

KG²B, a collaborative benchmarking exercise for estimating the permeability of the Grimsel granodiorite – Part 1: measurements, pressure dependence and pore-fluid effects

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3	for estimating the permeability of the Grimsel granodiorite:
4	measurements, pressure dependence and pore-fluid effects
5	
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7	
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14	
15	Key Points (140 characters max):
16 17	• A benchmarking exercise involving 24 laboratories was organized to measure the permeability of the Grimsel granodiorite
18 19	• The influence of pore fluid, sample size, pressure sensitivity and methods (steady-state, transient pulse, oscillation) are discussed
20 21 22	• The average permeability measured with gas is larger than that measured with liquid (mostly water) by a factor 2

23 Abstract

A benchmarking exercise involving 24 laboratories was organized for measuring the 24 permeability of a single low permeability material, the Grimsel granodiorite, at a common 25 effective confining pressure (5 MPa). In total 39 measurements were collected that allowed us to 26 27 discuss the influence of (i) pore-fluid, (ii) measurement method, (iii) sample size and (iv) pressure sensitivity. Discarding some outliers from the bulk data set (4 out of 39) an average 28 permeability of 1.11 10⁻¹⁸ m² with a standard deviation of 0.57 10⁻¹⁸ m² was obtained. The most 29 striking result was the large difference in permeability for gas measurements compared to liquid 30 measurements. Regardless of the method used, gas permeability was higher than liquid 31 permeability by a factor ~2 (k_{gas} =1.28 10⁻¹⁸ m² compared to k_{liquid} =0.65 10⁻¹⁸ m²). Possible 32 explanations are that (i) liquid permeability was underestimated due to fluid-rock interactions (ii) 33 gas permeability was overestimated due to insufficient correction for gas slippage and/or (iii) 34 gases and liquids do not probe exactly the same porous networks. The analysis of Knudsen 35 numbers shows that the gas permeability measurements were performed in conditions for which 36 the Klinkenberg correction is sufficient. Smaller samples had a larger scatter of permeability 37 values, suggesting that their volume were below the REV. The pressure dependence of 38 permeability was studied by some of the participating teams in the range 1 to 30 MPa and could 39 be fitted to an exponential law $k=k_0.\exp(-\gamma P_{eff})$ with $\gamma=0.093$ MPa⁻¹. Good practice rules for 40 measuring permeability in tight materials are also provided. 41

42

43 **1. Introduction**

Permeability is a property of a given porous medium which quantifies its ability to allow 44 fluid flow. Since the introduction of Darcy's phenomenological law (Darcy, 1856), permeability 45 characterization usually involves pressure gradient and flow measurements of a single fluid 46 phase. In the field, such measurements may only provide apparent permeability estimates for 47 rock masses including pore, crack and fracture networks which are usually saturated or partially 48 saturated with several fluids (Zinszner & Pellerin, 2007). Estimates of the single phase (or 49 intrinsic, or absolute) permeability (hereafter simply referred to as permeability) are typically 50 made by laboratory testing of core samples, following a saturation or a drying procedure for, 51 respectively, liquid or gas phase testing. In the case of liquids, fluid saturation can be assessed 52 from the evolution of poroelastic parameters such as the isotropic Skempton coefficient 53 (Makhnenko & Labuz, 2013) which is very sensitive to residual air, during a step by step back 54 fluid pressure increase to dissolve trapped air bubbles (Wild et al., 2015a). For tight rocks, the 55 sample preparation and saturation procedures can be particularly long and may disturb the 56 original pore network. For example saturation can change the equilibrium between solid and 57 fluid phases naturally present in clay rocks (Pearson et al., 2011; Wild et al., 2015b). The drying 58 procedure can also have dramatic effects in the presence of clay minerals, causing desiccation 59 cracks (Wild et al., 2015). Many observations have been made regarding perturbations and 60 modifications of rock properties due to sampling processes and stress release effects during 61 coring (Blümling et al., 2007; Schild et al., 2001). 62

63 Measuring the permeability of tight formations, which can potentially serve as seals for 64 nuclear waste repositories and/or strata for geological sequestration of CO2, for instance, poses a

number of challenges. In addition to the traditional sources of errors that affect more permeable 65 formations (e.g., sample selection, non-representative specimens, disturbance introduced during 66 sample acquisition and preparation), rocks that are particularly tight and prone to solid-fluid 67 interactions can be more sensitive to the methods, procedures and techniques used to acquire 68 permeability data. In low permeability rocks, classical steady-state flow measurements may be 69 very difficult to perform because of slow variations of the measured quantities (pore pressure, 70 flow rate) and the long time needed for flow stabilization. Due to the long duration of flow 71 experiments, variations in external conditions (typically ambient temperature) may occur, 72 compromising the accuracy of permeability estimates. For this reason two other methods have 73 been developed: the transient pore pressure (or pulse) method and the pore pressure oscillation 74 method which is similar to a steady-state oscillatory method. The pulse decay method pioneered 75 by Brace et al. (1968) involves applying a pressure step increase in an upstream reservoir and 76 measuring the pressure variations with time in both upstream and downstream reservoirs 77 connected to the sample. As pressure diffusion occurs through the rock sample, permeability can 78 be estimated from decay of the differential pore pressure which follows a decreasing exponential 79 law. Further knowledge on transient pulse tests was gained from parametric analysis of pore 80 pressure diffusion processes in rocks by Hsieh et al. (1981) and Neuzil et al. (1981). The pulse 81 method has been widely and successfully used both in crystalline and shaly rock samples during 82 triaxial mechanical tests in the laboratory (Bourbie & Walls, 1982; Brace et al., 1968; Carles et 83 84 al., 2007; Selvadurai et al., 2005). Other transient methods, like the drawdown method or the pressure build-up method (Martin, 1959) are particularly well adapted to use in the field in 85 boreholes (Bossart et al., 2002; Jakubick & Franz, 1993; Wassermann et al., 2011). Transient 86 methods can be applied step by step after re-equilibration periods during loading tests, providing 87 discrete measurements of permeability. Continuous measurements have been developed in order 88 to investigate loading effects on low permeability rocks and are more representative of the 89 evolution of in situ conditions during reservoir activities. Such methods are based on continuous 90 oscillatory flow and analysis of sinusoidal signals of pore pressure at both ends of a sample 91 through phase lag and amplitude ratio (Fischer, 1992; Kranz et al., 1990; Song & Renner, 2007). 92

93 All the above methods assume Darcy flow and more or less steady state conditions during the measurements. The measured quantities in the pulse decay and steady-state flow experiments 94 are more sensitive to ambient temperature variations than the phase shift or amplitude ratio 95 continuously measured in the oscillatory method (Kranz et al., 1990). The pulse decay method 96 97 has the advantage of being relatively easy to perform but requires appropriate selection of the reservoir volume compared to the pore volume of the tested sample (Hsieh et al., 1981). 98 Derivative techniques have been developed to face such issues linked to the experimental 99 apparatus (Lin, 1982; Trimmer et al., 1980). The oscillation technique also requires some 100 experimental adjustments concerning mainly: i) the frequency of the forcing pore pressure signal 101 — as pointed out by Song & Renner (2007), the frequency dependence of hydraulic properties 102 could be a way to define scaling parameters of the pore structure and ii) the peak-amplitude of 103 the forcing waveform which has to be small enough to avoid local poroelastic and fluid 104 compressibility effects. Sometimes it is also a technical challenge to maintain a sinusoidal 105 forcing signal. In addition to permeability, the oscillatory method allows estimation of other key 106 parameters such as diffusivity and specific storage capacity (Song & Renner, 2007). 107 Theoretically, permeability depends only on the pore structure of the material, and should be 108 109 independent of the nature of the pore fluid used for the measurement (Muskat & Wyckoff, 1937). However, differences have been reported in the literature between water and gas permeability 110

measurements. Gas permeability estimations must take into account the gas compressibility, and 111 112 the measured permeability is an apparent value that needs to be corrected for the so-called gas slippage effect: the "liquid equivalent" permeability can be obtained by applying the 113 Klinkenberg correction (Klinkenberg, 1941) to gas permeability measurements made at different 114 mean pore pressures. When the pore size is of the same order as the gas molecule mean free path, 115 additional corrections have to be done to account for Knudsen diffusion (Anez et al., 2014; 116 Ziarani & Aguilera, 2012). Whatever the fluid used, permeability measurements require also a 117 sufficiently low flow rate to avoid inertial effects, otherwise the Forchheimer correction has to be 118 applied (e.g. Rust & Cashman, 2004). 119

In order to bring a know-how about accurate transport property characterization in low 120 permeability material, we proposed a benchmarking exercise involving 24 laboratories around 121 the world using both direct (steady-state, transient, oscillatory) and indirect methods (pore and 122 crack network imagery, modeling) to study fluid flow. Such an extensive benchmarking effort in 123 rock physics has not been done before to our knowledge: the FEBEX benchmark study 124 numerically modeled the results of a fluid injection test in the Grimsel Test Site (Alonso et al., 125 2005) and, within the framework of the SAFOD project, an inter-laboratory benchmark of 126 physical rock properties measurements involving 20 research organizations was organized 127 several years ago. In the SAFOD effort, measured rock properties were permeability, ultrasonic 128 wave speed, electrical resistivity, friction and strength; however very few of the participating 129 laboratories provided results, so that the outcome of this benchmark was never published 130 (Lockner et al., 2009). 131

The selected material for the present benchmarking exercise came from a single meter scale rock volume in a well-known underground rock laboratory, the Grimsel Test Site (GTS) in Switzerland. The GTS consists of several meter long galleries of 3.5 meters diameter excavated at 450 m depth in 1983 in granite and granodiorite of the Central Aar massif in the Swiss Alps in order to perform in situ experiments in the context of nuclear waste storage research in Switzerland (Lieb, 1989). Here the objectives and organization of the benchmark will be presented, and then the permeability measurements data set will be analyzed and discussed.

139

140 **2. The KG²B Project**

Following a workshop on «The challenge of studying low permeability materials» that 141 was held at Cergy-Pontoise University in December 2014, a benchmark in which several 142 laboratories would estimate the permeability of a single material was proposed to the attendees. 143 The material to be selected for this benchmark had to fulfill different criteria such as availability, 144 homogeneity and scientific interest. Several options were examined until finally we selected the 145 Grimsel granodiorite (Switzerland). The benchmark was named the "KG2B" project, which 146 derived from "K for Grimsel Granodiorite Benchmark" where K stands for the symbol of 147 permeability. Fresh cores from the Swiss Grimsel test site, an underground research laboratory in 148 hard rock, were drilled during the coring campaign of a scientific project funded through the 149 Swiss Competence Center of Energy Research – Supply of Electricity (SCCER-SoE), that was 150 aimed at performing a series of demonstration experiments at various scales (up to 1 km) to 151 support implementation of deep geothermal energy in Switzerland. From published work on the 152

Grimsel Granodiorite (Ota et al., 2003), we expected a porosity of about 0.7%, and permeability in the range of 0.1 to 1 10^{-18} m².

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2.1. The Objectives and Organization of the Benchmarking Exercise

Multiple objectives were defined for the benchmark: (i) to compare the results for a given 156 method, (ii) to compare the results between different methods, (iii) to analyze the accuracy of 157 each method, (iv) to study the influence of experimental conditions (especially the nature of pore 158 fluid), (v) to discuss the relevance of indirect methods and models, and finally (vi) to suggest 159 good practice for low permeability measurements. Guidelines were given to the participants, in 160 which they were requested to follow a number of mandatory instructions: (i) permeability should 161 be measured along the same direction, (ii) permeability should be measured at 5 MPa effective 162 163 pressure (a pressure high enough to prevent leakage, small enough to minimize crack closure), and (iii) rock samples should not experience any effective pressure higher than 5 MPa before the 164 permeability measurement was done. Effective pressure was assumed to be the difference 165 between confining and pore pressure: indeed experimental evidence was found to support this 166 167 statement (see section on pressure dependence). No recommendations or requirements were made concerning the pore fluid, confining and pore pressures, sample size and method to be used 168 for estimating permeability. However we requested that all of this information be reported on a 169 results spreadsheet (David et al., 2017). The benchmark was designed as a "blind-test": other 170 171 results were not shared with the participants until after they had submitted their own results. The participants were also encouraged, once the permeability at 5 MPa effective pressure was 172 173 obtained, to study the pressure dependence of permeability, in particular by reproducing the in situ stress conditions (estimated effective pressure 30 MPa). Any additional data reported was 174 also welcome, such as porosity values. This complementary data set is discussed in the 175 companion paper. 176

177 2.2. The Participants

178 When the benchmark was announced, 30 laboratories from 8 different countries volunteered to participate. Three groups were forced to withdraw participation for different 179 180 reasons (experimental setup not available, technical problems, work overload), one group was not able to provide the results in due time, and two others did not respond to our further 181 solicitations. Ultimately, we received results from 24 laboratories that form the "KG²B Team". 182 The complete list of participants who sent their results is given in alphabetic order in Appendix 183 184 A. A dedicated website <u>https:/labo.u-cergy.fr/~kggb/</u> was created, including in particular a web page where the progress of the project could be followed on the so-called "KG2B-wheel" (David 185 et al., 2017) which was updated as soon as results were received from any of the participants. It 186 took one year to collect all the results. Participants were regularly sent updates on the benchmark 187 progress, to encourage those who had not yet sent their results. 188

189 2.3. The Selected Material

Two cores of Grimsel granodiorite, each about one meter long and 85 mm in diameter, were provided by our Swiss colleagues in September 2015. These cores were retrieved at a distance of 4 to 6 meters from the tunnel were the borehole has been drilled; the sampling region was expected to be sufficiently distant from the fracture network of the excavation damage zone

(EDZ) and the cores were therefore assumed to be free of tunnel induced damage. The cores 194 195 were cut into small blocks at lengths requested by each participant (2 to 10 cm). Foliations are visible on the cores, at an angle of about 20-30° with respect to the core axis. The foliation is 196 197 related to compositional banding of alternating dark biotite layers and quartz-rich layers (Schild et al., 2001). A thorough microstructural study is presented in the companion paper: the minerals 198 identified are quartz, feldspars (albite, plagioclase), micas (muscovite, biotite) and apatite. 199 Before blocks were sent to the participants, a quality check was performed on each block by 200 the organizers at Cergy-Pontoise University. After drying the samples at 60°C for 24h, the P-201 wave velocity was measured at room conditions in three orthogonal directions. We observed that 202 the P-wave velocity in the core axis direction increased with the distance from the tunnel, and 203 decreased slightly with distance in the radial direction perpendicular to the foliation (David et al., 204 2017). This trend may result from mineralogical changes along the borehole or from the 205 persistent influence of the excavation damage effects. A significant P-wave velocity anisotropy 206 was found, due to the foliation inclination with respect to the core axis. It was necessary to 207 require that all participants make permeability measurements in a common direction. This 208 common direction was chosen for convenience as the core axis direction. Some laboratories 209 performed additional permeability measurements in other directions, thus providing insight into 210 the permeability anisotropy in the Grimsel granodiorite (see the discussion in the companion 211 paper). The main result of this quality check was that reproducibility is acceptable. 212

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214 **3. Permeability Measurements at Constant Effective Stress**

We will use the following convention for presenting the data set. Each lab was assigned a number in increasing order based upon the distance between their sample and the borehole mouth. Lab#01 worked on the sample closest to the borehole mouth (i.e. closest to the tunnel wall), and Lab#24 on the farthest sample (i.e. the deepest from the tunnel wall).

In the following analysis, the number of results is larger than the number of laboratories in the KG²B team for several reasons: (i) some laboratories tested several small samples subcored from the original core, (ii) some laboratories made different kinds of measurements on a single sample. Before permeability measurements were made, the samples were systematically dried before being saturated with the working fluid. Our benchmarking exercise specifically excludes two-phase flow and relative permeability estimation which, although important, imply higher order of complexity.

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227 3.1. General Characteristics of the Data Set

In Table B1 of Appendix B we report the location of each sample (distance from the tunnel), the size of the sub-cored samples on which permeability was measured, the method applied and the fluid used to conduct the measurements. In total we collected 45 permeability values from measurements (39) and modeling (6). Most of the results (56%) come from direct measurements using gas as the pore fluid (Figure 1a), about 31% of the results come from direct measurements using liquids (mostly water) as the pore fluid, and only six results (about 13%) were collected from models using microstructural data to predict the permeability. Here we will only consider the experimental data set, while the modeling data set will be presented in the companion paper. Figure 1b summarizes the techniques which were used.



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Figure 1. a) Methods used in the benchmark and b) techniques used for the experiments, global distribution (left) and distribution by working fluid type (right).

Regardless of the nature of the pore fluid, the transient technique was the most used (56%) 240 followed by the standard steady-state method (36%), a direct application of Darcy's law. A few 241 laboratories used the pore pressure oscillation technique (8%). The distribution is, however, very 242 different if one takes into account the fluid used to measure the permeability. In experiments 243 with liquid as the working fluid, 78% of the results were obtained with the steady-state 244 technique. In contrast, with gas as the working fluid, only 12% of the results were obtained with 245 the steady-state technique and 84% of the results come from the pulse transient technique. 246 Various gases were used: mostly nitrogen, but also argon, helium and air. 247

248 3.2. Statistical Analysis of the Raw Data Set

The complete data set is reported in Table B2 of Appendix B. Here we present the 249 statistical analysis for all measured permeability values at 5 MPa effective pressure in the core 250 axis direction only (no anisotropy effect included), regardless of the method, pore fluid or sample 251 size (Figure 2). For the complete data set, permeability ranges between 0.05 and 8.35 10⁻¹⁸ m², 252 with an average value of 1.47 10^{-18} m² and a high standard deviation of 1.55 10^{-18} m². We 253 identified four outliers (three in the last bin, one in the first bin in Figure 2) with permeability 254 higher or lower by a factor three than the average permeability. It is, consequently, preferable to 255 use the median (1.18 10⁻¹⁸ m²) and interquartile range (1.07 10⁻¹⁸ m²) as estimators of the 256 permeability statistics. If we remove the four outliers, we find a range of permeability spanning 257 one order of magnitude (between 0.20 and 2.6 10^{-18} m²) with a mean value of 1.11 10^{-18} m² close 258 to the median $(1.12 \ 10^{-18} \ \text{m}^2)$, and a standard deviation significantly reduced $(0.57 \ 10^{-18} \ \text{m}^2)$. 259 These values are in good agreement with the Grimsel granodiorite values reported by (Schild et 260 al., 2001). 261





266 3.3. Influence of the Pore Fluid

Considering only the measurements in the core axis direction ("axial" flow), the 39 permeability values at 5 MPa effective pressure (Figure 2) can be divided into two sub-sets based on the nature of the pore fluid (gas or liquid) used to measure the permeability. The statistical distribution of these two subsets exhibits two overlapped distributions (Figure 3) and defines possible new outliers for the gas group: 2.6 10^{-18} m² (extrapolated value and poorly defined stresses) and 0.2 10^{-18} m². The influence of these possible gas outliers is low.



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Figure 3. Statistical distribution of measured permeability values as a function of the working fluid.

A first analysis shows that the statistical parameters of the gas sub-set are about twice those of the liquid sub-set without outliers. Whereas the lowest outlier value seems to reflect to a

bad measurement, the higher ones probably owe to anomalous samples.

Permeability measurements using gas and liquid on a single sample were carried out by two labs with the following results: 1.3 & 0.94 10⁻¹⁸ m² @5MPa (Lab#09) and 34 & 28 nD @27MPa (Lab#23) respectively, giving a 1.3 ratio between gas and liquid permeability values. In both cases, the gas pressure was higher than 1.7 MPa and the Klinkenberg correction should be small. However successive permeability measurements on a single sample induce a complex history of loading and unloading that could generate irreversible changes in the porous space and therefore variations in measured permeability values.

Each team described the protocol used to saturate the porous space of the tested samples. There is no basis for associating low permeability values with incomplete saturation or to low pore pressures. Each lab which used a low gas pressure, attempted accurate evaluation of the Klinkenberg slip factor *b*.

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Some labs provided several values of permeability by using the same sample with different gases, pore pressures and confinement pressures at constant effective pressure. Some labs used several sub samples from their initial piece of core. To remove the large weight of repetitive measurements, a single value for each lab was obtained by replacing multiple values by their average. The reduced data set contains 20 permeability values. Removing two outliers in the gas subset (light blue diamonds), the set of data was further reduced to 18 values: 11 for the gas subset and 7 for the liquid subset (Figure 4).





Figure 4. a) Average permeability values at a 5MPa effective pressure for each lab (data are ordered by lab number) and b) statistical parameters for these average values.

The overlapping of gas and liquid sub-sets is now reduced and this new representation of the data clearly confirms that measured gas permeability values tend to be larger than liquid permeability values by a factor of about two. This significant difference leads us to separate both subsets in the following discussion.

306 3.4. Influence of the Distance to the Tunnel

The permeability as a function of distance to the tunnel (Figure 5) shows no clear trend. 307 David et al. (2017) reported P-wave velocity measurements at room temperature and unconfined 308 309 conditions on the original core samples: they observed that P-wave velocity in the core axis direction increases with distance from the tunnel. The P-wave velocity increases linearly from 310 ~4200 m/s at a distance of 4.2 m to ~4600 m/s at 5.7 m, and then jumps to a value close to 4800 311 312 m/s from 5.7 to 6 m. Between 4.2 and 5.7 m, the average gas permeability seems to be relatively constant, whereas the average liquid permeability seems to increase slightly. The observed trend 313 in P-wave velocity may result from changes in mineralogy or foliation orientation along the 314 borehole. This trend could also be linked to a varying Borehole Damage Zone which induced 315 different stress release patterns from the tunnel to the deepest part of the borehole. Between 5.7 316 m and 6 m, the average gas permeability seems to decrease, which could be correlated with the 317 observed 200 m/s jump in P wave velocity. Both observations could reflect a preexisting crack 318 density (Fortin et al., 2011), which is lower for the samples located between 5.7 and 6 m. 319

However, only 3 samples are located in this interval, so that we cannot draw any firm conclusion on the effect of sample location (distance to tunnel) or porosity (see companion paper).





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Figure 5. Measured axial permeability vs. distance to the tunnel from which the long cores were drilled.

324 3.5. Influence of the Sample Size

No recommendations were made with respect to sample size for permeability estimation. 325 Most of the laboratories chose to sub-core the provided material (Table B1) and prepared small 326 samples (volume $\sim 20 \text{ cm}^3$, length 2-5 cm) in order to reduce the time required for completing the 327 permeability measurements (David et al., 2017). Two laboratories decided to work directly on 328 the original cores without sub-coring, whereas one group used a special device designed to work 329 on tiny samples or chips (Lenormand et al., 2010). Permeability measurements with gas (open 330 symbols) or liquid (solid symbols) are plotted in Figure 6a as a function of sample length in the 331 direction of flow. As mentioned previously there is a wide range of permeability values (about 332 two orders of magnitude), and the scatter appears larger for smaller samples, while little variation 333 is found for the longest ones. This size dependence can be linked to the magnitude of the 334 Representative Elementary Volume (REV), which may be large in the case of the Grimsel 335 granodiorite because the grain size can be up to 2 cm (Schild et al., 2001). In Figure 6b a density 336 map obtained from micro-CT scanning reveals the size of mineralogical heterogeneities. 337 Although the density map is not necessarily correlated with pore network heterogeneity, one may 338 expect an REV size larger than a few centimeters. 339





Figure 6. a) Measured axial permeability values vs. sample length in the flow direction. b) 3D
 reconstruction from micro-CT images obtained on a small volume of Grimsel granodiorite (voxel size 5 μm).

The sample length L has also a significant influence on the time required to establish steady-state 343 flow conditions. Assuming no Klinkenberg effect, the duration of the transient scales as 344 $(\mu C_f \phi/k) L^2$ with ϕ the porosity, k the permeability, C_f the fluid compressibility and μ the fluid 345 viscosity. In addition there is a non-linear effect of mean pore pressure that can be quantified 346 using numerical simulation. Taking $k=1.0 \ 10^{-18} \ \text{m}^2$ and $\phi=1\%$ for the Grimsel granodiorite, the 347 transient time at low fluid pressure (< 1 MPa) is about 15 seconds for a one centimeter long 348 sample, but increases to about 30 minutes for a ten centimeter long sample. At higher fluid 349 pressure (~10 MPa) the transient time is shorter, about 2 minutes for a ten centimeter long 350 sample. As stability is generally obtained after a few minutes, due to the relatively high 351 permeability and low porosity, the transient is not likely to be a source of error for the KG²B 352 measurements. However for a sample in the nanodarcies range (10^{-21} m^2) , the stabilization time 353 for a similar measurement at low pressure on a 10 cm plug would be multiplied by 1000 (20 354 days!). 355

356

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3.6. Influence of the Experimental Method

Steady-state measurements with gas were systematically corrected for the Klinkenberg effect. Not all transient measurements with gas were corrected for the Klinkenberg effect when the pore pressure was higher than 3 MPa. Several transient techniques were used to extract permeability from the recorded pressure decay data, including the standard transient pulse approximation (Brace et al., 1968), a complex transient inversion scheme that additionally provides specific storage (based on (Kranz et al., 1990)) and the more recent step decay method that provides the intrinsic permeability, porosity and Klinkenberg coefficient for gas

measurements in tight rocks (Lasseux et al., 2012). In Figure 7 the data set is divided into three 365 groups according to the method used for measurements (SST for steady-state, PLS for transient 366 pulse, and OSC for pore pressure oscillation). For each sub-group we also separate gas (open 367 symbols) and liquid (solid symbols) measurements. For each subgroup, we estimated an average 368 permeability value, omitting outliers. The average value for the transient pulse method $(1.27 \ 10^{-1})$ 369 18 m²) is the highest, and that for the steady state method is the smallest (0.78 10^{-18} m²). For the 370 pore pressure oscillation method, there are only three values available, so it is difficult to draw 371 any statistically meaningful conclusion. Notice however that there is a great overlap between the 372 division in terms of testing and the division in terms of working fluid (SST mostly liquids and 373 PLS mostly gases). 374



375

Figure 7. Measured axial permeability for the different techniques used with error bars when known (data
 points are ordered by lab number in each group). Open symbols correspond to measurements using gas. Dashed
 lines correspond to the average permeability value per method (without the outliers highlighted by ellipses).

To address this problem, we analyzed results provided by four teams who measured permeability on the same sample using different methods but the same pore fluid, sometimes at different pressure conditions (Lab#18). Figure 8 is a cross-plot of permeability using one particular method vs. permeability using another one. For this limited set of measurements, the permeability values are such that $k_{SST} < k_{OSC} < k_{PLS}$ which is the same order derived from statistical analysis on the three subgroups (Figure 7).





Figure 8. Comparison of permeability values for the same sample under the same pressure condition but 386 using two different techniques. OSC = oscillating pore pressure method; SST = steady-state flow method; PLS = 387 transient pulse method. 388

390 391

3.6.1. Example of Steady-state Flow Method for Permeability Determination

392 [Contribution of Lab#19] Permeability was measured on a section of whole core using the steady-state flow technique at a series of effective pressures and pore pressure gradients. 393 Normally, sub-cores would be prepared from the original samples for testing. However, since the 394 395 starting material had relatively large grain size, we decided that the best determination of average permeability would be obtained if the entire sample were tested. In this case, the core 396 circumference, which was smooth and even, was used without modification and faces were 397 398 ground flat and parallel using a diamond wheel on a surface grinder. The resulting sample, shown in Figure 9a, had physical dimensions of diameter = 8.3348 ± 0.0008 cm and height = 399 3.3617 ± 0.0005 cm. 400

Stainless steel end caps with 1.5 mm-diameter center holes and groove patterns on faces were 401 placed on either end of the sample. Fine mesh stainless steel screen was placed between end caps 402 and the sample to provide uniform pore pressure on sample faces. Shrink tubing covered the 403 sample + end cap assembly which was then cast in 2-part polyurethane (approximately 5 mm 404 thickness) to isolate the sample from the silicone oil confining fluid (Figure 9b). A coiled 405 stainless return tubing provided pore fluid access to the bottom end cap. 406



Figure 9. a) Whole core KG²B test sample with stainless steel end caps and stainless screen that assures uniform pore water access to sample faces. b) Sample assembly, including coiled return pore fluid line, is jacketed and ready to place in the pressure vessel.

This assembly was placed in a pressure vessel and an initial confining pressure, P_c , of 2 MPa was applied. The pore pressure system was evacuated and then pressurized to $P_p = 1$ MPa with deionized water. P_c and P_p were then increased together to assure that effective pressure $(P_{eff} = P_c - P_p)$ never exceeded 2 MPa. The low-aspect-ratio cracks that provide flow paths in this crystalline rock are sensitive to effective pressure and have memory of past pressure history. Therefore, it is important that the target test pressure is not exceeded during sample pressurization.

422 Evacuation of the combined sample + pore pressure system, prior to saturation, is important in a low porosity sample to prevent air bubbles that would alter the fluid flow paths being trapped 423 in the pore space. Conducting permeability tests at elevated pore pressure further reduces the risk 424 425 of spurious measurements by compressing and dissolving remnant air bubbles that might remain trapped in pore space. The sample assembly in the pressure vessel, as well as the pore pressure 426 427 pump and flow rate sensor were enclosed in a temperature-controlled chamber that maintained 428 $23.5 \pm 0.1^{\circ}$ C. For steady flow tests in low permeability samples, variations in ambient temperature can become the primary source of uncertainty in determining permeability, since 429 room temperature changes produce fluid volume fluctuations that appear as transients in flow 430 431 rate (Morrow et al., 2014, Figure A1)

Confining pressure, up-stream (P_{UP}) and down-stream (P_{DOWN}) pore pressure were independently computer controlled. Reported effective pressure is defined as $P_{eff} = P_c - P_p = P_c - (P_{UP} + P_{DOWN})/2$. Pressure drop across the sample is just $\Delta P = P_{UP} - P_{DOWN}$. The pore pressure generator on the up-stream side of the sample recorded the change in pore volume (V_P) needed to maintain constant pressure with a precision of 10^{-5} cm³. This volume change was used to determine flow rate, $Q = dV_P/dt$. Once the pressure drop across the sample is established and a steady state flow condition is attained, permeability *k* can be calculated from Darcy's law

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$$k = \left(\frac{\mu L}{A}\right) Q / \Delta P \tag{1}$$

where μ is dynamic viscosity and *L* and *A* are the length and cross-sectional area of the sample. Dynamic viscosity of water at 23.5°C is 0.921 10⁻³ Pa.s and *L/A* for this sample is 0.06161 cm⁻¹. As an example, a flow measurement at $P_c = 30$ MPa, $P_{eff} = 5$ MPa and $\Delta P = 0.946$ MPa resulted in $k = 1.068 \times 10^{-18} \text{ m}^2$.

This reported permeability is of limited use without estimating errors. We next consider 446 different methods for determining confidence intervals for the permeability measurements. The 447 first method is to take multiple measurements of k and compute a mean value and standard error. 448 We performed a series of 10 flow tests at $P_{eff} = 5.0$ MPa and at varying pore pressure gradients 449 that produced both forward and reverse flow (Figure 10). If we assume that the individual 450 determinations of k are random samples from the same distribution, and the 'true' permeability is 451 represented by the mean of the distribution, then uncertainty can be expressed as standard error 452 of the measurements. In this case, permeability, based on ten measurements, is $k = (1.04 \pm 0.01)$ 453 $x 10^{-18} m^2$. 454



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458 **Figure 10**. Permeability tests at $P_{eff} = 5.0$ MPa. Flow rate is a linear function of pressure gradient, ΔP , and using equation (1) gives $k = (1.04 \pm 0.01) \times 10^{-18} \text{ m}^2$.

An equivalent method is to compute a least squares fit to the data in Figure 10 to provide the ratio $Q/\Delta P$. The result, including a calculation of the formal error is $\partial q/\partial \Delta P = 0.00185 \pm 0.00001$ cc/MPa, and applying equation (1) leads to a similar estimate of permeability.

A more complete measure of uncertainty includes consideration of errors in all of the variables 464 on the right-hand side of equation (1). In this case, an uncertainty of ±0.1°C for the 465 environmental chamber implies an uncertainty of $\pm 0.002 \ 10^{-3}$ Pa.s in viscosity (*i.e.*, $\pm 0.2\%$). 466 Uncertainties in physical sample dimensions (L/A) are estimated to be only $\pm 0.03\%$ and errors in 467 468 ΔP are 0.001 MPa. Taken together, these contribute uncertainty of approximately $\pm 0.5\%$ in computing k. The final quantity to consider is the flow rate Q. The displacement transducer that 469 measures V_P has a linearity of about 0.05% which limits the accuracy of determining Q. During a 470 permeability test, V_P is sampled ten times per second and averaged at 1 s intervals. If we assume 471 that the errors in measuring V_P are uncorrelated, we can divide the total measurement time into N 472 intervals of equal length and estimate a Q_i for each interval. Then, the mean of the Q_i 's provides 473 474 an estimate of Q and the standard error provides an estimate of the uncertainty in Q. Using this

approach, with the standard recording interval used in this study of approximately 2500 s, 475 accuracy in determining q was typically $\pm 0.5\%$. Taken together with the uncertainties in the 476 other variables, we estimate a total uncertainty in determining k of approximately 1%. This is in 477 close agreement with the confidence interval quoted above and probably represents the best 478 accuracy that we can expect to achieve with the current test system. Notice that the two largest 479 contributions to uncertainties in determining permeability are variations in ambient temperature 480 and uncertainties in determining Q. Some improvement in accuracy can be gained by sampling 481 flow rate over longer intervals. However, accuracy in Q will improve, at best, as $(time)^{1/2}$ and 482 fluctuations in ambient temperature will ultimately limit accuracy. The importance of controlling 483 ambient temperature cannot be overstated. The viscosity of water decreases by about 0.02 10⁻³ 484 485 Pa.s/°C, so an error of 1°C in measurement temperature will result in a 2% error in calculated permeability. 486

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3.6.2. Example of Transient Method for Permeability Determination

[Contributions of Lab#11 and Lab#12] The transient (or pulse) method (Bruce et al., 1953) consists of instantaneously applying a pulse of differential pore fluid pressure across the sample that will re-equilibrate with time by fluid flow through the sample. An interpretative model was reported later by (Brace et al., 1968). The permeability is derived from the timedependent decrease of the upstream pore fluid pressure $P_{\rm UP}(t)$, which can be approximated by an exponential law:

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 $P_{UP}(t) - P_{\infty} \propto e^{-\alpha t} \text{ with } \alpha = \frac{kA}{\mu C_f L} \left(\frac{1}{V_{UP}} + \frac{1}{V_{DOWN}} \right)$ (2)

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where P_{∞} is the final upstream pressure, k the permeability, L and A the sample length and cross-500 section area respectively, μ the fluid viscosity, C_f the fluid compressibility and $V_{\rm UP}$ and $V_{\rm DOWN}$ 501 the volume of the tanks connected to the upstream and downstream end of the sample 502 respectively. The permeability of a Grimsel granodiorite sub-core (length = 40.10 mm; diameter 503 504 = 19.74 mm) was measured in a high pressure vessel (maximum confining pressure 50 MPa) at room temperature using water as the confining medium and argon as pore fluid (Figure 11a). 505 Prior to experiments, the sample was vacuum dried at 40°C for 48 hours, a period beyond which 506 no additional mass decrease was recorded. To apply the confining pressure to the sample and 507 avoid any leak, the sample was inserted in a rubber sleeve clamped onto end-pieces. 508

The decay through time of the upstream gas pressure $P_{\rm UP}$ is monitored whereas the downstream 509 510 gas pressure P_{DOWN} is kept constant at atmospheric pressure P_{ATM} , that is $P_{\infty} = P_{\text{DOWN}} = P_{\text{ATM}}$ and the term $1/V_{\text{DOWN}}$ of equation (2) can be neglected. This configuration was shown to be the 511 optimal one for a pulse-decay experiment (Jannot et al., 2007). Since the experiments are run at 512 constant temperature (T = 20° C) and low gas pressure (≤ 0.5 MPa), one may also assume that the 513 gas compressibility C_f can be approximated by $C_f = 1/P_{\text{MEAN}}$, where $P_{\text{MEAN}} = (P_{\text{UP}} + P_{\text{DOWN}})/2$ is 514 the mean gas pressure in the sample. Then, by calculating the time derivative of equation (2), one 515 obtains the following equation relating the gas permeability k_{gas} to the evolution of the upstream 516 517 gas pressure:

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$$k_{\text{gas}} = \frac{\mu_{\text{Ar}}L}{A} \frac{V_{\text{UP}}}{P_{\text{MEAN}}\Delta P} \left| \frac{dP_{\text{UP}}}{dt} \right|$$
(3)

where μ_{Ar} is the viscosity of Argon (= 2.21 10⁻⁵ Pa.s at 20°C) and $\Delta P = P_{UP} - P_{DOWN}$ is the gas 521 pressure difference across the sample. Due to the narrow flow path in such a low permeability 522 rock, the Klinkenberg correction must be carried out (see the section devoted to slip flow). Three 523 confining pressure levels were tested: 1, 2 and 5 MPa. For each pressure level, the sample was 524 allowed to rest in the cell for one night. The upstream inlet gas pressure is then increased to 0.5 525 MPa, the outlet downstream gas pressure is maintained constant at atmospheric pressure and the 526 outlet gas volume flow rate Q_{DOWN}^{V} is measured. Once the latter has stabilized, the inlet value is 527 closed and the upstream gas pressure is allowed to decrease. In Figure 11b, we show the pressure 528 decay curves obtained at the various confining pressure levels. Note that in contrast to 529 experiments using liquids (with constant compressibility), the pressure decay is not linear on the 530 semi-log plot as expected from equation (2): this is so because the gas compressibility depends 531 532 on the mean pressure which decreases with time.

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Figure 11. a) Experimental setup for measuring gas permeability in rock samples stressed up to 50 MPa
 hydrostatic confining pressure. b) Pressure decay curves on a semi-log plot obtained at confining pressures P_C of 1,
 2 and 5 MPa respectively.

The time derivative of the pressure decay curve $dP_{\rm UP}/dt$ is calculated by applying a moving linear regression to the pressure decay curve over a constant number of points. The volume $V_{\rm UP}$ of the upstream gas circuit is either calibrated independently or by using the equation relating the outlet gas volume flow rate and the upstream pressure decay rate. At the upstream side of the sample, the inlet gas mass flow rate $Q_{\rm UP}^{\rm M}$ is equal to:

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$$Q_{\rm UP}^{\rm M} = \frac{M}{RT} V_{\rm UP} \left| \frac{dP_{\rm UP}}{dt} \right| \tag{4}$$

(5)

where *M* is the molar mass of the gas, *R* is the universal gas constant, and *T* is the temperature. Assuming that the gas mass stored in the sample can be neglected, we have $Q_{\rm UP}^{\rm M}=Q_{\rm DOWN}^{\rm M}$, where $Q_{\rm DOWN}^{\rm M}$ is the outlet gas mass flow rate. The latter quantity can be expressed as a function of the measured outlet gas volume flow rate $Q_{\rm DOWN}^{\rm V}$:

$$Q_{\text{DOWN}}^{\text{M}} = \frac{M}{RT} P_{\text{DOWN}} Q_{\text{DOWN}}^{\text{V}}$$

555 Combining equations (4) and (5) yields a relationship between the outlet gas volume flow 556 rate and the upstream gas pressure decrease rate that allows calculation of the upstream volume 557 V_{UP} :

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 $Q_{\rm DOWN}^{\rm V} = \frac{V_{\rm UP}}{P_{\rm DOWN}} \left| \frac{dP_{\rm UP}}{dt} \right| \tag{6}$

In Figure 12a, the linear relationship between outlet flow rate and inlet pressure time-derivative 561 is shown for all three confining pressure levels. Assuming a constant atmospheric pressure 562 P_{DOWN} , the volume of the upstream gas circuit is derived from the slope of this linear relationship 563 following equation (6). Then the apparent gas permeability k_{sas} is estimated from equation (3) as 564 a function of time and finally Klinkenberg's correction is applied to the data set in order to derive 565 the true permeability k (see section 4.2). In Figure 12b, the gas permeability k_{gas} is plotted vs. 566 inverse mean gas pressure $1/P_{MEAN}$ for the three tested confining pressures. As one can see, the 567 linear trend is rather good, confirming that Klinkenberg's correction has to be applied. The true 568 permeability k is then taken as the intercept of the best linear fit to each curve, and the 569 Klinkenberg slip b factor is inferred from the slope. From the plot in Figure 12b the following 570 results are obtained: at 5 MPa confining pressure (effective confining pressure between 4.5 and 5 571 MPa close to the KG²B pressure target) $k=1.12 \ 10^{-18} \ \text{m}^2$ and $b=0.33 \ \text{MPa}$; at 2 MPa confining 572 pressure $k=2.26 \ 10^{-18} \ \text{m}^2$ and $b=0.30 \ \text{MPa}$; at 1 MPa confining pressure $k=4.99 \ 10^{-18} \ \text{m}^2$ and 573 b=0.15 MPa. The last point is to check the reproducibility, and hence the accuracy, of the 574 measurements. This has been done by doubling each measurement at each confining pressure 575 level. After the first measurement, the sample was allowed to rest at the prescribed confining 576 pressure for one night, before repeating the above mentioned procedure. Repeatability is very 577 good, with permeability variations lower than 2%. 578

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Figure 12. a) Linear relationship between outlet flow rate and inlet pressure decrease obtained at a confining pressure P_C of 1, 2 and 5 MPa respectively. b) Evolution of gas permeability k_{gas} with mean gas pressure for the three tested confining pressure levels.

586 The well-known and widely employed transient method based on the pulse decay technique described above has been extended recently (Lasseux and Jannot, 2011; Lasseux et al., 2012). 587 The main purpose for the development of this new method is to avoid repeating several 588 experiments at different values of P_{MEAN} to determine permeability k and slippage factor b. One 589 could consider using an inverse technique applied to the complete unsteady flow model for the 590 pulse-decay instead of the approximated analytical model of equation (1) so that these two 591 parameters (along with porosity ϕ) could be identified on a single upstream pressure decay 592 $P_{IIP}(t)$ in the least square sense (Jannot et al., 2008). However, the sensitivity of the signal to 593 these parameters were shown to be insufficient in the general case for a reliable identification 594 (Lasseux et al., 2012). With the step-decay method, a downstream tank is introduced and both 595 $P_{IIP}(t)$ and $P_{DOWN}(t)$ are recorded, the former being taken as the input for the history matching 596 that is carried out on the latter considered as the response. While $P_{IIP}(t)$ can be modulated in any 597 convenient way to improve sensitivity (a simple choice is a succession of steps, giving the name 598 to the method of "step-decay"), it was shown that, for the interpretation: i) the volume of the 599 upstream tank does not need to be known; ii) the presence of a dead volume between the 600 upstream tank and the entrance of the porous sample, which represents a critical issue in the 601 pulse decay method, is of no consequence on the measurement and can be ignored, iii) any 602 irregularity on $P_{UP}(t)$, due to thermal effects or resulting from a leak at the upstream, will not 603 introduce any bias in the interpretation as it is part of the input signal. Moreover, it was shown 604 that, with this method, the three parameters, k, b and ϕ , can be simultaneously identified from a 605 single experiment (Lasseux et al., 2012). 606

The step decay experiment was run with nitrogen at 30°C on a Grimsel granodiorite sub-core 607 (length = 39.32 mm, diameter = 25.48 mm) that was first dried at 30° C for 3 weeks. The sample 608 was placed in a Hassler sleeve and a confining pressure of 5.5 MPa was applied. The volume of 609 V_{DOWN} was determined from 100 nitrogen pycnometry tests yielding V_{DOWN} =8.38 cm³ with a 610 standard deviation of 0.018 cm³. Three different tests were carried out for which the applied 611 average pore pressure difference over the different upstream pressure steps were 0.376 MPa 612 (Test1, 4 steps), 0.275 MPa (Test2, 7 steps) and 0.327 MPa (Test3, 4 steps). The experimental 613 recording times of $P_{UP}(t)$ and $P_{DOWN}(t)$ were 4h16min (Test1), 3h27min (Test2) and 1h10min 614 615 (Test3).

The interpretation, using an inverse technique, is performed with a complete model with no assumption, except that the flow is isothermal and 1D in the *x*-direction within the sample which upstream and downstream faces are at x=0 and x=L, respectively while the gas is supposed to obey ideal gas law:

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$$\frac{\partial^2 \Pi}{\partial x^2} = \frac{\phi \mu}{k} \frac{1}{\sqrt{\Pi}} \frac{\partial \Pi}{\partial t}, \quad \Pi = \Pi(x, t) = (P(x, t) + b)^2$$
(7)

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$$\Pi(x,0) = (P(x,0) + b)^2, \quad 0 \le x \le L$$
(8)

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$$\Pi(0,t) = (P_{UP}(t) + b)^2, \quad t \ge 0$$
(9)

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$$\frac{\partial \Pi}{\partial t}\Big|_{x=L} = \frac{kA}{\mu V_{DOWN}} (\sqrt{\Pi} \frac{\partial \Pi}{\partial x})_{x=L}, \quad t \ge 0$$
(10)
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The recorded evolution of $P_{UP}(t)$ and $P_{DOWN}(t)$ for Test1 are reported in Figure 13a. For the same experiment, the comparison between the measured signal $P_{DOWN}(t)$ and the signal obtained at the end of the inverse procedure with the identified parameters k, b and ϕ in the least square sense is represented in Figure 13b, showing the excellent fit obtained with this procedure.

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Figure 13 a) Upstream $(P_{UP}(t))$ and downstream $(P_{DOWN}(t))$ pressure evolutions recorded during Test1. b) Downstream pressure signal measured during Test1 and obtained from the model with the fitted parameters k, b and ϕ at the end of the inverse procedure.

The fitted values of the parameters are respectively $k=1.28 \ 10^{-18} \ m^2$, $b=0.257 \ MPa$ and $\phi=0.012$ for Test1; $k=1.18 \ 10^{-18} \ m^2$, $b=0.304 \ MPa$ and $\phi=0.008$ for Test2; $k=1.26 \ 10^{-18} \ m^2$, $b=0.279 \ MPa$ and $\phi=0.012$ for Test3. These values are consistent, in their trend, with the expected variations due to the difference between the confining pressure and the actual average pore pressure in the three different tests. Moreover, repeatability tests showed few percent of error on the above values.

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3.6.3. Example of Oscillating Pore Pressure Method for Permeability Determination

646 [Contribution of Lab#18] Initially proposed by Turner (1958), the oscillation method was 647 first applied to rocks by Kranz et al. (1990) and Fischer (1992). It uses a fixed-frequency, 648 sinusoidally oscillating pore pressure signal applied at one end of the sample. The resultant 649 (downstream) signal maintains the same period as the upstream signal, but is amplitude-650 attenuated and phase-shifted (Figure 14).

Bernabé et al. (2006) re-analyzed the oscillating pore pressure method and defined two independent dimensionless material parameters; η (dimensionless permeability) and ξ (dimensionless storativity ratio) which are functions of permeability (k, m²) and specimen storativity (β , Pa⁻¹) respectively and are defined:

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$$\eta = \frac{A\tau k}{\pi L\mu \beta_{\rm P}} \tag{11}$$

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$$\xi = \frac{AL\beta}{\rho}$$
(12)

 β_D

659 where *A* is the sample cross-sectional area (m²), τ is the oscillation period (s), *L* the sample 660 length (m), β_D the downstream reservoir storage (m³ Pa⁻¹) and μ the fluid viscosity (Pa s). 661 Bernabé et al. (2006) improved upon the solutions presented by Kranz et al. (1990) and Fischer (1992) by defining ξ and η such that each would be dependent on only one material parameter of the rock, thus allowing them to be assessed as independent material properties. In terms of ξ and η the solution to the diffusion equation is:

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$$Ge^{-i\theta} = \left(\frac{1+i}{\sqrt{\xi\eta}}\sinh\left[(1+i)\sqrt{\frac{\xi}{\eta}}\right] + \cosh\left[(1+i)\sqrt{\frac{\xi}{\eta}}\right]\right)^{-1}$$
(13)

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668 *G* is the ratio of downsteam to upstream wave amplitude (Gain) and θ is the phase shift between 669 the upstream and downstream waveforms. Solving equation (13) to find *G* and θ using a range of 670 valid values of η and ξ defines the region in which physically meaningful values of *G* and θ can 671 be found. The region is limited by the lines $\xi=0$ and $\xi \to \infty$ (Bernabé et al., 2006). Sample 672 storativity (β) is directly proportional to porosity (ϕ) and is given by:

$$\beta = \phi \left(C_f + C_p \right) \tag{14}$$

where C_f is the pore fluid compressibility and C_p is the compressibility of the porosity in response to changes in pore pressure at constant confining pressure. As $C_f \gg C_p$ the $C_f \phi$ term will dominate. Thus iso- ξ paths are nominally lines of constant porosity for a given value of downstream storage volume provided the compressibility of the pore fluid and the pores remain constant, there are no adsorption-desorption effects, and the sample behaves isotropically.

681 When the permeating fluid is a liquid its compressibility is small and varies only slowly 682 with pressure, but for an ideal gas the compressibility is $1/P_p$, where P_p is the pore fluid pressure. 683 At low temperatures *T* and high pressures gases become non ideal, expressed by the gas 684 deviation factor *Z* in the gas law for a single mole:

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$$P_p V = ZRT \tag{15}$$

where V is the gas volume and R is the universal gas constant. The gas compressibility is modified thus

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$$c_f = \frac{1}{Pp} - \frac{1}{Z} \left(\frac{dZ}{dPp}\right)_T \tag{16}$$

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For argon gas, for example, Gosman et al. (1969) show how Z varies with pressure, from which $C_f(P_p)$ can be calculated. Viscosity of the pore fluid must also be known as a function of pressure and temperature. For liquids the viscosity varies only slowly with pressure and temperature, but larger variations apply for gases. Data for argon are provided in Michels et al. (1954) and Younglove and Hanley (1986).

Applying this method, it is usual to work with short core plugs, for example 25 mm in length and of similar diameter. Samples are jacketed in rubber tubing sealed to end pistons bearing a narrow (1mm diameter) hole to carry the pore fluid. Sintered metal discs are placed at each end of the specimen to diffuse the pore fluid across the whole diameter of the specimen. It is important that the jacket be pressed uniformly against the outer surface of the specimen to prevent short-circuit fluid paths. A steel blank can be used in lieu of a specimen to ensure that the experimental arrangements do not permit any unwanted fluid flow and for calibration of the

downstream volume. It is important to avoid any contamination of the specimen with liquid 706 707 when gas is being used as a permeant. Liquid contamination will generally reduce apparent 708 permeability.

709 The downstream volume (including pipework, downstream sintered plate and pressure transducer) must be determined as accurately as possible, and for very low permeability 710 materials this volume will usually be as small as is feasible. Filler rods can be inserted into the 711 pipes to minimize the volume further. For good resolution of low permeabilities a downstream 712 volume of less than 500 mm³ is desirable. The downstream pressure transducer must have high 713 sensitivity (typically 0.02 MPa) and good stability. 714

A servo-controlled piston-cylinder pressure generator/volumometer is used to generate 715 and control the pore pressure. This can be used to determine the downstream volume by first 716 establishing an upstream pore pressure, then opening access to the downstream volume and 717 measuring the volume of fluid that must be accepted to bring the downstream volume to the 718 719 same pressure.

720 Experiments are typically carried out over a sequence of confining pressures at a fixed pore pressure in order to investigate the sensitivity of permeability to effective pressure. Initially 721 722 it is important to raise the confining and pore pressures together such that the minimum desired effective pressure is not exceeded, to avoid permanent changes to permeability before 723 permeability measurement at low effective pressure. The desired mean pore pressure and 724 725 confining pressures are allowed to stabilize, with the open bypass valve linking upstream and downstream reservoirs. The bypass valve is closed slowly, to prevent buildup of unequal pore 726 pressures and the upstream oscillation is started. The amplitude of the oscillation will typically 727 be 1 MPa or less, to avoid violation of Darcy's law and pressure transients due to adiabatic 728 heating and cooling. After any initial transient effects a downstream waveform at a constant 729 mean pressure will develop, after which data from ~ 10 cycles will be collected (Figure 14). The 730 731 period of the forcing waveform can be varied between about 60 seconds and several thousand seconds, in order to obtain a satisfactory gain ratio, ideally smaller than about 0.7. 732 733





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From these data the gain (ratio of downstream to upstream wave amplitudes) and phase shift 738 739 must be determined. This can be done in several ways (i) from the Fourier transforms of the two 740 waveforms (e.g. Faulkner and Rutter, 2000; Bernabé et al., 2006; Song et al., 2007), (ii) from the

parameters (orientation and axial ratio) of the Lissajou ellipses linking the two waveforms (e.g. 741 742 Song et al., 2007) or (iii) by applying inverse amplitude ratio and phase shifts to match the two waveforms. The permeability is found by solving equation (13) iteratively for both η and ξ , from 743 which permeability and storativity can be calculated. This can be done using a numerical 744 equation solver. Initial values of ξ and η are obtained from a look-up table containing the values 745 plotted in Figure 15a. The algorithm then seeks the values of ξ and η that simultaneously solve 746 the modulus and argument of equation (13) that correspond to the measured amplitude ratio G 747 and phase shift θ respectively. 748

Core plugs with three different orientations were taken from the main core section; the 749 gneiss foliation plane is oriented 16° to the axis of the main core. Core A is parallel to the large 750 core axis, Core B is normal to the main core axis and parallel to the foliation, and core C is 751 perpendicular to the first two, nearly normal to the foliation. Helium pycnometry for four short 752 core plugs yielded porosity 1.028±0.011%. Permeability for each core orientation was measured 753 at a constant effective pressure (P_{eff}) of 4.5 MPa, at each of three different pore pressures, 5.5, 754 10.5 and 15.5 MPa (Figure 15b). Anisotropy is low, with the foliation-normal orientation 755 displaying the lowest permeability (see section on anisotropy in the companion paper). 756 757



Figure 15. a) Solution space of equation (13) bounded by curves for $\xi = 0$ and 16, showing how gain and phase angle relate to η and ξ , with experimental results for KG²B cores A, B and C. b) Permeability vs. pore pressure at constant effective pressure (4.5 MPa) for the three cores.

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The experimental data in Figure 15a plot well to the left of the expected trend for a 764 765 porosity of 1.0%, and imply that flow does not access all pore space with equal facility. The sample storativity calculated using ξ from the oscillation technique is commonly found to be 766 lower than the total storativity of the sample, calculated from porosity (ϕ), the known 767 768 compressibility of the pore fluid using equation (12) (Fischer, 1992) and the downstream storage of the experimental setup. This is evident from the plot of log G versus phase shift θ for the 769 Grimsel granodiorite (Figure 15a). The data lie along a track expected for a porosity of 0.3 % or 770 smaller, compared with the track expected for the measured porosity. There is a weak indication 771 that the deviation is greater for foliation-parallel flow than foliation-normal flow. Stronger 772

deviation is seen for anisotropically textured rocks (e.g. Mckernan et al., 2017), and suggests that for one-dimensional fluid flow a reduced fraction of the pore space is readily accessible. In contrast, porosity measurement when all faces of the specimen are equally accessible to permeating gas allows the full porosity to be measured.

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779 **4. Pressure Dependence of Permeability**

The main target of the benchmarking exercise was permeability of the Grimsel 780 granodiorite samples at 5 MPa effective pressure; in addition, on a voluntary basis, measurement 781 at in situ effective pressure (30 MPa) was also encouraged. Several teams provided 782 measurements at several pressures. Therefore we have: (i) single permeability values at 5 and 30 783 MPa (2-point analysis, 4 teams), (ii) multiple permeability values over an extended pressure 784 range of 1 to 30 MPa (multi-point analysis, 9 teams). The complete data set is given in Table 1 785 and the results for the second data set are shown in Figure 16. Note that the effective pressure is 786 787 defined here as the difference between confining and pore pressure, corresponding to an effective pressure coefficient equal to 1, in good agreement with the effective pressure law found by one 788 participating lab (Figure 16a) showing that permeability measurements are nearly constant at 789 790 fixed confining pressure minus pore pressure (2 MPa). It should also be noted that these data do not allow separation of pressure sensitivity in response to variations of effective pressure by 791 varying total confining pressure at constant pore pressure, compared with varying pore pressure 792 at a constant total confining pressure. Differences in behavior in this respect have been discussed 793 for various rock types by several authors, (e.g. Heller et al., 2014; Kwon et al., 2001; Mckernan 794 795 et al., 2017)







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Figure 16. a) Test on effective pressure law by Lab#19 showing permeability measurements are consistent with α=1; b) Permeability vs. effective pressure. Solid symbols: measurements with liquid; open symbols: measurements with gas. All the measurements were made in the core axis direction.

A striking result is that the permeability evolution with effective pressure is generally linear on the semi-log plot: therefore the pressure-dependence of permeability can be accounted for using 802 an exponential law:

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$$k = k_o \exp(-\gamma P_{eff}) \tag{17}$$

Such an exponential decrease is in agreement with the data compiled by David et al. (1994) for sedimentary and hard rocks. The stress-sensitivity parameter γ and zero-pressure permeability parameter k_o are given in Table 1.

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	2-point a	analysis				
	perm (10 ⁻¹⁸ m ²) @5MPa	perm (10 ⁻¹⁸ m ²) @30MPa	ratio			
Lab#02 (L)	0.43	0.030	14.3			
Lab#13 (L)	0.91	0.277	3.27			
Lab#14 (G)	1.91	0.189	10.1			
Lab#16 (G)	1.81	0.155	11.7		multi-poir	nt analysis
				k _o (10 ⁻¹⁸ m²)	γ (MPa⁻¹)	Comment
Lab#04 (L)	0.43	0.043	10.0	0.609	0.0885	fit on 5 points
Lab#19 (L)	1.08	0.0118	91.4	2.65	0.180	fit on 4 points, low pressure range
Lab#23 (L)	Not relevant	0.00101	-	30.0	0.343	fit on 5 points, high pressure range
Lab#05 (G)	1.46	0.068	21.5	2.26	0.117	fit on 7 points
Lab#07 (G)	2.78	0.474	5.86	3.96	0.0707	fit on 5 points, low pressure range, axial stress only
Lab#12 (G)	1.12			not exp	onential	low pressure range
Lab#09 (G)	0.92	0.115	8.03	1.43	0.0842	fit on 6 points
Lab#18 (G)	0.25	0.00257	98.7	0.666	0.185	fit on 4 points, radial normal to foliation permeability
Lab#21 (G)	0.83	0.0758	10.9	0.975	0.0851	fit on 10 points

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Table 1. Permeability measured at different effective pressures. For the multi-point analyses, exponential laws with parameters k_o and γ have been determined. Bold numbers are measurements; italic numbers are extrapolated values from the exponential law. All data were obtained for the axial core orientation unless otherwise stated.

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In the pressure range above 5 MPa, four experiments (Lab#4, 5, 9 and 21) found quite consistent results, with an average stress-sensitivity parameter $\gamma = 0.093 + -0.015$ MPa⁻¹ while two other

experiments found both lower permeability and stronger pressure dependence ($\gamma > 0.18$ MPa⁻¹), 815 possibly because these samples might have lower crack density and with higher compliance 816 and/or because of the different sample orientation (Lab#18). In their compilation for crystalline, 817 metamorphic and volcanic rocks, David et al. (1994) found that the stress-sensitivity parameter γ 818 ranged between 0.023 and 0.11 MPa⁻¹; the Grimsel granodiorite is toward the higher end of this 819 range. In the pressure range below 5 MPa, the pressure dependence seems also to be larger 820 (except for Lab#07 who applied only axial stress). In this pressure range, one might both be 821 impacted by crack closure and possibly leakage flow at the sample surface. From the 2-point 822 analysis, we estimated the ratio $k(P_{eff} = 5 \text{ MPa}) / k(P_{eff} = 30 \text{ MPa})$ for both measured and 823 extrapolated values (Table 1). Except two large values close to 100, most of the ratios range 824 between 3.3 and 21 with an average value of 10.6. The Grimsel granodiorite exhibits a strong 825 pressure dependence of permeability which can be well described by an exponential law. 826

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833 5. Discussion

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5.1. Outcome of the Benchmarking Exercise

Three main techniques were used to test sample permeability: steady-state flow that 835 satisfies Darcy's law, pulse-decay (Brace et al., 1968) and oscillating flow (Fischer & Paterson, 836 1992; Kranz et al., 1990). The steady-state flow technique is often the simplest and easiest to 837 838 interpret. Some rocks such as shales, clay-rich sandstones and fault gouge undergo timedependent relaxation in response to pressure changes or the introduction of pore fluid. The 839 840 steady-state method, which requires establishment of a constant flow rate, can be used to identify when transient changes in pore geometry have ended and a reliable measurement of permeability 841 can be made. In some cases, the time needed for a sample to adjust to a new stress state can be in 842 excess of a day (Morrow et al., 2014). If the sample has low permeability, then the flow rate due 843 to an applied pressure gradient will be low, and water expelled as the sample compacts can result 844 in erroneous flow rate determinations. Reversing the flow direction can help identify when time-845 dependent pore volume changes are important. Otherwise, it is best to confirm independently 846 that changes in porosity have ceased before starting the flow test. For low permeability samples 847 848 with small flow rates, both a high-accuracy flow sensor and a stable test chamber (especially controlled temperature) are needed for accurate determination of permeability (see Section 849 3.6.1). In describing flow in porous media, effects are separated between fluid properties 850 (viscosity, μ) and pore geometry (permeability, k) with flow rate Q proportional to k/μ . Thus, for 851 low permeability samples, increased flow rate can be accomplished by using a low-viscosity 852 fluid, typically argon or nitrogen. 853

When testing low permeability crystalline rocks, accurate measurement of steady-state 854 flow rate can be technically challenging. Brace et al. (1968) presented a transient pulse-decay 855 technique that avoided this difficulty by measuring transient pressure changes rather than flow 856 rate. This can be a fast and reliable method for measuring low permeability. It requires that the 857 volume in closed chambers connected to the sample be optimized for the flow rate such that fluid 858 flow through the sample produces a measurable pressure change over a convenient time interval. 859 Similar to the steady-state technique, relaxation of pore volume in response to changes in stress 860 state can produce pressure transients that mimic pressure transients from the pulse-decay test. 861 Therefore, care must be taken to minimize these potential sources of error. On account of the 862 small pressurized volumes used, the technique is very sensitive to any slow pressure leaks. 863 Changes in ambient temperature can also lead to erroneous signals and need to be identified 864 when making pulse-decay measurements. 865

The oscillating flow technique introduced for rocks in the early 1990's (Fischer, 1992; 866 Fischer & Paterson, 1992; Kranz et al., 1990) represents a significant advance in the 867 measurement of flow properties of geologic materials. A time-independent oscillating pore 868 pressure (generally a sine wave) is applied on one side of the sample and the amplitude and 869 phase of the pressure in a chamber attached to the other side of the sample is recorded. The 870 signal is time-stationary and can be stacked over multiple cycles to improve accuracy. In this 871 case, both permeability and storativity (β) of the sample can be determined. There is a limited 872 range in the frequency of the pressure oscillation and the volume of the downstream chamber 873 over which accurate measurements can be made. In general, the downstream volume has to be 874 larger than the pore volume in the test sample if storativity needs to be measured, but not so large 875 as to affect adversely the sensitivity of the downstream pressure measurements.. Then, the period 876 of the input signal that will produce a usable response will fall within a limited range that 877 depends on the sample permeability. Measurement of lower permeability generally requires 878 increased period of the sinusoid. If there is time-dependent relaxation of the sample, pressure on 879 880 the downstream side will show a steady drift that often can be separated from the oscillating signal of known period. In the non-linear inversion procedure for analyzing the amplitude/phase 881 data, k is not determined uniquely. Rather, the ratio k/β is determined and errors in k and β are 882 correlated. Increasing the downstream reservoir solves this problem: permeability can then be 883 estimated accurately but not storativity. 884

All reported determinations of permeability for the KG²B core, measured at $P_{eff} = 5$ MPa 885 in the axial direction, are plotted in Figure 17 along with standard deviation. Many uncertainties 886 for individual measurements are smaller than the symbol size in the figure. Figure 5 shows that 887 there is no indication that k varies systematically with distance from the tunnel (the same holds 888 for porosity ϕ also, see companion paper). Pulse decay measurements are most abundant and 889 tend to be higher than steady-state measurements. The two values that deviate the most from the 890 891 mean value (both higher and lower) were steady-state measurements. Since measurements were carried out in different laboratories, using different samples and different techniques, the outlier 892 values may be due to sample variability or test procedures. In the samples there is obvious 893 foliation, anisotropy and sample variability on a scale comparable to the individual sample 894 dimensions. A number of laboratories reported porosities of test samples spanning more than one 895 order of magnitude (see companion paper) implying that much of the variability in permeability 896 897 is the result of heterogeneity in the test samples.

Figures 3 and 4 show separate analyses of permeability determinations based on gas and liquid (primarily water) pore fluids. Average permeability determined using gas is about twice

900 the average permeability based on water measurements. This is consistent with Figure 17, where 901 mean values and standard errors are plotted for different types of tests and different fluids. Permeability determinations are grouped by technique and working fluid. Some results plotted in 902 903 Figure 17 are not statistically significant since three of the sub-categories only contain one or two measurements. 904





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906 Figure 17. Summary of all the permeability results in the axial direction at 5 MPa effective pressure per fluid and 908 method. The averaged measurements with gas are systematically larger than those with liquids.

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Still, interesting trends can be seen. The left-most data point is the average permeability of all of 910 the reported gas measurements ($k = 1.29 \ 10^{-18} \ m^2$). Average values for the three techniques using 911 gas are adjacent. On the right side of the plot, the average permeability for all liquid 912 measurements is plotted ($k = 0.649 \ 10^{-18} \ m^2$). Here the steady-state outlier values that were 913 identified previously have been omitted. The correlation between permeability outliers and 914 915 porosity values suggests that these samples were anomalous. Including them in the analysis has little effect on the mean value of permeability but increases uncertainty by about 3-fold. When 916 the permeability values are separated into six sub-groups, there is no clear difference based on 917 918 technique (steady-state, pulse decay or oscillating flow). However, a significant difference does exist in which gas permeability is about twice the permeability measured with liquid. 919

The choice of liquid or gas pore fluid can be problematic. For low permeability rocks and 920 fault gouge, measurements can be completed much faster using gas, which has a relatively low 921 viscosity. For some exceedingly tight samples, it may not be possible to obtain a usable flow rate 922 with water as a pore fluid. At the same time, many samples have grain contacts and pore-filling 923 924 minerals that are chemically reactive with water or brine. In this case, the choice of pore fluid becomes critical. Porosity filled with an inert gas may not have the same structure or pressure 925 926 sensitivity as porosity filled with naturally occurring brine, or with water with which it is in chemical dis-equilibrium. This can cause water permeability to be up to 1-2 orders of magnitude 927 lower than gas permeability. The reason for this phenomenon is not clear and various hypotheses 928 are discussed in the literature, including core damage by clay plugging, clay swelling, structured 929 930 water films on the mineral surfaces resulting in reduction of the effective transport volume, and electro-osmotic counter pressures (Faulkner & Rutter, 2000; Gray & Rex, 1966; Weber & 931

Stanjek, 2012). These issues may not be so important in crystalline rocks, but can be of majorconcern when measuring shales or clay-rich fault gouge.

Many of the permeability measurements had reported uncertainties of 1 to 2 percent. This appears to be a practical lower limit to the accuracy that can be obtained by any of the three techniques used. When all reported measurements are included and estimates are based on $\log(k)$, the standard error in estimating permeability is about 20%. The largest potential gain in reducing uncertainty appears to be related to the systematic difference between liquid and gas measurements. If this two-fold difference can be explained, the standard error might drop below 8%.

Two other important issues have been highlighted by the benchmarking exercise: the 941 effect of sample size and the pressure sensitivity of permeability. Results were obtained on a 942 large range of volumes, from 1 to 500 cm³. Whereas the results for the largest samples were 943 consistent, the permeability values for the smallest ones were scattered (Figure 6a) which may 944 indicate that the volume of the smaller samples is below the REV. In this regard the choice of the 945 Grimsel granodiorite, selected for its availability, convenience and relevance to geothermal 946 energy studies, probably was not optimal. Foliation and mineralogical heterogeneity (Figure 6b) 947 require to work on samples larger than the largest heterogeneity. Unfortunately this was not 948 systematically the case. Despite the size effect, consistent results were found regarding pressure 949 dependence, and showed that the Grimsel granodiorite is strongly pressure sensitive. The choice 950 951 of a common effective confining pressure was a key for the success of the benchmarking exercise. 952

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5.2 Offset between average gas and liquid permeability

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Most of the permeability measurements were done using gas as the pore fluid (Figure 1), 958 so it is important to assess the corrections for gas slippage. Intrinsic (or absolute) permeability is 959 expected to be: i) determined only by the porous media structure, and ii) independent of the 960 (homogeneous) working fluid passing through it. Nevertheless differences between water and 961 gas permeability have been reported in literature for decades, and for several lithotypes (Muskat 962 et al., 1937) including shales/mudrocks, tight sandstones and carbonates (e.g. Busch and Amann-963 Hildenbrand, 2013; Ghanizadeh et al., 2013; Amann-Hildenbrand et al., 2016). Klinkenberg 964 (1941) introduced a theory regarding slip flow and its microscale effect: the slippage of gas 965 molecules along capillary walls resulting in a non-zero wall velocity. He introduced a gas 966 slippage parameter (or Klinkenberg slip factor) b relating the apparent gas permeability k_{app} to 967 the mean (absolute) gas pressure P_{MEAN} : 968

$$k_{app} = k_{\infty} \left(1 + \frac{b}{P_{MEAN}} \right) \tag{18}$$

where k_{∞} is the permeability at infinite gas pressure (equivalent to the permeability *k* measured using a liquid). The slip of gas near a solid wall was first studied by Maxwell (1867) and Klinkenberg's concept of slippage was developed for gas flow within a bundle of constant radius capillaries. Hence, this theory may only be applicable within certain boundary conditions. Its

validity for flow in tortuous pore systems including bulges and bottlenecks or in crack-like 974 975 porosity remains questionable.

Our study clearly shows differences depending on the fluid used. Gas permeability values 976 appear to be about twice the permeability values obtained using liquids (Figure 4). This 977 discrepancy is observed even after the Klinkenberg correction for gas slippage effects. Moreover 978 the type of gas used is expected to have an effect as well. Gas permeability (both apparent and 979 Klinkenberg corrected) has been observed to decrease in the order He > N_2 > CH₄ > CO₂, (e.g. 980 981 Han et al., 2010). In organic rich material (coals, shales) this phenomenon is linked to sorption and swelling effects - in such cases a clear dependence upon total organic carbon can be 982 identified. In the absence of sorption the fluid dynamic characteristics of the different gases have 983 to be accounted for. Differences in molecule size and mean free path length result in different 984 slip flow characteristics and, for larger gas molecules, in size exclusion. 985

986 The Klinkenberg slip factor values for each of the gas permeability experiments are plotted vs. the mean gas pressure in Figure 18a. Significant differences are observed between Helium, 987 Argon, Nitrogen and air. The lowest slip factors b are found for air and Argon, followed by 988 989 Helium. For Nitrogen, slip factor values span one order of magnitude, from 0.12 to 1.7 MPa, without noticeable dependence on mean pressure. Although several parameters can disturb the 990 determination of slip factor b (accuracy of mean pressure value, lack of back pressure, inertia 991 effects, effective pressure effects), it is surprising that such a large range of values was found for 992 Nitrogen. Slip factor estimation is very sensitive to experimental procedures and several 993 994 measurements along large mean pressure values are needed to ensure a robust regression in order to limit hazardous extrapolation for infinite mean pore pressure (McPhee and Arthur, 1991). In 995 Figure 18b the b values are plotted vs. permeability. A weak linear trend is observed, in 996 agreement with published results from tight sands, sandstones and shales (Jones & Owens, 1980; 997 Amann-Hildenbrand et al., 2016; Fink et al., 2017). The three Argon data points are linked with 998 a dashed line: these are measurements on a single sample at different confining pressures, 999 showing a decreasing trend for b vs. permeability similar to published data on sedimentary rocks. 1000 1001



1002 Figure 18. a) Klinkenberg slip factor b vs. mean pore pressure for gas permeability measurements with 1003 1004 Klinkenberg correction. b) Klinkenberg slip factor b vs. permeability, grey lines are published data on gas sands 1005 (Jones & Owens, 1980), tight gas sandstones (Amann-Hildenbrand et al., 2016) and shale (Fink et al., 2017). 1006

1007 Flow in porous media is generally modeled under the assumption that the fluid is slow, 1008 continuous and viscous, with negligible flow of molecules adjacent to the pore wall (Darcy flow conditions). As mentioned above, the use of the Klinkenberg slip factor b is related to the 1009 1010 hypothesis of a slippage flow regime at the microscale along capillary walls. The presence of high-surface-area minerals in the Grimsel granodiorite, such as biotite and chlorite, and their 1011 micro-pore structures, enhances diffusion, adsorption, and reactivity to gases and liquids. 1012 Specifically, if the gas or liquid exhibits chemical affinity with the biotite/chlorite minerals, then 1013 adsorption onto clay platelets, swelling and particle mobilization may occur. Under certain 1014 pressure and temperature conditions, the mean free path λ of the gas molecules (i.e. the average 1015 distance travelled without molecular collisions, depending on the temperature, the reciprocal 1016 mean pore pressure and the nature of the gas (McPhee & Arthur, 1991)) will exceed the size of 1017 pores/cracks. In such conditions, molecule/molecule collisions become so rare that the concept of 1018 viscosity becomes irrelevant, rendering the concept of continuum and bulk flow inapplicable. 1019 1020 Knudsen number is classically used to quantify the validity or failure of the Navier-Stokes flow regime, defined as $K_n = \lambda/H$ where λ is the mean free path and H a characteristic hydrodynamic 1021 length scale (Hadjiconstantinou, 2006). For sake of simplicity, we take for H the crack aperture. 1022 1023 When K_n is high, wall friction is reduced which can be interpreted as a decrease in viscosity 1024 leading to an apparent increase of permeability (Allan & Mavko, 2013; Carrigy et al., 2012). Depending on the magnitude of K_n , several flow regimes can be identified (Schaaf & Chambre, 1025 1961; Wang et al., 2016). For example, when $0.01 < K_n < 0.1$ the flow is in the slippage flow 1026 1027 regime and the Klinkenberg correction is applicable, but for $0.1 < K_n < 10$ the flow is in the transitional regime and the Klinkenberg correction may not be sufficient. In the latter case 1028 1029 additional corrections need to be done to account for Knudsen diffusion flow. Following (Wang 1030 et al., 2016), the mean free path λ can be derived from a hard-sphere gas model and the Knudsen 1031 numbers are estimated using the following relation:

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 $K_n = \frac{RT}{\pi\sqrt{2}(D_m)^2 r N_A P_{MEAN}}$ (19)

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1035 where N_A is Avogadro's number, R the ideal gas constant, P_{MEAN} the average gas pressure, D_m the 1036 gas molecule diameter and T the absolute temperature of the gas. For the length scale H we take 1037 the average crack aperture obtained from microstructural analyses on the Grimsel granodiorite 1038 (H = 283 nm, see companion paper) and we allow this parameter to vary in the range 100 to 800 1039 nm (see Figure 4A in the companion paper). Knudsen numbers are plotted vs. the mean pore 1040 pressure in Figure 19 for all the gas permeability measurements.



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1042Mean gas pressure (MPa)1043Figure 19. Knudsen numbers K_n vs. mean gas pressure for all the gas permeability experiments. The "error bars"
correspond to a range of crack aperture from 100 to 800 nm, the symbols correspond to the average crack aperture
(283 nm).10451045

1046 All the data points are located in the slippage flow region or close to the K_n =0.1 boundary. This 1047 suggests that the slippage flow has been correctly accounted for by the Klinkenberg correction. 1048 However complexity can arise from the pore size heterogeneity: in some pores the local Knudsen 1049 number may be very low while in others it may be high. Another assumption is that gases follow 1050 the ideal gas law, which might not always be true (e.g. in the event of water vapor 1051 contamination).

When gas transport in microporous rocks is dominated by gas diffusion through pores/cracks, 1052 the amount of gas adsorbed changes dynamically as pore pressure changes and is closely related 1053 to the properties of the adsorbate (viscosity and density) and solid adsorbent as well as the pore-1054 space geometry (Cui et al., 2009; Silin and Kneafsey, 2012). In particular, since molecular 1055 collisions are controlled by the molecular kinetic energy, diffusion is controlled by pressure and 1056 1057 temperature. Allan and Mavko (2013) show that a tortuous pore network with a static adsorbed layer experiences variable Knudsen diffusion as a function of pore pressure. Below a critical 1058 pore pressure, the effective permeability is significantly greater than the continuum prediction 1059 due to rarefaction of the gas and the onset of Knudsen diffusion. Above the critical pressure, the 1060 1061 effect of Knudsen diffusion relative to adsorption is significantly reduced, resulting in effective permeability values up to 40% lower than the continuum prediction. It must also be noted that 1062 errors arise not only from measured values but also from computed ones. 1063

1064 Previous studies suggested that permeability tests should be performed using distilled water, because such water is expected to be inert. In fact, distilled water may cause sample leaching 1065 1066 leading to the expansion of absorbed cations around clay particles and reducing hydraulic 1067 conductivity. Leaching can also mobilize particles due to either the expansion of diffuse double layers or the removal of cement (Wilkinson, 1969). This movement of particles results in 1068 'dynamic permeability reduction' (Todd et al., 1978) caused by particle trapping at sub-critical 1069 1070 pore throats. This dynamic permeability reduction can be regarded as non-reversible in the absence of dynamical stresses. Alternatives to distilled water include non-polar solvents, direct 1071

1072 use of field-collected water and duplication of the original pore water as permeant. Another 1073 common source of measurement error in very tight formations is entrapped gas, or air dissolved in the permeant while injecting it into the sample at high pressure. As pressure in the flowing 1074 1075 water decreases, air can exsolve, causing pore clogging and erroneous measurements. Loosveldt et al. (2002) showed that water permeability was systematically lower than gas permeability. 1076 1077 whereas ethanol permeability was intermediate. However, when gas permeability was corrected 1078 for the Klinkenberg effect, ethanol and gas permeabilities were found to be of the same order. In 1079 presence of chemical activity induced by polar fluids, Loosveldt et al. (2002) suggest that the Klinkenberg effect is only a small contributor to observed differences between gas and water 1080 permeability: other processes such as rehydration, dissolution/precipitation, migration of fine 1081 elements, and water adsorption in the smallest pores of the matrix may be more important. 1082

Finally it may also be possible that liquids and gases do not probe the porous media in the same way: in such a situation, a common value of permeability is not expected at all between permeability measured with gases and liquids. Our data set suggests that in this case gases probe a more efficient pore network in terms of fluid transport than do liquids. Further studies are needed to support this viewpoint.

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5.3. Source of Errors in Low Permeability Measurements

1090 We discuss here the most common experimental problems and mechanisms for sources of error in permeability measurements from tight formations. The first source of error is 1091 methodological and procedural diversity that, to a large extent, controls the degree of variability 1092 1093 in the results. The pressure pulse decay method is often the standard technique for low permeability material, as the conventional steady state method may not work if flow rate and/or 1094 differential pressure are too low to measure accurately. McPhee and Arthur (1991) showed that 1095 1096 the effect of pressure transducer error (± 0.69 kPa) on the derived slip factor becomes more pronounced (~ 73%) when measurements are performed under constant flow rate mode (rather 1097 1098 than constant differential pressure). When utilizing the pressure pulse decay method, extreme 1099 care must be taken to ensure constant temperature over the experiment so that the measured 1100 pressure changes are associated only with flow through the pore space. In addition, it may be difficult to reconcile gas or liquid permeabilities measured by laboratories that use different 1101 1102 sleeve specifications and/or confining pressure. The extent to which the radial pressure on the sleeve is effectively transferred to the specimen is a function of sleeve hardness and thickness. If 1103 1104 measurements refer to ambient conditions, sleeve confining pressure should be sufficiently high (1.5-5.5 MPa) for the sleeve to laterally seal the sample by filling its surface irregularities, thus 1105 1106 avoiding fluid bypass, and sufficiently low to avoid permeability reduction due to pore volume compaction. This issue may become particularly relevant in the presence of schistose 1107 microstructure and large amounts of soft (compressible) minerals. When comparing data from 1108 different laboratories, it is important to decide a priori whether to emphasize the 1109 data from 'virgin' (unseasoned) samples during their first loading or limit the investigation to 1110 elastic regimes by pre-stressing the specimen. 1111

The second source of error is associated with tight rock microstructure and solid-fluid interactions. The samples under investigation show visible foliation that relates to compositional banding (segregation of mineral phases). This mineralogical differentiation forms alternating layers of biotite and quartz (Schild et al., 2001), white mica and chlorite (Goncalves et al., 2012), and small amounts of chlorite/smectite (vermiculite), the latter resulting from alteration of biotite 1117 layers (Kralik et al., 1992). The analyses conducted in this study show that a significant part of 1118 the pore space resides within the biotite phase as a network of sub-micron cracks exhibiting an 1119 average fracture aperture of 283 nm (see companion paper). Both mineralogical and 1120 microstructural features lead to processes that change the macro-scale permeability measured in 1121 the laboratory and its sensitivity to pressure.

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5.4. Good Practice for Low Permeability Measurements

Experimental studies aim to determine of the "true" or "in-situ" permeability value 1124 and increase understanding of contributing processes. However, the measured permeability 1125 1126 depends on various parameters and their interdependencies. In the context of this study, reported permeability coefficients varied by approximately 1-2 orders of magnitude. Systematic and 1127 random errors are considered irrelevant here, as the experiments were performed at controlled 1128 1129 temperature and pressure conditions, and any erratic fluctuations were accounted for in data analysis. The most important factors influencing the experimental results for single-phase flow 1130 1131 were a) effective stress history and loading time, including stress-release effects due to coring, b) 1132 the pore fluid (gas, water) used in the experiments and c) sample heterogeneity. The latter category includes intrinsic lithological/textural features but also those induced by plug 1133 preparation, transportation and the drying/saturation procedure. The impact of each factor will 1134 1135 differ among rock types, especially where swelling processes in clays can modify the pore space, in which case the choice of measuring fluid becomes a critical issue. In order to account for these 1136 different effects, the design and protocol of the experimental procedure, and data management 1137 1138 must be discussed beforehand. In this benchmark study, laboratories were asked to submit their results in a standard form (see Figure 3 in David et al. (2017)), that contained all information 1139 required for thorough knowledge of the permeability estimation process (method, fluid, pressure 1140 1141 and temperature conditions). However, in many cases it was extremely important to receive additional information including: 1142

• Time information (absolute, relative) to identify whether the system had reached equilibrium with the applied pressure and temperature conditions and to investigate the effect of pressure cycling. The time required for pressure equilibration in low permeability material can be up to a month.

• In the case of gas permeability tests, additional data at all pressure steps should be provided: (i) apparent permeability and slip factor, (ii) mean pore pressure, (iii) pressure difference, (iv) absolute pressure, (v) temperature and equation of state for the gas. We recommend against averaging values obtained with different gases.

• Pressure history: the target effective pressure for a benchmarking exercise must never be exceeded during the loading stage prior to permeability measurement.

Based on this additional information, detailed study of transport processes becomes possible and any deviation from the expected behavior can be analyzed.

1156 **6. Conclusion**

A benchmarking measurement exercise for low permeability material involving 24 1157 laboratories allows us to discuss the influence of (i) pore-fluid, (ii) measurement method, (iii) 1158 1159 sample size, (iv) pressure sensitivity and (v) gas slippage effects on the permeability of the 1160 selected rock, the Grimsel granodiorite. A complementary data set on (vi) microstructures and pore size distributions, (vii) porosity and (viii) permeability modeling is presented in a 1161 companion paper. In measurements at 5 MPa effective confining pressure, an average 1162 permeability of 1.47 10^{-18} m² was found, with a high standard deviation of 1.55 10^{-18} m² which 1163 can be explained by the presence of few outliers (4 of 39 values). Discarding those outliers yields 1164 an average permeability of 1.11 10^{-18} m² with a smaller standard deviation (0.57 10^{-18} m²). The 1165 most striking result was the large difference in average permeability between gas and liquid 1166 measurements: independently of the method used, gas permeability is higher than liquid permeability by approximately a factor 2 (k_{gas} =1.28 10⁻¹⁸ m² compared to k_{liquid} =0.65 10⁻¹⁸ m²). 1167 1168 Possible explanations include (i) liquid permeability underestimated due to fluid-rock 1169 interactions (ii) gas permeability overestimated due to insufficient correction for gas slippage 1170 1171 effects and/or (iii) gases and liquids do not probe exactly the same pore networks, and so there is 1172 no reason to expect a single permeability value. No decisive clue was found to favor one or the 1173 other explanation. However, the estimation of Knudsen numbers shows that all measurements using gas fell in the gas slippage regime and that no additional corrections are required to 1174 1175 account for other gas flow. The larger scatter of permeability values for smaller samples seems to indicate that those samples have a volume below the REV, due to centimeter-sized mineralogical 1176 heterogeneities in the Grimsel granodiorite. Nevertheless our results are mostly self-consistent 1177 (except for few outliers) and in good agreement with other studies (Schild et al., 2001), 1178 1179 especially the pressure dependence of permeability in the range 1 to 30 MPa. The permeability decrease with effective pressure can be described reasonably well with an exponential law, 1180 $k=k_0.\exp(-\gamma P_{eff})$ with $\gamma=0.093$ MPa⁻¹. Three examples of measurements are described in detail, 1181 using (i) the steady-state flow method, (ii) the transient pulse method and (iii) the pore pressure 1182 oscillation method: these experiments clearly show that many parameters need to be carefully 1183 controlled for successful permeability measurements in low permeability rocks. Another 1184 1185 outcome of the benchmarking exercise was a set of good practice rules for measuring permeability in tight materials. A second round of benchmarking is currently under way with 1186 another tight material, the Cobourg Limestone. Additional challenges are expected in this 1187 benchmark (called KCL), as this rock has a permeability in the nano-Darcy range. With the 1188 experience gained with KG²B, the team is keen to take up this new challenge. 1189

- 1191 List of symbols
- 1192 $\Delta P = P_{\rm UP} P_{\rm DOWN}$, pore pressure difference (Pa)
- 1193 μ , dynamic viscosity (Pa.s)
- 1194 *A*, sample cross-sectional area (m^2)
- 1195 *b*, Klinkenberg slip factor (Pa)
- 1196 BIB-SEM, broad ion beam scanning electron microscopy

- C_f , pore fluid compressibility (Pa⁻¹)
- C_p , pore compressibility in response to pore pressure changes (Pa⁻¹)
- D_m , gas molecule diameter (m)
- 1200 FEBEX, Full-scale Engineered Barrier EXperiment
- *G*, gain, downstream to upstream wave amplitude ratio
- 1202 GTS, Grimsel Test Site
- k, permeability (m²)
- k_{∞} , permeability at infinite gas pressure (m²)
- $k_{app} = k_{gas}$, apparent permeability measured with gas (m²)
- 1206 KG²B, K for Grimsel granodiorite benchmark
- K_n , Knudsen number
- k_o , permeability at zero effective pressure (m²)
- *L*, sample length (m)
- 1210 MICP, mercury injection capillary pressure
- N_A , Avogadro's number
- P_{∞} , pressure at infinite time in pulse test (Pa)
- P_{ATM} , atmospheric pressure (Pa)
- P_c , confining pressure (Pa)
- P_{DOWN} , downstream pore pressure (Pa)
- $P_{eff} = P_c P_p$, effective pressure (Pa)
- P_{MEAN} , mean pore pressure (Pa)
- P_p , pore pressure (Pa)
- $P_{\rm UP}$, upstream pore pressure (Pa)
- $Q = Q^{V} = dV_P / dt$, volume flow rate (m³/s)
- $Q^M = dM / dt$, mass flow rate (kg/s)
- *R*, universal gas constant (J.mol⁻¹.K⁻¹)
- 1223 REV, representative elementary volume
- *rH*, hydrodynamic length scale / crack aperture (m)
- 1225 SAFOD, San Andreas Fault Observatory at Depth
- *T*, absolute temperature (K)
- *t*, time (s)
- 1228 V, gas volume (m^3)
- V_{DOWN} , downstream tubing volume (m³)
- V_P , pore volume (m³)
- V_{UP} , upstream tubing volume (m³)
- 1232 Z, gas deviation factor
- ξ , dimensionless storativity ratio
- α , decay factor in pulse test (s⁻¹)
- β , sample storativity (Pa⁻¹)
- β_D , downstream reservoir storage (m³Pa⁻¹)
- *φ*, porosity
- γ , permeability pressure dependence factor (Pa⁻¹)
- η , dimensionless permeability
- λ , mean free path of a gas molecule (m)
- θ , phase shift between upstream and downstream waveforms (rad)
- τ , period of oscillation (s)

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1246 Acknowledgments and Data Availability Statement

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1255 **References**

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1469	APPENDIX A
1470	
1471 1472 1473 1474	⁽³⁾ The KG²B Team : the benchmark involved 24 rock physics laboratories around the world. In Figure A1 the logo of each participating institution is shown on a world map, with the benchmark logo and the collection of core samples sent to the participants. The name, e-mail addresses and institution of each participant and co-author are given in Table A1.

the



Figure A1. World map with the participants' logos, the benchmark logo and the core sample collection sent to the participants.

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Table A1: The KG²B Team: list of participants and co-authors

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APPENDIX B

Each participating laboratory was assigned a number following the core sample order, from #01 for the sample closest to the tunnel (at 4.17 m) to #24 for the deepest sample in the borehole (at 5.95 m). Table B1 provides sample location in the tunnel, the length and diameter of the subcore drilled from the original core, and the method and pore fluid used for permeability measurements. Table B2 provides the permeability values measured at 5 MPa and (when available) at in situ stress 30 MPa. Note that porosity and radial permeability values have also been included in the table, although they are discussed in the companion paper.

	Distance from tunnel (m)Sub-cored sample lengthSub-cored sample diameter (mm)Method for perr estimation		Method for permeability estimation	Fluid used for permeability measurement		
	4.17	25.6	25			
Lab#01	4.17	27.2	25	Step-decay (transient)	GAS (Argon)	
	4.17	29.5	25	Step deedy (transferity		
Lab#02	4.25	83	40	Steady-state flow	LIQUID (water)	
Lab#03	4.35	94	83 (no subcoring)	Steady-state flow	LIQUID (non degased water)	
Lab#04	4.45	80	40	Transient pulse, Pore pressure oscillation & Modeling	LIQUID (brine)	
Lab#05	4.63	25	38	Transient pulse & Modeling	GAS (Nitrogen)	
Lab#06	4.78	86	hollow cylinder 83/60	Steady-state flow (radial flow)	LIQUID (distilled water)	
Lab#07	4.94	1 to 5	chips	Transient pulse	GAS (Air)	
Lab#08	4.00	21.4	19.5	Staady state flow	GAS (Nitrogen)	
La0#08	4.99	20.8	19.5	Steady-state now	GAS (Nillogell)	
			40	Transient pulse	GAS (Nitrogen)	
Lab#09	5.04	50		Steady-state flow	LIQUID (deaerated tap water)	
				Transient pulse	GAS (Argon)	
	5.11	31.5	29.9			
Lab#10	5.11	28.3	30	Steady-state flow	LIQUID (water)	
	5.11	28.8	30			
Lab#11	5.18	39.3	25.5	Step-decay (transient)	GAS (Nitrogen)	
Lab#12	5.31	40	20	Transient pulse	GAS (Argon)	
	5.37	38	38	Steady-state flow		
Lab#13	5.37	20	20	Steady-state flow	LIQUID (deionised water)	
	5.37	20	20	Pore pressure oscillation	water)	
Lab#14	5.42	41.3	64.6	Steady-state flow	GAS (Argon)	

Lab#15	5.47	15	15 (cube)	Microstructure analysis (MICP) & Modeling	NA	
Lob#16	5.52	44	38	Complex transient technique	CAS (Nitro and)	
La0#10	5.52	42	38	Complex transient technique	GAS (mitrogen)	
Lab#17	5.57	21.5	25.4	Transient pulse	GAS (Argon)	
	5.67	30	26		GAS (Argon)	
Lab#18	5.67	30	26	Pore pressure oscillation & Transient pulse		
	5.67	30	26	Transient puise		
Lab#19	5.72	33.6	83.3 (no subcoring)	Steady-state flow	LIQUID (deionized water)	
	5.77	49.9	25.4		GAS (Helium and Nitrogen)	
Lab#20	5.77	49.3	25.3	Transient pulse		
	5.77	35.6	25.4		Turogon)	
Lab#21	5.83	38.9	39	Steady-state flow	GAS (Nitrogen)	
		39	25.4		GAS (Argon)	
Lab#22	5.9	38	25.4	Transient pulse		
		38.9	25.4			
T 1 400		24.2		Steady-state flow	LIQUID (degassed tap water)	
Lab#23	5.95	24.2	38.3	Steady-state flow & Transient pulse	GAS (Helium and Nitrogen)	
Lab#24	5.95	thin section	thin section	Microstructure analysis (BIB- SEM) & Modeling	NA	

Table B1: List of samples with distance to the borehole mouth, length and diameter, and methods used for permeability estimation with corresponding fluids.

LAB#	Fluid	Method	Porosity (%)	Axial PERM@5_MPa (10 ⁻¹⁸ m ²)	Axial PERM@30_MPa (10 ⁻¹⁸ m ²)	Radial PERM@5_MPa (10 ⁻¹⁸ m ²)
#01	Gas	PLS		1.1		
	Gas	PLS		1.5		
	Gas	PLS		1.63		
#02	Liquid	SST		0.43	0.03	
#03	Liquid	SST	0.6	0.6	0.04	
#04	Liquid	PLS	0.62	0.43	0.055 (*)	
#04	Liquid	OSC	0.62	0.294		
#05	Gas	PLS	0.6	1.46	0.064 (*)	

#06		SST				0.84 @1.75MPa
#07	Gas	PLS	0.7	2.6 (*)		
#08	Gas	SST				0.243
	Gas	SST		0.199		
#09	Gas	PLS	0.8	1.3		
	Liquid	SST	0.8	0.94		
	Gas	PLS	0.8	1.49		
	Gas	PLS	0.8	1.37		
#10	Liquid	SST	0.46	0.5		
	Liquid	SST	0.17	0.05 (**)		
	Liquid	SST	0.51	0.73		
#11	Gas	PLS	1.16	1.28		
	Gas	PLS	0.78	1.18		
	Gas	PLS	1.18	1.26		
#12	Gas	PLS	0.52	1.12		
#13	Liquid	SST		8.35 (**)	2.06 (**)	
	Liquid	SST		4.73 (**)		
	Liquid	SST		0.579		
	Liquid	OSC		0.906	0.277	
#14	Gas	SST	0.73	1.91	0.189	
#16	Gas	PLS	0.23	1.69		
	Gas	PLS	0.43	1.81	0.155	
#17		PLS	1.8			0.66
#18	Gas	OSC	1.03	1.84		
	Gas	OSC	1.03			0.843
	Gas	OSC	1.03			0.501
#19	Liquid	SST		1.08		
#20	Gas	PLS	0.51	0.579		
	Gas	PLS	0.51	0.342		
	Gas	PLS	0.88	1.69		
	Gas	PLS	0.88	0.375		
	Gas	PLS	1.29	1.75		

	Gas	PLS	1.29	1.21		
#21	Gas	SST	1.5	0.83	0.07 (*)	
#22	Gas	PLS	0.7	0.795		
	Gas	PLS	0.5			0.825
#23	Liquid	SST	0.26	5.4 (**)		

Table B2: Permeability and porosity values. (*) extrapolated values; (**) outliers discarded from the global analysis (SST=Steady-state flow method, PLS=Transient pulse method, OSC=Oscillating pore pressure method).