

Keeping pace with marine heatwaves

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28 **Abstract**

29

30 Marine heatwaves (MHWs) are prolonged extreme oceanic warm water events. They can
31 have devastating impacts on marine ecosystems — for example, causing mass coral
32 bleaching and substantial declines in kelp forests and seagrass meadows — with
33 implications for the provision of ecological goods and services. Effective adaptation and
34 mitigation efforts by marine managers can benefit from improved MHW predictions, which
35 at present are inadequate. In this Perspective, we explore MHW predictability on short-
36 term, interannual to decadal, and centennial timescales, focusing on the physical processes
37 that offer prediction. While there may be potential predictability of MHWs days to years in
38 advance, accuracy will vary dramatically depending on the regions and drivers. Skilful MHW
39 prediction has the potential to provide critical information and guidance for marine
40 conservation, fisheries and aquaculture management. However, to develop effective
41 prediction systems, better understanding is needed of the physical drivers, subsurface
42 MHWs, and predictability limits.

43

44 **[H1] Introduction**

45

46 Prolonged extreme ocean warming events – also known as marine heatwaves (MHWs) – can
47 severely impact marine ecosystems and the services they provide^{1–6}. Yet despite their
48 significance, dedicated and coordinated research only became prominent following the
49 extreme event off Western Australia in 2011^{7,8}. Indeed, it was during this event that the
50 term ‘marine heatwave’ was first used to characterise an extensive, persistent and extreme
51 ocean temperature event⁹ (**Box 1**), spurring a new wave of research into their physical
52 processes and corresponding impacts.

53

54 Since 2011, MHWs have been observed and analysed both retrospectively and
55 contemporaneously, and are now recognised to occur over various spatio-temporal scales.
56 For example, given the ocean’s heat capacity and dynamical scales, MHW events can persist
57 for weeks to years^{10–16}. They further vary in spatial extent and depth depending on the
58 processes that cause and maintain them, as well as the geometry of the regions in which
59 they occur. For instance, MHWs can be locally confined to individual bays¹⁷, around small
60 islands or along short sections of coastline, or be broadly distributed over regional seas^{10,18},
61 ocean basins^{15,19}, or even spanning multiple oceans^{20,21} (for a map of major MHW events,
62 see **Fig. 1**).

63

64 As well as the physical drivers, the ecological impacts of MHWs have also been studied in
65 considerable depth. The effects include biodiversity loss and changes in species behaviour
66 or performance^{3,7}, loss of genetic diversity and adaptive capacity²², economic impacts from
67 changes in fishery catch rates^{1,23–25}, and mortality or altered performance of farmed
68 aquaculture species¹³. The impacts of MHWs are particularly evident on coral reefs
69 (promoting widespread bleaching, including pan-tropical events²⁶), kelp forests (driving
70 significant loss of kelp forest habitats off the coast of Western Australia, New Zealand,
71 Mexico and the North Atlantic^{7,27–30}), and seagrass meadows (wherein substantial declines
72 have been observed³¹). At higher trophic levels, MHWs have also impacted economically
73 important species including lobster and snow crab in the northwest Atlantic^{1,32}, lobster,
74 crabs, abalone and scallops off Western Australia^{24,33}, and numerous species in the

75 northeast Pacific³⁴. In some cases, MHWs have even been linked with increased whale
76 entanglements³⁵.

77

78 Given the evidence for potentially devastating impacts resulting from MHWs, there is a
79 critical need for skilful prediction to inform effective response and adaptation strategies.
80 This urgency is amplified by anthropogenic warming which has increased MHW occurrences
81 by 50% over the past several decades³⁶, a change which is also projected to increase in the
82 future^{37,38} (**Fig. 2**). Despite improved process-based understanding¹⁴, knowledge of MHW
83 predictability and present MHW prediction systems are in their infancy. Hence, there is a
84 compelling need to understand and improve MHW predictability in order to guide marine
85 conservation, fisheries management and aquaculture practises in a warming world. In this
86 Perspective, we explore the mechanisms and potential for MHW predictability across a
87 range of time scales. We first consider the physical mechanisms that cause MHWs, before
88 then exploring the importance of MHW event monitoring as an activity to improve our
89 understanding of MHW precursors, processes, and forecasts. Using this knowledge, we
90 subsequently outline the potential for MHW predictability. Finally, we address future
91 challenges and opportunities for MHW research, including those arising from climate
92 change.

93

94 **[H1] Physical mechanisms**

95

96 A range of physical mechanisms can lead to the warming of ocean waters (**Fig. 3**). These
97 include enhanced solar radiation into the ocean, suppressed latent and sensible heat losses
98 from the ocean to the atmosphere, shoaling of the mixed layer due to increased
99 stratification, increased horizontal transport (advection) of heat, and reduced vertical heat
100 transport associated with suppressed mixing, reduced coastal upwelling or Ekman pumping –
101 processes that bring cool deep water to the surface (see ref¹⁴ for more in-depth discussion).
102 Furthermore, elevated upper ocean heat content or the re-emergence of warm anomalies
103 from the subsurface can precondition increased likelihood of MHW occurrence. The
104 amplification or suppression of these processes, either in isolation or collectively, can
105 promote or inhibit MHW development driven by local air-sea interactions and feedbacks,
106 and large-scale modes of climate variability acting locally or remotely. Here, we detail these
107 physical processes and discuss their potential for predicting MHW occurrences on a range of
108 timescales.

109

110

111 **[H2] Coupled air-sea interactions and atmospheric preconditioning.**

112

113 Many of the iconic extratropical MHWs (e.g. The Blob, central South Pacific) have been
114 associated with persistent high-pressure systems (or blocking highs) over the ocean and
115 their resulting air-sea interactions. Atmospheric blocking reduces cloud cover, enhances
116 insolation and suppresses surface wind speeds, resulting in hot, dry weather. Collectively
117 these conditions reduce sensible and latent ocean heat loss, but increase solar radiative
118 heating, in turn warming sea surface temperatures (SSTs)^{14,19,39,40}. Given that blocking
119 highs have large spatial scales and can persist for weeks to months, they have the potential
120 to substantially raise ocean temperatures over a large geographic region for a considerable
121 duration, as reflected in the characteristics of MHWs they promote. For example, key events

122 occurred during 2003 in the Mediterranean Sea ^{10,41}, 2009/10 in the central South Pacific ¹⁹,
123 2012 in the northwest Atlantic ^{12,42}, 2013/14 in the northeast Pacific ³⁹, and 2017/18 in the
124 Tasman Sea ^{43,44} (**Fig. 1**).

125

126

127 While these events are related to atmospheric blocking, the specific mechanisms vary. The
128 2009/10 MHW in the central South Pacific was generated by Rossby wave-related
129 atmospheric anomalies arising from the Central Pacific El Niño ¹⁹. By contrast, the 2003
130 Mediterranean Sea ^{10,45} and 2017/18 Tasman Sea MHWs ^{43,44} formed through enhanced
131 radiative heat fluxes caused by concurrent atmospheric heatwaves. In the case of the 2012
132 northwest Atlantic ^{12,42} and 2013/2014 northeast Pacific MHWs ^{15,39}, atmospheric
133 preconditioning was important. Specifically, persistent atmospheric weather patterns
134 through the winter reduced wintertime heat loss from the ocean to the atmosphere,
135 keeping the upper ocean warmer and preconditioning it to increased MHW likelihood in the
136 following seasons. The 2013 North Pacific blocking pattern was so extreme and persistent
137 that it was given the nickname the “ridiculously resilient ridge” ⁴⁶, referring to a large and
138 unusual region of high sea level pressure that was unprecedented since at least the 1980s ³⁹.

139

140

141 **[H2] Oceanic preconditioning**

142

143 Oceanic preconditioning of warm temperature anomalies can result from the process of re-
144 emergence ⁴⁷: if heat anomalies form during winter when the mixed layer is deep,
145 subsurface anomalies can become uncoupled from the surface ocean in summer when the
146 mixed layer shoals. When the mixed layer deepens again during the subsequent winter, the
147 persistent subsurface anomalies are re-entrained into the mixed layer, making the surface
148 ocean warmer ⁴⁷. Mixed layer depths are also important for modulating the response of the
149 surface ocean to heat fluxes. For example, when mixed layers are shallower than normal,
150 they will warm faster for a given input of heat ⁴⁸. Indeed, an anomalously shallow mixed
151 layer when net heat fluxes are into the ocean could increase the likelihood of summer
152 MHWs, even in the absence of anomalously large surface heat fluxes ⁴⁹. However, we also
153 note the identification of a separate measure of oceanic preconditioning based on ocean
154 heat content over greater depths and longer time scales, due to ocean circulation changes.
155 Specifically, an analysis of Argo data and model results in the Tasman Sea indicates that
156 interannual to decadal time scale variations in ocean heat content to 2000 m depth, as a
157 measure of the background state, can precondition the development of MHWs, requiring
158 less surface heating to develop a MHW when superimposed on an already warm ocean ⁵⁰.

159

160 **[H2] Modulation by climate modes and teleconnections**

161

162 Modes of climate variability – which operate on time scales from intra-seasonal (Madden-
163 Julian Oscillation (MJO)), through interannual (El Niño – Southern Oscillation (ENSO), Indian
164 Ocean Dipole (IOD)), to decadal – are known to modulate the frequency, intensity and
165 duration of MHWs ^{14,36,51}. These modes can modulate ocean temperatures, including the
166 development of regional MHWs, directly or remotely via atmospheric or oceanic
167 teleconnections which reverberate the effects globally ^{14,52}.

168

169 On intra-seasonal timescales, the MJO influences atmospheric circulation by suppressing
170 convection and increasing Ekman pumping off northwest Australia, specifically during MJO
171 phases 2-5⁵³. This process preferentially supports warmer SSTs and increases the likelihood
172 of MHWs off Western Australia⁵⁴. Conversely, the MJO has also been associated with
173 enhanced convection, capable of exciting a Rossby wave train through to the extratropics
174 that effectively sets up a blocking high, which forces MHWs in the southwest Atlantic Ocean
175⁴⁰.

176
177 On interannual timescales, ENSO events play a substantial role in influencing MHW
178 likelihood, not only in the tropical Pacific but also in regions remote to ENSO's centre-of-
179 action. El Niño events are associated with increased SSTs in the central and eastern tropical
180 Pacific, resulting in MHWs through the dynamic response of the thermocline to wind stress
181 changes at the surface, Kelvin wave propagation across the Pacific, and reduced upwelling¹⁴.
182 El Niño events have also been associated with reduced strength of the subtropical north-
183 easterly trade winds which, in turn, reduce evaporation, increase local SSTs, and trigger a
184 positive thermodynamic wind-evaporation-SST feedback¹⁵. This feedback subsequently
185 activates meridional modes, which propagate and amplify SST from the subtropics into the
186 central equatorial Pacific. There, the positive SST anomalies favour the development of El
187 Niño and tropical convection, exciting atmospheric Rossby waves which teleconnect to the
188 extratropics, that aid persistence¹⁵. Conversely, La Niña events can remotely elevate SSTs
189 off Western Australia via the propagation of oceanic Kelvin waves and by strengthening heat
190 transport through the Leeuwin Current, increasing the likelihood of MHWs^{48,55}. Thus, the
191 phase of ENSO (along with other modes) is important in enhancing or suppressing MHWs in
192 different regions across the globe^{14,36}.

193
194 On longer time scales, oceanic Rossby waves can propagate westward for years to decades
195 across ocean basins and modulate ocean heat content and the local vertical structure along
196 their path. In particular, it has been shown that oceanic Rossby waves generated by wind
197 changes in the interior South Pacific can modulate poleward transport through the Tasman
198 Sea⁵⁶ and enhance MHW event likelihoods there⁵⁷. This likelihood is increased despite the
199 fact that the East Australian Current Extension region is eddy-rich, with high-frequency
200 variability occurring on timescales of weeks to months. This oceanic teleconnection process
201 provides an additional ocean heat content modulation mechanism to effectively 'load the
202 dice' for increased MHW potential predictability in the Tasman Sea.

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206 **[H1] Monitoring marine heatwaves**

207
208 Coupled with understanding of the physical processes contributing to MHW development,
209 ocean temperature monitoring programs are crucial for their identification and
210 categorisation. The near real-time monitoring of MHWs requires resources to deliver
211 temperature data on a range of spatial scales and depths. In this regard, satellite sensors
212 provide a suite of global and regional ocean surface information, including SST, sea level,
213 currents, and winds. Near real-time in-situ data from Argo floats, gliders, and moorings
214 provide information on subsurface conditions, such as mixed layer depth and heat content.

215

216 Integrated ocean data systems that incorporate these multiple data streams can offer
217 region-specific information for monitoring MHWs. For example, Australia’s Integrated
218 Marine Observing System (IMOS) provides near real-time summaries of surface currents and
219 SST which, when referenced against climatology data, indicates the presence of MHWs
220 around Australia – representing valuable information for the public, aquaculture industries,
221 tourism operators in the marine environment, and local communities.

222

223 **[H2] Event-based monitoring**

224

225 Event-based monitoring can offer targeted information for marine stakeholders once a
226 MHW event has commenced. For example, identifying properties of a MHW, such as its
227 vertical extent, may provide information on its persistence or potential disruption to marine
228 ecosystems (**Table 1**); a shallow MHW may be more likely to weaken if strengthening winds
229 lead to deep mixing, whereas a deep MHW offshore would persist even if winds intensified.

230

231 During a MHW, rapid deployment of specific equipment can augment standard and
232 integrated systems and may target regions where infrastructure is not present or does not
233 meet the needs for near real-time monitoring. For instance, existing technology such as
234 autonomous underwater vehicles, vertical profiling instruments and undulating towed
235 vehicles can be manoeuvred to resolve a MHW’s vertical structure and investigate
236 contributing physical processes. An IMOS program to examine the emergence, maintenance
237 and decay phases of the 2018/19 Tasman Sea MHW, for example, revealed the potential for
238 such monitoring approaches. During this program, Slocum gliders deployed off Tasmania
239 provided high temporal and spatial sampling over the continental shelf, informing the depth
240 and characteristics of the anomalously warm water event (**Fig. 4**). Near real-time data were
241 shared with regional stakeholders, including local marine industries such as salmon and
242 oyster aquaculture, stimulating interest and intensifying demand for predictive capability.
243 Indeed, such real-time information, achieved through event-based monitoring, can inform
244 adaptation responses for multiple stakeholders, demonstrating the importance of
245 translating raw data streams into visual results.

246

247 **[H2] Monitoring subsurface MHWs**

248

249 While remote sensing, in combination with surface drifting buoys and ship underway data,
250 provides high resolution SST data for both historical and real-time analyses of MHW surface
251 characteristics, it is not only surface properties that need attention. MHWs can also exhibit
252 considerable depth penetration, or exist at depth with no surface expression, necessitating
253 subsurface data^{58,59}. Yet, the ability to characterise subsurface MHWs in both the open
254 ocean⁵⁹ and coastal regions⁵⁸ is challenged by the sparsity of observations and the absence
255 of continuous, long-term time-series in the historical record (such as data from expendable
256 BathyThermograph (XBT), conductivity, temperature and depth (CTD), gliders, and Argo
257 profiles).

258

259 These challenges hinder the development of robust and spatially complete subsurface
260 temperature climatologies needed for statistical assessments of MHWs. Indeed, while some
261 datasets exist^{60,61}, they do not extend to coastal regions owing to an absence of Argo
262 profiles⁶². Nevertheless, analyses of MHW vertical structure and corresponding processes

263 have been attempted through the use of long-term mooring sites^{20,58}, autonomous floats in
264 regional seas (such as the western Tasman Sea⁵⁹), and dynamical ocean models or
265 reanalyses that assimilate ocean observations^{63,64}. Each of these approaches have known
266 limitations; mooring sites provide information for single points in space, and reanalysis data
267 are based on model-synthesised sparse observations, meaning the products are only as
268 good as the quality and quantity of observations they assimilate, and their distribution.
269 Consideration of how to identify MHWs using sub-optimal data is, therefore, important for
270 future work⁶⁵. Better understanding of the relevant time scales of subsurface MHWs, which
271 can be longer than those at the surface⁵⁹, may alleviate some of the demands on high
272 temporal frequency sampling. It is clear, however, that without improved subsurface
273 characterisation of MHWs – with bearing on surface recharge, heat storage and mixing –
274 their prediction potential remains limited.
275

276 **[H1] Predicting MHWs**

277
278 As discussed previously, MHW occurrences can depend on modes of climate variability
279 ^{14,36,51}, the background ocean state (heat content, mixed layer depth) ^{49,50}, ocean circulation
280 ¹³, remote teleconnections ^{14,15,40,57}, and the presence of weather systems such as
281 atmospheric blocking ^{39,40,43}. In many instances, these drivers are themselves at least
282 partially predictable, especially in regard to climate modes ⁶⁶, suggesting that MHW events
283 are potentially predictable many months ahead ^{14,18,57}. Here we outline the need for
284 understanding MHW predictability, their timescales, and the development of forecast
285 systems.
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289 **[H2] The benefit and need for MHW prediction**

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291 Skilful prediction of MHW events, and their intensity, duration, depth and spatial extent, is
292 expected to be of great value to marine resource users, and managers of fisheries,
293 aquaculture and conservation ⁶⁶⁻⁶⁸. For instance, short-term forecasts of a few days to
294 weeks ⁶⁹ would allow for active management strategies to be implemented, such as
295 harvesting or relocating farmed species in aquaculture industries that would likely suffer
296 mortality under MHW conditions. With predictive capabilities, it may also be possible to
297 ameliorate stressful conditions through short-term active interventions such as cooling or
298 shading, as is currently implemented in Australian fishery and aquaculture sectors in
299 response to seasonal forecasts of adverse conditions (e.g., water temperature, rainfall, and
300 air temperature) ⁷⁰. Indeed, on seasonal timescales, forecasts can be used to inform
301 strategic fisheries management decisions (target species, quotas, timings) or to implement
302 temporary protected areas. While most applications of MHW predictions seek to support
303 mitigation of detrimental ecological consequences, short- to medium-term prediction of
304 MHWs could also bring opportunities. For example, the 2011 MHW in Western Australia led
305 to the temporary appearance of marine megafauna (whale sharks, manta rays, tiger sharks,
306 turtles) and recreationally important fish species well outside their normal range ⁹,
307 providing a short-term business opportunity for local tour operators.
308

309 Anticipating regions that may be affected by decadal and longer-term MHW intensification
310 would also guide placement of permanent fully protected areas (such as within climatic
311 refugia ⁷¹), as well as inform fisheries management approaches by future-proofing target
312 species for fisheries and aquaculture ²⁴. Moreover, longer term prediction can help focus
313 conservation efforts such as assisted evolution or early restoration in sensitive habitats and
314 regions ³¹. Skilful prediction can identify areas where mitigation strategies might have
315 limited utility as it may not be economically feasible or technically possible to mitigate all
316 the impacts on marine ecosystems ⁷².

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321 [H2] Predictability timescales

322

323 The degree to which MHWs are predictable requires knowledge of how the relevant
324 physical drivers and processes interact in time, from days (SST persistence), to weeks
325 (blocking systems and atmospheric teleconnections), to months (oceanic preconditioning),
326 and years (low frequency climate modes and oceanic teleconnections). Given the heat
327 capacity, persistence and propagation timescales of oceanic processes (such as from oceanic
328 Rossby waves) are much larger than those for the atmosphere (for instance, from persistent
329 blocking), MHW development is expected to have longer predictability lead times in regions
330 where oceanic processes dominate (**Table 1, Fig. 5**).

331

332 For example, MHW forecasts with lead times of 7-10 days may be possible when air-sea
333 interactions (such as from a blocking event) dominate MHW development. However, at
334 week-to-month leads, preconditioning factors from mixed layer depth (MLD) or ocean heat
335 content enhance predictability potential ^{49,50}. For example, if the MLD in boundary current
336 and extension regions is relatively shallow leading into summer, anomalously warm SSTs
337 may be expected in the summer season also ⁴⁹. Information on ocean advection processes
338 and internal variability (from large-scale eddies, for example), might also improve MHW
339 forecast potential on similar timescales, as has been found for seasonal forecasts ⁷³ (**Table**
340 **1**). Atmospheric and oceanic circulations are recognised in describing MHW types along the
341 eastern Tasmanian shelf region, where persistence and intensity are related to the relative
342 contribution of the East Australian Current and atmospheric heat input ⁶³.

343

344

345 Climate modes and their teleconnections are also expected to influence MHW predictability
346 on subseasonal to seasonal ^{18,40,54,74} and interannual to decadal timescales ^{14,57}. Most
347 climate modes have some degree of predictability, or at least persistence, and can therefore
348 provide potential sources of MHW predictability; for example, ENSO can be predicted ~6
349 months in advance, while individual phases of the Pacific Decadal Oscillation (or
350 Interdecadal Pacific Oscillation) persist for decades⁶⁶. For example, MHWs off Western
351 Australia are linked to ENSO and the Madden-Julian Oscillation indicating some degree of
352 MHW predictability on subseasonal to seasonal timescales in that region. Moreover,
353 atmospheric blocking events at midlatitudes via remote teleconnections also offer some
354 predictability, albeit at much shorter timescales⁴⁰. While blocking can be influential to MHW
355 development, the realistic simulation of blocking is a challenge, as is the forecasting of these

356 blocking events ^{75–78}. While atmospheric blocking may increase the likelihood of MHW event
357 occurrence, other short-term oceanic processes can work against the blocking such that the
358 event does not occur. This creates significant uncertainty around MHW event likelihood
359 based on simulations of blocking and blocking forecasts.

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362

363 Other processes can also offer predictive power. The clustering of ocean eddies in western
364 boundary currents⁷⁹, for example, contribute potentially predictable changes in ocean
365 temperature extremes ^{63,80,81}. Remotely forced oceanic Rossby wave teleconnections –
366 which take months to many years to propagate westward across ocean basins - also hold
367 considerable promise for multi-year prediction of MHW likelihood in the Tasman Sea region
368 ⁵⁷.

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374 **[H2] Developing forecast systems**

375

376 Marine managers can gain valuable information from seasonal MHW forecasts. However,
377 skilful forecasts are not easily achieved. For example, a recent assessment of seasonal
378 forecast skill from the US National Center for Environmental Prediction’s Climate Forecast
379 System in ‘The Blob’ region had little success ⁸². Meanwhile, a separate assessment of
380 seasonal MHW forecasts of the California Current System in eight global climate forecast
381 systems indicated that large ensemble forecasts were potentially beneficial, with MHWs
382 being more or less predictable depending on the forcing mechanisms ¹⁸. Efforts are
383 currently underway by the Australian Bureau of Meteorology to develop a MHW seasonal
384 forecast system for the Australian region (Spillman and Hobday, unpublished), while ocean
385 ‘weather’ forecasts (7-10 days) are already available through BLUElink, but these have not
386 yet specifically addressed MHWs per se.

387

388 Testing and developing the aforementioned relationships and timescales for forecast
389 systems can benefit from using data-learning algorithms, or through process-based ocean
390 model experiments, including single-model or multi-model ensembles. Such examples have
391 been shown for coral bleaching events ⁸³. MHW forecast systems that use large ensembles
392 of weather and/or climate model simulations are expected to be the most promising, in line
393 with similar ensemble numerical modelling techniques applied to forecast extreme events
394 such as tropical cyclones. The use of machine learning to synthesise data sets is also a
395 promising avenue towards sequential time series forecasting. For example, neural networks
396 composed of gated recurrent units may hold promise for learning seasonal patterns in SST
397 and predicting extremes when trained with MHW relevant climate features ⁸⁴. Data to train
398 such models should be relevant to the phenomena being forecasted, for example the
399 NINO3.4 index, regional sea level pressure, and upper ocean heat content. It is clear,
400 however, that whichever method is used, forecasts systems must be developed for different
401 regions given the spatial heterogeneity of predictability processes.

402

403 Forecasting MHWs comes with the opportunity and challenge of communicating these
404 forecasts with stakeholders, including fishery managers and the public ⁸⁵. Choosing
405 thresholds and timescales for forecasts that are relevant to marine ecosystem response and
406 planning requires identifying who the forecast system will inform and the desired criteria or
407 metrics that will facilitate decision making, and will require considerable efforts toward
408 stakeholder engagement.

409

410 **[H1] Future Perspectives**

411

412 Marine heatwaves have emerged as one of the grand challenges facing marine ecosystems
413 and the sustainability of marine resources, demanding progress in understanding the
414 physical phenomena; improved prediction systems; increased collaboration between marine
415 scientists, climate scientists, marine industries and managers; and the efficient, accessible
416 and consistent dissemination of new knowledge. We expand here on specific areas that we
417 consider warrant attention below.

418

419 **[H2] Developing improved understanding of physical processes.**

420

421 Heat budgets provide a valuable tool for understanding processes that cause MHWs
422 ^{13,14,42,48,49,74}. However, fixed-region budget approaches are limited to analysing the drivers
423 of MHWs locally, while remote forcing, and atmospheric and oceanic teleconnections, may
424 also be very important contributors to the development and decline of MHWs. Hence, there
425 is merit in considering large-scale dynamical frameworks that connect remote drivers to
426 MHW events, which may be beneficial in predicting MHW onset, persistence, decay, spatial
427 extent, depth, and intensity. There has been some success in understanding the physical
428 mechanisms of atmospheric heatwave development through Lagrangian back trajectory
429 analysis^{86,87}, a technique also used in the ocean to investigate the influences of microbial
430 exposure to ocean temperature variability as they drift ⁸⁸. A useful addition for the analysis
431 of MHW predictability may be the use of adjoint models to explain the fundamental
432 dynamics of back trajectory teleconnections ⁸⁹.

433

434 **[H2] Marine ecosystem and fisheries management implications.**

435

436 The management of marine species, habitats, and ecosystems can be seriously affected by
437 MHW impacts on fisheries and aquaculture, recreational activities and biodiversity
438 conservation ³. However, marine governance and management practices for responding to a
439 rapidly changing climate are in early stages of development ⁹⁰, and a wider range of tools
440 and strategies will be needed to adapt to and mitigate against future MHWs ⁹¹. Although a
441 reactive response may limit the damage to some industries, such as aquaculture, in other
442 cases it may be too late. For example, wild abalone in a MHW would likely already be in
443 poor condition and unable to be harvested.

444

445 Proactive responses to these extreme events – which include passive approaches such as
446 catchment management, fishing restrictions and identification of marine protected areas –
447 can be implemented by marine managers if sufficient warning is provided ⁹². These
448 approaches aim to increase the resilience of marine ecosystems by limiting exposure to

449 stressors that compound the impact of warming, such as overfishing, eutrophication and
450 pollution^{93,94}, or protecting natural ecological processes such as predation and herbivory,
451 that confer ecosystem resistance to change^{95,96}. However, passive approaches can be slow
452 or inefficient⁹⁷.

453

454 By contrast, active interventions seek to maintain or re-establish ecosystems or key
455 ecosystem services through direct manipulation, ranging from habitat rehabilitation and
456 restoration through to assisted migration, species replacements and assisted evolution^{98–}
457 ¹⁰⁰. Although some of these options are ethically contentious, they may be essential for
458 ensuring the long term survival of vulnerable marine ecosystems¹⁰¹ which are also under
459 threat from increased MHWs .

460

461 The performance of many marine industries is related to the occurrence of favourable
462 environmental conditions, including suitable habitats. Aquaculture requires water
463 temperatures to remain within tolerance limits of the farmed species, while fisheries often
464 rely on species that relocate in response to changing environmental conditions. Warm
465 waters can lead to the arrival of new species, providing opportunity for commercial and
466 recreational fishers. Marine habitats that support fisheries and tourism activities may be
467 damaged or enhanced by anomalous conditions, with coral bleaching a well-known
468 detrimental example. Extreme conditions such as MHWs shock systems and prevent
469 challenges for managing economic enterprises dependent on the ocean (**Box 2**). Information
470 about the likelihood of MHW occurrence is therefore valuable to a wide range of marine
471 communities, and decisions can be made to take advantage of opportunities or minimise
472 losses. Importantly, the availability of future environmental information can differentially
473 advantage some groups over others, so decisions about information dissemination should
474 be made with this in mind⁸⁵. One way to minimise differences between stakeholders is to
475 provide transparent and equitable access to information.

476

477 Experience to date suggests that three elements assist stakeholders to make the best
478 decisions with forecasts. First, proactive planning of responses enables end users of the
479 forecasts to weigh up different response options depending on factors such as lead time.
480 This process can allow clear options to be considered when a forecast for undesirable
481 conditions is issued and can be undertaken as part of business planning cycles. Second,
482 dedicated training and information sessions are essential to understand the skill and
483 uncertainty requirements for users⁸⁵. Such sessions could potentially involve simulation
484 activities to explore different responses to extreme events to build the capacity of
485 stakeholders, including those from industry. Finally, implementation of risk-based responses
486 must be considered when skill is low and uncertainty is high. For example, a forecasted
487 MHW that might impact production could be met with a partial early harvest of the
488 vulnerable species, rather than a full harvest⁸⁵.

489

490 **[H2] Communication and engagement.**

491

492 While awareness about MHWs is rapidly increasing in the scientific community, much of the
493 information can be considered technical and relatively inaccessible to stakeholders in
494 fisheries, aquaculture, tourism and biodiversity conservation. The full potential of increased
495 predictive capacity will be contingent on rapid dissemination and uptake across these

496 relevant stakeholders. The first step towards rapid dissemination is streamlining and
497 simplifying the information given. In this context, experience from other types of extreme
498 events such as tropical cyclones and earthquakes shows that consistent naming conventions
499 and intuitive classification schemes for attributing relative magnitude can be effective¹⁰². To
500 this end, the MHW severity classification scheme¹⁰² and information provided by this
501 approach is already seeing uptake in academic papers^{24,103} and websites, and we
502 recommend that this framework be used in communicating MHWs to stakeholders. The
503 second step towards dissemination is to generate a central repository for MHW information
504 and news, which can serve as an interface between stakeholders and scientists. The MHW
505 website is one such example, and other regional engagement websites are also emerging.
506 Such initiatives should be expanded to include information targeting specific stakeholders –
507 so called targeted forecasts. Finally, using available temperature products, near real-time
508 visualisation of ongoing MHWs allows intuitive understanding of the dynamics of near
509 future and ongoing MHWs. Although a ‘MHW tracker’ is currently available in a web-based
510 format, additional stakeholder-suited delivery mechanisms, such as smartphone
511 applications, may be needed. With all these elements in place, predictable MHW events will
512 allow proactive responses by potentially affected marine stakeholders, leading to improved
513 marine management.

514

515 **[H2] Establishing baselines.**

516

517 Globally, the increased frequency of MHWs is due primarily to the warming trend^{36,104}. It
518 has been suggested that baselines should also shift when analysing MHW events under
519 climate change¹⁰⁵. While using a shifting baseline period can be beneficial for analysing the
520 underlying variability in MHW occurrence over time and its dynamics, ecosystem impacts
521 from climate change are likely to be best understood if we consider changes against a fixed
522 baseline. A baseline that shifts in line with a species’ adaptive capabilities may be suitable in
523 some cases as the impact of MHWs on marine species often critically depends on the rate of
524 change in absolute temperature, above the species’ thermal limits¹⁰⁶. It may be that some
525 species have no capacity to adapt on short timescales given the rapidity of temperature
526 change, while other species can adapt either fully or perhaps partially. These differences in
527 adaptation rate should be taken into consideration when designing baselines as fixed or
528 shifting, and when interpreting the impacts of rapid temperature change.

529

530 On the other hand, future advances in our understanding of shifts in dynamical processes
531 might require subsequent updates of the baseline period. One way of at least partially
532 addressing these issues is the use of MHW categories¹⁰² where the introduction of new
533 extreme categories can be considered, and analysed with respect to their drivers, even
534 when the baseline remains fixed. Whether to fix or shift baselines depends on the key
535 questions being asked and is the subject of ongoing discussion and debate¹⁰⁵. It remains a
536 fertile area for research and consideration.

537

538 **[H2] Keeping pace with climate change**

539

540 The rapidly growing awareness of MHWs and their increasing impact is a harbinger of the
541 pace of climate change. In the Tasman Sea alone, three of the four summers between
542 2015/16 and 2018/19 have seen substantial MHWs events, two of which were driven by the

543 presence of large and persistent high-pressure blocking events. Given that blocking events
544 are apparently becoming more frequent and pervasive as a result of climate change ^{107,108},
545 we can expect the influence of atmospheric preconditioning to remain a critical mechanism
546 for driving large-scale and long lasting MHWs into the future.

547

548 Over the coming decades, MHWs will become more frequent, longer in duration and/or
549 more intense across much of the globe ^{37,38}. These projected changes represent threats to
550 the health and sustainability of marine ecosystems globally ^{3,109,110}. Addressing this
551 challenge will require significant action. Not only will it require coordinated global
552 commitment to reduce greenhouse gas emissions, but also governance arrangements that
553 support novel adaptation strategies, including protecting refugia for foundation marine
554 species of coral, kelp and seagrass that provide essential habitats to marine ecosystems.
555 Although skilful MHW prediction will require improved process-based understanding of
556 MHWs and their drivers, forecasting ecosystem impacts ¹¹¹ requires physiological
557 understanding of species' thermal sensitivity and critical thresholds and how these link to
558 other stressors. Coupling action between mitigation and adaptation will require creative
559 solutions, spanning traditional disciplinary boundaries to protect and sustain our marine
560 ecosystems and the services they provide. The utility of proactive decision-making will be
561 facilitated by skilful MHW prediction, and approaches will need to be adaptive to keep pace
562 with MHW changes in a warming world.

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861

862 **Author contributions**

863 N.J.H. led the overall conceptual design, led the activity and coordinated the writing. A.S.G.
864 generated Figures 1, 3 and 5. E.C.J.O. generated Figure 2. J.A.B. generated Figure 4. A.J.H.
865 led the conceptual design for Box 2 and Table 1. All authors (N.J.H., A.S.G., E.C.J.O., A.J.H.,

866 J.A.B., H.A.S., D.A.S. and T.W.) discussed the concepts presented and contributed to the
867 writing.

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869

870 **Competing interests**

871 The authors declare no competing interests.

872

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Table 1 | Mechanisms and timescales influencing the predictability of MHW likelihood

	Strong atmospheric and oceanic contributions*	Strong atmospheric and weak oceanic contributions*	Weak atmospheric and strong oceanic contributions*	Weak atmospheric and oceanic contributions*
Predictability lead time	Months (at least)	~1-2 weeks or season ahead	Months to years	Days
Predictability source	Local and remote climate forcing	Atmospheric preconditioning and/or teleconnections	Oceanic preconditioning and/or teleconnections	Transitory weather or eddies
Persistence	Months	Months	Months	Hours to days
Vertical scale	Up to 100s m	Up to 10s m	Up to 100s m	Up to 10s m
Horizontal scale	1000s km	1000s km	100s km	Local
Impacted ecosystems	Surface to benthic	Within mixed layer	Surface to benthic	Minimal
Impact severity	Potentially substantial	Moderate to substantial	Moderate to substantial	Minor
Example	2011 Western Australia MHW ^{48,112}	2017/18 Eastern Tasman Sea MHW ^{39,40,43,44}	2015/16 Tasman Sea MHW ^{13,50,57}	Heat spikes

886 *contributions refer to those from local atmospheric sources and those arising from oceanic
887 advection

888

889

890 **Figure Captions**

891

892 **FIGURE 1 | Drivers and ecological impacts of major MHW events.** A subset of major MHW
893 events since 1995. The MHW intensity scale, from moderate to extreme, represents
894 conditions corresponding to the peak date of the event, with categories identified
895 successively as multiples of the 90th percentile¹⁰². This figure highlights the spatial scale,
896 intensity and ecological impacts of significant MHW events around the world, including
897 Benguela Niño ¹¹³; Seychelles ¹¹⁴; Ningaloo Niño ^{27,112}; Tasman Sea ¹³; central South Pacific
898 ¹⁹; South Atlantic ⁴⁰; 1997/98 El Niño ¹¹⁵; northwest Atlantic ^{1,12}; The Blob ^{15,39}; Bay of Bengal
899 ^{116,117} and the Mediterranean Sea ^{10,45}. This figure is inspired by schematics in Refs ^{110,118,119}.
900 *While the Bay of Bengal MHW co-occurred with a major central Pacific El Niño event, there
901 have been no studies to confirm or deny a causal link.

902

903

904

905 **FIGURE 2 | Trends in global MHW occurrence. a |** Globally averaged changes in the annual
906 number of MHW days based on the Hadley Centre Sea Ice and Sea Surface Temperature
907 (HadISST) ¹²⁰, Extended Reconstructed Sea Surface Temperature (ERSST) ¹²¹, COBE ¹²²,
908 CERA20C ¹²³, and Simple Ocean Data Assimilation (SODA) datasets ¹²⁴. Grey shading
909 indicates the 95% confidence interval **b |** Changes in the annual number of MHW days from
910 the period 1925-1954 to 1987-2016, based on the same data as in panel **a**. Hatching
911 indicates statistically significant changes ($p < 0.05$) **c |** Changes in the annual number of
912 MHW days from the period 1961-1990 to 2031-2060, based on 6 Climate Model
913 Intercomparison Project (CMIP5) global climate models under the Representative
914 Concentration Pathway (RCP8.5) emissions scenario. Hatching indicates grid points in which
915 all 6 models agree on the sign of the change. Grey areas in **b** and **c** reflect missing data,
916 primarily due to seasonal ice cover. In panels **a** and **b**, the effect of natural variability (the
917 Atlantic Multidecadal Oscillation (AMO), PDO and ENSO) has been removed following ref ³⁶.
918 MHW days are defined as the number of days when SST anomalies exceed a daily
919 climatological 90th percentile threshold, for at least 5 days ¹²⁵. The annual count of MHW
920 days has increased substantially since the early 20th century and this increase has only
921 accelerated up to the present day. This rise is projected to continue increasing in the future,
922 with annual MHW days approaching a full year by the late 21st century. Panel **a** adapted
923 with permission from ref ³⁶. Panel **c** adapted with permission from ref ³⁸.

924

925 **FIGURE 3 | Marine heatwave drivers and impacts.** Schematic showing the drivers of MHWs
926 (left) and their impacts on oceanic and coastal ecosystems (right). Surface MHWs are caused
927 by local ocean and atmosphere heat fluxes affecting the surface mixed layer. These
928 processes are controlled by local synoptic systems that can be modulated by large-scale
929 climate oscillations and anthropogenic warming. Impacts range across trophic levels often
930 affecting human systems. ENSO: El Niño–Southern Oscillation, IPO: Interdecadal Pacific
931 Oscillation, MJO: Madden–Julian Oscillation, NAO: North Atlantic Oscillation, H: high
932 pressure.

933

934

935 **FIGURE 4 | Integrated approaches for monitoring marine heatwaves. a** | February 2019
936 mean SST anomalies during the 2018/19 Tasman Sea MHW. SST represents monthly-mean,
937 multi-sensor, night-time only readings at 0.2m depth, obtained from the Integrated Marine
938 Observing System (IMOS). The SST product is available from the Australian Ocean Data
939 Network (AODN) Portal (<https://portal.aodn.org.au/>). Anomalies are calculated with respect
940 to the 50th percentile February climatology from the Sea Surface Temperature Atlas of
941 Australian Regional Seas (SSTAARS ¹²⁶). **b** | February 2019 SST percentiles based on SSTAARS,
942 where the percentiles are centred on mid-February and constructed over 60-days. The
943 region off eastern Tasmania is shown with a white box. **c** | Subsurface temperature
944 measured by a Slocum glider, deployed 13 February 2019 in the north and recovered 9
945 March 2019 in the south, as part of the IMOS Event Based Sampling sub-facility. The Slocum
946 glider data are available from the AODN Portal and collected as part of the IMOS Event
947 Based Sampling sub-facility and the Australian National Facility for Ocean Gliders (ANFOG).
948 Bathymetry data off Tasmania were sourced from the Geoscience Australia product
949 “Australian bathymetry and Topography, June 2009”
950 (<https://ecat.ga.gov.au/geonetwork/srv/eng/catalog.search#/metadata/67703>). Coastline
951 data were sourced from the Global Self-Consistent, Hierarchical, High-resolution Geography
952 Database (GSHHG) version 2.3.6 (<http://www.soest.hawaii.edu/pwessel/gshhg/>). The
953 temperatures and ocean current velocities (sub-sampled) along 40.8°S and along 155 m
954 depth are the 13 – 28 February 2019 mean derived from the 10 km Bluelink Re-Analysis
955 (BRAN)-2015. The BRAN-2015 product is from the National Computational Infrastructure at:
956 <http://dapds00.nci.org.au/thredds/catalog/gb6/BRAN/catalog.html>. The current velocities
957 are shaded according to their depth, and consistent with the shading of isobaths plotted
958 every 50 m (black to light grey).

959
960 **FIGURE 5 | Marine heatwave potential predictability and forecast timescales.** A spectrum
961 of MHW prediction timescales and types ranging from initialised forecasts, which predict
962 specific events (deterministic forecasts), through to externally forced projections, in which
963 scenarios can be used to explore changed statistical probabilities of MHW likelihoods
964 (statistical forecasts). The red horizontal bars provide indicative timescales of predictability
965 for each prediction system type, where increasing opacity corresponds to increasing
966 confidence in the prediction skill for that lead time. ENSO: El Niño–Southern Oscillation,
967 IOD: Indian Ocean Dipole, WBC: Western boundary currents.
968

969 **Images Box 2** | Figure, part a, roe’s abalone: image courtesy of anthony Hart, DPiRD-
970 Mollusc science; part b Maine lobster: image courtesy of andrew Pershing- Gulf, Maine
971 research institute; part c Cassin’s auklets: image courtesy of L. Doyle/COasst, Julia Parrish.

972 **Box 1: Defining Marine Heatwaves**

973

974 ‘Heatwave’ is a well-recognised term, broadly indicating to society the risks associated with
975 thermal stresses on people and the environment. The atmospheric research community
976 uses qualitative descriptors and quantitative metrics to express heatwave events, with a
977 widely-used definition describing a heatwave as at least 3 consecutive days of air
978 temperatures above the 90th percentile of climatological, seasonally varying norms ¹²⁷.
979 In 2015, an analogous definition was developed for marine heatwaves (MHWs). Compared
980 to the atmospheric definition, it was recommended that a threshold of at least 5 days above
981 the seasonally-varying 90th percentile ¹²⁵ is needed to acknowledge longer thermal
982 persistence timescales in the ocean. MHWs have also been defined as sea surface
983 temperatures (SSTs) exceeding the 99th percentile ^{37,110} — a definition applied in the IPCC
984 Special Report on the Ocean and Cryosphere (SROCC ¹¹⁸). In fact, SROCC defines a MHW as
985 ‘an event at a particular place and time of the year that is rare and predominately, but not
986 exclusively, defined with a relative threshold; that is, an event rarer than 90th or 99th
987 percentile of a probability density function.’

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992 **Box 2: MHWs as a stress test for management systems**

993

994 Three well known MHWs challenged existing management approaches, due to their
995 intensity, duration and rapid onset. The 2011 Western Australia MHW resulted in mass
996 mortality of Roes’ abalone, and in response, managers closed the fishery and instituted an
997 outplanting approach in the years following the event. Scallop fisheries in the region
998 affected by the MHW were closed for 3-5 years, while the Shark Bay crab fishery was closed
999 for 18 months ²⁴. This event tested assessment and management responses and showed
1000 that flexible harvest strategies allowed for early management intervention ²⁴. This was aided
1001 by early detection of the MHW, monitoring of the immediate effects on the ecosystem, and
1002 rapid assessment of likely impacts on fishery stocks – based on a thorough understanding of
1003 the regional fishery-environment relationships ²⁴. The 2012 Gulf of Maine MHW revealed
1004 unexpected connections between the natural and human components of the ecosystem ¹²⁸.
1005 Early and above-average landings in a valuable lobster fishery led to a backlog in the supply
1006 chain and a drop in lobster price; exacerbating the supply chain bottleneck was the fact that
1007 the Canadian lobster fishery also had unusually high spring landings. The joint impact was
1008 low prices on both sides of the border, accompanied by Canadian protests and blockades of
1009 lobster imports coming from Maine. The management system was unable to respond to the
1010 2012 event, but made changes that meant another MHW in 2016 did not cause the same
1011 impacts. These changes included the development of seasonal forecasting approaches to
1012 provide warning to future events. A large MHW in the northeast Pacific (the “Blob”)
1013 appeared off the coast of Alaska in the winter of 2013–2014 and subsequently stretched
1014 south to Baja California. This event persisted through to the end of 2015. Mass strandings of
1015 marine mammals and seabirds occurred along the west coast of the United States and
1016 Canada ³⁴. Several thousand California sea lions died on beaches following shortages of
1017 forage fish. More than 50,000 Cassin’s auklets were estimated to have starved and washed

1018 ashore beginning in September 2014. These dying and dead animals stressed animal rescue
1019 arrangements, pathology testing, and management responses. All the examples of MHWs
1020 above required rapid and novel responses, which can be difficult if policy or legislative
1021 barriers exist. In the cases where flexible instruments were already in place, such as in
1022 Western Australia, the management system coped better, even under persistent impacts. In
1023 other cases, improvements were not realised until the next event. Learning from these
1024 stress tests will improve management under climate variability and change, and better
1025 prepare marine managers for the future when more extreme ocean temperatures will be
1026 the 'new normal'.

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1035 **Toc blurb**

1036

1037 Prolonged ocean warming events, known as marine heatwaves, can have devastating
1038 impacts on ocean ecosystems and are becoming more frequent and severe. This Perspective
1039 explores the predictability of marine heatwaves, taking into account the physical processes
1040 responsible for their formation, and examines potential monitoring and prediction
1041 approaches and systems for mitigating their detrimental effects.

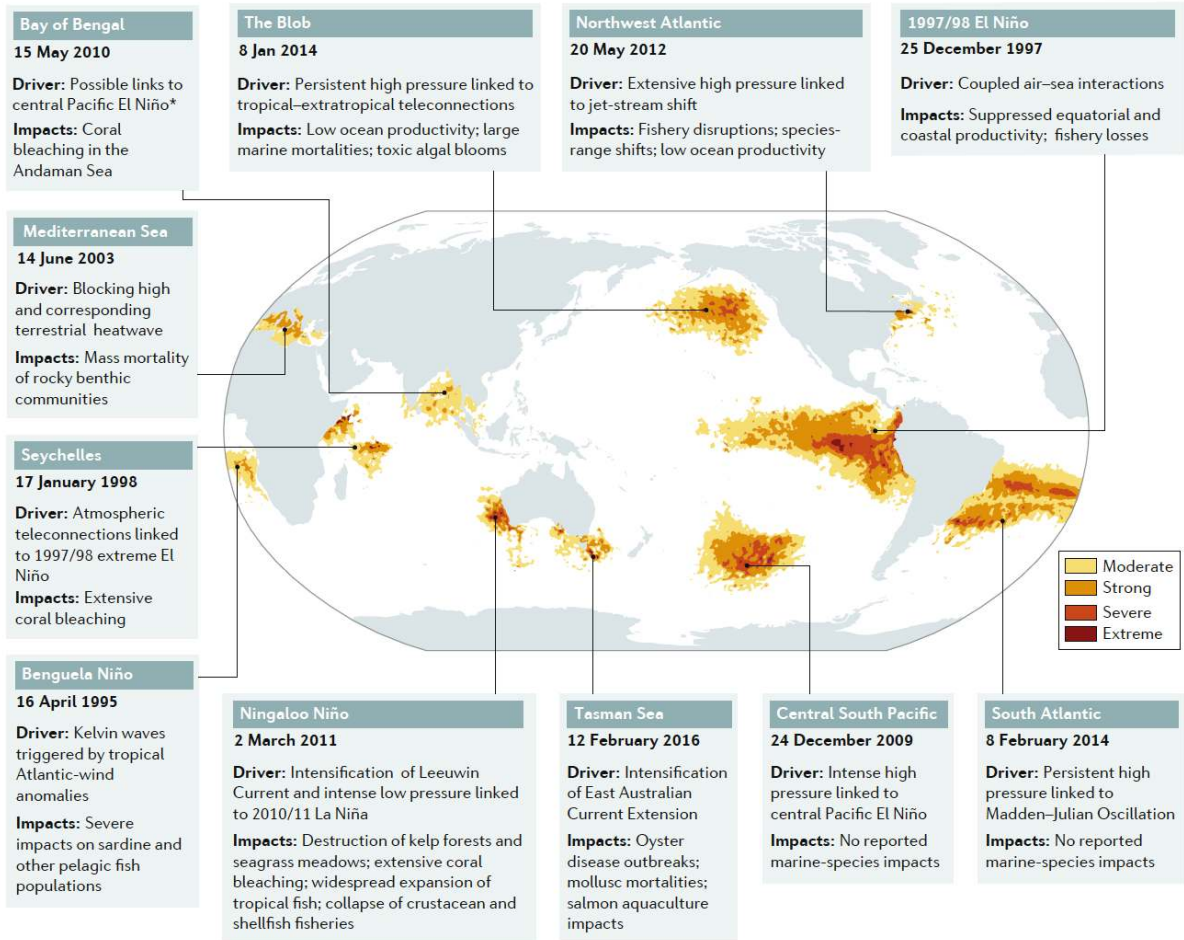
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1043 **Related Links**

1044 Integrated Marine Observing System - <http://imos.org.au/>

1045 Marine heatwave website - www.marineheatwaves.org

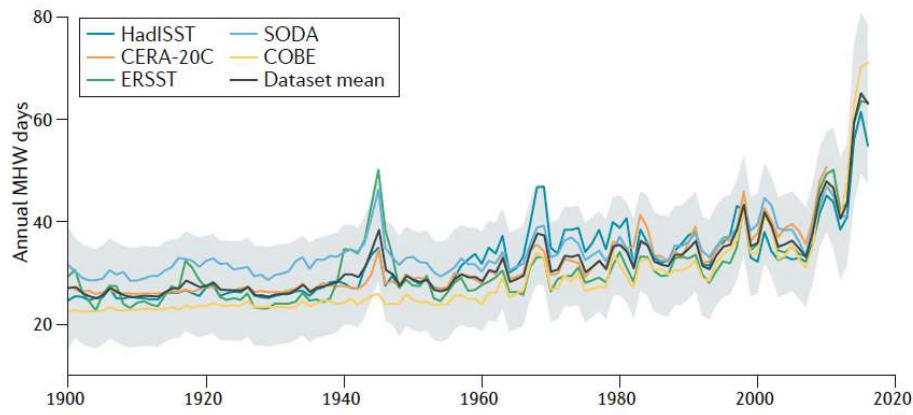
1046 Marine heatwave tracker - www.marineheatwaves.org/tracker.html



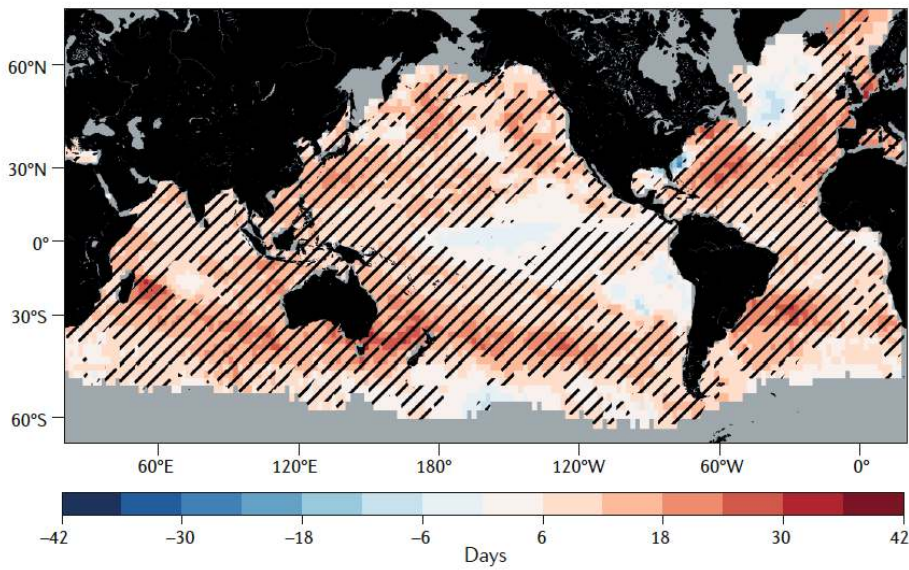
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Figure 1

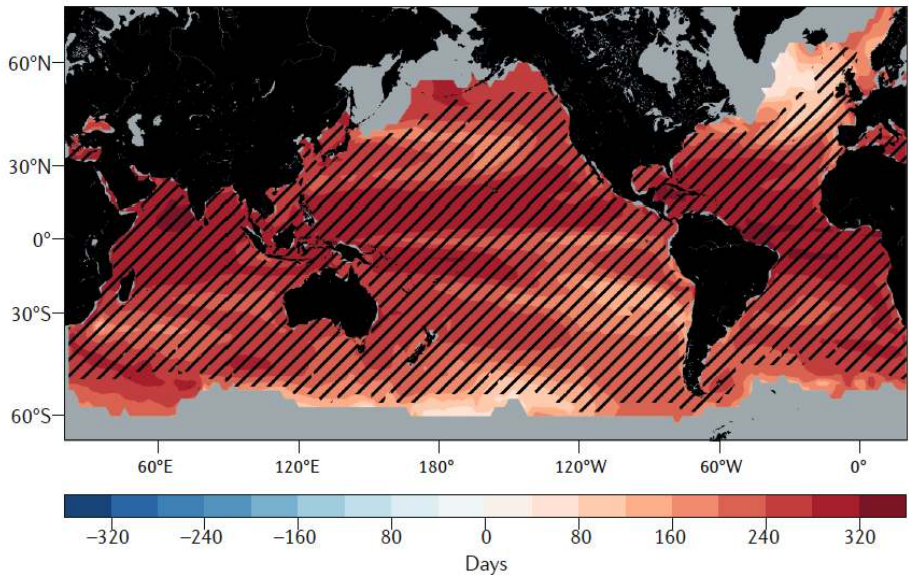
a Globally averaged annual MHW days



b Change in MHW days (1987–2016 minus 1925–1954)

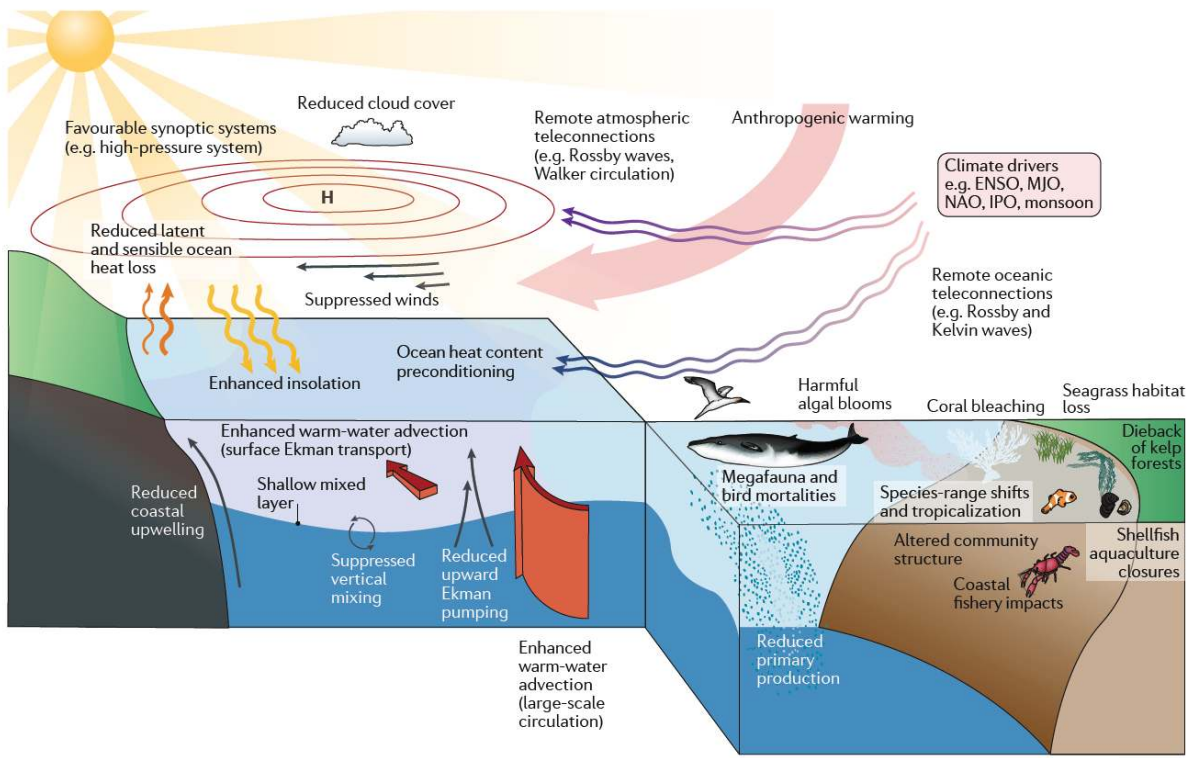


c Change in MHW days (2031–2060 minus 1961–1990)



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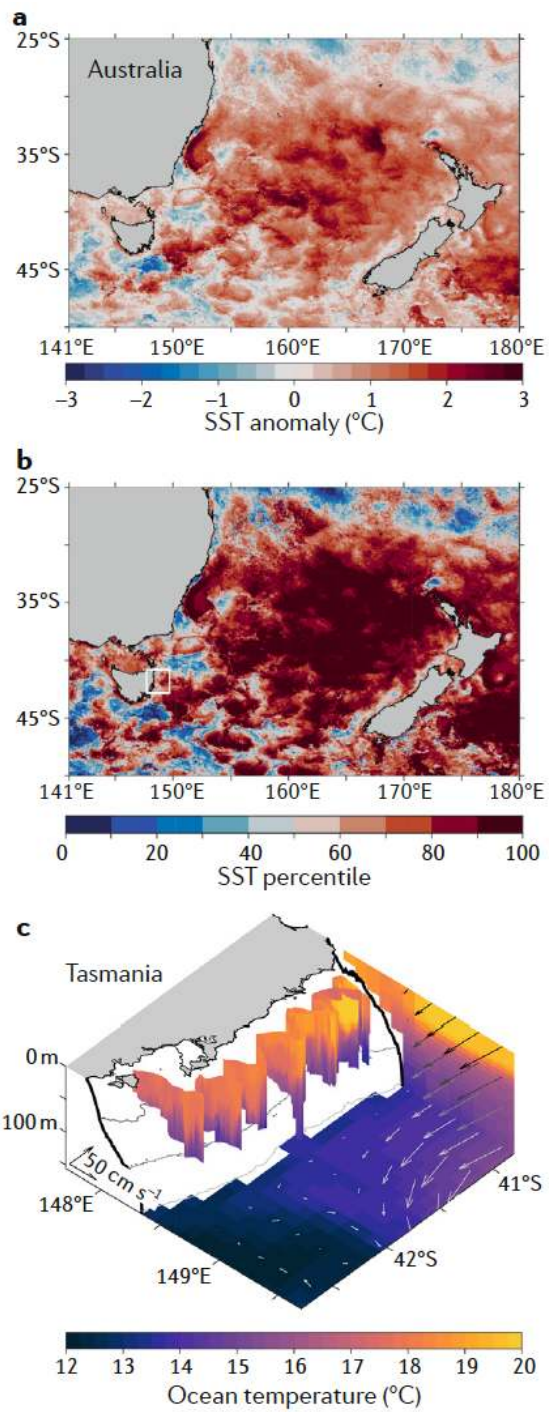
Figure 2



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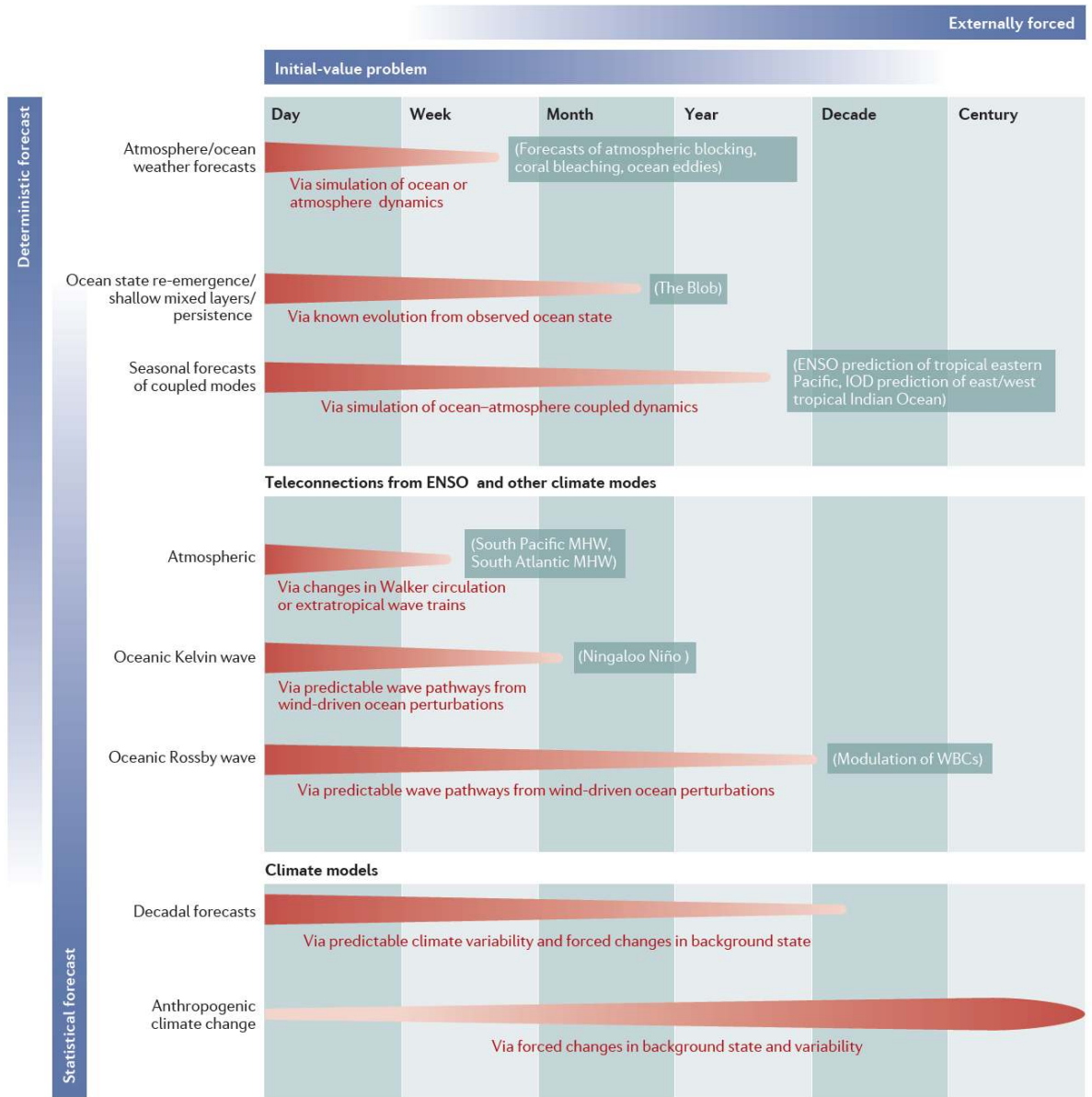
Figure 3

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Figure 4



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Figure 5

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Images Box 2