

# Just breathe: a review of sex and gender in chronic lung disease

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#### Abstract

Chronic lung diseases are the third leading cause of death worldwide and are increasing in prevalence over time. Although much of our traditional understanding of health and disease is derived from study of the male of the species – be it animal or human – there is increasing evidence that sex and gender contribute to differences in disease risk, prevalence, presentation, severity, treatment approach, response and outcomes. Chronic obstructive pulmonary disease, asthma and bronchiectasis represent the most prevalent and studied chronic lung diseases and have key sex- and gender-based differences which are critical to consider and incorporate into clinical and research approaches. Mechanistic differences present opportunities for therapeutic development whereas behavioural and clinical differences on the part of patients and providers present opportunities for greater education and understanding at multiple levels. In this review, we seek to summarise the sex- and gender-based differences in key chronic lung diseases and outline the clinical and research implications for stakeholders.

#### Introduction

Traditionally, much of our understanding of health and disease was derived through studies conducted on males — animal and human — without consideration for sex and gender [1]. Sex relates to biological characteristics whereas gender refers to socio-cultural and behavioural roles and aspects. Increasingly, evidence supports the impact of sex and gender on disease pathogenesis, risk and diagnosis, prevalence, severity, therapeutics and outcomes [2–6]. The male lung is larger than the female lung in persons of similar height, and males have greater lung capacity and flow volumes and rates [7]. This sets up the structural foundations for the differences in lung health and disease between the sexes. Gender-based factors have added implications on the occurrence, recognition and management across the spectrum of lung diseases. Herein, we review the role of sex and gender on lung health, detail how they impact common chronic lung diseases and delineate how we can better incorporate sex and gender into both the research and clinical realms as it relates to lung health.

## Sex and gender as a health determinant

Sex refers to the primary and secondary sex characteristics inclusive of the anatomy and physiology which differentiates male and female organisms. The genetic differences in men and women beginning at conception lead to the sex differences in disease rates, presentations and response to therapies [8]. Gender refers to socially constructed norms that impose and determine roles, relationships and positional power for all people across their lifetime. Gender interacts with sex, the biological and physical characteristics that define women, men and those with intersex identities as defined by Global Health 50/50 [9, 10]. Although





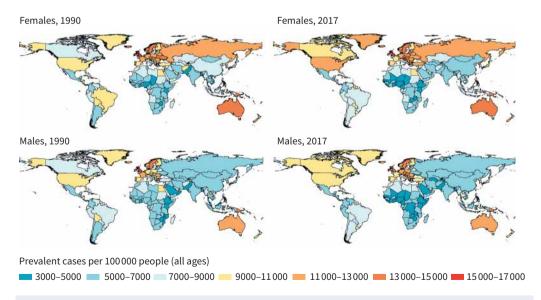
sex and gender are reciprocally and foundationally linked, it must be noted that gender also intersects with other factors such as age, ethnicity and race in matters of health [11–13].

Gender identities are not binary and gender-related attributes are fluid [14]. Gender is as important as sex when considering health as it influences behaviours across the spectrum [15]. Gender identity is characterised by self-perception as a woman, man or non-binary person and affects behaviours and feelings. Gender roles refer to the norms that are applied to people in society and this in turn can influence day-to-day activities and experiences (*e.g.*, diet, physical activity, stress) and affects health status. Gender relations is defined by the interpersonal interactions that are shaped by a person's gender. The distribution of gender and associated characteristics in communities, institutions and society can readily impact health independently of sex [8, 16], and gender constructs can be used to examine health-related behaviours, access, use and outcomes. Thus, evaluation of gender in both research and clinical practice is very valuable in improving our understanding of health and disease in humans [17].

## Sex and gender impacts on lung disease

Chronic pulmonary or lung disease has an estimated global prevalence of 544.9 million people. As of 2017 it is the third leading cause of death following cardiovascular diseases and neoplasms and deaths are increasing with time [18]. Chronic lung diseases account for 6.2% of deaths in females and 5.2% in males, of which chronic obstructive pulmonary disease (COPD) and asthma are the primary contributors [19]. Notably, there are clear sex-based differences in the prevalence of chronic lung diseases geographically with greater prevalence in high-income countries, and over time (figure 1). When thinking of lung disease in the context of sex and gender, bronchiectasis, pulmonary arterial hypertension (PAH) and lymphangioleiomyomatosis are well described to have a female predisposition [20], whereas idiopathic pulmonary fibrosis (IPF) predominantly affects males [21–23]. In other common lung diseases including asthma, COPD and bronchiectasis, although varied in their demographics and pathogenesis, there is a tendency for increased severity in adult females [6, 24].

Having emphasised the importance of sex and gender in health and disease, we will review their roles in the epidemiology, management and outcomes of key chronic lung diseases. The intersection of sex and gender with factors such as tobacco use [25] and circadian rhythms [26, 27], which vary by sex and gender and their relevance to lung disease, have been previously discussed [28]. As sex and gender are often used interchangeably, we will discuss concepts separately by sex and gender when data is available and summarise their roles by disease entity. Although not classified as a chronic pulmonary disease, we have also included a section on severe acute respiratory syndrome-coronavirus-2 (SARS-CoV-2) infection (coronavirus disease 20019: COVID-19) given its worldwide impact at present and the potential for post-infectious respiratory dysfunction.



**FIGURE 1** All-age and sex-based chronic respiratory disease prevalence by country in 1990 and 2017. Reproduced with permission from the Institute of Health Metrics and Evaluation Global Burden of Disease project [18].

## COPD

The stereotype of a COPD patient has always been of an elderly man, as reflected in images such as the classic pink puffer/blue bloater [29, 30]. The impact of this stereotype is evidenced by the widespread use of the Fletcher–Peto curve of forced expiratory volume in 1 s (FEV<sub>1</sub>) decline, a curve derived entirely from studies of males [4].

The higher prevalence of COPD in males reflected a higher consumption of cigarettes among males in western countries during the early part of the 20th century, but has gradually changed as the disease has been more recognised and smoking habits have changed globally [31]. Smoking in females has grown in many high-income countries in particular and epidemiological estimates suggest COPD is now equally common in males and females in some regions [32]. The higher prevalence of COPD in females despite females smoking fewer cigarettes than males suggests that females may be more susceptible to the effects of cigarette smoke [33]. The contribution of non-cigarette risk factors to the global burden of COPD is large and growing [34–36]. The burden of indoor air pollutant exposure such as biomass fuel falls disproportionately on females and consequently some studies show that females account for up to two thirds of non-smoking COPD cases [34–37].

The perception of COPD as a male predominant disease may have contributed to underdiagnosis of COPD in females and therefore an underestimation of the burden of disease [38]. Illustrating the diagnostic bias in COPD, one study used a hypothetic case of cough and dyspnoea in a smoker to test diagnostic approaches in 192 randomly selected primary care physicians [38]. The age and sex of the case was varied, and COPD was given as the most probably diagnosis more often in males than females despite no clinical differences in presentation (58% *versus* 42%, p<0.05). Consistent with this apparent bias, two studies conducted in North America and Europe both demonstrated that female smokers presenting with symptoms were less likely to be diagnosed with COPD, with spirometry and specialist referrals being less likely in females [39–41].

Females may also have worse outcomes than males. Hospitalisation and death from respiratory failure are reported to be more common in females with severe COPD than males [42, 43]. Smoking females have a steeper decline in lung function over time for a given exposure [44] and show a more rapid progression in CT lung density over time than males [45, 46].

In terms of clinical "phenotype", females report more severe dyspnoea, cough and are more likely to be affected by mental health problems and overall report lower scores in quality-of-life measures compared to males for a given  $FEV_1\%$  predicted, age, pack-years of smoking and extent of emphysema [47]. Females are more likely than males with COPD to experience suffer severe anxiety or depression including severe manifestations such as panic attacks [48]. Anxiety and depression impact perception of symptoms and are negatively associated with successful smoking cessation. Patients with anxiety and depression are less likely to complete pulmonary rehabilitation courses and anxiety and depression are also important risk factors for future exacerbations [48–50].

Although differences in exposures, occupations, societal roles, and biases in healthcare may account for many of the differences in clinical phenotype and outcomes between males and females, there is also strong evidence of true biological differences in lung pathology between sexes. Histologically, the disease may be different between the sexes with males having more emphysema and females having more small airway disease [47, 51]. computed tomography (CT) studies also find males are likely to have more severe emphysema [45–47]. Experimental studies suggest a biological basis for these differences that may relate to the effect of sex hormones and anatomical differences between males and females, which are discussed in detail below [51]. In animal models, chronic exposure to cigarette smoke resulted in small airway disease and airflow obstruction in female mice while male animals receiving the same exposure developed more emphysema [51]. Reduction in female sex hormones through ovariectomy promoted greater emphysema similar to levels seen in male animals [51]. Similar results could be seen with the administration of tamoxifen, which blocks the oestrogen receptor, suggesting that oestrogen is central to these sex differences [51]. Less data is available on phenotypic differences in humans, but consistent with the animal data suggesting an airway-predominant phenotype in females, a 2010 US National Health and Wellness survey found 63% of those with chronic bronchitis were female [43].

Mendelian randomisation (MR) is a powerful tool to investigate the causal effects of variables on outcomes [52]. MR is a method of using variation in genes of known function to examine the causal effect of a modifiable exposure of disease in observational studies. In an MR study of the impact of age of menopause on lung function using UK biobank, VAN DER PLAAT *et al.* [53] found that early menopause was associated with a significantly lower risk of airflow obstruction. Meanwhile, an analysis of the role of

testosterone levels and lung function also utilising UK biobank found higher  $FEV_1$  levels among individuals with higher testosterone after adjustment for multiple confounders including age, height, body mass index (BMI), smoking and multiple lifestyle factors [54]. A contribution of the X chromosome to COPD susceptibility is suggested by an increased risk of early onset COPD in females who smoke and also have a mother with COPD. The COPDGene study therefore identified female sex as a significant risk factor for early onset COPD [55]. These data suggest that oestrogen may contribute to declining lung function while testosterone may be protective, and therefore suggesting a contribution of sex hormones to the greater susceptibility of females to rapid  $FEV_1$  decline.

Multiple other mechanisms may be involved in the increased susceptibility of females to cigarette smoking, however, including anatomical factors such a smaller lungs and increased deposition of cigarette smoke in the airways [29, 42, 44]. Since the surface area for deposition is smaller, the concentration of particles per unit of surface area will be greater. Females may also mount a greater inflammatory response than males with an analysis of the proteome of bronchoalveolar lavage from females and males with COPD showing significant differences including downregulation of the lysosomal pathway and upregulation of oxidative phosphorylation in females [56].

These data suggest the need to emphasise the importance of smoking cessation and prevention initiatives targeting females, as a population at high risk of COPD development [42, 57]. This includes discussions around the increasing use of electronic cigarettes [58]. In terms of the treatment of COPD once established, there are no recommendations for different approaches to male and female patients [59]. Bronchodilator treatment appears to be equally effective in terms of improved lung function and improved quality of life in males and females [59–61]. A secondary analysis of the FLAME study found no differences in response to dual bronchodilators compared to inhaled corticosteroid (ICS)/long-acting β2 agonist (LABA) in males and females [60]. A small number of studies suggest superior bronchodilator responses in females compared to males including data from the Lung Healthy Study of Ipratropium, and a pooled analysis of trials of indacaterol/glycopyrronium compared to ICS/LABA, long-acting muscarinic antagonist or placebo showed greater improvements in health status, dyspnoea, rescue medication use and symptoms in women [60, 62, 63]. Less data is available on other treatments for COPD and how response varies by sex. A study by HAN *et al.* [64], found no difference in treatment response with azithromycin by sex. There are similarly no data to suggest that interventions such as pulmonary rehabilitation are more or less effective based on sex or gender [65].

#### **Asthma**

Asthma demonstrates a sex disparity which changes from childhood to adulthood [66, 67]. In childhood, asthma is much more common in boys than girls; however, by the time they become adults there is a reversal with more adult females than males. For instance, US data from 2018 shows that the prevalence of asthma was 8.4% in males and 5.5% in females younger than 18, reversing to 6.1% in males and 9.8% in females aged >18 years [68]. In keeping with the differences in burden of disease at the two different stages of life, boys are twice as likely as girls to be hospitalised for an asthma exacerbation in childhood, but the reverse is true in adulthood with females then three times more likely to be hospitalised for asthma events [69–71].

The consistent demonstration that asthma prevalence and severity shift around the time of major changes in hormone levels suggests a significant role for sex hormones in the pathogenesis of asthma [66]. Early menarche is associated with an increased risk of asthma and asthma prevalence, which then decreases around the time of the menopause [72, 73]. Although this is true of asthma prevalence, there is little evidence to suggest that the onset of menopause results in reduced asthma symptoms in patients with established asthma and indeed the European Community Respiratory Health Surveys cross-sectional study reported an increase in asthma symptoms in females during menopause [74]. Further evidence of sex hormone impacts on asthma symptoms are demonstrated by approximately 40% of females with asthma reporting pre- or peri-menstrual worsening of symptoms associated with decreased peak expiratory flow and increased use of reliever medications [75–77].

There is evidence of variation in T helper 2 (Th2) and eosinophilic inflammation with the menstrual cycle, and particularly an increase in sputum eosinophilia in the pre-menstrual phase of the cycle [75–78]. Reports of the impact of oral contraceptives on asthma symptoms and severity are conflicting, but a well-conducted 17-year population-based study from the UK suggested a reduced risk of severe asthma with contraceptive use [79]. Pregnancy is well known to affect asthma symptoms in some individuals, although it is unpredictable whether pregnancy will be associated with improved, worsened or stable asthma symptoms [80, 81]. It is commonly quoted that one third will improve, one third will worsen and

one third will remain stable. This unpredictability suggests that sex hormones may have distinct effects on different asthma phenotypes, with asthma known to be a highly heterogeneous disease [82–85].

Experimental studies (especially in mice) suggest an important role for sex and sex hormones in asthma. Baseline airway hyperresponsiveness is greater in male *versus* female mice and male mice also have increased vagal nerve responses when challenged with methacholine [86, 87]. These effects can be reversed by gonadectomy and reproduced by exogenous androgens. Asthma is characterised by infiltration of eosinophils, increased IgE and increased expression of cytokines such as interleukin (IL)-5, IL-4 and IL-13, [88, 89]. An established model of inducing airway inflammation and airway hyperresponsiveness in mice is the use of exposure to ovalbumin. Using this approach, it has been shown that ovariectomised mice had reduced IL-5 levels and eosinophil recruitment after ovalbumin exposure compared to control mice [90]. Treatment with a synthetic oestrogen before ovalbumin treatment restored the excessive eosinophil recruitment suggesting a direct effect of oestrogen in promoting eosinophil recruitment to the airway.

Results regarding male sex hormones are less clear. Castration of male mice resulted in an increase in ovalbumin induced eosinophil (and lymphocyte) accumulation compared to sham-operated controls, but with house dust mite challenge it was shown that dehydroepiandrosteone (a precursor of testosterone) reduced eosinophilia, IL4 and Il-6 levels [91].

Differences between challenged female and male mice across various studies show increased IL-5 and IL-13 release from type 2 innate lymphoid cells, increased serum IgE, and increased alveolar macrophages and dendritic cell numbers in female animals [92–96]. Increased release of IL-17A from Th17 cells and associated increased neutrophilic inflammation has also been reported [97]. This latter effect is also evident in humans with severe asthma where females had significant increased IL-17A release from Th17 cells compared to males with evidence that this was directly regulated by oestrogen and progesterone [98, 99].

Taken together, these data suggest importance differences in the underlying biology of asthma between males and females. Female sex has been identified as a risk factor for low health-related quality of life in asthma [100]. Females have a higher frequency of non-allergic asthma than males and have a higher frequency of healthcare contacts and hospitalisations indicating more severe asthma, which is also reflected in 2011 data from the Centers for Disease Control and Prevention showing a 30% increase in mortality from asthma in females compared to males [101]. Severe asthma cohorts consistently show a female preponderance; for example, the recent report of the UK Severe Asthma Registry (n=2225 patients from 15 severe asthma centres) reported 62.4% females [102]. Males have been most adversely affected during the COVID-19 pandemic in terms of mortality [103-105], but a recent report of hospitalised patients from France with asthma and COVID-19 reported 70% females [106], and the Australian Mepolizumab registry recently reported data from severe asthma patients requiring biologics indicating 58% were female [107]. The consistent message is that in cohorts of patients with asthma with high disease burden, there is invariably an excess of female patients. A report from the Severe Asthma Network in Italy registry (n=1123) found that two thirds of severe asthma patients were female and reported increased obesity and gastro–oesophageal reflux as well as increased age of onset in females [108]. Females had greater disease burden with more hospitalisations and worse asthma control while males had greater markers of T2 inflammation such as eosinophilia and IgE levels. These findings are consistent with the clinical observation of a distinct phenotype of severe asthma with low Th2 inflammation and obesity that is predominantly seen in females [109].

What are the implications for treatment? Males and females with asthma may have different proportions of treatable traits, but in general the same therapies are effective in both sexes [110]. There is no evidence of a differential response to ICS overall, but as noted T2 low disease that is less corticosteroid responsive may be more frequent in females. Interestingly, in the previously mentioned Australian study of mepolizumab response, males were less likely to be super-responders [107]. Most large phase 3 studies of biologic agents do not present response data by sex. Treatment approaches in asthma and particularly severe asthma should acknowledge that females may have worse outcomes and a higher risk of having T2-low asthma.

# Bronchiectasis and cystic fibrosis (CF)

For reasons that are unknown, bronchiectasis not due to CF is a female predominant disorder in the majority of countries worldwide [111–113]. Data from the USA, Europe, Asia, Australasia and South America shows an excess of females ranging from modest (55 *versus* 45%) [114–119] to large (71 *versus* 29%) in the Australian bronchiectasis registry) [120]. This difference is evident across all ages and although bronchiectasis is caused by a wide range of different aetiologies [121], the female preponderance is evident across the majority. An important outlier in terms of sex differences is the India bronchiectasis

registry, which reported that 57% of patients were male [122]. The dominant cause of bronchiectasis in India was tuberculosis, which is reported more frequently in males [123].

Bronchiectasis is defined by permanent dilation of the bronchi and is a syndrome of chronic cough, sputum production and recurrent respiratory infections that can be caused by multiple different underlying diseases [124]. A number of the underlying causes of bronchiectasis are more common in females including non-tuberculous mycobacterial infection [125] and rheumatoid arthritis, but idiopathic and post-infective bronchiectasis, where an underlying disorder has not been identified, is also more common in women. Quint *et al.* studied bronchiectasis prevalence, incidence, and mortality in the UK between 2004 and 2013 using the Clinical Practice Research Datalink, a database of 660 primary care practices in the UK. They found 58.5% of patients were female and that the prevalence of bronchiectasis has increased during that period with the prevalence in men rising from 301.2/100000 in 2004 to 485.5/100000 in 2013 and the prevalence in women rising from 350.5/100000 in 2004 to 566.1/100000 in 2013 [126]. It is not clear if this is a true increase or relates to increased use of CT scanning and addressing historical underdiagnosis. In their study of Medicare recipients (USA federally funded health insurance, primarily for those aged over 65), Henkle *et al.* [127] found that 70% of patients newly diagnosed with bronchiectasis were female.

Why bronchiectasis is more frequent in females than males is not known, but differences in airway responses between males and females suggest possible mechanisms [128]. Bronchiectasis is associated with small airway inflammation and the previously noted propensity for females to experience small airway inflammation in response to environmental toxins may therefore be relevant [51]. In addition, the genetic conditions primary ciliary dyskinesia and CF demonstrate the key role of mucociliary clearance in the development of bronchiectasis [129, 130].

Non-CF bronchiectasis has been described as one of the most neglected diseases in respiratory medicine, with very few studies conducted exploring its pathogenesis. Much of our understanding of sex and gender impacts on bronchiectasis come from CF. Given the inheritance pattern, CF has an equal prevalence in males and females, but the outcomes remain disparate and there remains a gap despite the dramatic advancements in therapeutics and survival of persons with CF over the last decades.

The CF survival "gender gap", first reported in the 1990s [131–133], was observed by clinicians in the 1980s with girls with CF coming into hospital more often and, in contrast to the general population where women live 6–8 years longer than men, the girls died sooner [134]. A population-based analysis of the US CF registry encompassing 21000 people in 1997 demonstrated that the median life expectancy for females was 25.3 years compared to 28.4 years for males [135]. This gap has persisted even after the advent of novel therapies to correct the inherent genetic mutations seen in CF as a 2014 study of 30 000 persons with CF in the USA following the availability of ivacaftor (a highly effective CF transmembrane conductance regulator (CFTR) modulator) demonstrated that the median survival of females was still less than that of males (36.0 and 38.7 years respectively) including after accounting for multiple clinical factors [136]. Notably, in this time, some published reports have refuted the gender gap in CF citing that improved therapies may be narrowing the observed difference [137–140].

Differences in severity and outcome have been reported in non-CF bronchiectasis as well [141]. An analysis of the European Bronchiectasis Registry that included 11204 patients, but which has not yet been peer reviewed found that males had more co-morbidities, were more likely have co-existing COPD, worse FEV<sub>1</sub> and had a higher severity of disease using the bronchiectasis severity index [141, 142]. Consistent with other airway diseases, however, quality of life was worse in females [141, 143]. The reasons for this require further study. Interestingly, Guan *et al.* [144] found that females had more severe cough and were more sensitive to capsaicin-induced cough than males and several studies have found greater depression and anxiety in females with bronchiectasis, which impacts on quality of life [144–146]. The overlap with COPD and asthma is clearly an important confounder in bronchiectasis studies [147]. It is unclear if the excess of COPD in men reflects diagnostic bias, as COPD is classically consider a "male disease" or reflects a genuine difference in phenotype.

Regardless of controversy, multiple studies have been undertaken to evaluate the mechanisms leading to the gender disparity in CF with a focus on sex hormones, although other hypotheses include immunologic, nutritional or anatomic (morphometric) differences. From a microbiology and infection perspective, studies have demonstrated that females acquire *Pseudomonas aeruginosa* infection, one of the most prevalent CF pathogens, at earlier ages (median 9.5 years *versus* 11.2 years in males), have earlier conversion of the pathogen to a more virulent (and antibiotic-resistant) mucoid form, and

experienced more rapid lung function decline and worsened outcomes following infection [136 148–150]. *In vitro* experiments support these findings as oestrogen modulates the biofilm formation in *P. aeruginosa* by way of lactoferrin (activated protein kinase) suppression. Male CF mice that are exposed to exogenous oestrogen also have a resulting decrease in lactoferrin and consequent increase in *P. aeruginosa* burden in the lungs. Earlier acquisition of infection in females extends beyond *P. aeruginosa* to other common CF pathogens (*i.e., Staphylococcus aureus*, non-tuberculous mycobacteria (NTM)), often occurring prior to puberty (suggestive of sex-hormone-independent effects), with a resulting greater mortality [136]. Increased risk of NTM infection in females has been described in non-CF cohorts in primarily older and post-menopausal age groups and has been attributed to genetic causes as in one study documenting 36% of cases had one copy of a CFTR disease-causing mutation or other nutritional and morphometric factors [151–153].

Sex hormones have been identified to influence factors that directly play a role in CF lung disease including airway epithelial ion (sodium and chloride) transport [154, 155], airway surface liquid (ASL) volume [156], inflammatory mediators implicated in airways infection [149] and mucociliary transport [157]. Although these factors may not be directly causative of poorer outcomes in females, oestrogen is noted to decrease chloride transport by way of calcium-mediated chloride transport with resultant decreased ASL volumes in females with CF [156]. As altered mucociliary clearance is a hallmark feature of CF airways disease, this mechanism may in part explain why females are at greater risk for airways infection by CF pathogens [136]. Variation in lung health also appears to correlate with the phases of the menstrual cycle, lending further credence to the sex hormone hypothesis in CF. Data suggests that lung function is greatest during the luteal phase of the ovulatory cycle in women, which is consistent with the patterns of healthy women [158, 159]. Pulmonary exacerbations are more frequent during ovulation, a time with high oestrogen levels, and risks may be mitigated when oral contraceptives are used [149]. Puberty is another key time point for exacerbations as adolescent females have greater exacerbation rates as compared with adult males [160]. Limited data regarding menopause effects on CF lung disease exists in part due to the life expectancy in this population; improving survival in the advent of highly effective therapies may enable us to evaluate older CF cohorts in time. Similarly, there is little data regarding the impact of pregnancy on CF lung disease though no long-term deleterious consequences on lung health or mortality are apparent [161–163].

As sex hormones do not fully explain the gender gap, differences in host immunity and response are postulated as another possibility. Studies of the innate immune response have demonstrated that oestrogen effects include inhibition of IL-8 production in vitro in CF bronchial epithelial cells and of the respiratory burst in neutrophils, both of which can impair neutrophil function and inflammatory responses in women [164-166]. Another theory relates to the chromosomal differences in immunity genes. As women have a greater number of immunity genes on the X chromosome, this may mediate infection susceptibility variably based on the type of infection. As the genes promote pro-inflammatory responses that are very useful for acute infections, these same responses may in turn be a disadvantage in the context of chronic infections [134]. A study of microRNAs, which are small nucleic acid chains that inhibit specific genes, identified that levels of one called miR885-5P were higher in girls than in boys with CF [167]. The miR885-5P molecule inhibits the action of Ras-related C3 botulinum toxin substrate 1 (RAC1), which is a protein that regulates CFTR, and this is a particularly timely finding given the recent approvals of CFTR-based therapies. In particular, a combination CFTR compound which was demonstrated to be highly effective for the vast majority of persons with CF was approved in fall 2019 by the US Federal Food and Drug Administration [168, 169]. As higher RAC1 levels are likely to lead to a greater response, it is possible that women may have a poorer response based on increased miR885-5P levels, but this remains to be studied further [167]. Figure 2 summarises some of the sex-related differences in biology as they relate to the four components of the vicious cycle of bronchiectasis (infection, structural lung disease, inflammation and immunity and mucociliary clearance and epithelial function).

The differences in the lived experience and outcomes for males and females with CF stem from an integrated effect of both sex- and gender-associated factors and begin early on [170]. Multiple reports have identified that females are diagnosed later than males both in childhood and adulthood [171–173], which affects age-based prevalence estimates, and compounded with other disease differences [148, 174], likely contributes to worsened health outcomes [175, 176]. The diagnostic lag in in children and adults may be related to decreased recognition of respiratory symptoms and unconscious gender bias by healthcare providers towards females [171–173]. Additionally, gender-related factors that disadvantage young females with CF include illness-related worries and poor coping, decreased quality of life, greater depression, discouragement of treatment, and acceptance of being underweight [177–184].

Increased frequency of *P. aeruginosa* infection Mucoid conversion of *P. aeruginosa*Increased susceptibility to NTM infection



Increased severity of some infections (e.g. SARS-CoV-2)

Smaller lungs

More rapid lung function decline with cigarette smoke Increased exposure to household pollution Small airways disease



lung disease

Greater propensity to emphysema Increased baseline airway hyperresponsiveness

Female sex hormones promote eosinophilia and Th2 responses

Increased IL-17A release from Th17 cells Increased propensity to autoimmunity Increased susceptibility to oxidative damage by tobacco

Inflammation and immunity

Male sex hormones suppress eosinophilia, IL-4 and IL-6 levels in the airway  $\,$ 

Decreased airway surface liquid Increased mucus cell hyperplasia and increased mucus production by female sex hormones Reduced ciliary beat frequency



Testosterone increases CFTR expression leading to mucus hydration

Mucociliary and epithelial function

**FIGURE 2** Postulated mechanisms by sex for chronic lung disease. Pink: female; blue: male. CFTR: cystic fibrosis transmembrane conductance regulator; IL: interleukin; NTM: non-tuberculous mycobacteria; *P. aeruginosa*: *Pseudomonas aeruginosa*; SARS-CoV-2: severe acute respiratory syndrome-coronavirus-2.

In terms of therapy, CF and bronchiectasis guidelines do not present separate recommendations for males and females and there is little evidence of differential response to therapy [185, 186]. The therapy with the strongest evidence base in non-CF bronchiectasis is long-term macrolide therapy, which reduces exacerbations by approximately 50% [187]. In an individual participant meta-analysis of the trials of long-term macrolide therapy in bronchiectasis, macrolide therapy reduced exacerbation frequency in both sexes, with the suggestion of a greater reduction in females (rate ratio 0.43; 95% CI 0.29–0.62) compared to males (rate ratio 0.59; 95% CI 0.35–0.99) [187]. The differences were similar for time to next exacerbation, with rate ratio 0.38 (95% CI 0.27–0.55) for females compared to 0.57 (95% CI 0.34–0.95) for males. Consistent with this, females had a clinically meaningful 5.6-point improvement in the St George's Respiratory Questionnaire which was less pronounced in males (1.5 points; 95% CI –2.3 to 5.3) [187]. In contrast, males appeared to be more responsive to inhaled dry powder mannitol with a rate ratio for exacerbations of 0.65 (95% CI 0.46–0.93) in males and 0.86 (95% CI 0.66–1.13) in females [188]. Further research is needed to establish if these reflect true differences in treatment response, but as it stands the approach to therapy is not markedly different between sexes.

## Other lung diseases

A variety of other lung diseases demonstrate sex (and gender) differences in prevalence and clinical outcomes and will be briefly reviewed. IPF represents the most common entity of the interstitial lung and has a greater prevalence in males [189]. Although female sex is predictive of improved outcomes including survival [190-192], females have a greater symptom burden and worse health-related quality of life with IPF [193]. Limited data in the form of animal models or human studies to understand this dimorphism exists, but to date have suggested an adverse role of testosterone in this disease [194]. PAH is a progressive disease involving the lung vessels and has a greater prevalence and survival in females, and termed the "oestrogen paradox" given the contrast in susceptibility and outcomes [195-197]. Both animal and human studies have identified that 17B-estradiol may have direct and indirect effects in PAH [195], although sex differences in response to treatment are unknown. Sleep-disordered breathing (SDB) is characterised by dysfunctional airway regulation resulting in poor sleep quality and is implicated in a variety of disease risks [198]. Females are less likely to seek medical care, and report differing symptoms of sleep apnoea leading to lesser recognition or diagnosis [199, 200]. Although middle-aged males are thought to be the most vulnerable to SDB mortality and disease outcomes, susceptibility may increase in females based on body mass, as well as at specific stages such as pregnancy (including adverse neonatal outcomes) [201] and menopause [199, 200]. Data suggest that the biologic pathways involved in the aetiology of SDB differs between males and females [202]. Further, as SDB is a risk factor and presents concurrently with many conditions that affect females, there needs to be greater study into and consideration of this in female health [28, 200]. A summary of the impacts of sex and gender on chronic lung disease are shown in table 1.

Disease	Epidemiology	Phenotype/prognosis	Treatment
Asthma	Childhood boys >girls Adulthood females >males Severe asthma females >males	Females have more non-allergic asthma Higher frequency of hospitalisation in females Worse quality of life in females	Females may have more Th2 low disease
Bronchiectasis	~70% female globally	Males have worse lung function and more severe disease Worse symptoms, increased cough in females High frequency of <i>P. aeruginosa</i> and NTM in females	No sex-related differences Increased awareness of NTM in females
COPD	Classically male disease but prevalence increasing in females Diagnostic bias in favour of males	Females have more small airways disease, less emphysema, worse quality of life, more anxiety and depression and more rapid lung function decline	No sex-related differences in treatment
CF	Equal disease prevalence (genetic) Diagnostic bias in favour of males	Decreased survival in females Greater rate of pulmonary exacerbations (post-puberty) Earlier acquisition of <i>P. aeruginosa</i> in females (and greater lung function decline) Worse quality of life, greater depression in females	Discouragement of treatment, acceptance of being underweight in young females
IPF	Greater prevalence in males	Improved post-transplant outcomes, survival in females Greater symptom burden and worse health-related quality of life in females	No data
PAH	Greater prevalence in females	Decreased survival in males ("oestrogen paradox")	Sex-related differences in response to commonly used therapies
SBD	Greater prevalence in males; middle-aged men thought to be most vulnerable Diagnostic bias in favour of males	Differing symptoms between males and females Greater severity in males Susceptibility may increase in females with obesity, pregnancy, menopause; maternal SBD may impact neonatal outcomes	No data

P. aeruginosa: Pseudomonas aeruginosa; PAH: pulmonary arterial hypertension; SBD: sleep-related breathing disorders.

COPD: chronic obstructive pulmonary disease; CF: cystic fibrosis; IPF: interstitial pulmonary fibrosis; NTM: non-tuberculous mycobacteria;

#### COVID-19

The COVID-19 pandemic is an unprecedented event that began in late 2019 caused by SARS-CoV-2 and has led to more than 152 million cases and 3.2 million deaths worldwide to date [203]. Although not a chronic lung disease, the evident sex differences in outcomes in COVID19 illustrate clearly the potential for sex to modify lung inflammation and disease outcomes with relevance beyond the current pandemic. International reports suggest that case numbers are similar between males and females, but SARS-CoV-2 severity, which is measured by need for hospitalisation, admission to intensive care, need for mechanical ventilation and death, has been greater in males by 1.5- to 2-fold compared to females across the globe [204-207], excepting a few countries (i.e. India, Nepal, Vietnam, Slovenia) with greater fatality rates in females [208, 209]. These findings largely suggest that biological sex may be playing a protective role in females wherein they exhibit an enhanced response to the acute SARS-CoV-2 infection compared to males [210]. In contrast, gender-related risks of exposure may be affecting the rates of infection in women and men differentially by age group [8, 211]. Mouse models of SARS-CoV-1 have previously demonstrated greater pulmonary damage and death in male compared to female mice [212]. From the pandemic, the "long COVID" syndrome has emerged and is characterised by a range of symptoms persisting weeks to months beyond the initial illness [213]. A prospective study of 4182 incident COVID-19 cases by self-report suggested that 13.3% had symptoms lasting longer than 28 days and persistent symptoms were more likely with increasing age, BMI, and female sex [214]. Others have identified that those with greater severity of initial infection are at increased risk of long-term complications [215], and organ dysfunction has been identified even in younger individuals following infection [216]. All this highlights that we are merely on the surface of understanding the consequences of COVID-19 and it presents a unique opportunity to evaluate the related sex and gender effects as we move through this pandemic as a global community.

## A way forward

## Clinical considerations

Despite the data on differences, current medical practice does not sufficiently take sex and gender into account in diagnosis, treatment or disease management. As evidence for sex- and gender-based differences

for disease processes accrues, these should be incorporated into practice guidelines that are published in relevant journals and implemented into clinical care [8]. Extending this further, healthcare programmes (medical, nursing) and allied healthcare (*e.g.* respiratory therapist, physical therapist) curricula should incorporate sex- and gender-based aspects as practice is shaped by training [217].

## Research considerations

Despite the increasing awareness and efforts, there remains significant sex and gender disparities in clinical studies leading to an incomplete understanding of disease [218]. In clinical trials, although we may see sex-stratified results, the efforts to consider gender and sex as it may relate to efficacy and safety of therapeutics remains limited. Inclusion of a gender dimension to these clinical studies will contribute to greater understanding of disease manifestations and outcomes in males and females [219]. Sex and gender aspects and their inter-relations need to be considered in all aspects of the research process. When sex-and/or gender-specific differences are identified in pilot studies, clinical trials (therapies, devices *etc.*) should be sufficiently powered to evaluate these endpoints, be it related to safety or efficacy. Conscientious recruitment strategies at an early study or trial stage and in line with the study design can help to have equitable enrolment by sex and gender as well as assist with downstream late-phase trials. Given our movement towards personalised and precision-based medicine, sex and gender equity in studies will go a long way towards reaching this goal. Alongside investigators, similar efforts must be put in by academic institutions, pharmaceutical and bio-engineering companies, publishers, as well as policy makers such that we can innovate our current research paradigm and advance together.

#### Conclusion

Sex and gender influence chronic lung diseases at all levels, from the pathophysiology and presentation to care received and response to treatment. As we have entered the era of precision medicine, sex and gender are foundationally important in the delivery of care. Given the morbidity and mortality attributable to chronic lung diseases globally, and the increased awareness of how sex and gender can impact disease lived and treated throughout the lifespan, it is imperative that we consider these factors in research and clinical practice. Prospective evaluation of the current global pandemic provides a unique opportunity to better understand sex and gender issues and may serve as a template that can be applied to other disease arenas. It is only through a concerted effort at all invested societal levels that we can promote sex and gender equity in health and improve outcomes.

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