

LETTER TO THE EDITOR

KiDS+VIKING-450 and DES-Y1 combined: Cosmology with cosmic shear

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ABSTRACT

We present a combined tomographic weak gravitational lensing analysis of the Kilo Degree Survey (KV450) and the Dark Energy Survey (DES-Y1). We homogenize the analysis of these two public cosmic shear datasets by adopting consistent priors and modeling of nonlinear scales, and determine new redshift distributions for DES-Y1 based on deep public spectroscopic surveys. Adopting these revised redshifts results in a 0.8σ reduction in the DES-inferred value for S_8 , which decreases to a 0.5σ reduction when including a systematic redshift calibration error model from mock DES data based on the MICE2 simulation. The combined KV450+DES-Y1 constraint on $S_8 = 0.762^{+0.025}_{-0.024}$ is in tension with the *Planck* 2018 constraint from the cosmic microwave background at the level of 2.5σ . This result highlights the importance of developing methods to provide accurate redshift calibration for current and future weak-lensing surveys.

Key words. cosmology: observations – galaxies: photometry – gravitational lensing: weak – surveys

1. Introduction

Weak gravitational lensing tomography has entered the phase of precision cosmology, with observational constraints on the best-measured parameter, $S_8 = \sigma_8 \sqrt{\Omega_m/0.3}$, at a level of precision $\lesssim 5\%$ for all current surveys (Hildebrandt et al. 2020, hereafter H20; Troxel et al. 2018, hereafter T18; Hikage et al. 2019; Joudaki et al. 2017; Jee et al. 2016). Here, σ_8 refers to the root-mean-square of the linear matter overdensity field on $8 h^{-1}$ Mpc scales, and Ω_m is the present mean density of nonrelativistic matter relative to the critical density. This phase has been reached as a result of the success in accounting for the systematic uncertainties that affect the measurements. However, as the statistical precision of weak-lensing surveys increases with depth and area, the requirements on their ability to control systematic uncertainties increase as well. In Hildebrandt et al. (2017), it was shown that the contribution of systematic uncertainties to the total error budget for the Kilo Degree Survey (KiDS; Kuijken et al. 2015) is comparable to that of the statistical uncertainties. Given the similar constraining power of concurrent weak-lensing surveys, such as the Dark Energy Survey (DES; Abbott et al. 2018a) and the Subaru Hyper Suprime-Cam survey (HSC; Aihara et al. 2018), a continued reduction in the systematic uncertainties is crucial to obtain unbiased cosmological constraints and to exploit the full statistical power of current and future weak-lensing datasets.

The most notable systematic uncertainties pertain to the intrinsic alignment (IA) of galaxies, additive and multiplicative shear calibration, baryonic feedback affecting the nonlinear matter power spectrum, and photometric redshift errors (see Mandelbaum 2018 and references therein). All current weak-lensing surveys have reached a statistical precision where notable changes to the cosmological parameter constraints are found when accounting for these systematic uncertainties in the analysis (e.g. Hikage et al. 2019; T18; H20). The expectation is that the final parameter constraints are robust when marginalized over all known systematics. This is generally well motivated through the vast range of checks and extensions of the systematic models beyond the standard approach considered by these surveys. The uncertainty in the redshift distributions, $n(z)$, of weakly lensed galaxies is, however, more difficult to account for, and has been shown to be the only systematic uncertainty to impact the posterior mean of S_8 by $\sim 1\sigma$ (H20).

The redshift uncertainty is arguably the most challenging systematic to control in both current and future lensing surveys. In KiDS, the estimation of the redshift distributions has benefited from the fully overlapping near-infrared imaging data from the VISTA Kilo-Degree Infrared Galaxy Survey (VIKING; Edge et al. 2013). The combined KiDS and VIKING dataset (‘KiDS+VIKING-450’ or ‘KV450’; Wright et al. 2019) has allowed for an increased precision in the estimation of photometric redshifts that are used to assign sources to tomographic

bins. In addition, KiDS targets deep pencil-beam spectroscopic surveys permitting the redshift distributions to be determined via the weighted direct estimation, or ‘DIR’, approach (Lima et al. 2008; Hildebrandt et al. 2017; H20), which is fully decoupled from the photo- z . This DIR method assigns KiDS sources to spectroscopic galaxies by a k -nearest-neighbor matching in order to estimate weights for the spectroscopic objects. The weighted distribution of spectroscopic redshifts can then be used to estimate the $n(z)$ of the sources. The uncertainty Δz_i in the mean redshift of each tomographic bin i is obtained from a spatial bootstrap resampling of the spectroscopic calibration sample and propagated in the cosmological analysis as $n_i(z) \rightarrow n_i(z - \Delta z_i)$ (H20).

The DIR approach has been found to produce cosmological results consistent with other $n(z)$ estimation techniques, such as the angular cross-correlation of photometric and spectroscopic galaxy samples (where the spectroscopic samples are obtained from overlapping wide and shallow surveys; Morrison et al. 2017; Johnson et al. 2017). In H20, it was also shown that the cosmological constraints from KV450 are robust to the specific combination of spectroscopic calibration samples used to obtain the DIR $n(z)$ as long as the spectroscopic datasets provide a sufficient coverage in depth and redshift.

Both DES and HSC calibrate their redshift distributions with a high-quality photometric redshift catalog in the COSMOS field (Laigle et al. 2016). A similar calibration of the KV450 data yielded a 0.6σ larger value of S_8 (H20). One hypothesis is that outliers in the COSMOS photo- z catalog cause the estimated redshifts to be biased low. Alternatively, there could be a bias in the fiducial KV450 DIR calibration. Here, we construct mock KV450 and DES-Y1 catalogs based on the MICE2 simulation and quantify the extent to which the redshift distributions might be reliably estimated. As the DES-Y1 data are slightly shallower than KiDS, which matches the depth of the public spectroscopic redshift catalogs, we spectroscopically calibrate the DES-Y1 redshift distributions¹. Using these newly determined $n(z)$, we evaluate the impact on the cosmological constraints, and perform a combined cosmological analysis with KV450.

2. KV450 and DES-Y1 cosmological constraints with a homogenized analysis

To meaningfully compare the cosmological constraints from KV450 and DES-Y1, we begin by homogenizing the cosmological priors and treatment of astrophysical systematic uncertainties (Fig. 1). We consider the KV450 and DES-Y1 measurements and covariance in H20 and T18, respectively². We do not remeasure the respective data vectors and covariance, and use only the angular scales advocated in H20 and T18. As KV450 and DES-Y1 observations do not overlap on the sky, we treat the two surveys as distinct.

The cosmological constraints on KV450 and DES-Y1 are obtained using the COSMOLSS³ likelihood code (Joudaki et al. 2018) in a Markov chain Monte Carlo (MCMC) analysis. This code has been used to benchmark the LSST-DESC

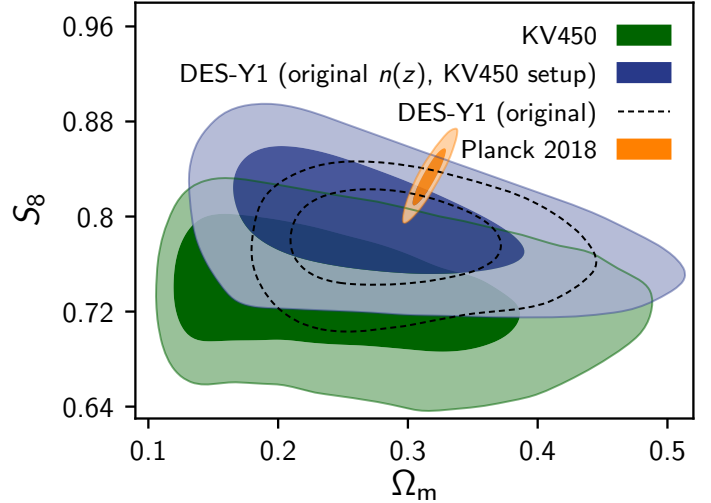


Fig. 1. Marginalized posterior contours in the S_8 – Ω_m plane (inner 68% CL, outer 95% CL). We show the KV450 constraints in green (solid) using an analysis setup that follows H20, but including an additional redshift dependence of the IA signal (denoted ‘KV450’). In black (dashed), we show the DES-Y1 constraints corresponding to the original T18 analysis, noting that the sum of neutrino masses is varied in this analysis (and hence the contour should not be directly compared with the orange (solid) Planck 2018 contour where the neutrino mass is fixed). The blue (solid) contours show the DES-Y1 constraints where an identical setup to the KV450 analysis is used (along with the original DES-Y1 redshift distributions).

Core Cosmology Library (CCL; Chisari et al. 2019) computation of tomographic cosmic shear, galaxy-galaxy lensing, and galaxy clustering observables. For completeness, we reproduced the COSMOLSS DES-Y1 constraints with both COSMOSIS (Zuntz et al. 2015) and the Planck Collaboration’s lensing likelihood in COSMOMC (Planck Collaboration VI 2020). In H20, we moreover showed that the KV450 constraints from COSMOLSS, COSMOSIS, and MONTE PYTHON (Audren et al. 2013) are in excellent agreement.

For both surveys, we implement the cosmological priors of H20 (see Table 3 therein). In the case of DES-Y1, this includes not only a change in the size of the parameter priors, but notably also a change in the size of the parameter space by fixing the sum of neutrino masses to 0.06 eV instead of varying it freely, a change in the uniform sampling of $A_s \rightarrow \ln(10^{10}A_s)$, and a change from HALOFIT (Takahashi et al. 2012) to HMCODE (Mead et al. 2015) for the modeling of the nonlinear corrections to the matter power spectrum. Compared to the fiducial DES-Y1 and KV450 analyses, we also switch from MULTINEST (Feroz et al. 2009) to MCMC sampling of the parameter space. Following H20, we allow baryonic feedback to modify the nonlinear matter power spectrum. This does not particularly affect the DES-Y1 constraints given the conservative scale cuts in T18. We keep the shear calibration and photometric redshift uncertainties distinct between the two surveys (given by Table 2 in T18 and Table 3 in H20, respectively).

Conservatively, we allow KV450 and DES-Y1 to have independent parameters governing the IA, using both an amplitude and redshift dependence (as a result, in the combined KV450+DES-Y1 analysis there are 4 free IA parameters). We use a pivot redshift of $z_0 = 0.3$, in agreement with past KiDS analyses and direct measurements of the IA (e.g. Mandelbaum et al. 2011; Joachimi et al. 2011). We find that the S_8 constraints are robust to the specific treatment of the IA, such

¹ The HSC-Y1 shear catalogs were not publicly released at the time of this work, and their greater depth also makes a direct spectroscopic calibration infeasible.

² A unified analysis of earlier cosmic shear datasets is performed in Chang et al. (2019).

³ <https://github.com/sjoudaki/CosmoLSS>

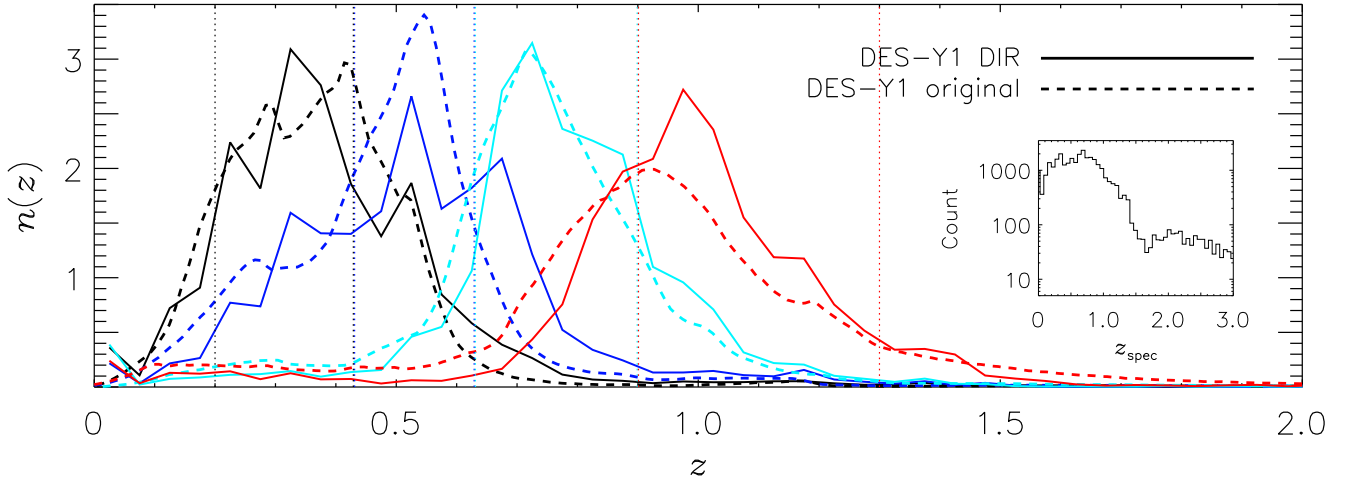


Fig. 2. DES-Y1 redshift distributions for the four tomographic bins (in black, blue, cyan, and red, respectively), showing the publicly released distributions (dashed) and the spectroscopically determined distributions using the DIR approach (solid). The distributions based on spectroscopy are systematically shifted to higher redshifts than the original distributions (accounting for Δz_i), and hence favor a lower value of S_8 than the original DES-Y1 analysis in T18. See Table 1 for the mean redshifts of the different tomographic bins for the two approaches. The vertical dotted lines denote the tomographic bin boundaries. The small inset shows the redshift distribution of the matched photometry/spectroscopy catalog for DES-Y1 containing approximately 30 000 objects used in the DIR method. The spectroscopic calibration samples are obtained from zCOSMOS, VVDS-Deep (2h), CDFS, DEEP2 (2h), and VVDS-Wide (22h). We do not show the uncertainties in the $n(z)$ for visual clarity (instead see Table 1 for uncertainties in the mean redshifts).

as removal of the redshift dependence or by assuming that the IA parameters are shared between the two surveys⁴.

We compare the KV450 and DES-Y1 constraints with the *Planck* 2018 cosmic microwave background (CMB) temperature and polarization measurements (Planck Collaboration VI 2020)⁵, where the ‘TT,TE,EE+lowE’ data combination gives $S_8 = 0.834^{+0.016}_{-0.016}$. We exclude the CMB lensing measurements to isolate the high-redshift CMB temperature and polarization constraint on cosmology from the low-redshift Universe.

The KV450 constraint on $S_8 = 0.735^{+0.042}_{-0.034}$ corresponds to a 2.4σ discrepancy with *Planck* 2018. The original DES-Y1 cosmic shear constraint from the publicly released chain⁶ is $S_8 = 0.778^{+0.030}_{-0.023}$ (we note that T18 quotes the marginal posterior maximum of 0.782 instead of the more common posterior mean given here). Compared with the corresponding *Planck* 2018 result, where the neutrino mass varies, this is a 1.7σ difference. The DES-Y1 constraint using the KV450 setup is $S_8 = 0.794^{+0.037}_{-0.034}$, which differs by 1.0σ from the *Planck* 2018 constraint and by 1.1σ from the KV450 constraint. This change reflects a shift in the posterior mean and an increase in uncertainty as a result of using HMCODE instead of HALOFIT, wider priors on the amplitude and spectral index of the primordial power spectrum, uniformly sampling $\ln(10^{10} A_s)$ instead of A_s , and fixing the sum of neutrino masses instead of varying it.

We note that when KV450 and DES-Y1 are homogenized to the same assumptions and using the fiducial angular scales, the constraining power of the two datasets is comparable, with the DES-Y1 uncertainty in S_8 smaller by 8% (instead of 30% smaller uncertainty when simply comparing the DES-Y1 con-

straint in T18 with the KV450 constraint in H20). However, this does not account for the improvement in the DES-Y1 constraining power when extending the scale cuts from the fiducial approach in T18 to better agree with the range of angular scales θ probed by KV450. We find that such a modification to the angular scales (such that $\{\theta_+ > 3, \theta_- > 7\}$ arcmin for all tomographic bin combinations) in our correlation function analysis improves the DES-Y1 uncertainty in S_8 by approximately 30% (with a 0.5σ decrease in the posterior mean) after marginalizing over baryonic feedback, increasing the deviation from *Planck* (see also Asgari et al. 2020 for a small-scale analysis with COSEBIs).

3. Spectroscopic determination of the DES-Y1 source redshift distributions

The redshift distributions for DES and HSC have so far been obtained by using data from the 30-band photometric dataset ‘COSMOS-2015’ (Laigle et al. 2016). In HSC-Y1, the fiducial redshift distributions are obtained as a histogram of reweighted COSMOS-2015 photometric redshifts (using the weights of the HSC source galaxies and a self-organizing map, or ‘SOM’), and the uncertainties in these distributions are obtained by comparing against the photometric redshift distributions from six different codes where the probability distribution functions of the source galaxy redshifts are stacked (Hikage et al. 2019). In DES-Y1, the Bayesian photometric redshift code BPZ (Benítez 2000) is used to compute a stacked redshift distribution, which is shifted along the redshift axis to best fit a combination of resampled COSMOS-2015 redshift distributions and (for the first three tomographic bins) the clustering of the DES source galaxies and a high-quality photo- z reference sample (REDMAGIC; Rozo et al. 2016) over a limited redshift range (Hoyle et al. 2018).

To compare these approaches to direct spectroscopic determination, which fully decouples the photo- z from the determination of the $n(z)$, H20 considered a DIR estimate of the KV450

⁴ We note, however, that a widened prior on η_{IA} allows for an extended confidence interval at low $\{\Omega_m, S_8\}$ for DES-Y1 alone.

⁵ Our comparisons are against the public chains as the *Planck* 2018 likelihoods were not publicly released at the time of this work. This is not fully self-consistent given the mostly narrower prior ranges used by *Planck* (compared to our KV450 and DES-Y1 runs), but has a negligible impact given the constraining power of the *Planck* dataset.

⁶ http://desdr-server.ncsa.illinois.edu/despublic/y1a1_files/chains/s_13.txt

Table 1. DES-Y1 mean redshifts of the four tomographic bins calibrated with COSMOS-2015 (T18) and spectroscopic redshifts (this work).

Tom. bin	COSMOS-2015 $\langle z \rangle$	Spec- z (DIR) $\langle z \rangle$
1	0.389 ± 0.016	0.403 ± 0.008
2	0.507 ± 0.013	0.560 ± 0.014
3	0.753 ± 0.011	0.773 ± 0.011
4	0.949 ± 0.022	0.984 ± 0.009

Notes. The spectroscopic calibration consistently favors distributions with higher mean redshifts compared to COSMOS-2015 (the same is found for the median redshifts). We note that our mock analysis of the spectroscopic calibration based on the MICE2 simulation suggests lower mean redshifts by approximately 0.01–0.03 depending on the tomographic bin (see Appendix A for details on the mock analysis along with a discussion of its limitations and cosmological implications).

redshifts with the help of COSMOS-2015, finding a coherent downward shift in the redshift distributions and a consequent increase in the posterior mean for S_8 . H20 argue that estimating the redshift distributions from COSMOS-2015 might however be unreliable given the ‘catastrophic outlier’ fraction of $\sim 6\%$ in the magnitude range $23 < i < 24$ reported in Laigle et al. (2016)⁷ and a residual photo- z bias of $\langle z_{\text{spec}} - z_{\text{phot}} \rangle \approx 0.01$ after rejection of outliers. This can be compared to $\sim 1\%$ unreliable redshifts for the combined spectroscopic calibration sample⁸. The outliers in the COSMOS-2015 photo- z are potentially also more problematic because their effect is most probably asymmetric. Outliers that are truly objects at high- z but are assigned a low COSMOS-2015 photo- z are more likely to fall inside the DES-Y1 tomographic bins than outliers that are bona fide low- z galaxies but are assigned a high COSMOS-2015 photo- z . Additionally, the bias in the core of the $z_{\text{spec}} - z_{\text{phot}}$ distribution is in the same direction, that is, overall the redshifts might be underestimated by the COSMOS-2015 photo- z .

In the DES-Y1 analyses, the case is made that a spectroscopic determination of the source redshift distributions would not be sufficiently accurate due to the incompleteness of the existing spectroscopic surveys at the faint end of the DES observations (Hoyle et al. 2018). We find, however, that even the deeper KV450 source sample is well covered by our spectroscopic compilation, implying that the coverage should also be sufficient for the calibration of the DES-Y1 sample. This is confirmed by a SOM approach to redshift calibration (Masters et al. 2015) presented in Wright et al. (2020).

DES-Y1 overlaps with almost the same deep spectroscopic redshift surveys that were used by H20. As shown in Fig. 2 (inset), this overlap contains some 30 000 objects with spectroscopic redshifts from zCOSMOS (Lilly et al. 2009), the DEEP2 Redshift Survey (Newman et al. 2013), the VIMOS VLT Deep Survey (VVDS; Le Fèvre et al. 2013), and the *Chandra* Deep Field South (CDFs; Vanzella et al. 2008; Popesso et al. 2009; Balestra et al. 2010; Le Fèvre et al. 2013). We find that the KV450 source sample is well covered as long as spectroscopic redshifts from DEEP2 – the highest-redshift calibration survey – are included and the same is true for DES-Y1. However, we note that Hoyle et al. (2018) and Hartley et al. (2020) have moreover

⁷ For $22 < i < 23$, the outlier rate is significant at 3.5% (O. Ilbert, priv. comm.).

⁸ In Wright et al. (2020), we show that the change in the estimated redshift distributions from catastrophic spec- z failures in the spectroscopic compilation is negligible.

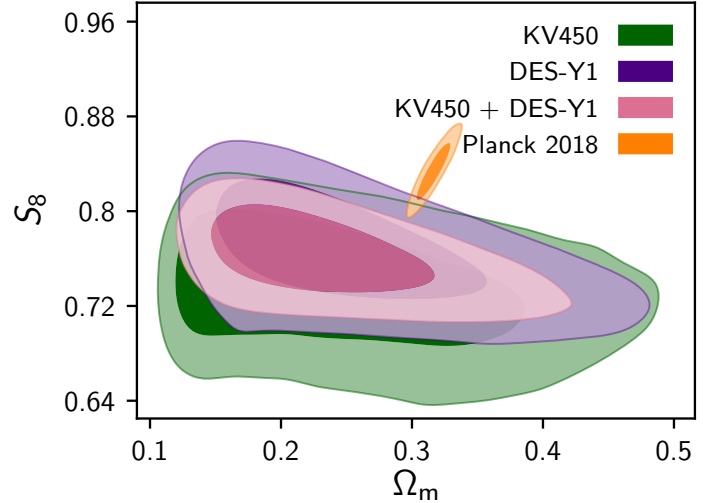


Fig. 3. Marginalized posterior contours in the $S_8 - \Omega_m$ plane (inner 68% CL, outer 95% CL) for KV450 (green), DES-Y1 following a spectroscopic calibration of the redshift distributions and identical setup to the KV450 analysis (purple), the above combined (pink), and *Planck* 2018 (orange).

argued that the 4-band DES data may be inherently less suitable to our reweighting scheme than the 9-band KiDS+VIKING data, which is a hypothesis that we assess in Appendix A (see also Buchs et al. 2019 for a way to solve this by leveraging the DES Deep fields).

The KV450 and DES-Y1 spectroscopic calibration samples used here differ in detail: DES-Y1 overlaps on the sky with VVDS in both the Deep (2h) and Wide (22h) fields compared to only the Deep (2h) field for KV450, and the DES-Y1 calibration does not include the 23h field of DEEP2 and the GAMA-G15Deep sample (Kafle et al. 2018) which are included in the KV450 calibration. Overall, we obtain the DES-Y1 and KV450 redshift distributions using five and six spectroscopic calibration samples, respectively, four of which are identical⁹. Note that no shear data from these calibration fields are used in both the KiDS and DES cosmological analyses, maintaining independence in the measured shear correlation functions from the two surveys.

Figure 2 shows that the spectroscopic calibration shifts DES-Y1 redshift distributions to higher redshifts compared to the original photo- z recalibration with COSMOS-2015, consistent with the findings of H20. Mean redshifts of the four tomographic bins are reported in Table 1 for both cases. The spectroscopically determined distributions peak closer to the center of the corresponding tomographic bins, and contain higher-redshift galaxies. These shifts between the spectroscopically estimated and published DES-Y1 $n(z)$ are significant because of their coherence, that is, all tomographic bins shift in the same direction. We emphasize that widening the priors on the uncorrelated Δz_i nuisance parameters cannot account for such a coherent shift as this is fully degenerate with the cosmological parameters of interest (see the discussion at the end of Sect. 3 in H20).

In Appendix A, we further explore the robustness of the DIR calibration on mock KV450 and DES-Y1 catalogs. This analysis motivates the inclusion of a systematic error model in our analysis to account for potential biases in the DIR calibration. If the error model from the mock survey analysis is fully accurate and representative (see the caveats and discussion in Appendix A),

⁹ Note that the exact area in each of these fields differs slightly between surveys because of the different footprints of KiDS and DES.

the true mean redshifts of the DES-Y1 tomographic bins can be lowered by approximately 0.01–0.03 compared to the DIR results presented in Fig. 2 and Table 1.

4. Cosmological impact of DES-Y1 $n(z)$ recalibration and combined constraints with KV450

We now quantify the impact of the spectroscopic calibration of the DES-Y1 redshift distributions on the cosmological parameter constraints. As it is now on an equal footing with KV450, we moreover perform a combined analysis of the two surveys, shown in Fig. 3.

The DES-Y1 constraint following the spectroscopic calibration of the redshift distributions is $S_8 = 0.765^{+0.036}_{-0.031}$. Compared to using the original redshift distributions, this is a change in the posterior mean by $\Delta S_8 = -0.029$ and a marginal (5%) improvement in the S_8 uncertainty. We verified that this shift in S_8 is largely recovered by coherently shifting the original DES-Y1 redshift distributions by the Δz_i difference with the spectroscopically calibrated distributions as reported in Table 1 (i.e. changes in the structure of the $n_i(z)$ have a subdominant impact on S_8). This substantial change in the DES-Y1 constraint highlights the importance of the redshift calibration. The size of ΔS_8 corresponds to a 0.8σ shift in terms of the larger DES uncertainty in the KV450 setup, and a 1.1σ shift in terms of the original DES-Y1 uncertainty quoted in T18. The DES-Y1 constraint using a KV450 analysis setup and spectroscopically calibrated redshift distributions is different from the *Planck* 2018 constraint on S_8 by 1.9σ . The goodness of fit with the spectroscopically calibrated distributions is comparable to that of using the COSMOS-2015 distributions (difference in the reduced χ^2 by 6×10^{-3}).

Following the homogenization of the analysis setups, the combined KV450+DES-Y1 constraint is $S_8 = 0.762^{+0.025}_{-0.024}$. This is almost exactly a factor of $\sqrt{2}$ improvement in precision compared to KV450 and DES-Y1 on their own. We find a best-fit $\chi^2 = 413.4$ for 397 degrees of freedom, which corresponds to a reduced χ^2 of 1.04 and a p -value of 0.27. Using the $\log \mathcal{I}$ statistic (Joudaki et al. 2017) and Jeffreys' scale (Jeffreys 1961; Kass & Raftery 1995), we find that KV450 and DES-Y1 are in 'strong' concordance ($\log \mathcal{I} = 1.4$), which is an expected outcome given the S_8 agreement between the two surveys. The KV450+DES-Y1 constraint is 2.5σ discordant with *Planck* 2018 (we do not evaluate the $\log \mathcal{I}$ statistic in this case as the *Planck* 2018 likelihood is not public). We note that for the cosmological priors used in T18, the combined KV450+DES-Y1 dataset is even more discordant with *Planck*. For this case (not shown in Fig. 3), $S_8 = 0.750^{+0.022}_{-0.025}$, which is a 3.0σ discordance with *Planck* 2018.

The constraints on the astrophysical degrees of freedom, such as the IA amplitude and redshift dependence, do not change significantly in the combined analysis from either survey independently. This is partly a consequence of our analysis decision to keep the KV450 and DES-Y1 intrinsic alignment parameters distinct. We further note that the impact of the spectroscopic calibration for DES-Y1 decreases to $\Delta S_8 = -0.017$ (from the fiducial $\Delta S_8 = -0.029$) if a systematic error model for the DIR calibration from our study of mock DES data in Appendix A is included in the analysis. In the appendix, we show that a self-consistent change in the redshift distributions of both DES-Y1 and KV450, based on our mocks constructed for each survey, results in effectively the same combined KV450+DES-Y1 constraint on S_8 as in the fiducial analysis (less than 0.1σ difference). While the inclusion of the DEEP2 sample is critical

for the redshift calibration of both KV450 and DES-Y1 (Wright et al. 2020), the S_8 constraints from both surveys are robust to a change in the spec- z calibrating fields to the four fields that they have in common. We note that the spectroscopically calibrated source redshift distributions will have a comparable impact on the S_8 constraint from the DES-Y1 combined analysis of cosmic shear, galaxy-galaxy lensing, and galaxy clustering (Abbott et al. 2018b).

5. Conclusions

We have performed the first combined analysis of Stage-III cosmic shear surveys with KiDS+VIKING-450 and DES-Y1. In obtaining reliable cosmological results, we homogenized the analysis setups and spectroscopically calibrated the DES-Y1 source redshift distributions, both of which have a substantial impact on the parameter constraints. We show that the cosmological constraints from KV450 and DES-Y1 are comparable when analyzed self-consistently over the angular scales advocated by each survey, and that the DES-Y1 constraint on S_8 changes downward by 0.8σ when calibrating the redshift distributions using overlapping deep-field spectroscopy. The combined KV450+DES-Y1 constraint on $S_8 = 0.762^{+0.025}_{-0.024}$ reflects a factor of $\sqrt{2}$ improvement in precision compared to each survey independently, and is 2.5σ discordant with the *Planck* CMB temperature and polarization. This increases to 3.0σ when employing the cosmological priors advocated by DES-Y1, and would only increase further by including smaller-scale DES-Y1 measurements sensitive to baryonic feedback.

The substantial change in the DES-Y1 redshift distributions and the corresponding impact on the S_8 constraint suggests that a similar exercise with HSC-Y1 data would be valuable, and that a self-consistent combined analysis of all three current cosmic shear surveys may sharpen the tension with *Planck* 2018 even further. We note that the greater depth of HSC (but also future surveys such as the Legacy Survey of Space and Time, LSST) complicates a direct spectroscopic calibration of the redshift distributions and may instead require other approaches such as the cross-correlation between photometric and spectroscopic galaxies (Newman 2008). Ultimately, the advent of additional data expected for KiDS, DES, and HSC in the coming years along with self-consistent combined analyses of cosmic shear surveys will be crucial for resolving the current tension found with the *Planck* CMB.

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Appendix A: Tests on MICE2 mock catalogs

We test the spectroscopic DIR calibration described in Sect. 3 on mock catalogs created from the public MICE2 simulation (Fosalba et al. 2015; Crocce et al. 2015). These mock catalogs are similar to the ones used in Wright et al. (2020) and will be described in detail in van den Busch et al. (in prep.) for KV450. Here, we further describe how the mock catalogs are designed to resemble the DES-Y1 data. It is important to stress that this exercise is not meant to produce fully realistic mock catalogs that resemble the data in all aspects. Rather, it is aimed at producing mock catalogs that are similarly complex as the data. As such, the mock catalogs can be used to inform us about the expected size of systematic uncertainties in the DIR calibration.

We first estimate the observed size and shape of each simulated galaxy by taking the semi major and minor axes reported in the MICE2 catalog and adding the seeing (from Drlica-Wagner et al. 2018) in quadrature. Together with the 10σ limiting magnitudes quoted in Drlica-Wagner et al. (2018) we estimate the noise level of the evolution-corrected model magnitudes. Subsequently, drawing from the corresponding Gaussian distributions, we create a noise realization for each galaxy in each band and recalculate the magnitude uncertainty based on this realization. This yields a catalog of ‘observed’ magnitudes and their errors. We found that treating the limiting magnitudes from Drlica-Wagner et al. (2018) as 10σ limits in this way results in a mock catalog that is too shallow compared to the data, which might be attributed to aperture effects. Deliberately adapting the limits to 12σ yields a good match between data and mocks in terms of the noise level in the four DES bands. We note that we include weak-lensing magnification in all magnitude estimates although this particular aspect has virtually no impact on the results.

Subsequently, we match each mock galaxy to its nearest neighbor in the data catalog in 4-dimensional magnitude space and assign it the responsivity weight of that galaxy in the data. This yields a properly weighted mock source sample. We run BPZ to estimate photo- z for the mock galaxies using the setup described in Hildebrandt et al. (2020), but restricting the redshift range to that of MICE2 ($0.06 < z < 1.4$)¹⁰. This setup differs slightly from what is done in DES-Y1 (Hoyle et al. 2018) but the properties of the resulting photo- z (scatter, bias, and outlier rate as a function of photo- z and magnitude) are very similar to what is seen when comparing the DES 4-band photo- z to the combined spec- z sample on the data.

The next crucial step is to select samples from the mock catalog that resemble the spec- z samples used in the DIR analysis presented in Sect. 3. Here, we apply the same selection criteria as the zCOSMOS ($i < 22.5$; Lilly et al. 2007), VVDS ($i < 24$; Le Fèvre et al. 2005, 2013), and DEEP2 ($R < 24.1$ plus color selection; Newman et al. 2013) teams to areas that correspond to the areas sampled by the data. Moreover, we implement the magnitude- and partly also redshift-dependent spectroscopic success rates reported in those papers. Where necessary, we further downsample the catalogs to yield numbers comparable to the data. This is required because the number density as a function of redshift is not identical in the simulation and the real Universe.

We find that the fiducial DEEP2 color selection yields a redshift distribution that looks somewhat different from the one in the data. This is probably due to the fact that galaxy colors in MICE2 are not fully realistic, especially at high redshift. Inspect-

¹⁰ We also run a setup with a wider fitting range of $0 < z < 7$ and do not find any significant changes in the results.

Table A.1. KV450 and DES-Y1 changes in the mean redshift for each tomographic bin informed by the MICE2 mock catalogs (i.e. Truth – $\text{DIR}_{\text{MICE2}}$).

Tom. bin	KV450 $\Delta\langle z \rangle$	DES-Y1 $\Delta\langle z \rangle$
1	-0.048 ± 0.010	-0.026 ± 0.016
2	-0.026 ± 0.008	-0.021 ± 0.014
3	-0.033 ± 0.012	-0.033 ± 0.010
4	0.005 ± 0.008	-0.012 ± 0.012
5	0.013 ± 0.008	—

Notes. These MICE2 uncertainties have conservatively been multiplied by a factor of two to account for the inherent limitations of the mock catalogs.

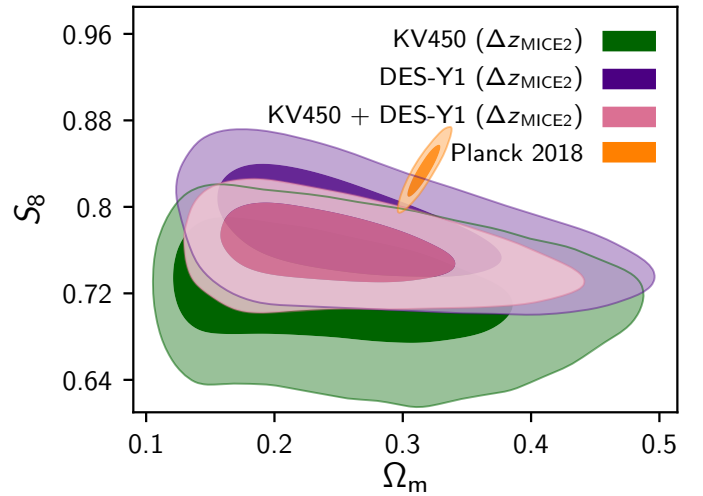


Fig. A.1. Marginalized posterior contours in the S_8 – Ω_m plane (inner 68% CL, outer 95% CL) following an alternative analysis of the cosmic shear datasets with MICE2 priors on the Δz_i parameters. We show KV450 in green, DES-Y1 in purple, KV450+DES-Y1 in pink, and Planck 2018 in orange.

ing the location of those high- z galaxies that are supposed to be targeted by DEEP2 in $B - R$ versus $R - I$ color space, we slightly adapt those criteria to take the slightly different colors of MICE2 galaxies into account. This yields a better match to the observed spectroscopic redshift distribution. In the end, this adaptation does not have a strong influence on the results as we find by running tests with the original as well as the adapted cuts.

We select tomographic bins from the MICE2 realization of the DES-Y1 data and calibrate those with the DIR method using the mock spec- z samples described above. Comparing the true mean redshifts of the galaxies in those four tomographic bins to the ones estimated from DIR on the mocks yields offsets of $\Delta z_1 = \langle z_1 \rangle_{\text{True}} - \langle z_1 \rangle_{\text{DIR}} = -0.026$, $\Delta z_2 = -0.021$, $\Delta z_3 = -0.033$, and $\Delta z_4 = -0.012$ (see also Table A.1). The exact values depend somewhat on the exact definition of the mock spec- z sample.

These results indicate that we might overestimate the true redshifts of the tomographic bins and hence underestimate S_8 , an effect opposite – albeit smaller – to the one seen when replacing the original DES $n(z)$ with our spectroscopic recalibration. This could be attributed to the color preselection of DEEP2 in combination with a magnitude space that is limited to four dimensions, such that the photometric information from the DES $griz$ filters alone is not capable of accurately breaking color-redshift degeneracies and down-weighting the high- z DEEP2 galaxies. This

problem was already noted in [Gruen & Brimiouille \(2017\)](#) using a similar technique. While MICE2 shows an impressive similarity to the real Universe, there is certainly the caveat that the simulation is limited to $z < 1.4$. The modeling of high- z tails is therefore not possible. The issue with the colors of high- z galaxies further illustrates the limitations of such a mock. Whether these results hold with a mock catalog extending farther in redshift remains to be seen and will be investigated in future work¹¹.

The DES-Y1 biases are comparable in size to what was found on very similar mocks resembling the KV450 data, as reported in [Wright et al. \(2020\)](#) and Table A.1. While the DIR calibration on 9-band KV450 data should be less prone to systematic uncertainties than the one on the 4-band DES-Y1 data, we suppose that the greater depth of KV450 complicates the calibration and leads to biases of the same order. Despite these limitations, the biases found in the mock analysis give an indication of the systematic error inherent in the DIR calibration with typical spectroscopic catalogs. In order to address this concern, we run another cosmology parameter analysis where we apply these shifts by centering the priors on the Δz_i parameters on these values instead of zero. As an uncertainty we use the standard deviation over 100 lines of sight in the mocks but multiply this by an arbitrary factor of two to account for limitations in the simulation.

Following this approach, we find that $S_8 = 0.721^{+0.045}_{-0.032}$ for KV450, $S_8 = 0.777^{+0.035}_{-0.033}$ for DES-Y1, and $S_8 = 0.760^{+0.026}_{-0.023}$ for KV450+DES-Y1 (as shown in Fig. A.1). These constraints correspond to changes of $\Delta S_8 = [-0.014, +0.012, -0.002]$ and $\Delta\chi^2 = [0.52, 2.0, 2.8]$ ¹² relative to our fiducial results in the main

body of the paper for KV450, DES-Y1, and KV450+DES-Y1, respectively. Given the comparable size of the applied MICE2 and bootstrap errors on the Δz_i parameters, we do not find significant differences in the size of the S_8 uncertainties (the largest difference corresponds to a 2% increase in the uncertainty).

We note that the KV450 constraint on S_8 shifts toward lower values despite substantial negative Δz_i shifts in the first three tomographic bins. This is explained by the greater constraining power of the higher redshift fourth and fifth bins which exhibit positive shifts in their mean redshifts. The change in S_8 is -0.017 for DES-Y1 relative to the COSMOS-2015 calibrated redshift distributions, which corresponds to a 0.5σ shift in terms of the larger DES-Y1 uncertainty in the KV450 setup (as compared to the fiducial shift of 0.8σ ; noting the significance of both shifts increase in terms of the original DES-Y1 uncertainty). In other words, the MICE2 mocks suggest that the redshift distributions from the pre-revised DIR in the case of KV450 and from COSMOS-2015 in the case of DES-Y1 both result in an overestimated posterior mean of S_8 (by 0.014 and 0.017, respectively). Here, the concordance in the MICE2-revised S_8 constraints of KV450 and DES-Y1 is at the 1.1σ level (as compared to the stronger fiducial concordance in S_8 of 0.6σ), while the combined KV450+DES-Y1 constraint on S_8 remains unchanged at 0.1σ relative to the fiducial result.

In summary, this analysis on the MICE2 mocks illustrates the importance of realistic mock catalogs for future analyses of weak-lensing surveys. In particular, a very wide redshift range is desirable to properly account for photo- z outliers in the systematic error estimates.

¹¹ See also [Hartley et al. \(2020\)](#) who conduct a similar simulated analysis with a different calibration sample.

¹² These changes in the goodness of fit are obtained for data vectors of {195, 227, 422} elements in size and thereby {180, 211, 397} degrees of freedom for KV450, DES-Y1, and KV450+DES-Y1, respectively.