K_{ATP} channel mutation disrupts hippocampal network activity and nocturnal γ shifts

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1 One Sentence Summary

- 2 Overactive K_{ATP} channels in PV-interneurons disturb cellular behaviour and cognition-associated
- 3 network oscillations.
- 4

5 Abstract

6 ATP-sensitive potassium (KATP) channels enable ATP to control the membrane potential and 7 insulin secretion. Humans affected by severe activating mutations in K_{ATP} channels suffer from 8 developmental delay, epilepsy and neonatal diabetes (DEND syndrome). While the diabetes in 9 DEND syndrome is well understood, the pathophysiology of the neurological symptoms remains 10 unclear. We hypothesized that parvalbumin-positive interneurons (PV-INs) are key for the pathophysiology and found, by using electrophysiology, that expressing the DEND 11 12 mutation K_{ir}6.2-V59M selectively in PV-INs reduced intrinsic gamma frequency preference and short-term depression as well as disturbed cognition-associated gamma oscillations and 13 14 hippocampal sharp waves. Furthermore, risk of seizures is increased and day-night shift in gamma activity disrupted. Thus, PV-INs play a key role in DEND syndrome and this provides a framework 15

16 for establishing treatment options.

17 Main Text

DEND syndrome is a channelopathy caused by activating mutations in either the pore-forming 18 (K_{ir}6.2) or regulatory (SUR1) subunits of the K_{ATP} channel (1–3) that prevent its inhibition by 19 20 ATP. Due to the widespread expression of these channels, both endocrine and neurological 21 symptoms prevail. In pancreatic β cells, mutated K_{ATP} channels lead to membrane hyperpolarization and thereby reduce glucose-stimulated electrical activity, calcium influx and 22 23 insulin release, causing neonatal diabetes (2-5). Blockers of KATP channels, such as the sulfonylureas glibenclamide and tolbutamide, restore insulin secretion and are effective in treating 24 25 the diabetes of many patients with DEND syndrome (2, 6, 7). In contrast, the pathophysiology of the devastating neurological symptoms (developmental delay, seizures, cognitive deficits (1, 8-26 27 10)) remains poorly understood. They result most likely from K_{ATP} channel dysfunction in the 28 CNS (11, 12) but it is yet unclear which neurons are involved. Presumably due to unfavorable CNS pharmacokinetics of the drugs (13, 14), or mutation-induced drug insensitivities (2), the 29 30 neurological symptoms are largely resistant to KATP channel blockers. They therefore represent a major challenge in treating DEND syndrome (8), demanding a deeper understanding of the 31 pathophysiology at the cellular and network level. 32

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34 Open KATP channels disrupt network activity

35 We first explored possible network phenomena associated with activating K_{ATP} channel mutations 36 by testing the effects of the K_{ATP} channel opener diazoxide on acute hippocampal slices prepared 37 from wild-type mice. Hippocampal sharp waves (SPWs) and gamma oscillations, known to be relevant for cognitive functions, such as memory consolidation and memory replay as well as for 38 39 information selection, processing, transfer and learning (15, 16), were recorded in the area cornu 40 ammonis 1 (CA1, Fig. 1A). Opening K_{ATP} channels with bath-applied diazoxide (300 μ M) halved 41 the number of spontaneously occurring SPWs within 10 minutes, from 77 [55] min⁻¹ (median and interquartile range) to a plateau of 34 [28] SPWs min⁻¹ (KS test, p=0.0010, Fig. 1B-D) and 42 43 diminished the SPW amplitude from 0.14 [0.14] mV to 0.09 [0.14] mV (KS test, p=0.0018; Fig. 44 1E). Moreover, diazoxide reduced the peak frequency of kainate-induced network oscillations from a gamma frequency of 40.3 [3.7] Hz in controls to below gamma (28.1 [25.0] Hz, WSRT 45 46 p=9.5e-7, Fig. 1F-G). The relative gamma power declined from 80.6 [13.1] % in controls to 52.8 47 [27.0] % in the presence of diazoxide (paired t-test, p=7.4e-7, Fig. 1H). These findings show that 48 activation of K_{ATP} channels disturbs the generation of distinct patterns of hippocampal oscillatory 49 activity (SPWs and gamma oscillations), which is likely to result in an impairment of cognitive

50 function.





52 Fig. 1. Opening KATP channels pharmacologically disrupts sharp waves (SPWs) and 53 gamma oscillations in acute hippocampal slices from wild-type mice. A. Schematic of 54 recording local field potentials (LFPs) in CA1 of acute hippocampal slices. B. Representative 55 LFP recording from a slice in control conditions and in the presence of the K_{ATP} channel opener 56 diazoxide (300 µM; black and blue trace, respectively). SPWs marked by dots. C. 57 Corresponding plot of SPWs per min (10 s bins) vs. time before (black symbols) and during 58 59 wash in of diazoxide (blue symbols). **D.** Corresponding probability density function (PDF) of 60 the group analysis. Data from 10 min periods with 1 min binning. Diazoxide data taken 35 to 45 min after the start of the wash in. Asterisks denote a significant difference (KS, p=0.001). 61 62 E. Corresponding PDF of SPW amplitudes from control (black) and diazoxide (blue) slices (KS, p=0.0018). F. Example spectrograms (power spectral densities, PSDs, over time; top) and 63 corresponding LFP recordings (bottom, 200 Hz low-pass filtered) from a slice in which gamma 64 65 oscillations were induced by prolonged application of 200 nM kainate before (90 min, left) and 66 45 min after wash-in of diazoxide (in the continued presence of kainate, right). G-H. Peak frequency (G) and relative gamma power (H; power from 30-100 Hz relative to the total power 67 from 0.5-100 Hz) before and after diazoxide application. Data were calculated from PSDs 68 69 covering 5 min periods immediately before and 40 to 45 min after diazoxide application. Box plots and individual data points are shown. Open data circles indicate examples shown in F. 70 71 Asterisks denote significant differences in the peak frequency (WSRT, p=9.5e-7) and relative 72 gamma power (paired t-test, p=7.4e-7).

73 A DEND mutation disturbs network activity

74 The widespread CNS distribution of K_{ATP} channel subunits (K_{ir}6.2 and regulatory SUR1, SUR2A 75 and SUR2B subunits (17-20)) makes it difficult to predict which brain regions and cell types 76 underlie the various DEND symptoms. It can be envisaged that K_{ATP} channels will be especially relevant for neurons engaged in energy demanding activity like burst firing (21), such as neurons 77 involved in generating cognition-associated network activity, whose dysfunction results in 78 79 epilepsy (22-24). For these cells, an activity-induced drop in intracellular ATP and the resulting 80 hyperpolarization due to enhanced KATP channel activity may represent a feedback mechanism that modulates burst activity and related network phenomena. Furthermore, KATP channels may 81 82 also open during metabolic stress to protect neurons from excessive energy loss (25).

83

84 Previously, it was suggested that inhibitory rather than excitatory hippocampal neurons are

equipped with K_{ATP} channels (26). We hypothesized that parvalbumin-positive inhibitory interneurons (PV-INs) are likely to underlie the neurological symptoms of DEND syndrome.

87 These fast-spiking cells are essential for the generation of high-frequency, cognition-associated

network activity such as SPWs and gamma oscillations (21, 24, 27, 28). PV-INs are known to have

a high energy-expenditure (22, 29). Activity-induced channel opening, metabolic stress, or

90 activating K_{ATP} channel mutations might impair firing of these neurons, the network phenomena

91 they are engaged in, and the inhibitory tone they exert to prevent epileptic activity (25, 30).

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93 Therefore, we hypothesized that selectively expressing a DEND mutation in PV-INs should lead

94 to similar network effects as those observed following bath application of diazoxide. To this end,

using a PV-Cre reporter line that allows targeting of PV-INs (Fig. 2A), we created a mutant mouse
(PV-V59M) expressing the activating mutation K_{ir}6.2-Val59 -> Met59 (the most common human
DEND mutation (2, 12)) selectively in PV-INs. And, indeed, mimicking the effects of diazoxide,
hippocampal slices from PV-V59M mice showed a significantly reduced rate of spontaneous
SPWs (38 [72] min⁻¹ vs. 64 [70] min⁻¹ in littermate control mice (denoted as 'littermates'
throughout the figures and text, see *Methods*), KS test, p=0.0089, Fig. 2B, C) as well as
significantly reduced SPW amplitudes (0.04 [0.05] mV vs. 0.07 [0.09] mV in littermates, KS test,

- 102 p=0.046, Fig. 2D).
- 103

Furthermore, and again mimicking the effects of diazoxide, PV-V59M slices did not generate as strong gamma oscillations as their littermates. About half of the littermate slices (46%, 6 out of

106 13, mean peak frequency of 18.3 [31.7] Hz) but none of the PV-V59M slices (n=13) showed a

107 peak frequency in the gamma range (mean frequency 6.7 [1.2] Hz, MW test, p=0.0007, Fig. 2 E-

108 G). The relative gamma power in PV-V59M slices was only 36.0 [16.5] % compared to 60.4 [21.9]

109 % in littermates (t-test, p=0.001, Fig. 2H). There was a striking similarity between the effect of the

110 channel opener diazoxide (which affects all neurons that endogenously express K_{ATP} channels) in 111 wild-type slices (Fig. 1) and the effects of the activating K_{ATP} channel mutation in PV-V59M slices

(Fig. 2). This suggests (i) that a substantial percentage of K_{ATP} channels are closed in control

neurons and (ii) that PV-INs, as key neurons for the generation of SPWs and gamma oscillations,

are the predominant neuronal hippocampal cell type affected in DEND syndrome.



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 slices from PV-V59M mice. A. Stitched confocal image of a hippocampal slid from a PV-tdTomato mouse stained with anti-RFP-antibodies (30 µm z-projection taken at 1.5 µm z-interval). PV-INs are indicated in yellow. B. Representative LF recordings from slices from a littermate (dark blue) and a PV-V59M mouse (magenta). Dots mark SPWs. Stars indicate SPWs shown on the right on a expanded time scale. C-D. PDFs of SPW frequency (C, 1 min binning) ar amplitude (D) in slices from littermates (blue) and PV-V59M mice (magenta Asterisks denote significant differences (KS test, p=0.0089 in C and 0.046 in I respectively). E. Example spectrograms and corresponding LFP recordings fro kainate-treated slices from a littermate (top) and a PV-V59M mouse (bottom F. Corresponding PSDs, computed from the last 5-min data segments after 90 m wash in of kainate. G-H. Peak frequency (G) and gamma power (30-100 Hz relative to the full (0.5-100 Hz) power (H) of recordings from littermate (dark blu for slices having their peak frequency in the gamma range, light blue for the non gamma range) and PV-V59M slices (magenta). Open circles indicate the example shown in E-F. Asterisks denote significant differences (MW, p=0.0007 in G and test, p=0.001 in H; n denotes the number of slices). 	116	Fig. 2. Sharp waves and gamma oscillations are impaired in acute hippocampal
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134 A DEND mutation disturbs cellular activity

135 In PV-INs the K_{ATP} channel V59M mutation may exert its detrimental effects by altering the 136 intrinsic electrophysiological properties (31) of the dendro-somatic compartment, and/or by 137 affecting synaptic release onto postsynaptic targets. Thus, we carried out patch-clamp recordings 138 from single PV-INs. Basic properties (membrane resistance, resting membrane potential, action 139 potential threshold and maximum firing frequency) were unaffected by the V59M mutation (fig. 140 S1A-D). However, the mutation affected two more subtle properties relevant for gamma activity: 141 intrinsic membrane potential oscillations (Fig. 3A-E) and gamma resonance (Fig. 3F-H). Intrinsic oscillatory activity (quantified during long depolarizing voltage steps in periods without action 142 143 potential firing, Fig. 3A left, cf. (32)) revealed patterns that peaked in the gamma range or below 144 (Fig. 3A, right). In littermate neurons, 50% (5 out of 10) of PV-INs peaked in the gamma range 145 and 50% below ('gamma' and 'non-gamma', respectively), resulting in an average peak frequency 146 of 19 [44] Hz. In contrast, all (n=15) of the PV-V59M neurons showed a peak frequency below 147 gamma at around 2 [1] Hz (MW test, p=0.008 for peak frequency in Fig. 3B and Fisher's exact 148 test, p=0.005 for categorical differences in Fig. 3C). A power spectral densities (PSDs) plot of the 149 intrinsic oscillations revealed that, in contrast to littermate 'gamma' and 'non-gamma' neurons, 150 most of the PV-V59M neurons were not able to generate a power plateau in the gamma-band (30-100 Hz, Fig. 3D). Over the gamma frequency range, the power of the intrinsic oscillations was 151 152 significantly reduced in PV-V59M mice compared to littermates (0.11 [0.06] mV² vs. 0.13 [0.03] 153 mV², MW test, p=0.044, Fig. 3E).

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155 Gamma resonance at depolarized subthreshold membrane potential (33) was tested by applying 156 subthreshold ZAP (impedance amplitude profile) stimuli covering frequencies up to 100 Hz to 157 obtain impedance curves (Fig. 3F). The resonance strength parameter Q was determined from the fitted impedance curves (Fig. 3G; Q=Z_{max fit}/Z_{20Hz fit}, cf. (34)) to distinguish between 'resonant' 158 159 (Res, O > 1.05) and 'non-resonant' neurons (NonRes, O < 1.05). Based on this criterion, 36% of PV-INs from control littermates (5 out of 14) resonated in the gamma frequency range, showing 160 161 their maximum impedance at gamma with 36.5 [3.2] Hz (Fig. 3G). Not a single V59M PV-IN 162 (n=15) revealed gamma resonance behavior (Fig. 3G, magenta trace), revealing a significant categorical difference (Fisher's, p=0.017, Fig. 3H) between mutant and littermate PV-INs. 163

164

165 Presynaptic effects of the V59M mutation were studied in paired patch-clamp recordings between

166 PV-INs and postsynaptic pyramidal cells (PCs, Fig. 3I, inset), a synaptic connection associated

167 predominantly with the generation of SPWs and gamma oscillations (27). Pairs of action potentials

evoked in PV-INs reliably evoked pairs of inhibitory post-synaptic currents (IPSCs) in PCs (Fig.

3I). Although the amplitudes of the first IPSCs were similar between mutant and control pairs (Fig.

3I, J), we found a significantly reduced paired-pulse depression (PPD) in PV-V59M INs (Fig. 3I,

171 K; MW test, p<0.05). A reduction in PPD may result from a variety of pre- and postsynaptic 172 effects (35), including complex homeostatic effects (36). Nonetheless, functionally, a reduced PPD

- favors tonic versus phasic synaptic transmission (35). Thus, both, the reduced gamma preference
- (Fig. 3A-H) as well as the reduced PPD (Fig. 3I-K) of PV-V59M INs, potentially contribute to the
- disturbed network phenotype observed in slices from PV-V59M hippocampi (Fig. 2). This reflects

the essential role of PV-INs in the generation of SPWs and gamma oscillations.



177

178 Fig. 3. Reduced intrinsic gamma oscillations, gamma resonance and short-term depression in PV-INs of PV-V59M mice. A. Left: Examples of intrinsic membrane potential oscillations of 179 180 a littermate 'gamma' (dark blue), 'non-gamma' (light blue) and PV-V59M 'non-gamma' PV-IN 181 (magenta; color code also applies to B-E). Zoom-ins of the oscillatory activity (upper scale bars). Top: schematic of patch-clamp recording in CA1 PV-INs. Right: Corresponding PSDs obtained 182 from 1 s oscillatory activity without APs. Note: the littermate 'gamma' neuron peaked in the 183 gamma band (shaded), whereas the littermate 'non-gamma' and PV-V59M neuron peaked in the 184 delta-to-theta range (0.5-8 Hz). B. Peak frequency of the PSDs of littermate and PV-V59M PV-185 INs (MW, p=0.008). Open circles indicate examples from A. C. PV-IN proportions with their peak 186 187 frequency within or below gamma (non-gamma; Fisher's, p=0.005). D. Average PSDs (±SEMs) from PV-INs. E. Gamma power of littermate and PV-V59M PV-INs (MW, p=0.044) computed 188 by the area under the curve for the PSDs between 30 and 100 Hz. Open circles denote examples 189 190 from A. F. Example membrane potentials of neurons that responded to a perithreshold ZAP current stimulus (20-100 Hz) either with a resonance peak in the gamma range (top, dark blue, littermate) 191 or not (light blue, littermate; magenta, PV-V59M; same colors for G-H). Zoom-ins at 20, 40 and 192 193 100 Hz stimulus frequencies. G. Average impedance (±SEM) plotted vs. frequency. Arrows point at the maximal impedance (Z_{max}); n=number of neurons. H. Proportion of resonant and non-194 195 resonant PV-INs from littermate and PV-V59M mice (Fisher's, p=0.017). I. Paired IPSCs evoked 196 in PCs (middle, blue trace: littermate; bottom, magenta trace: PV-V59M) by inducing APs in synaptically connected PV-INs (top). Black lines depict the IPSC quantification. Inset (top) 197 198 illustrates the recording configuration. J. Mean first IPSC amplitudes in PCs. K. Paired-pulse ratio 199 of IPSCs (MW, asterisks denote significances (p<0.02) between genotypes).

200 Seizures and absent nocturnal gamma

201 In vitro physiology provides important information regarding the pathophysiology of channelopathies (37). However, to determine if PV-INs play a dominant role in the neurological symptoms 202 203 of patients with DEND syndrome, namely epilepsy and developmental delay (10, 38), in vivo experiments are required. To this end, we performed chronic (7 days) local field potential (LFP) 204 205 recordings from the hippocampal area CA1 in freely moving mice (Fig. 4A). Indeed, we found 206 that, while none (n=8) of the littermate mice showed epileptic activity, 7 out of 9 of PV-V59M 207 mice presented with electrographic seizures (Fig. 4B-C, Fisher's, p=0.002), comprising on average 2.7 [4.3] seizures per day in mutants with a median duration of 41 [32] s per seizure (Fig. 4C-D). 208 209 Besides epileptic activity, the chronic LFP recordings revealed an unexpected incapacity of PV-V59M mice to adapt their brain activity to the day-night rhythm. Littermates displayed a circadian 210 rhythm characterized electrographically by a pronounced increase in relative gamma power during 211 the night (Fig. 4E-F), which is the time of their wakefulness and activity (day: 28.6 [14.4] % vs. 212 213 night: 32.9 [18.3] %, paired t-test, p=2e-4, Fig. 4G and left panel in H). While PV-V59M mice showed a normal day-night rhythm in their behavioural activity (fig. S2), they failed to produce a 214 215 nocturnal increase in gamma power (day: 22.8 [7.6] % vs. night: 23.9 [7.7] %, paired t-test, p=0.379, Fig. 4E-G and right panel in H). Thus, the impaired gamma activity observed in slices of 216 PV-V59M mice is mirrored by an impaired shift in circadian gamma activity in vivo, which may 217 affect the ability to select and process information and thus relate to the developmental delay of 218 219 patients with DEND syndrome.



220	Fig. 4. Epileptic seizures and absence of nocturnal increase in
221	hippocampal gamma power in PV-V59M mice. A. Top: Schematic of
222	the telemetric hippocampal LFP recording in freely moving mice
223	carrying a transmitter implanted subcutaneously on their back. Bottom:
224	Typical cresyl violet stained hippocampal slice with previously
225	implanted electrode. Arrow points at the recording site in stratum
226	pyramidale of CA1. B. Representative recording of <i>in vivo</i> hippocampal
227	electrographical activity with a zoom-in of a seizure in a PV-V59M
228	mouse. C. Fraction of animals showing electrographical seizures among
229	littermates and PV-V59M mice during postsurgical days 4-6 (Fisher's,
230	p=0.002). D. Average number of seizures per day (left) and median
231	duration of detected seizures (right) in PV-V59M mice. Example from B
232	is indicated with open circles. E. Example spectrograms (0.5 Hz binning)
233	of 3-day-long (postsurgical day 4-6) hippocampal LFP recordings from
234	a littermate and a PV-V59M mouse. Note the circadian changes in
235	gamma power in the littermate, which are almost absent in the PV-V59M
236	mouse. Spectrograms are scaled according to their respective maximal
237	power values. F. Relative power at night (dark color) and day (light
238	color) plotted vs. frequency for the examples shown in E (littermates:
239	left, dark blue traces; PV-V59M: right, magenta traces, 5 Hz binning).
240	Insets display zoom-ins in the gamma frequency range. G. Gamma
241	power over 24 h, averaged over 3 days for 8 littermates and 8 PV-V59M
242	mice, respectively (mean \pm SEM). H. Relative gamma power at day and
243	night time for littermates (left, paired t-test, p=2e-4) and PV-V59M
244	animals (right, p=0.379). Examples from E-F are depicted with open
245	circles and the two PV-V59M animals without seizures with squares.

- 246
- 247

248 Discussion

249 These results allow formulation of a refined hypothesis for the neuronal mechanisms underlying the neurological symptoms of DEND syndrome. This proposes that KATP channels normally 250 regulate the rhythmic firing pattern of neurons that are engaged in burst firing and/or high-251 252 frequency network oscillations. Such activity can be expected to lead to a decrease of cytosolic 253 ATP, which, in turn, activates K_{ATP} channels and hyperpolarizes the neurons, ultimately serving as feedback in network oscillations (26, 29). Activating KATP channel mutations such as Kir6.2-254 V59M bypass the regulation via ATP by increasing the channel open probability and reducing the 255 256 ability of ATP to close the channel (2). This mutation-induced shift in the working range of KATP 257 channels within PV-INs disturbs their normal feedback operation, impeding finely tuned high-258 frequency network activity such as SPWs and gamma oscillations (27, 39, 40), and permitting epileptic activity. 259

260

261 Our data indicate that dysfunctional PV-INs are crucial for the phenotype of patients with DEND

syndrome. The similarity of effects induced by bath application of diazoxide and by PV-IN specific

 $263 \qquad \text{expression of the $K_{ir}6.2-V59M$ mutation suggests these fast-spiking interneurons with K_{ATP}}$

channels play a dominant role in cognition-associated network activity. Our data also indicate that

265 expressing K_{ATP} channels under control of the PV promoter provides a valid model of hippocampal

 K_{ATP} channel dysfunction in patients, in which the prevalence of mutated K_{ATP} channels in distinct cell types is controlled by the endogenous promotor.

268 Patients with DEND syndrome suffer from a range of neurological disabilities, including deficits 269 in learning and memory, and difficulties in attention, perception, visuospatial abilities and sleep 270 (8, 9, 41). Impairments in energy demanding network phenomena, such as SPWs and gamma 271 oscillations, can potentially cause most of these higher brain functions (16, 22, 27, 42). Altered 272 synaptic plasticity, contextual and spatial memory have previously mechanistically been linked to 273 modified K_{ATP} channels in mice (11, 43). Our data demonstrate that enhanced K_{ATP} channel activity gives rise to impaired gamma oscillations and SPWs that underlie the neuropsychological 274 problems. As gamma oscillations can be measured non-invasively and SPWs are with increasing 275 276 frequency routinely measured in epilepsy patients, this makes them ideal correlates to further 277 investigate cognitive deficits and treatment options in DEND syndrome patients.

278 Our in vivo data highlight another parameter that should be taken into consideration when 279 characterizing DEND syndrome: circadian shifts in gamma activity. PV-V59M mice were unable to increase gamma power during wakefulness, a shift that may be expected to promote information 280 perception and selection, behavioral adaptation and memory retrieval (44), all of which are 281 282 affected in patients with DEND syndrome (8, 9, 41). Since locomotor activity was similar between 283 mutant and control mice, our findings do not result from a lack of sensory stimuli or a general loss 284 of circadian regulation in PV-V59M animals but from an inability to enhance gamma oscillations 285 during wakefulness. Long-term EEG recordings are required to test whether a similar 286 electrophysiological feature occurs in patients with DEND syndrome (8, 41).

287 Together with the increased susceptibility to epileptic activity in PV-V59M mice, which mirrors the phenotype of patients with DEND syndrome and is in line with the role of PV-INs in the control 288 289 of epileptic activity (45, 46), our study identifies straightforward electrophysiological readouts for 290 studying DEND syndrome in a mouse model: reduced SPW occurrence, altered gamma activity 291 and increased seizure susceptibility. Our mouse model and experimental read-outs will prospectively help elucidate whether treatment of the neurological problems of patients with 292 293 DEND syndrome with sulfonylureas was ineffective (8, 11, 47-49), because of unfavorable 294 pharmacokinetics (14) or because of mutation-induced drug insensitivities (2). It will also facilitate 295 testing the effectiveness of newly developed drugs targeting $K_{ir}6.2$ channels (50). Hence, our study lays the foundation for better clinical diagnoses and new therapeutic strategies for DEND 296

syndrome patients.

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465	Additional	Information

- 466 Supplementary materials are available for this paper.
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469 Supplementary Materials

- 470 Materials and Methods
- 471 Figs. S1 to S2
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