# K-NN Classifier Performs Better Than K-Means Clustering in Missing Value Imputation

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**Abstract:** Missing Data is a widespread problem that can affect the ability to use data to construct effective predictions systems. We analyze the predictive performance by comparing K-Means Clustering with kNN Classifier for imputing missing value. For investigation, we simulate with 5 missing data percentages; we found that k-NN performs better than K-Means Clustering, in terms of accuracy.

Keywords: K-Means clustering, k-NN Classifier, Missing Data, Percentage, Predictive Performance

# I. Introduction

Data seems to be missing due to several reasons. Researchers concentrate more on imputing missing data by handling various Data Mining algorithms. The most traditional missing value imputation techniques are deleting case, mean value imputation, maximum likelihood and other statistical methods. In recent years research has explored the use of machine learning techniques as a method for missing values imputation. Machine learning methods like MLP, SOM, KNN and decision tree have been found to perform better than the traditional statistical methods. [1]

In this paper we compare two techniques K-Nearest Neighborhood and K-Means Clustering combined with mean substitution. Both the techniques group the dataset into several groups/ clusters. Mean Substitution is applied separately to each group / cluster. When both the results are compared, k-NN has an improvement in percentage of accuracy than K-Means Clustering.

# II. Missing Data Mechanism

Missing data can be divided into 'Missing at Random', (MAR), 'Missing completely at Random', (MCAR) and 'Missing Not at Random', (MNAR).

# 1. Missing at Random

Missing at Random requires that the cause of missing data be unrelated to the missing values themselves. However, the cause may be related to other observed variables. MAR is also known as the ignorable case (Schafer, 1997) and occurs when cases with missing data are different from the observed cases but the pattern of missing data is predictable from other observed variables. Differently said, the cause of the missing data is due to external influence and not to the variable itself.

# 2. Missing Completely at Random

Missing Completely At Random refers to a condition where the probability of data missing is unrelated to the values of any other variables, whether missing or observed. In this mechanism, cases with complete data are indistinguishable from cases with incomplete data.

### 3. Missing Not at Random

Missing not at random (MNAR) implies that the missing data mechanism is related to the missing values.[4]

# III. Missing Data Imputation Methods

Imputation methods involve replacing missing values with estimated ones based on some information available in the data set. There are many options varying from naïve methods like mean imputation to some more robust methods based on relationships among attributes.

# 1. Case substitution

This method is typically used in sample surveys. One instance with missing data (for example, a person that cannot be contacted) is replaced by another non sampled instance;

# 2. Mean and mode

This method consists of replacing the missing data for a given attribute by the mean (quantitative attribute) or mode (qualitative attribute) of all known values of that attribute;

## 3. Hot deck and cold deck

In the hot deck method, a missing attribute value is filled in with a value from an estimated distribution for the missing value from the current data. Hot deck is typically implemented into two stages. In the first stage, the data are partitioned into clusters. And, in the second stage, each instance with missing data is associated with one cluster. The complete cases in a cluster are used to fill in the missing values. This can be done by calculating the mean or mode of the attribute within a cluster. Cold deck imputation is similar to hot deck but the data source must be other than the current data source;

## 4. Prediction model

Prediction models are sophisticated procedures for handling missing data. These methods consist of creating a predictive model to estimate values that will substitute the missing data. The attribute with missing data is used as class-attribute, and the remaining attributes are used as input for the predictive model. An important argument in favour of this approach is that, frequently, attributes have relationships (correlations) among themselves. In this way, those correlations could be used to create a predictive model for classification or regression (depending on the attribute type with missing data, being, respectively, nominal or continuous). Some of these relationships among the attributes may be maintained if they were captured by the predictive model.

# IV. Imputation with K-MEans Clustering Algorithm

K-Means is an algorithm to classify or to group your objects based on attributes/features into K number of group. K is positive integer number. The grouping is done by minimizing the sum of squares of distances between data and the corresponding cluster centroid. Thus, the purpose of K-mean clustering is to classify the data.

## ALGORITHM:

V.

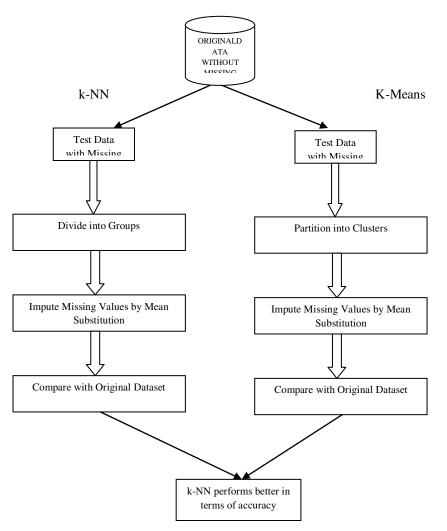
- 1. Determine the centroid coordinate
- 2. Determine the distance of each object to the centroids
- 3. Group the object based on minimum distance (find the closest centroid)

# Imputation with K-nearest Neighbor Algorithm

Nearest Neighbour Analysis is a method for classifying cases based on their similarity to other cases. In machine learning, it was developed as a way to recognize patterns of data without requiring an exact match to any stored patterns, or cases. Similar cases are near each other and dissimilar cases are distant from each other. Thus, the distance between two cases is a measure of their dissimilarity. Cases that are near each other are said to be "neighbours." When a new case (holdout) is presented, its distance from each of the cases in the model is computed. The classifications of the most similar cases – the nearest neighbours – are tallied and the new case is placed into the category that contains the greatest number of nearest neighbours.

# VI. Framework And Experimental Analysis

The following framework explains about the comparison made in this paper. The experimental results show the improvement in performance.



Initially test dataset is made by replacing some original values with missing value(NaN-Not a Number). Now the original dataset and test data set is partitioned into clusters in case of K-Means and groups in case of k-NN. Missing value in each group/cluster is filled with mean value. Now the test dataset is compared with the original dataset for finding the accuracy of performance. This process is repeated for various missing percentages 2,5,10,15 and 20.

Missing Percentage	Mean Substitution	K-Means Clustering	k-Nearest Neighbor
2%	67	62	69
5%	63	65	69
10%	59	63	68
15%	59	64	67
20%	52	56	60
Percentage	60%	62%	67%

Thus the results are compared. It shows some improvement in percentage of accuracy.

# VII. Conclusion And Future Enhancement

K-Means and KNN methods provide fast and accurate ways of estimating missing values.KNN –based imputations provides for a robust and sensitive approach to estimating missing data. Hence it is recommend KNN –based method for imputation of missing values. It is also analyzed that when the missing percentage is high, whatever the method is the accuracy decreases.

This proposed method can be enhanced by comparing various machine learning techniques like SOM, MLP. Mean Substitution can be replaced by mode, median, standard deviation or by applying Expectation – Maximization, regression based methods.

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