# Joint Linear Complexity of Multisequences Consisting of Linear Recurring Sequences

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#### Introduction

- The linear complexity of sequences is one of the important security measures for stream cipher systems.
- Rueppel 1986, 1992
- Ding, Xiao, Shan 1991
- Cusick, Ding, Renvall 1998
- Niederreiter 2003

#### Introduction

- High linear complexity to resist an attack by the Berlekamp-Massey algorithm.
- A stream cipher system is completely secure if the keystream is a "truly random" sequence that is uniformly distributed.
- Fundamental research problem: Determine the expectation and variance of the linear complexity of random sequences that are uniformly distributed.

#### Introduction

- Study of word-based or vectorized stream cipher systems
- Study of joint linear complexity of multisequences
- Research works on the study of expectation and variance and counting function for
- linear complexity of random finite/periodic sequences: Rueppel,
   Dai, Meidl, Niederreiter, et al
- joint linear complexity of random finite/periodic multisequences: Meidl, Niederreiter, Dai, Xing, Fu, Su, Wang, et al

### Linear Recurring Sequences and Linear Complexity

• A sequence  $\sigma = (s_n)_{n=0}^{\infty}$  of elements of  $\mathbb{F}_q$  is called a *linear recurring sequence* over  $\mathbb{F}_q$  with characteristic polynomial

$$\sum_{i=0}^{\ell} a_i x^i \in \mathbb{F}_q[x]$$

if  $a_{\ell} = 1$  and

$$\sum_{i=0}^{\ell} a_i s_{n+i} = 0 \qquad \text{for } n = 0, 1, \dots.$$

Here  $\ell$  is an arbitrary nonnegative integer.

### Linear Recurring Sequences and Linear Complexity

- The minimal polynomial of  $\sigma$  is the uniquely determined characteristic polynomial of  $\sigma$  with least degree.
- The linear complexity of  $\sigma$  is the degree of the minimal polynomial of  $\sigma$ .

### Multiple Sequences and Joint Linear Complexity

- m: an arbitrary positive integer.
- *m*-fold multisequence  $\mathbf{S} = (\sigma_1, \dots, \sigma_m)$  consisting of linear recurring sequences  $\sigma_1, \dots, \sigma_m$  over  $\mathbb{F}_q$ , that is, a linear recurring multisequence  $\mathbf{S}$  over  $\mathbb{F}_q$ .
- Joint minimal polynomial  $P_{\mathbf{S}} \in \mathbb{F}_q[x]$  is defined to be the (uniquely determined) monic polynomial of the least degree such that  $P_{\mathbf{S}}$  is a characteristic polynomial of  $\sigma_i$  for each  $1 \leq i \leq m$ .
- The joint linear complexity L(S) of S is defined to be  $L(S) = \deg(P_S)$ .

### Multiple Sequences and Joint Linear Complexity

• For 1 < i < m, let

$$\sigma_i = \left(s_{i,n}\right)_{n=0}^{\infty},$$

and assume that  $\sigma_i$  is not the zero sequence for some  $1 \leq i \leq m$ .

• The joint linear complexity  $L(\mathbf{S})$  is the smallest positive integer c for which there exist coefficients  $a_1, a_2, \ldots, a_c \in \mathbb{F}_q$  such that for each 1 < i < m, we have

$$s_{i,n} + a_1 s_{i,n-1} + \cdots + a_c s_{i,n-c} = 0$$
 for all  $n \ge c$ .

#### Some Notations

- Given a monic polynomial  $f \in \mathbb{F}_q[x]$ .
- $\mathcal{M}^{(m)}(f)$ : The set of *m*-fold multisequences  $\mathbf{S} = (\sigma_1, \dots, \sigma_m)$  such that for each  $1 \leq i \leq m$ ,  $\sigma_i$  is a linear recurring sequence over  $\mathbb{F}_q$  with characteristic polynomial f.

•

$$\left|\mathcal{M}^{(m)}(f)\right|=q^{m\deg(f)}.$$

#### Some Notations

- The expectation  $E^{(m)}(f)$  and the variance  $Var^{(m)}(f)$  of the joint linear complexity of random m-fold multisequences from  $\mathcal{M}^{(m)}(f)$ , which are uniformly distributed over  $\mathcal{M}^{(m)}(f)$ .
- Counting function  $\mathcal{N}^{(m)}(f;t)$ : The number of *m*-fold multisequences from  $\mathcal{M}^{(m)}(f)$  with a given joint linear complexity t.

#### **Preliminaries**

• For a monic polynomial  $f \in \mathbb{F}_q[x]$  with  $\deg(f) \geq 1$ , let

$$C(f) := \{ h \in \mathbb{F}_q[x] : \deg(h) < \deg(f) \},$$
 $R^{(m)}(f) := \{ (h_1, \dots, h_m) \in C(f)^m : \gcd(h_1, \dots, h_m, f) = 1 \},$ 
 $\Phi_q^{(m)}(f) := \left| R^{(m)}(f) \right|, \text{ and } \Phi_q^{(m)}(1) := 1.$ 

•  $\Phi_q^{(m)}(f)$  is the number of *m*-fold multisequences **S** with the joint minimal polynomial f(x).

#### **Preliminaries**

#### Lemma

If  $f = r_1^{e_1} r_2^{e_2} \cdots r_k^{e_k}$  is the canonical factorization of f into monic irreducible polynomials over  $\mathbb{F}_a$ , then

$$\Phi_q^{(m)}(f) = q^{m\deg(f)} \prod_{i=1}^k \left(1 - q^{-m\deg(r_i)}\right).$$

- Monic polynomial  $f \in \mathbb{F}_q[x]$  with  $\deg(f) \geq 1$ .
- Canonical factorization

$$f=r_1^{e_1}r_2^{e_2}\cdots r_k^{e_k}$$

• For  $1 \le i \le k$ , let  $\alpha_i = q^{m \deg(r_i)}$ .

#### **Theorem**

Expectation  $E^{(m)}(f)$  and Variance  $Var^{(m)}(f)$ :

$$\mathrm{E}^{(m)}(f) = \deg(f) - \sum_{i=1}^k \frac{1 - \alpha_i^{-e_i}}{\alpha_i - 1} \deg(r_i),$$

$$Var^{(m)}(f) = \sum_{i=1}^{k} \left(\frac{\deg(r_i)}{1 - \alpha_i^{-1}}\right)^2 \times [(2e_i + 1)(\alpha_i^{-e_i - 2} - \alpha_i^{-e_i - 1}) - \alpha_i^{-2e_i - 2} + \alpha_i^{-1}].$$

#### Remark:

- When  $f(x) = x^N 1 \in \mathbb{F}_q[x]$  and N is an arbitrary positive integer, This is the case of m-fold N-periodic multisequences over  $\mathbb{F}_q$ .
- This theorem yields the corresponding results of Meidl-Niederreiter and Fu-Niederreiter-Su by a simpler method.
- These corresponding results are the general formulas for the expectation and the variance of the joint linear complexity of random m-fold N-periodic multisequences over  $\mathbb{F}_a$ .

#### Cyclotomic coset:

- Let n be a positive integer with gcd(n, q) = 1.
- For  $j \in \mathbf{Z}_n := \{0, 1, \dots, n-1\}$ , the cyclotomic coset  $C_j$  of j modulo n relative to powers of q is defined as

$$C_j = \{j, j \cdot q, \dots, j \cdot q^{l_j - 1}\} \pmod{n},$$

where  $l_j$  is the least positive integer l satisfying  $j \cdot q^l \equiv j \pmod{n}$ .

Let  $N=p^v n$  with  $v\geq 0$ ,  $p=\operatorname{char} \mathbb{F}_q$ , and  $\gcd(n,p)=1$ . Let  $D_1,\ldots,D_h$  be the different cyclotomic cosets modulo n and let  $d_r=|D_r|$ ,  $1\leq r\leq h$ , be the sizes of these cyclotomic cosets, respectively.

• Meidl-Niederreiter 2003 The expectation  $E_N^{(m)}$  of the joint linear complexity of m random N-periodic sequences with terms in  $\mathbb{F}_q$  is given by

$$E_N^{(m)} = N - \sum_{r=1}^h \frac{d_r a_r (1 - a_r^{p^v})}{1 - a_r},$$

where  $a_r = q^{-d_r m}$ .

• Fu-Niederreiter-Su 2005 The variance  $V_N^{(m)}$  of the joint linear complexity of m random N-periodic sequences with terms in  $\mathbb{F}_q$  is given by

$$V_N^{(m)} = \sum_{r=1}^h d_r^2 \cdot \frac{(2p^{\nu}+1)(a_r^{p^{\nu}+2}-a_r^{p^{\nu}+1})-a_r^{2p^{\nu}+2}+a_r}{(1-a_r)^2},$$

where  $a_r = q^{-d_r m}$ .

#### Some Examples:

•  $N = p^{\nu}$ ,  $p = \operatorname{char} \mathbb{F}_{q}$ :

$$E_N^{(m)} = N - rac{1}{q^m - 1} \left( 1 - rac{1}{q^{mN}} 
ight),$$

$$V_N^{(m)} = rac{(q^m + q^{-Nm})(1 - q^{-Nm})}{(q^m - 1)^2} - rac{2q^{-Nm}}{q^m - 1}N.$$

• N is a prime different from p: Let d be the multiplicative order of q in the prime field  $\mathbb{F}_N$ .

$$E_N^{(m)} = N - \frac{N-1}{q^{dm}} - \frac{1}{q^m},$$

$$V_N^{(m)} = q^{-m} - q^{-2m} + (N-1)d(1-q^{-dm})q^{-dm}.$$

•  $N = q^k - 1$  and k is a prime:

$$E_N^{(m)} = N - (q-1)q^{-m} - (q^k - q)q^{-km},$$

$$V_N^{(m)} = (q-1)q^{-m}(1-q^{-m}) + k(q^k-q)q^{-km}(1-q^{-km}).$$

### Reference Papers

- W. Meidl, H. Niederreiter, On the expected value of the linear complexity and the k-error linear complexity of periodic sequences, IEEE Trans. Inform. Theory 48 (2002) 2817–2825.
- W. Meidl, H. Niederreiter, The expected value of the joint linear complexity of periodic multisequences, J. Complexity 19 (2003) 61–72.
- F.-W. Fu, H. Niederreiter, M. Su, The expectation and variance of the joint linear complexity of random periodic multisequences, J. Complexity 21 (2005) 804–822.

### **Counting Function**

#### **Theorem**

Counting function  $\mathcal{N}^{(m)}(f;t)$  where  $t \leq \deg(f)$ :

$$\mathcal{N}^{(m)}(f;t) = \sum_{\stackrel{d|f}{\deg(d)=t}} \Phi_q^{(m)}(d),$$

where the summation is over all monic polynomials  $d \in \mathbb{F}_q[x]$  of degree t and dividing f.

### **Counting Function**

- We determine closed-form expressions for  $\mathcal{N}^{(m)}(f; \deg(f))$ ,  $\mathcal{N}^{(m)}(f; \deg(f) 1)$ , and  $\mathcal{N}^{(m)}(f; \deg(f) 2)$ .
- We also give tight upper and lower bounds on the counting function  $\mathcal{N}^{(m)}(f;t)$  in general.
- We give concrete examples determining the counting functions in closed form in some special cases.

• Generating polynomial  $\mathcal{G}^{(m)}(f;z)$  for the distribution of joint linear complexities of m-fold multisequences from  $\mathcal{M}^{(m)}(f)$ :

$$\mathcal{G}^{(m)}(f;z) := \sum_{t>0} \mathcal{N}^{(m)}(f;t)z^t.$$

• We now determine  $\mathcal{G}^{(m)}(f;z)$  as a product of certain polynomials in z depending on the canonical factorization of f into monic irreducibles over  $\mathbb{F}_q$ .

#### **Theorem**

If  $f = f_1 f_2$ , where  $f_1, f_2 \in \mathbb{F}_q[x]$  are monic polynomials with  $\deg(f_1), \deg(f_2) \geq 1$ , and  $\gcd(f_1, f_2) = 1$ , then

$$\mathcal{G}^{(m)}(f;z) = \mathcal{G}^{(m)}(f_1;z)\mathcal{G}^{(m)}(f_2;z).$$

#### **Theorem**

If  $f = r_1^{e_1} r_2^{e_2} \cdots r_k^{e_k}$  is the canonical factorization of f into monic irreducibles over  $\mathbb{F}_a$ , then

$$\mathcal{G}^{(m)}(f;z) = \prod_{j=1}^k \left(1 + (1 - \alpha_j^{-1}) \frac{\left(\alpha_j z^{\deg(r_j)}\right)^{e_j + 1} - \alpha_j z^{\deg(r_j)}}{\alpha_j z^{\deg(r_j)} - 1}\right),$$

where  $\alpha_j = q^{m \deg(r_j)}$  for  $1 \le j \le k$ .

• For  $N \ge 1$ , recall that the set of m-fold N-periodic multisequences over  $\mathbb{F}_q$  is the same as the set  $\mathcal{M}^{(m)}(f)$ , where

$$f(x) = x^N - 1 \in \mathbb{F}_q[x].$$

- $n \ge 1$  is an integer with gcd(n, q) = 1.
- Euler totient function  $\phi(\ell)$ : The number of nonnegative integers less than  $\ell$  and coprime to  $\ell$ .
- For each positive integer d dividing n, let  $H_q(d)$  be the multiplicative order of q modulo d, i.e., the least positive integer h such that  $q^h \equiv 1 \mod d$ .

#### **Theorem**

Let  $m, N \ge 1$  be integers and p be the characteristic of  $\mathbb{F}_q$ . Let  $n \ge 1$  and  $\nu \ge 0$  be the integers such that  $N = p^{\nu}n$  and  $\gcd(n,p) = 1$ . Assume that  $f(x) = x^N - 1 \in \mathbb{F}_q[x]$ . Then we have

$$\mathcal{G}^{(m)}(f;z) =$$

$$\prod_{d|n} \left( 1 + \left( 1 - q^{-mH_q(d)} \right) \frac{\left( q^{mH_q(d)} z^{H_q(d)} \right)^{p^{\nu} + 1} - q^{mH_q(d)} z^{H_q(d)}}{q^{mH_q(d)} z^{H_q(d)} - 1} \right)^{\phi(d)/H_q(d)}$$

#### Remark:

- The counting function  $\mathcal{N}^{(m)}(f;t)$  is the coefficient of the term  $z^t$  of the generating polynomial  $\mathcal{G}^{(m)}(f;z)$ .
- These two theorems determine  $\mathcal{G}^{(m)}(f;z)$  as a product of certain polynomials in z.
- However, even for  $f(x) = x^N 1$ , i.e., the periodic case, it is difficult in general to obtain the coefficient of the term  $z^t$  from the product in the above theorem.

#### **Publication**

Fang-Wei Fu, H. Niederreiter, and F. Özbudak, Joint linear complexity of multisequences consisting of linear recurring sequences, Cryptography and Communications, vol.1, no.1, pp. 3-29, 2009.

- Let s be an arbitrary positive integer.
- Let  $m_1, m_2, \ldots, m_s$  be further arbitrarily chosen positive integers.
- Let  $f_1, f_2, \ldots, f_s \in \mathbb{F}_q[x]$  be monic polynomials of positive degree.

• Let  $\mathcal{M}^{(m_1,m_2,\ldots,m_s)}(f_1,f_2,\ldots,f_s)$  be the set of  $(m_1+m_2+\cdots+m_s)$ -fold multisequences

$$\mathbf{S} = ( \sigma_{1,1}, \sigma_{1,2}, \dots, \sigma_{1,m_1}, \\ \sigma_{2,1}, \sigma_{2,2}, \dots, \sigma_{2,m_2}, \\ \dots \\ \sigma_{s,1}, \sigma_{s,2}, \dots, \sigma_{s,m_s} )$$

such that for each  $1 \le i \le s$  and  $1 \le j \le m_i$ ,  $\sigma_{i,j}$  is a linear recurring sequence over  $\mathbb{F}_q$  with characteristic polynomial  $f_i$ .

• Expectation  $E^{(m_1,m_2,\ldots,m_s)}(f_1,f_2,\ldots,f_s)$  and Variance  $Var^{(m_1,m_2,\ldots,m_s)}(f_1,f_2,\ldots,f_s)$  of the joint linear complexity of random  $(m_1+m_2+\cdots+m_s)$ -fold multisequences from  $\mathcal{M}^{(m_1,m_2,\ldots,m_s)}(f_1,f_2,\ldots,f_s)$ .

• Counting function  $\mathcal{N}^{(m_1,m_2,\ldots,m_s)}(f_1,f_2,\ldots,f_s;t)$  of  $(m_1+m_2+\cdots+m_s)$ -fold multisequences from  $\mathcal{M}^{(m_1,m_2,\ldots,m_s)}(f_1,f_2,\ldots,f_s)$  with a given joint linear complexity t.

• Generating polynomial  $\mathcal{G}^{(m_1,m_2,\ldots,m_s)}(f_1,f_2,\ldots,f_s;z)$ :

$$\mathcal{G}^{(m_1,m_2,...,m_s)}(f_1,f_2,...,f_s;z) := \sum_{t>0} \mathcal{N}^{(m_1,m_2,...,m_s)}(f_1,f_2,...,f_s;t)z^t.$$

#### **Publication**

Fang-Wei Fu, H. Niederreiter, and F. Özbudak, Joint linear complexity of arbitrary multisequences consisting of linear recurring sequences, Finite Fields and Their Applications, vol.15, no.4, pp.475-496, 2009.

**Special case**:  $f_1, f_2, \ldots, f_s$  are pairwise coprime.

#### **Theorem**

$$\mathrm{E}^{(m_1,m_2,\ldots,m_s)}(f_1,f_2,\ldots,f_s) = \sum_{i=1}^s \mathrm{E}^{(m_i)}(f_i),$$

$$\operatorname{Var}^{(m_1,m_2,...,m_s)}(f_1,f_2,...,f_s) = \sum_{i=1}^s \operatorname{Var}^{(m_i)}(f_i).$$

Here  $E^{(m_i)}(f_i)$  and  $Var^{(m_i)}(f_i)$  can be computed using previous theorems.

#### **Theorem**

Counting function

$$\mathcal{N}^{(m_1,m_2,...,m_s)}(f_1,f_2,...,f_s;t) = \\ \sum_{i_1,i_2,...,i_s} \mathcal{N}^{(m_1)}(f_1;i_1) \mathcal{N}^{(m_2)}(f_2;i_2) \cdots \mathcal{N}^{(m_s)}(f_s;i_s),$$

where the summation is over all nonnegative integers  $i_1, i_2, \dots, i_s$  with  $i_1 + i_2 + \dots + i_s = t$ .

#### **Theorem**

Generating polynomial

$$\mathcal{G}^{(m_1,m_2,...,m_s)}(f_1,f_2,...,f_s;z) = \prod_{i=1}^s \mathcal{G}^{(m_i)}(f_i;z).$$

If  $m_1 = m_2 = \cdots = m_s$ , then we can completely reduce the consideration to the case s = 1.

#### Corollary

Let  $f:=f_1f_2\cdots f_s\in \mathbb{F}_q[x]$ . Then we have

$$\mathcal{N}^{(m,m,\ldots,m)}(f_1,f_2,\ldots,f_s;t)=\mathcal{N}^{(m)}(f;t).$$

## Thank you for your attention!