output (residual) function (applied element-wise for each real/imaginary component) [35] is

$$g_{\ell}(-u, q, \psi^{\ell-1}) = -\frac{u}{\psi^{\ell-1}} + \frac{\exp\left(-\frac{(q^{lo}-u)^2}{\sigma_w^2 + \psi^{\ell-1}}\right) - \exp\left(-\frac{(q^{up}-u)^2}{\sigma_w^2 + \psi^{\ell-1}}\right)}{2\sqrt{\pi(\sigma_w^2 + \psi^{\ell-1})} \left(\operatorname{erf}\left(\frac{q^{up}-u}{\sqrt{\sigma_w^2 + \psi^{\ell-1}}}\right) - \operatorname{erf}\left(\frac{q^{low}-u}{\sqrt{\sigma_w^2 + \psi^{\ell-1}}}\right) \right)}$$
(15)

with q^{lo} and q^{up} being the lower and upper quantization boundary. The scalar input functions f_{ℓ} and output function g_{ℓ} are applied element-wise to vectors in the GAMP algorithm. The messages exchanged between the input and output steps consist of the results of the individual scalar estimations as well as the curvature around these optima. This is crucial for faster convergence. The curvature message vectors $\boldsymbol{\xi}^{\ell}$ and $\boldsymbol{\psi}^{\ell}$ are obtained by means of the Wirtinger derivatives g'_{ℓ} and f'_{ℓ}

in step (5) and (6) with respect to the first argument. Similar expressions for the GM-GAMP algorithm can be calculated, and is given in [36]. Additionally, we can use the EM technique to optimize the hyperparameters of the BG or GM prior and the resulting algorithm is called EM-BG-GAMP and EM-GM-GAMP [36].

V. EXPERIMENTAL RESULTS

In this section, we describe different experiments conducted using our mmWave wideband testbed for the proof-of-concept performance evaluation of joint communication and radar at 73 GHz. The training sequence used in our mmWave JCR testbed is ZC sequence of length N = 2048 and the symbol rate is 1.536 GHz. First, we describe the hardware characterization for JCR that includes RF hardware calibration. Then, we evaluate the performance of our testbed for radar channel estimation using fully digital radar with infinite-bit and 1-bit ADC at RX. We conducted our experiments using corner reflectors for precise characterization and extended targets such as a bike and a car for JCR characterization in a more realistic setting. Finally, we present the results for the wideband joint communication and radar at mmWave band. We also compare our results using the state-of-the-art INRAS Radarbook that uses FMCW waveform at 77 GHz.

To evaluate the JCR channel estimation performance using b-bit ADCs, we use the NMSE metric that we define as

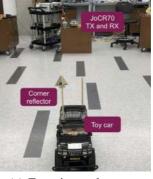
$$\text{NMSE}(\hat{\mathbf{x}}) \triangleq \mathbb{E}\left[\frac{||\bar{\mathbf{x}} - \hat{\mathbf{x}}||^2}{||\bar{\mathbf{x}}||^2}\right], \quad (16)$$

where $\hat{\mathbf{x}}$ is the estimated channel using our emulated data for *b*-bit ADCs with $1 \le b \le 8$ and $\bar{\mathbf{x}}$ is the channel estimate collected using our testbed at a higher SNR with 12-bit ADC and traditional FFT processing. The NMSE metric defined in (16) is not the traditional NMSE with $\bar{\mathbf{x}}$ denoting as the true value, which is unknown. Since the FFT-based processing is widely used for high-resolution ADCs at high SNRs in automotive applications [37], we have used $\bar{\mathbf{x}}$ for evaluating the relative performance of low- and medium-resolution ADCs as compared to the high-resolution ADCs at low SNR. Additionally, the mean square error metric can be used to evaluate the beamforming loss or to find a lower bound on the communication achievable rate [38].

A. RADAR: SINGLE-TARGET SCENARIOS

1) PATH LOSS

A screenshot of the measurement campaign for indoor radar sounding using the mmWave communication channel sounder setup is shown in Fig. 6(a). Indoor data collection has been performed using this setup for different distances using corner reflectors mounted on a mobile remote-controlled 1/6 hummer car. The processed measurement results in Fig. 6(b) shows the received power of the 4.3 in target corner reflector at different distances *d* in meters. With increasing distance, the amplitude of the target corner reflector decreases and the slope follows a path loss of 2 at higher distances, where it is considered in the far-field region.



(a) Experimental set-up

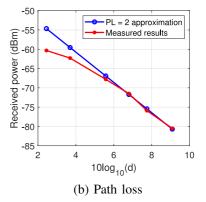


FIGURE 6. Radar testing using JCR70 platform and a 4.3 in trihedral corner reflector mounted on a toy vehicle for path loss measurements at different distances. The received power decrease with the distance (in meters) closely follows a path loss of 2 in the far-field region.

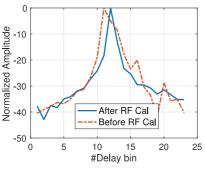
2) RF HARDWARE FREQUENCY RESPONSE CALIBRATION

To be able to estimate the radar or communication channel accurately, we first measure the RF hardware channel response using loop-back mode and then we need to remove the impact of the hardware, which is known as calibration. In the loop-back mode, we connect the mmWave head of the transmitter and the receiver back-to-back with a 60 dB attenuator in between to measure the hardware frequency response as shown in Fig. 7(a). We assume that the major non-flatness in the frequency response is caused by the TX-RX RF chain up to the mmWave head. This setup, however, assumes negligible non-flatness due to the RF frequency response of the waveguide connectors and passive components, such as horn antennas, themselves have flat response. Then, we equalize the hardware frequency response using frequency-domain MMSE equalizer.

Fig. 7(b) shows the estimated channel response of a trihedral corner reflector of 4.3 in mounted on a toy car before the equalization. This channel response deviates from the ideal narrow thumbtack shape. Therefore, we applied the RF hardware equalization and also changed the base of the corner reflector with narrow wooden flat surface to achieve near ideal thumbtack shape of the channel response. We see that equalized channel response has narrower mainlobe width and smaller sidelobes as compared to the unequalized one. The



(a) Calibration set-up



(b) Corner reflector response

FIGURE 7. RF hardware frequency response calibration set-up (left) and corner reflector response after and before RF hardware calibration. The delay bin resolution is the symbol period. To collect RF hardware frequency response for calibration, we used two STA-30-12-F2 attenuators of 30 dB each between the transmitter and receiver to avoid RX damage.

improvement is due to both the RF equalization implementation and the change in the base of the corner reflector. The RF equalization enables the removal of the RF hardware channel response from the measured channel response to estimate the radar or communication channel accurately. The change in the base of the corner reflector reduces the clutter due to the reflections from the base.

3) ESTIMATED RADAR CHANNELS IN THE RANGE-AZIMUTH DOMAIN

To evaluate the radar performance of our JCR testbed in the range-angle domain, we placed a corner reflector of 0.1 m edge length at 3.21 m and 0° with respect to our testbed, used a horn antenna with 10 dBi gain, and moved the TX with M = 86 steps. Additionally, we used the Radarbook to estimate the radar channel for comparison and placed it above our setup, in the indoor lab as shown in Fig. 8. For benchmarking purposes, we measured the target distance and angle using a laser device with mm-level accuracy. We also determined the SIMO antenna pattern of our developed JCR70 testbed using this set-up and compared it with the ideal antenna pattern. The resulting antenna pattern, and the detailed description on the JCR70 antenna pattern can be found in our paper [21].

Figs. 9(a) and (b) shows the normalized radar channels estimated with traditional processing algorithm using our testbed and the Radarbook, while Figs. 9(c) and (d) show the estimated radar channels using EM-BG-GAMP algorithm with reduced sidelobes and noise. We observe the full-duplex effect in the traditional radar images. We used the tap corresponding



FIGURE 8. Experimental set-up to evaluate the radar performance of our testbed for a single-target scenario using a corner reflector in the indoor lab.

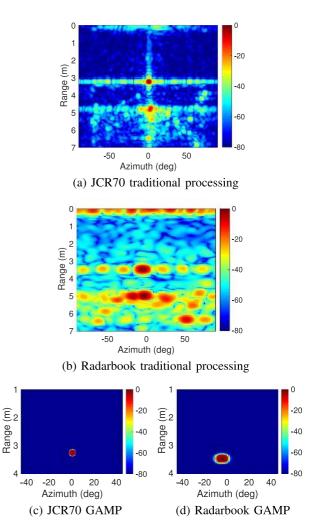
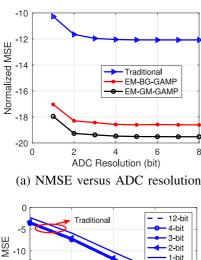
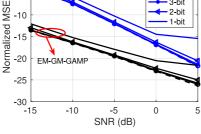


FIGURE 9. Estimated radar channels for a single-target scenario with traditional and advanced processing using our testbed (left) as well as the Radarbook (right). The channel estimates in (c/d) with EM-BG-GAMP have reduced sidelobes than (a/b) with traditional processing.

to the self-interference effect in the channel estimate obtained at the first antenna location as the zero range reference. We found the tap corresponding to the corner reflector to be at a constant distance with respect to the zero reference for all Msteps, while its magnitude varied within 1 dB.





(b) NMSE versus SNR

FIGURE 10. Estimated radar NMSE for the single-target scenario versus ADC resolutions at SNR equal to -5 dB (left) and versus SNRs for 12-, 4-, 3-, 2-, as well as 1- bit ADC resolutions (right). The radar channel estimate with 2-bit ADCs perform closely to the high-resolution ADCs at low and medium SNRs, whereas with 3-bit ADCs perform closely at all considered SNR values.

From Fig. 9(a), we see that the corner reflector is at 3.223 m and 1°, demonstrating high-resolution sensing capability of our testbed. We also observe wall reflection near 4.9 m and several multipath reflections around it. From Figs. 9(c) and (d), we observe that the resolution of the single-target image using our testbed is much higher than the Radarbook due to higher bandwidth and the larger number of synthesized antennas. The GAMP algorithm, however, has more computational complexity than the traditional FFT-based linear processing. Therefore, a two-stage processing with traditional processing on the collected data (as shown in Figs. 9(a) and (b)) with the second stage of GAMP processing on a smaller part of the channel estimate (as shown in Figs. 9(c) and (d)) has been used to improve the resolution would improve the resolution without increasing the complexity much. In our conference version [22], however, we have compared the traditional FFTbased algorithm and the one-stage advanced GAMP technique for a similar single-target scenario, where the target was placed quite far from the boresight. The radar image estimated using 1-bit ADC emulation and GAMP processing detected the wall and the target without any false detection and has reduced sidelobes than the FFT-based processing approach.

Figs. 10(a) and (b) show the estimated radar NMSE variation with different ADC resolutions and SNRs for the singletarget experiment using emulations on the data collected from with different ADC resolutions at -5 dB, using the traditional algorithm, EM-BG-GAMP, and EM-GM-GAMP. The estimated NMSE decreases marginally till 2 b ADC. The gap between the estimated NMSEs of any two consecutive ADC resolution is highest between the 1-bit ADC and 2-bit ADC. Fig. 10(b) depicts the estimated NMSE variation with different SNRs for 12-bit, 4-bit, 3-bit, 2-bit, and 1-bit ADCs using the traditional FFT-based algorithm and EM-GM-GAMP technique. The gap between high-resolution ADCs and lowresolution ADCs increases with SNR. The gap between the estimated NMSEs of traditional processing and sparse GAMP technique decreases with SNR. Additionally, the rate of decrease of radar NMSE reduces with the increase in SNR for low-resolution ADCs. This is due to the higher quantization noise associated with low-resolution ADCs, which limits their performance in high SNRs [32], [33].From Figs. 10(a) and (b), we see that 2-bit ADCs perform very closely to the highresolution ADCs at low and medium SNRs, whereas 3-bit ADCs perform very closely at all considered SNR values.

our testbed. Fig. 10(a) shows the estimated NMSE variation

B. RADAR: TWO-TARGET SCENARIOS

We performed a two-target experiment with two corner reflectors of 4.3 in and 3.2 in edge length in the indoor lab using our fully-digital SIMO testbed and the Radarbook. The two targets are closely placed in range and angle domain. Figs. 11(a) and (b) shows the estimated radar channels with traditional processing algorithm using our testbed and the Radarbook, while Figs. 11(c) and (d) show the estimated radar channels using EM-BG-GAMP algorithm with reduced sidelobes and noise. Fig. 12 shows the experimental set-up for the two-target scenario. We use 30 steps to emulate SIMO using our testbed. In Fig. 11(a), we observe two scattering centers corresponding to the two corner reflectors used, unlike the Radarbook due to higher bandwidth and number of synthesized antennas. The GAMP processed image in (c) has recovered the amplitudes of the two corner reflectors better than the one in (d).

Figs. 13(a) and (b) show the estimated radar NMSE variation with different ADC resolutions and SNRs for the twotarget experiment using emulations on the data collected from our testbed. Fig. 13(a) shows the estimated NMSE variation with different ADC resolutions at -5 dB, using the traditional algorithm, EM-BG-GAMP, and EM-GM-GAMP. The estimated NMSE decreases marginally till 3 b ADC. The gap between the estimated NMSEs of any two consecutive ADC resolution is highest between the 1-bit ADC and 2-bit ADC. The gap between traditional FFT-based processing and advanced GAMP algorithms is smaller as compared to single-target scenarios. Fig. 13(b) depicts the estimated NMSE variation with different SNRs for 12-bit, 4-bit, 3-bit, 2-bit, and 1-bit ADCs using traditional FFT-based algorithm and EM-GM-GAMP technique. The gap between high-resolution ADCs and lowresolution ADCs increases with SNR. The gap between the estimated NMSEs of traditional processing and sparse GAMP technique decreases with SNR for all considered ADC resolutions except 1-bit ADC, where it first decreases and then



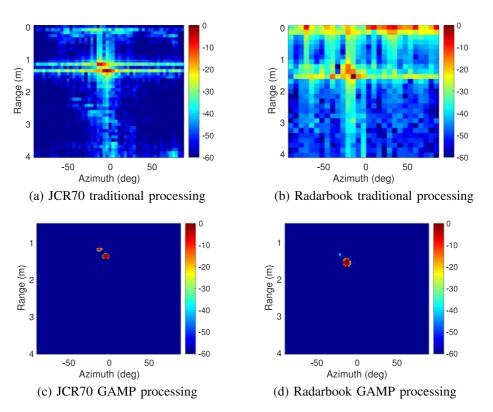


FIGURE 11. Estimated radar channels for the two-target scenario using corner reflectors of 4.3 in and 3.2 in edge length with traditional and advanced processing using our testbed (left) as well as the Radarbook (right). The channel estimates in (c/d) with EM-BG-GAMP have reduced sidelobes than (a/b) with traditional processing. The channel estimates in (a/c) using our JCR70 testbed have recovered the two-target channel response better than the channel estimates in (b/d) using the Radarbook.

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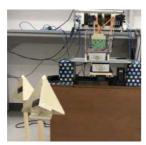
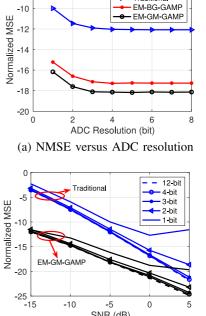


FIGURE 12. Experimental set-up to evaluate the radar performance of our testbed for a two-target scenario using two corner reflectors in the indoor lab.

increases with SNR. From Figs. 13(a) and (b), we see that 2-bit ADCs perform very closely to the high-resolution ADCs at low SNRs, and 3-bit ADCs perform very closely at all considered SNR values.

C. RADAR: EXTENDED TARGET SCENARIOS

We used a bike in the indoor lab to evaluate the radar performance in the extended target scenario using our fully-digital SIMO testbed and the Radarbook. Figs. 14(a) and (b) shows the estimated radar channels with traditional processing algorithm using our testbed and the Radarbook, while Figs. 14(c) and (d) show the estimated radar channels using EM-BG-GAMP algorithm with reduced sidelobes and noise. Fig. 15 shows the experimental set-up for the extended target scenario



Traditional

SNR (dB) (b) NMSE versus SNR

-5

-10

0

5

FIGURE 13. Estimated radar NMSE for the two-target scenario for different ADC resolutions at SNR equal to -5 dB (left) and versus SNRs for 12-, 4-, 3-, 2-, as well as 1- bit ADC resolutions (right). The radar channel estimate with 2-bit ADCs perform closely to the high-resolution ADCs at low SNRs, whereas with 3-bit ADCs perform closely at all considered SNR values.

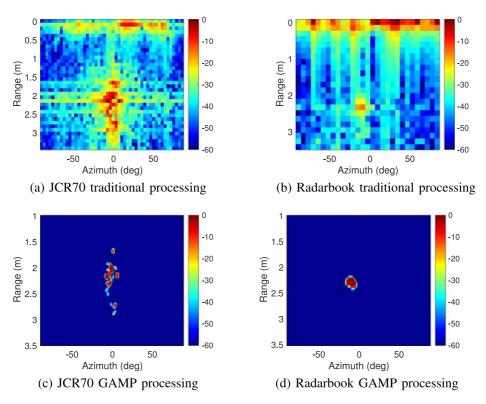


FIGURE 14. Estimated radar channels for the indoor bike scenario with traditional and advanced processing using our testbed (left) as well as the Radarbook (right). The channel estimates in (c/d) with EM-BG-GAMP have reduced sidelobes than (a/b) with traditional processing. The channel estimates in (a/c) using our JCR70 testbed have resolved the bike much better than the channel estimates in (b/d) using the Radarbook.



FIGURE 15. Experimental set-up to evaluate the radar performance of our testbed using a bike in the indoor lab.

using a bike. We use 50 steps to emulate SIMO using our testbed. In Fig. 14(c), we observe that multiple scattering centers corresponding to different parts of the bike. Due to higher bandwidth and number of synthesized antennas, the resolution of the bike image using our testbed is much higher than the INRAS Radarbook.

Figs. 16(a) and (b) show the estimated radar NMSE variation with different ADC resolutions and SNRs for the bike experiment using emulations on the data collected from our testbed. Fig. 16(a) shows the estimated NMSE variation with different ADC resolutions at -5 dB, using the traditional algorithm, EM-BG-GAMP, and EM-GM-GAMP. The estimated

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NMSE decreases marginally till 4 b ADC. The gap between the estimated NMSEs of any two consecutive ADC resolution is highest between the 1-bit ADC and 2-bit ADC. The gap between traditional processing and advanced GAMP algorithms is smaller as compared to single- and two-target scenarios. Fig. 16(b) depicts the estimated NMSE variation with different SNRs for 12-bit, 4-bit, 3-bit, 2-bit, and 1-bit ADCs using traditional FFT-based algorithm and EM-GM-GAMP technique. The gap between high-resolution ADCs and lowresolution ADCs increases with SNR. The gap between the estimated NMSEs of traditional processing and sparse GAMP technique for high-resolution ADCs decreases with SNR, whereas it first decreases and then increases with SNR for the low-resolution ADCs. From Figs. 16(a) and (b), we see that 2-bit ADCs perform very closely to the high-resolution ADCs at low SNR, 3-bit ADCs perform very closely for the medium SNR, and 4-bit ADCs perform very closely at all considered SNR values.

Additionally, we also conduct outdoor joint communication and radar experiments with 50 steps using Subaru Crosstrek as the vehicle target on Speedway parking garage in UT Austin, as shown in Fig. 17. From Fig. 17 and Fig. 18(b), we see that the JCR transmitter and the communication receiver were separated by 7.51 m. The vehicle target was placed in between the JCR transmitter and the communication receiver. The directivity of the horn antenna reduced the reflections from the railings and poles around our set-up. Fig. 18(a) shows

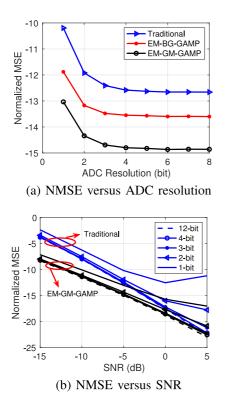


FIGURE 16. Estimated radar NMSE for the bike experiment for different ADC resolutions at SNR equal to -5 dB (left) and versus SNRs for 12-, 4-, 3-, 2-, as well as 1- bit ADC resolutions (right). The radar channel estimate with 2-bit ADCs perform closely to the high-resolution ADCs at low SNR, whereas with 3-bit ADCs perform closely for the medium SNR, and with 4-bit ADCs perform closely at all considered SNR values.



FIGURE 17. Outdoor JCR measurement scenario using a car on the top of a parking garage. The cart with the JCR70 transmitter and radar receiver is kept in front of the car, whereas the cart with the JCR70 communication receiver is kept behind the car.

the estimated radar channel around the car reflections using the traditional FFT-based algorithm with an interpolation of factor 4, while Fig. 18(b) shows the estimated radar channel using the advanced EM-BG-GAMP technique. We see that Fig. 18(b) has reduced sidelobes and noise as compared to Fig. 18(a). The range-spread of the car is much wider in range than that of the bike in Fig. 14. Due to the high-resolution of our testbed, we can also see multiple scattering centers corresponding to the car which makes the car radar image look quite different than the bike.

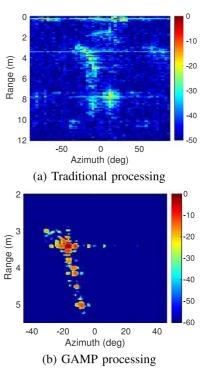


FIGURE 18. Estimated radar channels for the outdoor car scenario with traditional and advanced processing using our testbed (left) and the Radarbook (right). The channel estimates in (a) have reduced sidelobes than (b). Due to the high-resolution of our JCR70 testbed, the channel estimate in (b) shows several scattering centers corresponding to the car.

D. JOINT COMMUNICATION-RADAR

The performance of our fully-digital SIMO wideband testbed is also evaluated for the simultaneous communication and radar modes at 73 GHz. We conducted JCR experiments with 15 steps in the same indoor lab as in Fig. 8.

Fig. 19(a) shows the estimated indoor radar channel in the range-azimuth domain using the traditional processing, while Fig. 19(b) shows the estimated communication channel in the outdoor setting. In the estimated radar channel image, we observe the full-duplex effect unlike the estimated communication channel image. The delay-spread in the radar channel is found to be higher than the communication channel because of the long-distance targets. In Fig. 19(a), we observe that the direct path corresponding to the communication receiver is at 1.75 m and -8.4 degrees. The wall reflection is more spread as compared to the communication receiver around 4.98 m because of its spatial extent and strong multipath effect due to the large radar cross-section. We also see the reflection of the metallic chassis at 3.613 m. The angular resolution and dynamic range of the JCR image in Fig. 19 is worse than the earlier traditional FFT-processed radar images, such as in Fig. 18, due to the lower number of antenna steps used in this JCR experiment. A detailed analysis of this JCR setting with high-resolution ADCs and the BG-GAMP processing algorithm can be found in our conference paper [21].

In Figs. 20(a) and (b), we also compare the JCR performance using the NMSE metric for different ADC resolutions

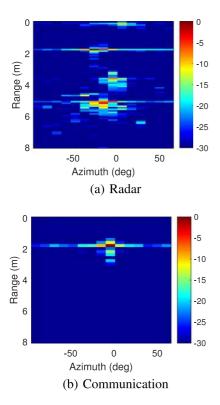


FIGURE 19. Estimated radar channel (left) and communication channel (right) using our JCR70 testbed. In the radar channel estimate, the full-duplex effect is observed around 0 m along with reflections from surrounding objects, such as the communication receiver at 1.75 m. In the communication channel, the LoS path between the communication TX and RX is observed at 1.75 m.

at an SNR of -15 dB for the indoor setting. Due to the lower radar SNR resulting from the two-way radar channel as compared to the one-way communication channel, we see that radar NMSE is worse than the communication NMSE. We also see that the gap between the traditional FFT-based method and the sparse GAMP method is lower in radar than the communication. This could be due to the smaller delay spread and sparser channel in the LoS communication channel as compared to the indoor radar channel. From Figs. 20(a) and (b), we see that ADC resolution of 3 b performs very closely to the high-resolution ADC case.

VI. CONCLUSION AND DISCUSSION

In this paper, we developed a low-complexity proof-ofconcept platform for a fully-digital joint communication-radar sounding testbed with SIMO functionality and different ADC resolutions at 73 GHz carrier frequency with 2 GHz bandwidth. For the precise radar characterization of our JCR70 measurement platform, we conducted experiments for the single-target, two-target scenarios using trihedral corner reflectors. We also conducted experiments for extended target scenarios using a bike indoors and a car outdoors for demonstrating and evaluating the performance of our testbed. We applied both traditional FFT-based and advanced GAMP processing algorithms for JCR channel estimations.

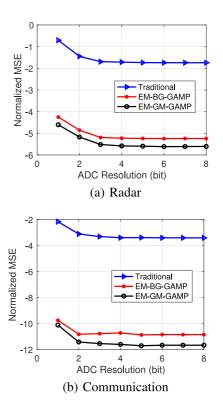


FIGURE 20. Estimated NMSEs for radar (left) and communication (right) with different ADC resolutions at SNR of -15 dB. The JCR estimate with 3-bit ADCs perform closely to the high-resolution ADCs case.

The results in this paper demonstrate the high-resolution capability with a wide field of view of our low-complexity wideband fully-digital joint communication-radar testbed. The GAMP-based processing provided enhanced radar and communication channel estimates with reduced sidelobes and noise as compared to the traditional processing. Our JCR70 platform with a fully-digital JCR waveform achieved higher resolution in the range-angle domain than the state-of-the-art automotive radar, along with additional communication channel estimation functionality. A quantized receiver of 2-4 b ADCs performed very closely to the high-resolution ADCs. The quantized receiver with 1-bit ADC performed closely to high-resolution ADCs at low SNR. The performance gap, however, grows with increasing SNR and decreasing channel sparsity. The normalized mean square errors for radar channel estimates were found higher than the communication channel estimates due to the two-way radar channel with colocated TX-RX instead of the one-way communication channel with widely separated TX-RX.

The insights in this paper can be taken into account for designing a JCR waveform and developing receive processing for radar and communication with improved performance. The next step is to develop a fully-digital mmWave multiple-input-multiple-output prototype with real antenna array and parallel low-resolution RF chains. Fully digital mm-wave beamforming is an open research area and there is already some progress [29], [30]. The fully-digital mmWave MIMO

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prototype can be used in a dynamic scenario, to demonstrate its capability for next-generation high-performance automotive and drone applications.

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PREETI KUMARI (Member, IEEE) received the B.Tech. degree in electronics and telecommunication engineering from the Shri Guru Gobind Singhji Institute of Engineering and Technology, Nanded, India, in 2009, and the M.S. and Ph.D. degrees in electrical and computer engineering from The University of Texas at Austin, Austin, TX, USA, in 2016 and 2020, respectively. She is currently a Senior Engineer with Qualcomm, San Diego, CA, USA. During the summer of 2018, 2016, and 2015, she was an Intern with Qualcomm,

Intel Labs, Hillsboro, OR, USA, and National Instruments, Austin, TX, USA. From 2009 to 2013, she was a Scientist with the Indian Space Research Organization, Ahmedabad, India. Her research interests include system design, signal processing applications, and hardware implementation aspects of radars, wireless communications, and joint communication-radar.



AMINE MEZGHANI (Member, IEEE) received the Ph.D. degree in electrical engineering from the Technical University of Munich, Munich, Germany, in 2015. He is currently an Assistant Professor with the Department of Electrical and Computer Engineering, University of Manitoba, Winnipeg, MB, Canada. Prior to this, he was a Postdoctoral Fellow with the University of Texas at Austin, Austin, TX, USA, and a Postdoctoral Scholar with the Department of Electrical Engineering and Computer Science, University of California Irvine,

Irvine, CA, USA. He has authored or coauthored about a hundred papers, particularly on the topic of signal processing and communications with low-resolution analog-to-digital and digital-to-analog converters. His current research interests include millimeter-wave communications, massive MIMO, hardware constrained radar and communication systems, antenna theory, and large-scale signal processing algorithms. He was the recipient of the joint Rohde & Schwarz and E.E. Department Outstanding Dissertation Award in 2016.



ROBERT W. HEATH, JR. (Fellow, IEEE) received the B.S. and M.S. degrees in electrical engineering from the University of Virginia, Charlottesville, VA, USA, in 1996 and 1997, respectively, and the Ph.D. degree in electrical engineering from Stanford University, Stanford, CA, USA, in 2002. From 1998 to 2001, he was a Senior Member of the Technical Staff and a Senior Consultant with Iospan Wireless Inc, San Jose, CA, USA, where he worked on the design and implementation of the physical and link layers of the first commercial

MIMO-OFDM communication system. From 2002 to 2020, he was with The University of Texas at Austin, Austin, TX, USA, most recently as the Cockrell Family Regents Chair of engineering and the Director of UT SAVES. He is currently a Distinguished Professor with North Carolina State University, Raleigh, NC, USA. He is also the President and CEO of MIMO Wireless Inc. He has authored *Introduction to Wireless Digital Communication* (Prentice Hall, 2017) and *Digital Wireless Communication: Physical Layer Exploration Lab Using the NI USRP* (National Technology and Science Press, 2012), and coauthored *Millimeter Wave Wireless Communications* (Prentice Hall, 2014) and *Foundations of MIMO Communication* (Cambridge University Press, 2018). He is currently the Editor-in-Chief of the *IEEE Signal Processing Magazine* and is a Member-at-Large of the IEEE Communications Society Board of Governors.

Dr. Heath was a coauthor of a number of award winning conference and journal papers including recently the 2016 IEEE Communications Society Fred W. Ellersick Prize, the 2016 IEEE Communications and Information Theory Societies Joint Paper Award, the 2017 Marconi Prize Paper Award, the 2019 IEEE Communications Society Stephen O. Rice Prize, and the 2020 IEEE Signal Processing Society Overview Paper Award. He was the recipient of the 2017 EURASIP Technical Achievement Award and the 2019 IEEE Kiyo Tomiyasu Award. He was a Distinguished Lecturer and Member of the Board of Governors in the IEEE Signal Processing Society. In 2017, he was selected as a Fellow of the National Academy of Inventors. He is also a licensed Amateur Radio Operator, a Private Pilot, a Registered Professional Engineer in Texas.